

# Holography and Hadron Physics II

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New Trends – Natal

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# Plan

## 1. Holographic engineering

- String theory and holographic correspondence
- Gauge sector
- Matter sector
- Sakai-Sugimoto model
- Klebanov-Strassler model

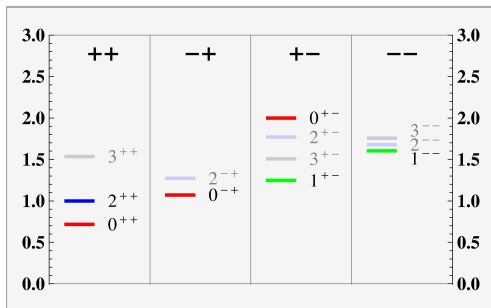
## 2. Holographic phenomenology

- Glueballs (spectrum)
- Mesons (spectrum/couplings)
- Baryons (couplings/nuclear force/finite density)

# Glueballs

# Glueballs

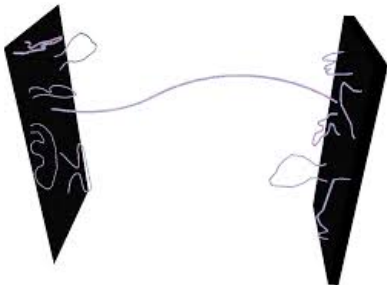
Lattice data



Spectrum of a pure glue  $SU(3)$  YM was computed on a lattice by Morningstar and Peardon '99. SYM? Fermion sign problem

# Glueballs

Closed strings



Closed strings don't have to be localized on branes. Glueballs are described by fluctuations of the bulk gravity fields

# Glueballs

$2^{++}$  state

Spectra of particles are given by the poles of the 2-point functions

$$2^{++} : \quad \langle T_{\mu\nu}(p) T_{\rho\eta}(0) \rangle$$

In holography these can be computed as normal modes of the fluctuations of the gravity fields:

$$\begin{aligned} T_{\mu\nu} &\longleftrightarrow g_{\mu\nu} \\ g_{\mu\nu} &\longrightarrow g_{\mu\nu} + h_{\mu\nu} \end{aligned}$$

Need to solve linearized gravity equations

$$\left( \frac{1}{\sqrt{-g}} \partial_z \sqrt{-g} g^{zz} \partial_z - m^2 \right) h_{ij} = 0$$

# Glueballs

## Type IIB SUGRA

For Klebanov-Strassler find the normal modes of the linearized eqns

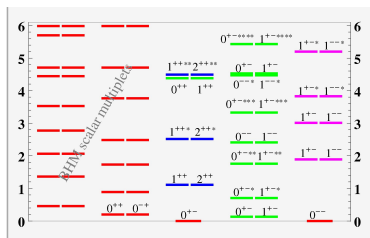
$$R_{MN} = \frac{1}{2} \partial_M \Phi \partial_N \Phi + \frac{1}{2} e^{2\Phi} \partial_M C_0 \partial_N C_0 + \frac{1}{4} \left( e^{-\Phi} H_{MPQ} H_N{}^{PQ} + e^\Phi \tilde{F}_{MPQ} \tilde{F}_N{}^{PQ} \right) - \frac{1}{48} g_{MN} \left( e^{-\Phi} H_{PQR} H^{PQR} + e^\Phi \tilde{F}_{PQR} \tilde{F}^{PQR} \right) + \frac{1}{96} \tilde{F}_{MPQRS} \tilde{F}_N{}^{PQRS}$$

$$\begin{aligned} d \star d\Phi &= e^{2\Phi} dC_0 \wedge \star dC_0 - \frac{1}{2} e^{-\Phi} H_3 \wedge \star H_3 + \frac{1}{2} e^\Phi \tilde{F}_3 \wedge \star \tilde{F}_3 \\ d(e^{2\Phi} dC_0) &= -e^\Phi H_3 \wedge \star \tilde{F}_3, \quad d(e^\Phi \star \tilde{F}_3) = F_5 \wedge H_3 \\ \star \tilde{F}_5 &= \tilde{F}_5, \quad d \star (e^{-\Phi} H_3 - e^\Phi C_0 \tilde{F}_3) = -F_5 \wedge F_3 \end{aligned}$$

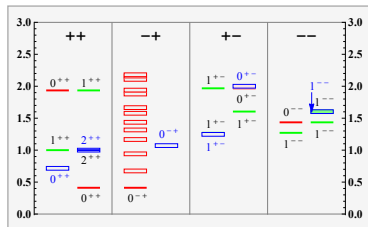
A bit simpler task for Witten-Sakai-Sugimoto model

# Glueballs

## Spectrum in Klebanov-Strassler model



$m^2$



$m$ : KS vs  $SU(3)$

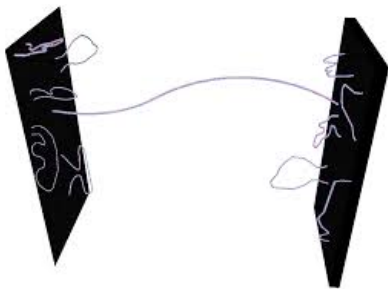
It would be nice to compare with lattice predictions



# Mesons in Sakai-Sugimoto

# Mesons

Open strings



Mesons come from the open string sector. They are fluctuations of the D-brane fields

# Mesons

Effective action

DBI action

$$S_{\text{DBI}} = -(2\pi\alpha')^{-9/2} \int_{D8} d^9x e^{-\phi} \text{Tr} \sqrt{-\det(g_{ab} + 2\pi\alpha' \mathcal{F}_{ab})}$$

$g_{ab}$  is an induced metric. Changing profile  $x_4(u)$ , changes  $g_{ab}$

Chern-Simons actions

$$S_k = (2\pi\alpha')^{-9/2} \int_{D8} e^{2\pi\alpha' \mathcal{F}} \wedge C_3$$

# Mesons

Quadratic action for  $N_f = 1$

$\mathcal{A}_a$ ,  $a = 0, \dots, 8$ . Ignore non-singlets of  $SO(5)$ :  $\mathcal{A}_I = 0$ ,

$$\mathcal{A}_\mu(x, z) = \sum_n B_\mu^{(n)}(x) \psi^{(n)}(z), \quad \mathcal{A}_z(x, z) = \sum_n \varphi^{(n)}(x) \psi^{(n)}(z)$$

The quadratic part of the DBI action reads

$$S_{\text{DBI}} = - \int d^4x \left( \frac{1}{2} (\partial_\mu \varphi^{(0)})^2 + \sum_{n \geq 1} \frac{1}{4} (F_{\mu\nu}^{(n)})^2 + \frac{1}{2} m_n^2 M_{KK}^2 (B_\mu^{(n)})^2 \right)$$

where  $m_n^2$  are eigenvalues of a 2<sup>nd</sup> order diff operator for  $\psi^{(n)}$

Similarly for  $x_4(z)$

# Mesons

## Meson spectrum

- There is a massless pseudoscalar particle  $\varphi^{(0)}$
- A set vector and axial vector mesons

$$m_1^2 = 0.67^{--}, \quad m_2^2 = 1.6^{++}, \quad m_3^2 = 2.9^{--}, \quad \dots$$

- A tower of massive scalars

$$m_1^2 = 3.3^{--}, \quad m_2^2 = 5.3^{++}, \quad \dots$$

## Compare with known meson spectra

$$\frac{m_2^2}{m_1^2} = \frac{m_{a(1260)}^2}{m_\rho^2} = 2.4 \quad (2.51), \quad \frac{m_2^2}{m_1^2} = \frac{m_{a_0(1450)}^2}{m_\rho^2} = 4.9 \quad (3.61)$$

# Mesons

Effective action for  $N_f > 1$

Spectrum of mesons does not change for the  $U(N_f)$  case

Introducing  $U(x) = P \exp\left(\int_{-\infty}^{\infty} \mathcal{A}_z(x, z) dz\right)$  in the  $\mathcal{A}_z = 0$  gauge

$$S_{\text{DBI}} \propto \int d^4x \text{Tr} \left( A(U^{-1} \partial_\mu U)^2 + B[U^{-1} \partial_\mu U, U^{-1} \partial_\nu U]^2 \right)$$

This is a Skyrme model Lagrangian provided that

$$f_\pi^2 = \frac{1}{54\pi^2} M_{KK}^2 \lambda N_c, \quad e^2 = \frac{27\pi^7}{2b\lambda N_c}$$

Full Lagrangian also contains vector meson fields

# Mesons

## Meson couplings

Restricting to the lightest vector meson, one can derive

$$S_{\text{DBI}} = \int d^4x \left[ -a_\pi^2 \text{Tr} (\partial_\mu \pi)^2 + a_v^2 \left( \frac{1}{2} \text{Tr} (\partial_\mu v_\nu - \partial_\nu v_\mu)^2 + m_v^2 \text{Tr} v_\mu^2 \right) \right. \\ \left. + a_{v^3} \text{Tr} ([v_\mu, v_\nu] (\partial^\mu v^\nu - \partial^\nu v^\mu)) + a_{v\pi^2} \text{Tr} ([\partial_\mu \pi, \partial_\nu \pi] (\partial^\mu v^\nu - \partial^\nu v^\mu)) + \dots \right]$$

$$U(x) = e^{2i\pi(x)/f_\pi}$$

All the couplings are fixed in terms of  $f_\pi$  and masses:

$$f_\pi^2 a_{v^3} a_{v\pi^2} = 0.72 \quad (1), \quad m_v^2 a_{v\pi^2}^2 f_\pi^2 = 1.3 \quad (2)$$

# Baryons in Sakai-Sugimoto Model



# Baryons

## Strings and baryons

Baryon must correspond to a point where  $N_c$  strings can end (baryon vertex), joining  $N_c$  quarks on the opposite ends. Such objects must carry  $N_c$  units of charge. These can be D-branes wrapped on compact cycles. In a background with a flux of  $p$ -form through a  $p$ -cycle

$$\int_{C_p} F_p = N_c$$

$p$ -brane wrapped on the cycle picks a charge  $N_c$  from the  $U(1)$  field:

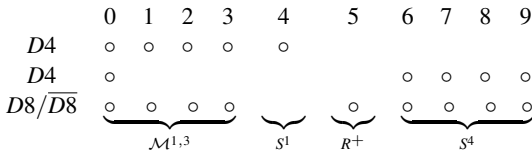
$$\int_{Dp} a \wedge F_p = N_c \int a$$

To compensate the excess of charge on the compact manifold, it must be carried away by  $N_c$  strings

# Baryons

## Baryons and instantons

In Sakai-Sugimoto model baryons are D4-branes wrapping  $S^4$



Strings that connect D4-baryon and D8-branes pull them towards each other. In the end D4-branes “dissolve” in the D8-brane and can be described as instantons of the gauge fields on the D8-branes

# Baryons

Effective action

- DBI action – generalization of the relativistic particle action

$$S_{\text{DBI}} = -\frac{1}{(2\pi)^p \alpha'^{\frac{p+1}{2}}} \int_{Dp} d^{p+1}x e^{-\Phi} \text{Tr} \sqrt{-\det(g + 2\pi\alpha' \mathcal{F})}$$

- Chern-Simons action – generalization of the Coulomb coupling

$$S_{\text{CS}} = \int_{Dp} C \wedge \exp(2\pi\alpha' \mathcal{F})$$

Here  $\mathcal{F} = d\mathcal{A} + \mathcal{A} \wedge \mathcal{A}$  are  $U(N)$  fields living on the  $Dp$  branes. For mesons we looked at  $\mathcal{F} = 0$  background. Baryons are solitons

# Baryons

Effective action ( $N_f = 2$ )

$$U(N_f) \text{ gauge fields: } \mathcal{A}_M = A_M + \frac{1}{2} \hat{A}_M$$

$$S = -\kappa \int d^5x \frac{1}{2g_{\text{YM}}^2(x_5)} \text{Tr} F_{MN}^2 + e \int \hat{A} \wedge \text{Tr} F \wedge F + S[\hat{A}]$$

$$\kappa = \frac{\lambda N_c}{216\pi^3}, \quad e = \frac{N_c}{15\pi^2}$$

This action is NLO in  $\alpha'$  ( $\lambda^{-1}$ )

# Baryons

## Dynamics

Baryons are subject to a Coulomb force mediated by  $\hat{A}_\mu$  field and to a gravitational potential

$$\frac{1}{g_{\text{YM}}^2(x_5)} \propto 1 + cM_{KK}^2 x_5^2 + O(M_{KK}^4 x_5^4)$$

It is consistent to look for solutions in  $1/\lambda$  expansion. In the leading order baryon is a BPS (BPST) instanton. Interactions are  $1/\lambda$  suppressed.

As a result of a competition between gravity force and Coulomb force, the size of the instanton-baryon stabilizes at

$$a \sim \frac{1}{\sqrt{\lambda} M_{KK}}$$

# Baryons

## Properties of baryons

- $m_B \sim \lambda N_c$  – in the leading order baryons are pure YM instantons
- $\Pi \sim N_c$  – baryon interaction is  $O(1/\lambda)$  correction to the rest energy.
- $a \sim 1/M_{KK}\sqrt{\lambda}$  – holographic baryons are very small

## Consequences for holographic nuclear matter

- $K \sim \frac{1}{m_B} \ll \Pi$  – at finite density holographic baryons are crystals
- Small binding energy

# Baryons

## Baryon spectra

Instanton solutions depend on parameters (position, size and orientation). Plugging a solution in the effective action one finds the effective Hamiltonian for the parameters. Quantizing the Hamiltonian, one derives the spectrum

$$M = M_0 + \sqrt{\frac{(l+1)^2}{6} + \frac{2}{15} N_c^2} + \frac{2(n_\rho + n_z) + 2}{\sqrt{6}}$$

Here  $n_z, n_\rho = 0, 1, 2, \dots$  are quantum numbers related to the position in the holographic direction and the size

$l$  is the orientation quantum number. It labels  $(l/2, l/2)$  irreps of the  $SO(4) \simeq SU(2) \times SU(2)/Z_2$

# Baryons

## Static properties

Using the techniques of Skyrme model various static properties can be computed: magnetic moments, charge radii, meson couplings. One can also derive baryon form-factors.

$$\langle r^2 \rangle_{I=0}^{1/2} \simeq 0.785 \text{ fm (0.806)}, \quad M_{KK} = 949 \text{ MeV}$$

$$g_{I=0} \simeq 1.68 (1.76), \quad M_N = 940 \text{ MeV}$$

$$\langle r^2 \rangle_{E,p}^{1/2} = \langle r^2 \rangle_{I=0}^{1/2} (0.875), \quad M_{KK} = 949 \text{ MeV}$$

$$\langle r^2 \rangle_{E,n} = 0 (-0.116), \quad M_{KK} = 949 \text{ MeV}$$

Overall performance comparable is to other hadron physics models



# Baryons

Walecka's model

In the effective model of a baryon coupled to massive vector ( $\omega$ ) and scalar ( $\sigma$ ) fields the non-relativistic baryon-baryon potential reads

$$V = \frac{1}{4\pi} \left( g_\omega^2 \frac{e^{-m_\omega |x|}}{|x|} - g_\sigma^2 \frac{e^{-m_\sigma |x|}}{|x|} \right)$$

The interaction is attractive at large distances if  $m_\sigma < m_\omega$  and repulsive at short distances if  $g_\sigma < g_\omega$

# Baryons

Holographic nuclear force

In Sakai-Sugimoto model  $m_\sigma > m_\omega$ , so repulsion will always win at large distances. How about the coupling?

$$S = -\frac{\lambda N_c}{216\pi^3} \int d^4x dz \frac{1}{2g_{\text{YM}}^2(z)} \left( \text{Tr} F_{\mu\nu}^2 + \frac{1}{2} \widehat{F}_{\mu\nu}^2 \right) + \frac{1}{2} (\partial_\mu \Phi)^2 + \\ + \frac{N_c}{8\pi^2} \int d^4x dz \hat{A} \wedge \text{Tr} F^2 + \frac{N_c}{6\pi^2} \int d^4x dz \Phi \text{Tr} F^2$$

The net effect of  $\Phi$  is to renormalize the vector meson coupling. The coupling  $g_\sigma$  is always smaller. No attractive nuclear force in Sakai-Sugimoto model

# Phenomenology of KS model

# Klebanov-Strassler Model

Adding flavor

Add  $N_f$  probe ( $N_f \ll N_c$ ) D7 and anti-D7 "flavor" branes

Configuration in  $R^{1,3} \times R^+ \times S^3 \times S^2$ :

|                    | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
|--------------------|---|---|---|---|---|---|---|---|---|---|
| $D3$               | ○ | ○ | ○ | ○ |   |   |   |   |   |   |
| $D5$               | ○ | ○ | ○ | ○ |   |   |   |   | ○ | ○ |
| $D7/\overline{D7}$ | ○ | ○ | ○ | ○ | ○ | ○ | ○ | ○ |   |   |

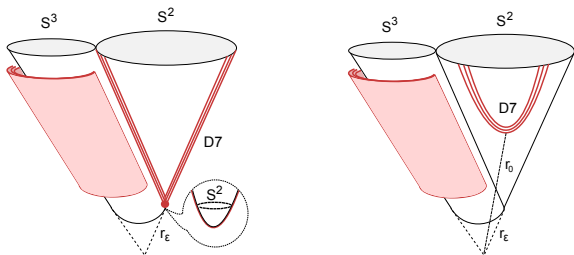
The D7 wrap  $S^3$  and span a line in  $R^+ \times S^2$ . By symmetry transformation one can always restrict them to the equator of  $S^2$

Stable embeddings correspond to U-shape configurations

# Klebanov-Strassler Model with Flavor

## Embedding

Effectively the embedding of  $D7-\overline{D7}$  is again a U-shape configuration on a cigar



- $r_\epsilon \equiv \epsilon^{2/3}$  – sets the scale ( $M_{KK}$ )
- $r_0$  – lowest point of the U-shape

# Klebanov-Strassler Model

## Spectrum

Closed string sector contains glueballs

$$m_{\text{gb}} = \frac{r_\epsilon}{\lambda\alpha'}$$

In the probe approximation we ignore corrections to glueball masses.

Typical meson mass scales as

$$m_{\text{meson}} \sim \frac{r_0}{\lambda\alpha'} = \frac{r_0}{r_\epsilon} m_{\text{gb}}$$

This is the result in the conformal limit. Corrections to this result in the KS case can be ignored if  $r_0 \gg r_\epsilon$ . If  $r_0 \simeq r_\epsilon$ ,  $m_{\text{meson}} \sim m_{\text{gb}}$  anyway

# Klebanov-Strassler Model

## Pseudo-Goldstone mode

In the KS background the conformal symmetry is broken explicitly. Therefore the Goldstone boson should acquire a small mass, different from the mass of other mesons

$$m_{\text{pG}} \sim \frac{r_\epsilon^3}{r_0^2 \lambda \alpha'} = \frac{r_\epsilon^2}{r_0^2} m_{\text{gb}}$$

This is similar to pions in QCD. If the quarks were massless QCD would have chiral symmetry  $U(N_f)_L \times U(N_f)_R$ , which is spontaneously broken down to  $U(N_f)$ . Pions would be Goldstone bosons of the broken symmetry. Because of the quark mass, chiral symmetry is broken explicitly and pions are light pseudo-Goldstone particles.

# Klebanov-Strassler Model

## Summary

Spectrum in the  $r_\epsilon \ll r_0$  case

- glueballs, including a pair of massless ones
- ordinary mesons  $m \sim (r_0/r_\epsilon)m_{\text{gb}}$
- pseudo-Goldstone ( $\sigma$ )  $m \sim (r_\epsilon/r_0)^2 m_{\text{gb}}$
- massless pions (fluctuations of  $A_z$ )

Taking  $r_0$  large enough one can always have  $m_\sigma < m_\omega$ . However  $r_0 \sim r_\epsilon$  case is more interesting



# Klebanov-Strassler Model

Baryons

Baryon vertex corresponds to a D3 wrapping the  $S^3$  of the conifold

$$\frac{1}{4\pi^2\alpha'} \int_{S^3} F_3 = M$$

$M$  strings pull the b.v. to the D7. The energy of the configuration

$r_0 \gg r_\epsilon$  (non-antipodal)

$r_0 \sim r_\epsilon$  (near antipodal)

$$E_{\text{total}} = \frac{M}{\alpha'} (Ar \log r + B|r_0 - r|)$$

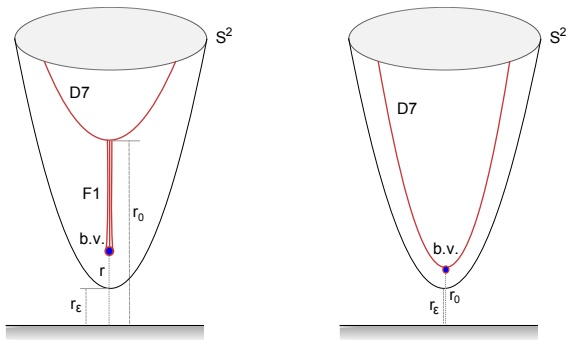
$$E_{\text{total}} = \frac{Mr_\epsilon}{\alpha'} (C + D|\tau_0 - \tau|)$$

gravity wins. b.v. is far from  
D7

strings win. b.v. dissolves in  
D7

# Klebanov-Strassler Model

## Strings vs Instantons



Non-antipodal and near antipodal regimes. Only in the second case one can describe baryons as flavor instantons at the  $D7$ . In both cases we can consider  $b.v.$  as sitting around the scale  $r_\epsilon$ .

# Klebanov-Strassler Model

Meson contribution in the non-antipodal case

$\sigma$

transverse fluctuations of the profile  $\delta r_0$ . Coupling to the strings is simply a variation of the string's length

$$S_{\text{string}}[\delta r_0] \sim \frac{M}{\alpha'} \int dx^0 \delta r_0$$

$\omega$

Vector meson coupling is a boundary term in the string action

$$M \int \hat{A}$$

$\hat{A}$  is the abelian field on the D7

# Klebanov-Strassler Model

Meson contribution in the near-antipodal case

$\sigma$

Interaction of  $\sigma$  with baryon density is generated by the non-abelian term in the effective action

$$S_{\text{na}} \sim \frac{g_s M \alpha' r_\epsilon}{g_s \alpha'^2} \int dx^0 \sigma$$

$\omega$

Vector meson coupling arises from the CS term in the effective action

$$\begin{aligned} S_{\text{CS}} &\sim M \int d^4x dz \hat{A}_0 \frac{\epsilon^{ijk}}{2} \text{Tr} (F_{ij} F_{kz}) \\ &\sim M \int dx^0 \hat{A}_0 \end{aligned}$$

# Klebanov-Strassler Model

Contribution to the baryon-baryon potential

## 1. non-antipodal case

$$V \sim \pm \frac{1}{g_s \log r_0} \frac{e^{-m_{\text{meson}}|x|}}{|x|}$$

- $g_s^{-1}$  times bigger than the glueball contribution

## 2. near-antipodal case

$$V = \pm \frac{g_{\text{meson}}^2}{4\pi g_s} \frac{e^{-m_{\text{meson}}|x|}}{|x|}$$

- Coupling constant of the scalar vanishes in the antipodal case. It is small in the near-antipodal regime

# Klebanov-Strassler Model

## Summary of the holographic nuclear force

- The large distance potential of the interaction through lightest meson exchanges:

$$V = \frac{1}{4\pi g_s} \left( g_\omega^2 \frac{e^{-m_\omega|x|}}{|x|} - g_\sigma^2 \frac{e^{-m_\sigma|x|}}{|x|} \right)$$

- In the non-antipodal regime force between two baryons can be made attractive by tuning the mass of the pseudo-Goldstone lighter than the mass of  $\omega$ . Short distance repulsion is not guaranteed. No natural suppression for the binding energy
- In the near-antipodal regime the difference between mass of  $\sigma$  and  $\omega$  is small.  $g_\sigma$  is suppressed – short distance repulsion. Small binding energy is possible

# Conclusions

- String theory can model many interesting features of hadron physics. A lot of non-perturbative data can be computed from the first principles
- If one is to push to match with experimental results, one has to hide something under the rug
- Adopting a bottom-up approach, one can do as good as conventional hadron physics models
- This suggests that a mixture of the conventional and stringy approaches can be successful in addressing hadron physics problems