

# Holomorphic blocks and $q$ -deformed correlators

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In recent years many exact results for gauge theories on compact manifolds have been obtained by the method of SUSY localisation.

The idea is that by adding a  $Q$ -exact term to the action it is possible to reduce the path integral to a finite dimensional integral:

**Localisation:**  $Z_{\mathcal{M}} = \int D\psi e^{-S[\psi]} = \int D\Psi_0 e^{-S[\Psi_0]} Z_{1\text{-loop}}[\Psi_0]$

- ▶  $\Psi_0$ : field configurations satisfying localising (saddle point) equations
- ▶ with a clever localisation scheme,  $\Psi_0$  is a **finite dimensional set**
- ▶  $Z_{1\text{-loop}}[\Psi_0]$  is due to the quadratic fluctuation around  $\Psi_0$

⇒ useful to study holography

⇒ connect to exactly solvable models such as 2d CFTs and TQFTs

So far exact results have been obtained for

$$S^2, S^2 \times S^1, S^3/\mathbb{Z}_r, S^3/\mathbb{Z}_r \times S^1, S^4, S^4 \times S^1, S^5, Y_{p,q} \dots$$

[Benini-Cremonesi],[Droud-Gomis-LeFloch-Lee], [Kapustin-Willet-Yaakov],  
[Imamura-Yokoyama],[Kapustin-Willet],[Gadde-Pomoni-Rastelli-Razamat],[Kim-Kim-Lee],[Terashima],[Iqbal-Vafa],[Kallen-Zabzine],[Kallen-Qiu-Zabzine],[Hosomichi-Seong-Terashima],[Imamura],[Lockhart-Vafa],[Kim-Kim-Kim]. . .

Comprehensive formalism for SUSY theories formulated on curved manifolds initiated in [Festuccia-Seiberg]. Recent developments:

$4d, \mathcal{N} = 1$  theories with  $U(1)_R$  can be defined on **complex manifolds with an Hermitian metric**. **Partition functions are "topological quantities"**: they are metric independent and compute complex structures and holomorphic vector bundles (defined by background gauge fields) invariants. Similar results for  $3d, \mathcal{N} = 2$  theories

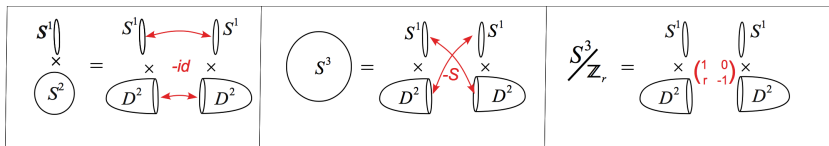
[Closset-Dumitrescu-Festuccia-Komargodski].

... localisation computations are long, geometric data hidden.

Is there a set of building blocks to construct partition functions?

Are there new integrable structures associated to these blocks?

### 3-manifolds from solid tori $D^2 \times S^1$ gluing



Is there a QFT analogue of this decomposition?

Yes,  $\mathcal{N} = 2$  partition functions can be factorised into holomorphic-blocks

[SP],[Beem-Dimofte-SP],[Nieri-SP, to appear]

$$Z_{\mathcal{M}_g} = \mathcal{P} \sum_{\alpha} \mathcal{B}_{\alpha}^{D^2 \times S^1}(\vec{x}, q) \mathcal{B}_{\alpha}^{D^2 \times S^1}(\vec{\tilde{x}}, \tilde{q}) = \mathcal{P} \sum_{\alpha} \left\| \mathcal{B}_{\alpha}^{D^2 \times S^1}(\vec{x}, q) \right\|_g^2$$

$\alpha$  labels SUSY vacua,  $\vec{x}$ , flavor parameters,  $q = e^{2\pi i \tau}$  is the boundary torus complex structure and

$$\tau \rightarrow \tilde{\tau} = \frac{a\tau + b}{c\tau + d}, \quad \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \cdot SL(2, \mathbb{Z}).$$

Factorisation  $\leftrightarrow$  dynamical parity anomaly cancellation.  $\mathcal{P}$  is the contribution of background mixed Chern-Simons terms.

## Example: the lens space

The Coulomb branch localisation of  $\mathcal{N} = 2$  theories on the lens space  $S^3/\mathbb{Z}_r$  yields [Benini-Nishioka-Yamazaki],[Imamura-Matsuno-Yokoyama]

$$Z_{S^3/\mathbb{Z}_r} = \sum_{\vec{l}=0}^{r-1} \int d\vec{z} Z_{cl}(\vec{z}, \vec{l}; \vec{m}, \vec{H}, \omega_1, \omega_2, r) \cdot Z_{1\text{loop}}(\vec{z}, \vec{l}; \vec{m}, \vec{H}, \omega_1, \omega_2, r)$$

$\vec{l}, \vec{H}$  are dynamical and flavor holonomies,  $\vec{m}$  are mass parameters,  $\omega_{1,2}$  are complex structure parameters (squashing).

A chiral multiplet in the fundamental representation contributes as

$$Z_{1\text{loop}}^{\text{chiral}} = \prod_{a=1}^{N_f} \prod_{n=1}^N \hat{s}_{b, -\ell_n - H_a} \left( i \frac{Q}{2} - z_n - \mu_a \right),$$

The function  $\hat{s}_{b,h}$  is the projection of the double Sine function:

$$\hat{s}_{b,-h}(z) = e^{\frac{i\pi}{2r}([h](r-[h])-(r-1)h^2)} \prod_{\substack{n_1, n_2 \geq 0 \\ n_2 - n_1 = h \pmod r}} \frac{n_1 \omega_1 + n_2 \omega_2 + Q/2 - iz}{n_2 \omega_1 + n_1 \omega_2 + Q/2 + iz}.$$

Performing the integration (residues computation) and summing over the holonomies we find [Nieri-SP, to appear],[Imamura-Yokoyama]

$$Z_{S^3/\mathbb{Z}_r} = \mathcal{P} \sum_{\alpha} \left\| \mathcal{B}_{\alpha}^{D^2 \times S^1}(\vec{X}; q) \right\|_r^2,$$

with

$$q = e^{2\pi i \tau} = e^{2\pi i \frac{\omega_1 + \omega_2}{r\omega_1}}, \quad \vec{X} = e^{\vec{X}} e^{-\frac{2\pi i \vec{H}}{r}} = e^{2\pi i \frac{\vec{m}}{r\omega_1}} e^{-\frac{2\pi i \vec{H}}{r}},$$

and

$$\tilde{\tau} = \frac{\tau}{r\tau - 1}, \quad \tilde{X} = \frac{X}{r\tau - 1}, \quad \tilde{H} = r - H.$$

This gluing rule is consistent with the realisation of  $L(r, 1)$  from a pair of solid tori  $[0, 1] \times T^2$ .

### 3d holomorphic blocks

- ▶ can be defined as basis of solutions to **difference equations**.

Example: the SQED,  $U(1)$  theory with  $N_f$  flavours and FI parameter  $u$ , are solutions ( $\alpha = 1, \dots, N_f$ ) of the  $q$ -hypergeometric difference equation

$$\mathcal{B}_\alpha^{D^2 \times S^1}(\vec{x}; q) = \frac{\theta(x_\alpha u; q)}{\theta(u; q)\theta(x_\alpha; q)} \prod_{j,k}^{N_f} \frac{(qx_j x_\alpha^{-1}; q)_\infty}{(y_k x_\alpha^{-1}; q)_\infty} Z_V^{(\alpha)},$$

$$Z_V^{(\alpha)} = \sum_{p=1}^{\infty} \prod_{j,k=1}^{N_f} \frac{(x_\alpha y_k^{-1}; q)_p}{(qx_\alpha x_j^{-1}; q)_p} u^p = {}_{N_f} \Phi_{N_f-1}(x_\alpha y_j^{-1}, qx_\alpha x_j^{-1}; u).$$

- ▶ difference equations are solved by **block integrals**: [Beem-Dimofte-SP]

$$\mathcal{B}_\alpha^{D^2 \times S^1}(\vec{x}; q) = \int_{\mathcal{C}_\alpha} \frac{ds}{2\pi i s} \Upsilon(s, \vec{x}; q)$$

- ▶  $\mathcal{C}_\alpha$  are all convergent (downward-flow) contours.
- ▶ at special values of  $(\vec{x}, q)$ , **Stokes walls** contours can jump.
- ▶ the block integrand  $\Upsilon(s, \vec{x}; q)$  has been recently rederived via localisation on  $D^2 \times S^1$  [Yoshida-Sugiyama]

## Analytic properties

- ▶ Holomorphic blocks are defined by  $q$ -series (defined for  $|q| \neq 1$ ).
- ▶ When  $|q| < 1$  we have  $|\tilde{q}| > 1$ , the  $q$ -series and the  $\tilde{q}$ -series converge to different functions.

Example: the free chiral

$$\mathcal{B}_{chiral}^{D^2 \times S^1}(x; q) = \sum_{n=0}^{\infty} \frac{(-1)^n q^{\frac{n(n+1)}{2}} x^{-n}}{(q)_n} = \begin{cases} \prod_{n=0}^{\infty} (1 - q^{n+1} x^{-1}) & |q| < 1, \\ \prod_{n=0}^{\infty} (1 - q^{-n} x^{-1})^{-1} & |q| > 1. \end{cases}$$

$\mathcal{B}_{\alpha}^{D^2 \times S^1}(x; q)$  and  $\mathcal{B}_{\alpha}^{D^2 \times S^1}(\tilde{x}; \tilde{q})$  transform as independent functions!

At Stokes walls we have:

$$\mathcal{B}_{\alpha}^{D^2 \times S^1}(\vec{x}, q) \rightarrow M_{\alpha}^{\beta} \mathcal{B}_{\beta}^{D^2 \times S^1}(\vec{x}, q), \quad \mathcal{B}_{\alpha}^{D^2 \times S^1}(\vec{\tilde{x}}, \tilde{q}) \rightarrow (M^{-1T})_{\alpha}^{\beta} \mathcal{B}_{\beta}^{D^2 \times S^1}(\vec{\tilde{x}}, \tilde{q}),$$

while partition functions stay invariant.



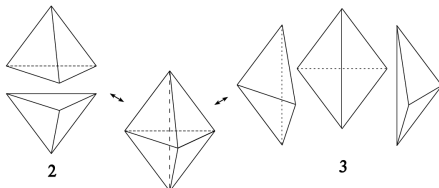
## 3d-3d correspondence

Arises by wrapping  $M5$  branes on  $M \times N$ , where  $M$ =hyperbolic 3-manifold and  $N = S_b^3, S^2 \times S^1, D^2 \times S^1$  and states that:

[Dimofte-Gukov],[Dimofte-Gukov-GaiottoI,II]

$\mathcal{T}(M)$ , 3d  $\mathcal{N} = 2$  theory on  $N \leftrightarrow$  complex Chern-Simons on  $M$

- ▶ ideal tetrahedron  $\leftrightarrow$  free chiral + half Chern-Simons unit
- ▶ gluing tetrahedra  $\leftrightarrow$  gauging flavour symmetries
- ▶ internal edges  $\leftrightarrow$  superpotential couplings
- ▶ change of triangulation  $\leftrightarrow$  3d mirror symmetry
- ▶ fundamental move



gauged  $U(1)$  with 2 chirals  $\leftrightarrow$  3 chirals with superpotential (XYZ)

$\rightarrow$  geometric classification of a large class of abelian mirror symmetries

## 3d blocks and analytically continued Chern-Simons

Take the 3d  $\mathcal{N} = 2$  theory on the solid torus  $N = D^2 \times S^1$

- ▶ SUSY vacua  $\alpha$  in  $\mathcal{T}(M) \leftrightarrow$  flat  $SL(2, \mathbb{C})$  connections  $A^\alpha$  on  $M$
- ▶ holomorphic blocks  $\mathcal{B}_\alpha^{D^2 \times S^1}(x, q) \leftrightarrow$  analytically continued Chern-Simons partition functions  $Z_\alpha^{CS}(x, q)$  introduced by Witten.

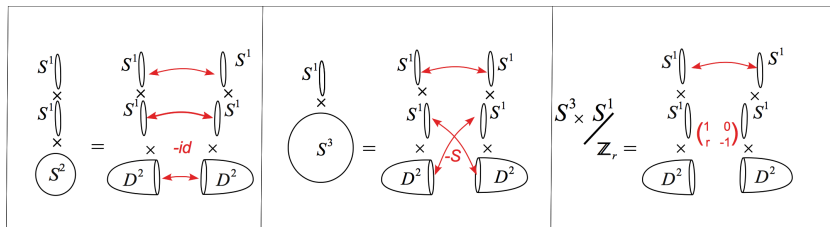
Example: If  $M$  is the  $4_1$  knot complement  $\mathcal{T}(4_1)$  is the  $U(1)$  theory with 2 chirals (for particular value of the masses).

- ▶ two vacua, two blocks  $\leftrightarrow$  two CS irreducible flat connections.
- ▶ asymptotics for  $q = e^{\hbar}$ ,  $\hbar \rightarrow 0$ :

$$Z_{\alpha=1,2}^{CS}(x, q) = \mathcal{B}_{\alpha=1,2}^{D^2 \times S^1}(x, q) \sim \exp\left(\frac{i}{\hbar}[\pm 2.0298]\right)$$

$\rightarrow$  our block integrals are the first concrete examples of non-perturbative path integrals in analytically continued CS along "exotic" integration cycles (labelled by irreducible flat  $SL(2, \mathbb{C})$  connections).

## 4-manifolds from solid tori $D^2 \times T^2$ gluing



There is a corresponding factorisation of  $\mathcal{N} = 1$  partition functions  
 [Peelaers],[Yoshida],[Nieri-SP,to appear]. Example:

$$Z_{S^3 \times S^1 / \mathbb{Z}_r} = \mathcal{A} \sum_{\alpha} \left\| \mathcal{B}_{\alpha}^{D^2 \times T^2}(\vec{x}; q_{\tau}, q_{\sigma}) \right\|_r^2$$

$$q_{\tau} = e^{2\pi i \frac{\omega_1 + \omega_2}{r\omega_1}} = e^{2\pi i \tau}, \quad q_{\sigma} = e^{-2\pi i \frac{\omega_3}{r\omega_1}} = e^{-2\pi i \sigma}, \quad \vec{x} = e^{2\pi i \frac{\vec{m}}{r\omega_1}} e^{\frac{2\pi i \vec{H}}{r}} = e^{\vec{X}} e^{\frac{2\pi i \vec{H}}{r}}$$

$$\tilde{\tau} = \frac{\tau}{r\tau - 1}, \quad \tilde{\sigma} = \frac{\sigma}{r\tau - 1}, \quad \tilde{X} = \frac{X}{r\tau - 1}, \quad \tilde{H} = r - H$$

Factorisation  $\leftrightarrow$  dynamical anomaly cancellation.  $\mathcal{A}$ , extracted by an  $SL(3, \mathbb{Z})$  transformation, is the contribution of background anomalies.

In 3d and 4d the factorisation can be understood in various ways:

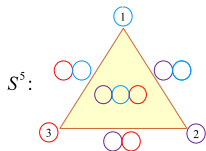
- ▶ as the result of an alternative localisation scheme, **Higgs branch localisation**, where the localising loci are vortices [Benini-Peelaers], [Fujitsuka-Honda-Yoshida].
- ▶ as a consequence of the quasi-topological nature of partition functions left invariant by deformation to cigars connected by infinitively long tubes: **effective projection** [Alday-Martelli-Richmond-Sparks].
- ▶ in the more general  **$tt^*$  setup**, developed for 3d and 4d theories [Cecotti-Gaiotto-Vafa].

In 5d the factorisation is already present on the Coulomb branch.

## Example: $\mathcal{N} = 1$ theories on $S^5$

Localisation on  $\omega_1^2 |z_1|^2 + \omega_2^2 |z_2|^2 + \omega_3^2 |z_3|^2 = 1$  yields:

[Kallen-Zabzine],[Hosomichi-Seong-Terashima],[Kim-Kim-Kim],[Lockart-Vafa]



$$\Rightarrow Z_{S^5} = \int d\sigma Z_{cl} Z_{1loop} \left\| \mathcal{Z}_{inst}^{5d} \right\|_S^3$$

- ▶  $\mathbb{R}^4 \times S^1$  instantons  $\mathcal{Z}_{inst}^{5d}(e^{2\pi\sigma/e_3}, e^{2\pi\vec{m}/e_3}; q, t)$  are localized at fixed points of the Hopf fibration and are glued as:

$$\left\| f(e^{2\pi z/e_3}; q, t) \right\|_S^3 := \prod_{k=1}^3 f(e^{2\pi z/e_3}; q, t)_k, \quad q = e^{2\pi i e_1/e_3}, t = e^{2\pi i e_2/e_3}$$

$$(e_1, e_2, e_3) = (\omega_3, \omega_2, \omega_1), (\omega_1, \omega_3, \omega_2), (\omega_1, \omega_2, \omega_3) \quad \text{for } k = 1, 2, 3.$$

- ▶ 1-loop contributions are: expressed in terms of triple-sine functions:

$$S_3(x) = \prod_{i,j,k} (i\omega_1 + j\omega_2 + k\omega_3 + x)(i\omega_1 + j\omega_2 + k\omega_3 + E - x), \quad E = \omega_1 + \omega_2 + \omega_3.$$

It is possible to factorise the classical (Yang-Mills and Chern-Simons terms) and 1loop parts

$$Z_{cl} Z_{1loop} = \left\| Z_{cl} Z_{1loop} \right\|_S^3$$

using that [Felder-Varchenko]:

$$e^{-\frac{2\pi i}{3!} B_{33}(x, \vec{\omega})} = \left\| \Gamma_{q,t}(x/e_3) \right\|_S^3, \quad \Gamma_{q,t}(z) = \frac{(e^{-2\pi iz} q t; q, t)}{(e^{2\pi iz}; q, t)}$$

and

$$S_3(iz) = e^{-\frac{\pi i}{3!} B_{33}(iz)} \left\| (e^{-\frac{2\pi}{e_3} z}; q, t) \right\|_S^3$$

and obtain the block factorized form which respects periodicity (invariance under shift  $z \rightarrow z + ik\omega_j$ ) in each sector:

$$Z_{S^5} = \int d\sigma \left\| \mathcal{B}^{5d} \right\|_S^3, \quad \mathcal{B}^{5d} := Z_{cl} Z_{1-loop} Z_{inst}^{5d}$$

→ these blocks are universal!

## 5-manifolds from solid tori $\mathbb{R}_{\epsilon_1, \epsilon_2}^4 \times S^1$ gluing

It is possible to introduce a set of 5d holomorphic blocks, such that:

[Nieri-SP-Passerini-Torrielli]

$$Z_{S^4 \times S^1} = \int d\sigma \left\| \mathcal{B}^{5d} \right\|_{id}^2, \quad Z_{S^5} = \int d\sigma \left\| \mathcal{B}^{5d} \right\|_S^3, \quad \dots$$

Now  $id, S$  are elements in  $SL(3, Z)$  to glue the three boundary circles.

Generalisation to  $\mathcal{N} = 1$  theories on toric Sasaki-Einstein manifolds,  $T^3$  fibrations over an  $n$ -gon, [Qiu-Tizzano-Winding-Zabzine]

$$Z_n = \int d\sigma \prod_{k=1}^n (\mathcal{B}^{5d})_k.$$

So far: all the exact results for SUSY partition functions on compact manifolds in various dimensions, derived via localisation, can be re-obtained by gluing a small set of building blocks.

Next: construct new results, add defect operators, explore more general backgrounds ...

There are two more very important examples:

- ▶ 4d  $\mathcal{N}=2$  theories on  $S^4$ : [Pestun]

$$Z_{S^4} = \int d\sigma Z_{cl} Z_{1loop} \left| Z_{inst}^{4d} \right|^2 = \int d\sigma \left| \mathcal{B}^{4d} \right|^2$$

- ▶  $\mathcal{N} = (2, 2)$  theories on  $S^2$ : [Druud-Gomis-Le Floch-Lee],[Benini-Cremonesi]

$$Z_{S^2} = \sum_{\alpha} \left| \mathcal{B}_{\alpha}^{2d} \right|^2$$

Remarkably  $\mathcal{B}^{4d}$ ,  $\mathcal{B}^{2d}$  are the building blocks of another theory: they are (normalised) Toda CFT conformal blocks. This is the main statement of the AGT correspondence.



# AGT correspondence

The Alday-Gaiotto-Tachikawa correspondence relates:

- ▶ 4d “class S”  $\mathcal{N} = 2$  gauge theories  $\mathcal{T}_{g,n}$ , obtained wrapping M5 on  $C_{g,n}$  [Gaiotto]. These theories enjoy S-duality corresponding to different pant-decompositions of  $C_{g,n}$ .
- ▶ Liouville theory on  $C_{g,n}$ . It is a non-rational 2d CFT, characterised by 3-point functions and spectrum. Consistency requires modular invariance of correlators.

$$\langle \prod_i^n V_{\alpha_i} \rangle_{C_{g,n}} = \int D\alpha C \cdots C |\mathcal{F}_\alpha^{\alpha_i}|^2 = \int [Da] Z_{1loop} \left| Z_{cl} Z_{inst}^{4d} \right|^2 = Z_{S^4}[\mathcal{T}_{g,n}]$$

2dCFT	4d gauge theory
Virasoro conf block : $\mathcal{F}_\alpha^{\alpha_i}$	$Z_{inst}^{4d}$
3point functions : $C(\alpha_1, \alpha_2, \alpha_3)$	$Z_{1loop}$
cross ratio $z$	$e^{2\pi i \tau}$
external momenta $\alpha_i$	masses $m_j$
internal momentum $\alpha$	coulomb branch $a$

CFT modular invariance  $\Leftrightarrow$  generalised  $\mathcal{N} = 2$  S-duality

# Simple surface operators $\Leftrightarrow$ degenerate primaries $(L_{-2} + \frac{1}{b^2}L_{-1}^2)V_{-b/2} = 0$

[Alday-Gaiotto-Gukov-Tachikawa-Verlinde]

$$\langle V_{\alpha_5} V_{\alpha_4}(1) V_{\alpha_5}(z_1) V_{\alpha_2}(z_2) V_{\alpha_1} \rangle \quad \langle V_{\alpha_5} V_{\alpha_4}(1) V_{\alpha_5}(z_2) V_{-b/2}(z_1) V_{\alpha_1} \rangle \quad \langle V_{\alpha_4} V_{\alpha_5}(1) V_{-b/2}(z) V_{\alpha_1} \rangle$$

$$Z_{inst} = \sum_{Y_1, Y_2}(\dots) \sum_{W_1, W_2}(\dots) \quad Z_{inst} = \sum_{Y_1, Y_2}(\dots) \sum_{0, 1^n}(\dots) \quad Z_{inst} = \sum_{0, 1^n}(\dots) = Z_V$$

- ▶ degenerate conformal blocks  $\leftrightarrow$  vortex counting  
[Dimofte-Gukov-Hollands], [Kozcaz-Pasquetti-Wyllard].
- ▶ degenerate correlators  $\leftrightarrow$   $S^2$  partition functions  
[Drouot-Gomis-LeFloch-Lee], [Gomis-LeFloch]

$$\langle V_{\alpha_4} V_{\alpha_3}(1) V_{-b/2}(z_1) \cdots V_{-b/2}(z_k) V_{\alpha_1} \rangle = Z_{S^2}$$

flop symmetry  $\Leftrightarrow$  crossing symmetry

Is there a  $5d - 3d$  analogue?

Hint 1:  $\mathcal{Z}_{inst}^{5d} \leftrightarrow q$ -deformed Virasoro chiral blocks. [Awata-Yamada],[many others]

Hint 2:  $5d \rightarrow 3d$  degeneration of  $\mathcal{N} = 1$  partition functions.

Conjecture:  $S^5$  and  $S^4 \times S^1$  partition functions are captured by  $q$ -deformed Liouville correlators. [Nieri-SP-Passerini]

But what is  $q$ -deformed Liouville?

## $q$ -deformed Virasoro algebra $\mathcal{V}ir_{q,t}$

$\mathcal{V}ir_{q,t}$  has two complex parameters  $q, t$  and generators  $T_n$  with  $n \in \mathbb{Z}$ .

[Shiraishi-Kubo-Awata-Odake],[Lukyanov-Pugai],[Frenkel-Reshetikhin],[Jimbo-Miwa]

$$[T_n, T_m] = - \sum_{l=1}^{+\infty} f_l (T_{n-l} T_{m+l} - T_{m-l} T_{n+l}) \\ - \frac{(1-q)(1-t^{-1})}{1-p} ((q/t)^n - (q/t)^{-n}) \delta_{m+n,0}$$

where  $f(z) = \sum_{l=0}^{+\infty} f_l z^l = \exp \left[ \sum_{l=1}^{+\infty} \frac{1}{n} \frac{(1-q^n)(1-t^{-n})}{1+(q/t)^n} z^n \right]$

- ▶ For  $t = q^{-b_0^2}$  and  $q \rightarrow 1$ ,  $\mathcal{V}ir_{q,t}$  reduces to Virasoro.
- ▶ Emerge as symmetries of solvable 2d lattice models.
- ▶ Verma module construction (singular states), [Dotsenko-Fateev like integral representation](#) are known [Mironov-Morozov-Shakirov], [Aganagic-Haouzi-Kozcaz-Shakirov].

→ "5d AGT":  $\mathcal{Z}_{inst}^{5d} \leftrightarrow \mathcal{V}ir_{q,t}$  chiral blocks [Awata-Yamada, ...]

# Degeneration of 5d partition function

For special values of mass parameters integrals defining partition functions *localize* to discrete sums and satisfy difference equations.

Poles in  $Z_{1\text{-loop}}^{S^5}$  and  $Z_{1\text{-loop}}^{S^4 \times S^1}$  move and pinch the integration contour; the (meromorphic) continuation of partition functions requires taking residues of poles crossing the integration path.

→ A similar mechanism reduces non-degenerate Liouville correlators to degenerate ones, which satisfy differential equations. [Ponsot-Teschner]

Example: consider the  $SU(2)$ ,  $N_f = 4$  theory on  $S^5$ . The poles structure of  $Z_{1\text{-loop}}^{S^5}$  is such that:

for  $m_1 + m_2 = -i\omega_3$  the integral localizes  $\int d\sigma \Rightarrow \sum_{\{\sigma_1, \sigma_2\}}$

When evaluated on  $\sigma = \{\sigma_1, \sigma_2\}$ , instantons degenerate to vortices:

$$\mathcal{Z}_{inst,1}^{5d} = \sum_{Y_1, Y_2} (\dots) \rightarrow \sum_{0, 1^n} (\dots) = \mathcal{Z}_V^{(i)}, \quad \mathcal{Z}_{inst,2}^{5d} = \sum_{W_1, W_2} (\dots) \rightarrow \sum_{0, n} (\dots) = \tilde{\mathcal{Z}}_V^{(i)},$$

$$\mathcal{Z}_{inst,3}^{5d, III} = \sum_{X_1, X_2} (\dots) \rightarrow \sum_{0, 0} (\dots) = 1$$

and:

$$Z_{S^5}^{SCQCD} = \int d\sigma Z_{cl} Z_{1\text{-loop}}^{S^5} \left\| \mathcal{Z}_{inst}^{5d} \right\|_S^3 \Rightarrow \sum_i^2 \left\| \mathcal{B}_i^{D^2 \times S^1} \right\|_S^2 = Z_{S^3}^{SQED}$$

An identical degeneration works for permutations of  $\omega_1, \omega_2, \omega_3$ , corresponding to the three big  $S^3$  inside  $S^5$ .

A similar mechanisms for  $m_1 + m_2 = -ib_0$  leads to

$$Z_{S^4 \times S^1}^{SCQCD} \Rightarrow Z_{S^2 \times S^1}^{SQED}$$

→ to be reinterpreted as degenerations of  $q$ -correlators.

Liouville CFT correlators can be defined and computed in a purely axiomatic fashion, **without using the Lagrangian**.

- ▶ conformal blocks are determined by the Virasoro algebra
- ▶ 3-point functions can be obtained using degenerate reps of the Virasoro algebra + crossing symmetry=**bootstrap** approach

[Belavin-Polyakov-Zamolodchikov],[Teschner]

We define and compute  $q$ -deformed Liouville correlators in a purely axiomatic fashion, **without knowing the Lagrangian**:

- ▶ chiral blocks are determined by the  $\mathcal{V}ir_{qt}$  algebra
- ▶ we determine 3-point function using degenerate reps of the  $\mathcal{V}ir_{qt}$  algebra + crossing symmetry+gluing prescription (inspired by gauge theory)=  **$q$ -deformed bootstrap** approach

## $q$ -deformed Bootstrap Approach

Consider a 4-point correlator with a **degenerate insertion**

$$\langle V_{\alpha_4}(\infty)V_{\alpha_3}(r)V_{\alpha_2}(z, \tilde{z})V_{\alpha_1}(0) \rangle \sim G(z, \tilde{z})$$

Correlators with degenerate primaries (singular states in the Verma module) satisfy **difference equations**. For the lowest degenerate we find:

[Awata-Kubo-Morita-Otake-Shiraishi],[Awata-Yamada], [Schiappa-Wyllard]

$$D(A, B; C; q; z)G(z, z) = 0, \quad D(\tilde{A}, \tilde{B}; \tilde{C}; \tilde{q}; \tilde{z})G(z, \tilde{z}) = 0,$$

where  $D(A, B; C; q; z)$  is the  $q$ -hypergeometric operator.

$\rightarrow G(z, \tilde{z})$  is a bilinear combination of solutions



## Around $z = 0$

$$I_1^{(s)} = {}_2\Phi_1(A, B; C; z), \quad I_2^{(s)} = \frac{\theta(q^2 C^{-1} z^{-1}; q)}{\theta(qC^{-1}; q)\theta(qz^{-1}; q)} {}_2\Phi_1(qAC^{-1}, qBC^{-1}; q^2 C^{-1}; z)$$

For  $q \rightarrow 1$  becomes the undeformed  $s$ -channel basis.

$s$ -channel correlator:

$$\begin{aligned} \langle V_{\alpha_4}(\infty) V_{\alpha_3}(r) V_{\alpha_2}(z) V_{\alpha_1}(0) \rangle &\sim \sum_{i,j=1}^2 \tilde{I}_i^{(s)} K_{ij}^{(s)} I_j^{(s)} \\ &= \sum_{i=1}^2 K_{ii}^{(s)} \left\| I_i^{(s)} \right\|_*^2 = \sum_i \begin{array}{c} \alpha_2 \quad \alpha_3 \\ \vdots \quad | \\ \alpha_1 \quad \beta_i^{(s)} \quad \alpha_4 \end{array} \end{aligned}$$

$K_{ij}^{(s)}$  is diagonal with elements related to 3-point functions:

$$K_{ii}^{(s)} = C(\alpha_4, \alpha_3, \beta_i^{(s)}) C(Q_0 - \beta_i^{(s)}, -b_0/2, \alpha_1), \quad \beta_i^{(s)} = \alpha_1 \pm \frac{b_0}{2}, \quad i = 1, 2$$

→ we will need to prescribe the gluing  $\left\| (\dots) \right\|_*^2$

## Around $z = \infty$

$$I_1^{(u)} = \frac{\theta(qA^{-1}z^{-1}; q)}{\theta(A^{-1}; q)\theta(qz^{-1}; q)} {}_2\Phi_1(A, qAC^{-1}; qAB^{-1}; q^2z^{-1}),$$

$$I_2^{(u)} = \frac{\theta(qB^{-1}z^{-1}; q)}{\theta(B^{-1}; q)\theta(qz^{-1}; q)} {}_2\Phi_1(B, qBC^{-1}; qBA^{-1}; q^2z^{-1})$$

For  $q \rightarrow 1$  limit becomes the undeformed  $u$ -channel basis.

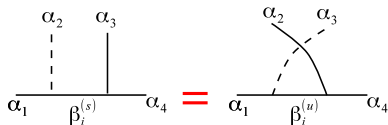
$u$ -channel correlator:

$$\begin{aligned} \langle V_{\alpha_4}(\infty)V_{\alpha_3}(r)V_{\alpha_2}(z)V_{\alpha_1}(0) \rangle &\sim \sum_{i,j=1}^2 \tilde{I}_i^{(u)} K_{ij}^{(u)} I_j^{(s)} \\ &= \sum_{i=1}^2 K_{ii}^{(u)} \|I_i^{(u)}\|_*^2 = \sum_i \text{Diagram} \end{aligned}$$

$K_{ij}^{(u)}$  is diagonal with elements related to 3-point functions

$$K_{ii}^{(u)} = C(\alpha_1, \alpha_3, \beta_i^{(u)}) C(Q_0 - \beta_i^{(u)}, -b_0/2, \alpha_4), \quad \beta_i^{(u)} = \alpha_4 \pm \frac{b_0}{2}, \quad i = 1, 2$$

impose crossing symmetry



$$K_{11}^{(s)} \left\| \left\| I_1^{(s)} \right\|_* \right\|^2 + K_{22}^{(s)} \left\| \left\| I_2^{(s)} \right\|_* \right\|^2 = K_{11}^{(u)} \left\| \left\| I_1^{(u)} \right\|_* \right\|^2 + K_{22}^{(u)} \left\| \left\| I_2^{(u)} \right\|_* \right\|^2$$

analytic continuation  $I_i^{(s)} = \sum_{j=1}^2 M_{ij} I_j^{(u)}$ ,  $\tilde{I}_i^{(s)} = \sum_{j=1}^2 \tilde{M}_{ij} \tilde{I}_j^{(u)}$  yields:

$$\sum_{k,l=1}^2 K_{kl}^{(s)} \tilde{M}_{ki} M_{lj} = K_{ij}^{(u)}$$

Now we need an ansatz for the gluing rule:

- ▶  $S^5$  gluing rule  $\rightarrow$  3-point function  $C_S(\alpha_1, \alpha_2, \alpha_3)$
- ▶  $S^4 \times S^1$  gluing rule  $\rightarrow$  3-point function  $C_{id}(\alpha_1, \alpha_2, \alpha_3)$

## 3-point functions

- ▶  $S^4 \times S^1$  gluing rule  $\rightarrow$   $id$ -correlators:

$$C_{id}(\alpha_3, \alpha_2, \alpha_1) = \frac{1}{\Upsilon^\beta(2\alpha_T - Q_0)} \prod_{i=1}^3 \frac{\Upsilon^\beta(2\alpha_i)}{\Upsilon^\beta(2\alpha_T - 2\alpha_i)}$$

where  $2\alpha_T = \alpha_1 + \alpha_2 + \alpha_3$ ,  $Q_0 = b_0 + 1/b_0$ ,  $b_0$  is the  $S^4$  squashing parameter and  $\beta$  is the  $S^1$  radius.

- ▶  $S^5$  gluing rule  $\rightarrow$   $S$ -correlators:

$$C_S(\alpha_3, \alpha_2, \alpha_1) = \frac{1}{S_3(2\alpha_T - E)} \prod_{i=1}^3 \frac{S_3(2\alpha_i)}{S_3(2\alpha_T - 2\alpha_i)}$$

where  $E = \omega_1 + \omega_2 + \omega_3$  and  $\omega_1, \omega_2, \omega_3$  are the  $S^5$  squashing parameters.

$$\Upsilon^\beta(X) \propto \prod_{n_1, n_2=0}^{\infty} \sinh \left[ \frac{\beta}{2} \left( X + n_1 b_0 + \frac{n_2}{b_0} \right) \right] \sinh \left[ \frac{\beta}{2} \left( -X + (n_1 + 1)b_0 + \frac{(n_2 + 1)}{b_0} \right) \right]$$

$$S_3(X) \propto \prod_{n_1, n_2, n_3=0}^{\infty} (\omega_1 n_1 + \omega_2 n_2 + \omega_3 n_3 + X) (\omega_1 n_1 + \omega_2 n_2 + \omega_3 n_3 + E - X)$$

With a suitable dictionary (akin to the AGT dictionary) we can map  $q$ -correlators to 5d partition functions.

Examples:

- ▶ 5d SQCD,  $SU(2)$ ,  $N_f = 4$  theory  $\Leftrightarrow$  4-point correlator

$$Z_{S^4 \times S^1}^{SQCD} = \langle V_{\alpha_1} V_{\alpha_2} V_{\alpha_3} V_{\alpha_4} \rangle_{id}$$

$$Z_{S^5}^{SQCD} = \langle V_{\alpha_1} V_{\alpha_2} V_{\alpha_3} V_{\alpha_4} \rangle_S$$

- ▶ 5d  $\mathcal{N} = 1^*$   $SU(2)$  theory  $\Leftrightarrow$  1-point torus correlator

$$Z_{S^4 \times S^1}^{\mathcal{N}=1^*} = \langle V_{\alpha_1} \rangle_{id}$$

$$Z_{S^5}^{\mathcal{N}=1^*} = \langle V_{\alpha_1} \rangle_S$$

## Brief summary and open questions

The factorisation of 5d partition functions in terms of 5d holomorphic blocks  $\mathcal{B}^{5d}$  and their identification with chiral  $\mathcal{V}ir_{qt}$  blocks, suggest to map 5d partition functions to  $q$ -deformed Liouville correlators.

We defined  $q$ -deformed Liouville correlators in terms of  $\mathcal{V}ir_{qt}$  blocks and 3-point functions and showed that indeed they can be mapped to 5d partition functions.

–We need to investigate the full **duality group of  $q$ -deformed correlators** (what is the  $q$ -deformation of the Moore-Seiberg groupoid?)

So far we know that degenerate  $q$ -correlators are crossing symmetry invariant (we imposed this in the bootstrap).

–**What is the 5d gauge theory interpretation of  $q$ -correlators dualities?**

–**Can we define Verlinde-loop operators in the  $q$ -deformed case? What is their gauge theory dual?**

Our approach so far has been purely **axiomatic/algebraic**. To construct  $q$ -correlators we only used representations of  $\mathcal{V}ir_{qt}$  and imposed associativity of the operator algebra (crossing symmetry).

It'd be interesting to have a more **geometric/semiclassical** description of these theories.

In Liouville theory an interesting object which bridges between the axiomatic and the semiclassical approach is the **reflection coefficient**.

# Reflection coefficient

- ▶ **exact** reflection coefficient from DOZZ 3-point function:

$$R^L = \frac{C(Q_0 - \alpha_1, \alpha_2, \alpha_3)}{C(\alpha_1, \alpha_2, \alpha_3)} \sim \frac{\Gamma(2iPb_0)\Gamma(2iP/b_0)}{\Gamma(-2iPb_0)\Gamma(-2iP/b_0)}, \quad P = i(\alpha - Q_0/2)$$

- ▶ **semiclassical** ( $b_0 \rightarrow 0$ ) reflection coefficient from mini-superspace

$$(-\partial_{\phi_0}^2 + e^{2b_0\phi_0}) \Psi = E\Psi$$

with solution

$$\psi \sim e^{2iP\phi_0} + R(P)e^{-2iP\phi_0},$$

yielding

$$R(P) \sim \frac{\Gamma(2iP/b_0)}{\Gamma(-2iP/b_0)}$$

→ captures only *half* of the exact result.



1d Schrödinger problems can be mapped to free motion in curved spaces.

The radial part of Laplace-Beltrami operator on the [Lobachevsky space](#)  $\simeq SL(2, \mathbb{C})/SU(2)$  reduces to the Liouville wall problem with asymptotics

$$\psi_\lambda(x) \sim c(\lambda)e^{i\lambda x} + c(-\lambda)e^{-i\lambda x} \quad \text{as } x \rightarrow -\infty$$

The coefficients  $c(\pm\lambda)$  are the Harish-Chandra  $c$ -functions:

$$c(\lambda) = \frac{1}{\Gamma(1 + 2i\lambda)}, \quad R = \frac{c(-\lambda)}{c(\lambda)} = -\frac{\Gamma(2i\lambda)}{\Gamma(-2i\lambda)}$$

with the identification of the spectral parameter  $\lambda = P/b_0$  reproduces the semiclassical Liouville result.

**$c$ -functions of any classical symmetric space, can be expressed as**

$$c(\lambda) = \prod_{\alpha \in \Delta^+} \frac{1}{\Gamma(l + \lambda \cdot \alpha)},$$

where  $l = 1, 1/2$  for finite dimensional or affine Lie algebras.

# Affinisation

[Gerasimov et al.] observed that the exact Liouville reflection coefficient can be obtained considering the affine version of the group

$$\mathfrak{sl}(2) \rightarrow \hat{\mathfrak{sl}}(2).$$

Adding the affine root  $\alpha_0$  to the positive root  $\alpha_1$  and choosing

$$\lambda \cdot (\alpha_0 + \alpha_1) = \tau, \quad \lambda \cdot \alpha_1 = 2iP/b_0 - 1/2, \quad \lambda \cdot \alpha_0 = \tau - 2iP/b_0 + 1/2,$$

the  $c$ -function becomes

$$c(P)^{-1} \equiv \Gamma(2iP/b_0) \prod_{n \geq 1} \Gamma(2iP/b_0 + n\tau) \Gamma(1 - 2iP/b_0 + n\tau) \Gamma(1/2 + n\tau),$$

yielding

$$\frac{c(-P)}{c(P)} \sim - \frac{\Gamma(2iP/b_0)}{\Gamma(-2iP/b_0)} \frac{\Gamma(2iP/b_0\tau)}{\Gamma(-2iP/b_0\tau)},$$

with  $\tau = 1/b_0^2$  matches the exact Liouville result  $R_L$ .

Message: **affinisation**  $\leftrightarrow$  **effective 2nd quantisation**.

## *id*-reflection coefficient

From 3-point functions we find the exact reflection coefficient:

$$R_{id} = \frac{C_{id}(Q_0 - \alpha, \alpha_2, \alpha_1)}{C_{id}(\alpha, \alpha_2, \alpha_1)} \sim \frac{\Gamma_q(2iPb_0) \Gamma_t(2iP/b_0)}{\Gamma_q(-2iPb_0) \Gamma_t(-2iP/b_0)},$$

with  $q = e^{\beta/b_0}$ ,  $t = e^{\beta b_0}$  and  $\Gamma_q(x) \equiv \frac{(q; q)_\infty}{(q^x; q)_\infty} (1 - q)^{1-x}$ .

Analogy with semiclassical Liouville suggests to take

$$c(\lambda) = \Gamma_t(l + \lambda \cdot \alpha)^{-1},$$

this is the  $c$ -function of the **quantum Lobachevsky space** where  $\mathfrak{sl}(2) \rightarrow U_q(\mathfrak{sl}(2))$  [Olshanetsky-Rogov].

Taking the **affine version** and defining  $q = t^\tau$ ,  $\tau = 1/b_0^2$ , we recover the exact reflection coefficient:

$$c(P) \sim \frac{(t^{2iP/b_0}; q, t)(t^{1-2iP/b_0}; q, t)}{(t^{1-2iP/b_0}; t)}, \quad \frac{c(-P)}{c(P)} \sim \frac{\Gamma_q(2iPb_0) \Gamma_t(2iP/b_0)}{\Gamma_q(-2iPb_0) \Gamma_t(-2iP/b_0)}.$$

## S-reflection coefficient

From 3-point functions we find the exact reflection coefficient:

$$R_S = \frac{C_S(E - \alpha, \alpha_2, \alpha_1)}{C_S(\alpha, \alpha_2, \alpha_1)} = \frac{S_3(-2iP|\vec{\omega})}{S_3(2iP|\vec{\omega})}, \quad P = i\alpha - iE/2.$$

We take a  $c$ -function given in terms of the double-Gamma function:

$$c(P) = \Gamma_2(2iP/\kappa|e_1, e_2)^{-1}, \quad e_1 + e_2 = 1.$$

This  $c$ -function have been argued to arise in generalised symmetric spaces and to be part of a hierarchy of integrable systems, whose S-matrix building blocks are  $\Gamma_n$  functions [Freund-Zabrodin].

Finally the affinisation prescription yields the exact reflection coefficient:

$$c(P)^{-1} = \prod_{n \geq 0} \Gamma_2(2iP/\kappa + n\tau|e_1, e_2) \Gamma_2(1 - 2iP/\kappa + (n+1)\tau|e_1, e_2) \\ \prod_{n \geq 1} \Gamma_2(1/2 + n\tau|e_1, e_2) = S_3(2iP|\vec{\omega})^{-1},$$

with  $\omega = \kappa(e_1, e_2, \tau)$ .

## Relation to S-matrices

XYZ

$$J(u) = \prod_{k=0} \frac{\Gamma_q(iu+rk)\Gamma_q(iu+rk+r+1)}{\Gamma_q(iu+rk+1/2)\Gamma_q(iu+rk+r+1/2)}$$

$$q = e^{-4\gamma}, r = \frac{-i\pi\tau}{2\gamma}$$

$q \rightarrow 1, r = \text{const}$

$q = \text{const}, r \rightarrow \infty$

XXZ disordered

XXZ anti-ferro

$$J(u) \sim \Gamma_2$$

$$J(u) \sim \Gamma_q$$

↓ *affinisation*

↓ *affinisation*

$$R^S(\alpha_1) = \frac{C_S(E - \alpha_1, \alpha_2, \alpha_3)}{C_S(\alpha_1, \alpha_2, \alpha_3)}$$

$$R^{id}(\alpha_1) = \frac{C_{id}(Q_0 - \alpha_1, \alpha_2, \alpha_3)}{C_{id}(\alpha_1, \alpha_2, \alpha_3)}$$

→ The appearance of the XXZ spin-chain is not surprising: SUSY vacua of 3d  $\mathcal{N} = 2$  theories can be mapped to eigenstates of spin-chain Hamiltonians [Nekrasov-Shatashvili], 3d blocks satisfy the Baxter equation for the XXZ spin chain [Gadde-Gukov-Putrov].

THANK YOU!