

# Status and future of neutrinoless $\beta\beta$ decay nuclear matrix elements

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Section E: QCD and New Physics

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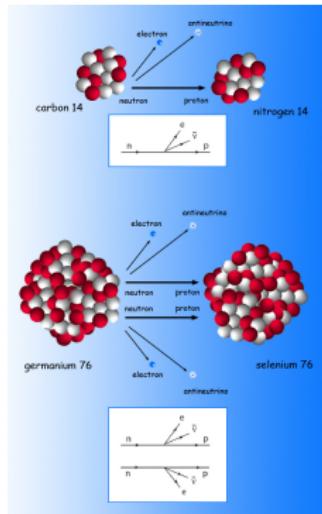


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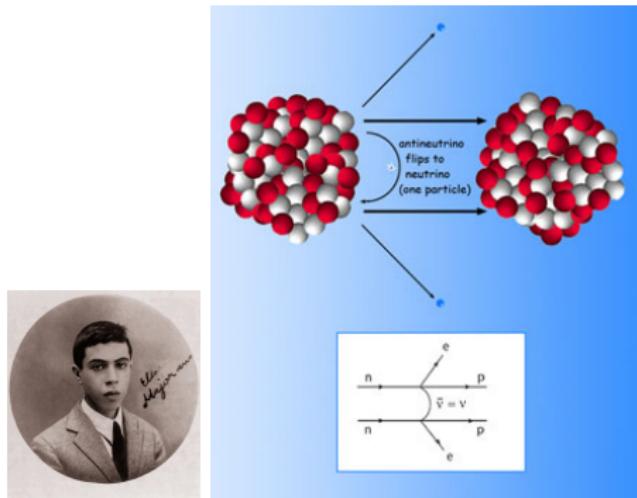
# Lepton-number conservation

Lepton number is conserved  
in all physical processes  
observed to date



$\beta$  decay,  $\beta\beta$  decay...

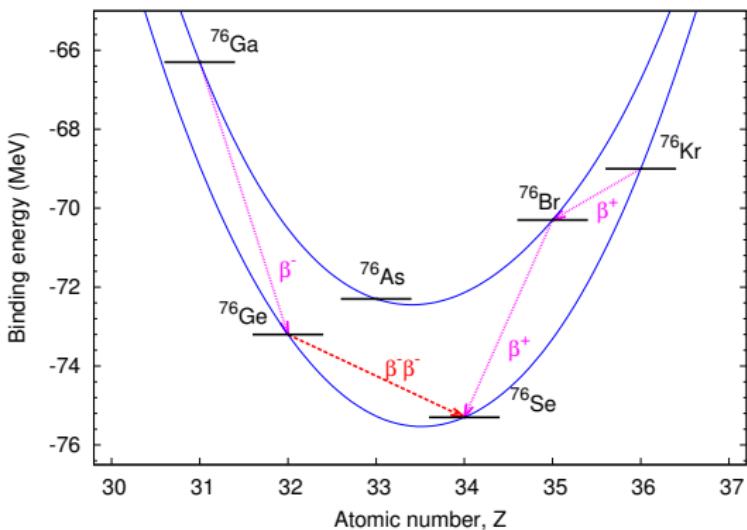
Uncharged massive particles  
like Majorana neutrinos ( $\nu$ )  
theoretically allow lepton number violation



Neutrinoless  $\beta\beta$  ( $0\nu\beta\beta$ ) decay

# Double-beta decay

Double-beta decay is a second-order process,  
only to be observed when single- $\beta^-$  decay is energetically forbidden  
or hindered by large spin difference between initial and final states



Transition	Experiment
$^{48}\text{Ca} \rightarrow ^{48}\text{Ti}$	CANDLES
$^{76}\text{Ge} \rightarrow ^{76}\text{Se}$	GERDA, MAJORANA
$^{82}\text{Se} \rightarrow ^{82}\text{Kr}$	SuperNEMO
$^{96}\text{Zr} \rightarrow ^{96}\text{Mo}$	
$^{100}\text{Mo} \rightarrow ^{100}\text{Ru}$	AMoRE
$^{110}\text{Pd} \rightarrow ^{110}\text{Cd}$	
$^{116}\text{Cd} \rightarrow ^{116}\text{Sn}$	COBRA
$^{124}\text{Sn} \rightarrow ^{124}\text{Te}$	
$^{130}\text{Te} \rightarrow ^{130}\text{Xe}$	CUORE, SNO+
$^{136}\text{Xe} \rightarrow ^{136}\text{Ba}$	EXO, KamLAND-Zen, NEXT
$^{150}\text{Nd} \rightarrow ^{150}\text{Sm}$	

Present lifetime limits in  $^{76}\text{Ge}$ ,  $^{136}\text{Xe}$  set to  $T_{1/2}^{0\nu\beta\beta} > 10^{25} \text{ y}, 10^{26} \text{ y}!$

# $0\nu\beta\beta$ decay mechanisms

$0\nu\beta\beta$  process needs massive Majorana neutrinos ( $\nu = \bar{\nu}$ ),  
but several mechanisms mediating the decay are possible



$$\left( T_{1/2}^{0\nu\beta\beta} (0^+ \rightarrow 0^+) \right)^{-1} = \sum_i G_i \left| M_i^{0\nu\beta\beta} \right|^2 (\eta_i)^2$$

$G_i$  is the phase space factor:  $Q_{\beta\beta}$ , leptons...

$M_i^{0\nu\beta\beta}$  is the nuclear matrix element

$\eta_i$  describes new physics

Exchange of

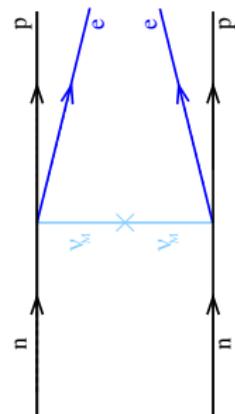
Standard Model neutrinos ( $\eta = m_{\beta\beta}$ ),

sterile neutrinos ( $\eta \sim m_\nu$ ),

left-right symmetric models ( $\eta \sim W_R$  mass,  $W_R - W_L$  mixing),

exchange of supersymmetric particles ( $\eta \sim$  LNV couplings)

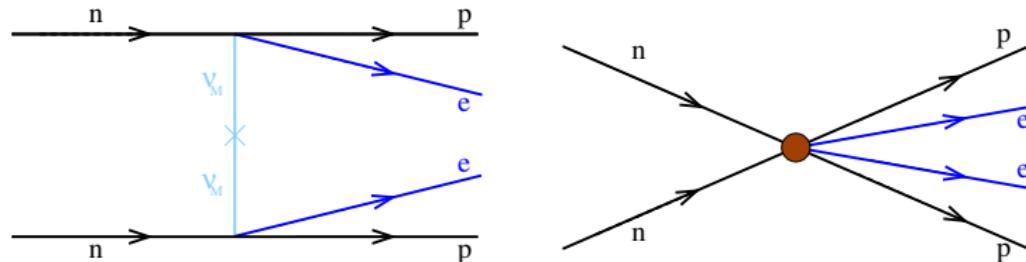
Possible to constrain new physics beyond neutrino masses



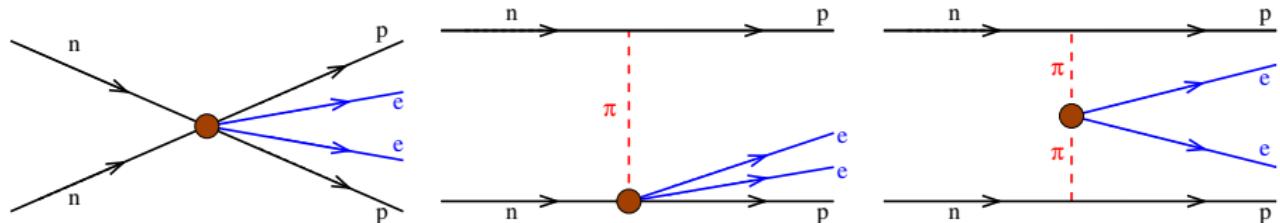
# Standard Model and new physics

Exchange of Standard Model neutrinos: standard scenario

Exchange of heavy particles test new physics



For the exchange of heavy particles pion physics plays key role,  
long-range terms dominant in EFT expansion Prezeau et al. PRD68 034016(2003)  
short-range diagrams additionally suppressed: nucleons  $\sim 1$  fm away



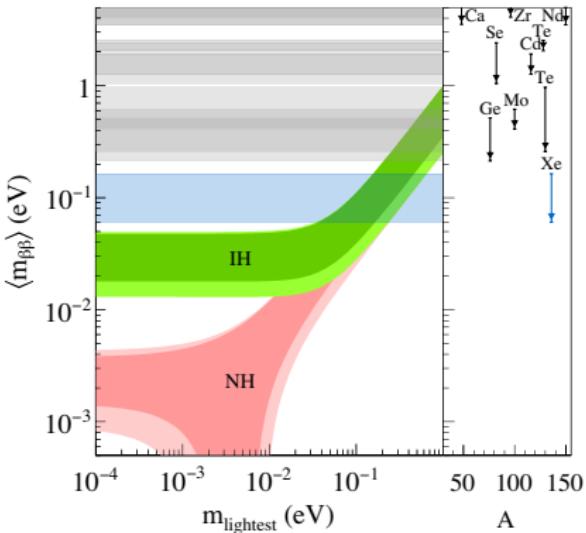
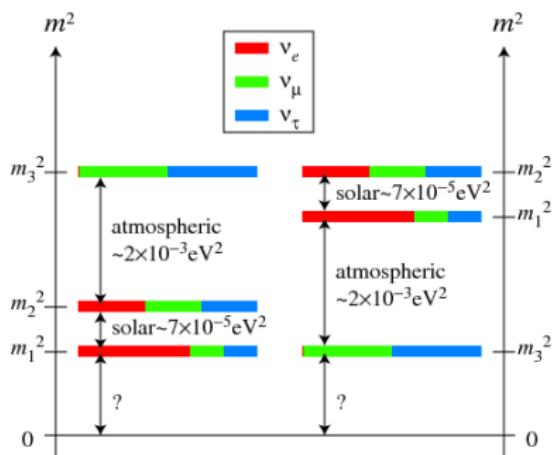
Input from Lattice QCD A. Walker-Loud plenary talk

# Neutrino mass hierarchy

The decay lifetime is

$$\left( T_{1/2}^{0\nu\beta\beta} (0^+ \rightarrow 0^+) \right)^{-1} = G_{01} |M^{0\nu\beta\beta}|^2 \left( \frac{m_{\beta\beta}}{m_e} \right)^2,$$

sensitive to absolute neutrino masses,  $m_{\beta\beta} = |\sum U_{ek}^2 m_k|$ , and hierarchy



Matrix elements needed to make sure  
next generation one-tonne experiments fully cover inverted hierarchy region

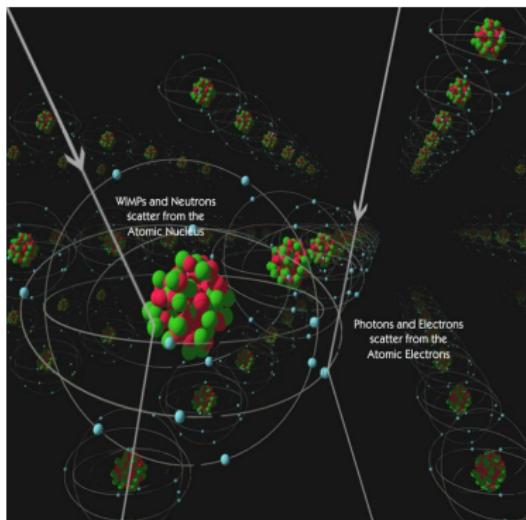
# Nuclear matrix elements

The Nuclear Matrix Element of the process has to be evaluated

$$\langle \text{Final} | H_{\text{leptons-nucleons}} | \text{Initial} \rangle = \langle \text{Final} | \int dx j^\mu(x) J_\mu(x) | \text{Initial} \rangle$$

Nuclear structure calculation  
of the initial and final states:  
Ab initio, phenomenological...

Description of the  
lepton-nucleus interaction:  
Evaluation (non-perturbative)  
of the hadronic currents inside nucleus:  
phenomenological, effective theory



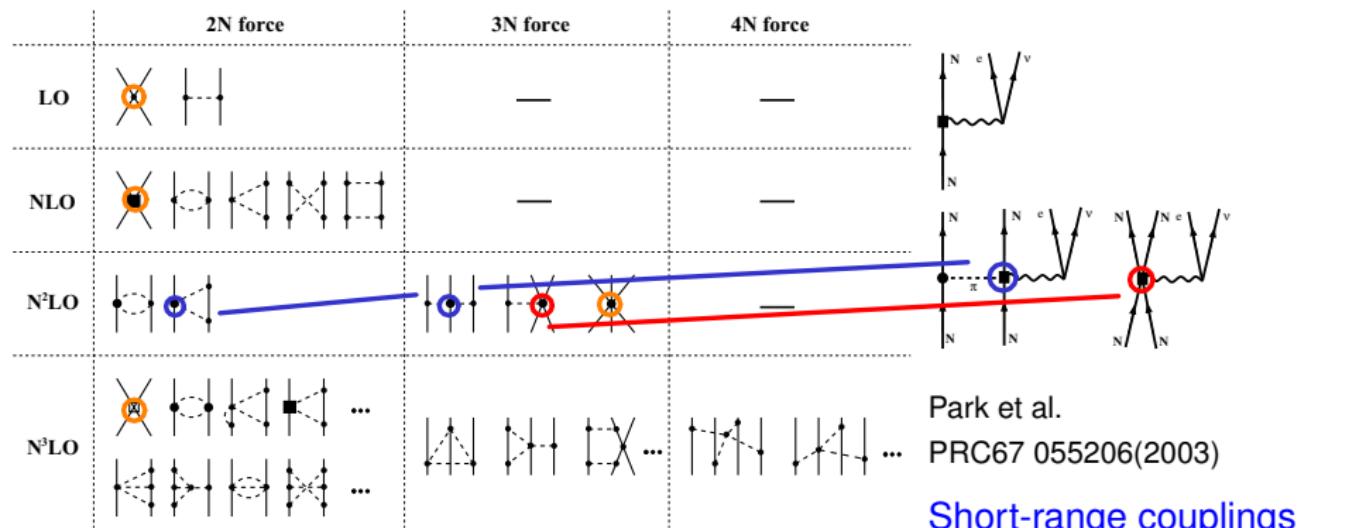
CDMS Collaboration

# Chiral effective field theory

Chiral EFT: low energy approach to QCD, nuclear structure energies

Approximate chiral symmetry: pion exchanges, contact interactions

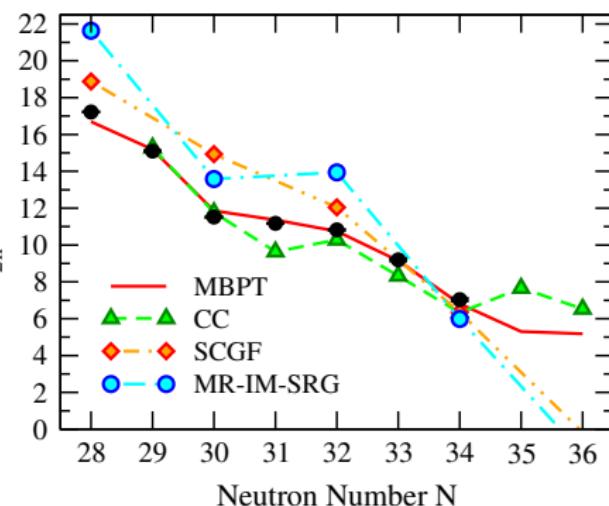
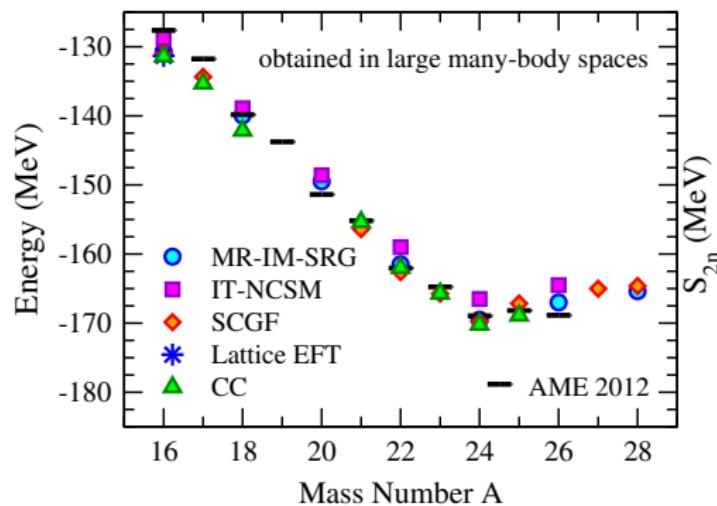
Systematic expansion: nuclear forces and currents



Weinberg, van Kolck, Kaplan, Savage, Meißner, Epelbaum, Weise...

# Nuclear structure with chiral EFT forces

Great success prediction of oxygen dripline and calcium separation energies



Hergert et al. PRL110 242501 (2013)

Cipollone et al. PRL111 062501 (2013)

Jansen et al. PRL113 142502 (2014)

Gallant et al. PRL 109 032506 (2012)

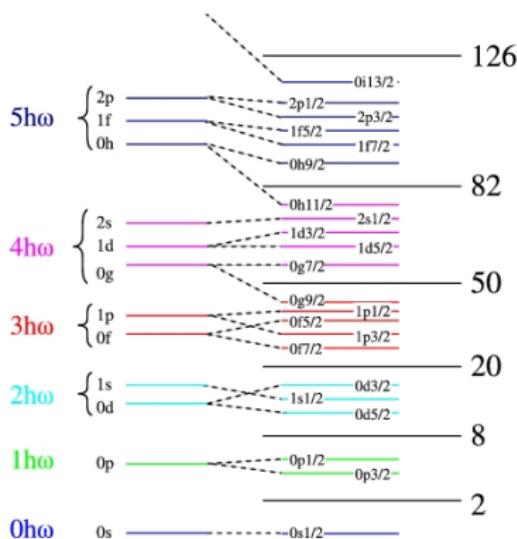
Wienholtz et al. Nature 498 346 (2013)

Hagen et al. PRL 109 032502 (2012)

Somà et al. PRC 89 061301 (2014)

Hergert et al. PRC 90 041302 (2014) ↗ ↘ ↙

# Nuclear shell model (Configuration Interaction)



Nuclear shell model configuration space  
only keep essential degrees of freedom

- Outer orbits: always empty
- Valence space: where many-body problem is solved
- Inner core: always filled

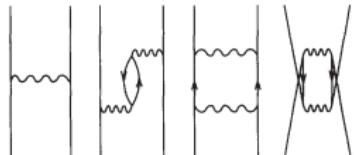
$$H|\Psi\rangle = E|\Psi\rangle \rightarrow H_{\text{eff}}|\Psi\rangle_{\text{eff}} = E|\Psi\rangle_{\text{eff}}$$

$$|\Psi\rangle_{\text{eff}} = \sum_{\alpha} c_{\alpha} |\phi_{\alpha}\rangle, \quad |\phi_{\alpha}\rangle = a_{i_1}^+ a_{i_2}^+ \dots a_{i_A}^+ |0\rangle$$

Many-body perturbation theory to generate  $H_{\text{eff}}$   
very recently non-perturbative methods:  
VS-IMSRG, CCEI also available

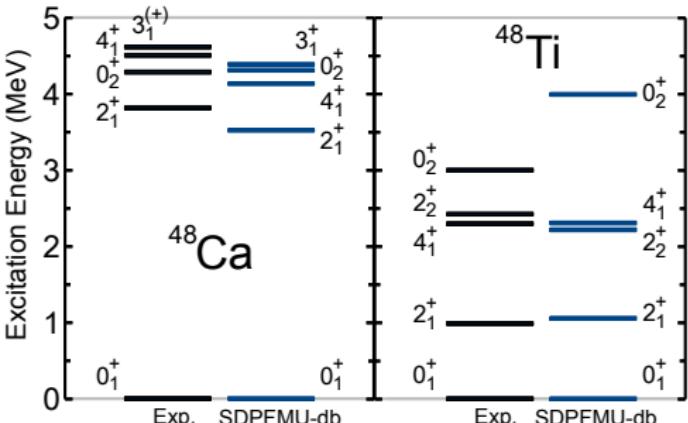
Shell model codes diagonalize up to  
Exact:  $\sim 10^{10}$  Slater det. Caurier *et al.* RMP 77 (2005)  
Approximate:  $\sim 10^{20}$  Slater det. Togashi *et al.* PRL 117 (2016)

Two-Body Matrix  
Elements



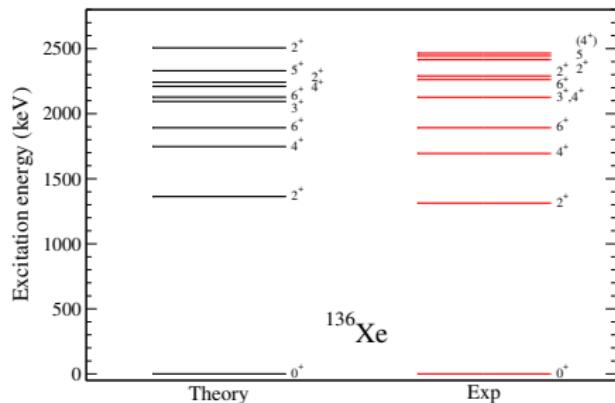
# Excitation spectra

For  $0\nu\beta\beta$  decay candidates very good agreement with experiment



Iwata et al. PRL116 112502 (2016)

Vietze, Klos, JM, Haxton, Schwenk PRD91 043520 (2015)



Other quantities such as electromagnetic transitions, occupation numbers... also in good agreement to experiment

Phenomenological calculations, systematic uncertainties difficult to quantify

# Neutrinoless $\beta\beta$ decay operator

The matrix element is  $M^{0\nu\beta\beta} = \langle 0_f^+ | \sum_{n,m} \tau_n^- \tau_m^- \sum_X H^X(r) \Omega^X | 0_i^+ \rangle$

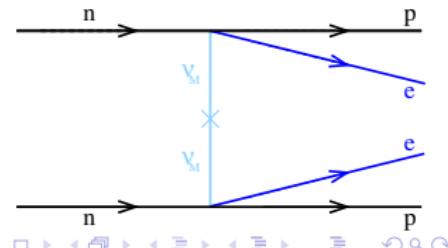
- $\tau_n^- \tau_m^-$  transform two neutrons into two protons
- $\Omega^X$  is the spin structure:

Fermi ( $\mathbb{1}$ ), Gamow-Teller ( $\sigma_n \sigma_m$ ), Tensor  $\left[ Y^2(\hat{r}) [\sigma_n \sigma_m]^2 \right]^0$

- $H(r)$  is the neutrino potential, depends on  $m_\nu$

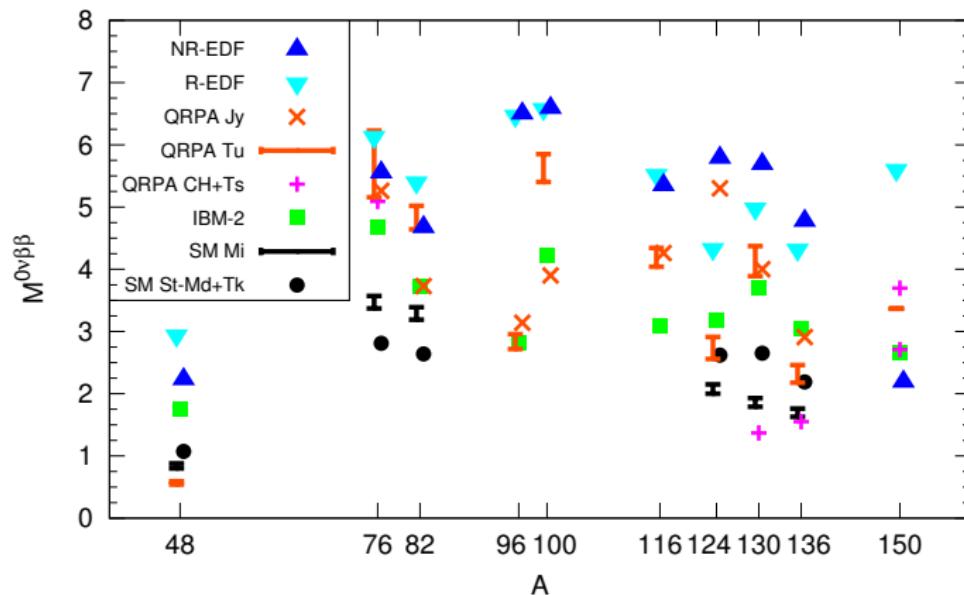
$$H^X(r) = \frac{2}{\pi} \frac{R}{g_A^2(0)} \int_0^\infty f^X(pr) \frac{h^X(p^2)}{\left( \sqrt{p^2 + m_\nu^2} \right) \left( \sqrt{p^2 + m_\nu^2} + \langle E^m \rangle - \frac{1}{2} (E_i - E_f) \right)} p^2 dp \sim \frac{R}{r}$$

$2\nu\beta\beta$  decay: momentum transfer limited by  $Q_{\beta\beta}$   
 $0\nu\beta\beta$  decay: larger momentum transfers,  
 $p \sim 100 - 200$  MeV, set by typical distance between  
the two decaying nucleons



# Neutrinoless $\beta\beta$ decay matrix elements

Large difference in nuclear matrix element calculations: factor  $\sim 2 - 3$



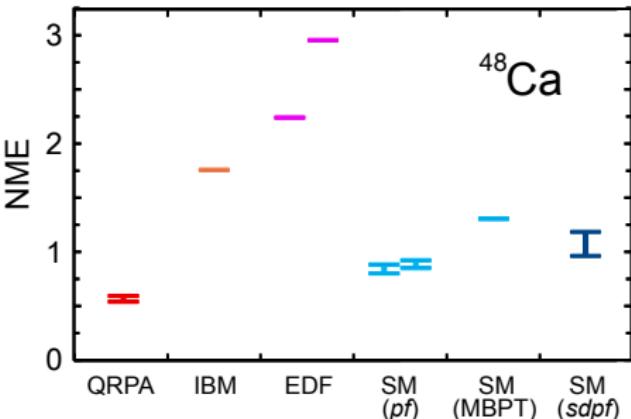
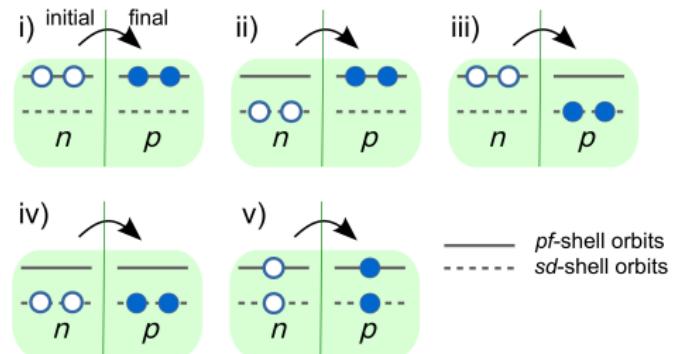
EDF, IBM, QRPA large matrix elements: missing nuclear correlations?

Shell model small matrix elements: Small configuration space?

# Shell model configuration space

For  $^{48}\text{Ca}$  enlarge configuration space from  $pf$  to  $sdpf$  (4 to 7 orbitals)  
increases matrix elements  
but only moderately 30%

Iwata et al. PRL116 112502 (2016)



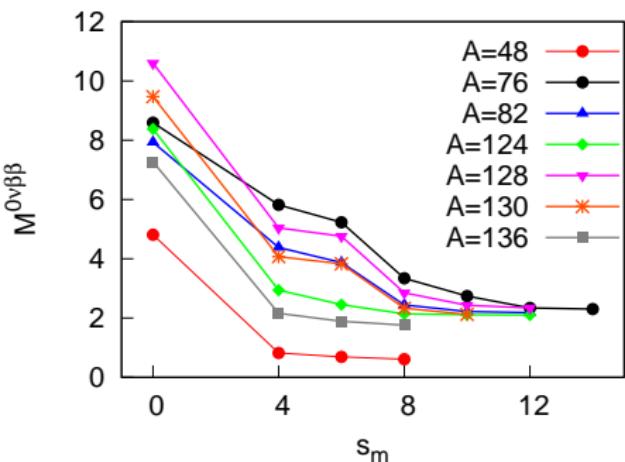
The contributions dominated by pairing ( $2p-2h$ ) excitations enhance the  $\beta\beta$  matrix element, but the contributions dominated by  $1p-1h$  excitations suppress the  $\beta\beta$  matrix element

Shell model Monte Carlo calculations for heavier nuclei under way

# Correlations and $0\nu\beta\beta$ decay

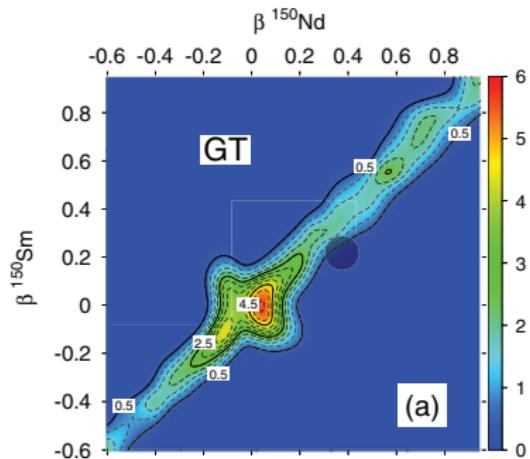
$0\nu\beta\beta$  decay sensitive to pairing, quadrupole correlations

Maximum between superfluid nuclei,  
reduced with broken like-particle pairs  
or with proton-neutron pairs



Caurier et al. PRL100 052503 (2008)

Reduced if different deformation in  
mother and daughter nuclei



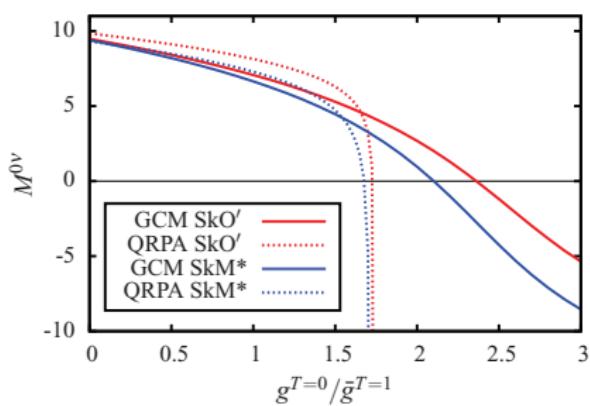
Rodríguez, Martínez-Pinedo  
PRL105 252503 (2010)

# Proton-neutron pairing and $0\nu\beta\beta$ decay

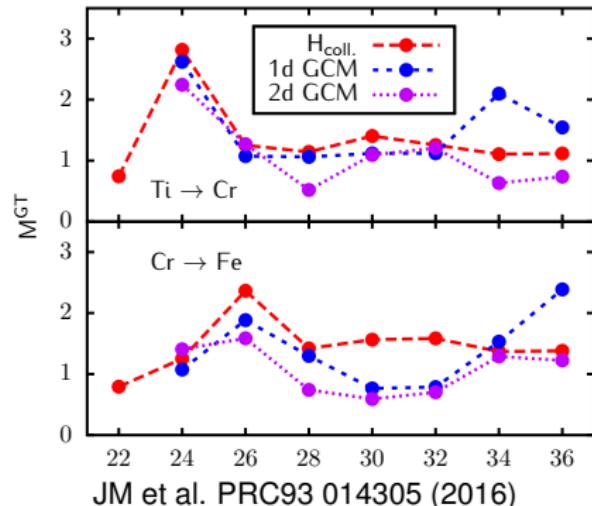
$0\nu\beta\beta$  decay very sensitive to proton-neutron (isoscalar) pairing

Matrix elements too large if proton-neutron correlations neglected

Shell model and GCM agree if proton-neutron correlations included



Hinohara, Engel PRC90 031301 (2014)



JM et al. PRC93 014305 (2016)

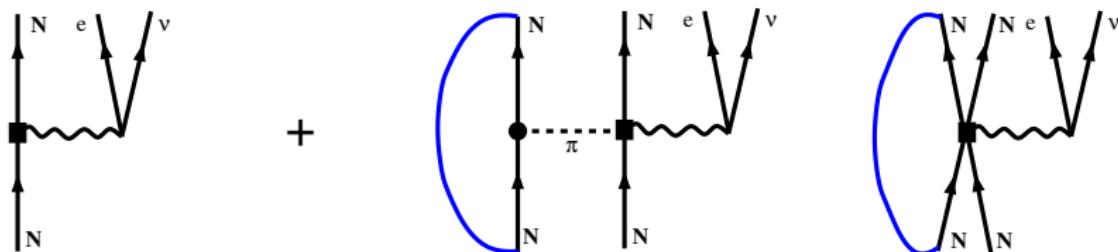
Related to approximate  $SU(4)$  symmetry of the  $\sum H(r)\sigma_i\sigma_j\tau_i\tau_j$  operator

# Weak interactions: hadronic 1b+2b currents

Single- $\beta$  and  $\beta\beta$  decay:  $H_W = \frac{G_F}{\sqrt{2}} (j_{L\mu} J_L^{\mu\dagger}) + H.c.$

In nuclei (non-relativistic):  $\langle \mathcal{N}_F | \sum_i g_V \tau_i^- + g_A \sigma_i \tau_i^- | \mathcal{N}_I \rangle$   
corresponding to Fermi and Gamow-Teller transitions

Include  $J_L^{\mu\dagger}$  1b and 2b currents (operators) from chiral EFT  
reflect strong interactions between nucleons in nuclei



Normal-ordered long-range two-body currents modify Gamow-Teller operator

$$\mathbf{J}_{n,2b}^{\text{eff}} = -\frac{g_A \rho}{f_\pi^2} \tau_n^- \sigma_n \left[ I(\rho, P) \left( \frac{1}{3} (2c_4 - c_3) \right) + \frac{2}{3} c_3 \frac{\mathbf{p}^2}{m_\pi^2 + \mathbf{p}^2} \right],$$

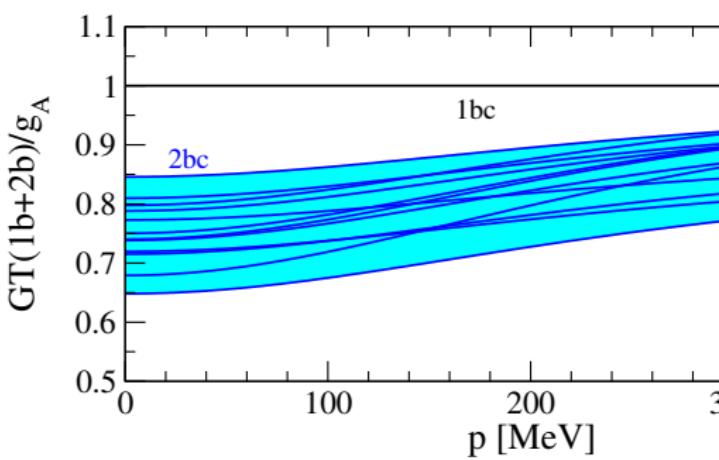
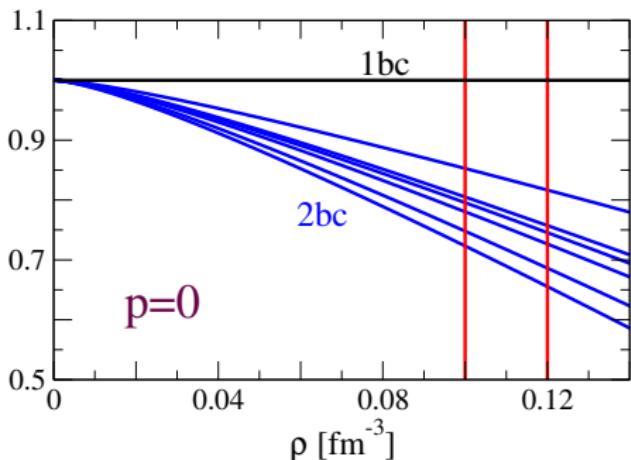
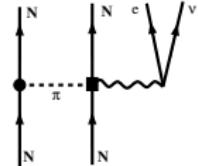
*p independent*      *p dependent*

# 2b currents in medium-mass nuclei

Normal-ordered 2b currents modify GT operator

JM, Gazit, Schwenk PRL107 062501 (2011)

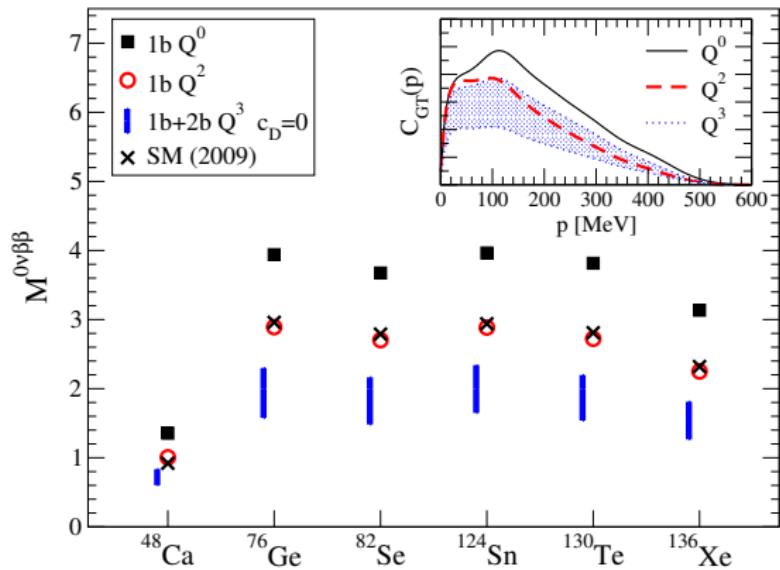
$$\mathbf{J}_{n,2b}^{\text{eff}} \simeq -\frac{g_A \rho}{f_\pi^2} \tau_n^- \sigma_n \left[ I(\rho, P) \frac{(2c_4 - c_3)}{3} \right] - \frac{g_A \rho}{f_\pi^2} \tau_n^- \sigma_n \frac{2}{3} c_3 \frac{\mathbf{p}^2}{m_\pi^2 + \mathbf{p}^2},$$



2b currents predict  $g_A$  quenching  $q = 0.85 \dots 0.66$

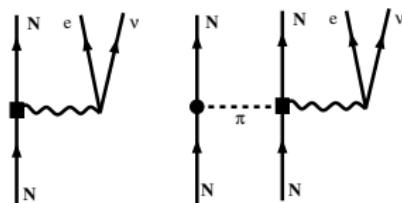
Quenching reduced at  $p > 0$ , relevant for  $0\nu\beta\beta$  decay where  $p \sim m_\pi$

# Nuclear matrix elements with 1b+2b currents



JM, Gazit, Schwenk PRL107 062501 (2011)

Order  $Q^0 + Q^2$  similar to phenomenological currents  
JM, Poves, Caurier, Nowacki  
NPA818 139 (2009)



Order  $Q^3$  2b currents reduce NMEs  $\sim 15\% - 40\%$

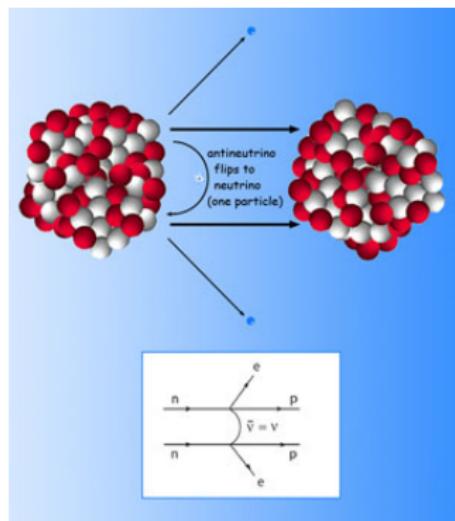
Smaller quenching  $q = 0.96 \dots 0.92$  Ekström et al. PRL113 262504 (2014)  
Coupled-Cluster study of  $^{14}\text{C}$ ,  $^{22,24}\text{O}$ , Hartree-Fock normal-ordering

Systematic calculations in light nuclei under way

# Summary

Neutrinoless double-beta decay key process to understand Majorana neutrino character and neutrino absolute mass and hierarchy

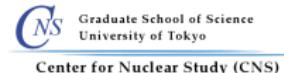
- Matrix element differences between present calculations, factor 2 – 3
- New  $^{48}\text{Ca}$  shell model result  $\sim 30\%$  increase  
Shell model Monte Carlo underway
- Include isoscalar pairing correlations in EDF-type approaches
- Phenomenological matrix elements, ab initio calculations on the way, allow estimation of theoretical uncertainties
- 2b currents modify (reduce) matrix elements, but it remains to be settled to what extent: ab initio calculations in lighter (toy) systems



# Collaborators



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J. D. Holt



D. Gazit



A. Poves  
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J. Engel



E.Caurier  
F. Nowacki



N. Hinohara