The axial anomaly and topology in finite temperature $$\mathsf{QCD}$$

Sayantan Sharma



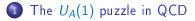
August 30, 2016

Work done with:

V. Dick, F. Karsch, E. Laermann, S. Mukherjee, P. Petreczky, H-P Schadler

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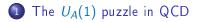






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Outline



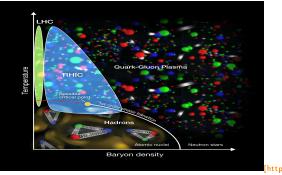
2 Background

Our results: towards solving the puzzle

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Introduction

• The phase diagram of strongly interacting matter is largely unknown

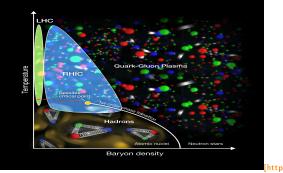


[http://www.bnl.gov/rhic/news]

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Introduction

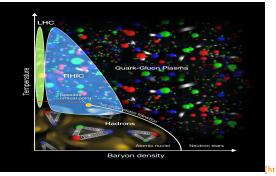
- The phase diagram of strongly interacting matter is largely unknown
- Confinement and chiral symmetry breaking observed, mechanism still unknown. [Schaefer and Shuryak, 96]



[http://www.bnl.gov/rhic/news]

Introduction

- The phase diagram of strongly interacting matter is largely unknown
- Confinement and chiral symmetry breaking observed, mechanism still unknown. [Schaefer and Shuryak, 96]
- At $\mu_B \rightarrow 0$, it is now well known from lattice there is a crossover transition. [Bielefeld-BNL collaboration 05, MILC collaboration, 05, Budapest-Wuppertal collaboration, 06, HotQCD, 11] But can we see hints of the criticality due to the light quarks present.



[http://www.bnl.gov/rhic/news]

• $m_f = 0$, \mathcal{L}_{QCD} invariant under $U_L(N_f) \times U_R(N_f) \equiv SU(N_f)_V \times SU(N_f)_A \times U_B(1) \times U_A(1)$

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- $U_A(1) \rightarrow$ not a symmetry yet may affect the order of phase transition for $N_f = 2$ [Pisarski & Wilczek, 84].

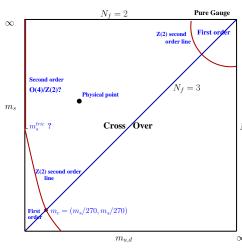
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Where do we stand now!

 Perturbative RG studies on sigma models with same symmetries as N_f flavour QCD:

 $N_f \ge 3$: 1st order phase transition independent of $U_A(1)$.

 $N_f = 2$: If $U_A(1)$ effectively restored \Rightarrow 1st or 2nd order with $U_L(2) \times U_R(2) \rightarrow U_V(1)$ criticality



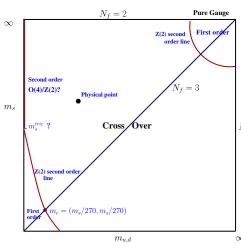


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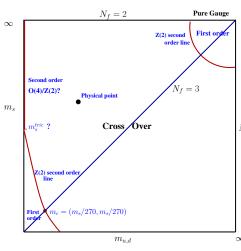


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Important to get insight from lattice.
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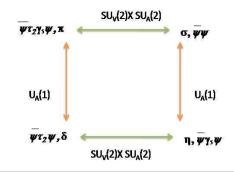
[Pisarski & Wilczek, 84, Butti, Pelissetto & Vicari, 03, 13, Nakayama & Ohtsuki, 15]

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Routes to solve the puzzle

Not an exact symmetry \rightarrow what observables to look for?

Degeneracy of the 2-point correlators $_{\rm [Shuryak, 94]} \to$ higher point correlation functions imp.



Routes to solve the puzzle

• In terms of integrated correlators:

$$\chi_{\pi} = \int d^4x \langle i\pi^+(x)i\pi^-(0) \rangle$$

- Off-Diagonals of the meson spectra: $\chi_{\delta}-\chi_{\sigma}=-2\chi_{disc}$
- Furthermore $\chi_\eta \chi_\pi = -2\chi_{5,disc}$
- When chiral symmetry is restored $\chi_{\pi} \leftrightarrow \chi_{\sigma}, \ \chi_{\eta} \leftrightarrow \chi_{\delta}$
- Consequently $\chi_{disc} \rightarrow \chi_{5,disc}$
- From definition, $\chi_t = \frac{Tm_l^2}{V} \left\langle \left(\frac{\operatorname{Tr}}{D_l} D_l^{-1} \gamma^5 \right)^2 \right\rangle = (m_l)^2 \chi_{5,disc} ,$
- $\chi_t = m_l^2 \chi_{disc}$ New fermionic observable when χ_{SB} restored!

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Routes to solve the puzzle

- Not an exact symmetry→ what observables to look for?
- When $U_A(1)$ is also restored:

$$\chi_{\pi} - \chi_{\delta} = \int d^4x \left[\langle i\pi^+(x)i\pi^-(0) \rangle - \langle \delta^+(x)\delta^-(0) \rangle \right] \to 0$$

• Equivalently study $\rho(\lambda, m_f)$ of the Dirac operator.

$$\chi_{\pi} - \chi_{\delta} \stackrel{V \to \infty}{\to} \int_{0}^{\infty} d\lambda \frac{4m_{f}^{2} \rho(\lambda, m_{f})}{(\lambda^{2} + m_{f}^{2})^{2}} , \ \langle \bar{\psi}\psi \rangle \stackrel{V \to \infty}{\to} \int_{0}^{\infty} d\lambda \frac{2m_{f} \rho(\lambda, m_{f})}{(\lambda^{2} + m_{f}^{2})^{2}}$$

- If chiral symmetry restored: $\langle \bar{\psi}\psi \rangle = 0 \Rightarrow \lim_{m_f \to 0} \lim_{V \to \infty} \rho(0, m_f) \to 0.$
- A gap in the infrared spectrum $\Rightarrow U_A(1)$ restored
- chiral symmetry restored + $U_A(1)$ broken if: $\lim_{\lambda\to 0} \rho(\lambda, m_f) \to \delta(\lambda) m_f^{\gamma}$, $1 < \gamma \leq 2$

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- $\rho(\lambda, m \to 0) \sim \lambda^3 \Rightarrow U_A(1)$ breaking effects invisible in this sector for upto 6-point correlation functions.
- Non-analyticities in the infrared part of the spectrum+analytic form of the bulk.

1) The $U_A(1)$ puzzle in QCD

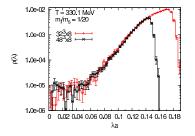


Our results: towards solving the puzzle

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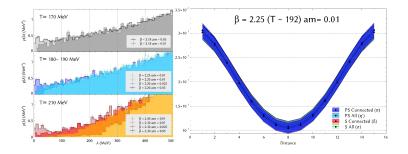
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Lattice studies so far!



- Improved Staggered fermions: Large $32^3 \times 8$ lattice $\rightarrow U_A(1)$ broken [Ohno et. al. 12]
- Same observation noted earlier for smaller lattice [Chandrasekharan & Christ 96]
- Recent results on screening mass with improved Wilson fermions $M_{\eta} - M_{\sigma} = -81(282) \text{ MeV} \rightarrow U_A(1)$ effectively restored at T_c . Lattice volumes rather small $(2 \text{ fm})^3$ [B. Brandt et. al., 16] Issues with lattice artifacts? Exact chiral invariance is not maintained

Lattice studies so far!

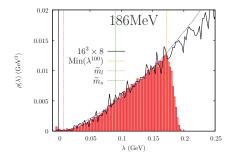


Dynamical overlap fermions with exact chiral symmetry on lattice $\rightarrow U_A(1)$ restored [Cossu et. al, JLQCD collaboration, 11, 12] Pion mass 220 MeV. Effects of fixing the topology? Thermodynamic limit?

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Lattice studies so far!



- Dynamical domain wall fermions with better chiral symmetry $\rightarrow U_A(1)$ broken [Buchoff et. al. 13] Low statistics in the lower end of spectrum?
- Optimal domain wall fermions: On small lattice $\rightarrow U_A(1)$ restored [Chiu et. al. 13]
- Small eigenvalues in small volumes related to χ_{SB} . Reweighting them $\rightarrow U_A(1)$ restored. [G. Cossu et. al. 15 (JLQCD collaboration.]

Consequence: Constituents of the hot QCD medium

- Near T_c, a medium consisting of interacting instantons can explain chiral symmetry breaking ⇒ Instanton Liquid Model
 [Shuryak, 82, Schaefer & Shuryak, 96]
- At T >> T_c, medium is like a dilute gas of instantons?
 [Gross, Pisarski & Yaffe, 81].
- What is the medium made up of for $T_c \leq T \leq 2T_c$?
- At what *T* is DIGA is valid?
- Finite T instantons have substructures instanton-monopoles \rightarrow carry electric and magnetic charges. Can we detect them on lattice.. First signals observed! [ligenfritz, Michael Mueller-Preussker et. al 02, 06, 13, 14].

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- Lattice cut-off effects need careful consideration for near-zero eigenvalues [G. Cossu et. al. 15]

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- $\bullet\,$ To measure the localized topological structures $\rightarrow\,$ remove the ultraviolet fluctuations
- Two known methods: Gauge operator & Fermionic operator
- Cooling to the classical action or smearing UV modes and then measure $F\tilde{F}$ [ligenfritz, Michael Mueller-Preussker et. al 06, 13, Bonati, M. D'Elia et. al., 13, 14]
- Controlled smearing method \rightarrow Wilson flow [M. Luscher, 09, 10].
- May cause disappearance of small instantons, density $\propto
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- Alternative: Use the index theorem from fermion zero modes

Index theorem on the lattice

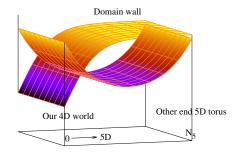
- It is impossible to define chiral fermions on lattice which are (ultra)local. [Nielsen & Ninomiya, 82]
- Overlap fermions [Narayanan & Neuberger, 94, Neuberger, 98] have exact chiral symmetry on the lattice.

$$D_{ov} = M(1 + \gamma_5 \operatorname{sgn}(\gamma_5 D_W(-M)))$$
, $\operatorname{sgn}(A) = A/\sqrt{A}A$.

- It satisfies the Ginsparg-Wilson relation $\{\gamma_5, D_{ov}\} = aD_{ov}\gamma_5 D_{ov}$ [Ginsparg & Wilson, 82]
- D_{ov} has an exact index theorem like in the continuum \Rightarrow the zero modes of D_{ov} related to topological structures of the underlying gauge field. [Hasenfratz, Laliena & Niedermeyer, 98]

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Index theorem on the lattice



 One can also start from 5D world+ put a defect to localize chiral fermions on the 4D brane.

• Domain wall fermions [Kaplan 92, Shamir 95] in the limit $N_5 \rightarrow \infty$ $D_{DW} = M(1 - \gamma_5 \operatorname{sgn}(\ln |T|))$, $T = (1 + a_5\gamma_5 D_W P_+)^{-1}(1 - a_5\gamma_5 D_W P_-)$.

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The technique we use

- Method I: Use overlap as valence operator to probe the infrared spectrum.
- \bullet Sea quarks in background \rightarrow HISQ and domain wall fermions
- We look at the eigenvalue distribution of D_{ov} on the ensembles.
- Zero modes of D_{ov} related to topological structures of sea quarks.
- Infrared part of eigenvalue distribution gives us idea about the χ_{SB} , $U_A(1)$ and the topological structures that contribute to them.

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- Method II Use Wilson flow on staggered fermions \rightarrow continuum limit
- First we present results with staggered (HISQ) quarks and then with "chiral" domain wall fermions.

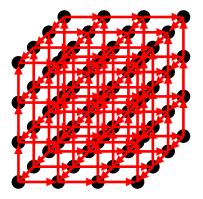






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Our Set-up

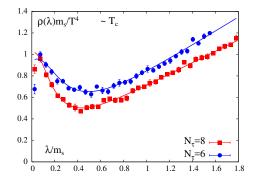


- $V = N^3 a^3$, $T = \frac{1}{N_\tau a}$
- Box size: m_π $V^{1/3}>4$
- Input m_s physical ≈ 100 MeV and $m_{\pi} = 160, 110$ MeV (staggered) $m_{\pi} = 200, 135$ MeV (domain wall fermions)

Eigenvalue distribution near T_c

- General features: Near zero mode peak +bulk.
- We fit the $ho(\lambda)$ to ansatz: $ho(\lambda) = rac{A\epsilon}{\lambda^2 + A} + B\lambda^\gamma$

[V. Dick, F. Karsch, E. Laermann, S. Mukherjee and S.S. PRD 91, 15].

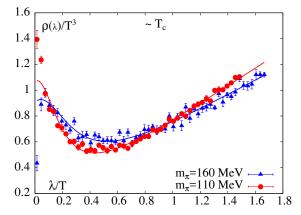


Bulk rises linearly as λ , consistent with χ_{PT} predictions. No gap seen.

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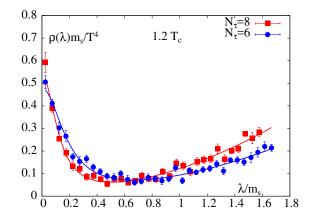
No gap even when quark mass reduced! Peak height increases as mass lowered.

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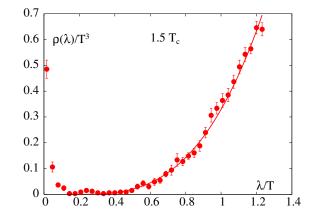
At higher temperatures...



Near zero mode peak shows little cut-off dependence. Bulk rises as λ^2 .

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At higher temperatures...



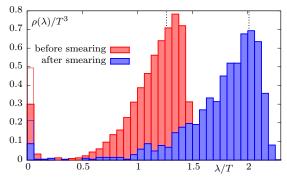
At 1.5 T_c , the bulk and the near zero peak decouples completely Bulk rises as λ^3 . Breaking of $U_A(1)$ from the near-zero modes? Are these physical?

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Robustness of near zero modes

- The infrared part could be affected by unphysical dislocations. → partial quenching + rough configurations.
- These have smaller classical action than instantons. \rightarrow lattice cut-off effect.
- HYP smearing [Hasenfratz & Knechtli, 02] expected to eliminate such structures.



- Smearing does not eliminate the near zero modes.
- At this temperature, rooting is insignificant.
- Difference? Smearing may suppress small instantons.

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What we found till now...

• The near-zero modes survive till $1.5 T_c$.

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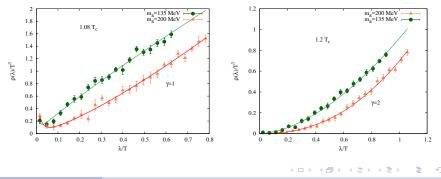
[V. Dick, F. Karsch, E. Laermann, S. Mukherjee and S.S. PRD 91, 15].

 How robust are these characteristics? Do fermions with exact chiral symmetry show similar trends?

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Sensitivity to fermion discretization

- Use of fermions exact chiral symmetry and $U_A(1) \rightarrow$ much clear interpretation of the topology issues.
- First results for domain wall fermion spectrum exciting! [V. Dick et. al., 1602.02197, in prep]
- Near zero peak persists at $T > T_c$ +bulk shows the expected rise Insensitive to quark mass effects.

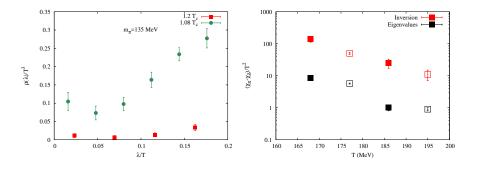


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Sensitivity to fermion discretization

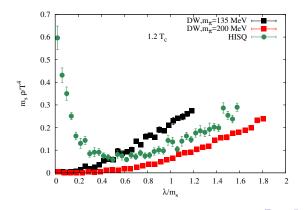
- The near-zero mode falls by more than a third at $1.2 T_c$.
- First 50 eigenvalues of the Dirac operator contribute significantly to $U_A(1)$ breaking. [V. Dick et. al., 1602.02197, in prep]



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Eigenvalue spectra of different lattice fermions

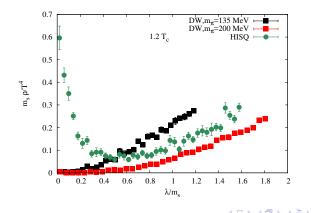
• How do the HISQ and Domain wall spectra compare?



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Eigenvalue spectra of different lattice fermions

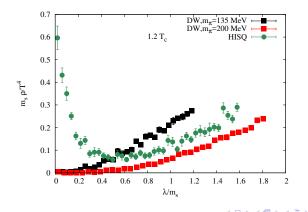
- How do the HISQ and Domain wall spectra compare?
- The bulk HISQ spectra with Goldstone pion mass 160 MeV consistent with DW with $m_{\pi} = 200$ MeV at 1.2 T_c .



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Eigenvalue spectra of different lattice fermions

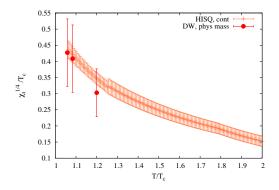
- How do the HISQ and Domain wall spectra compare?
- The bulk HISQ spectra with Goldstone pion mass 160 MeV consistent with DW with $m_{\pi} = 200$ MeV at $1.2 T_c$.
- More near-zero states in HISQ than domain wall.redundant symmetries due to rooting?



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Topological susceptibility: different lattice fermions

• Are continuum symmetries recovered for staggered fermions for $a \rightarrow 0$?

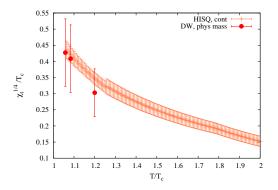


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Topological susceptibility: different lattice fermions

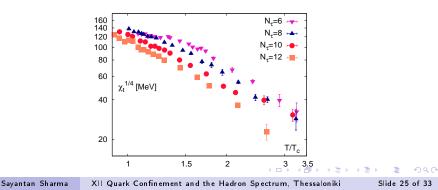
- Are continuum symmetries recovered for staggered fermions for $a \rightarrow 0$?
- Results from QCD with "chiral" fermions agree well with continuum extrapolated results using staggered fermions.

[P. Petreczky, H-P Schadler, SS, 1606.03145]



Topological susceptibility: Staggered fermions using Wilson flow

- Wilson flow on improved staggered fermion (HISQ) ensembles → remove ultra-violet fluctuations.
- Q measured using lattice definition of FF.
- Using $\chi_t^{1/4} = AT^{-B}$. Fit to the data shows very distinct slopes.
- B = 0.9 1.2 for T < 250 MeV. Agrees well with another independent work [Bonati et. al. 1512.06746]

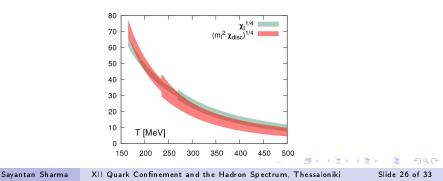


• Using the fit ansatz:

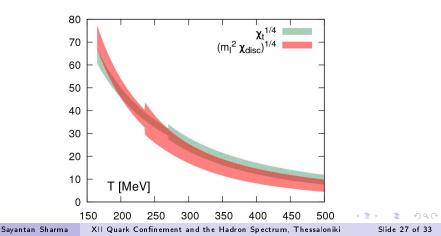
 $\chi_t^{1/4}(T, N_{\tau}) = (a_0 + a_2/N_{\tau}^2 + a_4/N_{\tau}^4) \cdot (T_c/T)^{b+b_2/N_{\tau}^2 + b_4/N_{\tau}^4 + b_6/N_{\tau}^6}$

• T > 300 MeV: Continuum extrapolated b = 1.85(15) in agreement with Dilute instanton gas.

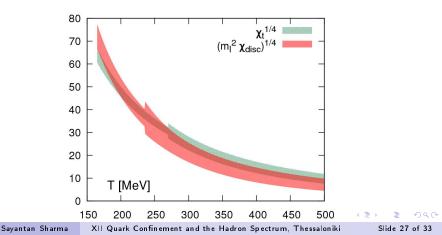
Also in agreement with an independent lattice work [Borsanyi et. al, 1606.07494] For details see talk by Sandor Katz, Monday 19:30, Session G2



• At T > 300 MeV topological fluctuations are rare.

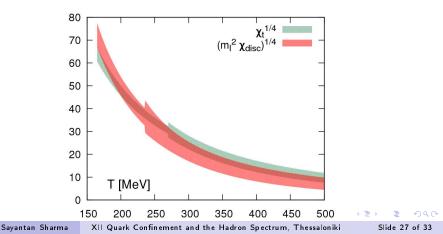


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- Another check: $m_l^2 \chi_{disc} = \chi_t$.

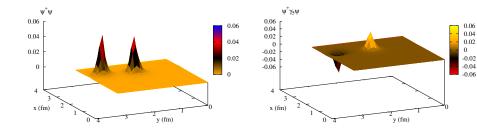


- At T > 300 MeV topological fluctuations are rare.
- Another check: $m_l^2 \chi_{disc} = \chi_t$.
- Cut-off effects are very different. But have the same continuum limit.

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[ P. Petreczky, H-P Schadler, SS, 1606.03145]
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Independent confirmation: near-zero modes



Near-zero modes of QCD Dirac operator due to a weakly interacting instanton-antiinstanton pair!

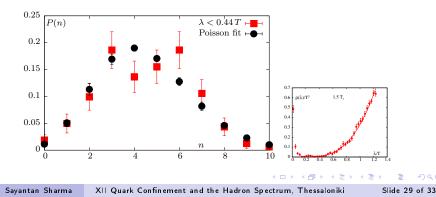
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The nature of the infrared modes at $1.5 T_c$

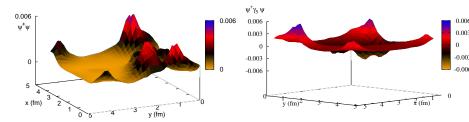
• If n=total no. of instantons+antiinstantons and form a dilute gas,

 $P(n, \langle n \rangle) = \langle n \rangle^n \mathrm{e}^{-\langle n \rangle} / \mathrm{n}!$

• For $\lambda/T < 0.44$, the value of $\langle n \rangle = 4.2 = \langle n^2 \rangle \Rightarrow$ density $\simeq 0.147(7) fm^{-4}$. This is much more dilute than an instanton liquid with density $1 fm^{-4}$. [V. Dick, F. Karsch, E. Laermann, S. Mukherjee and S.S. PRD 91, 15].



Localization properties of near zero modes near T_c



Combination of L and M instanton-monopoles of different chiralities?

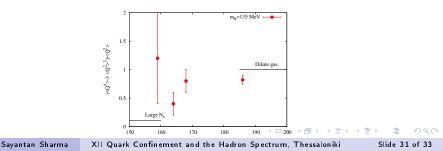
Topological susceptibility and its fluctuations

• Higher order fluctuations:

$$rac{< Q^4 > -3 < Q^2 >^2}{< Q^2 >}$$

• At T = 0 QCD consistent with large N_c expansion of χ_t [M. Unsal, 08].

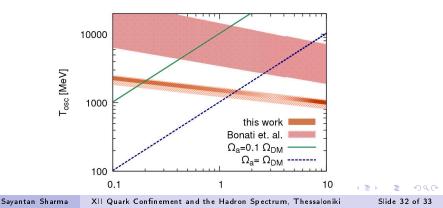
• Departure from large N_c expectations but a slow rise towards DIG $\gtrsim T_c \rightarrow$ effects of residual interactions? [Work in progress]. For an independent measurement and conclusions see talk by Massimo D'Elia, Monday, 18:45 Session E2.



DIGA and Axion dark matter

- Slow roll of axion field at the bottom of the potential: $\chi_t = 9f_a^2H^2 = m_a^2$
- Assuming axion density \leq dark matter density $\Rightarrow f_a \leq 1.2 \times 10^{12}$ GeV.
- Need a scaling factor to match lattice results with 1-loop DIGA predictions \rightarrow major uncertainty from estimates of m_{Debye} .

[P. Petreczky, H-P Schadler, SS, 1606.03145]



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- Dilute gas scenario for instantons sets in already at $au \sim 300$ MeV.
- Topological properties in QCD near T_c still needs to be understood.

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