

The 16th International Conference on B-physics at Frontier Machines

# BEAUTY 2016

Marseille, France, 2-6 May 2016



## Charm CPV and rare decays at LHCb

Michael J. Morello

[michael.morello@sns.it](mailto:michael.morello@sns.it)

Scuola Normale Superiore and INFN, Pisa (Italy)

on behalf of the LHCb Collaboration



# The role of charm physics

- Charm is the only up-type quark allowing full range of probes for mixing and CP Violation:
  - top quarks do not hadronize  $\rightarrow$  no  $T^0 - \bar{T}^0$  oscillations
  - no  $\pi^0 - \pi^0$  oscillations possible (particle and anti-particle are identical)
- CPV predicted to be small within SM, since mixing and relevant amplitudes are described, to an excellent approximation, by the physics of the first two generations only.
  - from CKM CPV  $\sim O(V_{ub}V_{cb}^*/V_{us}V_{cs}^*) \sim 10^{-3}$  or less
  - huge effort from theoreticians to keep under control QCD effects.
- Charm transitions are a unique portal (complementarity wrt B and K mesons) for obtaining a novel access to flavor dynamics with the experimental situation being a priori favorable (“low SM background”).
- Unprecedented huge samples of D decays are necessary (much larger of  $10^6$  events needed) in order to approach SM predictions.

# Charm Physics at LHCb: a new era

- All  $c$  species produced in  $pp$  collisions. In the acceptance  $p_T < 8 \text{ GeV}/c$  and  $2.0 < y < 4.5$ :

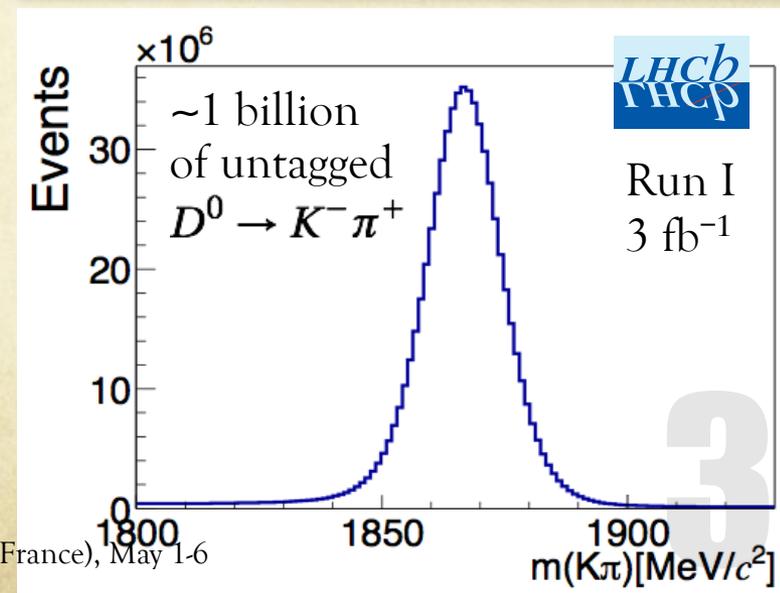
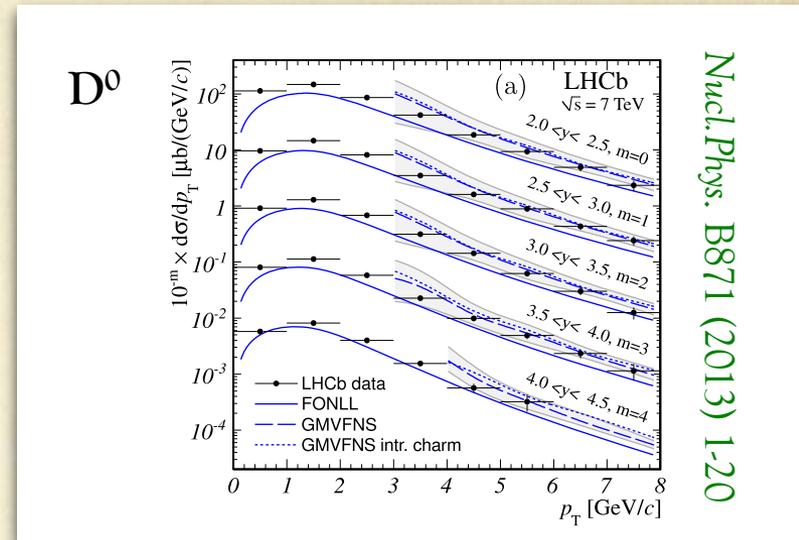
|               | Cross-section ( $\mu\text{b}$ ) |
|---------------|---------------------------------|
| $D^0$         | $1661 \pm 16 \pm 128 \pm 2$     |
| $D^+$         | $645 \pm 11 \pm 72 \pm 8$       |
| $D^{*+}$      | $677 \pm 26 \pm 77 \pm 19$      |
| $D_s^+$       | $197 \pm 14 \pm 26 \pm 8$       |
| $\Lambda_c^+$ | $233 \pm 26 \pm 71 \pm 14$      |

- Produced  $\sim 5 \times 10^{12}$   $D^0$  and  $\sim 2 \times 10^{12}$   $D^{*+}$  mesons in  $3 \text{ fb}^{-1}$  of data at  $L_{\text{inst}} = 4 \times 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$ .

- ... and reconstructed for physics in Run I
  - $\sim 1 \times 10^9$   $D^0 \rightarrow K^- \pi^+$ ,
  - $\sim 0.1 \times 10^9$   $D^0 \rightarrow K^- \pi^+$  from  $D^{*+} \rightarrow D^0 \pi^+$

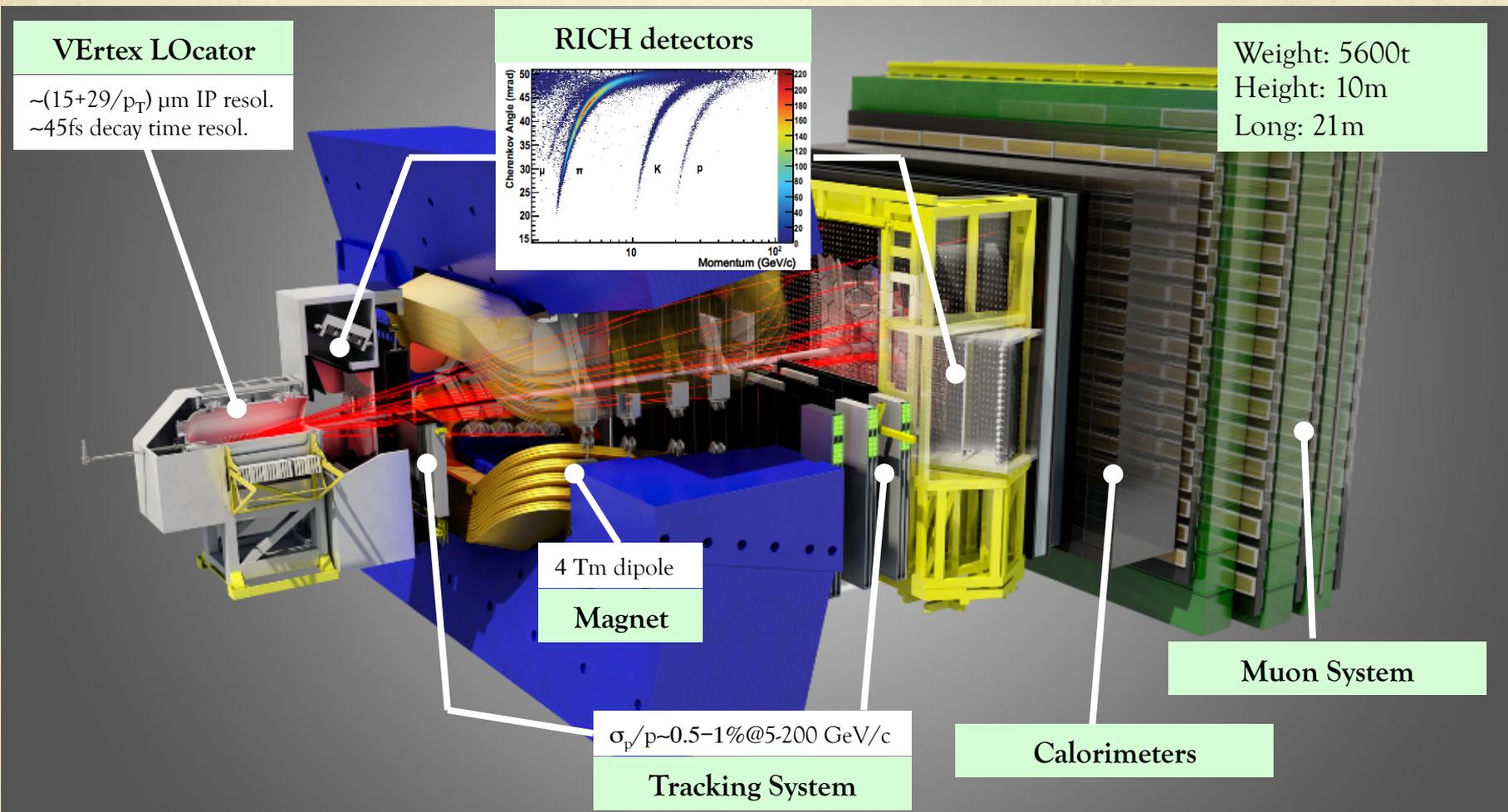
- About a factor 20-30 larger than samples collected by the CDF experiment in  $10 \text{ fb}^{-1}$ .

- Just the beginning of new era aiming at the full exploitation of the Large Hadron Collider.



# The LHCb detector

The LHC detector at LHC, JINST 3 (2008) S08005



Excellent trigger capabilities (Level-0 of custom electronics + HLT of commercial CPUs) to handle 11MHz of visible physics collisions. Events written on tape extremely fast at 2.5KHz, where typical event size is 60-100KBytes in RunI. See talk from B. Sciascia on “Trigger performances in RunII”.

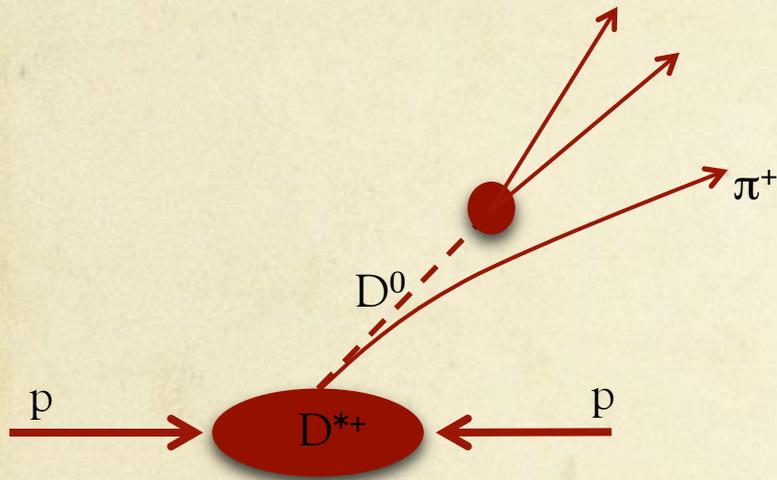
# $\Delta A_{CP}(D^0 \rightarrow h^+ h^-)$

- Effects of “direct” CP violation can be isolated by taking the difference between the time-integrated CP asymmetries in the  $K^+K^-$  and  $\pi^+\pi^-$  modes:

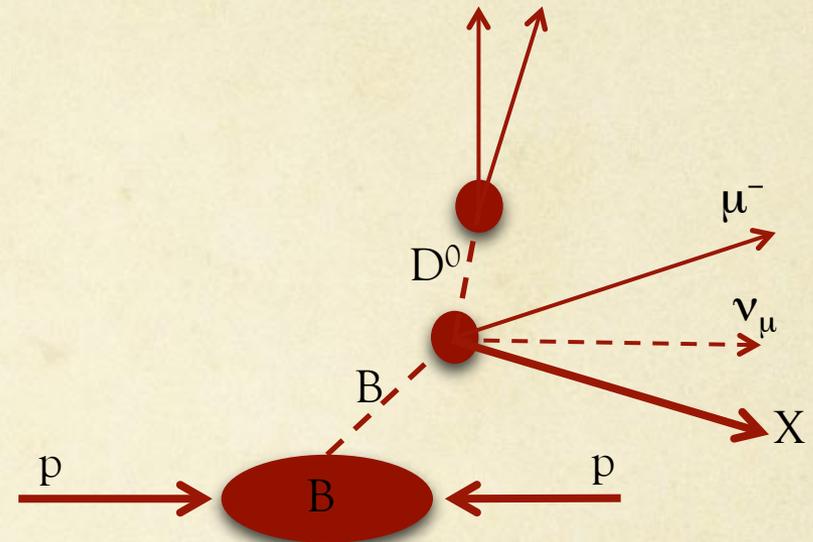
$$\begin{aligned} \Delta A_{CP} &\equiv A_{CP}(K^-K^+) - A_{CP}(\pi^-\pi^+) \\ &\approx \Delta a_{CP}^{\text{dir}} \left( 1 + \frac{\langle t \rangle}{\tau} y_{CP} \right) + \frac{\Delta \langle t \rangle}{\tau} a_{CP}^{\text{ind}}, \end{aligned}$$

- where a residual **experiment-dependent** contribution from indirect CP violation can be present, due to the fact that there may be a decay time dependent acceptance function that can be different for the  $K^+K^-$  and  $\pi^+\pi^-$  channels.
- **Well suited for LHCb because of cancellation of instrumental and production asymmetries.** (See P. Marino’s poster for more details.)

# D<sup>0</sup> flavour tagging



$D^{*+} \rightarrow D^0 \pi^+$  decay chain  
from charge of soft pion



semi-leptonic  $B \rightarrow D^0 \mu^- \nu_\mu X$   
from charge of muon

# Raw asymmetries (soft pion tag)

$$A_{\text{raw}}(f) = \frac{N[D^{*+} \rightarrow D^0(f)\pi_s^+] - N[D^{*-} \rightarrow \bar{D}^0(f)\pi_s^-]}{N[D^{*+} \rightarrow D^0(f)\pi_s^+] + N[D^{*-} \rightarrow \bar{D}^0(f)\pi_s^-]}$$

Physics observable

$$A_{\text{raw}}(f) \approx A_{CP}(f) + A_D(f) + A_D(\pi_s^+) + A_P(D^{*+})$$

~1%

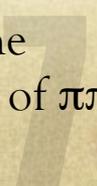
detection asymmetries in reconstructing the final  
**Null for CP-eigenstates**  
**f=ππ, KK.**

detection asymmetries in reconstructing the soft pion. **It cancels out in the difference.**

D\*+ production asymmetry, mainly arising from the hadronisation of charm quark in pp collisions. **It cancels out in the difference.**

$$\Delta A_{CP} = A_{\text{raw}}(K^- K^+) - A_{\text{raw}}(\pi^- \pi^+) = A_{CP}(K^- K^+) - A_{CP}(\pi^- \pi^+)$$

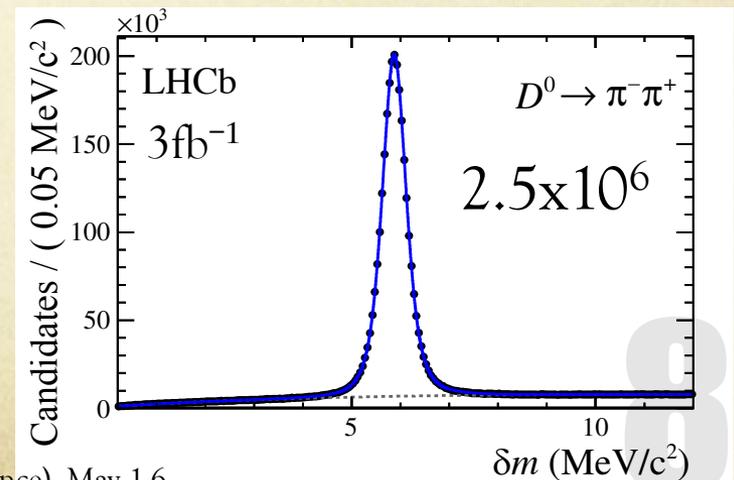
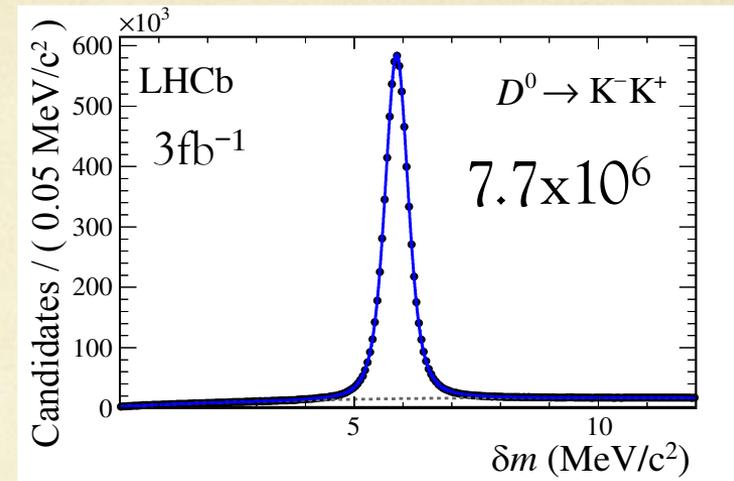
To account for an imperfect cancellation of detection and production asymmetries due to the difference in the kinematic properties, distributions of D\* decays of KK reweighted to those of ππ.



# Analysis in a nutshell

- Selection:
  - Rectangular cuts on momenta, lifetime and quality of decays products.
  - Removal of kinematics regions of soft pion with large (up to 100%) instrumental raw asymmetries.
  - Tight cuts on K and  $\pi$  PID variables to suppress mis-ID backgrounds.
  - Cut on  $D^0$  mass to suppress multi-body decays.
  - Cut on  $D^0$  IP $\chi^2$  (vertex compatibility) to suppress backgrounds from secondary decays.
- Simultaneous fit of  $\delta m = m(D^{*+}) - m(D^0) - m_\pi$  distributions for  $D^{*+}$  and  $D^{*-}$  data samples.
- Extensive checks of result stability as a function of many variables: magnet polarity, beam energy, trigger categories, kinematic variables, PID requirements, etc...

LHCb-PAPER-2015-055  
 arXiv:1602.03160, Accepted by PRL



# $\Delta A_{CP}(D^0 \rightarrow h^+ h^-)$ : result in $3\text{fb}^{-1}$

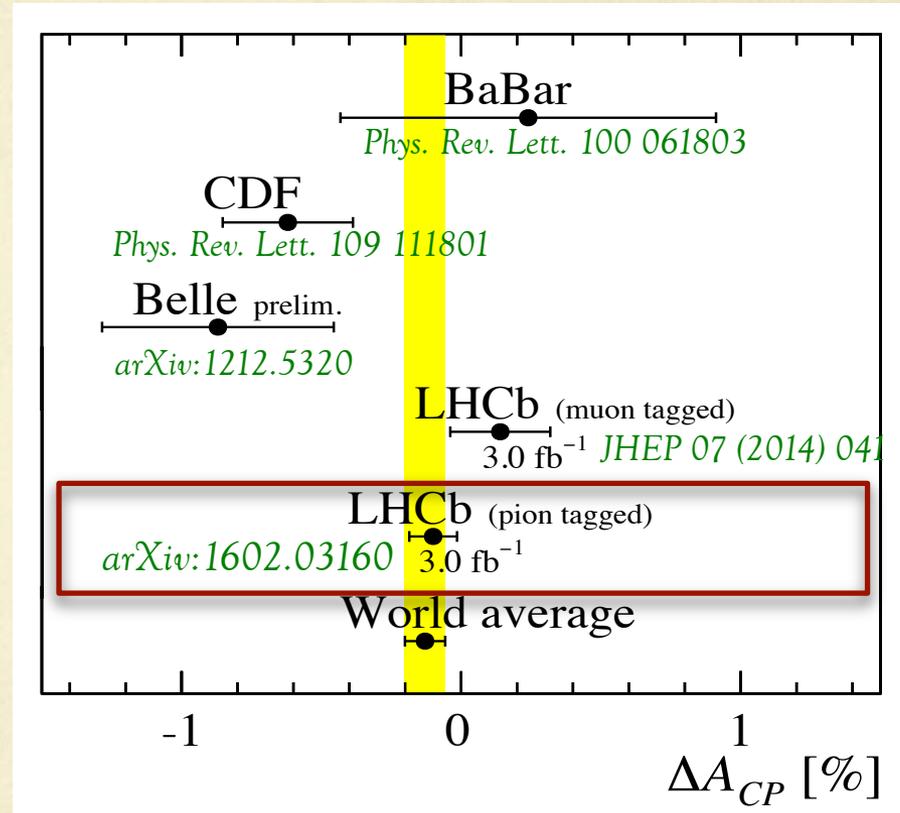
$$\Delta A_{CP} = (-0.10 \pm 0.08 \text{ (stat)} \pm 0.03 \text{ (syst)}) \%$$

*LHCb-PAPER-2015-055, arXiv:1602.03160, Accepted by PRL for publication.*

- Final LHCb words with full Run I data.
  - Compatible with null hypothesis with a statistical precision below  $10^{-3}$  (LHCb Run II is currently ongoing).
  - Systematic uncertainty already approaching  $10^{-4}$  level.
- Fully compatible with the independent LHCb muon-tagged result [*JHEP 07 (2014) 041*]:  $\Delta A_{CP} = (+0.14 \pm 0.16 \text{ (stat)} \pm 0.08 \text{ (syst)})\%$ .
- Along with the LHCb  $A_{\Gamma}$  measurement, the most precise measurements of CP Violation in the charm and beauty sector.

# $\Delta A_{CP}$ state-of-the-art

- This supersedes previous published LHCb result on  $0.6 \text{ fb}^{-1}$   
 $\Delta A_{CP} = (-0.82 \pm 0.21)\%$   
 [*PRL* 108(2012), 111602].
- and preliminary result on  $1 \text{ fb}^{-1}$   
 $\Delta A_{CP} = (-0.34 \pm 0.18)\%$   
 [*LHCb-CONF-2013-003*].
- Factors contributing to the change
  - larger data sample size
  - improved detector calibration and reconstruction software.



Naïve weighted average (neglecting indirect CPV contribution) gives  
 $\Delta A_{CP} = (-0.129 \pm 0.072)\%$  fully dominated by LHCb results.

# LHCb CPV searches in $D^0 \rightarrow h^+ h^-$

Using only experimental LHCb inputs for  $A_\Gamma, y_{CP}$

$$\Delta A_{CP} \approx \Delta a_{CP}^{\text{dir}} \left( 1 + \frac{\langle \bar{t} \rangle}{\tau} y_{CP} \right) + \frac{\Delta \langle t \rangle}{\tau} a_{CP}^{\text{ind}}$$

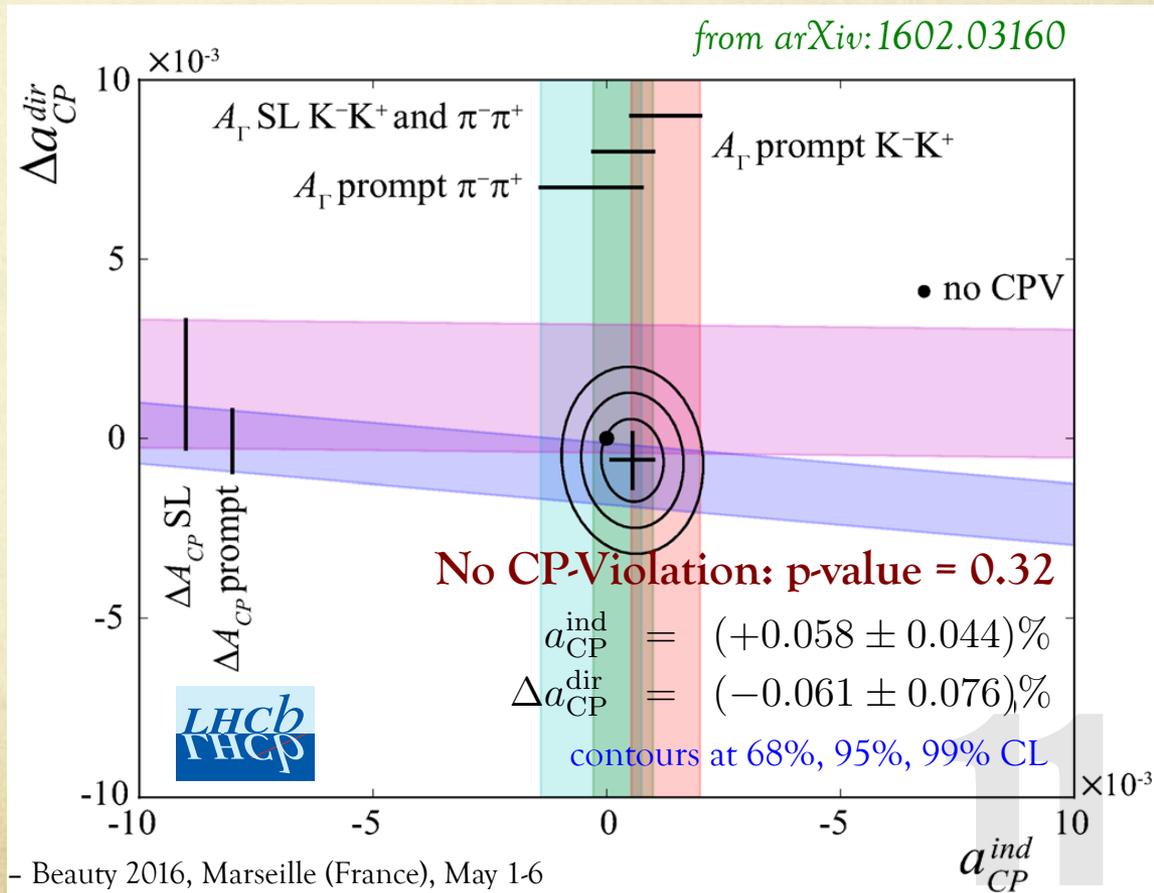
HFAG (Winter-2016) averages including results from all experiments:

$$a_{CP}^{\text{ind}} = (+0.056 \pm 0.040)\%$$

$$\Delta a_{CP}^{\text{dir}} = (-0.137 \pm 0.070)\%$$

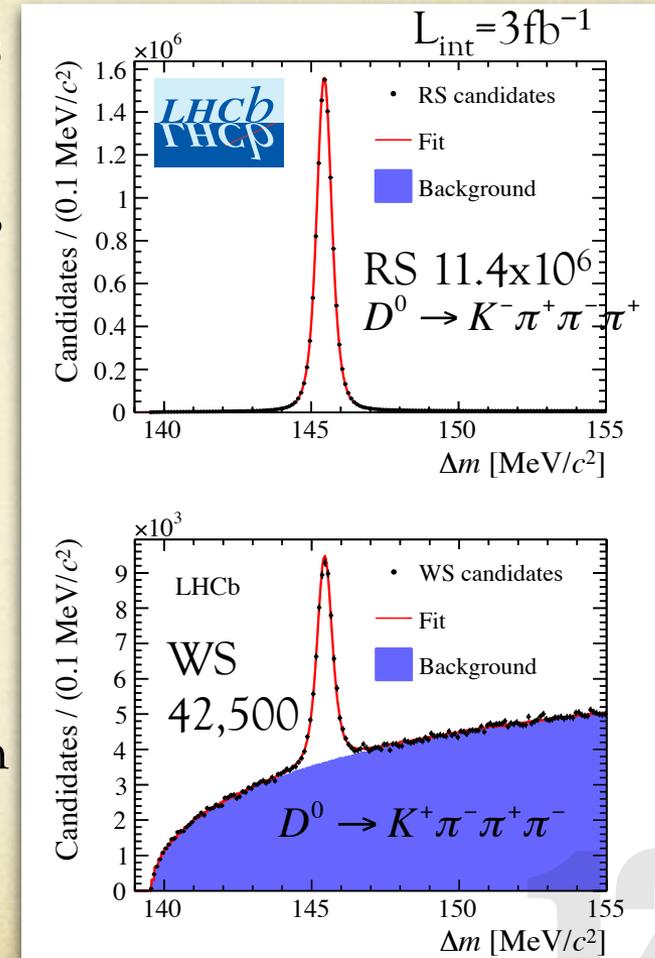
compatible with no-CPV at 6.5% CL.

Average fully dominated by LHCb measurements.

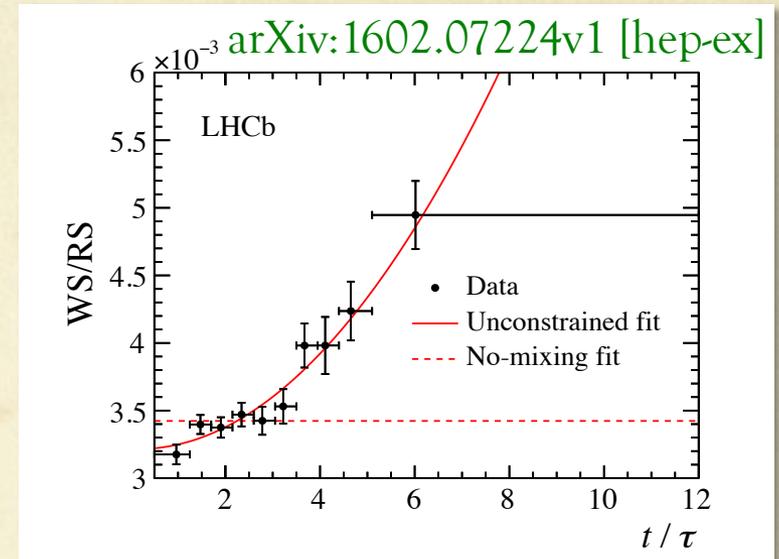
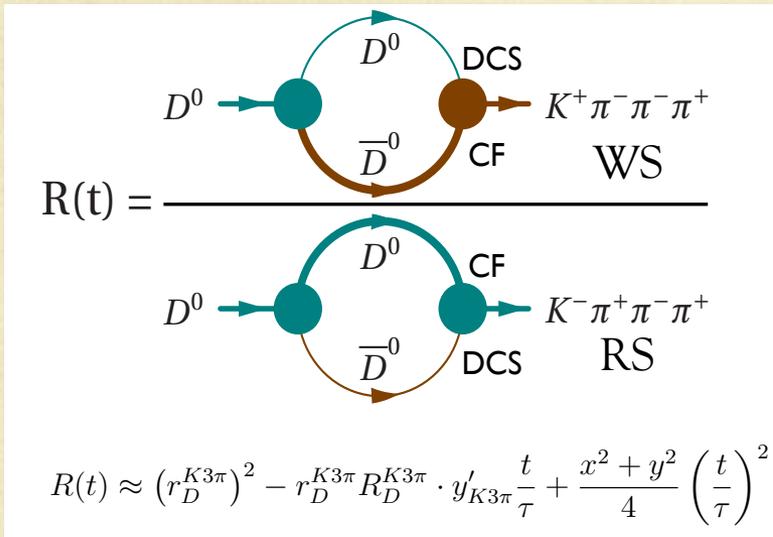


# Observation of $D^0$ mixing in multi-body decay

- Fully inspired to the the two-body decays analysis [[PRL 110 \(2013\),101802](#)]
  - $D^0$  flavour tagged with  $D^{*+} \rightarrow D^0 \pi^+$  decay,
  - Time dependence of  $WS/RS(t)$  ratio.
- Analysis challenges:
  - “higher” combinatorial and misreconstructed background,
  - contribution of secondary B-decays,
  - trigger efficiency depends on its location in the 5-dim phase space of the decay.



# Observation of $D^0$ mixing in multi-body decay



No mixing hypothesis rejected at  $8.2\sigma$ .

First time for a multi-body decays.

Uncertainty on  $R_D y'$  reduces by 9% when systematics on WS/RS ratio is set to zero.

Constraint on  $R_D^{K3\pi} y'_{K3\pi}$  is an essential ingredient for  $\gamma$  measurement in  $B^+ \rightarrow D^0 K^+ \rightarrow [K^- \pi^+ \pi^- \pi^+] K^+$ .

| Fit Type                      | Parameter                      | Fit result                       |
|-------------------------------|--------------------------------|----------------------------------|
| $\chi^2/\text{ndf}$ (p-value) |                                |                                  |
| Unconstrained                 | $r_D^{K3\pi}$                  | $(5.67 \pm 0.12) \times 10^{-2}$ |
| 7.8/7 (0.35)                  | $R_D^{K3\pi} \cdot y'_{K3\pi}$ | $(0.3 \pm 1.8) \times 10^{-3}$   |
|                               | $\frac{1}{4}(x^2 + y^2)$       | $(4.8 \pm 1.8) \times 10^{-5}$   |
| Mixing-constrained            | $r_D^{K3\pi}$                  | $(5.50 \pm 0.07) \times 10^{-2}$ |
| 11.2/8 (0.19)                 | $R_D^{K3\pi} \cdot y'_{K3\pi}$ | $(-3.0 \pm 0.7) \times 10^{-3}$  |
|                               | $x$                            | $(4.1 \pm 1.7) \times 10^{-3}$   |
|                               | $y$                            | $(6.7 \pm 0.8) \times 10^{-3}$   |

# Rare charm decays

○ Flavor Changing Neutral Currents (FCNCs) are processes that change the flavor of a fermion current without altering its charge.

- may occur in the SM beyond the tree level, but they are highly suppressed (GIM mec.),
- NP could significantly enhance FCNC.

○ Lepton flavour violating are forbidden within the SM

- probe potential contributions from new processes and particle at mass scales beyond the reach of the direct searches.

First observation of  $D^0 \rightarrow K^- \pi^+ \mu^- \mu^+$   
[*arXiv:1510.08367, submitted to PLB*]

Search for the decay  $D^0 \rightarrow \pi^- \pi^+ \mu^- \mu^+$   
[*PLB 728 (2014) 234*]

Search for  $D^0 \rightarrow \mu^+ \mu^-$   
[*Phys. Lett. B 725,15 (2013)*]

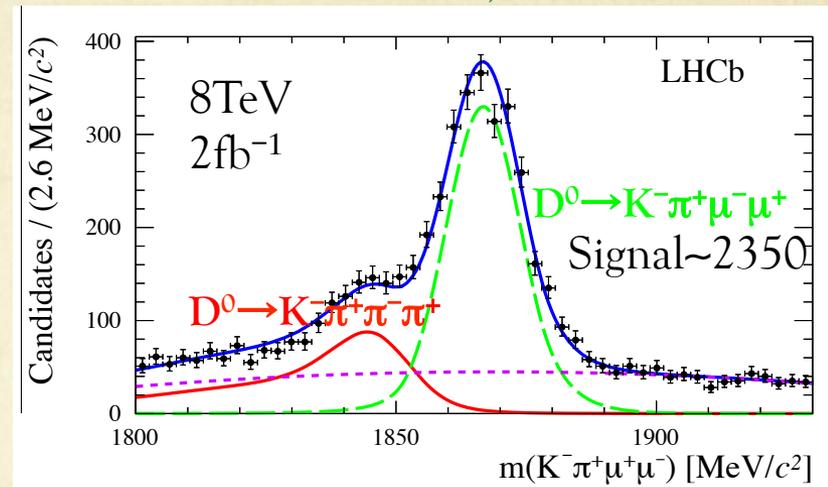
Search for  $D^+_{(s)} \rightarrow \pi^+ \mu^+ \mu^-$   
[*PLB 724 (2013) 203*]

Search of  $D^0 \rightarrow e^\pm \mu^\mp$   
[*PLB 754 (2016) 167*]

# First observation of $D^0 \rightarrow K^- \pi^+ \mu^- \mu^+$

- Short-distance contribution of the inclusive  $D \rightarrow X \mu^+ \mu^- \sim \mathcal{O}(10^{-9})$  [[PRD83,\(2011\)114006](#)]
- However with “long-distance” contribution through tree diagrams involving vector resonances such as  $X = \phi, \rho^0, \omega$   $\text{BR} \sim \mathcal{O}(10^{-6})$  [[PRD83,\(2011\)114006](#), [PRD76\(2007\), 074010](#); [JHEP 04\(2013\)135](#)]
- In the region  $675 < m(\mu^+ \mu^-) < 875 \text{ MeV}/c^2$ .
- $D^0 \rightarrow K^- \pi^+ \pi^- \pi^+$  as normalization. BR from CLEO experiment [[PRD89\(2014\),072002](#)]

*arXiv:1510.08367, submitted to PLB*



*arXiv:1510.08367, submitted to PLB*

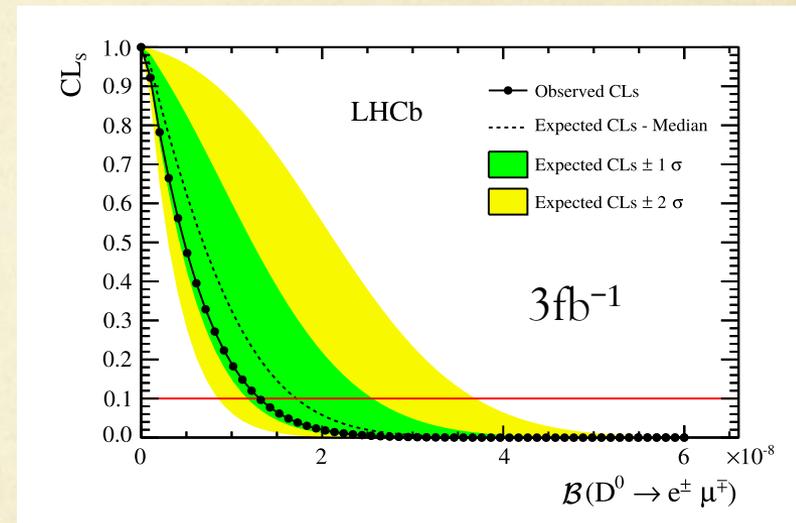
$$\mathcal{B}(D^0 \rightarrow K^- \pi^+ \mu^+ \mu^-) = (4.17 \pm 0.12 \text{ (stat)} \pm 0.40 \text{ (syst)}) \times 10^{-6}.$$

Lower than one order of magnitude of previous most stringent upper limit from B-Factories.  
 Compatible with SM expectation of  $6.7 \times 10^{-6}$  [[JHEP 04\(2013\)135](#)] in the full dimuon mass range.

# Search for LFV $D^0 \rightarrow e\mu$

- Forbidden in the SM.
  - however it is predicted to occur in several SM extensions, with rates varying by up to eight order of magnitudes [ $10^{-6} - 10^{-14}$ ].
- Most stringent limit from Belle  
 $BR < 2.6 \times 10^{-6}$  at 90%CL.
- $D^0 \rightarrow K^- \pi^+$  as normalization

PLB 754 (2016) 167



PLB 754 (2016) 167

$$\mathcal{B}(D^0 \rightarrow e^\pm \mu^\mp) < 1.3 \times 10^{-8} \text{ at 90\% CL}$$

# Conclusions

- LHC is a super-duper charm-factory, and LHCb is doing an excellent job collecting the largest ever data samples.
- In Run I (especially for two-body golden modes) :
  - Achieved statistical precision below  $10^{-3}$ , and systematics already close to the impressive value of  $10^{-4}$ .
  - No hints of CP-violation (or anomalies) have been found so far, however LHCb has just started to approach SM expectations.
  - Limits on rare decays improved by orders of magnitude.
- LHCb Run II is currently ongoing with an “improved” trigger system and the size of our data samples are expected to increase much more than proportionally to the integrated luminosity.

# Conclusions (cont'd)

- Probing today the charm sector at unprecedented level of precision (up to and beyond  $10^{-4}$ ) is extremely important not only by itself, but also because
- it provides us the concrete feeling that systematic walls are still far and “very precise” beauty (and charm) physics can be done at future HL-LHC experiments. Please take a look at
  - G. Simi’s talk: LHCb-Upgrade
  - F. Teubert’s talk: Beyond LHCb upgrade: long term plans.

## Thanks

# Backup

# And many many other measurements...

| TITLE   | DOCUMENT NUMBER | JOURNAL                      | SUBMITTED ON |
|---|-----------------|------------------------------|--------------|
| First observation of $D^0 - \bar{D}^0$ oscillations in $D^0 \rightarrow K^+\pi^-\pi^+\pi^-$ decays and measurement of the associated coherence parameters | PAPER-2015-057  | PRL                          | 23 Feb 2016  |
| Measurement of the difference of time-integrated CP asymmetries in $D^0 \rightarrow K^-K^+$ and $D^0 \rightarrow \pi^-\pi^+$ decays                       | PAPER-2015-055  | PRL                          | 09 Feb 2016  |
| Study of $D_{sJ}^{(*)+}$ mesons decaying to $D^{*+}K_S^0$ and $D^{*0}K^+$ final states  | PAPER-2015-052  | JHEP 02 (2016) 133           | 07 Jan 2016  |
| Search for the lepton-flavour violating decay $D^0 \rightarrow e^\pm\mu^\mp$  | PAPER-2015-048  | Phys. Lett. B754 (2016) 167  | 01 Dec 2015  |
| First observation of the decay $D^0 \rightarrow K^-\pi^+\mu^+\mu^-$ in the $\rho^0$ - $\omega$ region of the dimuon mass spectrum                         | PAPER-2015-043  | PLB                          | 28 Oct 2015  |
| Measurements of prompt charm production cross-sections in $pp$ collisions at $\sqrt{s} = 13$ TeV  | PAPER-2015-041  | JHEP 03 (2016) 159           | 06 Oct 2015  |
| Model-independent measurement of mixing parameters in $D^0 \rightarrow K_S^0\pi^+\pi^-$ decays  | PAPER-2015-042  | JHEP 04 (2016) 033           | 06 Oct 2015  |
| Studies of the resonance structure in $D^0 \rightarrow K_S^0K^\pm\pi^\mp$ decays  | PAPER-2015-026  | Phys. Rev. D93 (2016) 052018 | 22 Sep 2015  |
| Measurement of the time-integrated CP asymmetry in $D^0 \rightarrow K_S^0K_S^0$ decays  | PAPER-2015-030  | JHEP 10 (2015) 055           | 25 Aug 2015  |
| Measurement of indirect CP asymmetries in $D^0 \rightarrow K^-K^+$ and $D^0 \rightarrow \pi^-\pi^+$ decays  | PAPER-2014-069  | JHEP 04 (2015) 043           | 27 Jan 2015  |
| Search for CP violation in $D^0 \rightarrow \pi^-\pi^+\pi^0$ decays with the energy test  | PAPER-2014-054  | Phys. Lett. B740 (2015) 158  | 15 Oct 2014  |
| Search for CP violation using T-odd correlations in $D^0 \rightarrow K^+K^-\pi^+\pi^-$ decays   | PAPER-2014-046  | JHEP 10 (2014) 005           | 06 Aug 2014  |
| Search for CP violation in $D^\pm \rightarrow K_S^0K^\pm$ and $D_s^\pm \rightarrow K_S^0\pi^\pm$ decays   | PAPER-2014-018  | JHEP 10 (2014) 025           | 10 Jun 2014  |
| Measurement of CP asymmetry in $D^0 \rightarrow K^-K^+$ and $D^0 \rightarrow \pi^-\pi^+$ decays   | PAPER-2014-013  | JHEP 07 (2014) 041           | 12 May 2014  |

.....  
 .....

# $A_\Gamma$ : indirect CPV in $D^0 \rightarrow h^+ h^-$

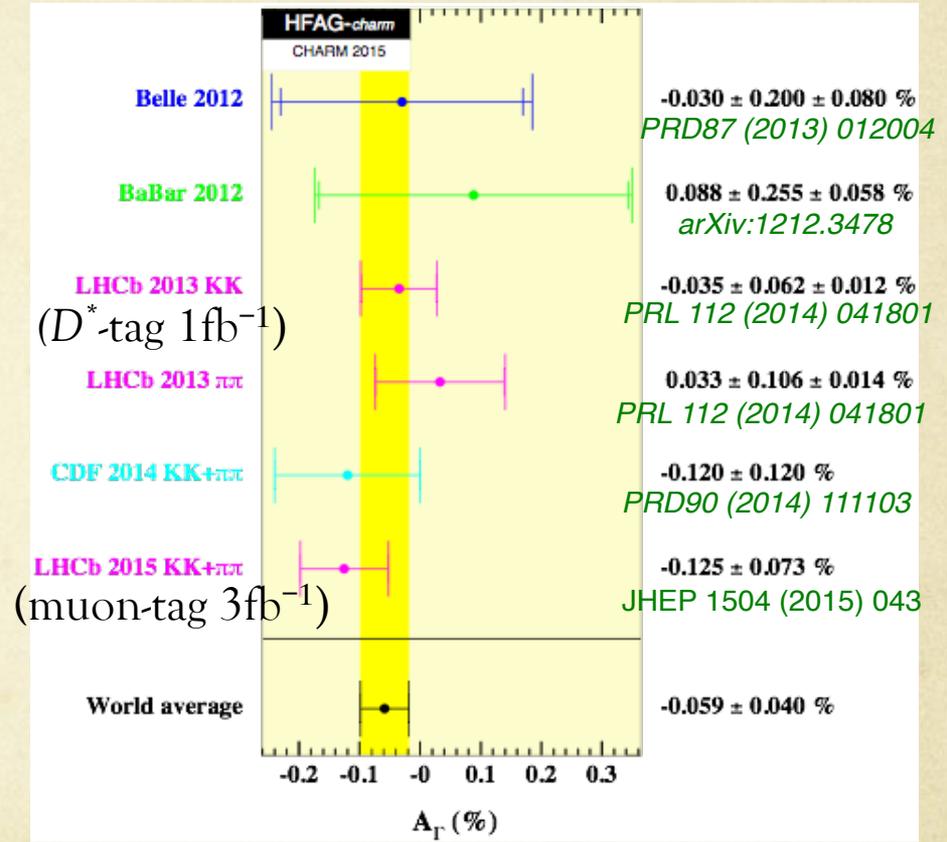
Time-dependent CP asymmetry:

$$A_{CP}(t) = \frac{\Gamma(D^0(t) \rightarrow f) - \Gamma(\bar{D}^0(t) \rightarrow f)}{\Gamma(D^0(t) \rightarrow f) + \Gamma(\bar{D}^0(t) \rightarrow f)} \approx a_{CP}^{dir} - \frac{t}{\tau_{D^0}} A_\Gamma$$

$A_\Gamma$  measures CPV in the mixing ( $A_m$ ) and in the decay ( $A_d$ ):

$$A_\Gamma \approx \left[ \frac{1}{2} (A_m + A_d) y \cos \phi - x \sin \phi \right]$$

$$A_m = \left| \frac{q}{p} \right|^2 - 1 \quad A_d = \left| \frac{\bar{A}_f}{A_f} \right|^2 - 1$$



World best measurement from LHCb  $D^*$ -tagged using  $1\text{fb}^{-1}$  of integrated luminosity. Measurement on full RunI data sample ( $3\text{fb}^{-1}$ ) expected to be released soon.