

4th QFET Workshop

Siegen – December 8th, 2014

Testing models of lepton flavour via mixing sum rules

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Symmetry approach to flavour

Fermion mixing

- ▶ mismatch of flavour (weak) and mass eigenstates

$$\Psi_{\text{flavour}} = V^\dagger \Psi_{\text{mass}}$$

- ▶ quark sector: V_L^u and V_L^d

$$U_{\text{CKM}} = V_L^u V_L^{d\dagger} \approx \begin{pmatrix} 1 & \lambda & \lambda^3 \\ \lambda & 1 & \lambda^2 \\ \lambda^3 & \lambda^2 & 1 \end{pmatrix} \quad \lambda \sim 0.22$$

- ▶ lepton sector: V_L^e and V_L^ν

$$U_{\text{PMNS}} = V_L^e V_L^{\nu\dagger} \approx \begin{pmatrix} 0.82 & 0.55 & 0.15 \\ 0.37 & 0.57 & 0.70 \\ 0.39 & 0.59 & 0.68 \end{pmatrix}$$

www.nu-fit.org (2014)

neutrino mixing pattern suggestive of non-Abelian family symmetry

Simple mixing patterns – tri-bimaximal



Harrison



Perkins

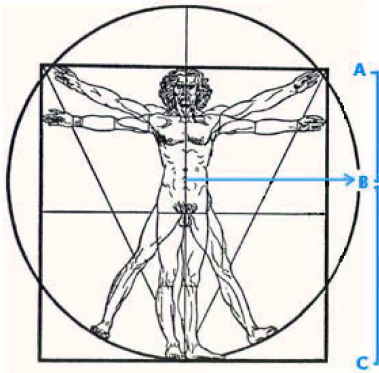


Scott

$$U_{\text{PMNS}} \approx U_{\text{TB}} \equiv \begin{pmatrix} \frac{2}{\sqrt{6}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} \end{pmatrix}$$

$$\theta_{12} \approx 35.3^\circ \quad \theta_{23} = 45^\circ \quad \theta_{13} = 0^\circ$$

Simple mixing patterns – golden ratio



$$\varphi = \frac{1+\sqrt{5}}{2} \approx 1.618$$

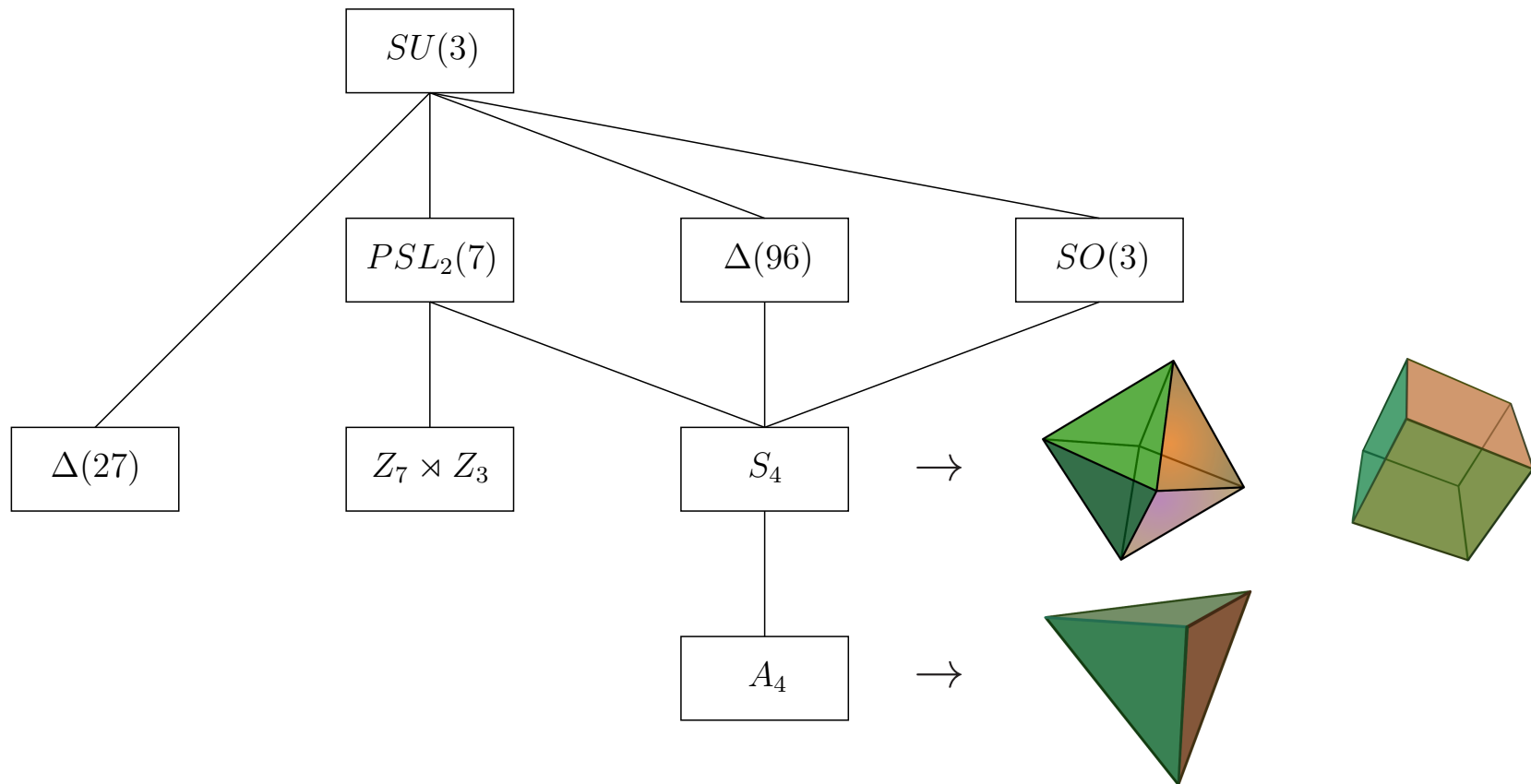
$$\tan \theta_{12} = \frac{1}{\varphi}$$

$$U_{\text{PMNS}} \approx U_{\text{GR}} \equiv \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\frac{\sin \theta_{12}}{\sqrt{2}} & \frac{\cos \theta_{12}}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ -\frac{\sin \theta_{12}}{\sqrt{2}} & \frac{\cos \theta_{12}}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \end{pmatrix}$$

$$\theta_{12} \approx 31.7^\circ \quad \theta_{23} = 45^\circ \quad \theta_{13} = 0^\circ$$

Candidate symmetry groups G

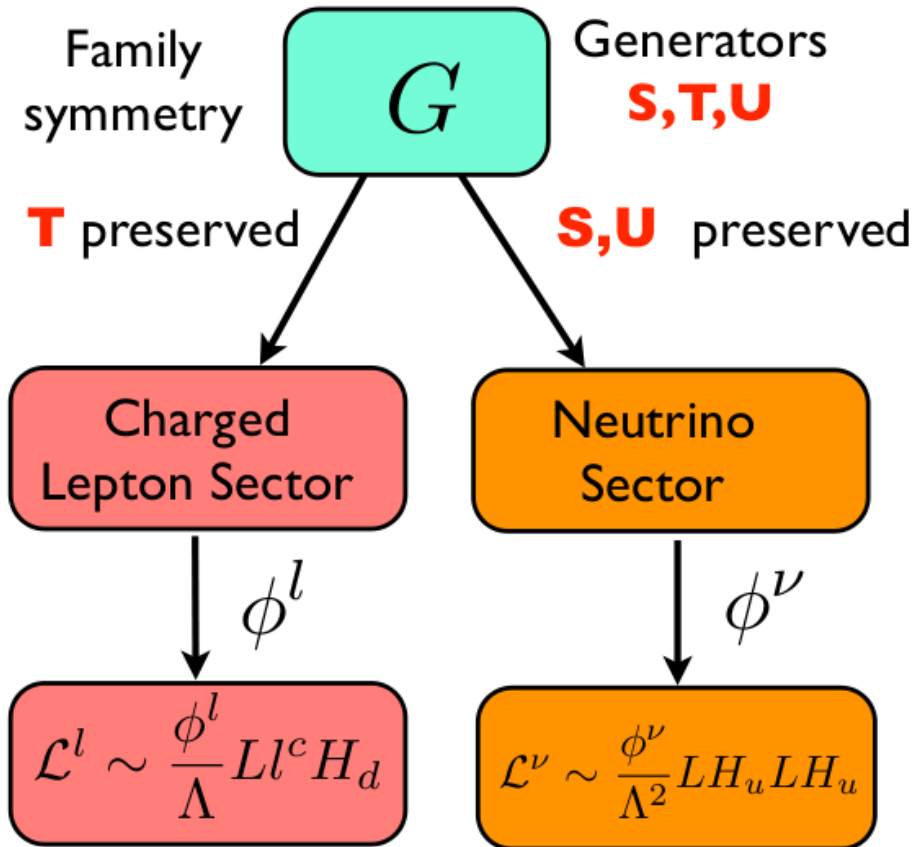
- **non-Abelian** to unify families (G should have triplet representations)
- **discrete** to facilitate obtaining simple mixing patterns



Two model building strategies

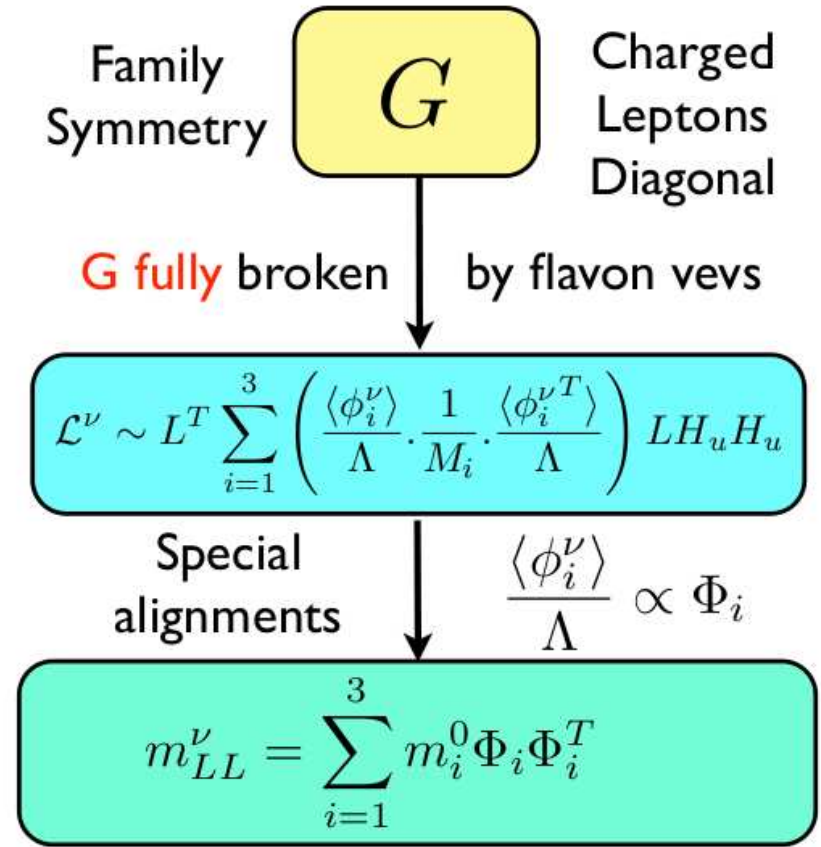
direct models

(mixing from residual symmetries)



indirect models

(mixing from flavon alignments Φ)



King, Luhn (JHEP 0910, 2009)

Tri-bimaximal mixing from S_4

- three generators S, U and T
- identify VEV configurations for family symmetry breaking fields ϕ

$$S\langle\phi^\nu\rangle = U\langle\phi^\nu\rangle = \langle\phi^\nu\rangle \quad T\langle\phi^\ell\rangle = \langle\phi^\ell\rangle \quad \text{flavon VEVs}$$

S_4	S	U	T	$\langle\phi^\nu\rangle$	$\langle\phi^\ell\rangle$
$\mathbf{1}, \mathbf{1}'$	1	± 1	1	$\mathbf{1}$	$\mathbf{1}, \mathbf{1}'$
$\mathbf{2}$	$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$	$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$	$\begin{pmatrix} \omega & 0 \\ 0 & \omega^2 \end{pmatrix}$	$\mathbf{2} \propto \begin{pmatrix} 1 \\ 1 \end{pmatrix}$	–
$\mathbf{3}, \mathbf{3}'$	$\frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}$	$\mp \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega^2 & 0 \\ 0 & 0 & \omega \end{pmatrix}$	$\mathbf{3}' \propto \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$	$\mathbf{3}, \mathbf{3}' \propto \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$

- control coupling of flavons to fermions by extra Z_N or $U(1)$ symmetry

$$\frac{\phi^\nu}{\Lambda^2} LH_u LH_u \quad \rightarrow \quad M_\nu = S^T M_\nu S = U^T M_\nu U \quad \rightarrow \quad \text{tri-bimaximal } M_\nu$$

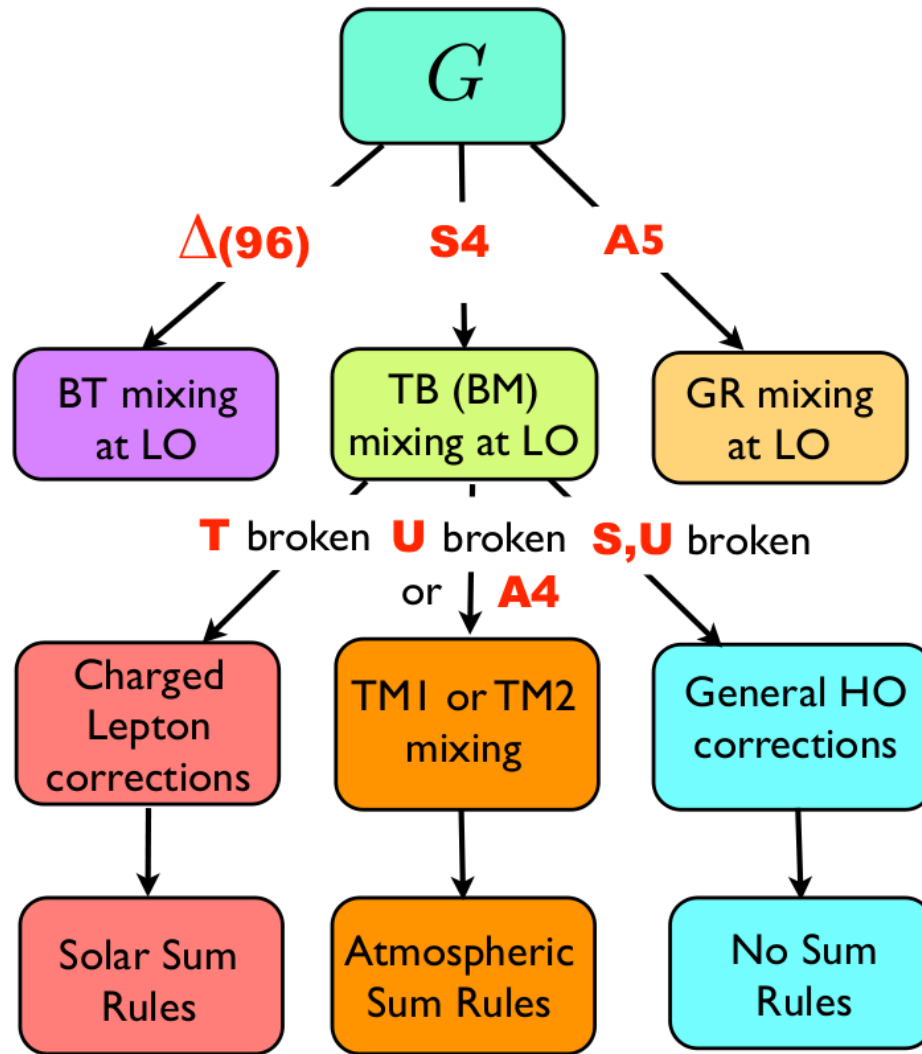
$$\frac{\phi^\ell}{\Lambda} L \ell^c H_d \quad \rightarrow \quad [M_\ell M_\ell^\dagger] = T^T [M_\ell M_\ell^\dagger] T^* \quad \rightarrow \quad \text{diagonal } [M_\ell M_\ell^\dagger]$$

Accommodating $\theta_{13} \sim 9^\circ$

King, Luhn (Rept. Prog. Phys. 76, 2013)

King et al. (New J. Phys. 16, 2014)

Direct models after 2012



mixing patterns:

	θ_{13}	θ_{23}	θ_{12}
TB	0°	45°	35.3°
BM	0°	45°	45°
GR	0°	45°	31.7°
BT	12.2°	36.2°	36.2°
TM	$\neq 0^\circ$	$\neq 45^\circ$	35.3°

TB = tri-bimaximal
 BM = bimaximal
 GR = golden ratio
 BT = bi-trimaximal
 TM = trimaximal

Perturbation I – solar mixing sum rule

- T symmetry of charged lepton sector “slightly” broken (e.g. GUTs)
- $U_{\text{PMNS}} = V_{\ell_L} V_{\nu_L}^\dagger$ and $V_{\nu_L}^\dagger = U_{\text{TB}}$

$$U_{\text{PMNS}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & \hat{s}_{23} \\ 0 & -\hat{s}_{23}^* & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & \hat{s}_{13} \\ 0 & 1 & 0 \\ -\hat{s}_{13}^* & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & \hat{s}_{12} & 0 \\ -\hat{s}_{12}^* & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$s_{12} e^{i\delta_{12}} \approx \frac{1}{\sqrt{3}} \left(e^{i\delta_{12}^\nu} - \theta_{12}^\ell e^{i\delta_{12}^\ell} + \theta_{13}^\ell e^{i(\delta_{13}^\ell - \delta_{23}^\nu)} \right)$$

$$s_{23} e^{i\delta_{23}} \approx \frac{1}{\sqrt{2}} \left(e^{i\delta_{23}^\nu} - \theta_{23}^\ell e^{i\delta_{23}^\ell} \right)$$

$$s_{13} e^{i\delta_{13}} \approx \frac{1}{\sqrt{2}} \left(-\theta_{12}^\ell e^{i(\delta_{12}^\ell + \delta_{23}^\nu)} - \theta_{13}^\ell e^{i\delta_{13}^\ell} \right)$$

$$c_{ij} = \cos \theta_{ij}$$

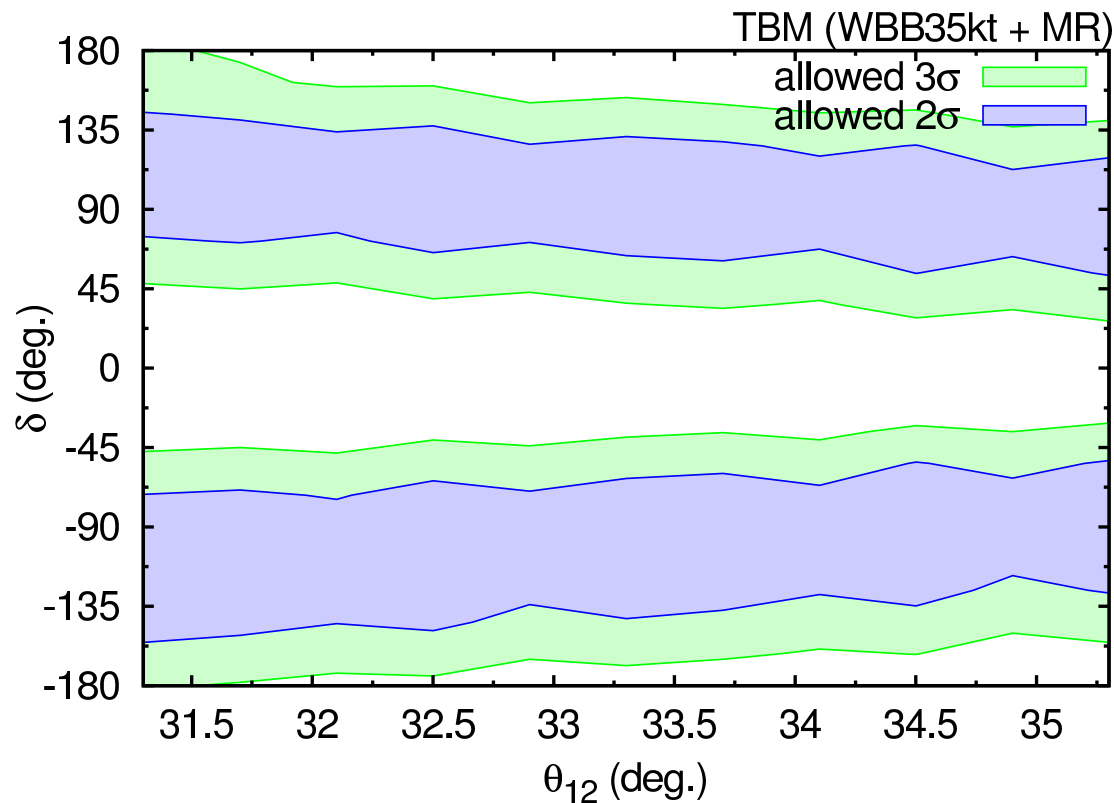
$$\hat{s}_{ij} = \sin \theta_{ij} e^{-i\delta_{ij}}$$

- $\theta_{12}^\ell \sim \theta_C \sim 0.22 \rightarrow \theta_{13} \sim 9^\circ$

- first order relation $\theta_{12} \approx 35.3^\circ + \theta_{13} \cos \delta$

Testing the solar sum rule

- JUNO will measure θ_{12} with high precision
- wide-band superbeam (LBNO/LBNE/LBNF) could access Dirac phase δ
- expected sensitivity for ruling out solar sum rule



Ballett et al.
(arXiv:1410.7573)

Perturbation II – atmospheric mixing sum rule

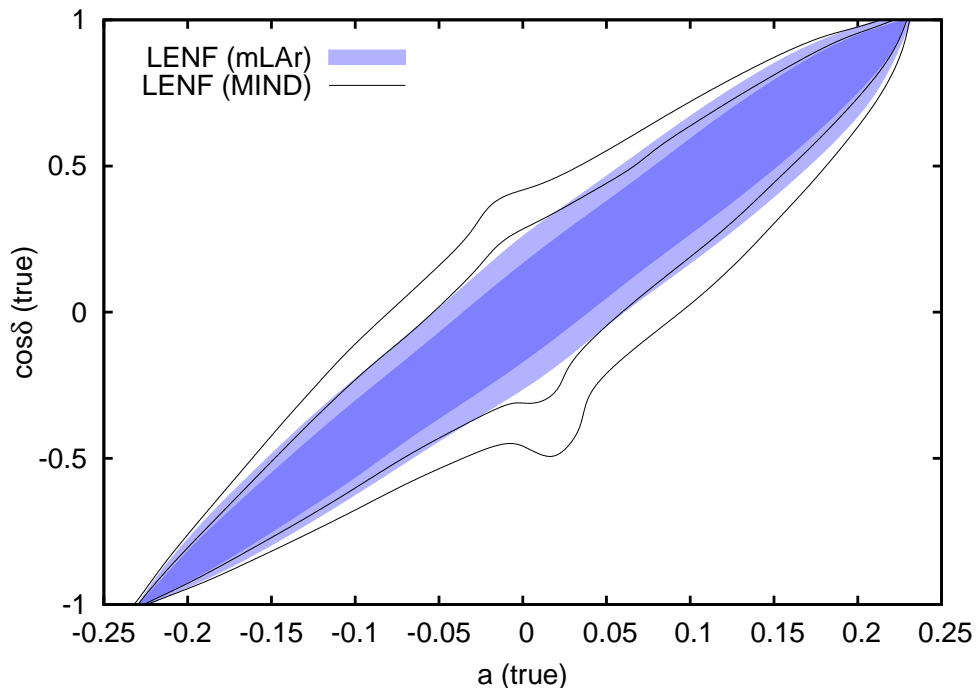
- U symmetry of neutrino sector “slightly” broken $\rightarrow U_{\text{PMNS}}^{13} \neq 0$
- conserve a Z_2 subgroup of neutrino symmetry $Z_2^S \times Z_2^U$

	<u>trimaximal 1 (TM₁)</u>	<u>trimaximal 2 (TM₂)</u>
unbroken Z_2	$SU = -\frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & 2 & -1 \\ 2 & -1 & 2 \end{pmatrix}$	$S = \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}$
PMNS mixing	$\frac{1}{\sqrt{6}} \begin{pmatrix} 2 & \cdot & \cdot \\ -1 & \cdot & \cdot \\ -1 & \cdot & \cdot \end{pmatrix}$	$\frac{1}{\sqrt{3}} \begin{pmatrix} \cdot & 1 & \cdot \\ \cdot & 1 & \cdot \\ \cdot & 1 & \cdot \end{pmatrix}$
solar angle	$\theta_{12} \approx 34.2^\circ$	$\theta_{12} \approx 35.8^\circ$
first order relation	$\theta_{23} \approx 45^\circ + \sqrt{2} \theta_{13} \cos \delta$	$\theta_{23} \approx 45^\circ - \frac{1}{\sqrt{2}} \theta_{13} \cos \delta$

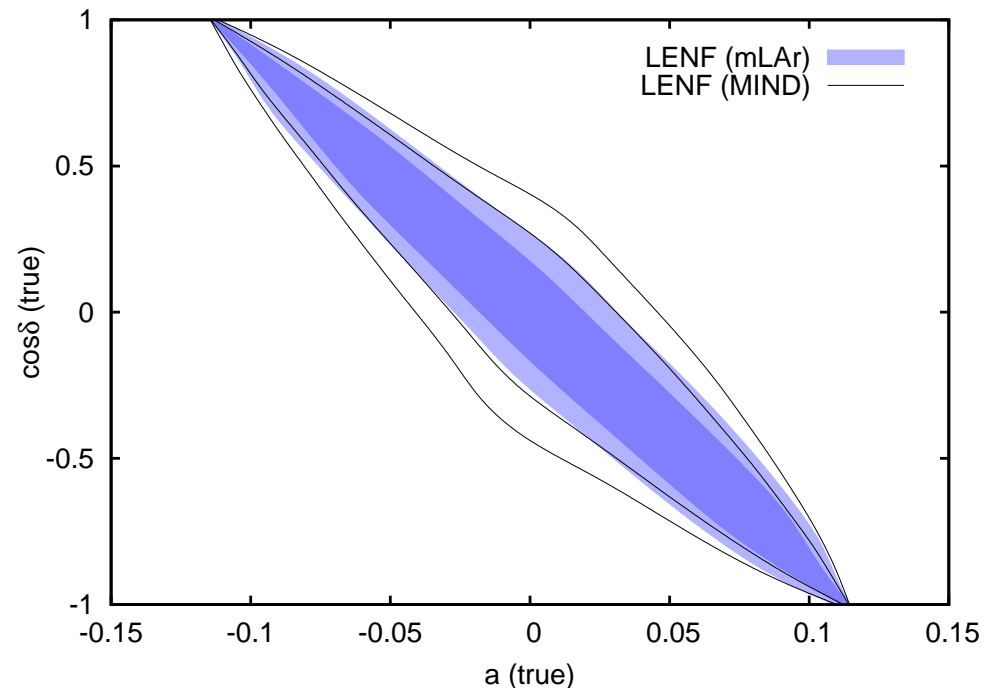
Testing the atmospheric sum rule

- low energy neutrino factory could measure θ_{23} and δ to high precision
- expected sensitivity for ruling out atmospheric sum rule

trimaximal 1



trimaximal 2



Ballett et al. (Phys. Rev. D89, 2014)

Conclusion

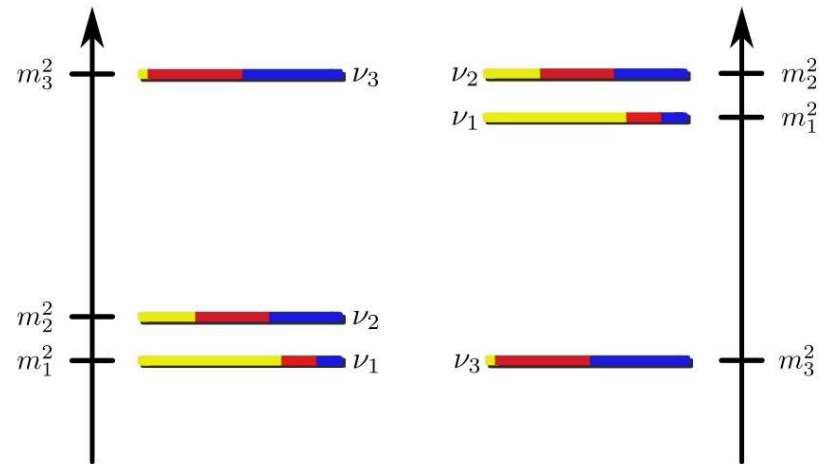
- ▶ non-Abelian discrete symmetries
 - unify three families of chiral fermions
 - still attractive despite $\theta_{13} \sim 9^\circ$
- ▶ perturbations of simple mixing patterns
 - correlations among mixing parameters
 - solar/atmospheric mixing sum rules
- ▶ testing models of lepton flavour
 - measurement of CP phase (e.g. superbeam/neutrino factory)
 - precision measurement of mixing angles (e.g. JUNO)
- ▶ other aspects
 - gain predictivity by imposing CP symmetry
 - connection to quarks via grand unified theories

Thank you

Three neutrino flavour mixing

(in diagonal charged lepton basis)

$$\begin{array}{c} \text{flavour} \\ \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} \end{array} = \begin{array}{c} \text{PMNS mixing} \\ \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \end{array} \begin{array}{c} \text{mass} \\ \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} \end{array}$$

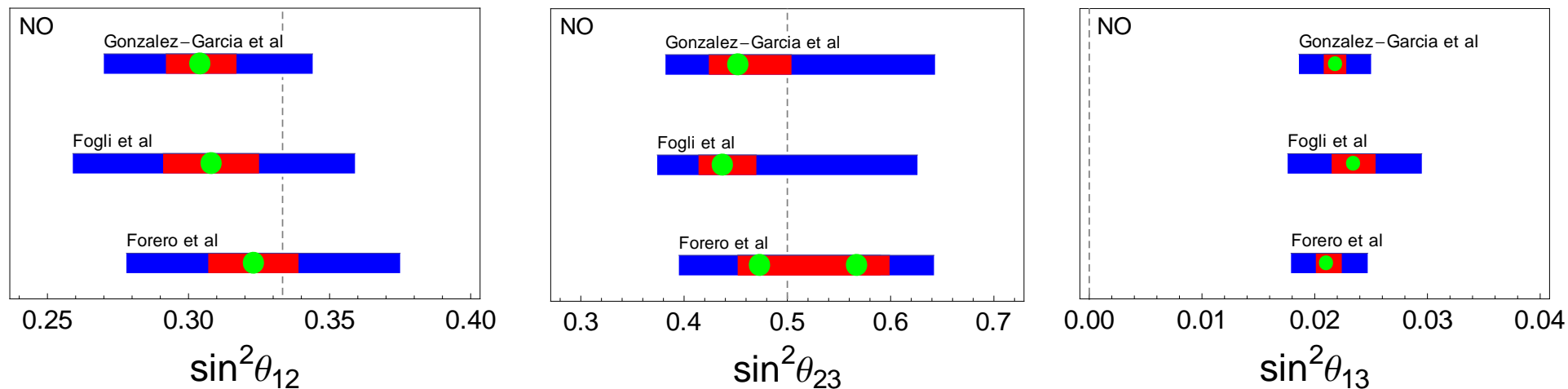


$$U_{\text{PMNS}} = \begin{array}{c} \text{atmospheric} \\ \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \end{array} \begin{array}{c} \text{reactor + Dirac} \\ \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \end{array} \begin{array}{c} \text{solar} \\ \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \end{array} \begin{array}{c} \text{Majorana} \\ \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\frac{\alpha_2}{2}} & 0 \\ 0 & 0 & e^{i\frac{\alpha_3}{2}} \end{pmatrix} \end{array}$$

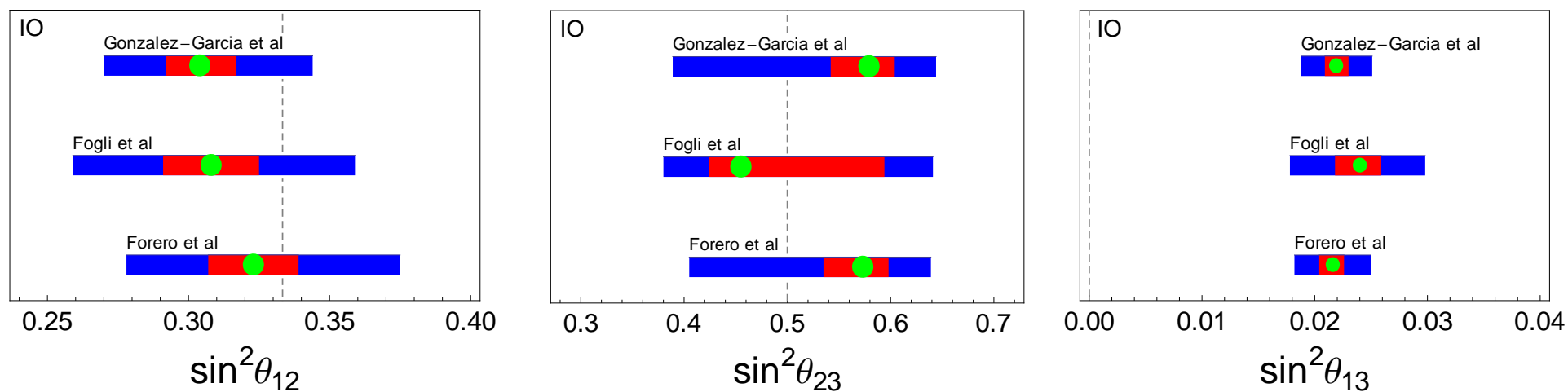
$$\theta_{23} \approx 45^\circ \qquad \theta_{13} \approx 9^\circ \qquad \theta_{12} \approx 33^\circ$$

Global neutrino fits

normal mass ordering



inverted mass ordering



Symmetries of the mass matrices (in flavour basis)

charged leptons $M_\ell = \text{diag}(m_e, m_\mu, m_\tau)$



Dirac

symmetric under diagonal phase transformation h

$$\boxed{M_\ell = h^T M_\ell h^*} \quad \text{e.g. } h = \text{diag}\left(1, e^{\frac{4\pi i}{3}}, e^{\frac{2\pi i}{3}}\right)$$

neutrinos



Majorana

$$M_\nu = U_{\text{PMNS}} \text{diag}(m_{\nu_1}, m_{\nu_2}, m_{\nu_3}) U_{\text{PMNS}}^T$$

symmetry of M_ν depends on U_{PMNS}

$$\boxed{M_\nu = k^T M_\nu k} \quad k = U_{\text{PMNS}}^* \text{diag}(+1, -1, -1) U_{\text{PMNS}}^T$$

four different $k \rightarrow$ generate $Z_2 \times Z_2$ symmetry group

Klein symmetry: $K = \{1, k_1, k_2, k_3\}$

for $U_{\text{PMNS}} = U_{\text{TB}}$:

$$k_1 = \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}, \quad k_2 = - \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \quad k_3 = k_1 k_2$$

Origin of the Klein symmetry K

► direct models

- Klein symmetry $K \subset$ family symmetry G
- flavons ϕ are multiplets of G
- their VEVs $\langle \phi \rangle$ break G down to K in neutrino sector
- for TB mixing (k_1, k_2, h) generate permutation group S_4

► indirect models

- Klein symmetry $K \not\subset$ family symmetry G
- G responsible for generating particular flavon VEV configurations $\langle \phi \rangle$
- for TB mixing – from e.g. $\Delta(27)$, $Z_7 \rtimes Z_3$

$$\langle \phi_1 \rangle \propto \begin{pmatrix} -2 \\ 1 \\ 1 \end{pmatrix} \quad \langle \phi_2 \rangle \propto \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} \quad \langle \phi_3 \rangle \propto \begin{pmatrix} 0 \\ 1 \\ -1 \end{pmatrix}$$

$$\Rightarrow \mathcal{L}_\nu \sim \nu (\phi_1 \phi_1^T + \phi_2 \phi_2^T + \phi_3 \phi_3^T) \nu H H$$

Essentials of finite group theory – S_4 example

e.g. Ramond, Group theory: a physicist's survey (2010)

- finite number of group elements $g \rightarrow$ each g has finite order ($g^n = 1$)
- construct all elements from a small number of **generators**
- **presentation** of S_4 : generators S, T, U which satisfy
$$S^2 = T^3 = U^2 = (ST)^3 = (SU)^2 = (TU)^2 = (STU)^4 = 1$$
- **matrix representations** for $S, T, U \rightarrow$ irreps **1 1' 2 3 3'**
- in physics we are mainly interested in **multiplication rules**
- Kronecker products: e.g. **$3 \otimes 3 = 1 + 2 + 3 + 3'$**
- Clebsch-Gordan coefficients: e.g. **$3 \otimes 3 \rightarrow 1$**

$$\begin{pmatrix} \alpha_1 \\ \alpha_2 \\ \alpha_3 \end{pmatrix} \otimes \begin{pmatrix} \beta_1 \\ \beta_2 \\ \beta_3 \end{pmatrix} \rightarrow \alpha_1\beta_1 + \alpha_2\beta_3 + \alpha_3\beta_2 \quad \text{basis dependent !!}$$