

Searches for 4th Generation Particles & Heavy Neutrinos at CMS

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The CMS
Collaboration

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Introduction: 4th Generation Quarks

- The Standard Model: At least three generations of quarks are required for CP violation, however...
 - CPV is far too small by 10 orders of magnitude.
 - An extra family of quarks may resolve this big gap.
(Hou, arXiv:0803.1234)
- Direct measurement of Invisible Z width: $N_\nu = 2.92 \pm 0.05$, but
 - It does not guarantee that $N(\text{gen}) = 3$ exactly, e.g. heavy neutrino with mass $> 0.5M_Z$.
- Experimental limits from Tevatron direct searches:
 - $M(t' \rightarrow qW) > 311 \text{ GeV}/c^2$.
 - $M(b' \rightarrow bZ) > 268 \text{ GeV}/c^2$ (assuming 100% $b' \rightarrow bZ$, so it's not really firm).
Also there are some searches for long lived b' decay, with 2D limits on $M(b')$ and $c\tau$ plane.

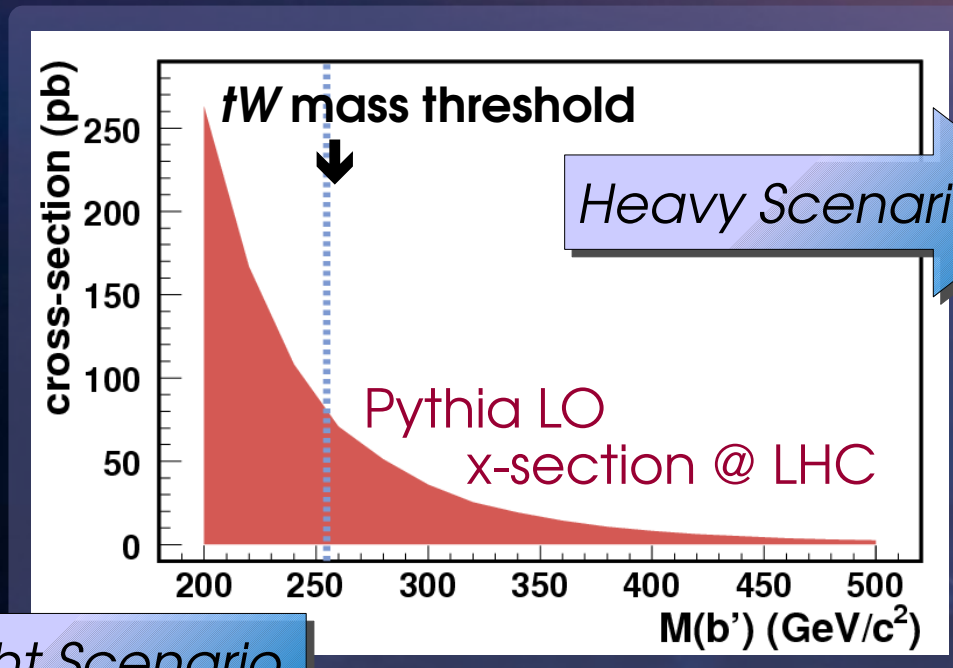
Today we are focusing on the bottom-like 4th generation quark, b' .

Introduction: 4th Generation Quarks

Decay "pattern" of the b' quark

Rich Signatures

- Larger x-sec.
- For sizable $|V_{cb'}|$:
 $b' \rightarrow cW \gg f^{(*)}W^{(*)}$
- Suppressed $|V_{cb'}|$:
 $b' \rightarrow cW \ll f^{(*)}W^{(*)}$
- FCNC:
 $b' \rightarrow bZ, bH$



$b' \rightarrow tW$
dominance

- Lower x-sec.
- Large mass coverage.

LHC provides the chance for direct searches, from light to heavy!

(🏠 today's topic)

Introduction: 4th Generation Quarks

Heavy b' =

A bottom-like quark
that decays to top and W .
(Mass > 255 GeV)

- Full decay chain: $b'b' \rightarrow tW tW \rightarrow bbW^+W^-W^+W^-$ (4 W -bosons!)
- Possible final states: 4L+2J, 3L+4J, 2L+6J, 1L+8J, 0L+10J
(*clean & large modes first*)

Production yields
@ 100/pb

$BR(W \rightarrow l\nu) = 1/3$
 $BR(W \rightarrow jj) = 2/3$

$M(b')$ (GeV)	300	350	400	450	500
N(4L)	38	18	9	5	3
<u>N(3L)</u>	307	143	71	38	22
N(2L)	920	429	212	115	65
<u>→ same-sign 2L</u>	307	143	71	38	22
N(1L)	12.3k	572	283	153	86

*Smaller Standard Model background
is expected for same-sign 2L.*

Introduction: Heavy Neutrinos

- Heavy Majorana neutrinos: predicted by many models, particularly, the Left-Right Symmetry Model:
 - Incorporates right-handed gauge bosons W_R , or Z' , and the heavy right-handed Majorana neutrinos, N_ℓ ($\ell = e, \mu, \tau$), which can be the partners of the light neutrinos.
 - Light neutrino masses are generated via SeeSaw mechanism:
Neutrino oscillation indicates $M(\nu) > 0$, but this is not in the SM!
 - Explains parity violation in weak interactions.
 - Includes SM at ~ 1 TeV scale.
- In many SM extensions, $M(N_\ell) \sim 0.1 - 1$ TeV.

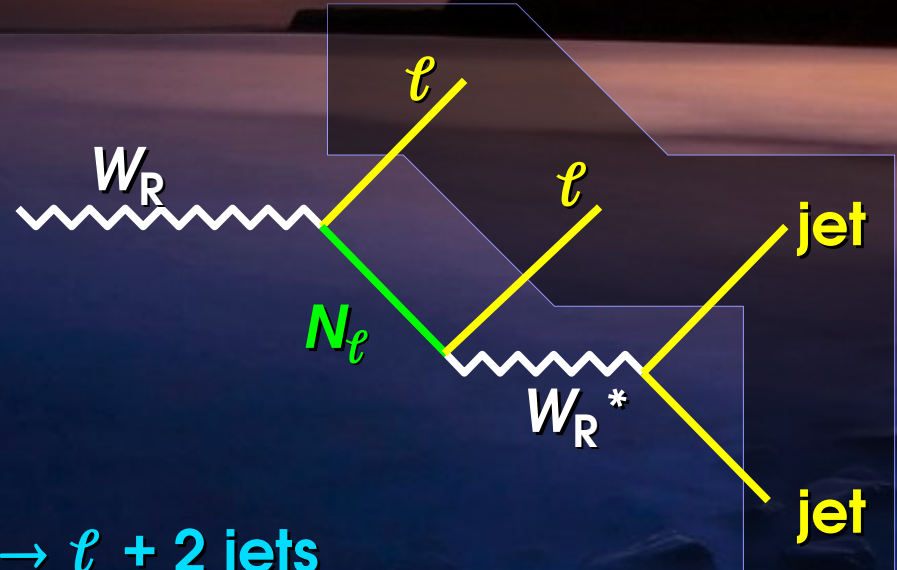
Perfect for searching these new particles at LHC & CMS!

Introduction: Heavy Neutrinos

The Model Parameters

- The key parameters: masses
 - $M(W_R)$ & $M(N_\ell)$ ($\ell = e, \mu, \tau$).
- Possible reaction:
 - $pp \rightarrow W_R + X \rightarrow N_\ell + \ell + X$
 - ↳ $\ell + W_R^* \rightarrow \ell + 2 \text{ jets}$
 - Produce a signature of a high p_T lepton pair (same flavor!) plus two high p_T jets.
- Best limit from D0: (Phys. Rev. Lett.100:211803,2008)
 - $M(W_R) > 739 \text{ GeV}/c^2$, if W_R decays to leptons + quarks
 - $M(W_R) > 768 \text{ GeV}/c^2$, if W_R decays to only quarks

The best limit on $M(W' \rightarrow e\nu)$ is 1.0 TeV from D0 direct searches.



The LHC

Large Hadron Collider
27 km circumference

Early phase:

$$L = 2 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$$

High luminosity phase:

$$L = 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$$

Lake Geneva

CMS

LHCb

ALICE

ATLAS

pp collision at 14 TeV, 7x w.r.t. Tevatron in terms of CM energy

The CMS Experiment

180 institutions
38 countries
~2000 authors

- Pixels
- Tracker
- ECAL
- HCAL
- MUON Dets.
- Superconducting Solenoid



Total weight : 12500 t
Overall diameter : 15 m
Overall length : 21.6 m
Magnetic field : 4 Tesla

Acceptance: Calorimetry $|\eta| < 5.0$, Tracking $|\eta| < 2.4$

The Analysis: $b' \rightarrow tW$ Searches

■ Data set assumption:

100 pb⁻¹ at 14 TeV recorded by the CMS detector.

■ Trigger: single “relax” electron trigger + single loose muon trigger.

■ Lepton selections:

→ **Electrons**: cut-based ID, isolated from tracks, $p_T > 20$ GeV/c.

→ **Muons**: must be isolated from tracks, $p_T > 20$ GeV/c.

Requiring exact **2L with the same charge**, or **3L** in the final state.

■ Jet selections: Iterative cone algorithm of 0.5 radius

→ **Same-sign 2L**: at least 4 or more jets $p_T > 35$ GeV/c.

→ **3L**: at least 2 or more jets $p_T > 35$ GeV/c.

■ Other requirements:

→ **Missing ET**: MET > 40 GeV.

→ **A Z-boson veto**: $|M(\ell^+\ell^-) - M_Z| > 10$ GeV/c².

→ **Objects isolation**: $\Delta R(\ell, \ell) > 0.3$ & $\Delta R(\ell, \text{jet}) > 0.3$

The Analysis: $b' \rightarrow tW$ Searches

Expected Yields @ 100/pb

b' Signal Assuming 100% $b' \rightarrow tW$

$M(b')$ (GeV)	300	400	500
N(3L)	23.6	7.6	2.9
N(same-sign 2L)	44.7	14.6	5.1
Sum	68.2	22.2	8.0
S/N	9.3	3.0	1.1

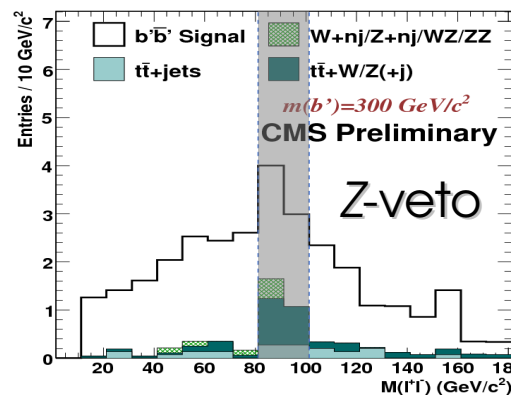
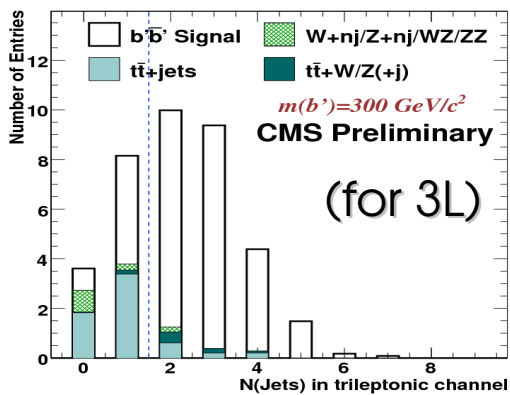
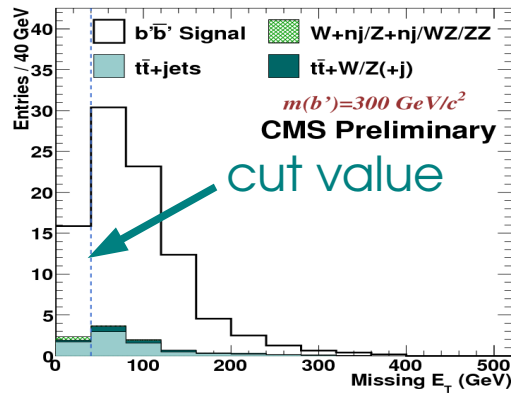
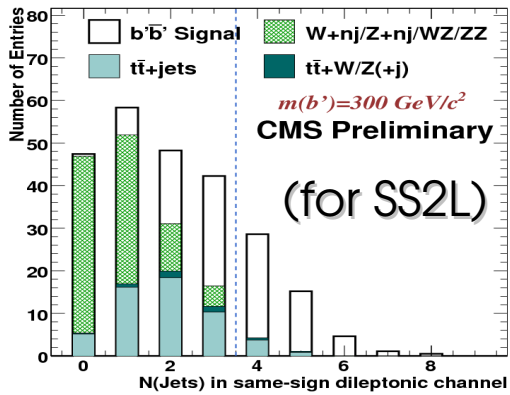
- The signal is very significant, high S/N with 300 GeV/ c^2 .
- Good sensitivity up to 400 GeV/ c^2 .
- Background is dominated by the **tt +jets** events.

Background Sources

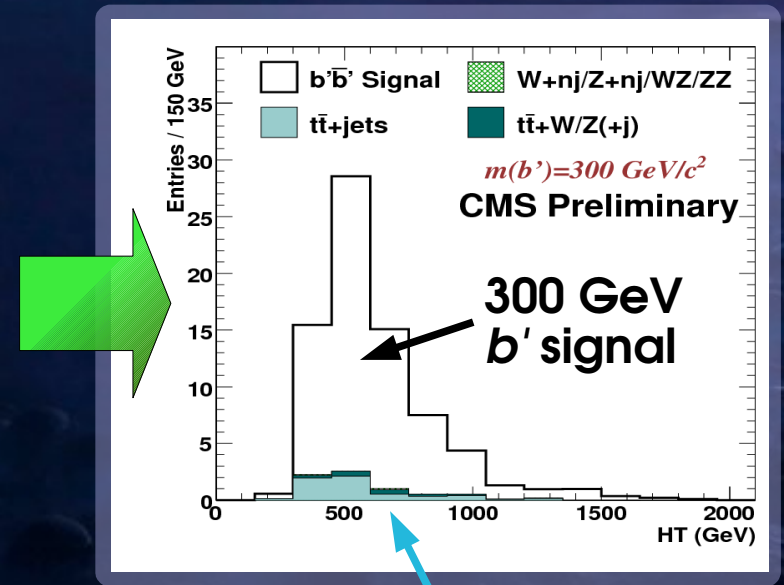
Process	$tt+nj$	$ttZ(+j)$	$ttW(+j)$	$ttWW$	Z/W+nj	WZ/ZZ	All
N(3L)	1.0	0.38	0.31	0.014	<1.4	0.21	1.9
N(same-sign 2L)	4.7	0.31	0.43	0.020	<1.4	<0.11	5.4
Sum	5.7	0.69	0.74	0.035	<1.4	0.21	7.3

QCD events are negligible (<0.3 events)

Resulting Figures (for 300 GeV/c² b')



Signal observable:
 $HT = \sum p_T(\text{jets}) + \sum p_T(\text{leps}) + MET$
 (carries mass information!)



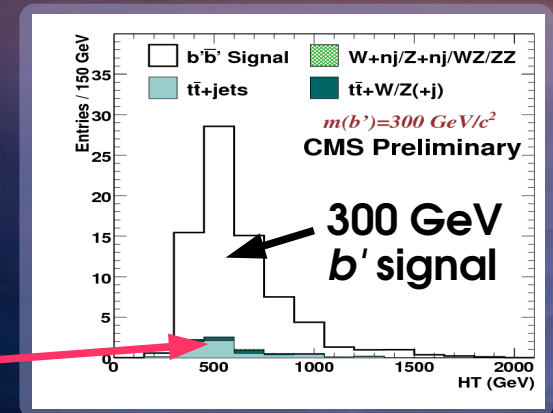
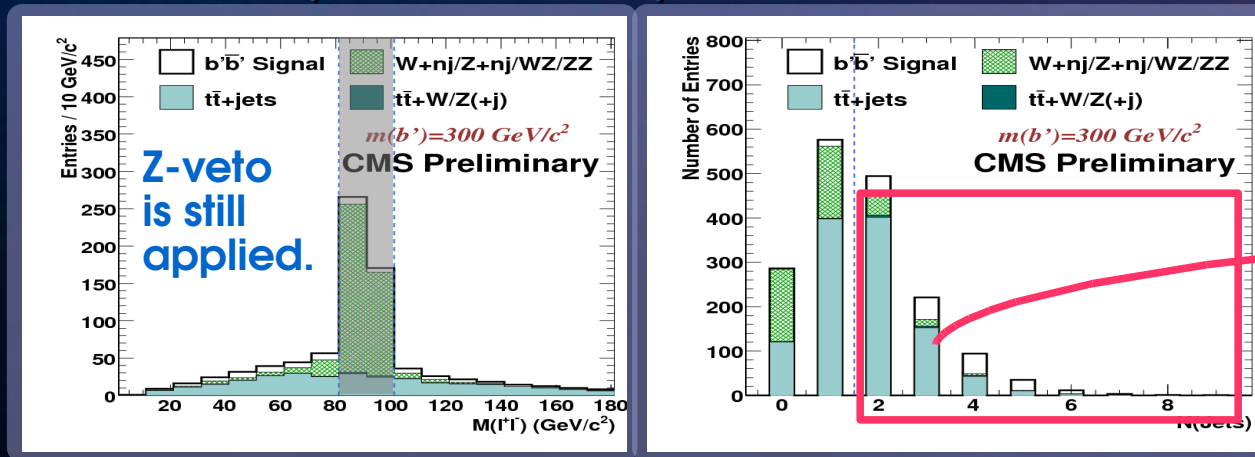
Histograms are normalized to 100/pb luminosity

Background, mainly tt+jets

Background Estimation with Data

- Background is normalized by the control sample:
Opposite sign 2L w/ the same jet requirement

(It's totally dominated by $t\bar{t}$ bar – as our wish!)



Signal Region

- Governed by the probability to
 - observe a sign-flipped lepton (become same-sign 2L)
 - find an extra (fake) lepton (become 3L)

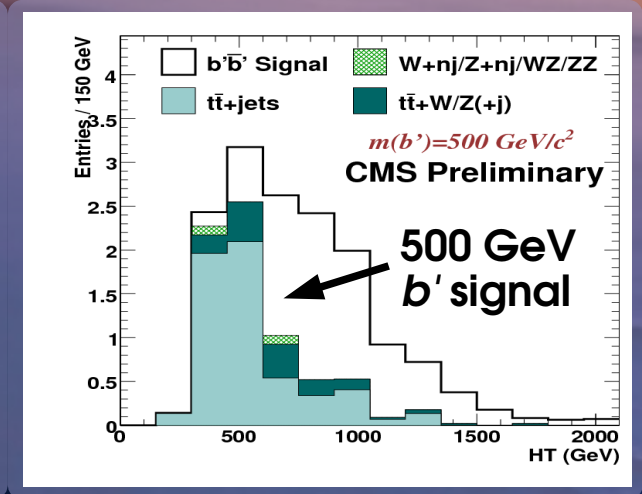
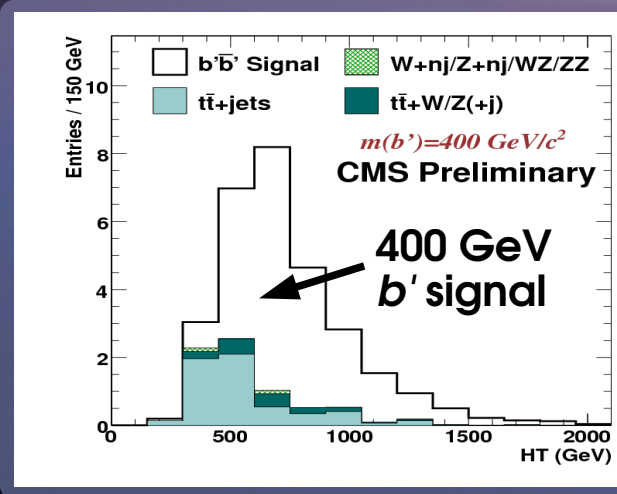
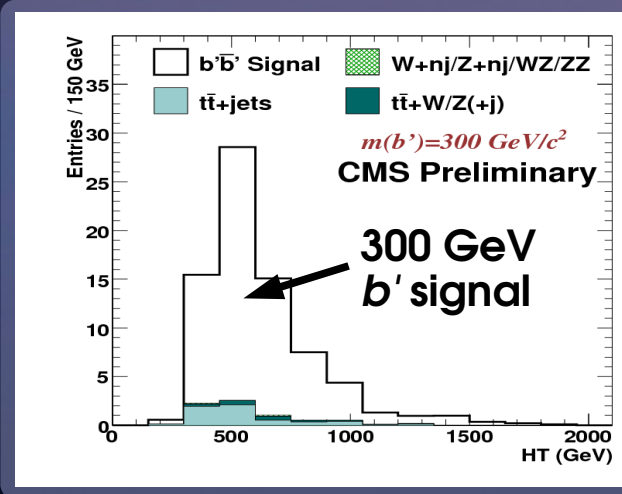
This is the dominant systematic error (17%~124%, depends on b' mass).

Other big errors are MET (21%~30%), Jet energy scale (11%~27%).

All the systematic uncertainties are determined assuming the early condition.

Counting Significance

HT Distributions for 300, 400, 500 GeV/c² b' signals



M(b') (GeV)	300	400	500
b'b LO cross section (pb)	34.9	8.05	2.45
Signal Yield	68.2	22.2	8.0
Background Yield		7.3 +10.5/-4.8	
Significance (stat.+syst.)	7.5σ	2.0σ	0.0σ

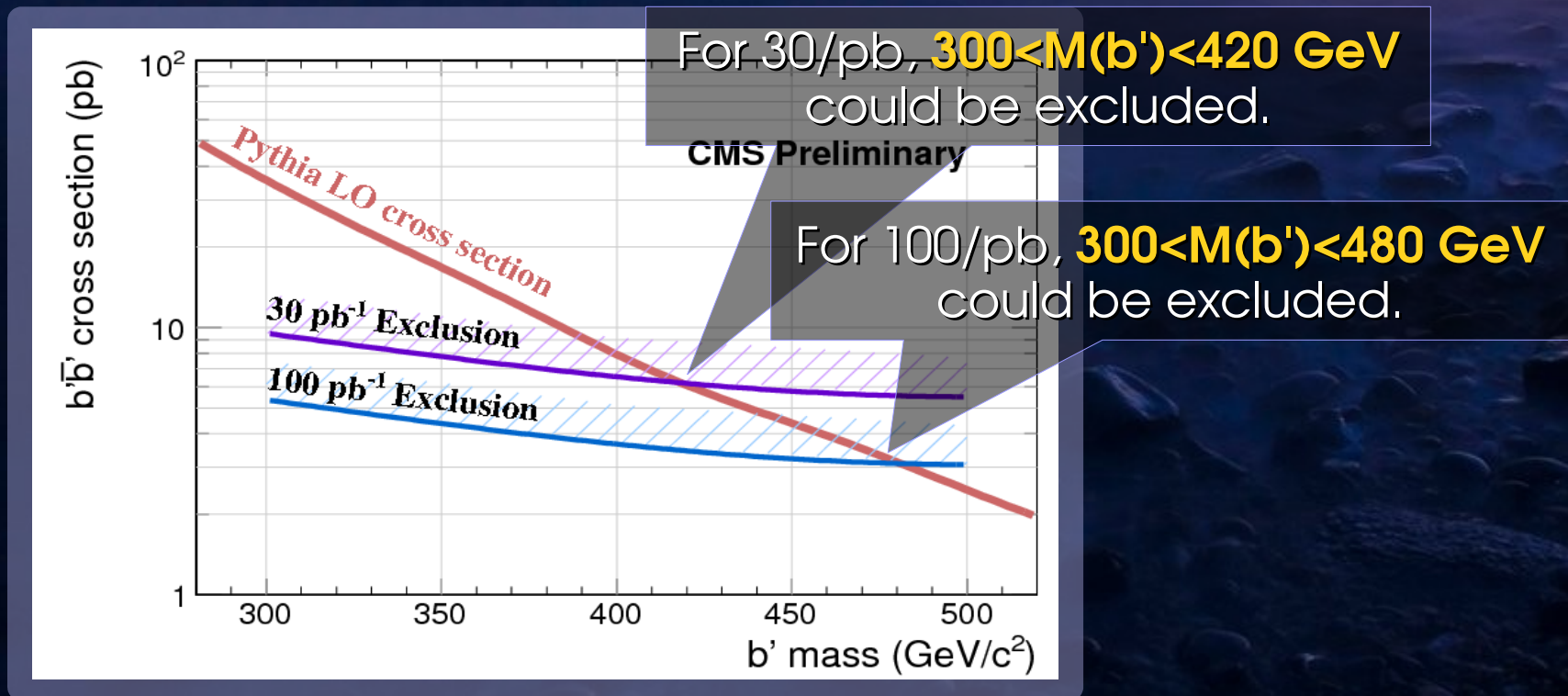
← Background is independent of b' mass.

Very significant (**7.5σ**) if $M(b') = 300 \text{ GeV}/c^2$.

Not significant at all for $500 \text{ GeV}/c^2$, since background error > signal.

Exclusion Limit

- In the case of **no signal observed in data**, we could set the exclusion limit accordingly at **95% C.L.**
- We use a Bayesian limit for null hypothesis tests, with all the systematic effects are included. By comparing to the Pythia LO X-secs:



The Analysis: Heavy Neutrinos

■ Data set assumption:

100 pb⁻¹ at 14 TeV recorded by the CMS detector.

■ Trigger:

→ Electron channel:

high p_T electron (80 GeV) or very high p_T electron (120 GeV).

→ Muon channel:

Isolated muon trigger.

■ Lepton selections:

Requiring at least 2 electrons or 2 muons, $M(\ell\ell) > 200 \text{ GeV}/c^2$.

(one with $p_T > 80 \text{ GeV}/c$, one with $p_T > 20 \text{ GeV}/c$.)

→ **Electrons:** cut-based ID, isolation using tracker & calorimeter.

→ **Muons:** track quality cuts, isolation using tracker & calorimeter.

■ Jet selections: Iterative cone algorithm of 0.5 radius

Requiring at least 2 jets, $p_T > 40 \text{ GeV}/c$.

The Analysis: Heavy Neutrinos

Reconstructed kinematic variables:

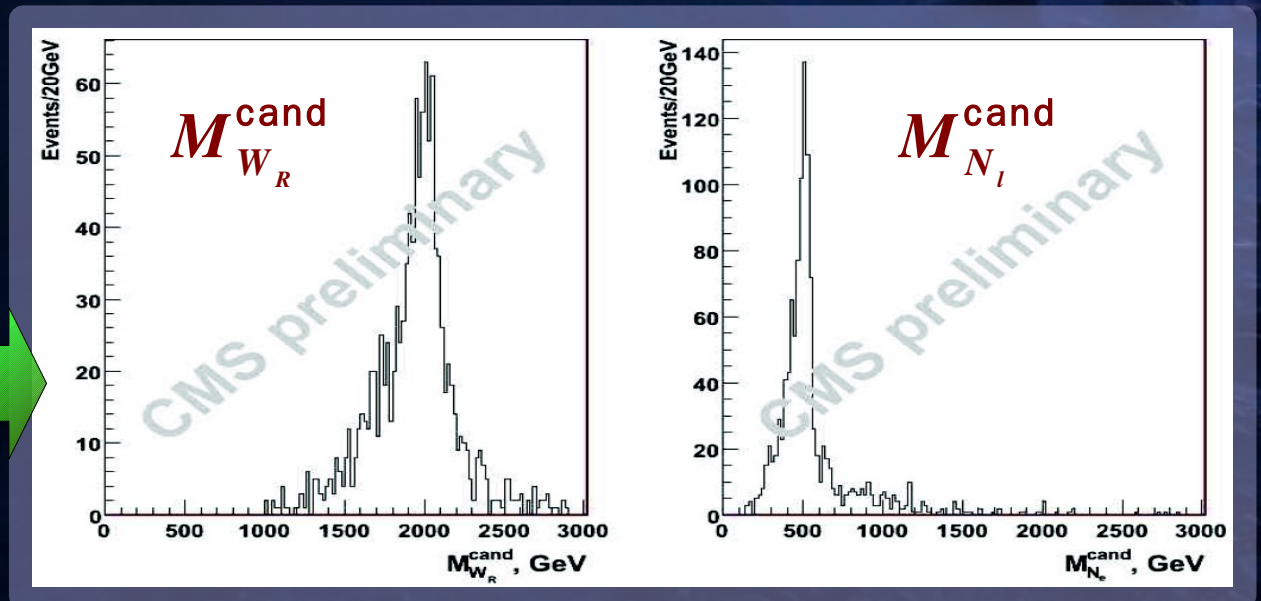
→ Right-handed W_R boson mass, $M_{W_R}^{\text{cand}}$:

The invariant mass of the combination, $\ell_1 \ell_2 J_1 J_2$.

→ Heavy neutrino mass, $M_{N_i}^{\text{cand}}$:

The invariant mass of $\ell_2 J_1 J_2$, where ℓ_2 is the “softer” lepton.

Example signal distributions simulated with $M(W_R) = 2 \text{ TeV}$ & $M(N_\ell) = 500 \text{ GeV}$, (electron channel)



The Analysis: Heavy Neutrinos

Expected Yields @ 100/pb

Signal reference point:

$$M(W_R) = 1.5 \text{ TeV}, M(N_\ell) = 500 \text{ GeV}$$

Electron channel

Process	Signal	tt	Z+nj	W+nj	γ +nj	QCD	WW	WZ	Others
Grand region	23	19	7.2	2.1	0.68	0.23	2.27	0.56	0.85
2D peak region	14	0.44	0.15	0.31	0	0	0.084	0	0.1

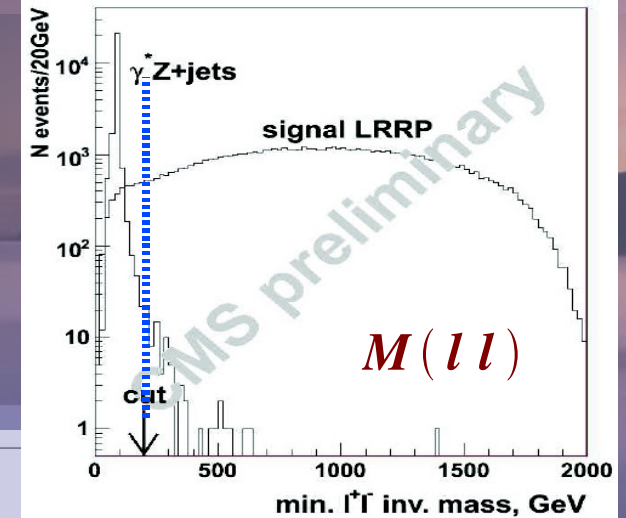
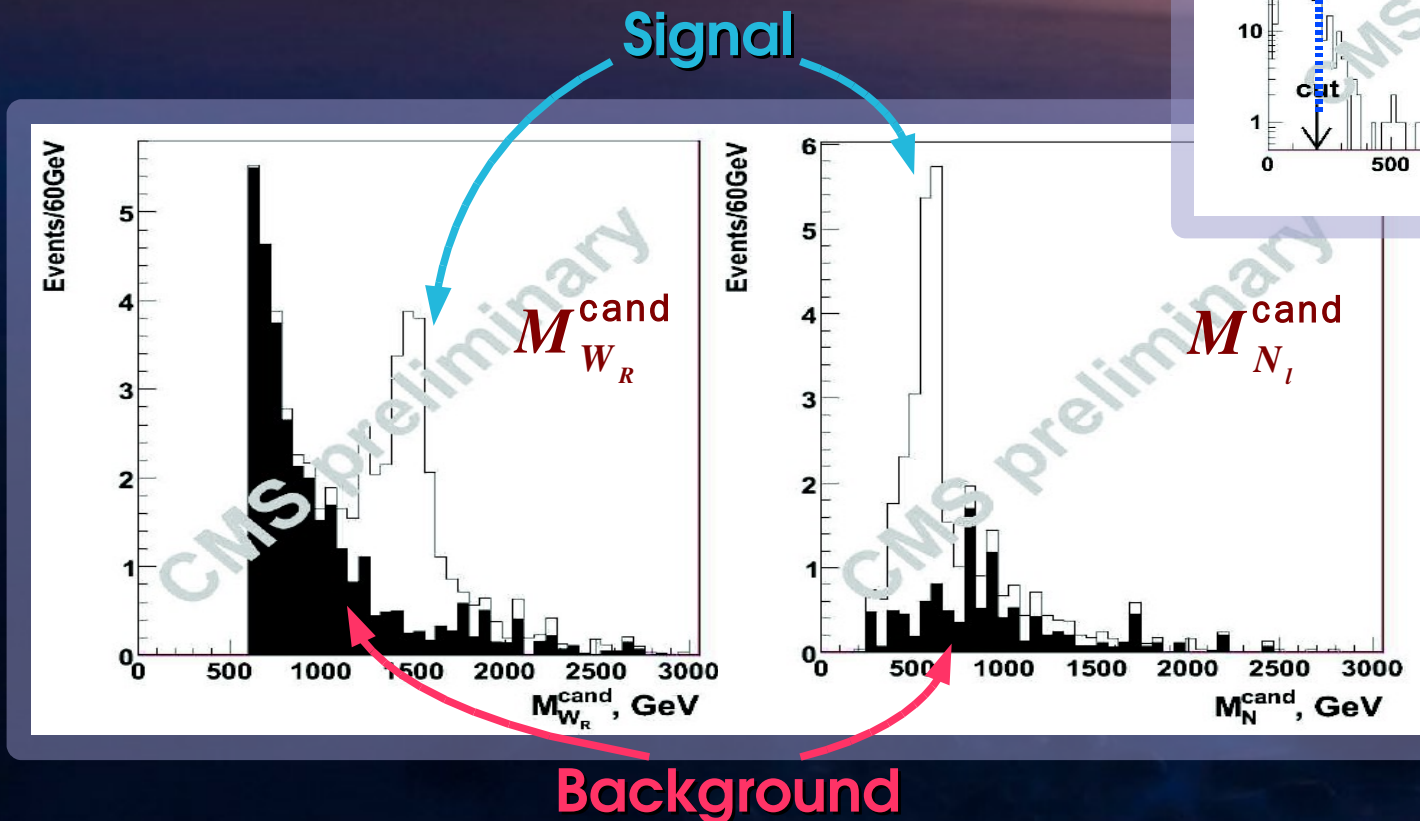
Muon channel

Process	Signal	tt	Z+nj	W+nj	γ +nj	QCD	WW	WZ	Others
Grand region	23	22	8.7	0.025	0	3.4	2.6	0.57	0.32
2D peak region	17.6	0.57	0.16	0	0	0	0.19	0	0

Grand region: $M_{W_R}^{\text{cand}} > 600 \text{ GeV}/c^2$

2D peak region: $1250 < M_{W_R}^{\text{cand}} < 1720 \text{ GeV}/c^2, 480 < M_{N_i}^{\text{cand}} < 710 \text{ GeV}/c^2$

Resulting Figures



- Background is checked by the control samples:
 - top events: selecting the cross flavor e - μ events.
 - Z+jets: relax the $M(l\bar{l})$ cut to 80 GeV/ c^2 .

The Fits & Results

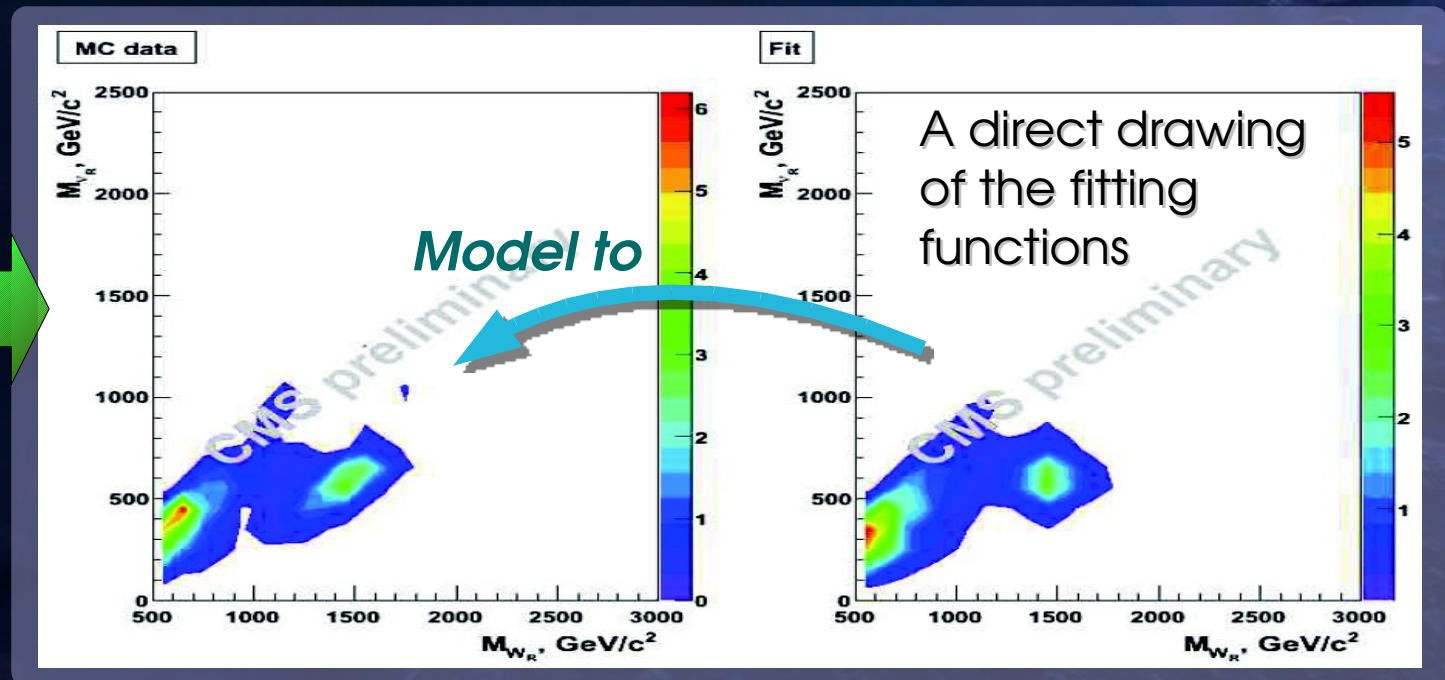
- Signal is extracted by a maximum likelihood fit in 2 dimensions:

$$P(M_{W_R}, M_{N_I}) = N_S \times BW(M_{W_R}) \times BW(M_{N_I}) + N_B \times P_B(M_{W_R}, M_{N_I})$$

The signal is modeled by two Breit-Wigners.
(mean are free, widths are quasi-free in the fits)

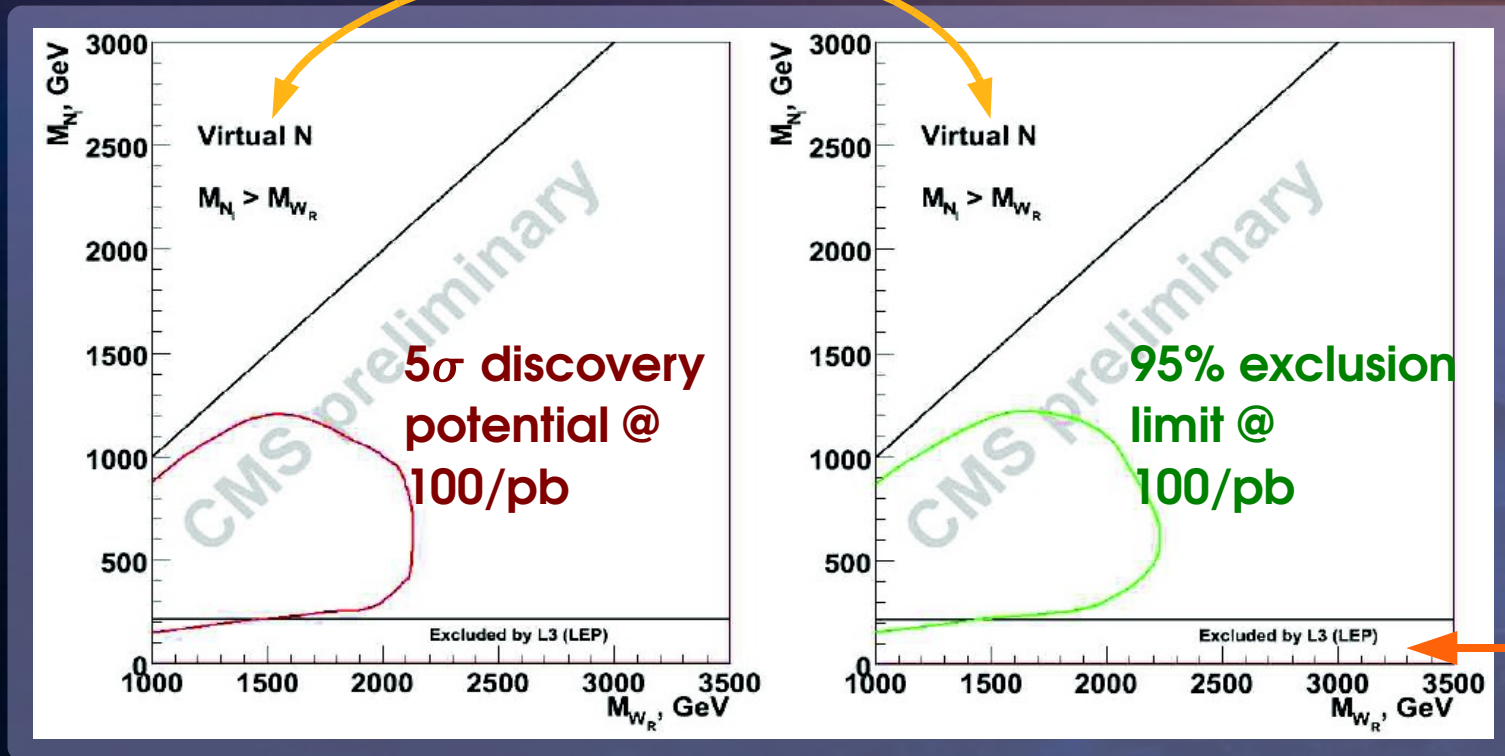
2D Background shape
from smooth histograms

Weighted sample
(a mixture of signal
and background
MC events)



The Fits & Results

We only consider $M(N_\ell) < M(W_R)$
at this moment.



- The limit is obtained by pseudo experiments.
- For the exclusion limit, systematic uncertainties are included in the consideration.

Summary & Conclusion

- We have performed two feasibility studies, assuming a data set of 100 pb⁻¹ at 14 TeV at CMS. The systematic uncertainties at early condition are considered.
- **Search for a 4th generation bottom-like quark, $b' \rightarrow tW$:**
 - If the b' quark is as light as 300 GeV/ c^2 , a **7.5 σ** discovery can be made using a simple counting experiment.
 - Or, we could exclude such $b' \rightarrow tW$ signal up to **$M(b') < 480$ GeV** at 95% confidence level if only SM processes observed.
- **The study for right-handed W -boson & heavy neutrinos:**
 - We analyzed the possibility of two leptons & two jets final states.
 - These new particles can be observed at the level of **5 σ** in the mass region that goes up to **$M(W_R) = 2.1$ TeV, $M(N_\ell) = 1.2$ TeV.**
- Looking forward to the first data from LHC.