

## I.) A Bit of History

$$
N(\theta)=\frac{N_{i} n t Z^{2} e^{4}}{\left(8 \pi \varepsilon_{0}\right)^{2} r^{2} K^{2}} * \frac{1}{\sin ^{4}(\theta / 2)}
$$



Rutherford Scattering, 1906
Using radioactive particle sources: $\alpha$-particles of some MeV energy


## 1.) Electrostatic Machines: The Cockcroft-Walton Generator

1928: Encouraged by Rutherford Cockcroft and Walton start the design \& construction of a high voltage generator to accelerate a proton beam

1932: First particle beam (protons) produced for nuclear reactions: splitting of Li-nuclei with a proton beam of 400 keV

$\left.\begin{array}{l}\text { Particle source: Hydrogen discharge tube } \\ \text { on } 400 \mathrm{kV} \text { level }\end{array}\right\} \begin{aligned} & \text { Accelerator: evacuated glas tube } \\ & \text { Target: Li-Foil on earth potential }\end{aligned}$
Technically: rectifier circuit, built of capacitors and diodes (Greinacher)
robust, simple, on-knob machines largely used in history as pre-accelerators for proton and ion beams
recently replaced by modern structures (RFQ)
2.) Electrostatic Machines:

## (Tandem -) van de Graaff Accelerator (1930 ...)

creating high voltages by mechanical transport of charges

* Terminal Potential: $U \approx 12$... 28 MV using high pressure gas to suppress discharge ( $\mathrm{SF}_{6}$ )


Problems: * Particle energy limited by high voltage discharges

* high voltage can only be applied once per particle ...
... or twice?

The ,,Tandem principle": Apply the accelerating voltage twice ...
... by working with negative ions (e.g. $H^{-}$) and stripping the electrons in the centre of the

Example for such a „steam engine": 12 MV-Tandem van de Graaff Accelerator at MPI Heidelberg


## 3.) The first RF-Accelerator: "Linac"

## 1928, Wideroe: how can the acceleration voltage be applied several times

 to the particle beamschematic Layout:


Energy gained after n acceleration gaps

$$
E_{n}=n * q * U_{0} * \sin \psi_{s}
$$

$\boldsymbol{n}$ number of gaps between the drift tubes $\boldsymbol{q}$ charge of the particle
$\boldsymbol{U}_{\boldsymbol{0}}$ Peak voltage of the RF System
$\boldsymbol{\Psi}_{S}$ synchronous phase of the particle

[^0]
## Wideroe-Structure: the drift tubes

shielding of the particles during the negative half wave of the RF
$U_{0}$



Alvarez-Structure: 1946, surround the whole structure by a rf vessel

Energy: $\approx 20$ MeV per Nucleon $\beta \approx 0.04$... 0.6, Particles: Protons/Ions

$$
\begin{aligned}
& E_{\text {total }}=988 \mathrm{MeV} \\
& m_{0} c^{2}=938 \mathrm{MeV} \\
& p=310 \mathrm{MeV} / \mathrm{c} \\
& E_{\text {kin }}=50 \mathrm{MeV}
\end{aligned}
$$

## Beam energies



Energy Gain per „Gap":

$$
\boldsymbol{W}=\boldsymbol{q} \boldsymbol{U}_{0} \sin \omega_{\boldsymbol{R} \boldsymbol{F}} \boldsymbol{t}
$$

## 1.) reminder of some relativistic formula

$$
\begin{array}{ll}
\text { rest energy } & E_{0}=m_{0} c^{2} \\
\text { total energy } & E=\gamma^{*} E_{0}=\gamma^{*} m_{0} c^{2} \quad \text { momentum } \quad E^{2}=c^{2} p^{2}+m_{0}{ }^{2} c^{4} \\
\text { kinetic energy } & E_{k i n}=E_{\text {total }}-m_{0} c^{2}
\end{array}
$$

## 4.) The Cyclotron: (Livingston / Lawrence ~1930)

Idea: Bend a Linac on a Spiral Application of a constant magnetic field keep $B=$ const, $R F=$ const
$\rightarrow$ Lorentzforce

$$
\vec{F}=q *(\vec{v} \times \vec{B})=q * v * B
$$


increasing momentum $\rightarrow$ Spiral Trajectory
revolution frequency

$$
\omega_{z}=\frac{q}{m} * B_{z}
$$

the cyclotron (rf-) frequency
is independent of the momentum

## Cyclotron:

! $\omega$ is constant for a given $q \& B$
$!!B^{*} R=p / q$ large momentum $\rightarrow$ huge magnet
!!!! $\omega \sim 1 / m \neq$ const works properly only for non relativistic particles


Application:
Work horses for medium energy protons
Proton / Ion Acceleration up to $\approx 60 \mathrm{MeV}$ (proton energy) nuclear physics
radio isotope production, proton / ion therapy

## II.) A Bit of Theory

die grossen Speicherringe: „Synchrotrons"


## 1.) Introduction and Basic Ideas

"... in the end and after all it should be a kind of circular machine"
$\rightarrow$ need transverse deflecting force

Lorentz force

$$
\vec{F}=q^{*}(*+\vec{v} \times \vec{B})
$$

typical velocity in high energy machines:

$$
v \approx c \approx 3 * 10^{8} \mathrm{~m} / \mathrm{s}
$$

Example:

$$
\begin{gathered}
B=1 T \rightarrow \quad F=q * 3 * 10^{8} \frac{\mathrm{~m}}{\mathrm{~s}} * 1 \frac{V s}{\mathrm{~m}^{2}} \\
F=q * \underbrace{300 \frac{M V}{m}} \\
\begin{array}{l}
\text { equivalent } E \\
\text { electrical field: }
\end{array}
\end{gathered}
$$

technical limit for el. field: $>$

$$
E \leq 1 \frac{M V}{m}
$$

## old greek dictum of wisdom:

if you are clever, you use magnetic fields in an accelerator wherever it is possible.

The ideal circular orbit

circular coordinate system
condition for circular orbit:

$$
\begin{array}{ll}
\text { Lorentz force } & \boldsymbol{F}_{L}=\boldsymbol{e} v \boldsymbol{B} \\
\text { centrifugal force } & \boldsymbol{F}_{\text {centr }}=\frac{\gamma \boldsymbol{m}_{0} v^{2}}{\rho} \\
& \left.\frac{\gamma m_{0} v^{2}}{\rho}=\boldsymbol{e}\right\rangle \boldsymbol{B}
\end{array}
$$

$$
\begin{aligned}
& \frac{\boldsymbol{p}}{\boldsymbol{e}}=\boldsymbol{B} \rho \\
& \boldsymbol{B} \rho=\text { "beam rigidity" }
\end{aligned}
$$

## 2.) The Magnetic Guide Field

## Dipole Magnets:

define the ideal orbit
homogeneous field created by two flat pole shoes

$$
B=\frac{\mu_{0} n I}{h}
$$

Normalise magnetic field to momentum:
convenient units:

$$
\frac{p}{e}=B \rho \quad \longrightarrow \quad \frac{1}{\rho}=\frac{e B}{p} \quad B=[T]=\left[\frac{V s}{m^{2}}\right] \quad p=\left[\frac{G e V}{c}\right]
$$

Example LHC:

$$
\left.\begin{array}{l}
\boldsymbol{B}=8.3 \boldsymbol{T} \\
\boldsymbol{p}=7000 \frac{\boldsymbol{G e V}}{\boldsymbol{c}}
\end{array}\right\}
$$

$$
\begin{aligned}
\frac{1}{\rho} & =\boldsymbol{e} \frac{8.3 \mathrm{~V} / \boldsymbol{m}^{2}}{7000 * 10^{9} \boldsymbol{e V} / \mathrm{c}}=\frac{8.3 \mathrm{~s} * 3 * 10^{8} \mathrm{~m} / \mathrm{s}}{7000 * 10^{9} \mathrm{~m}^{2}} \\
\frac{1}{\rho} & =0.333 \frac{8.3}{7000} 1 / \boldsymbol{m}
\end{aligned}
$$

## The Magnetic Guide Field



$$
\begin{aligned}
\rho=2.53 \mathrm{~km} \quad \longrightarrow \quad 2 \pi \rho & =17.6 \mathrm{~km} \\
& \approx 66 \%
\end{aligned}
$$

rule of thumb: $\quad \frac{1}{\rho} \approx 0.3 \frac{B[T]}{p[G e V / c]}$
2.) Focusing Properties - Transverse Beam Optics

$$
\overline{F(t)}=\underbrace{q(\overline{E(t)}}_{\mathrm{F}_{\mathrm{E}}}+\overline{v(t)} \underbrace{\otimes \overline{B(t)}}_{\mathrm{F}_{\mathrm{B}}})
$$

Linear Accelerator


Circular Accelerator


## 2.) Focusing Properties - Transverse Beam Optics

## classical mechanics: pendulum


there is a restoring force, proportional
to the elongation $x$ :

$$
m * \frac{d^{2} x}{d t^{2}}=-c * x
$$

general solution: free harmonic oszillation

$$
x(t)=A^{*} \cos (\omega t+\varphi)
$$

Storage Ring: we need a Lorentz force that rises as a function of the distance to $\qquad$
$\qquad$ the design orbit

$$
F(x)=q^{*} v^{*} B(x)
$$

## Quadrupole Magnets:

required: focusing forces to keep trajectories in vicinity of the ideal orbit
linear increasing Lorentz force
linear increasing magnetic field

$$
B_{y}=g \boldsymbol{x} \quad B_{x}=g \boldsymbol{y}
$$

normalised quadrupole field:
$\qquad$

$$
k=\frac{g}{p / e}
$$

simple rule:

$$
k=0.3 \frac{g(\boldsymbol{T} / \boldsymbol{m})}{p(\boldsymbol{G e} V / c)}
$$



LHC main quadrupole magnet

$$
\boldsymbol{g} \approx 25 \ldots 220 \boldsymbol{T} / \boldsymbol{m}
$$

what about the vertical plane:
... Maxwell

$$
\vec{\nabla} \times \overrightarrow{\mathrm{B}}=\overrightarrow{\mathrm{X}}+\frac{\partial \overrightarrow{\mathrm{E}} / \mathrm{t}}{\partial \mathrm{t}}=0 \quad \Rightarrow \quad \frac{\partial B_{y}}{\partial x}=\frac{\partial B_{x}}{\partial y}=g
$$

## Focusing forces and particle trajectories:

normalise magnet fields to momentum
(remember: $\boldsymbol{B} \boldsymbol{*} \boldsymbol{\rho}=\boldsymbol{p} / \boldsymbol{q}$ )

Dipole Magnet

$$
\frac{B}{p / q}=\frac{B}{B \rho}=\frac{1}{\rho}
$$

Quadrupole Magnet

$$
k:=\frac{g}{p / q}
$$



## 3.) The Equation of Motion:

$$
\frac{B(x)}{p / e}=\frac{1}{\rho}+k x+\frac{1}{2!} m\left(x^{2}+\frac{1}{3!}\right) / x^{3}+\ldots
$$

only terms linear in $x, y$ taken into account
dipole fields quadrupole fields


Separate Function Machines:

Split the magnets and optimise them according to their job:
bending, focusing etc

Example:
heavy ion storage ring TSR

## The Equation of Motion:

* 

Equation for the horizontal motion:

$$
x^{\prime \prime}+x\left(\frac{1}{\rho^{2}}+k\right)=0
$$


$x=$ particle amplitude
$x^{\prime}=$ angle of particle trajectory (wrt ideal path line)
$*$
Equation for the vertical motion:

$$
\begin{gathered}
\frac{1}{\rho^{2}}=0 \quad \text { no dipoles ... in general ... } \\
\boldsymbol{k} \leftrightarrow-\boldsymbol{k} \quad \text { quadrupole field changes sign } \\
y^{\prime \prime}-k y=0
\end{gathered}
$$



## 4.) Solution of Trajectory Equations

Define ... hor. plane: $K=1 / \rho^{2}+k$
... vert. Plane: $K=-k$

$$
x^{\prime \prime}+\boldsymbol{K} x=0
$$

Differential Equation of harmonic oscillator ... with spring constant $K$

Ansatz: Hor. Focusing Quadrupole $K>0$ :

$$
\begin{aligned}
& x(s)=x_{0} \cdot \cos (\sqrt{|K|} s)+x_{0}^{\prime} \cdot \frac{1}{\sqrt{|K|}} \sin (\sqrt{|K|} s) \\
& x^{\prime}(s)=-x_{0} \cdot \sqrt{|K|} \cdot \sin (\sqrt{|K|} s)+x_{0}^{\prime} \cdot \cos (\sqrt{|K|} s)
\end{aligned}
$$



For convenience expressed in matrix formalism:

$$
\binom{x}{x^{\prime}}_{s 1}=M_{f o c} *\binom{x}{x^{\prime}}_{s 0}
$$

$$
\boldsymbol{M}_{f o c}=\left(\begin{array}{cc}
\cos (\sqrt{|\boldsymbol{K}|}) & \frac{1}{\sqrt{\mid \boldsymbol{K}} \mid} \sin (\sqrt{|\boldsymbol{K}|} l \\
-\sqrt{|\boldsymbol{K}|} \sin (\sqrt{|\boldsymbol{K}|}) & \cos (\sqrt{|\boldsymbol{K}|})
\end{array}\right)
$$

hor. defocusing quadrupole:

$$
\boldsymbol{x}^{\prime \prime}-\boldsymbol{K} \boldsymbol{x}=0
$$



Ansatz: Remember from school

$$
x(s)=a_{1} \cdot \cosh (\omega s)+a_{2} \cdot \sinh (\omega s)
$$

$$
M_{\text {def oc }}=\left(\begin{array}{cc}
\cosh \sqrt{|K|} l & \frac{1}{\sqrt{|K|}} \sinh \sqrt{|K|} l \\
\sqrt{|K|} \sinh \sqrt{|K|} l & \cosh \sqrt{|K|} l
\end{array}\right)
$$

drift space:

$$
K=0
$$

$$
x(s)=x_{0}^{\prime} * s
$$

$$
M_{d r i f t}=\left(\begin{array}{ll}
1 & l \\
0 & 1
\end{array}\right)
$$

! with the assumptions made, the motion in the horizontal and vertical planes are independent , ... the particle motion in $x \& y$ is uncoupled"

Transformation through a system of lattice elements
combine the single element solutions by multiplication of the matrices
$M_{\text {total }}=M_{Q F} * M_{D} * M_{Q D} * M_{B e n d} * M_{D^{*} \ldots . .}$.

$$
\binom{x}{x^{\prime}}_{s 2}=M\left(s_{2}, s_{1}\right) *\binom{x}{x^{\prime}}_{s 1}
$$


in each accelerator element the particle trajectory corresponds to the movement of a harmonic oscillator, ,
typical values in a strong foc. machine:


## LHC Operation: Beam Commissioning

First turn steering "by sector:"
aOne beam at the time $\square$ Beam through 1 sector ( $1 / 8$ ring), correct trajectory, open collimator and move on.

... or a third one or ... $10^{10}$ turns


## The Beta Function: Lattice Design \& Beam Optics



## Beam Emittance and Phase Space Ellipse

$$
\varepsilon=\gamma(s) * x^{2}(s)+2 \alpha(s) x(s) x^{\prime}(s)+\beta(s) x^{\prime}(s)^{2}
$$


$\varepsilon$ beam emittance $=$ woozilycity of the particle ensemble, intrinsic beam parameter, cannot be changed by the foc. properties.
Scientifiquely spoken: area covered in transverse $x, x^{\prime}$ phase space ... and it is constant !!!!

## Emittance of the Particle Ensemble:



$$
\text { Particle Distribution: } \quad \rho(x)=\frac{N \cdot e}{\sqrt{2 \pi} \sigma_{x}} \cdot e^{-\frac{1}{2} \frac{x^{2}}{\sigma_{x}^{2}}}
$$

particle at distance $1 \sigma$ from centre
$\leftrightarrow 68.3 \%$ of all beam particles
single particle trajectories, $N \approx 10{ }^{11}$ per bunch

$$
\begin{array}{ll}
L H C: & \beta=180 \mathrm{~m} \\
& \varepsilon=5 * 10^{-10} \mathrm{mrad}
\end{array}
$$

$$
\sigma=\sqrt{\varepsilon^{*} \beta}=\sqrt{5^{*} 10^{-10} \mathrm{~m}^{*} 180 \mathrm{~m}}=0.3 \mathrm{~mm}
$$



aperture requirements: $r_{0}=17 * \sigma$

## 10.) Luminosity

$R=L^{*} \Sigma_{\text {react }}$


Example: Luminosity run at LHC

$$
\begin{array}{ll}
\beta_{x, y}=0.55 \mathrm{~m} & \boldsymbol{f}_{0}=11.245 \mathrm{kHz} \\
\varepsilon_{x, y}=5 * 10^{-10} \mathrm{rad} \boldsymbol{m} & n_{b}=2808 \\
\sigma_{x, y}=17 \mu \mathrm{~m}
\end{array} \quad \boldsymbol{L}=\frac{1}{4 \pi \boldsymbol{e}^{2} \boldsymbol{f}_{0} \boldsymbol{n}_{b}} * \frac{\boldsymbol{I}_{\boldsymbol{p} 1} \boldsymbol{I}_{\boldsymbol{p} 2}}{\sigma_{\boldsymbol{x}} \sigma_{y}}
$$

$$
I_{p}=584 m A
$$

$$
L=1.0 * 10^{34} 1 / \mathrm{cm}^{2} s
$$

## Luminosity optimization

$$
L=\frac{N_{1} N_{2} f_{r e v} N_{b}}{2 \pi \sqrt{\sigma_{1 x}^{2}+\sigma_{2 x}^{2}} \sqrt{\sigma_{1 y}^{2}+\sigma_{2 y}^{2}}} F \cdot W
$$

$N_{i}=$ number of protons/bunch $\mathrm{Nb}=$ number of bunches
$f_{r e v}=$ revolution frequency
$\sigma \mathrm{ix}=$ beam size along x for beam i
oiy = beam size along y for beam i
$F$ is a pure crossing angle $(\Phi)$ contribution:

$$
F=\frac{1}{\sqrt{1+2 \frac{\sigma_{s}^{2}}{\sigma_{1 x}^{2}+\sigma_{2 x}^{2}} \tan ^{2} \frac{\phi}{2}}} \quad F \mathrm{LHC}=0.836 \quad \text {... cannot be avoided }
$$

$25 n s$

## $W$ is a pure beam offset contribution.

... can be avoided by careful tuning

$$
\boldsymbol{W}=\boldsymbol{e}^{-\frac{\left(d_{2}-d_{1}\right)^{2}}{2\left(\sigma_{x 1}^{2}+\sigma_{x 2}^{2}\right)}}
$$



## 13.) The Acceleration

Where is the acceleration?
Install an RF accelerating structure in the ring:

B. Salvant
N. Biancacci

## 14.) The Acceleration for $\Delta p / p \neq 0$ "Phase Focusing" below transition

ideal particle •
particle with $\Delta p / p>0$
particle with $\Delta p / p<0$ • slower


Focussing effect in the longitudinal direction keeping the particles close together ... forming a"bunch"
oscillation frequency: $f_{s}=f_{\text {ree }} \sqrt{-\frac{h \alpha_{s}}{2 \pi} * \frac{q U_{0} \cos \phi_{s}}{E_{s}}} \approx$ some Hz
... so sorry, here we need help from Albert:

$$
\gamma=\frac{E_{\text {total }}}{m c^{2}}=\frac{1}{\sqrt{1-\frac{v^{2}}{c^{2}}}} \longrightarrow \frac{v}{c}=\sqrt{1-\frac{m c^{2}}{E^{2}}}
$$

$v / c$


... some when the particles do not get faster anymore
.... but heavier !
kinetic energy of a proton

## 15.) The Acceleration for $\Delta p / p \neq 0$ "Phase Focusing" above transition

ideal particle
particle with $\Delta p / p>0$ - heavier
particle with $\Delta p / p<0 \bullet \quad$ lighter


Focussing effect in the longitudinal direction
keeping the particles close together ... forming a "bunch"
... and how do we accelerate now ??? with the dipole magnets!

## The RF system: IR4



Nb on Cu cavities@4.5K (=LEP2)
Beam pipe diam. $=300 \mathrm{~mm}$

| Bunch length (4б) | ns | 1.06 |
| :--- | :--- | :---: |
| Energy spread (2б) | $10^{-3}$ | 0.22 |
| Synchr. rad. loss/turn | keV | 7 |
| Synchr. rad. power | kW | 3.6 |
| RF frequency | M | 400 |
|  | Hz |  |
| Harmonic number |  | 35640 |
| RF voltage/beam | MV | 16 |
| Energy gain/turn | keV | 485 |
| Synchrotron <br> frequency | Hz | 23.0 |
|  |  |  |

## LHC Operation: Collisions at 3.5 TeV per beam





[^0]:    * acceleration of the proton in the first gap
    * voltage has to be "flipped" to get the right sign in the second gap $\rightarrow$ RF voltage
    $\rightarrow$ shield the particle in drift tubes during the negative half wave of the RF voltage

