



# From DGLAP to Non Linear Evolution

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# Outline

- Introduction
- Linear Evolution Equation
  - DGLAP
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- Non-Linear Evolution Equation
  - GLR
  - AGL
  - BK
  - JIMWLK
- Conclusion



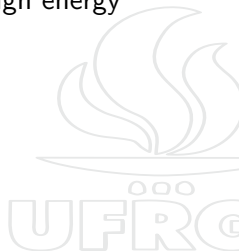
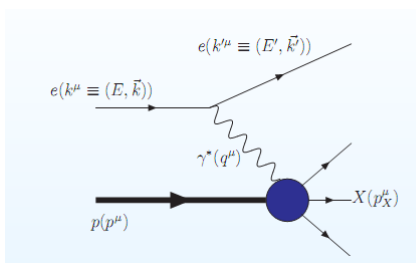
# Summary

- Deep Inelastic Scattering
- Parton Model
- Quantum Chromodynamics
- Froissart Bound
- Unitarity
- DGLAP Equation
- DGLAP Solution
- BFKL Evolution Equation



# Deep Inelastic Scattering

- Deep Inelastic Scattering (DIS) is a process characterized by electromagnetic interaction between a lepton of high energy ( $\nu, e^+, e^-$ ) and a nucleon ( $p, \bar{p}, n$ ):





# Deep Inelastic Scattering

- Photon four-momentum ( $q^\mu = k - k'$ ) defines the scale of the process.
- ep process in leading order  $\Rightarrow e + p \rightarrow e + X$
- Squared momentum transferred is defined as boson's virtuality  $Q^2 = -q^2 = -(k - k')^2$ .
- From uncertainty principle  $\Delta x \sim \frac{1}{\Delta p} = \frac{1}{\Delta Q}$  is defined the resolution with which the target is probed.



# Deep Inelastic Scattering

- Thinking the proton moving with very high momentum  $P$ .
- Proton featuring Lorentz contraction in longitudinal direction.
- Inclusive cross section averaged in spin in DIS lepton-hadron  $\sigma^{lh}$ .
- Expressed in terms of two invariant gauge functions that characterize the target structure,  $F_1$  and  $F_2$ .
- For charged leptons scattering the process is mediated for photon virtual exchanged in the limit  $Q^2 \ll M^2$ .

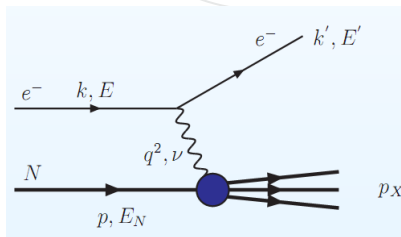
$$\frac{d^2\sigma^{lh}}{dx dQ^2} = \frac{4\pi\alpha^2}{xQ^2} [xy^2 F_1(x, Q^2) + (1-y) F_2(x, Q^2)]$$

where the proton mass is neglected and  $x = \frac{Q^2}{2p \cdot q}$  is the Bjorken scaling variable.



# Deep Inelastic Scattering

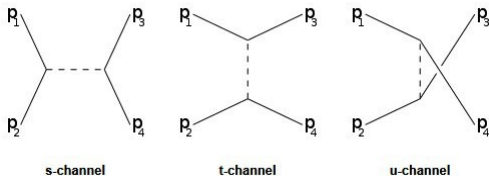
- Constant of electroweak coupling is  $\alpha$  and  $y$  is the inelasticity.
- Inelasticity in the rest system of target proton can be written  $y = 1 - \frac{E'}{E}$ , where  $E$  and  $E'$  are the energies of initial and final state, respectively.
- General covariant case  $\rightarrow \nu = \frac{(k' - k) \cdot p}{M} = \frac{p \cdot q}{M}$ .
- Energy transfer  $\rightarrow E' - E$ .
- Energy scale of virtual photon is greater than proton.
- Resolution of proton constituents can be obtained.



# Deep Inelastic Scattering

## Mandelstam Variables

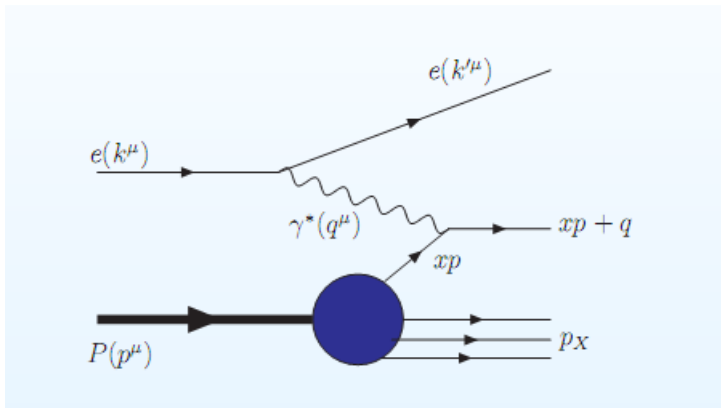
- $s$  channel:  $s = (p_1 + p_2)^2 = (p_3 + p_4)^2$
- $t$  channel:  $t = (p_1 - p_3)^2 = (p_2 - p_4)^2$
- $u$  channel:  $s = (p_4 - p_1)^2 = (p_2 - p_3)^2$





# Parton Model

- In the parton model the DIS can be viewed as the inelastic scattering off point particles (partons).





## Parton Model

- With the collinear factorization and the parton model, the DIS cross section is written in the form

$$\left. \frac{d^2\sigma}{dx dQ^2} \right|_{ep \rightarrow eX} = \sum_i \int_0^1 dx f_i(x) \left. \frac{d^2\sigma}{dx dQ^2} \right|_{eq \rightarrow eq}$$

where  $f_i(x)$  is the parton distribution function.

- The number of partons  $i$  inside the proton is obtained by

$$N_i = \int_0^1 f_i(x_i) dx_i$$

- Momentum conservation

$$\sum_i \int_0^1 x_i f_i(x_i) dx_i = 1$$



# Parton Model

- Structure functions defined in the Bjorken limit  $F_1$  and  $F_2$  are related in the parton model

$$F_2 = 2xF_1 = \sum_i e_i^2 x f_i(x)$$

- Experimental results show that

$$\sum_i \int_0^1 x_i f_i(x_i) dx_i \approx 0.5$$

- Then, neutral partons are necessary and they carry approximately 50% of the total momentum.
- Such particles are the gluons, mediators of the strong interaction.





## QCD properties

- Quantum Chromodynamics (QCD)  $\rightarrow$  Strong interaction
- QCD Lagrangian

$$\mathcal{L}_{QCD} = -\frac{1}{4}F_{\alpha\beta}^A F_A^{\alpha\beta} + \sum_{\text{flavor}} \bar{q}_a \left( i\hat{D} - m \right)_{ab} q_b + \mathcal{L}_{\text{fix}} + \mathcal{L}_{\text{ghost}}$$

- $F_{\alpha\beta}^A = \partial_\alpha G_\beta^A - \partial_\beta G_\alpha^A + gf_{\rho\gamma}^A G_\alpha^\rho G_\beta^\gamma$  are gluon field tensors.
- $f_{\rho\gamma}^A$  are the SU(3) structure constants
- Quarks are fermions with color charge ( $q_a q_b$ )
- Gluons carry color too
- $\mathcal{L}_{\text{fix}}$  is the gauge-fixing term
- $\mathcal{L}_{\text{ghost}}$  introduces the Fadeev-Popov ghosts
- They cancel unphysical degrees of freedom leading to anomalous terms.

# Feynman Rules for QCD

## Gluon Propagator

$$\frac{a}{\mu} \text{---} \text{---} \text{---} \frac{b}{\nu} = \frac{-i\delta^{ab}}{k^2 + i0} \left( g^{\mu\nu} + (\xi - 1) \frac{k^\mu k^\nu}{k^2 + i0} \right)$$

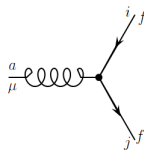
## Quark Propagator

$$\frac{f}{i} \text{---} \text{---} \frac{f'}{j} = \frac{i\delta_j^i \delta_{f'}^f}{\not{p} - m_f + i0}$$

## Ghost Propagator

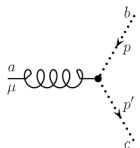
$$\frac{a}{\text{---} \text{---} \text{---}} \frac{b}{\text{---} \text{---} \text{---}} = \frac{i\delta^{ab}}{k^2 + i0}$$

## Quark-Gluon Vertex



$$= -ig\gamma^\mu \times \delta_{f'}^f \times (t^a)^j_i$$

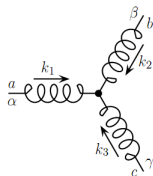
## Ghost-Gluon Vertex



$$= -g f^{abc} p^\mu$$

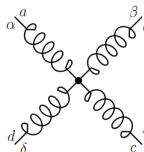
# Feynman Rules for QCD

## Three-Gluon Vertex



$$= -gf^{abc} [g^{\alpha\beta}(k_1 - k_2)^\gamma + g^{\beta\gamma}(k_2 - k_3)^\alpha + g^{\gamma\alpha}(k_3 - k_1)^\beta]$$

## Four-Gluon Vertex

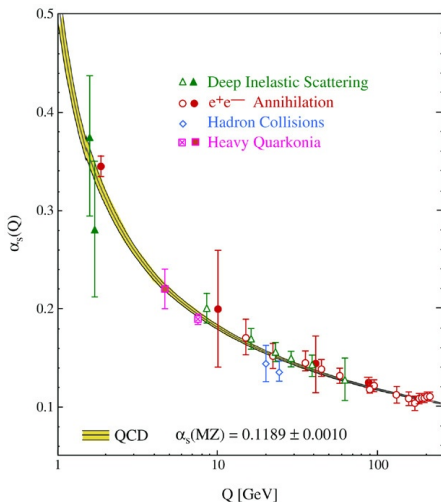


$$= -ig^2 \begin{bmatrix} fabe fcd e (g^{\alpha\gamma} g^{\beta\delta} - g^{\alpha\delta} g^{\beta\gamma}) \\ + face fbd e (g^{\alpha\beta} g^{\gamma\delta} - g^{\alpha\delta} g^{\beta\gamma}) \\ + fade fbc e (g^{\alpha\beta} g^{\delta\gamma} - g^{\alpha\gamma} g^{\delta\beta}) \end{bmatrix}$$





# Asymptotic Freedom and Confinement



- Asymptotic freedom  $\rightarrow$  high energies
- Confinement  $\rightarrow$  low energies.

$$\alpha_s(Q^2) = \frac{12\pi}{(33 - 2n_f) \ln\left(\frac{Q^2}{\Lambda^2}\right)}$$

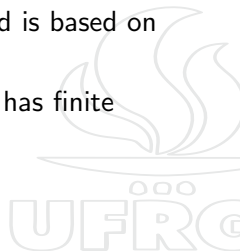


# Froissart bound

- The Froissart bound is a limit for the cross section for the scattering of two hadrons.
- It is derived using Mandelstam representation and is based on two hypothesis.
- First Froissart hypothesis: the strong interaction has finite range.
- This range is determined by the mass  $m_\pi$

$$R \sim \frac{1}{m_\pi}$$

- This scale is nonperturbative.







# Froissart bound

- Second Froissart hypothesis: S-matrix is unitary

$$SS^\dagger + S^\dagger S = 1$$

- The Froissart bound limits the total cross section for scattering of two hadrons:

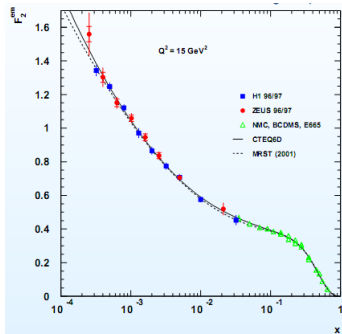
$$\sigma_{TOT} \leq \frac{\pi}{m_\pi^2} (\ln s)^2$$

- It was derived for all regions of QCD (including pQCD and npQCD).
- However, the available data show no sign that Froissart bound is valid (or invalid).





# Froissart bound



- $F_2$  structure function data from HERA collider and fixed target experiments.
- At high photon virtualities, the DIS structure function appears to increase very fast for a logarithm dependence.
- One hopes that with more exclusive processes (maybe diffraction) saturation can be observed.



# Parton Model

- How to obtain predictions for the structure functions in DIS through the QCD?
- Calculating the contributions for each order in the coupling through Feynman rules
- In dominant order, only the elastic scattering proton-quark contributes.
- This process is represented by  $\gamma^* q \rightarrow q'$ .
- Thus, the structure functions take the form

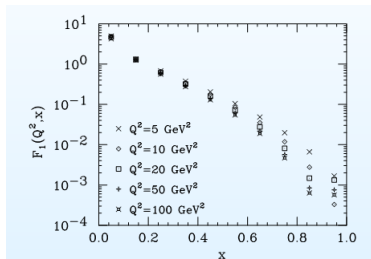
$$2F_1(x, Q^2) = \frac{1}{x} F_2(x, Q^2) = \sum_q e_q^2 \int d\omega q(\omega) \delta(x - \omega) = \sum_q e_q^2 q(x)$$

where  $\omega$  is the momentum fraction carried by the scattered parton and  $q(\omega)$  are the quarks distributions.



# Parton Model

- In the structure functions, there is only  $x$  dependence (Bjorken scale)
- Relation between both structure functions (Callan-Gross):  
$$F_2 = 2xF_1$$

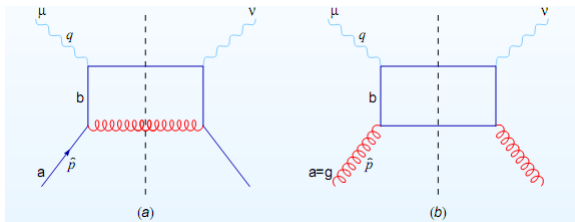


- $F_1$  structure function using MRS(A) partonic distributions.

# Parton Model

- How does the presence of gluon radiation determines the Bjorken scale violation?
- Next order in perturbative expansion occurs gluon emission

$$\gamma^*(q) + q(P) \rightarrow q(p') + g(k)$$



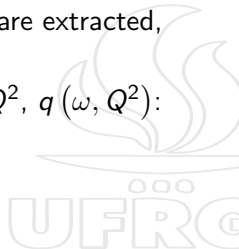


# Parton Model

- When structure functions of the hadronic vertex are extracted, a dependence on  $Q^2$  is found.
- In terms of partonic densities which depend on  $Q^2$ ,  $q(\omega, Q^2)$ :

$$\frac{1}{x} F_2(x, Q^2) \equiv \sum_q e_q^2 q(x, Q^2)$$

- $F_2 \neq 2xF_1$





# Parton Model

- Its expression is written as

$$\frac{1}{x} F_2(x, Q^2) = \sum_q \frac{e_q^2 \alpha_s}{2\pi} \int_x^1 \frac{dz}{z} q\left(\frac{x}{z}\right) \int_0^{Q^2/z} d(-\hat{t}) \times$$

$$\times \frac{4}{3} \left[ \frac{1}{-\hat{t}} \frac{1+z^2}{1-z} - \frac{z^2 (\hat{t} + 2Q^2)}{(1-z) Q^4} \right]$$

- Introducing the  $z$  variable

$$z = \frac{x}{\omega} = \frac{Q^2}{2p \cdot q} = \frac{Q^2}{\hat{s} + Q^2}$$

where the variables denoted with hats are the Mandelstam variables  $\hat{s}$  e  $\hat{t}$  (partonic level).



# Parton Model

- The previous expression has singularities.
- There is a soft infrared singularity in  $z = 1$ , corresponding to

$$\hat{s} = Q^2 \frac{1-z}{z} = 0$$

in the limit where the momentum of gluon emitted is  $k = 0$ .

- These kind of singularities arise in theories containing a gauge field without mass ( $\gamma$  in QED and gluon in QCD)
- It is canceled when contributions of vertex corrections are considered.
- There is a cutoff  $z_{soft} < 1$





# Parton Model

- Another singularity is the mass singularity or collinear in  $\hat{t}$ .
- Related to the incident quark emitting a collinear gluon still on the mass shell
- These divergences take place when the non-massive field couples with another massless field (quarks without mass in QCD or gluons in QCD)
- Soft and collinear singularities are named “infrared divergences”
- In any process observed there is emission of an indefinite number of soft photons or gluons.
- Experimentally, the final state of a charged particle is not fully specified because there are soft photons and gluons with difficult detection.



# Parton Model

- Considering the collinear divergences  $\rightarrow$  regularizing for another cutoff  $\hat{t} = -\mu_{col}^2$ , which can be absorbed later in the redefinition of the initial quark distribution.
- Keeping the dominant logarithm  $\ln(Q^2/\mu_{col}^2)$ , the structure function  $F_2$  can be written as,

$$\frac{1}{x} F_2(x, Q^2) = \sum_q \frac{e_q^2 \alpha_s}{2\pi} \int_x^{z_{soft}} \frac{dz}{z} q\left(\frac{x}{z}\right) P_{qq}(z) \ln\left(\frac{Q^2}{\mu_{col}^2}\right)$$

- In general, the integration has non-logarithm terms absorbed  $P_{qq}(z) \ln(\mu^2/\mu_{col}^2)$  changing the collinear cutoff for a different scale  $\mu$ .
- In high  $Q^2$ , the effect of these terms are suppressed.



# Parton Model

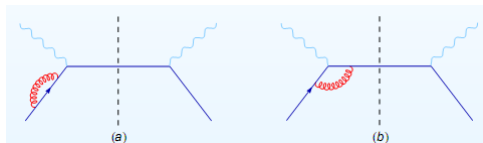
- Using this freedom of choice and defining  $\mu$  as the renormalization scale in which the coupling is defined, the equation above is written as

$$\frac{1}{x} F_2(x, Q^2) = \sum_q \frac{e_q^2 \alpha_s(\mu^2)}{2\pi} \int_x^{z_{\text{soft}}} \frac{dz}{z} q\left(\frac{x}{z}\right) P_{qq}(z) \ln\left(\frac{Q^2}{\mu^2}\right)$$

- The function  $P_{qq}$  (splitting function quark-quark) is included with dependence on  $z$  of the form

$$P_{qq} = \frac{4}{3} \left( \frac{1-z^2}{1-z} \right)$$

# Parton Model



- This function is independent of the regularization prescription universal for different processes where a quark emerges as a quark with a gluon radiation.
- Cancellation of soft divergence can be understood using dimensional regularization  $t'$  Hooft and Veltman.
- Here, the Feynman diagrams are calculated in  $4 - 2\epsilon$  dimensions and the singularities are extracted as poles in  $\epsilon$ .



# Parton Model

- Introducing the low order vertexes with the diagram with virtual gluon
- Sum of Born term and contributions from virtual gluon is

$$\frac{1}{x} F_2(x, Q^2) = \sum_q e_q^2 \int_x^1 q\left(\frac{x}{z}\right) \left[ \delta(1-z) + \frac{\alpha_s(\mu^2)}{2\pi} P_{qq}(z) \times \right. \\ \left. \times \left[ \ln\left(\frac{Q^2}{\mu^2}\right) - \frac{1}{\varepsilon} \right] + \alpha_s(\mu^2) f(z) \right]$$



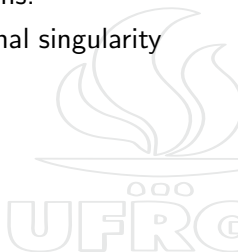
# Parton Model

- Soft singularities are canceled by virtual corrections.
- Splitting function is modified to remove the original singularity

$$P_{qq}(z) = \frac{4}{3} \frac{(1+z^2)}{(1-z)_+} + 2\delta(1-z)$$

where  $+$  is

$$\int_0^1 dz \frac{g(z)}{(1-z)_+} \equiv \int_0^1 dz \frac{g(z) - g(1)}{1-z}$$





# Parton Model

- Now, the absorption of these collinear singularities can be defined in a renormalized distribution of quarks

$$q_{\mathcal{R}}(x) \equiv q(x) + \int_x^1 \frac{dz}{z} q\left(\frac{x}{z}\right) \left[ \alpha_s(\mu^2) f(z) - \frac{\alpha_s(\mu^2)}{2\pi} P_{qq}(z) \frac{1}{z} \right]$$

- Notation:  $q_{\mathcal{R}} \rightarrow$  renormalized distribution
- Combining these results, the structure function  $F_2$  is

$$\frac{1}{x} F_2(x, Q^2) = \sum_q e_q^2 \int_x^1 \frac{d\omega}{\omega} q(\omega) \left[ \delta\left(1 - \frac{x}{\omega}\right) + \frac{\alpha_s(\mu^2)}{2\pi} P_{qq}\left(\frac{x}{\omega}\right) \ln\left(\frac{Q^2}{\mu^2}\right) \right]$$



# Parton Model

- A redefinition of quark distributions at high  $Q^2$

$$\frac{1}{x} F_2(x, Q^2) = \sum_q e_q^2 q(x, Q^2) = \sum_q e_q^2 [q(x) + \delta q(x, Q^2)]$$

where

$$\delta q(x, Q^2) = \frac{\alpha_s(\mu^2)}{2\pi} \ln\left(\frac{Q^2}{\mu^2}\right) \int \frac{d\omega}{\omega} q(\omega) P_{qq}\left(\frac{x}{\omega}\right)$$





# DGLAP

- Effect of high orders in the expansion  $\rightarrow$  sum of terms for order  $\propto [\alpha_s(\mu) \ln Q^2/\mu^2]^n$
- These terms are important at high  $Q^2$
- Sum can be made through an integro-differential equation,

$$\frac{\partial q(x, Q^2)}{\partial \ln Q^2} = \frac{\alpha_s}{2\pi} \int_x^1 \frac{d\omega}{\omega} q(\omega, Q^2) P_{qq}\left(\frac{x}{\omega}\right) + \mathcal{O}(\alpha_s(\mu^2) \ln Q^2)$$

- This equation considers the ladder diagrams, summing the contributions of collinear emission of  $n$  gluons with quark distribution



# DGLAP

- One uses the coupling in the renormalization fixed scale  $\mu^2$
- Using  $\alpha_s(Q^2)$  and inserting the propagator in the diagrams
- For high  $Q^2$

$$\frac{\partial q(x, Q^2)}{\partial \ln Q^2} = \frac{\alpha_s}{2\pi} \int_x^1 \frac{d\omega}{\omega} q(\omega, Q^2) P_{qq}\left(\frac{x}{\omega}\right)$$

- This evolution equation considers the case when the photon is absorbed by one quark originated by an initial quark with momentum fraction  $\omega < x$
- Since this quark originates in a gluon, the splitting function of quark is

$$P_{qg}(z) = \frac{1}{2} \left[ z^2 + (1-z)^2 \right]$$



# DGLAP

- Evolution for quarks becomes

$$\frac{\partial q_i(x, Q^2)}{\partial \ln Q^2} = \frac{\alpha_s(Q^2)}{2\pi} \int_x^1 \frac{d\omega}{\omega} \left[ q_i(\omega, Q^2) P_{qq}\left(\frac{x}{\omega}\right) + g(\omega, Q^2) P_{qg}\left(\frac{x}{\omega}\right) \right]$$

where the collinear singularity  $\varepsilon^{-1}$  is absorbed in the gluon distribution (quarks)

- Evolution has validity for any massless quark or antiquark  $q_i$
- Additional contribution of equations  
Dokshitzer-Gribov-Lipatov-Altarelli-Parisi (DGLAP)  $\rightarrow$   
correspondent expression for gluons distribution

$$\frac{\partial g(x, Q^2)}{\partial \ln Q^2} = \frac{\alpha_s(Q^2)}{2\pi} \int_x^1 \frac{d\omega}{\omega} \left[ \sum_i q_i(\omega, Q^2) P_{gq}\left(\frac{x}{\omega}\right) + g(\omega, Q^2) P_{gg}\left(\frac{x}{\omega}\right) \right]$$

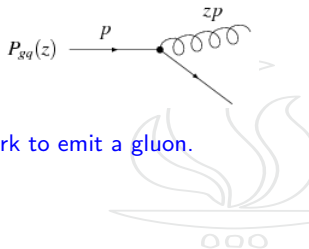


# DGLAP

- Quark-gluon and gluon-gluon splitting functions

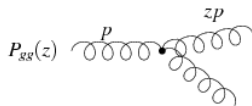
$$P_{gq}(z) = \frac{4}{3} \left[ \frac{1 + (1-z)^2}{z} \right]$$

Splitting function  $P_{gq} \rightarrow$  probability of an initial quark to emit a gluon.



$$P_{gg}(z) = 6 \left[ \frac{(1-z)}{z} + \frac{z}{(1-z)_+} + z(1-z) + \left( \frac{11}{12} - \frac{n_f}{18} \right) \delta(1-z) \right]$$

Splitting function  $P_{gg} \rightarrow$  probability of a gluon in the initial state to emit a gluon.

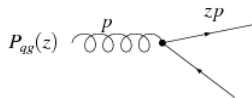




# DGLAP

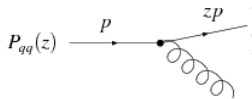
- gluon-quark and quark-quark splitting functions

$$P_{qg}(z) = \frac{1}{2} \left[ z^2 + (1-z)^2 \right]$$



Splitting function  $P_{qg} \rightarrow$  probability of an initial gluon to emit a quark.

$$P_{qq}(z) = \frac{4}{3} \left[ \frac{(1+z^2)}{(1-z)_+} + \frac{3}{2} \delta(1-z) \right]$$



Splitting function  $P_{qq} \rightarrow$  probability of a quark in the initial state to emit a quark.



# DGLAP

- Derivation made is in leading-order (LO) for the DGLAP formalism
- Splitting functions can be obtained as a perturbative expansion in  $\alpha_s$

$$P_{ab}(x, Q^2) = P_{ab}^{LO}(x) + \alpha_s(Q^2)P_{ab}^{NLO}(x) + \dots$$

- Truncate after the first two terms leaves DGLAP evolution in next-to-leading-order (NLO).
- Beyond leading-order the splitting functions dependence on factorization scale.



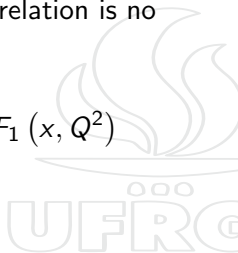
# DGLAP

- In next-to-leading-order (NLO) the Callan-Gross relation is no more satisfied  $\rightarrow$  longitudinal structure function

$$F_L(x, Q^2) = \left(1 + \frac{4M^2 x^2}{Q^2}\right) F_2(x, Q^2) - 2xF_1(x, Q^2)$$

$M$  is the proton mass

- Function  $F_L = F_2 - 2xF_1(Q^2 \rightarrow \infty)$
- $F_L \ll F_2$  is the confirmation that quarks have spin 1/2





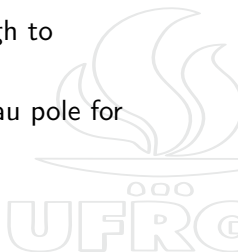
## DGLAP Solution

- Knowledge of the solution, knowledge of the evolution of the partonic distributions in  $Q^2$ .
- Initial value in any initial scale  $Q_0^2$  sufficiently high to guarantee the use of perturbation theory.
- Condition  $Q_0^2 \gg \Lambda^2$ , where  $Q^2 = \Lambda^2$  is the Landau pole for QCD:

$$\alpha_s(Q^2) = \frac{1}{b \ln \frac{Q^2}{\Lambda^2}} \equiv \frac{1}{bt}$$

- Introducing variable  $t$ , in contrast of  $Q$  and  $b$

$$P_{qq} \otimes q_i \equiv \int_x^1 \frac{d\omega}{\omega} P_{qq} \left( \frac{x}{\omega} \right) q_i(\omega, t)$$





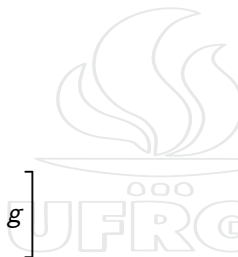


# DGLAP Solution

- DGLAP equations are written as

$$\frac{dq_i(x, t)}{dt} = \frac{\alpha_s(t)}{2\pi} [P_{qq} \otimes q_i + P_{qg} \otimes g]$$

$$\frac{dg(x, t)}{dt} = \frac{\alpha_s(t)}{2\pi} \left[ P_{gq} \otimes \sum_i q_i + P_{gg} \otimes g \right]$$





## DGLAP Solution

- Simplify this equation  $\rightarrow$  symmetry combination of flavor  $SU(n_f)$  singlet and non-singlet of partonic distributions.
- Singlet combination is given by

$$q^S(x, t) = \sum_i [q_i(x, t) + \bar{q}_i(x, t)]$$

summing all over active flavors.

- Combinations non-singlet  $q^{NS}(x, t)$  are given by  $u - \bar{u}$ ,  $d - \bar{d}, \dots$





# DGLAP Solution

- Combinations satisfy the equations

$$\frac{dq^{NS}(x, t)}{dt} = \frac{\alpha_s(t)}{2\pi} P_{qq} \otimes q^{NS}$$

$$\frac{dq^S(x, t)}{dt} = \frac{\alpha_s(t)}{2\pi} \left[ P_{qq} \otimes q^S + 2n_f P_{qg} \otimes g \right]$$

$$\frac{dg(x, t)}{dt} = \frac{\alpha_s(t)}{2\pi} \left[ P_{gq} \otimes q^S + P_{gg} \otimes g \right]$$

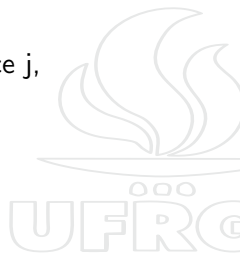




## DGLAP Solution

- Convenient transformation  $\rightarrow$  considering the distributions of partonic momenta and splitting functions
- Evaluate by Mellin transform in a conjugate space  $j$ ,

$$q_j(t) = \int_0^1 dx x^{j-1} q(x, t)$$
$$\gamma_j = \int_0^1 dx x^{j-1} P(x)$$



where this second equation is the definition of anomalous dimension.



# DGLAP Solution

- Then, the equations can be expressed by

$$\frac{dq_j^{NS}(x, t)}{dt} = \frac{1}{2\pi bt} \gamma_j^{qq} q_j^{NS}$$

$$\frac{dq_j^S(x, t)}{dt} = \frac{1}{2\pi bt} \left[ \gamma_j^{qq} q_j^S + 2n_f \gamma_j^{qg} g_j \right]$$

$$\frac{dg_j(x, t)}{dt} = \frac{1}{2\pi bt} \left[ \gamma_j^{gq} q_j^S + \gamma_j^{gg} g_j \right]$$





## DGLAP Solution

- Solution for non-singlet momenta  $\rightarrow$  not the same

$$q_j^{NS}(t) = q_j^{NS}(t_0) \left( \frac{\alpha_s(t_0)}{\alpha_s(t)} \right)^{\frac{\gamma_j^{qq}}{2\pi b}}$$

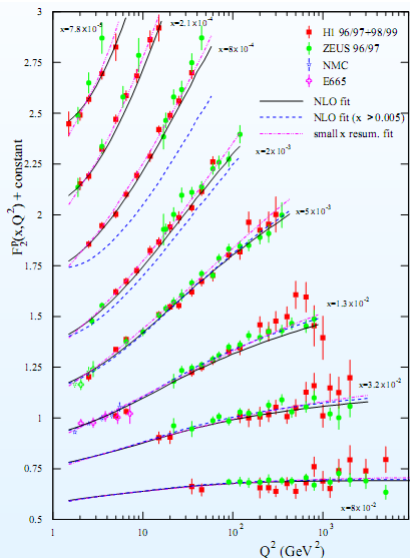
- Distributions in  $x$  space are given by

$$q^{NS}(x, t) = \frac{1}{2\pi i} \int_C dj x^{-j} q_j^{NS}(t)$$

- Contour of integration in the complex plane with  $j$  parallel to the imaginary axis and with integer right singularities.
- Singlet momenta can be evaluated with a similar calculation.



# Comparing with the data



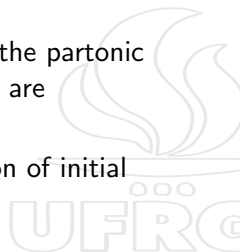
- $F_2$  structure function with fixed  $x$ , compared with global fit using DGLAP evolution elaborated by MRST group.





## DGLAP Solution What is this good for?

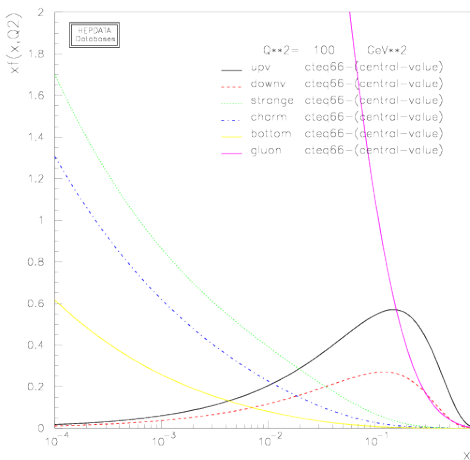
- To extract partonic distributions from the data, consider an initial parametrization in the behavior of variable function  $x$  for different partonic distributions at low  $Q_0^2$ .
- Using the DGLAP evolution equations to evolve the partonic distributions for any larger  $Q^2$  where observables are measured.
- Fit (choice) of parameters used in parametrization of initial conditions.
- Choice of nonperturbative parameters.
- Contribution from sea quarks (originated by quark-antiquark pairs produced in a gluonic splitting)  $g \rightarrow q\bar{q} \rightarrow$  growth in small  $x$ .
- Leading distribution in this region is the gluonic distribution.







# DGLAP Solution



- Partonic distributions determined by fit to ZEUS data.





## DGLAP Solution

- For the DGLAP equations the contributions are proportional to

$$\left[ \alpha_s(Q^2) \ln \left( \frac{Q^2}{Q_0^2} \right) \right]^n$$

- Strong ordering on the transverse momenta of parton in the partonic cascades and corresponding to leading logarithm approximation (LLA)
- Validity in the limit

$$\alpha_s(Q^2) \ln \left( \frac{1}{x} \right) \ll \alpha_s(Q^2) \ln \left( \frac{Q^2}{Q_0^2} \right) < 1$$



# DGLAP Solution

- At small  $x$  the gluon distribution dominates.
- Divergence in the splitting functions  $P_{gq}$  and  $P_{gg}$
- DGLAP in small  $x$  limit is written as

$$\frac{dq_i(x, Q^2)}{d \ln Q^2} \approx \frac{\alpha_s(Q^2)}{2\pi} \int_x^1 \frac{dz}{z} P_{qg}(z) g\left(\frac{x}{z}, Q^2\right) \equiv \frac{\alpha_s(Q^2)}{2\pi} P_{qg} \otimes g$$
$$\frac{dg(x, Q^2)}{d \ln Q^2} \approx \frac{\alpha_s(Q^2)}{2\pi} \int_x^1 \frac{dz}{z} P_{gg}(z) g\left(\frac{x}{z}, Q^2\right) \equiv \frac{\alpha_s(Q^2)}{2\pi} P_{gg} \otimes g$$



## DGLAP Solution in Small-x

- Due to the  $Q^2$  dependence of the parton distribution, the structure function in DIS,  $F_2$ , can be written as

$$F_2(x, Q^2) = x \sum_i e_i^2 [q_i(x, Q^2) + \bar{q}_i(x, Q^2)] + \mathcal{O}(\alpha_s^\epsilon)$$

- Described by the DGLAP evolution equations
- Higher orders in  $\alpha_s$  are evaluated by the following substitution in the DGLAP evolution equations

$$\frac{\alpha_s(Q^2)}{2\pi} P_{ij}^0 \rightarrow \frac{\alpha_s(Q^2)}{2\pi} P_{ij}^0 + \left( \frac{\alpha_s(Q^2)}{2\pi} \right)^2 P_{ij}^1 + \dots$$



## DGLAP Solution in Small-x

- At small  $x \rightarrow$  gluon dominance.

$$P_{gg}^0(z) \sim \frac{2N_c}{z} \Rightarrow xg(x, Q^2) \sim x^{-\lambda}, \quad \lambda > 0$$

- Parton distribution at small  $x$

$$xp_i(x, Q_0^2) \sim \text{const.}$$

where  $Q_0^2$  is the initial condition.

$$xp_i \sim \exp \left\{ \sqrt{\xi(Q^2) \ln(1/x)} \right\}$$



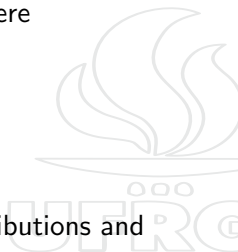


## DGLAP Solution in Small-x

- This is the Double Logarithm approximation, where

$$\xi(Q^2) = \int_{Q_0^2}^{Q^2} \frac{dq^2}{q^2} \frac{N_c \alpha_s q^2}{\pi}$$

- There is an increase in the gluon and quark distributions and in the structure function  $F_2$
- Momentum fraction  $x$  decreases





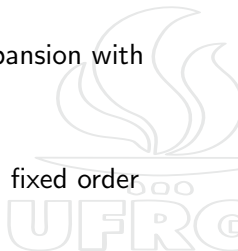
## DGLAP Solution in Small- $x$

- To understand the interaction in the strong regime for small  $x$   
→ challenge in QCD.
- Structure functions in the region of small  $x$  and study of transition between perturbative and nonperturbative regime is made by DIS.
- DGLAP equations for partonic distributions have good description of the physics of scaling violation.
- Several questions still remain:
  - Where begins the a small  $x$  regime?
  - For which values of momentum fraction  $x$  where DGLAP formalism for evolution of structure functions becomes unappropriated?
- With current data →  $F_2$  growth in the  $x = 0$  limit
- This growth is larger with increasing  $Q^2$ .



## DGLAP Solution in Small- $x$

- Basic question: this behavior can be understood in terms of QCD?
- Splitting structure are evaluated with a series expansion with powers of coupling on the constant
- NLO terms are known
- Gluon-gluon splitting function  $P_{gg}(x, \alpha_s(Q_2))$  in fixed order singularity in small- $x$
- Behavior  $\approx \alpha_s/x$
- Singularity growth of  $F_2$  to small- $x$
- What is the dynamics of this growth?







## DGLAP Solution in Small- $x$

- Naive version of evolution equation can be solution to this question.
- Evolution for the gluonic distribution (dominant parton in  $x \rightarrow 0$  and singular term  $P_{gg}$ ,

$$\frac{dg_j}{dt} = \frac{\alpha_t(t)}{2\pi} \gamma_j^{gg} g_j$$

- Limit  $x \rightarrow 0$ , partonic distributions give the behavior of anomalous dimension  $\gamma_j^{gg}$  for  $j \approx 1$  ( $N_c$  being the number of colors)

$$\gamma_j^{gg} \simeq \frac{2N_c}{j-1}$$



## DGLAP Solution in Small-x

- Solution for the momenta of gluon distribution in this limit is

$$g_j(t) = g_j(t_0) \exp \frac{N_c \eta(t)}{j-1}$$

with  $\eta$  function defined by

$$\eta(t) = \int_{t_0}^t dt' \alpha_s(t')$$

- Back to  $x$  space, consider the Mellin inverse transform

$$\begin{aligned} g(x, t) &= \frac{1}{2\pi i} \int dj x^{-j} g_j(t) \\ &= \frac{1}{2\pi i} \int dj g_j(t_0) \exp \left( j \ln \frac{1}{x} + \frac{N_c \eta(t)}{j-1} \right) \end{aligned}$$



## DGLAP Solution in Small- $x$

- When  $Q_2$  is high and  $x$  is small
- Expansion close to saddle point of exponential  $j_{\text{saddle}}$

$$j_{\text{saddle}} = 1 + \sqrt{\frac{N_c \eta(t)}{\ln \frac{1}{x}}}$$

- Solution is expressed in the original variable

$$g(x, Q^2) \sim \frac{1}{x} \exp \sqrt{\frac{N_c}{\pi b} \ln \left[ \left( \ln \frac{Q^2}{\Lambda^2} \right) / \left( \ln \frac{Q_0^2}{\Lambda^2} \right) \right] \ln \frac{1}{x}}$$





## DGLAP Solution in Small- $x$

- This result is Double Logarithm Approximation domain (DLA), where the logarithms are summed by

$$\left[ \alpha_s(Q^2) \ln \left( \frac{Q^2}{Q_0^2} \right) \ln \left( \frac{1}{x} \right) \right]^n$$

- Solution is valid when one uses a soft initial distribution
- In this case,  $x$  asymptotic dependence is dominated by splitting perturbative function.
- A question arises: what happens if one chooses a stronger initial dependence, for example,

$$g(x, t_0) = Cx^{-j_0}$$



## DGLAP Solution in Small- $x$

- In this case, one must be more careful  $\rightarrow$  singularities on the right of the saddle point

$$g_j(t_0) = \frac{c}{j - j_0}$$

- Solution can be found at small- $x$   $\rightarrow$  choice of contour of integration close to the pole of the initial distribution.



## DGLAP Solution in Small- $x$

- Integral value is

$$g(x, t) = Cx^{-j_0} \exp \frac{N\eta(t)}{j_0 - 1}$$

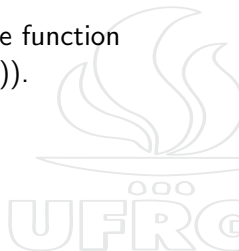
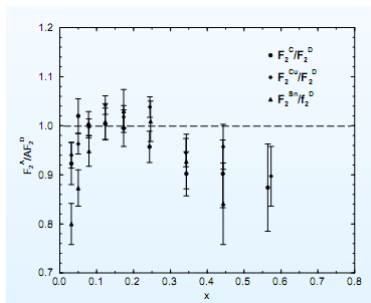
and the original behavior in  $x$  remains beyond the evolution in  $Q^2$

- Behavior as asymptotic dependence of non-perturbative parameter  $j_0$
- Presence of this non-perturbative term  $\rightarrow$  starts as singular initial condition
- This is a way to produce perturbative growth in small- $x$



## Nuclear effects

- Ratio between nuclear structure functions and nucleon, normalized to  $A$  shows some modification of the nuclear structure function
- First result  $\rightarrow$  modification in the nuclear structure function was the EMC effect (Arneodo PR240, 301 (1994)).





## Nuclear effects

- What is wrong with the parton model?
- Theoretical expectation

$$F_2^A(x, Q^2) = AF_2^P(x, Q^2)$$

- Then

$$R = \frac{F_2^A(x, Q^2)}{AF_2^P(x, Q^2)} = 1$$

- $R \neq 1$ , and there is A dependence of the nuclear effects







# Unitarity

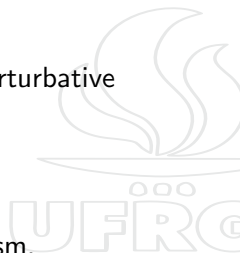
- Observed that  $F_2$  increases for smaller values of  $x \rightarrow$  violation of the unitarity
- Unitarity limit is the Froissart limit  $\rightarrow$  shows that the cross section cannot be larger than  $\sigma \leq cte \ln^2 s$
- Motivation - to restore the unitarity
- Small  $x$  region (high energy) is the interface between non-perturbative QCD (npQCD) and perturbative QCD
- In this interface the coupling constant  $\alpha_s$  is still small
- $x < 10^{-2} \rightarrow$  dynamical (collective) effects



# Unitarity

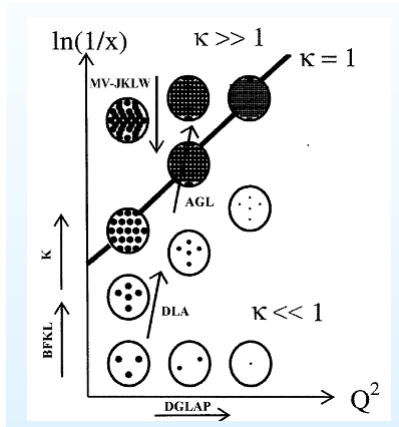
Problem:

- Analytically separate the perturbative and nonperturbative aspects.
- Small and large distances.
- Contributions to high energy.
- Amplitudes in a properly gauge invariant formalism.





# Possible Solutions





# BFKL

- Takes into account diagrams that contribute with terms of order  $[(\alpha_s \ln(1/x))^n]$  with  $\alpha_s \ln(Q^2/Q_0^2) \ll 1$  e  $\alpha_s \ln(1/x) \approx 1$ .
- Ordering in transverse moments becomes moderate.
- Included the integration over the whole phase space formed by the transverse components of the moments of the emitted partons.
- In this kinematic region, the DGLAP evolution equations are no longer valid.
- A new dynamic is needed to describe the partonic distributions.



# BFKL

- Proposal: Y. Balitski, V. Fadin, E. Kuraev e L. Lipatov (BFKL).
- Equation that describes the evolution in the Bjorken variable  $x$ .
- It is written in terms of non-integrated function of gluons  $\phi(x, k_{\perp}^2)$ , which gives the probability of finding a gluon in the nucleon with transverse momentum  $k_{\perp}^2$  and fraction of longitudinal moment  $x$ .
- This function is related to the usual function of gluons by

$$xg(x, Q^2) = \int^{Q^2} \frac{dk_{\perp}^2}{k_{\perp}^2} \phi(x, k_{\perp}^2)$$



# BFKL

- Resummation of leading  $\log(1/x)$  - terms  $(\alpha_s \ln(1/x))^n$  is performed in the BFKL approach
- Based on the gluon Reggeization, property of QCD very important for description of high energy process.
- In the leading logarithmic approximation (LLA) it predicts  $\sigma \sim \left(\frac{1}{x}\right)^{\omega_P}$  where the Pomeron intercept (with subtracted 1)

$$\omega_P = 4N_c \frac{\alpha_s}{\pi} \ln 2$$

- The gluon Reggeization hypothesis is proved in the NLA.



# BFKL

- The differential form of BFKL equation is given by

$$\frac{\partial \phi(x, k_{\perp}^2)}{\partial \ln(1/x)} = \frac{3\alpha_s}{\pi} k_{\perp}^2 \int_0^{\infty} \frac{dk'_{\perp}{}^2}{k'_{\perp}{}^2} \left\{ \frac{\phi(x, k'_{\perp}{}^2) - \phi(x, k_{\perp}^2)}{|k'_{\perp}{}^2 - k_{\perp}^2|} + \frac{\phi(x, k_{\perp}^2)}{\sqrt{4k'_{\perp}{}^4 + k_{\perp}^4}} \right\}$$

- It is valid for sufficiently small values of  $x_0$ , such that

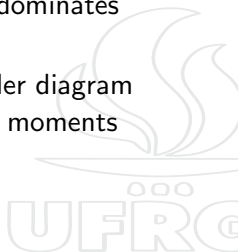
$$\alpha_s \ll 1, \quad \alpha_s \ln(Q^2/Q_0^2) \ll 1, \quad \ln(1/x) \approx 1$$



# BFKL

- In the high energy limit, the gluons distribution dominates the evolution.
- The BFKL equation can be represented as a ladder diagram effective, with strong ordering in the longitudinal moments and without ordering in the transverse moments,

$$x \ll x_{i+1} \ll \dots \ll x_1 \ll 1,$$
$$Q^2 \approx k_{\perp i+1} \approx \dots \approx k_{\perp 1} \approx Q_0^2$$







## BFKL Solution

- From Mellin transform of the function  $\phi(x, k^2)$  in the variable  $k^2$ , is possible to obtain an analytical solution to the BFKL equation in the form

$$\frac{\partial \phi(x, \bar{\gamma})}{\partial \ln(1/x)} = \bar{K}(\bar{\gamma}) \phi(x, \bar{\gamma})$$

whose solution is

$$\phi(x, \bar{\gamma}) = \phi(x_0, \bar{\gamma}) \left( \frac{x}{x_0} \right)^{-\bar{K}(\bar{\gamma})}$$

where  $\bar{\gamma}$  is the conjugate variable of  $k^2$ ,  $\phi(x, \bar{\gamma})$  is the transformed function and  $\bar{K}$  is the transformed kernel.





## BFKL Solution

- Using an explicit form for the transformed functions, one obtains the non integrated gluon function

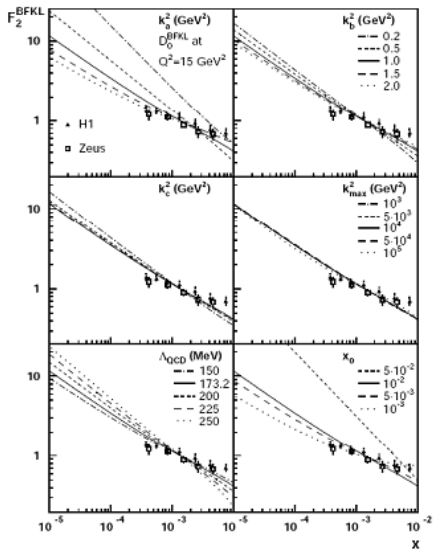
$$\phi(x, k^2) = \left(\frac{x}{x_0}\right)^{-\lambda} \frac{\sqrt{k^2} \phi(x_0, \bar{\gamma} = 1/2)}{(6\alpha_s 28\zeta(3) \ln(x/x_0))^{1/2}} \exp \left\{ \frac{-\ln(k^2/\bar{k}^2)}{6\frac{\alpha_s}{\pi} 28\zeta(3) \ln(x/x_0)} \right\}$$

where  $\zeta(x)$  is the Riemann Zeta function.

- The first term produces the behavior  $x^{-\lambda}$  for the non integrated gluon distribution, characteristic of the BFKL formalism.
- The BFKL dynamics predicts a rapid growth of the cross section  $\sigma(\gamma^* N)$  with the energy.



# Predictions for $F_2$



- BFKL prescription for  $F_2$  compared with HERA data.





## Limitations of BFKL

- Solutions obtained for  $\alpha_s$  independent of  $Q^2$ .
- This limits the validity of the equation to a small range  $Q^2$ , where the behavior of the coupling constant can be approximated.
- The prediction of a large increase in the number of gluons violates the Froissart bound.

$$\sigma_{tot} < const (\ln s)^2$$

- To include new radiative corrections at any fixed order does not solve the problem.
- Proposal: **Non linear evolution equations!**

