

# The Standard Model

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# Plan of Lectures

1. Symmetries
2. QCD
3. The leptonic SM
4. The Standard Model
5. The SM as an EFT
  - EW precision measurements
  - Flavor physics
  - Neutrino masses
6. Summary

# Symmetries

# The Lagrangian

$$\mathcal{L}[\phi_i(x), \partial_\mu \phi_i(x)]$$

- A function of the fields and their derivatives only
- Depends on the fields taken at one space-time point  $x^\mu$  only
- Real
- Invariant under the Poincaré group
- Analytic function in the fields
- Invariant under certain internal symmetry groups
- Natural
- (Renormalizable)

# The Lagrangian: Examples

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- The most general renormalizable  $\mathcal{L}_{\phi,\psi}$ :

$$\mathcal{L}(\phi, \psi) = \mathcal{L}_{\text{kin}} + \mathcal{L}_{\psi} + \mathcal{L}_{\phi} + \mathcal{L}_{\text{Yuk}}$$

- Real scalar  $\phi$ :

$$\mathcal{L}_S = \frac{1}{2} \partial^\mu \phi \partial_\mu \phi - \frac{m^2}{2} \phi^2 - \frac{\mu}{2\sqrt{2}} \phi^3 - \frac{\lambda}{4} \phi^4$$

- Dirac fermion  $\psi$ :

$$\mathcal{L}_F = i\bar{\psi} \not{\partial} \psi - m\bar{\psi} \psi$$

- A single Dirac fermion and a single real scalar:

$$\mathcal{L}(\phi, \psi) = \mathcal{L}_S + \mathcal{L}_F + \mathcal{L}_{\text{Yuk}}; \quad \mathcal{L}_{\text{Yuk}} = -Y \bar{\psi}_L \psi_R \phi + \text{h.c.}$$

- A single fermion charged under a local  $U(1)$  symmetry:

$$\mathcal{L}_{\text{kin}} = i\bar{\psi} \not{D} \psi - \frac{1}{4} F^{\mu\nu} F_{\mu\nu};$$

$$D^\mu = \partial^\mu + ieq_\psi A^\mu, \quad F^{\mu\nu} = \partial^\mu A^\nu - \partial^\nu A^\mu$$

## Imposed *vs.* Accidental

- Symmetry = invariance properties of the Lagrangian
- *Imposed symmetries* are the starting point of model building. In these lectures, we will see how imposed symmetries lead to predictions that can be tested in experiments.
- *Accidental symmetries* are a result of (i) the imposed symmetries, (ii) the particle content, (iii) renormalizability. In general they are broken by nonrenormalizable terms and thus expected to approximately hold in low energy experiments.

# Symmetries and their consequences

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Type	Consequences
Spacetime	Conservation of E, P, L
Discrete	Selection rules
Global (exact)	Conserved charges
Global (spon. broken)	Massless scalars
Local (exact)	Interactions, massless spin-1 mediators
Local (spon. broken)	Interactions, massive spin-1 mediators

# Symmetries and fermion masses

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- Dirac mass
  - $m_D \overline{\psi}_L \psi_R + \text{h.c.}$
  - Allowed only for fermions in a vector-like representation  
Forbidden for fermions in a chiral representation
  - Dirac fermion has 4 degrees of freedom
- Majorana mass
  - $m_M \overline{\psi}_R^c \psi_R, \quad \psi^c = C \overline{\psi}^T$
  - Allowed only for fermions that are neutral under  $U(1)$  or in a real rep of  $SU(N)$   
Forbidden for charged [complex rep] fermions under  $U(1)$  [ $SU(N)$ ]
  - Majorana fermion has 2 degrees of freedom



## Defining a model

- The symmetry;
- The transformation properties of the fermions and scalars;
- The pattern of spontaneous symmetry breaking (SSB)

## Analyzing a model

- Write down the most general  $\mathcal{L}$
- Extract the spectrum
- Obtain the interactions among the mass eigenstates
- Accidental symmetries
- Count and identify the parameters
- Experimental tests

QCD: Quarks and  $SU(3)_C$

## Defining the QCD model

- The symmetry is a local  $SU(3)_C$
- Fermions:  $Q_{Li}(3)$ ,  $Q_{Ri}(3)$ ,  $i = 1, \dots, 6$
- No scalars, no SSB

## $SU(3)_C$

- Eight generators:  $L_{1,\dots,8}$ :

$$[L_a, L_b] = if_{abc}L_c$$

- A single coupling constants:

$$g_s$$

- Eight gauge boson degrees of freedom:

$$G_a^\mu \quad (8)$$

- Field strengths:

$$G_a^{\mu\nu} = \partial^\mu G_a^\nu - \partial^\nu G_a^\mu - g_s f_{abc} G_b^\mu G_c^\nu$$

- The covariant derivative:

$$D^\mu = \partial^\mu + ig_s G_a^\mu L_a$$

- For  $SU(3)$ -triplets:

$$L_a = \frac{1}{2}\lambda_a \quad \text{with } \lambda_a = \text{The } 3 \times 3 \text{ Gell-Mann matrices}$$

$\mathcal{L}_{\text{kin}}$ 

$$\mathcal{L}_{\text{kin}} = -\frac{1}{4} G_a^{\mu\nu} G_{a\mu\nu} + i\overline{Q_{Li}} \not{D} Q_{Li} + i\overline{Q_{Ri}} \not{D} Q_{Ri}$$

- $D^\mu Q_L = \left( \partial^\mu + \frac{i}{2} g_s G_a^\mu \lambda_a \right) Q_L$
- $D^\mu Q_R = \left( \partial^\mu + \frac{i}{2} g_s G_a^\mu \lambda_a \right) Q_R$

$\mathcal{L}_\psi, \mathcal{L}_\phi, \mathcal{L}_{\text{Yuk}}$ 

$$\mathcal{L}_\psi = -\overline{Q_{Li}} M_{ij}^Q Q_{Rj} + \text{h.c.}$$

- $Q_L(3), Q_R(3) =$  vector representation;  
Dirac mass allowed
- $Q_L(3), Q_R(3) =$  complex representation of  $SU(3)$ ;  
No Majorana mass
- Without loss of generality, can choose a basis where  
 $M^Q = \text{diag}(m_u, m_d, m_s, m_c, m_b, m_t)$

## $\mathcal{L}_\psi, \mathcal{L}_\phi, \mathcal{L}_{\text{Yuk}}$

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- $Q_L(3), Q_R(3) =$  complex representation of  $SU(3)$ ;  
No Majorana mass
- Without loss of generality, can choose a basis where  
 $M^Q = \text{diag}(m_u, m_d, m_s, m_c, m_b, m_t)$
- No scalars:  $\mathcal{L}_{\text{Yuk}} = 0, \quad \mathcal{L}_\phi = 0$



$\mathcal{L}_{\text{QCD}}$ 

- Define a Dirac fermion  $q = (Q_L, Q_R)^T$
- $q = u, d, s, c, b, t$

$$\mathcal{L}_{\text{QCD}} = -\frac{1}{4}G_a^{\mu\nu}G_{a\mu\nu} + i\bar{q}\not{D}q - m_q\bar{q}q$$

## The spectrum

- A massless gluon (color-octet)
- Six massive Dirac fermions (color-triplets)

## The interactions

- Gluon-fermions interactions:

$$-\frac{g_s}{2} \bar{q} \lambda_a \gamma_\mu G_a^\mu q$$

- Gluon self-interactions:

$$g_s f_{abc} (\partial^\mu G_a^\nu) G_b^\mu G_c^\nu + g_s^2 (f_{abc} G_b^\mu G_c^\nu) (f_{ade} G_d^\mu G_e^\nu)$$

- Experiment:  $\alpha_s(m_Z^2) = 0.1185 \pm 0.0006$

- $g_s \downarrow$  for  $E \uparrow$

– Perturbative QCD successful at  $E \gg GeV$

- $g_s \uparrow$  for  $E \downarrow$

– Calculations difficult for  $E \lesssim GeV$

– Confinement: Quarks and gluons are bound in hadrons

# The strong interactions

The strong interactions are:

- Vectorial
- Parity-conserving
- Diagonal
- Universal

# Hadrons

- We do not observe free quarks in Nature
- All asymptotic states are singlets of  $SU(3)_C$
- Hadrons = bound states of quarks and gluons
- Three types of hadrons:
  - Mesons:  $M = q\bar{q}$
  - Baryons:  $B = qqq$
  - Antibaryons:  $\bar{B} = \bar{q}\bar{q}\bar{q}$

## Accidental symmetries

- $\mathcal{L}_{\text{kin}}$  has a large accidental symmetry:

$$G_{\text{QCD}}^{\text{global}}(M^Q = 0) = U(6)_{Q_L} \times U(6)_{Q_R}$$

- The quark masses break this symmetry to a subgroup:

$$G_{\text{QCD}}^{\text{global}} = U(1)_u \times U(1)_d \times U(1)_s \times U(1)_c \times U(1)_b \times U(1)_t$$

- All quarks are stable;

(Of course, quarks are not stable, *e.g.*  $b \rightarrow c\bar{c}s$ )

$\implies$  QCD is an incomplete model of quark interactions)

- $u\bar{u} \rightarrow t\bar{t}$  allowed;  $u\bar{t} \rightarrow t\bar{u}$  forbidden

## Counting parameters

- $M^Q \implies 36_R + 36_I$  parameters
- $[U(6)]^2 \rightarrow [U(1)]^6$   
 $\implies (2 \times 15)_R + (2 \times 21 - 6)_I$  parameters can be removed
- $6_R + 0_I$  physical parameters;  
 6 quark masses
- Experiments:
  - $m_u = 2.3^{+0.7}_{-0.5}$ ,  $m_d = 4.8^{+0.5}_{-0.3}$ ,  $m_s = 95 \pm 5$  [MeV]
  - $m_c = 1.27 \pm 0.03$ ,  $m_b = 4.18 \pm 0.03$ ,  $m_t = 173.2 \pm 0.9$  [GeV]
- The QCD model is a seven parameter model:  
 $\alpha_s, m_u, m_d, m_s, m_c, m_b, m_t$

LSM: Leptons and  $SU(2)_L \times U(1)_Y$



## Defining the LSM

- The symmetry is a local  $SU(2)_L \times U(1)_Y$
- Fermions:  $L_{Li}(2)_{-1/2}$ ,  $E_{Ri}(1)_{-1}$ ,  $i = 1, 2, 3$
- Scalars:  $\phi(2)_{+1/2}$
- SSB:  $SU(2)_L \times U(1)_Y \rightarrow U(1)_{EM}$  where  $Q_{EM} = T_3 + Y$

## $SU(2)_L \times U(1)_Y$

- Four generators:  $T_{1,2,3}, Y$ :  
 $[T_a, T_b] = i\epsilon_{abc}T_c, \quad [T_a, Y] = 0$
- Two coupling constants:  
 $g$  for  $SU(2)$  couplings;  $g'$  for  $U(1)$  coupling
- Four gauge boson degrees of freedom:  
 $W_a^\mu(3)_0, B^\mu(1)_0$
- Field strengths:  
 $W_a^{\mu\nu} = \partial^\mu W_a^\nu - \partial^\nu W_a^\mu - g\epsilon_{abc}W_b^\mu W_c^\nu, \quad B^{\mu\nu} = \partial^\mu B^\nu - \partial^\nu B^\mu$
- The covariant derivative:  
 $D^\mu = \partial^\mu + igW_a^\mu T_a + ig'Y B^\mu$
- For  $SU(2)$ -doublets:  
 $T_a = \frac{1}{2}\sigma_a$  with  $\sigma_a =$  The  $2 \times 2$  Pauli matrices

$\mathcal{L}_{\text{kin}}$ 

$$\mathcal{L}_{\text{kin}} = -\frac{1}{4}W_a^{\mu\nu}W_{a\mu\nu} - \frac{1}{4}B^{\mu\nu}B_{\mu\nu} + i\overline{L_{Li}}\not{D}L_{Li} + i\overline{E_{Ri}}\not{D}E_{Ri} + (D^\mu\phi)^\dagger(D_\mu\phi)$$

- $D^\mu L_L = \left(\partial^\mu + \frac{i}{2}gW_a^\mu\sigma_a - \frac{i}{2}g'B^\mu\right) L_L$
- $D^\mu E_R = (\partial^\mu - ig'B^\mu) E_R$
- $D^\mu\phi = \left(\partial^\mu + \frac{i}{2}gW_a^\mu\sigma_a + \frac{i}{2}g'B^\mu\right)\phi$

$\mathcal{L}_\psi$ 

$$\mathcal{L}_\psi = 0$$

- $L_L(2)_{-1/2}, E_R(1)_{-1}$  = chiral representation  
No Dirac mass
- $L_L(2)_{-1/2}, E_R(1)_{-1}$  = charged under  $U(1)_Y$   
No Majorana mass

$\mathcal{L}_{\text{Yuk}}$ 

$$\mathcal{L}_{\text{Yuk}} = Y_{ij}^e \bar{L}_{Li} E_{Rj} \phi + \text{h.c.}$$

- $i, j = 1, 2, 3 =$  flavor indices
- $Y^e$  is a general complex  $3 \times 3$  matrix of dimensionless couplings
- Without loss of generality, can choose a basis where  $Y^e = \text{diag}(y_e, y_\mu, y_\tau)$

$\mathcal{L}_\phi$ 

$$\mathcal{L}_\phi = -\mu^2 \phi^\dagger \phi - \lambda (\phi^\dagger \phi)^2$$

- $\lambda$  dimensionless and real;  
 $\lambda > 0$  for the potential to be bounded from below
- $\mu^2$  is of mass dimension 2 and real;  
 $\mu^2 < 0$  required for SSB

## $\mathcal{L}_\phi$ and SSB

$$\mathcal{L}_\phi = -\mu^2 \phi^\dagger \phi - \lambda (\phi^\dagger \phi)^2$$

- Define  $v^2 \equiv -\mu^2/\lambda$
- $\mathcal{L}_\phi = -\lambda \left( \phi^\dagger \phi - \frac{v^2}{2} \right)^2$
- $\implies |\langle \phi \rangle| = v/\sqrt{2}$
- $\implies$  SSB  $SU(2) \times U(1) \rightarrow U(1)$
- $\langle \phi \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v \end{pmatrix} \implies Q_{\text{EM}} = T_3 + Y$  conserved

## A technical point

- $\phi$  has 4 degrees of freedom
- A convenient choice:  $\phi(x) = \exp \left[ i \frac{\sigma_i}{2} \theta^i(x) \right] \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + h(x) \end{pmatrix}$
- $\theta^{1,2,3}$  represent the three would-be Goldstone bosons that are eaten by the three gauge bosons that acquire masses as a result of the SSB
- The local  $SU(2)_L$  symmetry allows one to rotate away any dependence on the three  $\theta^i$
- The unitary gauge:  $\phi(x) = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + h(x) \end{pmatrix}$



$\mathcal{L}_{\text{LSM}}$ 

$$\begin{aligned}
\mathcal{L}_{\text{LSM}} = & - \frac{1}{4} W_b^{\mu\nu} W_{b\mu\nu} - \frac{1}{4} B^{\mu\nu} B_{\mu\nu} + (D^\mu \phi)^\dagger (D_\mu \phi) \\
& + i \overline{L_{Li}} \not{D} L_{Li} + i \overline{E_{Ri}} \not{D} E_{Ri} \\
& + (Y_{ij}^e \overline{L_{Li}} E_{Rj} \phi + \text{h.c.}) \\
& - \lambda (\phi^\dagger \phi - v^2/2)^2
\end{aligned}$$

## The scalar spectrum

- $h$  - a single real massive scalar degree of freedom
- $m_h = \sqrt{2\lambda}v$
- Experiment:  $m_h = 125.09 \pm 0.21 \pm 0.11$  GeV

## The vector boson spectrum I

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- Three broken generators  $\implies$  Three massive vector bosons
- $(D_\mu\phi)^\dagger(D^\mu\phi)$  contains terms  $\propto v^2$ :

$$\mathcal{L}_{VM} = \frac{1}{8} (0 \ v) \begin{pmatrix} gW_3 + g'B & g(W_1 - iW_2) \\ g(W_1 + iW_2) & -gW_3 + g'B \end{pmatrix}^\dagger \\ \times \begin{pmatrix} gW_3 + g'B & g(W_1 - iW_2) \\ g(W_1 + iW_2) & -gW_3 + g'B \end{pmatrix} \begin{pmatrix} 0 \\ v \end{pmatrix}$$

- $W_1, W_2$  do not have a well defined  $Q_{EM}$ ;  
 $W_3, B$  are not mass eigenstates

## The vector boson spectrum II

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- $W^\pm = \frac{1}{\sqrt{2}}(W_1 \mp iW_2)$
- Define  $\tan \theta_W \equiv g'/g$ 
  - $Z^0 = \cos \theta_W W_3 - \sin \theta_W B$
  - $A^0 = \sin \theta_W W_3 + \cos \theta_W B$
- $\mathcal{L}_{VM} = \frac{1}{4}g^2v^2W^+W^- + \frac{1}{8}(g^2 + g'^2)v^2Z^0Z^0$
- $m_W^2 = \frac{1}{4}g^2v^2, \quad m_Z^2 = \frac{1}{4}(g^2 + g'^2)v^2, \quad m_A^2 = 0$
- $m_A = 0$  a result of  $U(1)_{\text{EM}}$  gauge invariance;  
A consistency check of our calculation

## The $\rho = 1$ relation

- $\tan \theta_W \equiv g'/g$   
 $\implies \theta_W$  can be extracted from various weak interaction rates
- $\rho \equiv \frac{m_W^2}{m_Z^2 \cos^2 \theta_W} = 1$   
 $\implies \theta_W$  can be extracted from the spectrum
- $\rho = 1$  is a consequence of the SSB by scalar doublets
- $m_W = 80.385 \pm 0.015$  GeV;  $m_Z = 91.1876 \pm 0.0021$  GeV  
 $\implies \sin^2 \theta_W = 1 - (m_W/m_Z)^2 = 0.2229 \pm 0.0004$

# The fermion spectrum I

- SSB allows us to tell the  $T_3 = \pm 1/2$  components of the doublets:

$$\begin{pmatrix} \nu_{eL} \\ e_L \end{pmatrix}, \quad \begin{pmatrix} \nu_{\mu L} \\ \mu_L \end{pmatrix}, \quad \begin{pmatrix} \nu_{\tau L} \\ \tau_L \end{pmatrix}$$

- $\mathcal{L}_{\text{Yuk}}$  contains terms  $\propto v$ :

$$\mathcal{L}_{FM} = -\frac{y_e v}{\sqrt{2}} \bar{e}_L e_R - \frac{y_\mu v}{\sqrt{2}} \bar{\mu}_L \mu_R - \frac{y_\tau v}{\sqrt{2}} \bar{\tau}_L \tau_R + \text{h.c.}$$

- $m_e = \frac{y_e v}{\sqrt{2}}, \quad m_\mu = \frac{y_\mu v}{\sqrt{2}}, \quad m_\tau = \frac{y_\tau v}{\sqrt{2}}$

- Experiment:

- $m_e = 0.510998928(11) \text{ MeV}$

- $m_\mu = 105.6583715(35) \text{ MeV}$

- $m_\tau = 1776.82(16) \text{ MeV}$

## The fermion spectrum II

- The crucial point: While the leptons are in a chiral rep of  $SU(2)_L \times U(1)_Y$ , the charged leptons –  $e, \mu, \tau$  – are in a vector rep of  $U(1)_{\text{EM}}$  and thus can acquire Dirac masses
- $\nu_\alpha$  are neutral under  $U(1)_{\text{EM}}$   
 $\implies$  A-priori, the possibility of Majorana masses is not closed
- $m_\nu \neq 0$  requires VEV carried by a scalar in the  $(\mathbf{3})_{+1}$  rep, but there is no such scalar in the SM
- The neutrinos are massless in this model:  $m_{\nu_\alpha} = 0$   
 (at least at tree level)
- The  $\nu$ 's are degenerate  $\implies$  Any interaction basis is also a  $\nu$  mass basis, but only a single interaction basis is an  $\ell^\pm$  mass basis;  
 $\nu_e, \nu_\mu, \nu_\tau \equiv$  The  $SU(2)_L$  partners of  $e_L, \mu_L, \tau_L$





## Summary: The LSM particles

particle	spin	$Q$	mass (theo) [ $v$ ]
$W^\pm$	1	$\pm 1$	$\frac{1}{2}g$
$Z^0$	1	0	$\frac{1}{2}\sqrt{g^2 + g'^2}$
$A^0$	1	0	0
$h$	0	0	$\sqrt{2\lambda}$
$e$	1/2	-1	$y_e/\sqrt{2}$
$\mu$	1/2	-1	$y_\mu/\sqrt{2}$
$\tau$	1/2	-1	$y_\tau/\sqrt{2}$
$\nu_e$	1/2	0	0
$\nu_\mu$	1/2	0	0
$\nu_\tau$	1/2	0	0

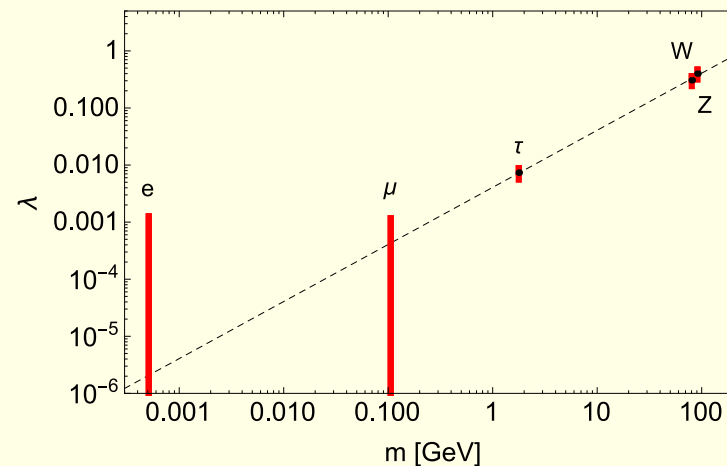
# The Higgs boson interactions I

$$\begin{aligned}
 \mathcal{L}_h &= \frac{1}{2} \partial_\mu h \partial^\mu h - \frac{1}{2} m_h^2 h^2 - \frac{m_h^2}{2v} h^3 - \frac{m_h^2}{8v^2} h^4 \\
 &+ m_W^2 W_\mu^- W^{\mu+} \left( \frac{2h}{v} + \frac{h^2}{v^2} \right) + \frac{1}{2} m_Z^2 Z_\mu Z^\mu \left( \frac{2h}{v} + \frac{h^2}{v^2} \right) \\
 &- \frac{h}{v} (m_e \bar{e}_L e_R + m_\mu \bar{\mu}_L \mu_R + m_\tau \bar{\tau}_L \tau_R + \text{h.c.})
 \end{aligned}$$

- The dimensionless couplings ( $hhhh$ ,  $hhVV$ ,  $h\bar{\ell}\ell$ ) are unchanged from the symmetry limit
- The dimensionful couplings ( $hhh$ ,  $hVV$ ) arise from the SSB but do not introduce new parameters
- Neither  $hAA$  nor  $hhAA$  coupling [ $\Leftarrow Q_{\text{EM}}(h) = 0$ ,  $m_A = 0$ ]

## The Higgs boson interactions II

- All of the Higgs couplings can be written in terms of the masses of the particles to which it couples
- The heavier a particle, the stronger its coupling to  $h$
- Experiment:



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- The Yukawa couplings are diagonal (to be discussed later)

# Electromagnetic interactions I

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- The coupling of neutral bosons:

$$\propto gW_3T_3 + g'BY$$

- Rotate to the mass basis:

$$A(gs_WT_3 + g'c_WY) + Z(gc_WT_3 - g's_WY)$$

- The photon field couples to  $eQ = e(T_3 + Y)$ , so

$$g = e/s_W, \quad g' = e/c_W$$

- The electromagnetic interactions are described by

$$\mathcal{L}_{\text{QED}} = eA_\mu \bar{l}_i \gamma^\mu l_i$$

- Experiment ( $\alpha \equiv e^2/4\pi$ )

$$\alpha^{-1} = 137.035999074 \pm 0.000000044$$

# Electromagnetic interactions II

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The electromagnetic interactions are:

- Vectorial
- Parity-conserving
- Diagonal:  $A$  couples to  $e^+e^-$ ,  $\mu^+\mu^-$ ,  $\tau^+\tau^-$  but not to  $e^\pm\mu^\mp$ ,  $e^\pm\tau^\mp$ ,  $\mu^\pm\tau^\mp$  pairs; a result of local  $U(1)_{\text{EM}}$
- Universal: The couplings to the different generations are universal; a result of local  $U(1)_{\text{EM}}$

## NC weak interactions I

- The  $Z$  couplings to general fermions:

$$\frac{e}{s_W c_W} (T_3 - s_W^2 Q) \bar{\psi} \not{Z} \psi$$

- The  $Z$  couplings to the LSM leptons:

$$\begin{aligned} \mathcal{L}_{\text{NC}} = & \frac{e}{s_W c_W} \left[ - \left( \frac{1}{2} - s_W^2 \right) \bar{e}_L \not{Z} e_L + s_W^2 \bar{e}_R \not{Z} e_R + \frac{1}{2} \bar{\nu}_{eL} \not{Z} \nu_{eL} \right. \\ & - \left( \frac{1}{2} - s_W^2 \right) \bar{\mu}_L \not{Z} \mu_L + s_W^2 \bar{\mu}_R \not{Z} \mu_R + \frac{1}{2} \bar{\nu}_{\mu L} \not{Z} \nu_{\mu L} \\ & \left. - \left( \frac{1}{2} - s_W^2 \right) \bar{\tau}_L \not{Z} \tau_L + s_W^2 \bar{\tau}_R \not{Z} \tau_R + \frac{1}{2} \bar{\nu}_{\tau L} \not{Z} \nu_{\tau L} \right] \end{aligned}$$

- $Z$ -exchange gives rise to *neutral current weak interactions*

## NC weak interactions II

The neutral current weak interactions are:

- Chiral
- Parity-violating
- Diagonal: a special feature of the LSM
- Universal: a special feature of the LSM

Diagonality and Universality  $\Leftrightarrow$  All fermions of a given chirality and a given charge come from the same  $SU(2) \times U(1)$  rep

## NCWI: experimental tests

- Universality
  - $\Gamma(Z \rightarrow \mu^+ \mu^-) / \Gamma(Z \rightarrow e^+ e^-) = 1.0009 \pm 0.0028$
  - $\Gamma(Z \rightarrow \tau^+ \tau^-) / \Gamma(Z \rightarrow e^+ e^-) = 1.0019 \pm 0.0032$
- Diagonality
  - $\text{BR}(Z \rightarrow e^\pm \mu^\mp) < 1.7 \times 10^{-6}$
  - $\text{BR}(Z \rightarrow e^\pm \tau^\mp) < 9.8 \times 10^{-6}$
  - $\text{BR}(Z \rightarrow \mu^\pm \tau^\mp) < 1.2 \times 10^{-5}$
- Interactions  $\Leftrightarrow$  Spectrum
  - $\frac{\text{BR}(Z \rightarrow \ell^+ \ell^-)}{\text{BR}(Z \rightarrow \nu_\ell \bar{\nu}_\ell)} = 1 - 4 \sin^2 \theta_W + 8 \sin^4 \theta_W = 0.505$   
 $\implies \sin^2 \theta_W = 0.226$



## CC weak interactions I

- The  $W$  couplings to a leptons:

$$\mathcal{L}_{\text{CC}} = -\frac{g}{\sqrt{2}} \left[ \overline{\nu_{eL}} W^\dagger e_L^- + \overline{\nu_{\mu L}} W^\dagger \mu_L^- + \overline{\nu_{\tau L}} W^\dagger \tau_L^- + \text{h.c.} \right]$$

- $W$ -exchange gives rise to *charged current weak interactions*

## CC weak interactions II

The charged current weak interactions are:

- Only left-handed leptons
- Parity-violating
- **Diagonal**: a special feature of the LSM
- **Universal**: a special feature of the LSM

Diagonality and Universality  $\Leftrightarrow$  The degeneracy of the neutrinos

## CCWI: experimental tests

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- Universality
  - $\Gamma(W^+ \rightarrow \mu^+ \nu_\mu) / \Gamma(W^+ \rightarrow e^+ \nu_e) = 0.98 \pm 0.02$
  - $\Gamma(W^+ \rightarrow \tau^+ \nu_\tau) / \Gamma(W^+ \rightarrow e^+ \nu_e) = 1.04 \pm 0.02$
- Interactions  $\Leftrightarrow$  Spectrum
  - Define  $G_F \equiv \frac{g^2}{4\sqrt{2}m_W^2} = \frac{\pi\alpha}{\sqrt{2}s_W^2 m_W^2}$
  - Experiment:  $G_F = 1.1663787(6) \times 10^{-5} \text{ GeV}^{-2}$
  - $\Gamma_\mu = \frac{G_F^2 m_\mu^5}{192\pi^3} f\left(\frac{m_e^2}{m_\mu^2}\right) \Rightarrow \sin^2 \theta_W = 0.215$
  - $v = (\sqrt{2}G_F)^{-1/2} = 246 \text{ GeV}$

## Summary: The LSM interactions

interaction	force carrier	coupling	range
electromagnetic	$\gamma$	$eQ$	long
NC weak	$Z^0$	$\frac{e(T_3 - s_W^2 Q)}{s_W c_W}$	short
CC weak	$W^\pm$	$g$	short
Yukawa	$h$	$y_\ell$	short

## Accidental symmetries

- $\mathcal{L}_{\text{kin}}$  has an accidental symmetry:

$$G_{\text{LSM}}^{\text{global}}(Y^e = 0) = U(3)_L \times U(3)_E$$

- The Yukawa couplings break this symmetry to a subgroup:

$$G_{\text{LSM}}^{\text{global}} = U(1)_e \times U(1)_\mu \times U(1)_\tau$$

- $\mu^- \rightarrow e^- \bar{\nu}_e \nu_\mu$  allowed;  $\mu^- \rightarrow e^- e^+ e^-$  forbidden;  
 $e^+ e^- \rightarrow \mu^+ \mu^-$  allowed;  $e^+ \mu^- \rightarrow \mu^+ e^-$  forbidden

- $U(1)_L$  forbids Majorana masses to neutrinos;

$$m_\nu = 0 \text{ to all orders in perturbation theory}$$

- $G_{\text{LSM}}^{\text{global}}$  completely broken by nonrenormalizable terms:

$$(1/\Lambda) L_{Li} L_{Lj} \phi \phi \text{ (to be discussed later)}$$

## Counting the lepton sector parameters

---

- $Y^e \implies 9_R + 9_I$  parameters
- $U(3)_L \times U(3)_E \rightarrow U(1)_e \times U(1)_\mu \times U(1)_\tau$   
 $\implies (2 \times 3)_R + (2 \times 6 - 3)_I$  parameters can be removed
- $3_R + 0_I$  physical parameters:  
 3 charged lepton masses
- The LSM is a seven parameter model:  
 $g, g', v, m_h, m_e, m_\mu, m_\tau$

# The Standard Model

## Defining the SM

- The symmetry is a local  $SU(3)_C \times SU(2)_L \times U(1)_Y$
- Quarks:  $Q_{Li}(3, 2)_{+1/6}$ ,  $U_{Ri}(3, 1)_{+2/3}$ ,  $D_{Ri}(3, 1)_{-1/3}$   
Leptons:  $L_{Li}(1, 2)_{-1/2}$ ,  $E_{Ri}(1, 1)_{-1}$ ; ( $i = 1, 2, 3$ )
- Scalars:  $\phi(1, 2)_{+1/2}$
- SSB:  $SU(3)_C \times SU(2)_L \times U(1)_Y \rightarrow SU(3)_C \times U(1)_{EM}$



## $SU(3)_C \times SU(2)_L \times U(1)_Y$

- Twelve generators:  
 eight  $L_a$  ( $SU(3)_C$ ), three  $T_b$  ( $SU(2)_L$ ), a single  $Y$  ( $U(1)_Y$ )  
 $[L_a, L_b] = if_{abc}L_c$ ,  $[T_a, T_b] = i\epsilon_{abc}T_c$ ,  
 $[L_a, T_b] = [L_a, Y] = [T_b, Y] = 0$
- Three coupling constants:  
 $g_s$  for  $SU(3)_C$ ;  $g$  for  $SU(2)_L$ ;  $g'$  for  $U(1)_Y$
- Twelve gauge bosons:  
 $G_a^\mu(8, 1)_0$ ,  $W_b^\mu(1, 3)_0$ ,  $B^\mu(1, 1)_0$
- The covariant derivative:  
 $D^\mu = \partial^\mu + ig_s G_a^\mu L_a + ig W_a^\mu T_a + ig' Y B^\mu$ 
  - $SU(3)_C$ :  $L_a = \frac{1}{2}\lambda_a(0)$  for triplets (singlets)
  - $SU(2)_L$ :  $T_b = \frac{1}{2}\sigma_b(0)$  for doublets (singlets)

## $\mathcal{L}_{\text{kin}}$

$$\begin{aligned} \mathcal{L}_{\text{kin}} = & -\frac{1}{4}G_a^{\mu\nu}G_{a\mu\nu} - \frac{1}{4}W_b^{\mu\nu}W_{a\mu\nu} - \frac{1}{4}B^{\mu\nu}B_{\mu\nu} + (D^\mu\phi)^\dagger(D_\mu\phi) \\ & + i\overline{Q_{Li}}\not{D}Q_{Li} + i\overline{U_{Ri}}\not{D}U_{Ri} + i\overline{D_{Ri}}\not{D}D_{Ri} + i\overline{L_{Li}}\not{D}L_{Li} + i\overline{E_{Ri}}\not{D}E_{Ri} \end{aligned}$$

- $D^\mu Q_L = \left(\partial^\mu + \frac{i}{2}g_s G_a^\mu \lambda_a + \frac{i}{2}g W_b^\mu \sigma_b + \frac{i}{6}g' B^\mu\right) Q_L$
- $D^\mu U_R = \left(\partial^\mu + \frac{i}{2}g_s G_a^\mu \lambda_a + \frac{2i}{3}g' B^\mu\right) U_R$
- $D^\mu D_R = \left(\partial^\mu + \frac{i}{2}g_s G_a^\mu \lambda_a - \frac{i}{3}g' B^\mu\right) D_R$
- $D^\mu L_L = \left(\partial^\mu + \frac{i}{2}g W_a^\mu \sigma_a - \frac{i}{2}g' B^\mu\right) L_L$
- $D^\mu E_R = \left(\partial^\mu - ig' B^\mu\right) E_R$
- $D^\mu \phi = \left(\partial^\mu + \frac{i}{2}g W_a^\mu \sigma_a + \frac{i}{2}g' B^\mu\right) \phi$

$\mathcal{L}_\psi$ 

$$\mathcal{L}_\psi = 0$$

- Quarks:
  - $Q_L, U_R, D_R =$  chiral representation  
No Dirac mass
  - $Q_L, U_R, D_R =$  charged under  $U(1)_Y$   
No Majorana mass
- Leptons: same as in the LSM

## $\mathcal{L}_{\text{Yuk}}$

$$\mathcal{L}_{\text{Yuk}} = Y_{ij}^u \overline{Q_{Li}} U_{Rj} \tilde{\phi} + Y_{ij}^d \overline{Q_{Li}} D_{Rj} \phi + Y_{ij}^e \overline{L_{Li}} E_{Rj} \phi + \text{h.c.}$$

- $Y^u, Y^d, Y^e$ : general complex  $3 \times 3$  matrices of dimensionless couplings
- Without loss of generality, can choose a basis,  
 $Y^e \rightarrow V_{eL} Y^e V_{eR}^\dagger = \hat{Y}^e$ , where  $\hat{Y}^e = \text{diag}(y_e, y_\mu, y_\tau)$
- Without loss of generality, can choose a basis,  
 $Y^u \rightarrow \hat{Y}^u = V_{uL} Y^u V_{uR}^\dagger$ , where  $\hat{Y}^u = \text{diag}(y_u, y_c, y_t)$
- Without loss of generality, can choose a basis,  
 $Y^d \rightarrow \hat{Y}^d = V_{dL} Y^d V_{dR}^\dagger$ , where  $\hat{Y}^d = \text{diag}(y_d, y_s, y_b)$
- Unless  $V_{uL} = V_{dL}$ , the basis with  $\hat{Y}^u$  is different from the basis with  $\hat{Y}^d$ .

## The CKM matrix

- Define  $V = V_{uL}V_{dL}^\dagger$
- In the basis where  $Y^u = \hat{Y}^u$ , we have  $Y^d = V\hat{Y}^d$
- In the basis where  $Y^d = \hat{Y}^d$ , we have  $Y^u = V^\dagger\hat{Y}^u$
- Note:  $V_{uL}, V_{uR}, V_{dL}, V_{dR}$  depend on the basis from which we start.  $V$ , however, does not
- $V$  plays a crucial role in the charged current weak interactions

$\mathcal{L}_\phi$ 

$$\mathcal{L}_\phi = -\mu^2 \phi^\dagger \phi - \lambda (\phi^\dagger \phi)^2$$

- Choosing  $\mu^2 < 0$  and  $\lambda > 0$  leads to SSB with  $|\langle \phi \rangle| = v/\sqrt{2}$
- $\phi = SU(3)_C$  singlet  $\implies SU(3)_C$  remains unbroken
- $SU(3)_C \times SU(2)_L \times U(1)_Y \rightarrow SU(3)_C \times U(1)_{EM}$

$\mathcal{L}_{\text{SM}}$ 

$$\begin{aligned}
\mathcal{L}_{\text{SM}} = & - \frac{1}{4} G_a^{\mu\nu} G_{a\mu\nu} - \frac{1}{4} W_b^{\mu\nu} W_{b\mu\nu} - \frac{1}{4} B^{\mu\nu} B_{\mu\nu} + (D^\mu \phi)^\dagger (D_\mu \phi) \\
& + i \overline{Q_{Li}} \not{D} Q_{Li} + i \overline{U_{Ri}} \not{D} U_{Ri} + i \overline{D_{Ri}} \not{D} D_{Ri} + i \overline{L_{Li}} \not{D} L_{Li} + i \overline{E_{Ri}} \not{D} E_{Ri} \\
& + \left( Y_{ij}^u \overline{Q_{Li}} U_{Rj} \tilde{\phi} + Y_{ij}^d \overline{Q_{Li}} D_{Rj} \phi + Y_{ij}^e \overline{L_{Li}} E_{Rj} \phi + \text{h.c.} \right) \\
& - \lambda (\phi^\dagger \phi - v^2/2)^2
\end{aligned}$$

## The boson spectrum

- Local  $SU(3)_C \times U(1)_{EM}$  symmetry  
 $\implies$  A massless color-octet gluon, a massless neutral photon
- SSB of  $SU(2)_L \times U(1)_Y \rightarrow U(1)_{EM}$   
 $\implies$  Three massive weak vector bosons  $W^\pm, Z^0$
- SSB by an  $SU(2)$  doublet  
 $\implies \rho \equiv m_W^2 / (m_Z^2 \cos^2 \theta_W) = 1$
- Three would be Goldstone bosons eaten by  $W^\pm, Z^0$   
 $\implies$  A single massive Higgs boson



## The fermion spectrum

- All charged fermions acquire Dirac masses,  $m_f = \frac{y_f v}{\sqrt{2}}$ ;  
While in chiral reps of  $SU(2)_L \times U(1)_Y$ , they are in vectorial reps of  $SU(3)_C \times U(1)_{EM}$ :
  - LH and RH  $e, \mu, \tau$ :  $(1)_{-1}$
  - LH and RH  $u, c, t$ :  $(3)_{+2/3}$
  - LH and RH  $d, s, b$ :  $(3)_{-1/3}$
- Neutrinos are massless in spite of being in the  $(1)_0$  rep

## Summary: The SM particles

particle	spin	color	$Q$	mass [ $v$ ]
$W^\pm$	1	(1)	$\pm 1$	$\frac{1}{2}g$
$Z^0$	1	(1)	0	$\frac{1}{2}\sqrt{g^2 + g'^2}$
$A^0$	1	(1)	0	0
$g$	1	(8)	0	0
$h$	0	(1)	0	$\sqrt{2\lambda}$
$e, \mu, \tau$	1/2	(1)	-1	$y_{e,\mu,\tau}/\sqrt{2}$
$\nu_e, \nu_\mu, \nu_\tau$	1/2	(1)	0	0
$u, c, t$	1/2	(3)	+2/3	$y_{u,c,t}/\sqrt{2}$
$d, s, b$	1/2	(3)	-1/3	$y_{d,s,b}/\sqrt{2}$

## The Higgs boson interactions

$$\begin{aligned}
 \mathcal{L}_h &= \frac{1}{2} \partial_\mu h \partial^\mu h - \frac{1}{2} m_h^2 h^2 - \frac{m_h^2}{2v} h^3 - \frac{m_h^2}{8v^2} h^4 \\
 &+ m_W^2 W_\mu^- W^{\mu+} \left( \frac{2h}{v} + \frac{h^2}{v^2} \right) + \frac{1}{2} m_Z^2 Z_\mu Z^\mu \left( \frac{2h}{v} + \frac{h^2}{v^2} \right) \\
 &- \frac{h}{v} (m_e \bar{e}_L e_R + m_\mu \bar{\mu}_L \mu_R + m_\tau \bar{\tau}_L \tau_R \\
 &\quad + m_u \bar{u}_L u_R + m_c \bar{c}_L c_R + m_t \bar{t}_L t_R \\
 &\quad + m_d \bar{d}_L d_R + m_s \bar{s}_L s_R + m_b \bar{b}_L b_R + \text{h.c.}) .
 \end{aligned}$$

- The Higgs boson couples diagonally also to the quark mass eigenstates
- The Higgs couplings are not universal:  $y_f \propto m_f$

# Diagonality of Yukawa interactions

---

$$\begin{aligned}
 h\overline{D}_L Y^d D_R &= h\overline{D}_L (V_{dL}^\dagger V_{dL}) Y^d (V_{dR}^\dagger V_{dR}) D_R \\
 &= h(\overline{D}_L V_{dL}^\dagger) (V_{dL} Y^d V_{dR}^\dagger) (V_{dR} D_R) \\
 &= h(\overline{d}_L \ \overline{s}_L \ \overline{b}_L) \hat{Y}^d (d_R \ s_R \ b_R)^T
 \end{aligned}$$

- The diagonality is due to two ingredients of the SM:
  - All SM fermions are chiral  $\implies$  no bare mass terms
  - The scalar sector has a single Higgs doublet

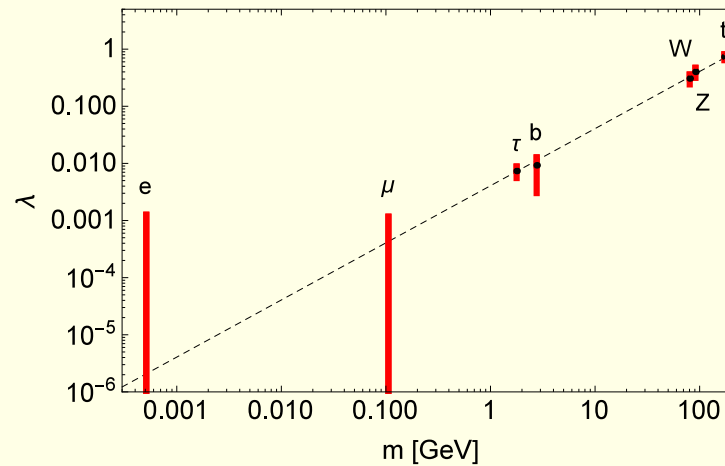
- Experiment:

$$\text{BR}(t \rightarrow qh) < 0.8 \times 10^{-2} \quad [\text{ATLAS, JHEP06(2014)008; CMS PAS TOP-13-017}]$$

$$\text{BR}(h \rightarrow \tau\mu) < 1.5 \times 10^{-2} \quad [\text{CMS, 1502.07400}]$$

$$\underline{y \propto m}$$

- All of the Higgs couplings can be written in terms of the masses of the particles to which it couples
- The heavier a particle, the stronger its coupling to  $h$
- Experiment:



A. Efrati

## Strong and electromagnetic interactions

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- Local  $SU(3)_C \times U(1)_{EM}$   
 $\implies$  Strong and EM interactions are **universal**
- Strong interactions: The gluons couple all colored particles
  - Quarks = color-triplets  $\implies$  have strong interactions
  - Leptons = color-singlets  $\implies$  do not couple to gluons
  - $\mathcal{L}_{\text{QCD, fermions}} = -\frac{1}{2}g_S \bar{q} \lambda_a \not{G}_a q$  ( $q = u, c, t, d, s, b$ )
- EM interactions: The photon couples to the EM charge
  - $u, d, e$  are charged  $\implies$  have EM interactions
  - $\nu$  are neutral  $\implies$  do not couple to the photon
  - $\mathcal{L}_{\text{QED, fermions}} = -e \bar{e}_i \not{A} e_i + \frac{2e}{3} \bar{u}_i \not{A} u_i - \frac{e}{3} \bar{d}_i \not{A} d_i$   
 ( $e_i = e, \mu, \tau$ ;  $u_i = u, c, t$ ;  $d_i = d, s, b$ )

## NC weak interactions

- The  $Z$  couplings in each generation:

$$\begin{aligned} \mathcal{L}_{\text{NC}} = & \frac{e}{s_W c_W} \left[ - \left( \frac{1}{2} - s_W^2 \right) \bar{e}_L \not{Z} e_L + s_W^2 \bar{e}_R \not{Z} e_R + \frac{1}{2} \bar{\nu}_L \not{Z} \nu_L \right. \\ & + \left( \frac{1}{2} - \frac{2}{3} s_W^2 \right) \bar{u}_L \not{Z} u_L - \frac{2}{3} s_W^2 \bar{u}_R \not{Z} u_R \\ & \left. - \left( \frac{1}{2} - \frac{1}{3} s_W^2 \right) \bar{d}_L \not{Z} d_L + \frac{1}{3} s_W^2 \bar{d}_R \not{Z} d_R \right] \end{aligned}$$

- Chiral, parity-violating, diagonal, universal
- Universality  $\iff$  All fermions in the same  $SU(3)_C \times U(1)_{\text{EM}}$  rep come from the same  $SU(3)_C \times SU(2)_L \times U(1)_Y$  rep

## NCWI: further experimental tests

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- SM:

$$\Gamma(Z \rightarrow \nu\bar{\nu}) \propto 1,$$

$$\Gamma(Z \rightarrow \ell\bar{\ell}) \propto 1 - 4s_W^2 + 8s_W^4,$$

$$\Gamma(Z \rightarrow u\bar{u}) \propto 3 \left[ 1 - (8/3)s_W^2 + (32/9)s_W^4 \right],$$

$$\Gamma(Z \rightarrow d\bar{d}) \propto 3 \left[ 1 - (4/3)s_W^2 + (8/9)s_W^4 \right]$$

- Experiments:

$$\text{BR}(Z \rightarrow \nu\bar{\nu}) = (6.67 \pm 0.02)\%,$$

$$\text{BR}(Z \rightarrow \ell\bar{\ell}) = (3.37 \pm 0.01)\%,$$

$$\text{BR}(Z \rightarrow u\bar{u}) = (11.6 \pm 0.6)\%,$$

$$\text{BR}(Z \rightarrow d\bar{d}) = (15.6 \pm 0.4)\%$$

Fine! (with  $s_W^2 = 0.225$ )



## CC weak interactions I

- For leptons, things are simple because there exists an interaction basis that is also a mass basis
- Leptonic  $W$  interactions are universal in the lepton mass basis:  

$$\mathcal{L}_{\text{CC}}^{\text{leptons}} = -\frac{g}{\sqrt{2}} \left[ \bar{\nu}_{eL} W^+ e_L^- + \bar{\nu}_{\mu L} W^+ \mu_L^- + \bar{\nu}_{\tau L} W^+ \tau_L^- + \text{h.c.} \right]$$
- For quarks, things are more complicated since there is no interaction basis that is also a mass basis

- $$\mathcal{L}_{\text{CC}}^{\text{quarks}} = -\frac{g}{\sqrt{2}} \left( \bar{u}_L \ \bar{c}_L \ \bar{t}_L \right) V W^+ \begin{pmatrix} d_L \\ s_L \\ b_L \end{pmatrix} + \text{h.c.}$$

- $V$  = the CKM matrix:  $3 \times 3$ , unitary,  $3_R + 1_I$  parameters

## CC weak interactions II

- Only left-handed particles take part in the CC interactions
- Parity is violated
- $W$  couplings to quark mass eigenstates:  
neither universal nor diagonal
- Universality of gauge interactions hidden in the unitarity of  $V$

## CCWI: further experimental tests

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- SM:

$$\Gamma(W^+ \rightarrow \ell^+ \nu_\ell) \propto 1$$

$$\Gamma(W^+ \rightarrow u_i \bar{d}_j) \propto 3|V_{ij}|^2 \quad (i = 1, 2; j = 1, 2, 3)$$

- CKM unitarity:

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = |V_{cd}|^2 + |V_{cs}|^2 + |V_{cb}|^2 = 1$$

$$\implies \Gamma(W \rightarrow \text{hadrons}) \approx 2\Gamma(W \rightarrow \text{leptons})$$

$$\implies \Gamma(W \rightarrow cX)/\Gamma(W \rightarrow \text{hadrons}) \approx 0.5$$

- Experiments:

$$\Gamma(W \rightarrow \text{hadrons})/\Gamma(W \rightarrow \text{leptons}) = 2.09 \pm 0.01$$

$$\Gamma(W \rightarrow cX)/\Gamma(W \rightarrow \text{hadrons}) = 0.49 \pm 0.04$$

- Flavor physics and the CKM matrix:

A topic on its own

## Summary: The SM quark interactions

interaction	force carrier	coupling	range
electromagnetic	$\gamma$	$eQ$	long
Strong	$G$	$g_s$	long
NC weak	$Z^0$	$\frac{e(T_3 - s_W^2 Q)}{s_W c_W}$	short
CC weak	$W^\pm$	$gV$	short
Yukawa	$h$	$y_q$	short

## Accidental symmetries

- $\mathcal{L}_{\text{kin}}$  has an accidental symmetry:

$$G_{\text{SM}}^{\text{global}}(Y^{u,d,e} = 0) = U(3)_Q \times U(3)_U \times U(3)_D \times U(3)_L \times U(3)_E$$

- The Yukawa couplings break this symmetry to a subgroup:

$$G_{\text{SM}}^{\text{global}} = U(1)_B \times U(1)_e \times U(1)_\mu \times U(1)_\tau$$

- $U(1)_B$  forbids proton decay (*e.g.*  $p \rightarrow \pi^0 e^+$ ,  $p \rightarrow K^+ \nu$ );  
( $U(1)_B$  is anomalous, but still  $\Delta B = \Delta L = 3n$  is respected)
- $U(1)_{B-L}$  forbids Majorana masses to neutrinos;  
 $m_\nu = 0$  to all orders in perturbation theory and non-perturbatively
- LFV forbidden (*e.g.*  $\mu \rightarrow e\gamma$ ,  $\tau \rightarrow \mu\mu\mu$ );

$$\text{Neutrino oscillations violate } U(1)_e \times U(1)_\mu \times U(1)_\tau$$

# Counting the quark sector parameters

---

- $Y^u, Y^d \implies 18_R + 18_I$  parameters
- $U(3)_Q \times U(3)_U \times U(3)_D \rightarrow U(1)_B$   
 $\implies (3 \times 3)_R + (3 \times 6 - 1)_I$  parameters can be removed
- $9_R + 1_I$  physical parameters:  
6 quark masses, 3 CKM angles, 1 CKM phase
- Experiment:
  - $|V_{us}| = 0.2253 \pm 0.0008$
  - $|V_{cb}| = 0.041 \pm 0.001$
  - $|V_{ub}| = 0.0041 \pm 0.0005$
  - $\sin 2\beta = 0.68 \pm 0.02$

## Comments on CP violation

- The KM phase  $\implies$  CP is violated in the SM
- If there were only two generations:  
 $2(4_R + 4_I) - [3(1_R + 3_I) - 1_I] = 5_R + 0_I$   
 4 quark masses, 1 Cabibbo angle  
 $\implies$  CP is an accidental symmetry of a two generation SM
- An additional allowed term:  $\theta_{\text{QCD}} \epsilon_{\mu\nu\rho\sigma} G^{\mu\nu} G^{\rho\sigma}$   
 CP violation by strong interactions  
 Experiment (EDM):  $\theta_{\text{QCD}} \lesssim 10^{-10}$

# The SM as an EFT



# SM = low energy effective theory

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- The SM is not a full theory of Nature
- The SM is a low energy effective theory;  
Valid below some scale  $\Lambda (\gg m_Z)$
- $\mathcal{L}_{\text{SM}}$  should be extended:  
$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \frac{1}{\Lambda} O_{d=5} + \frac{1}{\Lambda^2} O_{d=6} + \dots$$
- $O_{d=n}$  = operators that are
  - Products of SM fields
  - Transforming as singlets under  $SU(3)_C \times SU(2)_L \times U(1)_Y$
  - Of dimension  $n$  in the fields
- For physics at  $E \ll \Lambda$ , the effects of  $O_{d=n(>4)}$  suppressed by  $(E/\Lambda)^{n-4}$
- The larger  $n(> 4)$ , the smaller the effect at low energy

## Nonrenormalizable terms, loops

- **Tree level processes:** Often tree level processes in a particular sector depend on a small subset of the SM parameters  $\implies$  Relations among various processes that are violated by loop effects and nonrenormalizable terms
  - Example: Electroweak precision measurements (EWPM)
- **Rare processes:** Processes not allowed at tree level, often related to accidental symmetries of a particular sector. Nonrenormalizable terms and loops can contribute.
  - Example: Flavor changing neutral currents (FCNC)
- **Forbidden processes:** Nonrenormalizable terms (but not loop corrections!) can break accidental symmetries and allow forbidden processes
  - Example: Neutrino masses

## Before and after

- Rare processes and tree level processes:
  - Before all the SM particles have been directly discovered and all the SM parameters measured:  
Assume the validity of the renormalizable SM and indirectly measure the properties of the yet unobserved particles;  
 $m_c, m_t, m_h$  predicted in this way
  - Once all the SM particles observed and the parameters measured directly:  
The loop corrections can be quantitatively determined;  
Effects of nonrenormalizable terms unambiguously probed
- All three categories are used to search for new physics

## EWPM - before and after

- At tree level, all EW processes depend on only 3 parameters:  
 $g, g', v$  ( $\Leftrightarrow \alpha, m_Z, G_F$ )
- Of the other 15 parameters, 11 are small and have negligible effects on EWPM
- Of the remaining four,  $\delta_{\text{KM}}$  and  $\alpha_S$  have negligible effects
- Only  $m_t/v$  and  $m_h/v$  have significant quantum effects
- In the past: EWPM used to predict  $m_t$  and  $m_h$
- At present: EWPM probe nonrenormalizable operators (= BSM physics)

## EWPM - theory

- In a large class of models, only four dim=6 operators contribute significantly to EWPM:

$$\mathcal{L}_{\text{o.c.}} = \frac{1}{\Lambda^2} (c_{WB} \mathcal{O}_{WB} + c_{HH} \mathcal{O}_{HH} + c_{BB} \mathcal{O}_{BB} + c_{WW} \mathcal{O}_{WW})$$

$$\mathcal{O}_{WB} = (H^\dagger \tau^a H) W_{\mu\nu}^a B_{\mu\nu} \rightarrow \frac{1}{2} v^2 W_{\mu\nu}^3 B_{\mu\nu};$$

$$\mathcal{O}_{HH} = |H^\dagger D_\mu H|^2 \rightarrow \frac{1}{16} v^4 (g W_\mu^3 - g' B_\mu)^2;$$

$$\mathcal{O}_{BB} = (\partial_\rho B_{\mu\nu})^2;$$

$$\mathcal{O}_{WW} = (D_\rho W_{\mu\nu}^a)^2$$

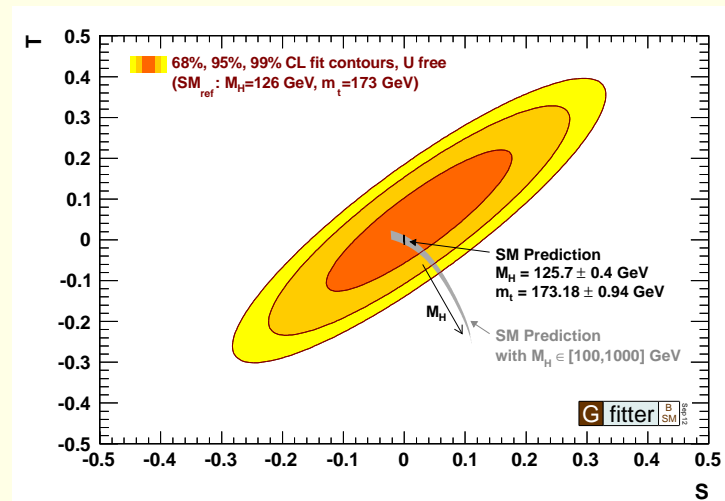
## EWPM - experiment

- Low energy observables:  $G_F$ ,  $\alpha$ , neutrino scattering, DIS, APV, low-energy  $e^+e^-$  scattering
- High energy observables: masses, total widths and partial decay rates of the  $W$  and  $Z$  bosons

$S, T$ 

- $$S = \frac{2 \sin 2\theta_W}{\alpha} \frac{v^2}{\Lambda^2} c_{WB}$$

$$T = -\frac{1}{2\alpha} \frac{v^2}{\Lambda^2} c_{HH}$$



GFitter

- $$\frac{\Lambda}{\sqrt{c_{WB}}} > 9.7 \left( \frac{0.14}{S} \right) \text{ TeV}$$

$$\frac{\Lambda}{\sqrt{c_{HH}}} > 4.4 \left( \frac{0.20}{T} \right) \text{ TeV}$$

# FCNC

Flavors = Copies of the same  $SU(3)_C \times U(1)_{EM}$  representation:

Up-type quarks	$(3)_{+2/3}$	$u, c, t$
Down-type quarks	$(3)_{-1/3}$	$d, s, b$
Charged leptons	$(1)_{-1}$	$e, \mu, \tau$
Neutrinos	$(1)_0$	$\nu_1, \nu_2, \nu_3$

Flavor changing neutral current (FCNC) processes:

- Flavor changing processes that involve either  $U$  or  $D$  but not both and/or either  $\ell^-$  or  $\nu$  but not both
- $\mu \rightarrow e\gamma$ ;  $K \rightarrow \pi\nu\bar{\nu}$  ( $s \rightarrow d\nu\bar{\nu}$ );  $D^0 - \bar{D}^0$  mixing ( $c\bar{u} \rightarrow u\bar{c}$ )...



## FCNC: Loop suppression I

- The  $W$ -boson cannot mediate FCNC process at tree level since it couples to up-down pairs;  
Only neutral bosons can potentially mediate FCNC at tree level
- Massless gauge bosons have flavor-universal and, in particular, flavor diagonal couplings;  
The gluons and the photon do not mediate FCNC at tree level

What about  $Z$ ?  $h$ ?

## FCNC: Loop suppression II

- Within the SM, the  $Z$ -boson does not mediate FCNC at tree level because all fermions with the same chirality, color and charge originate in the same  $SU(2)_L \times U(1)_Y$  representation
- Within the SM, the  $h$ -boson does not mediate FCNC at tree level because
  - All SM fermions are chiral  $\implies$  no bare mass terms
  - The scalar sector has a single Higgs doublet

Within the SM, all FCNC processes are loop suppressed

## FCNC: CKM- and GIM-suppression

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- All FC processes  $\propto$  off-diagonal entries in the CKM matrix
  - $\Gamma(b \rightarrow s\gamma) \propto |V_{tb}V_{ts}|^2 \sim 3 \times 10^{-3}$
  - $\Delta m_B \propto |V_{tb}V_{td}|^2 \sim 10^{-4}$
- If all quarks in a given sector were degenerate
  - $\implies$  No FC  $W$ -couplings
- FCNC in the down (up) sector
  - $\propto \Delta m^2$  between the quarks of the up (down) sector
- The GIM-suppression effective for processes involving the first two generations
  - $\Delta m_K \propto (m_c^2 - m_u^2)/m_W^2$  ( $\implies$  was used to predict  $m_c$ )
  - $\Delta m_B \propto (m_t^2 - m_c^2)/m_W^2$  ( $\implies$  was used to predict  $m_t$ )

## FCNC - experiment

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$\Delta m_K/m_K$	$7.0 \times 10^{-15}$
$\Delta m_D/m_D$	$8.7 \times 10^{-15}$
$\Delta m_B/m_B$	$6.3 \times 10^{-14}$
$\Delta m_{B_s}/m_{B_s}$	$2.1 \times 10^{-12}$
$\epsilon_K$	$2.3 \times 10^{-3}$
$A_\Gamma/y_{\text{CP}}$	$\leq 0.2$
$S_{\psi K_S}$	$0.67 \pm 0.02$
$S_{\psi\phi}$	$-0.04 \pm 0.09$

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## High Scale? Degeneracy and Alignment?

- $\frac{z_{sd}}{\Lambda_{\text{NP}}^2} (\bar{d}_L \gamma_\mu s_L)^2 + \frac{z_{cu}}{\Lambda_{\text{NP}}^2} (\bar{c}_L \gamma_\mu u_L)^2 + \frac{z_{bd}}{\Lambda_{\text{NP}}^2} (\bar{d}_L \gamma_\mu b_L)^2 + \frac{z_{bs}}{\Lambda_{\text{NP}}^2} (\bar{s}_L \gamma_\mu b_L)^2$
- For  $|z_{ij}| \sim 1$ ,  $\text{Im}(z_{ij}) \sim 1$ :

Mixing	$\Lambda_{\text{NP}}^{CPC} \gtrsim$	$\Lambda_{\text{NP}}^{CPV} \gtrsim$	Mixing	$\Lambda_{\text{NP}}^{CPC} \gtrsim$	$\Lambda_{\text{NP}}^{CPV} \gtrsim$
$K - \bar{K}$	1000 TeV	20000 TeV	$D - \bar{D}$	1000 TeV	3000 TeV
$B - \bar{B}$	400 TeV	800 TeV	$B_s - \bar{B}_s$	70 TeV	200 TeV

- For  $\Lambda_{\text{NP}} \sim 1 \text{ TeV}$ :

Mixing	$ z_{ij}  \lesssim$	$\text{Im}(z_{ij}) \lesssim$	Mixing	$ z_{ij}  \lesssim$	$\text{Im}(z_{ij}) \lesssim$
$K - \bar{K}$	$8 \times 10^{-7}$	$6 \times 10^{-9}$	$D - \bar{D}$	$5 \times 10^{-7}$	$1 \times 10^{-7}$
$B - \bar{B}$	$5 \times 10^{-6}$	$1 \times 10^{-6}$	$B_s - \bar{B}_s$	$2 \times 10^{-4}$	$2 \times 10^{-5}$

## $m_\nu$ - theory

- SM:  $m_\nu = 0$  to all orders in perturbation theory and non-perturbatively
- Guaranteed by the accidental  $U(1)_{B-L}$  symmetry
- d=5 terms  $\frac{Z_{ij}^\nu}{\Lambda} \phi\phi L_i L_j$  break  
 $U(1)_B \times U(1)_e \times U(1)_\mu \times U(1)_\tau \rightarrow U(1)_B$

## The $\nu$ SM

$$\mathcal{L}_{\nu\text{SM}} = \mathcal{L}_{\text{SM}} + \frac{Z_{ij}^\nu}{\Lambda} \phi\phi L_i L_j$$

- $\langle \phi^0 \rangle = v/\sqrt{2} \implies$  Majorana mass matrix for neutrinos:  

$$m_\nu = \frac{v^2}{\Lambda} \frac{Z^\nu}{2}$$
- $m_\nu$  can be diagonalized by a unitary transformation:  

$$V_{\nu L} m_\nu V_{\nu L}^T = \hat{m}_\nu = \text{diag}(m_1, m_2, m_3)$$

Predictions:

- $m_\nu \neq 0$
- $m_\nu/m_{q,\ell^\pm} \sim v/\Lambda \ll 1$
- $\mathcal{L}_{\text{CC}}^\ell = -\frac{g}{\sqrt{2}} (\overline{\ell_{L\alpha}} W^+ U_{\alpha i} \nu_i + \text{h.c.}); U \neq \mathbf{1}$

## The $\nu$ SM parameters

$$\mathcal{L}_{\nu\text{SM}} = \mathcal{L}_{\text{SM}} + \frac{Z_{ij}^\nu}{\Lambda} \phi\phi L_i L_j$$

- We added to the SM  $6_R + 6_I$  parameters ( $Z^\nu$  is symmetric)
- We “lost”  $[U(1)]^3$  symmetry  $\implies$  Can remove  $3_I$  parameters;
- Conclusion:  $6_R + 3_I$  new parameters
- 3 neutrino masses;  
3 angles and 3 phases in the leptonic mixing matrix
- Experiment: Gonzalez-Garcia et al., 1409.5439
- $\Delta m_{21}^2 = (7.5 \pm 0.2) \times 10^{-5} \text{ eV}^2$ ,  $|\Delta m_{32}^2| = (2.5 \pm 0.1) \times 10^{-3} \text{ eV}^2$
- $|U_{e2}| = 0.55 \pm 0.01$ ,  $|U_{\mu 3}| = 0.67 \pm 0.03$ ,  $|U_{e3}| = 0.148 \pm 0.003$



## The $\nu$ SM - summary

$$\mathcal{L}_{\nu\text{SM}}^\nu = i\bar{\nu}_i \not{\partial} \nu_i + \frac{g}{2c_W} \bar{\nu}_i \not{Z} \nu_i - \frac{g}{\sqrt{2}} (\overline{\ell_{L\alpha}} W^\mp U_{\alpha i} \nu_i + \text{h.c.}) \\ + m_i \nu_i \nu_i + \frac{2m_i}{v} h \nu_i \nu_i + \frac{m_i}{v^2} h h \nu_i \nu_i$$

particle	spin	color	$Q$	mass [ $v$ ]
$\nu_1, \nu_2, \nu_3$	1/2	(1)	0	$z_i v / (2\Lambda)$
interaction	force carrier		coupling	
NC weak	$Z^0$		$e / (2s_W c_W)$	
CC weak	$W^\pm$		$gU / \sqrt{2}$	
Yukawa	$h$		$2m/v$	

- Accidental symmetry:  $U(1)_B$

# Summary

## Summary I: definition

- The symmetry is a local  $SU(3)_C \times SU(2)_L \times U(1)_Y$
- Quarks:  $Q_{Li}(3, 2)_{+1/6}$ ,  $U_{Ri}(3, 1)_{+2/3}$ ,  $D_{Ri}(3, 1)_{-1/3}$   
Leptons:  $L_{Li}(1, 2)_{-1/2}$ ,  $E_{Ri}(1, 1)_{-1}$ ;  $(i = 1, 2, 3)$
- Scalars:  $\phi(1, 2)_{+1/2}$
- SSB:  $SU(3)_C \times SU(2)_L \times U(1)_Y \rightarrow SU(3)_C \times U(1)_{EM}$

## Summary II: spectrum

particle	spin	color	$Q$	mass [ $v$ ]
$W^\pm$	1	(1)	$\pm 1$	$\frac{1}{2}g$
$Z^0$	1	(1)	0	$\frac{1}{2}\sqrt{g^2 + g'^2}$
$A^0$	1	(1)	0	0
$g$	1	(8)	0	0
$h$	0	(1)	0	$\sqrt{2\lambda}$
$e, \mu, \tau$	1/2	(1)	-1	$y_{e,\mu,\tau}/\sqrt{2}$
$\nu_e, \nu_\mu, \nu_\tau$	1/2	(1)	0	0
$u, c, t$	1/2	(3)	+2/3	$y_{u,c,t}/\sqrt{2}$
$d, s, b$	1/2	(3)	-1/3	$y_{d,s,b}/\sqrt{2}$

## Summary III: interactions

interaction	force carrier	coupling	range
electromagnetic	$\gamma$	$eQ$	long
Strong	$g$	$g_s$	long
NC weak	$Z^0$	$\frac{e(T_3 - s_W^2 Q)}{s_W c_W}$	short
CC weak	$W^\pm$	$gV$	short
Yukawa	$h$	$y_q$	short

## Summary IV: parameters

There are eighteen independent parameters:

- $g_s, g, g', v, \lambda (\implies \alpha_s, \alpha, m_Z, G_F, m_h)$
- $y_e, y_\mu, y_\tau (\implies m_e, m_\mu, m_\tau)$
- $y_u, y_c, y_t, y_d, y_s, y_b (\implies m_u, m_c, m_t, m_d, m_s, m_b)$
- $|V_{us}|, |V_{cb}|, |V_{ub}|, \delta_{\text{KM}} (\implies \lambda, A, \rho, \eta)$

## Summary V: accidental symmetries

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$$U(1)_B \times U(1)_e \times U(1)_\mu \times U(1)_\tau$$

- Explains the non-observation of proton decay
- Explains the non-observation of FCNC charged lepton decays
- Violated in neutrino oscillations  
 $\implies$  The SM is a low energy effective theory,  $\Lambda_{\text{NP}} \lesssim 10^{15}$  GeV

## Summary VI: successes

- Review of particle physics (Particle Data Group),  
Chin. Phys. C38 (2014) 090001;  
1676 pages of experimental results  
Almost all consistent with the SM predictions



## Summary VII: problems

- Neutrino masses
- Dark matter
- Baryon asymmetry
- Fine tuning
  - $m_h^2$
  - $\theta_{\text{QCD}}$