# Effect of magnet errors within the alpha bucket regime in SIS-100 during proton extraction 

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## High- $\gamma_{t r}$ proton cycle in SIS-100

Proton operation in SIS-100 with $2 \cdot 10^{13}$ particles.

- Injection of 4 bunches at $E=4 \mathrm{GeV}$.
- Merge bunches to single bunch, accelerate and extract it at $E=29 \mathrm{GeV}$.
- Goals:

1. Avoid transition crossing. Therefore, extraction with $\gamma_{t r}=45.5$, while $\gamma=31.9$.
2. Creation of single bunch with final area $A=5 \cdot 2.4 \mathrm{eVs}=12 \mathrm{eVs}$ and small bunch length $t_{b u} \approx 50 \mathrm{~ns}$ corresponding to bunch length $l_{b u} \approx 15 \mathrm{~m} \ll C=1083.6 \mathrm{~m}$.

- Short bunch can be created by alpha bucket, requires small zero order phase slip factor, $\eta_{0}$, and momentum compaction factor, $\alpha_{0}=1 / \gamma_{t r}^{2}$ and, therefore, large $\gamma_{t r}$.

Present study: Tracking simulations to estimate beam loss at extraction energy with errors in dipole and quadrupole magnet and without space charge. Use MAD-X.

## Alpha bucket

- Alpha bucket requires small zero order momentum compaction factor $\alpha_{0}$ and phase slip factor

$$
\eta_{0}=\alpha_{0}-\frac{1}{\gamma^{2}} .
$$

Actually $\eta_{0}=-0.0005$.

- Significant influence of higher order contributions to change of revolution time. Regard up to $\delta^{2}$ :

$$
\frac{\Delta T}{T_{0}}=\eta_{0} \delta+\eta_{1} \delta^{2}
$$

- Set $\Delta T / T_{0}=0 \rightarrow$ Unstable fixed point (UFP)

$$
\delta_{U F P}=-\frac{\eta_{0}}{\eta_{1}},
$$



Alpha bucket for unperturbed
SIS-100 lattice no symmetry in $\pm \delta$.

## Small momentum compaction factor

- Zero order momentum compaction factor:

$$
\alpha_{0}=\frac{1}{\gamma_{t r}^{2}}=\frac{1}{C} \oint \frac{D(s) \mathrm{d} s}{\rho(s)}
$$

- Small $\alpha_{0}$ due to strongly oscillating dispersion
- large positive and negative contributions which compensate each other.
- Use three independent quadrupole families
- Generally, lattice functions with extreme values much larger than those of "ion-like" lattice.

Dispersion function in SIS-100 period.

$$
Q_{x}=21.8, Q_{y}=17.7
$$



## General Lattice Properties

Lattice without errors at working point $Q_{x}=21.8, Q_{y}=17.7$

|  | high $\gamma_{t r}$ lattice | "ion-like" lattice |
| :--- | :---: | :---: |
| $\gamma_{t r}$ | 45.5 | 18.4 |
| Number of quadrupole families | 3 | 2 |
| Maximum dispersion function $D_{x, \max } /(\mathrm{m})$ | 2.9 | 1.3 |
| Maximum beta functions, $\left(\beta_{x, \max }, \beta_{y, \max }\right) /(\mathrm{m})$ | $(71,29)$ | $(19,21)$ |
| Natural normalised chromaticities, $\left(\xi_{x, \text { nat }}, \xi_{y, n a t}\right)$ | $(-2.4,-1.4)$ | $(-1.2,-1.3)$ |

Consequences of high $-\gamma_{t r}$ lattice:

1. Larger beam width so that particles reach transverse regions of larger magnetic field errors
2. Larger chromaticities leading to larger chromatic tune spread

## Chromatic tune spread

Total horizontal chromatic tune spread nearly 0.5 and crosses several resonances.

- Desired bunch area and small bunch length

$$
Q_{x}=21.8, Q_{y}=17.7
$$

lead to large maximum momentum deviation: Initial bunch area: $A=2.4 \mathrm{eVs}$. Dilution factor: 5

$$
\delta_{\max }= \pm 0.0043
$$

(O. Chorniy using ESME)

- Resulting maximum chromatic tune deviation:

$$
\Delta Q_{x, \max }=\xi_{x} Q_{x} \delta_{\max }= \pm 0.23
$$

$$
\Downarrow
$$

Need for chromaticity reduction.
"da_wp_wp21x17_gamtr45.5_all.dat" using 1:2:6


## Chromaticity correction

- Goal: Correct chromaticity with sextupoles to reach certain chromatic tune spreads.

Found in simulations: $\Delta Q_{x, \max }=\Delta Q_{y, \max }= \pm 0.05$ is suitable.

- There are 8 sextupoles per period to set only two variables, $\xi_{x}$ and $\xi_{y}$.

Provides space to optimise sextupole settings. Follow rule: sextupole should be:
weak, where $\beta_{x}$ large to minimise non-linear impact, and
strong, where $D$ is large to have strong impact to chromaticity
(Yu. Senichev, private communication),

$$
\sum_{n}\left(k_{2} L\right)_{n}^{2}\left(\beta_{x, n}^{2}-D_{n}^{2}\right) \rightarrow \text { minimum }
$$

and apply correction goal as constraints

$$
\xi_{z, n a t} \pm \frac{1}{4 \pi Q_{z}} \sum_{n}\left(k_{2} L\right)_{n} \beta_{z, n} D_{n}-\xi_{z, \text { desired }}=0, \quad z=x, y
$$

where " $+/$ " " $\leftrightarrow$ horizontal / vertical.

## Bucket area

- Sextupoles alter second order phase slip factor
(D. Robin, E. Forest, PRE 48, 2149 (1993).)

$$
\Delta \eta_{1}=-\frac{1}{3 C} \sum_{n}\left(k_{2} L\right)_{n}\left(D_{x, n}^{3}-3 D_{x, n} D_{y, n}^{2}\right)
$$ and bucket area.

- Sufficient bucket area reached only with chromaticity correction.
- Applied only scheme described at previous page,
 explicit usage of equation above not necessary.

Sextupole settings require that every sextupole in a period can be independently powered.

## Magnet imperfections

- Magnet imperfections: field errors and misalignments.
- Field errors represented by magnet field multipoles and yield resonance excitation and reduction of dynamic aperture.
- Misalignments yield closed orbit distortions (COD) and shifts alpha bucket.
- Both affect momentum compaction factor and change bucket shape.


## Magnet imperfections

## Field errors

- Field imperfections in dipoles and quadrupoles.
- Transverse field given by series with normal and skew multipole coefficients, $k_{n}$ and $k_{s, n}$.
- Distinguish between field in magnet centres and ends $\rightarrow$ stray fields.
- Systematic and random multipole coefficients:
- Systematic multipoles due to magnet design. Present study: from field simulations.

Multipoles up to order $n=15$, in quadrupole ends up to $n=19$.

- Random multipoles due to random deviations from design.

Ansatz: Gaussian distribution truncated at $2 \sigma$ with $\sigma_{k_{n}}=\sigma_{k_{s, n}}=0.3 \cdot k_{n, \text { syst }}$. Assumption on random contributions questionable. At least conservative?

## Magnet imperfections

## Misalignments

- Misalignments random,

Gaussian distributions truncated at $2 \sigma$.

- Quadrupoles: transverse shifts:

$$
d_{r m s} \equiv \sigma_{\Delta x}=\sigma_{\Delta y}=1 \mathrm{~mm}
$$

- Dipoles: rotations around $z$ axis (tilt), $\Delta \psi$, $\sigma_{\Delta \psi}=d_{r m s} /\left(\sqrt{2} \cdot w_{1 / 2}\right)=4.0 \mathrm{mrad}$, and deviations in total bending angle, $\Delta \alpha$ : $\sigma_{\Delta \alpha} / \alpha=0.004$
- Residual COD (rms):

$$
x_{c o}=1.3 \mathrm{~mm}, y_{c o}=0.5 \mathrm{~mm}
$$

Dipole tilt:


$$
d_{r m s}=1 \mathrm{~mm}, w_{1 / 2}=0.175 \mathrm{~m}
$$

## Alpha bucket with COD and betatron motion

- Relative path length and revolution time altered by COD and betatron motion.

$$
\chi=\chi_{c o}+\chi_{\beta}
$$

Contribution momentum independent.

- Change of single particle's revolution time

$$
\frac{\Delta T}{T_{0}}=\chi+\eta_{0} \delta+\eta_{1} \delta^{2}
$$

- Unstable and stable fixed points at

$$
\delta_{U F P, S F P}=-\frac{\eta_{0}}{2 \eta_{1}}\left(1 \pm \sqrt{1-\frac{4 \chi \eta_{1}}{\eta_{0}^{2}}}\right)
$$



Alpha bucket for SIS-100 lattice with misalignment errors $\rightarrow \delta_{S F P} \neq 0$.

## Initial longitudinal particle distribution

Need of matched longitudinal particle distribution to avoid artificial particle loss.

- Ansatz:

$$
f(\phi, \delta) \propto \mathrm{e}^{-H(\phi, \delta) / H_{\max }} \Theta\left[\left|H_{\max }\right|-|H(\phi, \delta)|\right]
$$

- Heaviside step function: $\Theta$
- Hamiltonian in short bunch approximation without acceleration

$$
\begin{aligned}
H(\phi, \delta)= & h\left(\chi \delta+\frac{\eta_{0}}{2} \delta^{2}+\frac{\eta_{1}}{3} \delta^{3}\right)-\frac{e V_{r f}}{4 \pi \beta E} \phi^{2} \\
& -h\left(\chi \delta_{S F P}+\frac{\eta_{0}}{2} \delta_{S F P}^{2}+\frac{\eta_{1}}{3} \delta_{S F P}^{3}\right)
\end{aligned}
$$

with harmonic number $h=5$.

- Desire: Usage of Gaussian random generator of MAD-X, but $\delta^{3}$ term in $H$.


## Initial longitudinal particle distribution

- Therefore, rewrite Hamiltonian

$$
H(\phi, \delta) \rightarrow H(\phi, \tilde{\delta})=h\left(\frac{\tilde{\eta}_{0}}{2} \tilde{\delta}^{2}+\frac{\tilde{\eta}_{1}}{3} \tilde{\delta}^{3}\right)-\frac{e V_{r f}}{4 \pi \beta E} \phi^{2}
$$

with $\tilde{\delta}=\delta-\delta_{S F P}, \tilde{\eta}_{0}=\eta_{0}+2 \eta_{1} \delta_{S F P}$, and $\tilde{\eta}_{1}=\eta_{1}$.

- Define new variable $\Delta$ by

$$
\frac{\eta_{0}}{2} \Delta^{2} \equiv \frac{\tilde{\eta}_{0}}{2} \tilde{\delta}^{2}+\frac{\tilde{\eta}_{1}}{3} \tilde{\delta}^{3},
$$

1. Distribution of $\Delta$ can be determined with Gaussian random generator
2. Calculate $\tilde{\delta}$ by solving 3 rd order equation

$$
\tilde{\delta}^{3}+\frac{3}{2} \frac{\tilde{\eta}_{0}}{2} \tilde{\delta}_{1}^{2}-\frac{\eta_{0}}{\tilde{\eta}_{1}} \Delta^{2}=0
$$

using Cardan's formula.

- Bucket parameters $\chi, \eta_{0}, \eta_{1}$ affected by magnet errors.
$\rightarrow$ Have to be determined for the actual error sample.


## Initial longitudinal particle distribution

## Contribution due to COD $\chi_{c o}$

- Parameters known from MAD-X output: $\eta_{0}, \delta_{S F P}$
- Unknown parameters: $\chi_{c o}, \eta_{1}$ :
- Determination via few particle tracking with $\epsilon_{x}=\epsilon_{y}=0$ using $H=$ const.
- System of three linear equations:


$$
\begin{aligned}
h\left(\delta_{\min }-\delta_{S F P}\right) \chi_{c o}+\frac{h}{3}\left(\delta_{\min }^{3}-\delta_{S F P}^{3}\right) \eta_{1}-H & =-h \frac{\eta_{0}}{2}\left(\delta_{\min }^{2}-\delta_{S F P}^{2}\right) \\
h\left(\delta_{\max }-\delta_{S F P}\right) \chi_{c o}+\frac{h}{3}\left(\delta_{\max }^{3}-\delta_{S F P}^{3}\right) \eta_{1}-H & =-h \frac{\eta_{0}}{2}\left(\delta_{\max }^{2}-\delta_{S F P}^{2}\right) \\
\chi_{c o}+\delta_{S F P}^{2} \eta_{1} & =-\eta_{0} \delta_{S F P}
\end{aligned}
$$

## Initial longitudinal particle distribution

## Contribution due to betatron motion $\chi_{\beta}$

- For $\delta=0$ :

$$
\frac{\Delta T}{T}=\frac{\Delta C}{C}=\chi_{c o}+\chi_{\beta}
$$

- Contribution to path length

$$
\Delta C=\frac{1}{2} \oint \mathrm{~d} s\left(x_{c o}^{\prime 2}+y_{c o}^{\prime 2}+x_{\beta}^{\prime 2}+y_{\beta}^{\prime 2}\right)
$$

- In linear optics is

$$
\chi_{\beta}=\frac{1}{4 C} \oint \mathrm{~d} s\left(\epsilon_{x} \gamma_{x}+\epsilon_{y} \gamma_{y}\right)=\frac{\pi}{C}\left(\epsilon_{x} \xi_{x, n a t} Q_{x}+\epsilon_{y} \xi_{y, n a t} Q_{y}\right)
$$

- If chromaticity corrected, use corrected chromaticies to calculate $\chi_{\beta}$,
see Y. Shoji, PRSTAB 8, 094001 (2005).
- Fixed points of synchrotron motion depend on single particle emittances.
$\rightarrow$ Fixed points for each particle separately calculated.


## Tracking simulation

- 5000 test particles tracked during 33000 turns corresponding to about two synchrotron periods.
- Finally, chose working point $Q_{x}=21.83, Q_{y}=17.75$.
- Applied 5 samples of random misalignments and field error multipoles.
- Found beam loss:

| sample | 1 | 2 | 3 | 4 | 5 | averaged |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $P_{\text {loss }}$ | $0.14 \%$ | 0 | $1.8 \%$ | $0.04 \%$ | 0 | $0.396 \%$ |

- Averaged beam loss smaller than beam loss budget of $P_{\text {loss, max }} \approx 1 \%$.
- Beam loss depends on sample of random magnet errors.


## Tracking simulation

Loss mechanism: slow resonance crossing

- Working point $Q_{x}=21.83, Q_{y}=17.75$, chosen to fit tune spread into mesh of resonances of order $\leq 3$.
- Particle loss near resonances:
order 4: $4 \cdot Q_{x}=87$
order 6: $4 \cdot Q_{x}+2 \cdot Q_{y}=123$,
- Importance of knowing magnet field errors.
- Strengths of resonances
$-\delta_{S F P} \rightarrow$ tune spread position in tune diagram.


Initial tunes of all particles (green),
final tunes of lost particles (red), and tunes of $\delta_{S F P}$ (blue dot).

## Summary

Aim: Estimate particle loss at SIS-100 proton extraction energy with particle tracking.

- Alpha bucket generated by large $\gamma_{t r}$ optics to create short bunch.
- Large natural chromaticities and chromatic tune spread, need to be reduced.
- In addition, chromaticity correction increases bucket to sufficient size.
$\rightarrow$ Independently powered sextupoles necessary.
- Regard misalignment and field errors with systematic and random contributions in dipole and quadrupole magnets $\rightarrow$ COD, resonance excitation, change of alpha bucket shape.
- Matched initial particle distribution $\rightarrow$ find alpha bucket parameters $\chi, \eta_{0}, \eta_{1}$.
- Particle loss in simulations driven by particles slowly crossing resonances.

Magnet errors determine impact of resonances in two ways: resonances strengths and which resonances are crossed by chromatic tune spread.

Thank you for your attention

## Initial longitudinal particle distribution

To calculate initial particle coordinates, $\chi_{c o}$ and $\eta_{1}$ have to be determined for actual sample of systematic and random magnet errors.

- Variables known from MAD-X output: $\eta_{0}, \delta_{S F P}$
- Unknown variables: $\chi_{c o}, \eta_{1}$.

They can be determined from few particle tracking results with $\epsilon_{x}=\epsilon_{y}=0$.

- Simple way: determine $\chi_{c o}, \eta_{1}$ from fixed points

$$
\delta_{U F P, S F P}=-\frac{\eta_{0}}{2 \eta_{1}}\left(1 \pm \sqrt{1-\frac{4 \chi \eta_{1}}{\eta_{0}^{2}}}\right),
$$

is not possible because tracked particles do not
 reach UFP.

## Initial longitudinal particle distribution

Contribution due to betatron motion $\chi_{\beta}$
Difference of path length from design orbit (see e.g. H. Wiedemann, "Particle Accelerator Physics")

$$
\begin{aligned}
\Delta C & =\oint \mathrm{d} s\left[\sqrt{\left(1+\frac{x}{\rho}\right)^{2}+x^{\prime 2}+y^{\prime 2}}-1\right] \\
& =\oint \mathrm{d} s\left[1+\frac{1}{2}\left(2 \frac{x}{\rho}+\frac{x^{2}}{\rho^{2}}+x^{\prime 2}+y^{\prime 2}\right)-\frac{1}{4}\left(2 \frac{x}{\rho}+\frac{x^{2}}{\rho^{2}}+x^{\prime 2}+y^{\prime 2}\right)^{2} \ldots-1\right] \\
& \approx \frac{1}{2} \oint \mathrm{~d} s\left(x^{\prime 2}+y^{\prime 2}\right) .
\end{aligned}
$$

## Influence of betatron motion

Simulated synchrotron orbit with finite horizontal emittance without magnet errors

- Emittance dependent contribution:

$$
\chi_{\beta}=\frac{\pi}{C}\left(\epsilon_{x} \xi_{x} Q_{x}+\epsilon_{y} \xi_{y} Q_{y}\right)
$$

- Track single particle with

$$
-\epsilon_{x}=1 \mu \mathrm{~m}, \epsilon_{y}=0, \text { and }(\phi, \delta)=(0,0)
$$

- natural and corrected chromaticities.

- Compare ratios of momenta in the centres of circles, $\delta_{\text {cent }}$, and horizontal chromaticities: $\left.\begin{array}{lll}\text { corrected: } & \xi_{x, \text { corr }}=-0.534, & \delta_{\text {cent,corr }}=7.7 \cdot 10^{-5} \\ \text { natural: } & \xi_{x, \text { nat }}=-2.44, & \delta_{\text {cent,nat }}=3.4 \cdot 10^{-4}\end{array}\right\} \frac{\xi_{x, \text { corr }}}{\xi_{x, n a t}}=0.219 \approx \frac{\delta_{\text {cent,corr }}}{\delta_{\text {cent,nat }}}=0.226$
$\rightarrow$ SFP momentum approximately proportional to chromaticity, as expected.

