

Superconformal Algebraic Approach to Hadron Structure: The Perturbative-Nonperturbative Interface in QCD

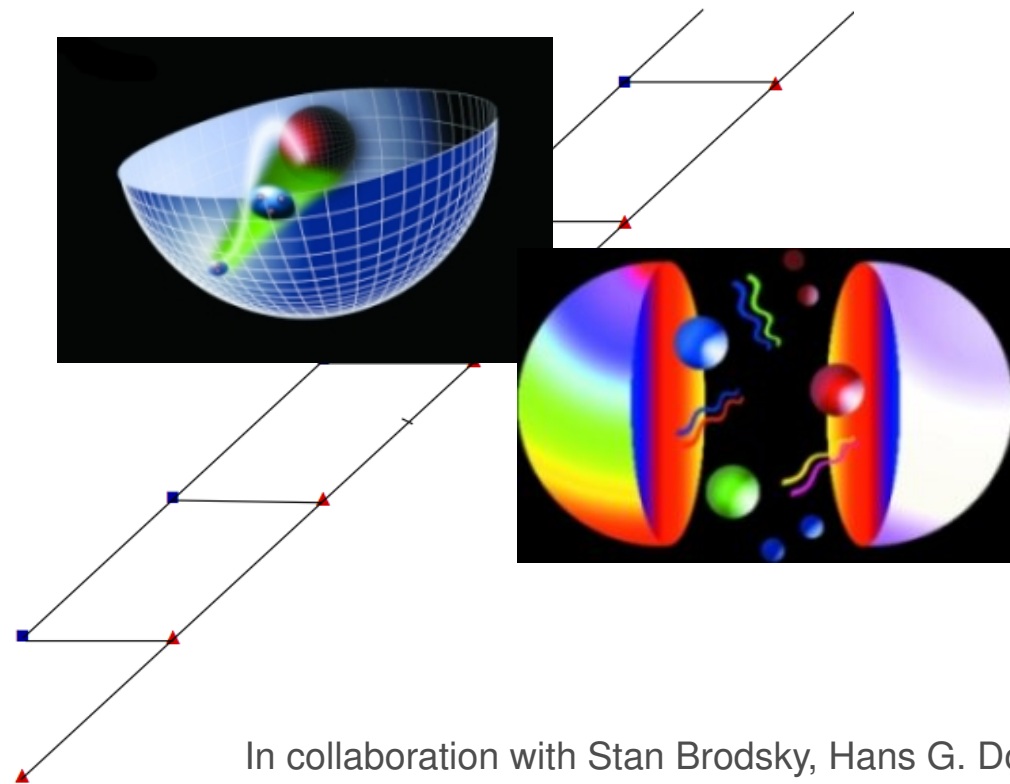
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XIIth QUARK CONFINEMENT AND THE HADRONIC SPECTRUM

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1 Preamble: The complexity of QCD

- The QCD Lagrangian in the limit of massless quarks has no scale: still confinement and a mass scale should emerge from the quantum theory built upon the classical QCD conformal theory
- Increase of the QCD coupling at low energies implies that an infinite number of quark and gluons are strongly coupled
- Description of the dynamics is vastly complex and understanding the mechanism of confinement is an unsolved problem
- We require a semiclassical approximation which captures essential aspects of the nonperturbative confinement dynamics, which are not obvious from the QCD Lagrangian
- Recent progress: nonperturbative QCD dynamics is well captured in a semiclassical effective theory based on superconformal quantum mechanics in the light-front and its holographic embedding on a higher dimensional AdS space (gauge/gravity correspondence)

2 Semiclassical approximation to light front QCD

[GdT and S. J. Brodsky, PRL **102**, 081601 (2009)]

- Start with $SU(3)_C$ QCD Lagrangian

$$\mathcal{L}_{\text{QCD}} = \bar{\psi} (i\gamma^\mu D_\mu - m) \psi - \frac{1}{4} G_{\mu\nu}^a G^{a\mu\nu}$$

- Express the hadron 4-momentum generator $P = (P^+, P^-, \mathbf{P}_\perp)$, $P^\pm = P^0 \pm P^3$, in terms of dynamical fields $\psi_+ = \Lambda_+ \psi$ and \mathbf{A}_\perp ($\Lambda_\pm = \gamma^0 \gamma^\pm$) quantized in null plane $x^+ = x^0 + x^3 = 0$

$$P^- = \frac{1}{2} \int dx^- d^2 \mathbf{x}_\perp \bar{\psi}_+ \gamma^+ \frac{(i\nabla_\perp)^2 + m^2}{i\partial^+} \psi_+ + \text{interactions}$$

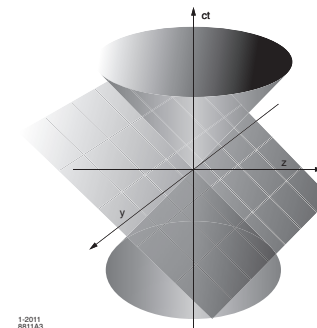
$$P^+ = \int dx^- d^2 \mathbf{x}_\perp \bar{\psi}_+ \gamma^+ i\partial^+ \psi_+$$

$$\mathbf{P}_\perp = \frac{1}{2} \int dx^- d^2 \mathbf{x}_\perp \bar{\psi}_+ \gamma^+ i\nabla_\perp \psi_+$$

- Construct LF invariant Hamiltonian $P^2 = P_\mu P^\mu = P^- P^+ - \mathbf{P}_\perp^2$ from mass-shell relation

$$P^2 |\psi(P)\rangle = M^2 |\psi(P)\rangle, \quad |\psi\rangle = \sum_n \psi_n |n\rangle$$

- Simple structure of LF vacuum allows a quantum-mechanical probabilistic interpretation of hadronic states in terms of wave functions: $\psi_n = \langle n | \psi \rangle$

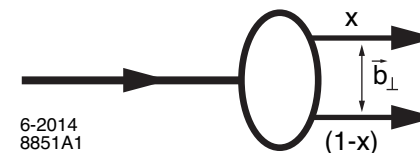


- Compute the hadron matrix element $\langle \psi(P') | P_\mu P^\mu | \psi(P) \rangle$ for a two-parton bound state
- Factor out the longitudinal $X(x)$ and orbital kinematical dependence from LFWF ψ

$$\psi(x, \zeta, \varphi) = e^{iL\varphi} X(x) \frac{\phi(\zeta)}{\sqrt{2\pi\zeta}}$$

with invariant transverse impact variable

$$\zeta^2 = x(1-x)\mathbf{b}_\perp^2$$



- Ultra relativistic limit $m_q \rightarrow 0$ longitudinal modes $X(x)$ decouple ($L = L^z$)

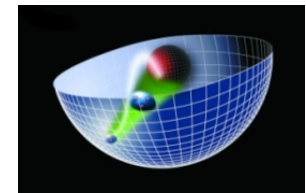
$$M^2 = \int d\zeta \phi^*(\zeta) \sqrt{\zeta} \left(-\frac{d^2}{d\zeta^2} - \frac{1}{\zeta} \frac{d}{d\zeta} + \frac{L^2}{\zeta^2} \right) \frac{\phi(\zeta)}{\sqrt{\zeta}} + \int d\zeta \phi^*(\zeta) U(\zeta) \phi(\zeta)$$

where effective potential U includes all interactions, including those from higher Fock states

- LF Hamiltonian equation $P_\mu P^\mu |\psi\rangle = M^2 |\psi\rangle$ is a LF wave equation for ϕ

$$\left(-\frac{d^2}{d\zeta^2} - \frac{1-4L^2}{4\zeta^2} + U(\zeta) \right) \phi(\zeta) = M^2 \phi(\zeta)$$

- Critical value $L = 0$ corresponds to lowest possible stable solution
- Relativistic and frame-independent LF Schrödinger equation: U is instantaneous in LF time



3 Higher integer-spin wave equations in AdS space

[GdT and S. J. Brodsky, PRL **102**, 081601 (2009)]

[GdT, H.G. Dosch and S. J. Brodsky, PRD **87**, 075004 (2013)]

- Why is AdS space important? AdS_5 is a 5-dim space of maximal symmetry (conformal), negative curvature and a four-dim boundary: Minkowski space $ds^2 = \frac{R^2}{z^2} (dx_\mu dx^\mu - dz^2)$
- Isomorphism of $SO(4, 2)$ group of conformal transformations with generators $P^\mu, M^{\mu\nu}, K^\mu, D$ with the group of isometries of AdS_5
- Integer spin- J in AdS conveniently described by tensor field $\Phi_{N_1 \dots N_J}$ with effective action

$$S_{eff} = \int d^d x dz \sqrt{|g|} e^{\varphi(z)} g^{N_1 N'_1} \dots g^{N_J N'_J} \left(g^{MM'} D_M \Phi_{N_1 \dots N_J}^* D_{M'} \Phi_{N'_1 \dots N'_J} - \mu_{eff}^2(z) \Phi_{N_1 \dots N_J}^* \Phi_{N'_1 \dots N'_J} \right)$$

Covariant derivative D_M includes affine connection and dilaton $\varphi(z)$ effectively breaks conformality

- Effective mass $\mu_{eff}(z)$ is determined by precise mapping to light-front physics
- Non-trivial geometry of pure AdS encodes the kinematics and additional deformations of AdS encode the dynamics, including confinement

- Physical hadron has plane-wave and spin components along physical coordinates

$$\Phi_P(x, z)_{\mu_1 \dots \mu_J} = e^{iP \cdot x} \Phi(z)_{\mu_1 \dots \mu_J} \text{ with invariant hadronic mass } P_\mu P^\mu = M^2$$

- Variation of the action gives AdS wave equation for spin- J field $\Phi(z)_{\mu_1 \dots \mu_J} = \Phi_J(z) \epsilon_{\mu_1 \dots \mu_J}(P)$

$$\left[-\frac{z^{d-1-2J}}{e^{\varphi(z)}} \partial_z \left(\frac{e^{\varphi(z)}}{z^{d-1-2J}} \partial_z \right) + \left(\frac{\mu R}{z} \right)^2 \right] \Phi_J = M^2 \Phi_J$$

- Upon substitution $\Phi_J(z) \sim z^{(d-1)/2-J} e^{-\varphi(z)/2} \phi_J(z)$ and $z \rightarrow \zeta$ we find LFWE (slide 5)

$$\left(-\frac{d^2}{d\zeta^2} - \frac{1-4L^2}{4\zeta^2} + U(\zeta) \right) \phi_J(\zeta) = M^2 \phi_J(\zeta)$$

for ($d = 4$) where

$$U(\zeta) = \frac{1}{2} \varphi''(\zeta) + \frac{1}{4} \varphi'(\zeta)^2 + \frac{2J-3}{2\zeta} \varphi'(\zeta)$$

$$\text{and } (\mu R)^2 = -(2-J)^2 + L^2$$

- Unmodified AdS equations correspond to the kinetic energy terms for the partons, and effective LF potential $U(\zeta)$ corresponds to the IR modification of AdS space
- AdS Breitenlohner-Freedman bound $(\mu R)^2 \geq -4$ equivalent to LF QM stability condition $L^2 \geq 0$

4 Higher half-integer-spin wave equations in AdS space

[J. Polchinski and M. J. Strassler, JHEP **0305**, 012 (2003)]

[GdT and S. J. Brodsky, PRL **94**, 201601 (2005)]

[GdT, H.G. Dosch and S. J. Brodsky, PRD **87**, 075004 (2013)]

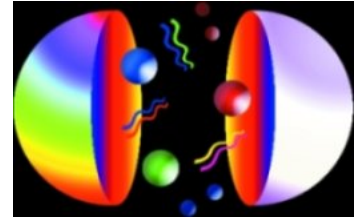
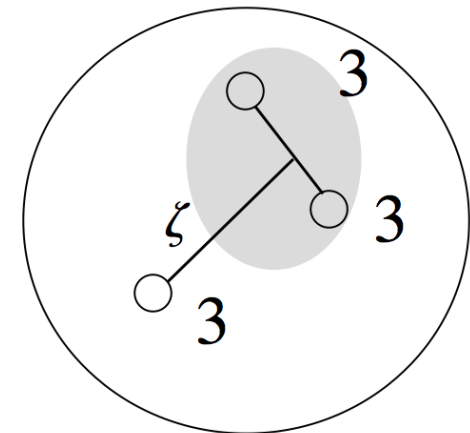


Image credit: N. Evans

- Extension of holographic ideas to spin- $\frac{1}{2}$ (and higher half-integral J) hadrons by considering wave equations for Rarita-Schwinger spinor fields in AdS space and their mapping to light-front physics
- LF clustering decomposition of invariant variable ζ : same multiplicity of states for mesons and baryons
- The invariant variable ζ is the light-front weighted distribution of the spectator diquark cluster relative to the active quark

$$\zeta = \sqrt{\frac{x}{1-x}} \left| \sum_{j=1}^{N-1} x_j \mathbf{b}_{\perp j} \right|$$

- LF cluster decomposition follows from mapping of EM form factor in AdS to the light front [S. J. Brodsky and GdT, PRL **96**, 201601 (2006)]



- Half-integer spin $J = T + \frac{1}{2}$ represented by RS spinor $[\Psi_{N_1 \dots N_T}]_\alpha$ with effective AdS action

$$S_{eff} = \frac{1}{2} \int d^d x dz \sqrt{|g|} g^{N_1 N'_1} \dots g^{N_T N'_T} \left[\bar{\Psi}_{N_1 \dots N_T} \left(i \Gamma^A e_A^M D_M - \mu - \rho(z) \right) \Psi_{N'_1 \dots N'_T} + h.c. \right]$$

where the covariant derivative D_M includes the affine connection and the spin connection

- e_M^A is the vielbein and Γ^A tangent space Dirac matrices $\{\Gamma^A, \Gamma^B\} = \eta^{AB}$
- Variation of the action gives linear equations

$$\begin{aligned} -\frac{d}{dz} \psi_- - \frac{L + \frac{1}{2}}{z} \psi_- - V(z) \psi_- &= M \psi_+ \\ \frac{d}{dz} \psi_+ - \frac{L + \frac{1}{2}}{z} \psi_+ - V(z) \psi_+ &= M \psi_- \end{aligned}$$

where $L = \mu R - \frac{1}{2}$, $\psi \equiv \Psi_T$ and

$$V(z) = \frac{R}{z} \rho(z)$$

a J -independent potential – No spin-orbit coupling along a given trajectory !

[See also: T. Gutsche, V. E. Lyubovitskij, I. Schmidt and A. Vega, Phys. Rev. D **85**, 076003 (2012)]

- Mapping to the light front, $z \rightarrow \zeta$ system of linear eqs. is equivalent to the second order equations:

$$\begin{aligned} \left(-\frac{d^2}{d\zeta^2} - \frac{1 - 4L^2}{4\zeta^2} + U^+(\zeta) \right) \psi_+ &= M^2 \psi_+ \\ \left(-\frac{d^2}{d\zeta^2} - \frac{1 - 4(L+1)^2}{4\zeta^2} + U^-(\zeta) \right) \psi_- &= M^2 \psi_- \end{aligned}$$

where

$$U^\pm(\zeta) = V^2(\zeta) \pm V'(\zeta) + \frac{1 + 2L}{\zeta} V(\zeta)$$

and

$$V(\zeta) = \frac{R}{\zeta} \rho(\zeta)$$

- The plus and minus component equations correspond to LF orbital angular momentum L and $L + 1$

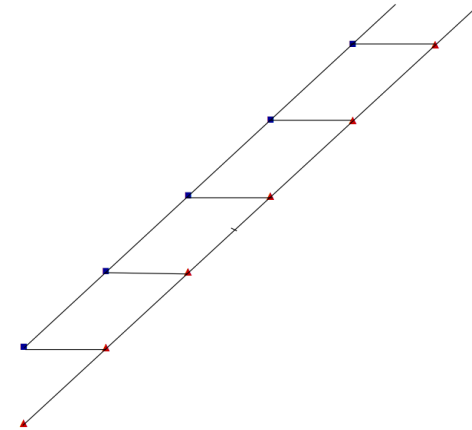
5 Superconformal quantum mechanics and nucleons

[S. Fubini and E. Rabinovici, NPB **245**, 17 (1984)]

[GdT, H.G. Dosch and S. J. Brodsky, PRD **91**, 045040 (2015)]

- SUSY QM contains two fermionic generators Q and Q^\dagger , and a bosonic generator, the Hamiltonian H
[E. Witten, NPB **188**, 513 (1981)]
- Closure under the graded algebra $sl(1/1)$:

$$\begin{aligned}\frac{1}{2}\{Q, Q^\dagger\} &= H \\ \{Q, Q\} &= \{Q^\dagger, Q^\dagger\} = 0 \\ [Q, H] &= [Q^\dagger, H] = 0\end{aligned}$$



- Since $[Q^\dagger, H] = 0$, the states $|E\rangle$ and $Q^\dagger|E\rangle$ have identical eigenvalues E , but for a zero eigenvalue we can have the trivial solution $|E = 0\rangle = 0$
- For a conformal theory

$$Q = \psi^\dagger \left(-\frac{d}{dx} + \frac{f}{x} \right), \quad Q^\dagger = \psi \left(\frac{d}{dx} + \frac{f}{x} \right)$$

where ψ and ψ^\dagger are spinor operators with $\{\psi, \psi^\dagger\} = 1$ and f is dimensionless

- Conformal graded-Lie algebra has in addition to the Hamiltonian H and supercharges Q and Q^\dagger , a new operator S related to the generator of conformal transformations K

$$S = \chi x, \quad S^\dagger = \chi^\dagger x$$

- Find enlarged algebra (Superconformal algebra of Haag, Lopuszanski and Sohnius (1974))

$$\begin{aligned} \frac{1}{2}\{Q, Q^\dagger\} &= H, & \frac{1}{2}\{S, S^\dagger\} &= K, \\ \{Q, S^\dagger\} &= f - B + 2iD, & \{Q^\dagger, S\} &= f - B - 2iD \end{aligned}$$

where $B = \frac{1}{2}[\psi^\dagger, \psi]$, and the generators of translation, dilatation and the special conformal transformation H , D and K

$$\begin{aligned} H &= \frac{1}{2} \left(-\frac{d^2}{dx^2} + \frac{f^2 + 2Bf}{x^2} \right) \\ D &= \frac{i}{4} \left(\frac{d}{dx} x + x \frac{d}{dx} \right) \\ K &= \frac{1}{2} x^2 \end{aligned}$$

satisfy the conformal algebra

$$[H, D] = iH, \quad [H, K] = 2iD, \quad [K, D] = -iK$$

- Following F&R define a fermionic generator R , a linear combination of the generators Q and S

$$R_\lambda = Q + \lambda S$$

which generates a new Hamiltonian

$$G_\lambda = \{R_\lambda, R_\lambda^\dagger\}$$

where by construction

$$\{R_\lambda, R_\lambda\} = \{R_\lambda^\dagger, R_\lambda^\dagger\} = 0, \quad [R_\lambda, G_\lambda] = [R_\lambda^\dagger, G_\lambda] = 0$$

which also closes under the graded algebra $sl(1/1)$

- In a Pauli matrix representation G_λ is given by

$$G_\lambda = 2H + 2\lambda^2 K + 2\lambda (f - \sigma_3)$$

and leads to the eigenvalue equations

$$\left(-\frac{d^2}{dx^2} + \lambda^2 x^2 + 2\lambda f - \lambda + \frac{4(f + \frac{1}{2})^2 - 1}{4x^2} \right) \phi_1 = E \phi_1$$

$$\left(-\frac{d^2}{dx^2} + \lambda^2 x^2 + 2\lambda f + \lambda + \frac{4(f - \frac{1}{2})^2 - 1}{4x^2} \right) \phi_2 = E \phi_2$$

Light-front mapping and nucleon spectrum

- Upon the substitutions $x \mapsto \zeta$, $E \mapsto M^2$ and $f \mapsto L + \frac{1}{2}$ in the AdS wave equations (slide 10) we find $U^+ = \lambda^2 \zeta^2 + 2\lambda(L + 1)$ and $U^- = \lambda^2 \zeta^2 + 2\lambda L$, thus the effective potential $V = \lambda z$ and the bound state equations:

$$\left(-\frac{d^2}{d\zeta^2} - \frac{1 - 4L^2}{4\zeta^2} + \lambda^2 \zeta^2 + 2\lambda(L + 1) \right) \psi_+ = M^2 \psi_+$$

$$\left(-\frac{d^2}{d\zeta^2} - \frac{1 - 4(L + 1)^2}{4\zeta^2} + \lambda^2 \zeta^2 + 2\lambda L \right) \psi_- = M^2 \psi_-,$$

- Eigenfunctions

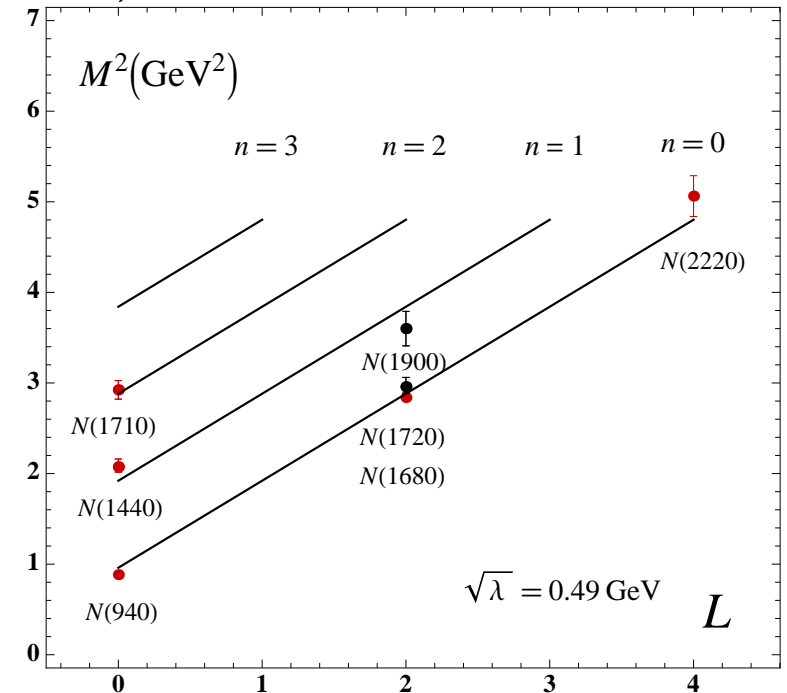
$$\psi_+(\zeta) \sim \zeta^{\frac{1}{2}+L} e^{-\lambda\zeta^2/2} L_n^L(\lambda\zeta^2)$$

$$\psi_-(\zeta) \sim \zeta^{\frac{3}{2}+L} e^{-\lambda\zeta^2/2} L_n^{L+1}(\lambda\zeta^2)$$

- Eigenvalues

$$M^2 = 4\lambda(n + L + 1)$$

- L is the relative LF angular momentum
between the active quark and spectator cluster



6 Superconformal meson-baryon symmetry

[H.G. Dosch, GdT, and S. J. Brodsky, PRD **91**, 085016 (2015)]

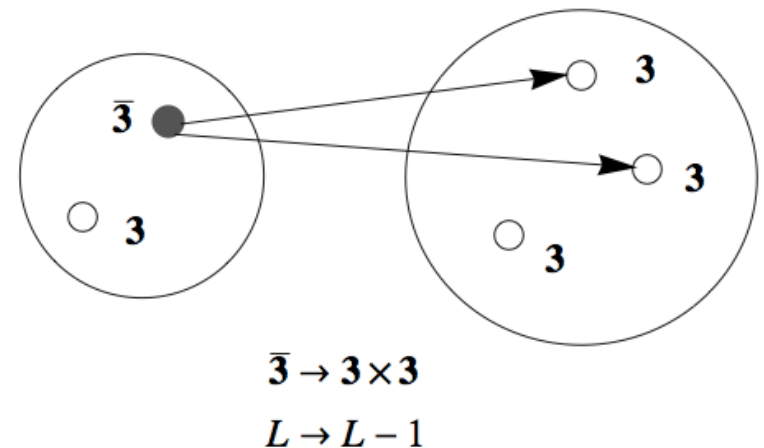
$$|\phi\rangle = \begin{pmatrix} \phi_{\text{Meson}} \\ \phi_{\text{Nucleon}} \end{pmatrix}$$

- Extend superconformal QM to relate bound-state equations for mesons and baryons
- Upon the substitutions (slide 13) $x \mapsto \zeta$, $E \rightarrow M^2$, $\lambda \mapsto \lambda_B = \lambda_M$ and $f \mapsto L_M - \frac{1}{2} = L_B + \frac{1}{2}$ find the bound-state equations

$$\left(-\frac{d^2}{d\zeta^2} + \frac{4L_M^2 - 1}{4\zeta^2} + \lambda_M^2 \zeta^2 + 2\lambda_M(L_M - 1) \right) \phi_{\text{Meson}} = M^2 \phi_{\text{Meson}}$$

$$\left(-\frac{d^2}{d\zeta^2} + \frac{4L_N^2 - 1}{4\zeta^2} + \lambda_N^2 \zeta^2 + 2\lambda_N(L_N + 1) \right) \phi_{\text{Nucleon}} = M^2 \phi_{\text{Nucleon}}$$

- Superconformal QM imposes the condition $\lambda = \lambda_M = \lambda_B$ (equality of Regge slopes) and the remarkable relation $\Rightarrow L_M = L_B + 1$
- Also $R^\dagger |M, L\rangle = |B, L - 1\rangle$, $R^\dagger |M, L = 0\rangle = 0$
Special role of the pion as a unique state of zero energy
- Emerging dynamical SUSY from SU(3) color
(Hadronic SUSY introduced by H. Miyazawa (1966))

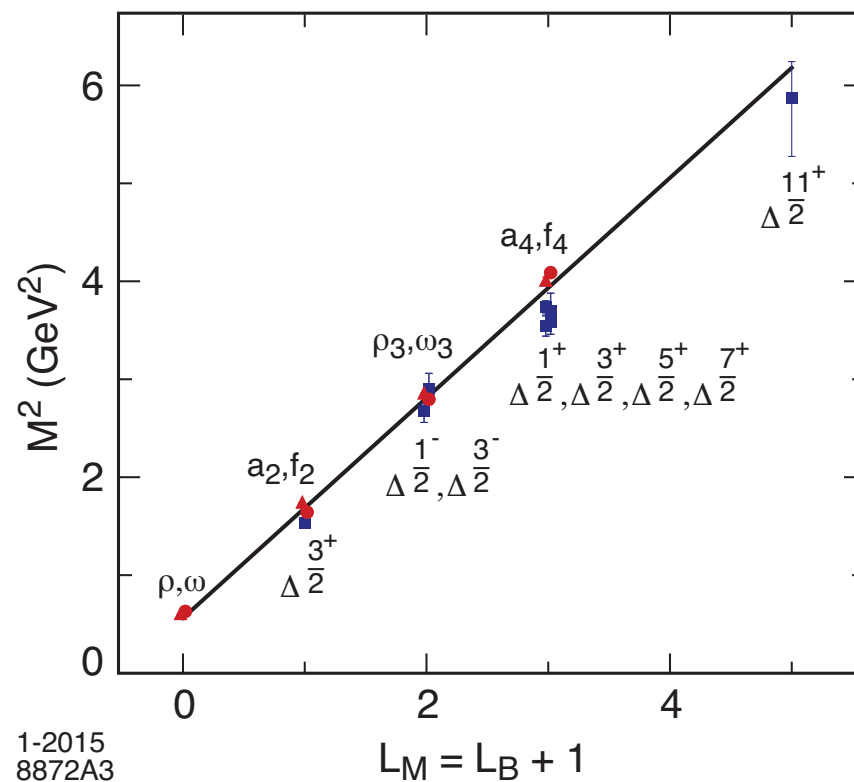
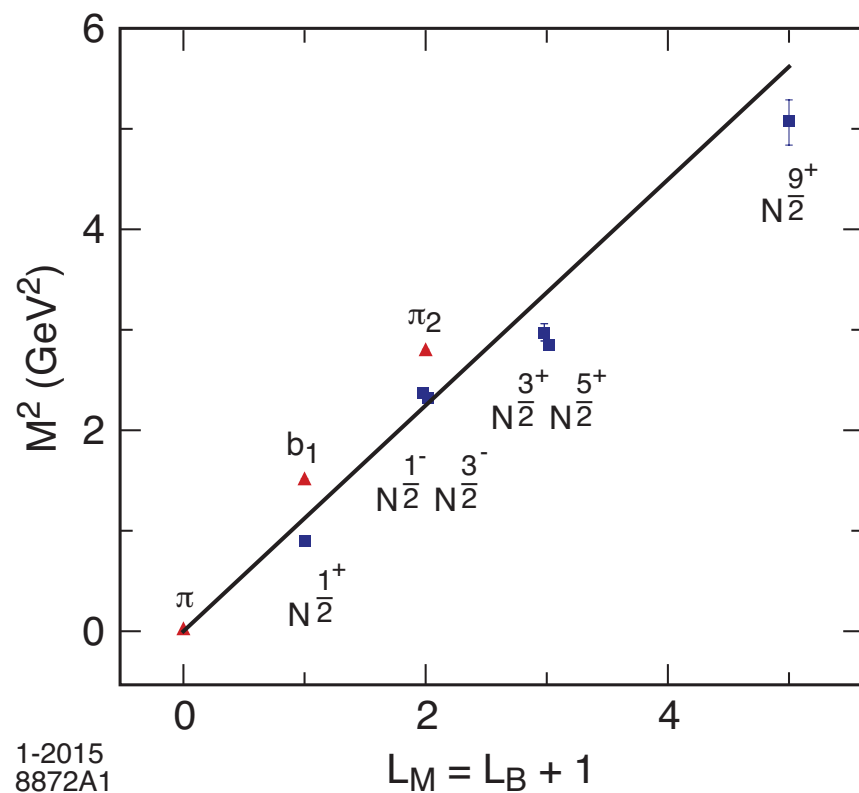


- Superconformal spin-dependent Hamiltonian to describe mesons and baryons (chiral limit)

[S. J. Brodsky, GdT, H. G. Dosch, C. Lorcé, PLB **759**, 171 (2016)]

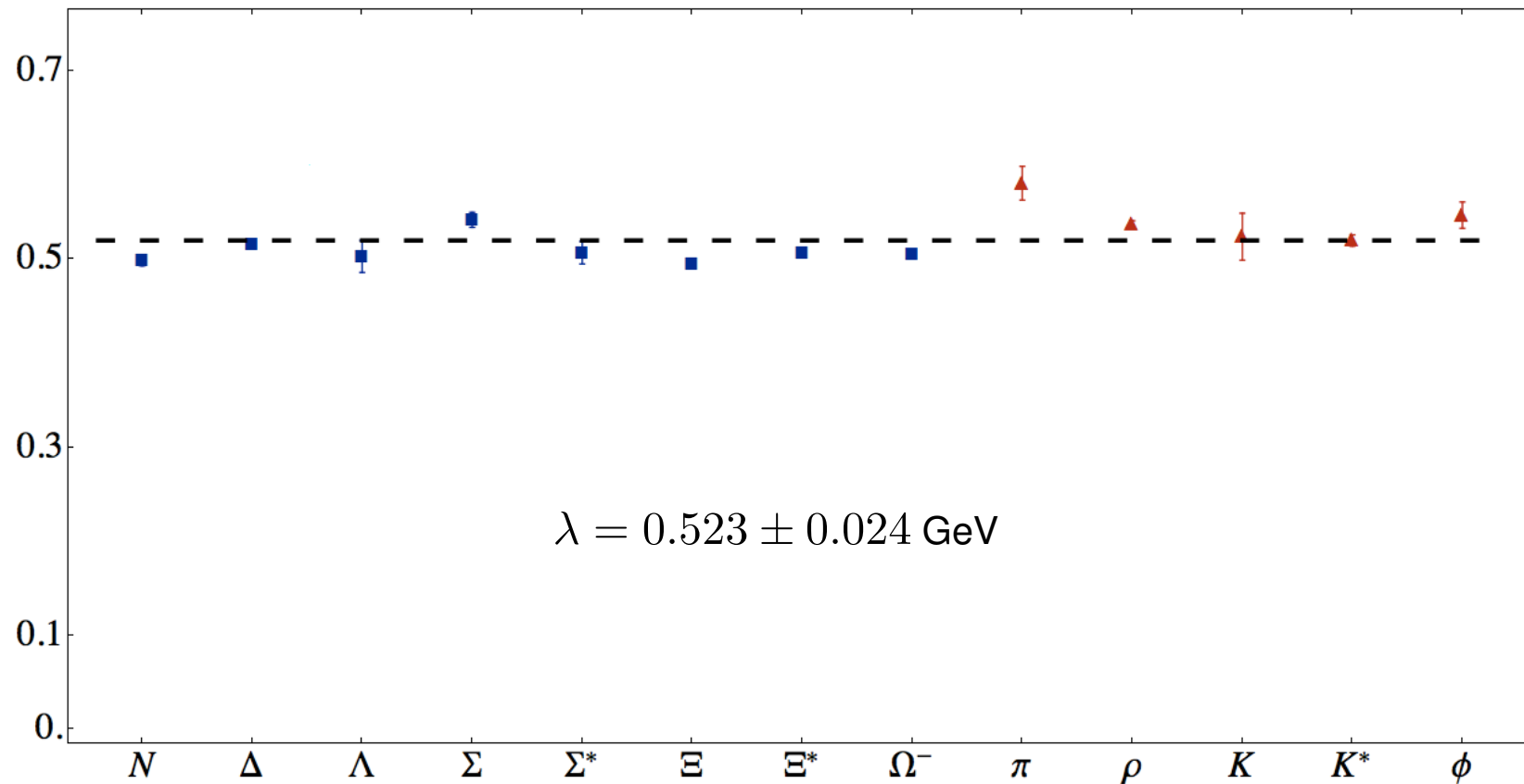
$$G = \{R_\lambda^\dagger, R_\lambda\} + 2\lambda S \quad S = 0, 1$$

Mesons : $M^2 = 4\lambda(n + L_M) + 2\lambda S$, Baryons : $M^2 = 4\lambda(n + L_B + 1) + 2\lambda S$



Superconformal meson-nucleon partners: solid line corresponds to $\sqrt{\lambda} = 0.53$ GeV

- How universal is the semiclassical approximation based on superconformal QM and its LF holographic embedding? [S. J. Brodsky, GdT, H. G. Dosch, C. Lorcé, PLB **759**, 171 (2016)]



Best fit for hadronic scale $\sqrt{\lambda}$ from the different sectors including radial and orbital excitations

7 Supersymmetry across the heavy-light hadronic spectrum

[H.G. Dosch, GdT, and S. J. Brodsky, Phys. Rev. D **92**, 074010 (2015)]

[H.G. Dosch, GdT, and S. J. Brodsky **IN PREPARATION**]

- Introduction of quark masses breaks conformal symmetry without violating supersymmetry
- Embedding of SUSY bound-state equations in AdS to determine the confinement potential
- SUSY potential for a meson can be read-off from slide 10 ($V_{\text{light}} = \lambda\zeta$)

$$U_{susy} = V^2 - V' + \frac{2L+1}{\zeta} V$$

where V is an arbitrary superpotential and $L = L_M - 1$

- Embedding meson bound-state equation in AdS the LF potential is ($\varphi_{\text{light}} = \lambda\zeta^2$)

$$U_{AdS} = \frac{1}{4}(\varphi')^2 + \frac{1}{2}\varphi'' + \frac{2L-1}{2\zeta}\varphi'$$

where φ is an arbitrary dilaton (slide 7) and $L = L_M - 1$ for $J = L_M$

- Equating the SUSY and the dilaton potentials $U_{susy} = U_{AdS}$ we find:

$$\begin{aligned}\varphi'(\zeta) &= \int d\zeta \left(\lambda\zeta\sigma(\zeta) - \frac{\lambda^2\zeta^2\sigma'(\zeta)}{\lambda^2\zeta^2\sigma(\zeta) + 2(L_M - 1)\lambda} \right) \\ V(\zeta) &= \frac{1}{2} \left(\lambda\zeta\sigma(\zeta) + \frac{\lambda^2\zeta^2\sigma'(\zeta)}{\lambda^2\zeta^2\sigma(\zeta) + 2(L_M - 1)\lambda} \right)\end{aligned}$$

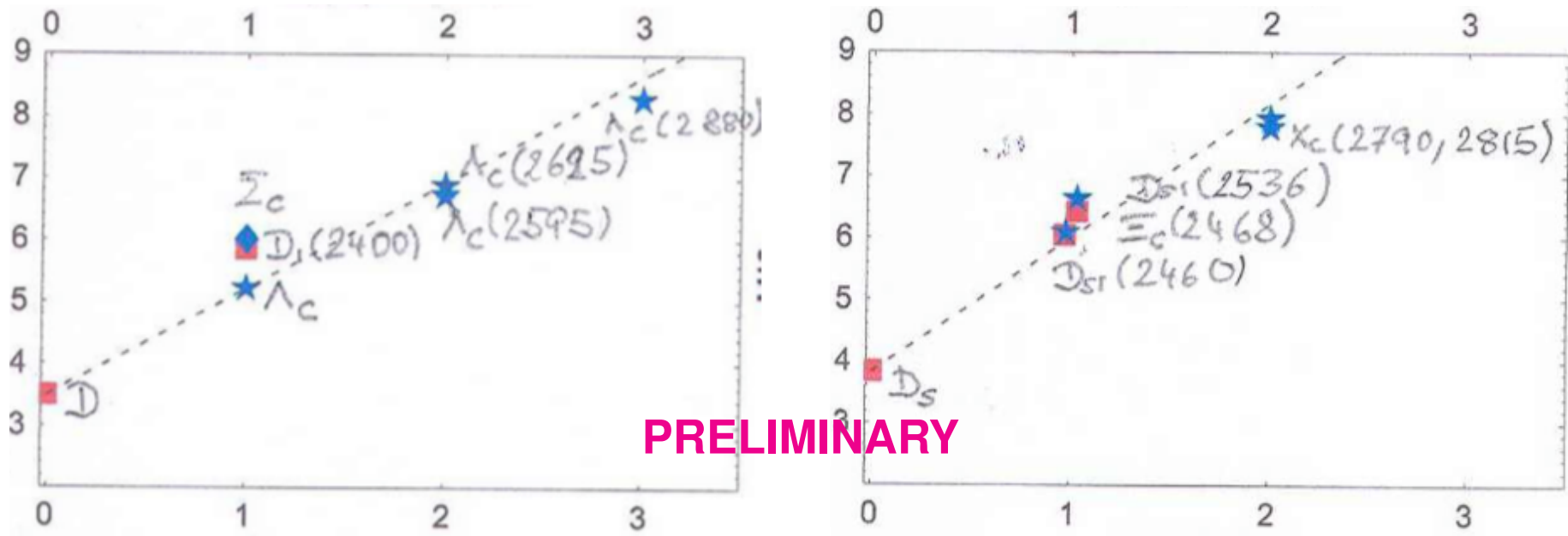
where $\sigma(\zeta)$ is an arbitrary function

- If dilaton profile is independent of angular momentum one has $\sigma'(\zeta) = 0$ and thus $\sigma = \text{const} \equiv 2A$
- Solution:

$$\varphi = \lambda A \zeta^2 + B \quad \text{and} \quad V(\zeta) = \lambda A \zeta$$

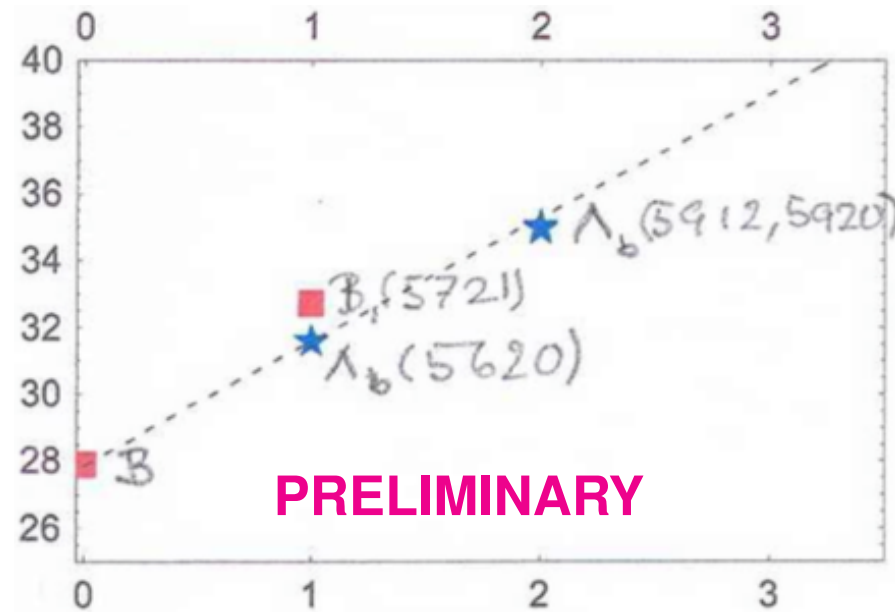
- For strongly broken conformal invariance the dilaton is still quadratic, but since A is arbitrary the strength of the potential is not determined

$$M^2 \text{ vs } L_M = L_B + 1$$



D/Λ_c trajectory (left) and D_s/Ξ_c trajectory (right)

$$M^2 \text{ vs } L_M = L_B + 1$$



B/Λ_b trajectory

$$\sqrt{\lambda_{\text{light}}} \simeq 0.52 \text{ GeV}, \quad \sqrt{\lambda_{\text{charm}}} \simeq 0.7 \text{ GeV}, \quad \sqrt{\lambda_{\text{bottom}}} \simeq 1 \text{ GeV},$$

8 Infrared behavior of the strong coupling in light-front holographic QCD

[S. J. Brodsky, GdT and A. Deur, PRD **81** (2010) 096010]

[A. Deur, S. J. Brodsky and GdT, PLB **750**, 528 (2015); PLB **757**, 275 (2016), arXiv:1608.04933 [hep-ph]

- Effective coupling $\alpha_{g_1} = g_1^2/4\pi$ defined from and observable: g_1 scheme from Bjorken sum rule

$$\frac{\alpha_{g_1}(Q^2)}{\pi} = 1 - \frac{6}{g_A} \int_0^1 dx g_1^{p-n}(x, Q^2)$$

- Infrared behavior of strong coupling in LF holographic QCD from Fourier transform of the LF transverse coupling:

$$\alpha_{g_1}^{AdS}(Q) = \pi \exp(-Q^2/4\lambda)$$

- Large Q -dependence of α_s is computed from the pQCD β series:

$$Q^2 d\alpha_s/dQ^2 = \beta(Q) = -(\beta_0\alpha_s^2 + \beta_1\alpha_s^3 + \beta_2\alpha_s^4 + \dots)$$

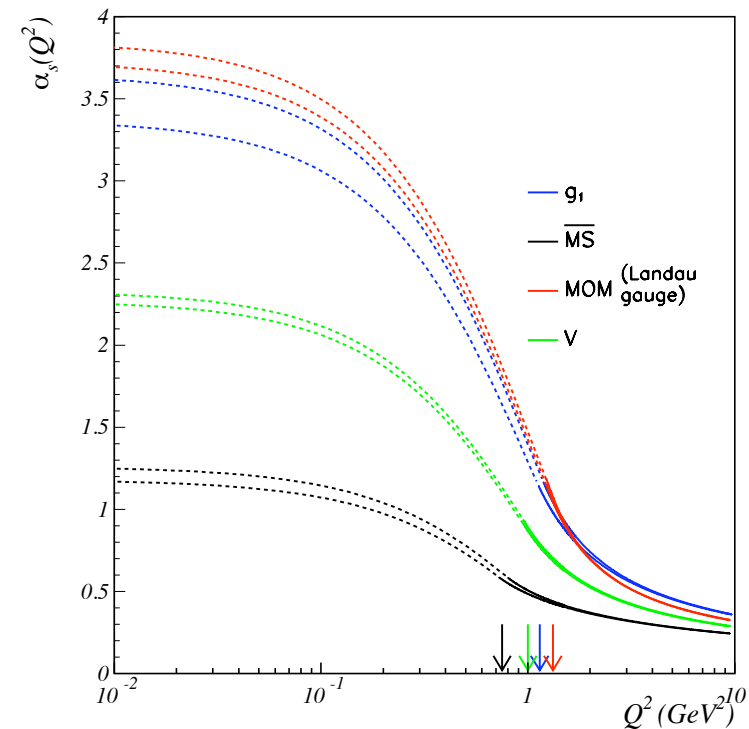
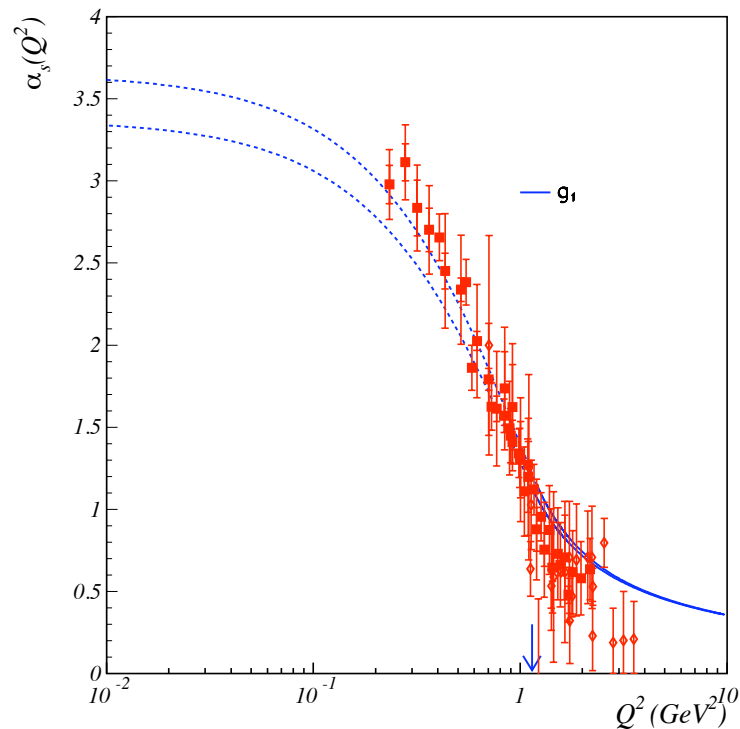
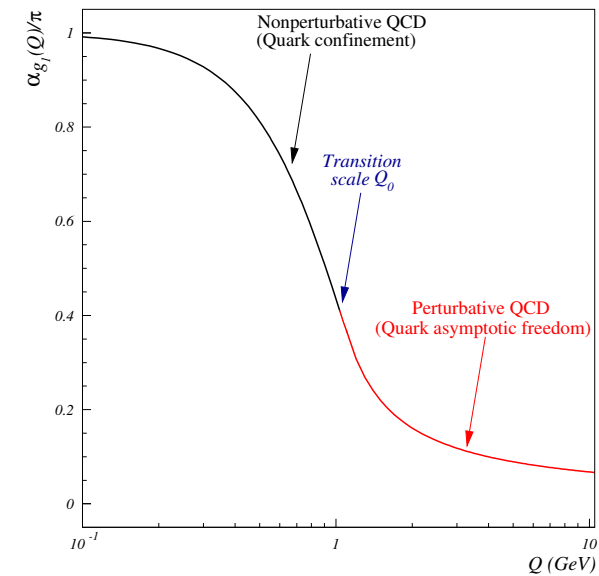
where coefficients β_i are known up to β_4 in \overline{MS} scheme (five-loops):

- $\alpha_{g_1}^{pQCD}(Q)$ expressed as a perturbative expansion in $\alpha_{\overline{MS}}(Q)$:

$$\alpha_{g_1}^{pQCD}(Q) = \pi \left[\alpha_{\overline{MS}}/\pi + a_1 (\alpha_{\overline{MS}}/\pi)^2 + a_2 (\alpha_{\overline{MS}}/\pi)^3 + \dots \right]$$

The coefficients a_i are known up to order a_4

- Λ_{QCD} and transition scale Q_0 from matching perturbative and nonperturbative regimes:
 $\Lambda_{\overline{MS}} = 0.339 \pm 0.019 \text{ GeV}$
 (World Average: $\Lambda_{\overline{MS}} = 0.332 \pm 0.019 \text{ GeV}$)
 Transition scale: $Q_0^2 \simeq 1 \text{ GeV}^2$
- Renormalization scheme dependence





Thanks !

Review of LFHQCD, see S. J. Brodsky, GdT, H. G. Dosch and J. Erlich, [Phys. Rept. 584, 1 \(2015\)](#)