

# RECENT PROGRESS IN CHARM PHYSICS

Luca Silvestrini

INFN, Rome

- Introduction
- CP violation in charm mixing
  - present status
  - future prospects
- CP violation in charm decays
  - VIA matrix elements, penguins &  $\Delta I=1/2$
- Conclusions

# INTRODUCTION

- Charm physics in the SM is almost a two-generations story:
  - long-distance dominated
  - no CPV
- ⇒ excellent place to look for CPV NP!
- Charm mixing 2<sup>nd</sup> only to  $\varepsilon_K$  in NP sensitivity
- We are reaching the point in which the word almost becomes important

# D MIXING

- D mixing is described by:
  - Dispersive  $D \rightarrow \bar{D}$  amplitude  $M_{12}$ 
    - SM: long-distance dominated, not calculable
    - NP: short distance, calculable w. lattice
  - Absorptive  $D \rightarrow \bar{D}$  amplitude  $\Gamma_{12}$ 
    - SM: long-distance, not calculable
    - NP: negligible
  - Observables:  $|M_{12}|$ ,  $|\Gamma_{12}|$ ,  $\Phi_{12} = \arg(\Gamma_{12}/M_{12})$

D-mixing discussion based on Grossman, Kagan, Ligeti, Perez, Petrov & L.S., in preparation

# $GIM \Leftrightarrow SU(3)$ (U-spin)

- Use CKM unitarity

$$V_{cd} V_{ud}^* + V_{cs} V_{us}^* + V_{cb} V_{ub}^* = \lambda_d + \lambda_s + \lambda_b = 0$$

- eliminate  $\lambda_d$  and take  $\lambda_s$  real (all physical results convention independent)
- imaginary parts suppr. by  $r = \text{Im } \lambda_b / \lambda_s = 6.5 \cdot 10^{-4}$
- $M_{12}, \Gamma_{12}$  have the following structure:

$$\lambda_s^2 (f_{dd} + f_{ss} - 2f_{ds}) + 2\lambda_s \lambda_b (f_{dd} - f_{ds} - f_{db} + f_{sb}) + O(\lambda_b^2)$$

# $GIM \Leftrightarrow SU(3)$ (U-spin)

- Write long-distance contributions to  $M_{12}$  and  $\Gamma_{12}$  in terms of U-spin quantum numbers:

$$\lambda_s^2 (\Delta U=2) + \lambda_s \lambda_b (\Delta U=2 + \Delta U=1) + O(\lambda_b^2) \\ \sim \lambda_s^2 \varepsilon^2 + \lambda_s \lambda_b \varepsilon$$

- CPV effects at the level of  $r/\varepsilon \sim 2 \cdot 10^{-3} \sim 1/8^\circ$  for “nominal”  $SU(3)$  breaking  $\varepsilon \sim 30\%$

# "REAL SM" APPROXIMATION

- Given present experimental errors, it is perfectly adequate to assume that SM contributions to both  $M_{12}$  and  $\Gamma_{12}$  are real
- all decay amplitudes relevant for the mixing analysis can also be taken real
- NP could generate a nonvanishing phase for  $M_{12}$

# "REAL SM" APPROXIMATION II

- Define  $|D_{s,L}| = p|D^0| \pm q|D^0|$  and  $\delta = (1 - |q/p|^2) / (1 + |q/p|^2)$ . All observables can be written in terms of  $x = \Delta m / \Gamma$ ,  $y = \Delta \Gamma / 2\Gamma$  and  $\delta$ , with

$$\begin{aligned}\sqrt{2} \Delta m &= \text{sign}(\cos \Phi_{12}) \sqrt{4|M_{12}|^2 - |\Gamma_{12}|^2 + \sqrt{(4|M_{12}|^2 + |\Gamma_{12}|^2)^2 - 16|M_{12}|^2|\Gamma_{12}|^2 \sin^2 \Phi_{12}}}, \\ \sqrt{2} \Delta \Gamma &= 2\sqrt{|\Gamma_{12}|^2 - 4|M_{12}|^2 + \sqrt{(4|M_{12}|^2 + |\Gamma_{12}|^2)^2 - 16|M_{12}|^2|\Gamma_{12}|^2 \sin^2 \Phi_{12}}}, \\ \delta &= \frac{2|M_{12}||\Gamma_{12}| \sin \Phi_{12}}{(\Delta m)^2 + |\Gamma_{12}|^2},\end{aligned}\tag{7}$$

- Notice that  $\phi = \arg(q/p) = \arg(y + i\delta x) - \arg \Gamma_{12}$
- $|q/p| \neq 1 \Leftrightarrow \phi \neq 0$  clear signals of NP

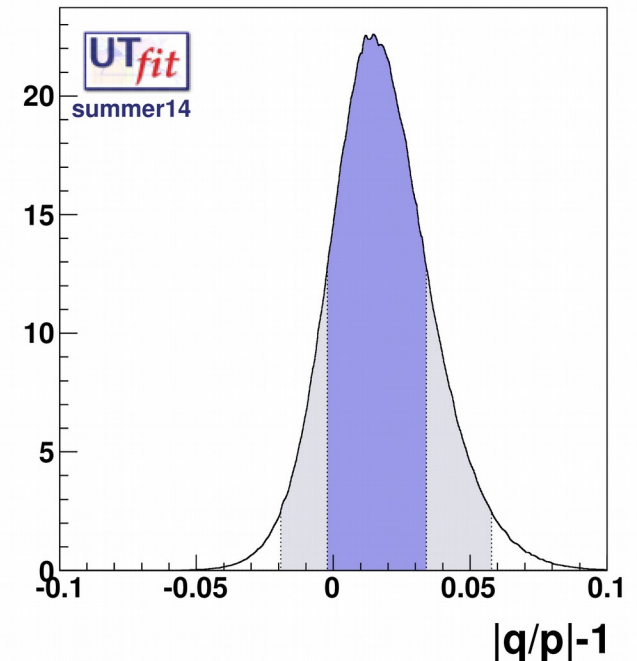
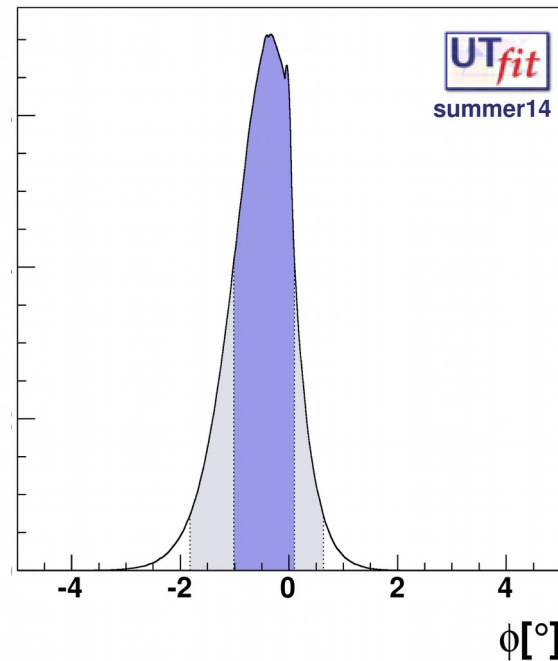
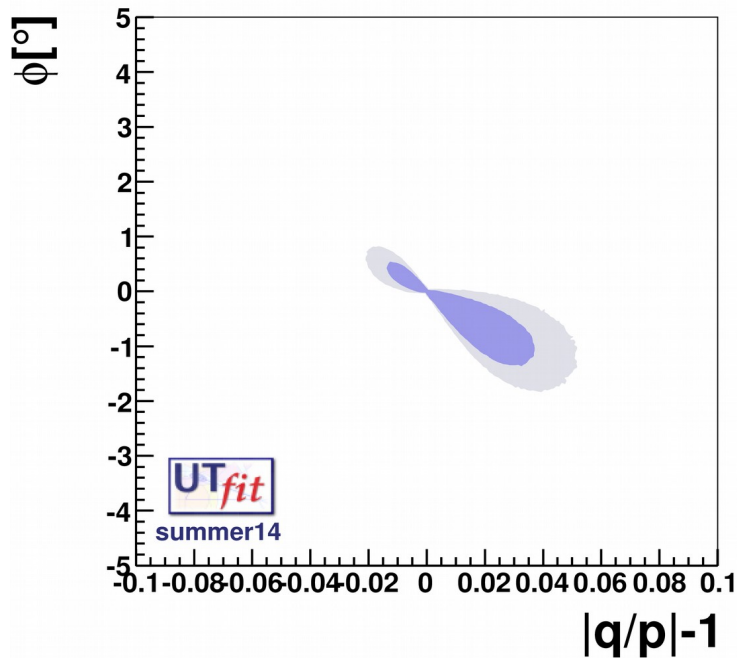
# CPV IN MIXING TODAY

- latest UTfit average (HFAG very similar):

$$x = (3.6 \pm 1.6) 10^{-3}, y = (6.1 \pm 0.6) 10^{-3},$$

$$|q/p|-1 = (1.6 \pm 1.8) 10^{-2},$$

$$\phi = \arg(q/p) = (0.45 \pm 0.56)^\circ$$



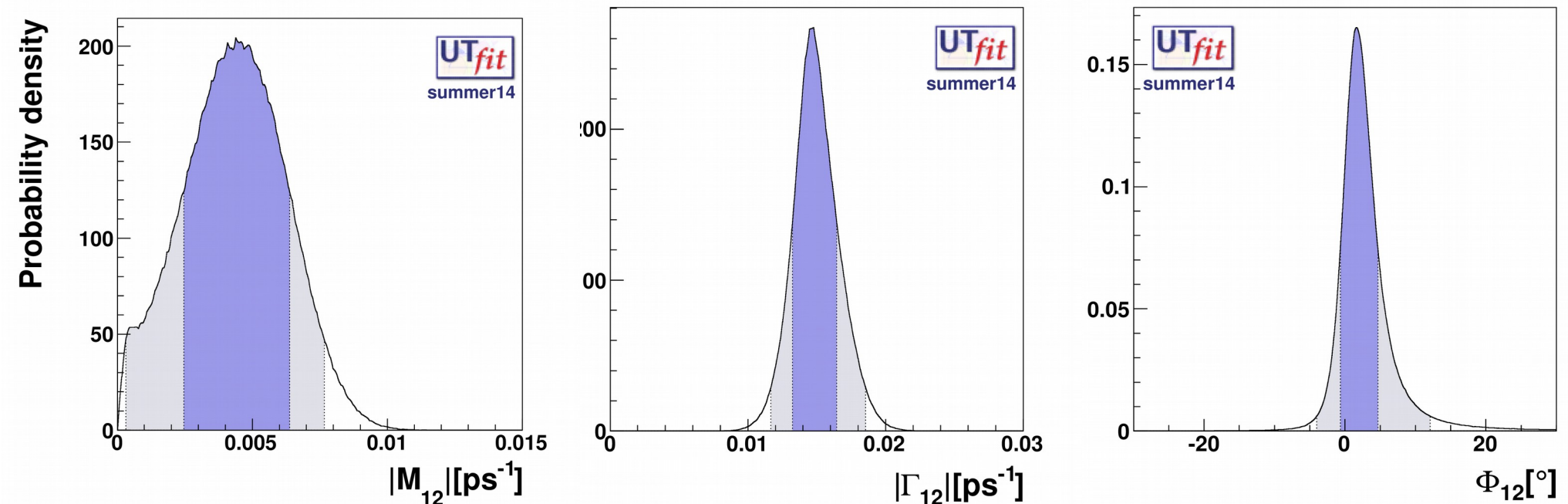


# CPV IN MIXING TODAY II

- The corresponding results on fundamental parameters are

$$|M_{12}| = (4 \pm 2)/fs, |\Gamma_{12}| = (15 \pm 2)/fs$$

and  $\Phi_{12} = (2 \pm 3)^\circ$



# IMPLICATIONS ON NP SCALE

	95% upper limit (GeV <sup>-2</sup> )	Lower limit on $\Lambda$ (TeV)
$\text{Im } C_1^D$	$[-0.9, 2.5] \cdot 10^{-14}$	$6.3 \cdot 10^3$
$\text{Im } C_2^D$	$[-2.8, 1.0] \cdot 10^{-15}$	$1.9 \cdot 10^4$
$\text{Im } C_3^D$	$[-3.0, 8.6] \cdot 10^{-14}$	$3.4 \cdot 10^3$
$\text{Im } C_4^D$	$[-2.7, 8.0] \cdot 10^{-16}$	$3.5 \cdot 10^4$
$\text{Im } C_5^D$	$[-0.4, 1.1] \cdot 10^{-14}$	$9.5 \cdot 10^3$

$\sqrt{ \text{Im } (\delta_{12}^u)_{LL,RR}^2 }$	$\sqrt{ \text{Im } (\delta_{12}^u)_{LR,RL}^2 }$	$\sqrt{ \text{Im } (\delta_{12}^u)_{LL=RR}^2 }$
0.019	0.0025	0.0011

1 TeV squark & gluino

# BEYOND THE "REAL SM"

- Belle II and LHCb upgrade will considerably improve the sensitivity to CPV in charm mixing
- Should critically re-examine the statement of negligible CPV in the SM:
  - Could CPV amplitudes be dynamically enhanced?
  - Is the  $SU(3)/U$ -spin argument reliable?

# BEYOND THE "REAL SM" II

- Relax the assumption of real  $\Gamma_{12}$ , introduce  $\phi_{\Gamma_{12}} = \arg \Gamma_{12}$
- The relation between  $\phi$ ,  $x$ ,  $y$  and  $\delta$  is modified as follows:
  - $\phi = \arg(q/p) = \arg(y+i\delta x) - \phi_{\Gamma_{12}}$
- Can we extract  $\phi_{\Gamma_{12}}$  from experimental data?
- How large can  $\phi_{\Gamma_{12}}$  be in the SM?

# BEYOND THE "REAL SM" III

- In principle, if decay amplitudes are not real, they affect the extraction of  $\phi$ :

$$\phi \rightarrow \phi + \delta\phi_f, \text{ with } \delta\phi_f = \arg(\bar{A}_f/A_f) \quad (f \text{ CP eig.})$$

- for CA and DCS decays,  $\delta\phi_f$  negligible
- for SCS decays,  $\delta\phi_f = A_{CP}^{\text{dir}}(D \rightarrow f) \cot \delta_f$   
( $\delta_f$  strong phase difference, expected  $O(1)$ )
- present data on DCPV imply  $\delta\phi_f \sim 10^{-3}$

# BEYOND THE "REAL SM" IV

- CPV contributions to  $\phi_{\Gamma 12}$  are enhanced by  $1/\varepsilon$ , while this is not the case for  $\delta\phi_f$
- can go beyond the "real SM" approximation by adding one universal phase  $\phi_{\Gamma 12}$  and fitting for  $\phi_{12}$  and  $\phi_{\Gamma 12}$  or, equivalently, for  $\phi_{M 12}$  and  $\phi_{\Gamma 12}$

# CHARM CPV @ LHCb UPGRADE

- Expected errors w. LHCb upgrade:
  - $\delta x = 1.5 \cdot 10^{-4}$ ,  $\delta y = 10^{-4}$ ,  $\delta |q/p| = 10^{-2}$ ,  $\delta \phi = 3^\circ$  (from  $K_s \pi \pi$ );  $\delta y_{CP} = \delta A_\Gamma = 4 \cdot 10^{-5}$  (from  $K^+ K^-$ )
- Allows to experimentally determine  $\phi_{\Gamma 12}$  with a reach on CPV @ the degree level:
  - $\delta \phi_{M12} = \pm 1^\circ$  (17 mrad) and  $\delta \phi_{\Gamma 12} = \pm 2^\circ$  (34 mrad) @ 95% prob.
  - $\Lambda > 10^5 \text{ TeV}$

# CHARM CPV @ HI-LUMI

- “Extreme” flavour experiment (LHCb upgrade  $L \times 100$ )  
see e.g. talk by G. Punzi @ 1st Future Hadron Collider Workshop
- Naïve extrapolation, scaling LHCb upgrade estimates:
  - $\delta x = 1.5 \cdot 10^{-5}$ ,  $\delta y = 10^{-5}$ ,  $\delta |q/p| = 10^{-3}$ ,  $\delta \phi = .3^\circ$  (from  $K_s \pi \pi$ );  $\delta \gamma_{CP} = \delta A_\Gamma = 4 \cdot 10^{-6}$  (from  $K^+ K^-$ )
  - $\delta \phi_{M12} = \pm 0.1^\circ$  (1.7 mrad) and  $\delta \phi_{\Gamma12} = \pm 0.2^\circ$  (3.4 mrad) @ 95% prob.
  - $\Lambda > 3 \cdot 10^5$  TeV, close to the bound from  $\varepsilon_K$



# CAN WE ESTIMATE $\phi_{\Gamma_{12}}$ IN SM?

- $\Gamma_{12} = \Gamma_{12}^0 + \delta\Gamma_{12} = \lambda_s^2 (\Delta U=2) + \lambda_s \lambda_b (\Delta U=2 + \Delta U=1) + O(\lambda_b^2) \sim \lambda_s^2 \Gamma_5 + \lambda_s \lambda_b \Gamma_3$
- $\Gamma_5$  changes Uspin by two units, arises @  $O(\epsilon^2)$
- $\Gamma_3$  changes Uspin by one unit, arises @  $O(\epsilon)$
- Trade  $\Gamma_{12}^0$  for  $\gamma\Gamma$ , get  
 $\phi_{\Gamma_{12}} \sim \text{Im } \lambda_s \lambda_b / \gamma \Gamma_3 / \Gamma \sim 5 \cdot 10^{-3} \Gamma_3 / \Gamma$

# ESTIMATING $\Gamma_3/\Gamma$

- $\Gamma_3$  generated by SCS decay amplitudes
- two-body decays account for 75% of hadronic D decays, with  $PP \sim VV \sim AP \sim PV/3$
- use exp data on BR's and DCPV to perform SU(3) analysis and estimate  $\Gamma_3$ , using e.g. the general parameterization of U-spin amplitudes in SCS decays by Brod, Kagan, Grossman & Zupan

# ESTIMATING $\Gamma_3/\Gamma$ II

- analysis of U-spin amplitudes suggests that currently  $\Gamma_3/\Gamma \sim 1$  is plausible, and also that  $\phi_{\Gamma 12}/\delta\phi_f \sim 4$ , as previously argued, yielding

$$\phi_{\Gamma 12} \sim 5 \text{ mrad } (0.3^\circ)$$

and leaving plenty of room for NP

- more data, in particular for PV SCS decays, would allow for a better estimate of  $\phi_{\Gamma 12}$
- $\phi_{M 12}$  might be estimated via dispersion rel.

# CPV IN SCS D DECAYS

- CPV in SCS D decays suppressed by  $r = \text{Im } \lambda_b / \lambda_s = 6.5 \cdot 10^{-4}$ . Can it be dynamically enhanced?

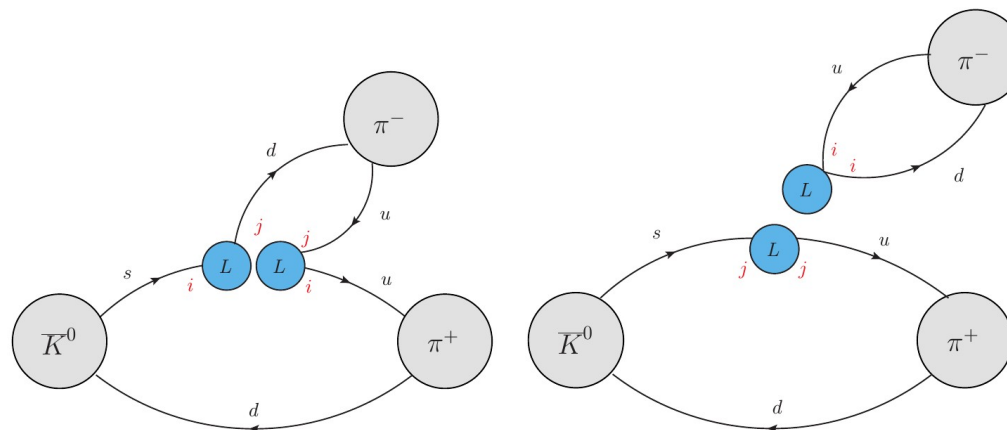
Brod, Kagan & Zupan '11; Pirtskhalava & Uttayarat '11;  
Bhattacharya, Gronau & Rosner '12; Cheng & Chiang '12;  
Brod, Grossman, Kagan & Zupan '12

- Can anything analogous to the  $\Delta I = 1/2$  rule take place in SCS charm decays?

Golden & Grinstein, '89

# PENGUINS FROM K TO B

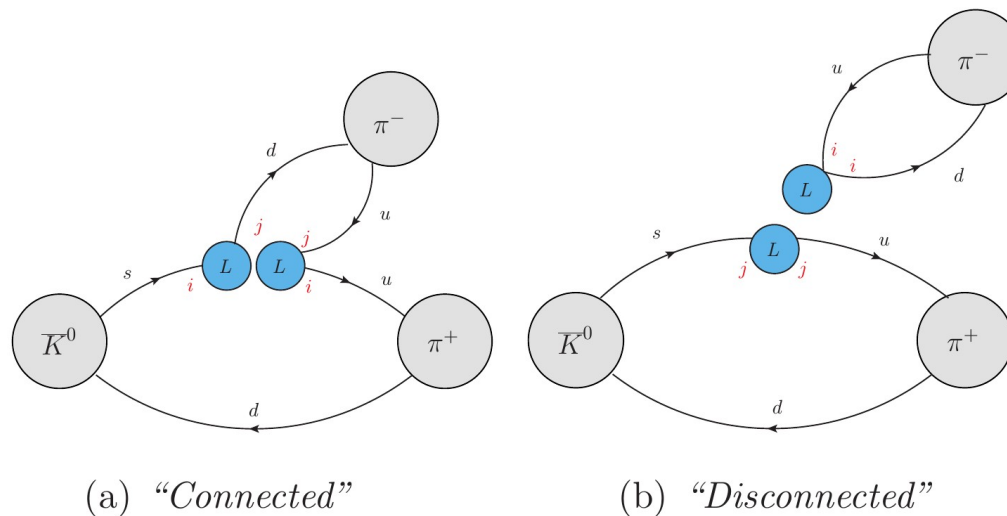
- What is the origin of the  $\Delta I=1/2$  rule in K decays? RBC-UKQCD lattice studies suggest a cancellation between connected and disconnected emission contributions to  $\Delta I=3/2$  amplitudes, which instead add up in the  $\Delta I=1/2$  case. Penguins play a minor role.



(a) "Connected"

(b) "Disconnected"

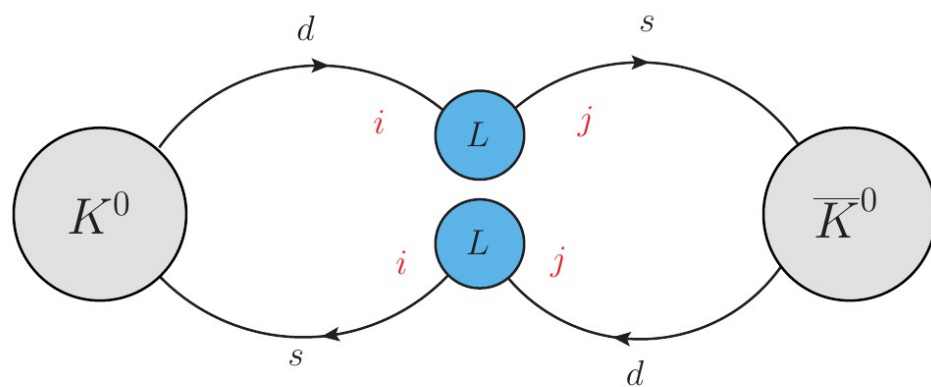
- This corresponds to a maximal violation of VIA: the connected contribution has opposite sign in full QCD (cfr. large-N model estimate by Bardeen, Buras & Gérard)
- Is there a connection between the  $\Delta I=1/2$  rule and the validity of naïve factorization for emission topologies?



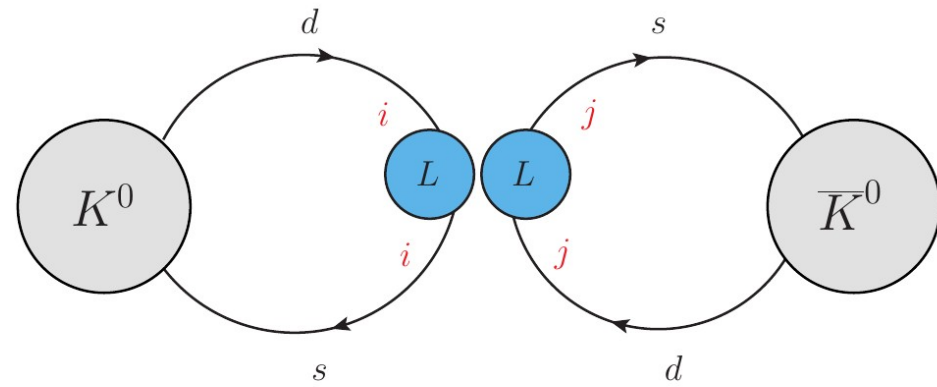
- K physics: maximal deviation from the VIA, large suppression of  $\Delta I=3/2$  amplitude
- D physics: sizable deviations from naïve factorization ( $1/N \sim 0$ ), comparable  $\Delta I=1/2$  and  $\Delta I=3/2$  amplitudes with large phases
- B physics: factorization holds in the infinite mass limit and gives a good description of data once enhanced corrections taken into account, small phases

# VIA VIOLATIONS IN $\Delta F=2$

- Same violation of VIA seen in  $\Delta s=2$ : indeed the  $K$ - $K$  and  $K \rightarrow \pi\pi$  matrix elements are proportional in the chiral limit
- Interesting to check whether the deviation from the VIA decreases for heavier mesons



(a) “Connected”



(b) “Disconnected”



# VIA VIOLATIONS IN $\Delta F=2$

	$K$	$D_s$	$B_s$	static limit
$R_{VV+AA}^\lambda$	-1.90(07)	-0.64(02)	-0.46(06)	-0.38(09)
$R_{VV-AA}^\lambda$	4.3(2)	0.60(05)	0.12(05)	-0.03(05)
$R_{SS-PP}^\lambda$	-0.13(03)	-0.11(03)	-0.07(03)	-0.05(03)
$R_{SS+PP}^\lambda$	-0.27(06)	-0.21(04)	-0.15(03)	-0.12(04)
$R_{SS+PP-TT/2}^\lambda$	4.04(16)	1.40(07)	0.81(06)	0.61(06)

Carrasco, Lubicz & L.S.

- $R^\lambda$  is the octet matrix element, which vanishes in the VIA, normalized by the singlet matrix element

# BACK TO CPV IN SCS DECAYS

- A consistent picture seems to emerge from lattice studies of  $K \rightarrow \pi\pi$  and  $\Delta F=2$ :
  - suppression of  $3/2$  and enhancement of  $\frac{1}{2}$  amplitude in K decays due to emission diagrams; no penguin enhancement
  - deviations from VIA less dramatic but sizable in D decays; no reason to expect large penguins
- No compelling arguments for enhanced SM CPV in SCS D decays

# CONCLUSIONS

- Given present experimental errors, SM contributions to CPV in mixing-related observables can be safely neglected, yielding a constrained three-parameter fit ( $M_{12}$ ,  $\Gamma_{12}$ ,  $\phi_{12}$ ) which allows to probe NP at the % level
- future experimental improvements will however go well below the %, reaching a level in which SM CPV contributions might be non-negligible

# CONCLUSIONS II

- Given the  $SU(3)$  structure of  $\Delta c=1$  and  $\Delta c=2$  amplitudes, CPV contributions to  $\Gamma_{12}$  are parametrically enhanced over CPV contributions to decay amplitudes
- Moreover, the latter are already constrained to lie below the future sensitivity in  $\phi$ , and essentially vanish in the SM
- Generalizing the fit introducing  $\phi_{\Gamma_{12}}$  captures dominant SM effects

# CONCLUSIONS III

- Belle II/LHCb upgrade will probe  $\phi_{M12}$  and  $\phi_{\Gamma12}$  at the level of  $1^\circ$ , while an “extreme” flavour experiment might reach the  $0.1^\circ$  level
- $\phi_{\Gamma12}$  can be estimated using fits of SCS decay amplitudes (in particular PV ones)
- at present  $\phi_{\Gamma12}$  at the  $0.3^\circ$  level is plausible, but more data needed to refine this estimate; may also estimate  $\phi_{M12}$  via disp. rel.

# CONCLUSIONS IV

- Lattice QCD starts providing a consistent picture of deviations from the VIA in K, D and B physics
- If confirmed by the full computation of  $\Delta I=1/2$  rule, would exclude large penguin matrix elements
- Excluding large penguins, SM contributions to CPV in SCS D decays can be kept under control