



# Measurement of single top quark production in the $tW$ channel and search for s channel in pp collisions at CMS



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on behalf of the CMS Collaboration  
23<sup>rd</sup> July 2015*



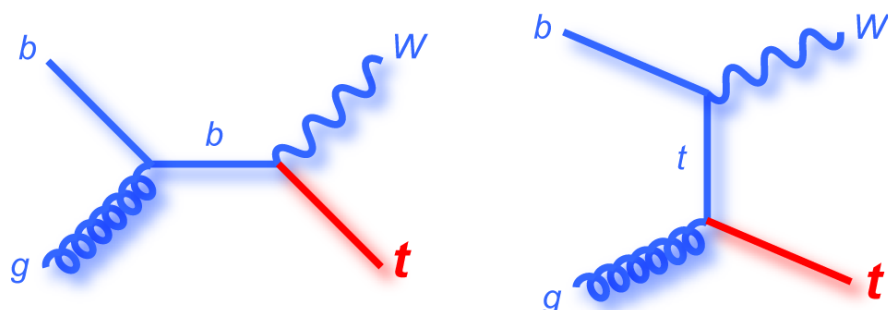


# Intro: single top quark production in tW and s channels

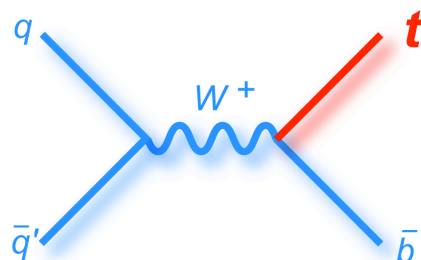


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tW associated



s-channel



Cross sections (pb)  
(top mass = 173 GeV)

**tW associated  
production**  
approx. NNLO,  
arXiv:1311.0283,  
N. Kidonakis

**s-channel**  
approx. NNLO,  
arXiv:1311.0283,  
N. Kidonakis

LHC: pp @ 7 TeV

15.6

4.56

LHC: pp @ 8 TeV

22.2

5.55

LHC: pp @ 13 TeV  
(top mass = 172.5 GeV)

71.2

11.36

Tevatron p $\bar{p}$  @ 1.96 TeV

0.22

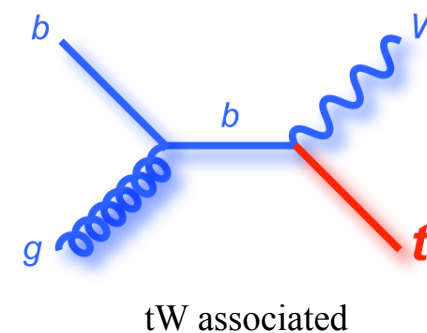
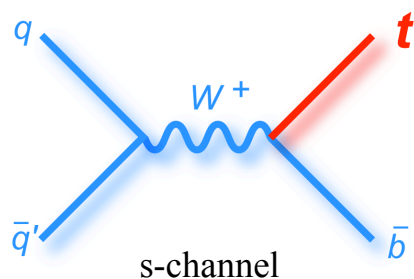
1.04

- **Less abundant than t-channel**
- **s-channel disfavored w.r.t. tW @LHC** (the opposite at Tevatron)
- **tW has the highest cross section increase** with c.m. energy among the single top processes (8 to 13 TeV ~3x as top pair production)

## SINGLE LEPTON TOPOLOGY

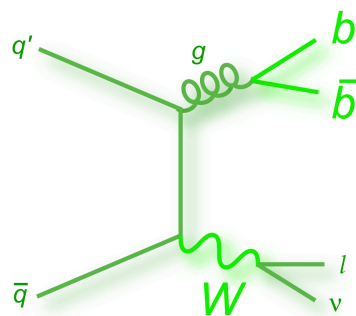
## DILEPTON TOPOLOGY

### Signals:

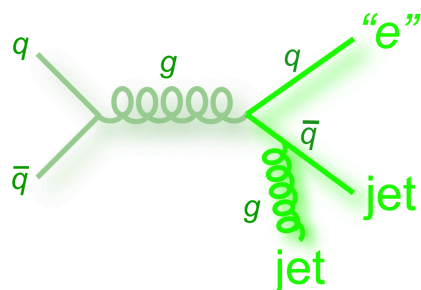


### Main backgrounds (examples):

#### W+jets

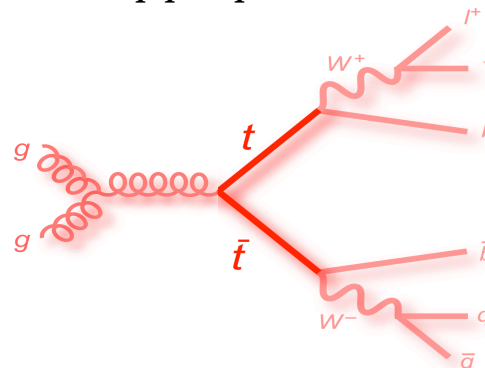


#### QCD multijet



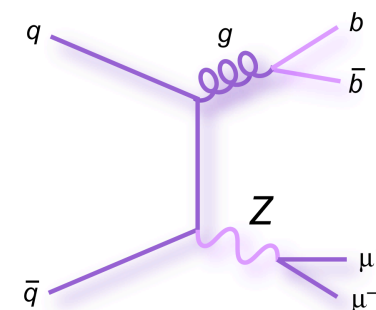
mainly s-channel

#### top pair production



for both channels

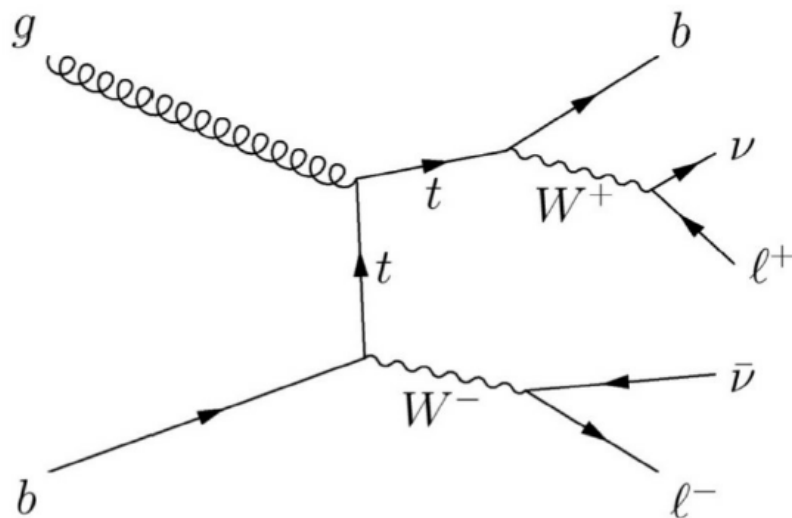
#### Z+jets



mainly for tW production

# tW associated production: intro

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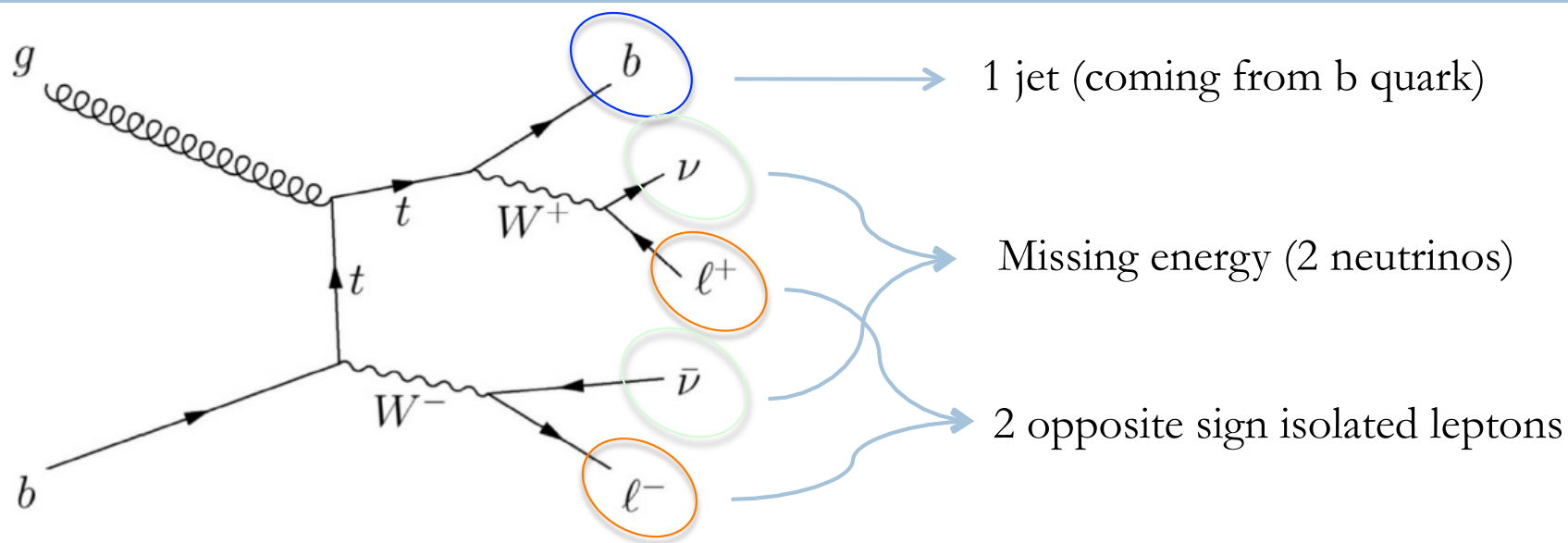


Why is it interesting ?

- Sensitivity to new physics, background for many SUSY searches and Higgs production
- Direct measurement of  $|V_{tb}|$
- Strong interference with top pair production (see next slides)

# tW associated production: experimental signature and selection

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## Selection

**2 opposite-charge leptons**

$ee, \mu\mu, e\mu$  with  $p_T^l > 20 \text{ GeV}$

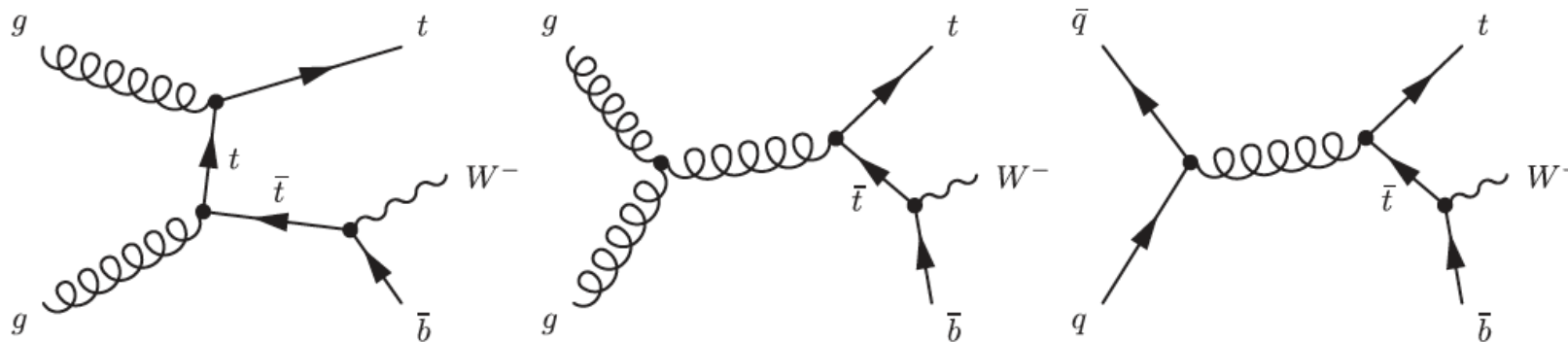
**1 jet 1 b-tag**

$p_T > 30 \text{ GeV}, |\eta| < 2.4$

**additional cuts**

$E_T^{\text{miss}} > 30/50 \text{ GeV @ } 7/8 \text{ TeV}$   
 $m_{ll} < 81 \text{ GeV} \text{ \& } m_{ll} > 101 \text{ GeV}$

- In **perturbative QCD** the tW associated production at NLO mixes with the top pair production.
- The tW signal is described in the **Diagram Removal (DR)** scheme: all the NLO doubly resonant diagrams are excluded from signal definition.



- The number of predicted signal events using the alternative **Diagram Subtraction (DS)** scheme is consistent with the DR scheme within the statistical uncertainties (difference taken into account as systematic uncertainty).





# Inclusive cross section @ 8 TeV: BDT and discriminating variables



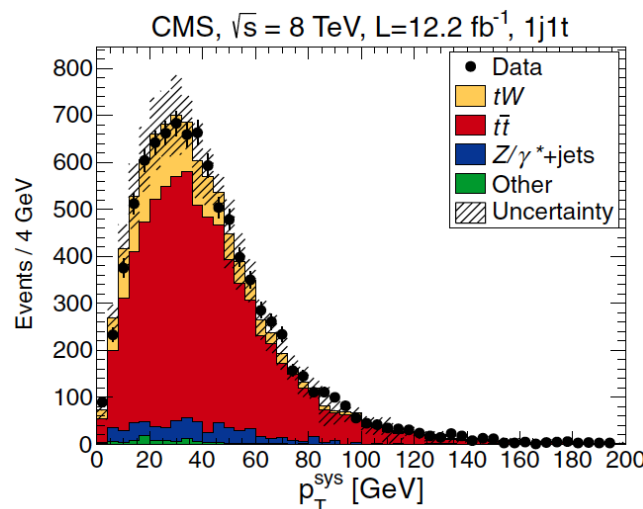
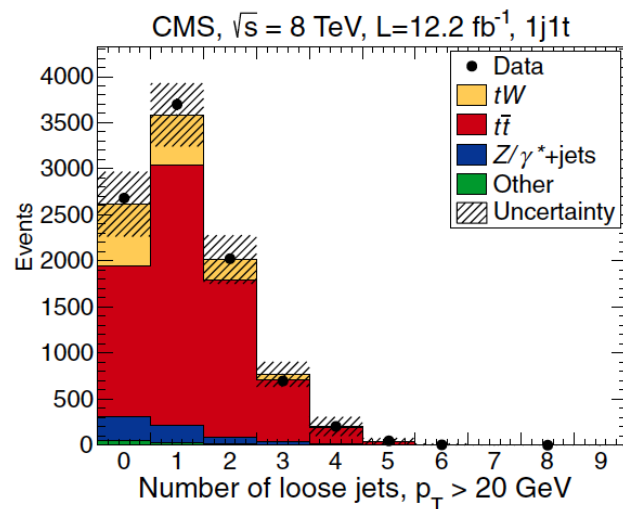
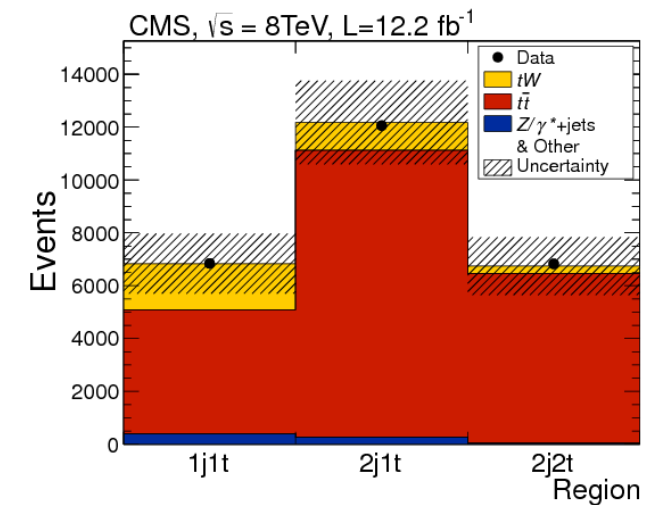
Phys.Rev.Lett. 112, 231802 (2014)

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- **BDT multivariate discriminant** trained in the signal region (1j1t) and in two control regions (2j1t and 2j2t) with 13 variables.

Integrated luminosity = 12.2 fb<sup>-1</sup>

Variable Name	Description
# of loose jets	Number of loose jets, $p_T > 20$ GeV, $ \eta  < 4.9$
# of central loose jets	Number of loose jets, $p_T > 20$ GeV, $ \eta  < 2.4$
# of b-tagged loose jets	Number of loose jets, $p_T > 20$ GeV, $q_b$ -tagged, $ \eta  < 2.4$
$p_T^{\text{sys}}$	Vector sum of $p_T$ of leptons, jet, and $E_T^{\text{miss}}$
$H_T$	Scalar sum of $p_T$ of leptons, jet, and $E_T^{\text{miss}}$



- The loose jet is a jet with  $p_T > 20$  GeV,  $|\eta| < 4.9$



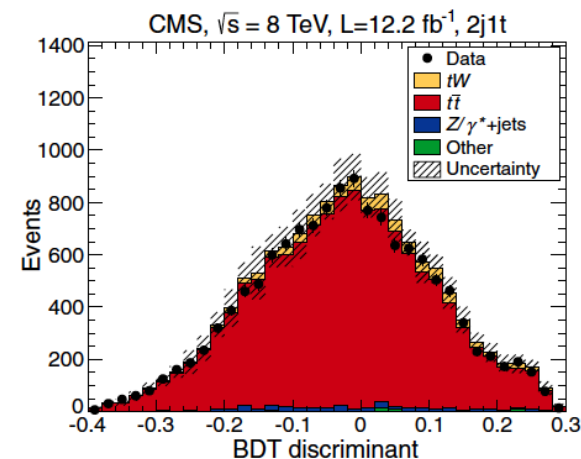
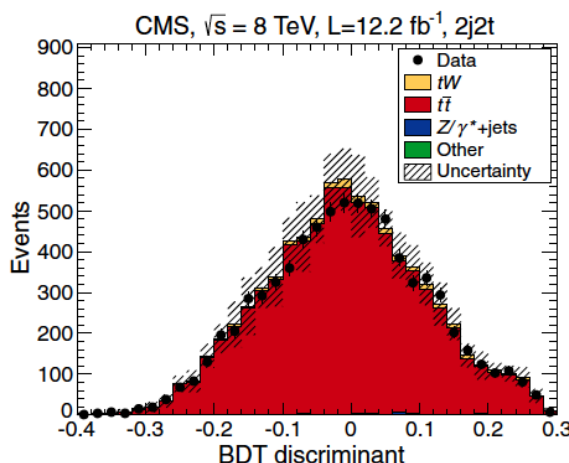
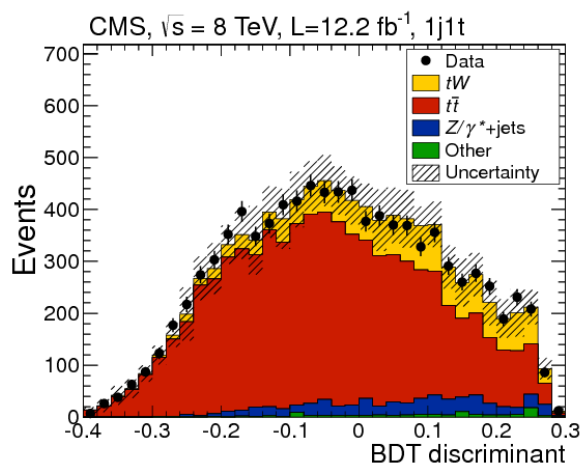
# Inclusive cross section @ 8 TeV: results



*Phys.Rev.Lett. 112, 231802 (2014)*

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- **Simultaneous binned likelihood fit to the BDT** for the three final states in the signal and control regions (which allow for better determination of top pair contribution and help to constrain the b-tagging and JES uncertainties on the measurement)



$$\sigma_{tW} = 23.4 \pm 5.4 \text{ pb}$$

$$\text{significance} = 6.1\sigma$$

$$(5.4^{+1.5}_{-1.4} \sigma \text{ expected})$$

$$\sigma_{tW} = 22.2 \pm 0.6 \pm 1.4 \text{ pb, SM expectation}$$

Assuming the CKM matrix elements  $|V_{td}|$  and  $|V_{ts}| \ll |V_{tb}|$ ,  $|V_{tb}| = \sqrt{\sigma/\sigma_{th}}$ :

$$|V_{tb}| = 1.03 \pm 0.12(\text{exp.}) \pm 0.04(\text{th.})$$





# Inclusive cross section @ 8 TeV: systematic uncertainties and combination with ATLAS



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## Systematic uncertainty sources and their impact on the cross section measurement

Systematic uncertainty	$\Delta\sigma$ (pb)	$\Delta\sigma/\sigma$
ME/PS matching thresholds	3.3	14%
Renormalization/factorization scale	2.9	12%
Top-quark mass	2.2	9%
Fit statistical	1.9	8%
Jet energy scale	0.9	4%
Luminosity	0.7	3%
Z+jets data/simulation scale factor	0.6	3%
$tW$ DR/DS scheme	0.5	2%
$t\bar{t}$ cross section	0.4	2%
Lepton identification	0.4	2%
PDF	0.4	2%
Jet energy resolution	0.2	1%
$b$ -tagging data/simulation scale factor	0.2	<1%
$t\bar{t}$ spin correlations	0.1	<1%
Pileup	0.1	<1%
Top-quark $p_T$ reweighting	0.1	<1%
$E_T^{\text{miss}}$ modeling	0.1	<1%
Lepton energy scale	0.1	<1%
Total	5.5	24%

*Phys.Rev.Lett. 112, 231802 (2014) - ATLAS*

*CMS-PAS-TOP-14-009 - CMS*

## ATLAS-CMS combination

$$\sigma_{tW} = 27.2 \pm 5.8 \text{ pb} \quad \text{ATLAS}$$

$$\sigma_{tW} = 23.4 \pm 5.4 \text{ pb} \quad \text{CMS}$$

$$\sigma_{tW} = 25.0 \pm 1.4 \text{ (stat.)} \pm 4.4 \text{ (syst.)} \pm 0.7 \text{ (lumi.) pb}$$

$$= 25.0 \pm 4.7 \text{ pb} \quad \text{COMBINED}$$

Process negligible at Tevatron,  
LHC observation at 8 TeV



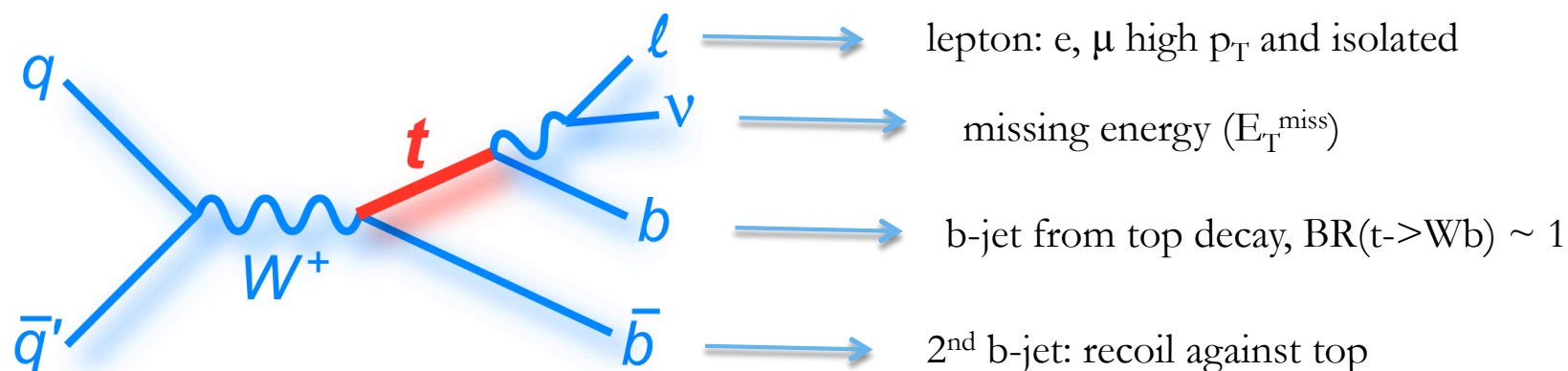
CMS-TOP-13-009

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# s-channel inclusive cross section: intro



- **Smallest cross section at the LHC** among the single top processes
- **Sensitive to non-SM mediators** like  $W'$  or charged Higgs bosons
- **Observation at Tevatron** (2014, PRL **112**, 231803); **ATLAS** set upper limits at 7 TeV (2011) and 8 TeV (2015, PLB **740**, 118)



N.B. the jet coming from b-quark has broader  $\eta$   
w.r.t. the jet stemming from anti-b-quark



PAS-CMS-TOP-13-009

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# s-channel inclusive cross section: selection



1 muon or electron

$$p_T^\mu > 26 \text{ GeV}, p_T^e > 30 \text{ GeV}$$

2 jets 2 b-tagged

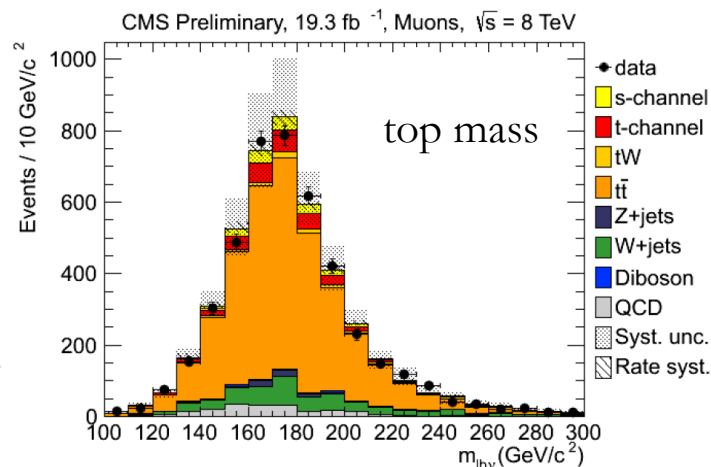
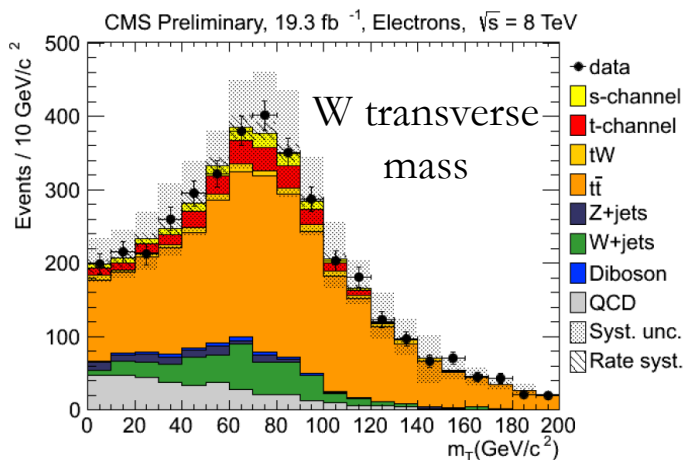
$$p_T > 40 \text{ GeV}$$

additional cuts  
( $t\bar{t}$  background rejection)

veto other jets with  $p_T > 30 \text{ GeV}$

Process	$\mu$ 2-jets 2-tags
$t\bar{t}$	$3144 \pm 189$
$W + \text{jets}$	$449 \pm 93$
$Z + \text{jets}$	$65 \pm 20$
Diboson	$45 \pm 10$
QCD	$209 \pm 52$
$tW$	$102 \pm 11$
$t$ -channel	$271 \pm 18$
s-channel	$168 \pm 10$
Total MC	$4455 \pm 286$
Data	4450

Uncertainties include stats., bkg rates and b-tagging



Top quark reconstruction: take the b-jet for which the reconstructed top mass is the closest to 172.5 GeV

Exploit the different topology of the signal and backgrounds using a **multivariate classification approach** (Boosted Decision Trees)

7/23/15



# s-channel inclusive cross section @ 8 TeV: BDT and QCD estimation

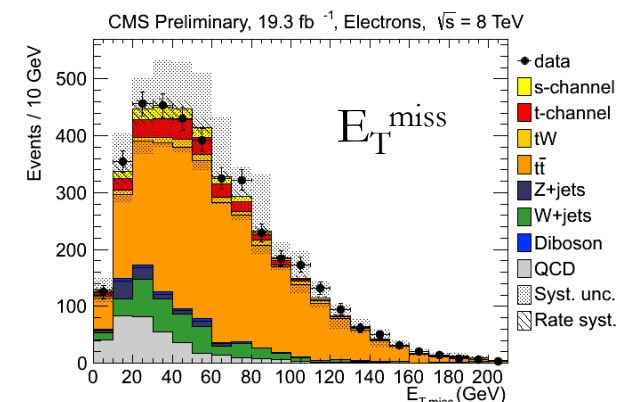
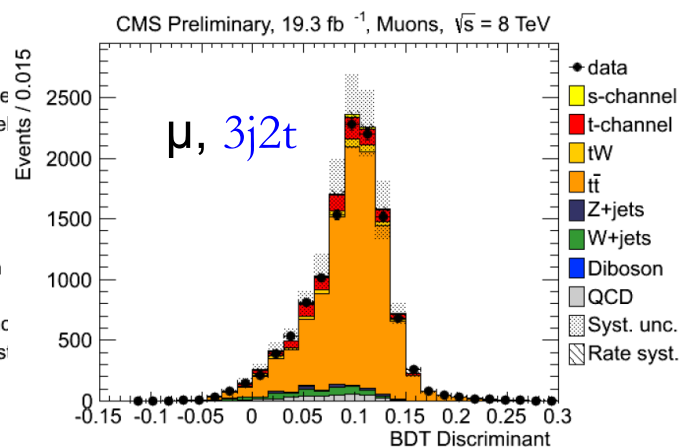
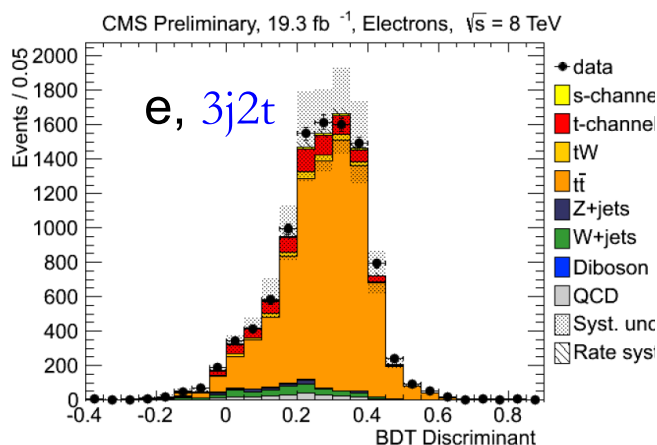
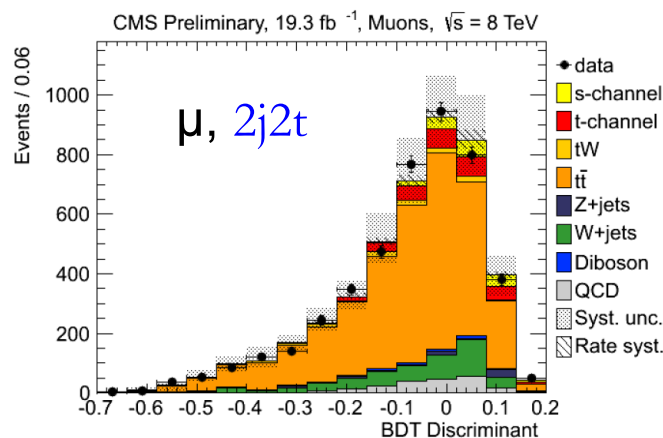
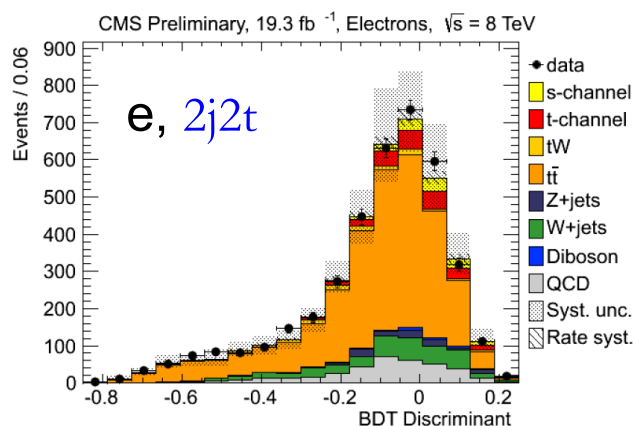


CMS-TOP-13-009

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Integrated luminosity = 19.3 fb<sup>-1</sup>

- **Training of BDT discriminants** in muon and electrons channels in signal (**2j2t**) and  $t\bar{t}$  enriched control sample (**3j2t**)



**Multi-jets QCD estimation:**  
maximum likelihood **template** fit to  $W$  transverse mass and  $E_{T}^{miss}$  distributions to extract the amount of QCD events in the signal sample (**template** taken from a control sample on data obtained inverting the lepton relative isolation requirement)



CMS-TOP-13-009

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# s-channel inclusive cross section @ 8 TeV: signal extraction



- **Signal extraction:** binned maximum likelihood fit to the BDT discriminant distribution, simultaneously in the signal and control regions.  $t\bar{t}$  and  $W$ +jets backgrounds constrained in the fit as well.

**Upper limit:  $\sigma_{\text{s-chan}} < 11.5$  (17.0, 9.0) pb @ 95% CL,**  
**Assuming SM signal  $\sigma_{\text{s-chan}} = 6.2^{+8.0}_{-5.1}$  pb (68% FC interval)**

UL observed (expected  
with SM signal, expected  
with bkg only)

$$\sigma_{\text{s-channel}} = 5.55 \pm 0.08 \pm 0.21 \text{ pb, SM expectation}$$

- **Main uncertainties:**  $t\bar{t}$  and  $W$ +jets ren./fact. scales ( $\sim 80\%$ ) which could be improved with NLO  $t\bar{t}$  generators, JES ( $\sim 50\%$ ), PS-ME matching thresholds (32%)

**details in my poster !**

- **tW associated production:**  
cross section measurement and  
observation established at 8 TeV,  
 $|V_{tb}|$  determination

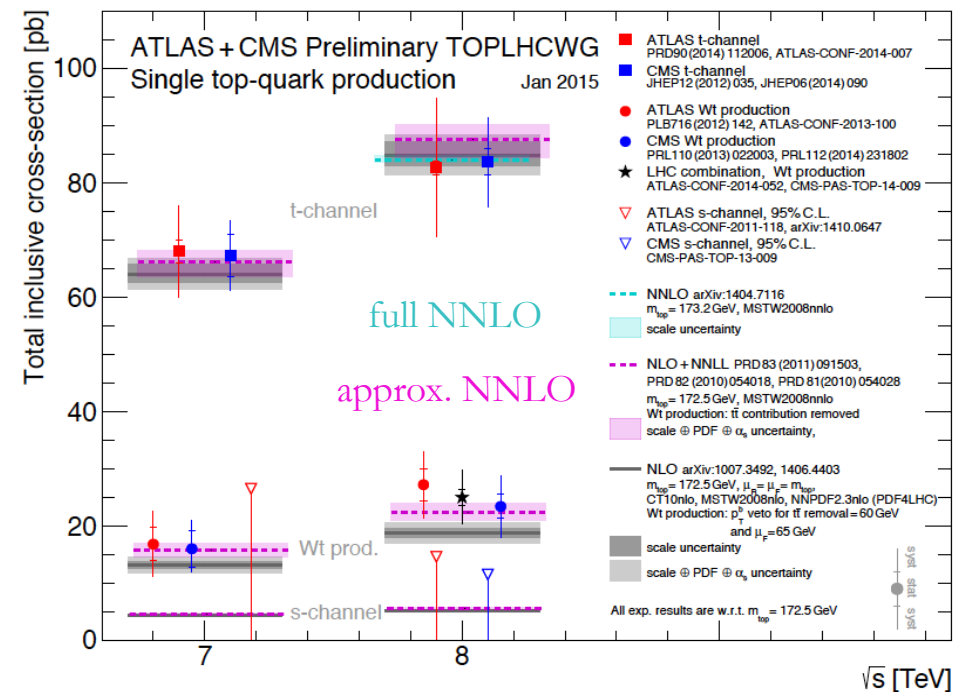


- at 13 TeV the increase in cross section is comparable to top pair production ( $\sim 3x$ )
- measurement of  $t\bar{t}$  / tW interference

- **s-channel production upper limit**



- disfavored at 13 TeV: not for early data
- combination 7+8 TeV data, use of more control regions to constrain the backgrounds, possibly move to NLO  $t\bar{t}$  generators to benefit from reduced scale uncertainty



Good agreement with the approx. NNLO calculations  
(full NNLO for t-channel)





# Backup



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# Inclusive cross section @ 7 TeV



*Phys.Rev.Lett 110, 022003 (2013)*

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- **Fit to a BDT multivariate discriminant** simultaneously in the signal region (1j1t) and in control regions (2j1t and 2j2t),  $t\bar{t}$  background dominated. The control regions help to constrain the b-tagging and JES uncertainties on the measurement.

## Results

$$\sigma_{tW} = 16^{+5}_{-4} \text{ pb}$$

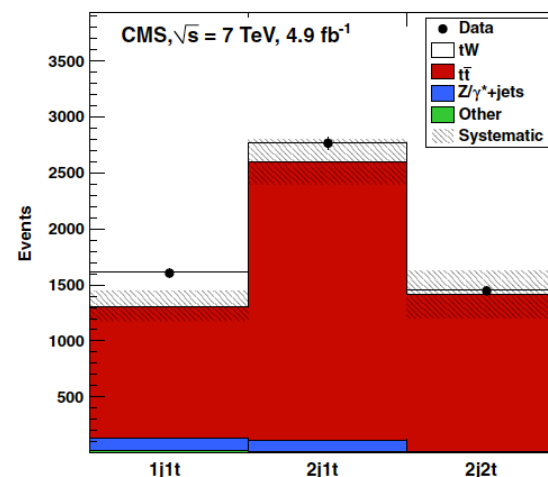
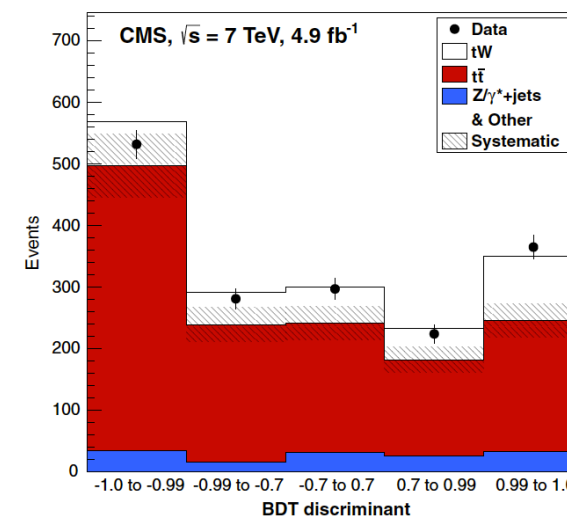
$$|V_{tb}| = 1.01^{+0.16}_{-0.13} (\text{exp.})^{+0.03}_{-0.04} (\text{th.}),$$

$$\text{Significance} = 4.0\sigma \text{ (} 3.6^{+0.8}_{-0.9} \sigma \text{ expected)}$$

SM expectation:  $\sigma_{tW} = 15.6 \pm 0.4 \pm 1.1 \text{ pb}$

- **Main uncertainties:** statistical (21%), jet energy scale (15%), matching thresholds (10%)

Integrated luminosity = 4.9 fb<sup>-1</sup>



7/23/15

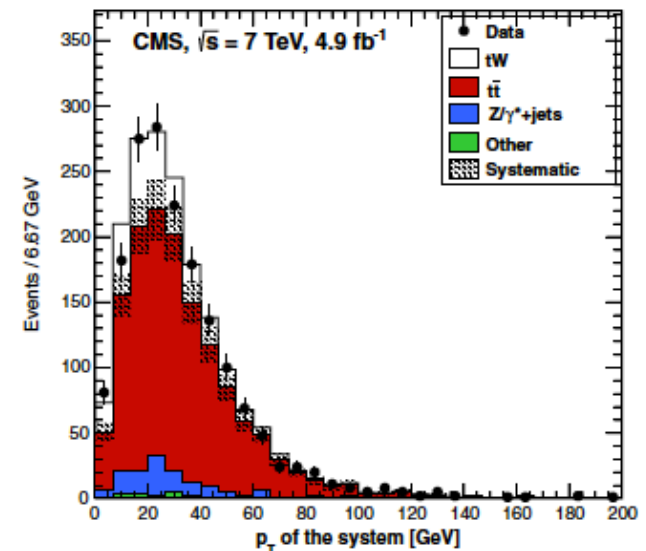
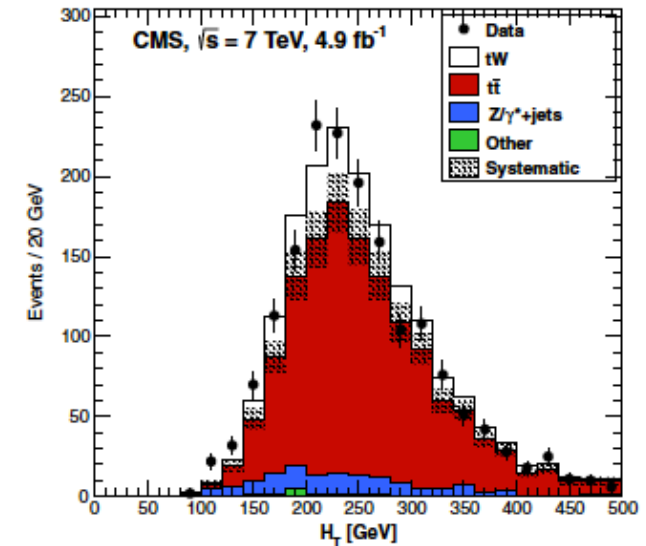


# tW-associated @ 7 TeV



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Systematic Uncertainty	$\Delta\sigma$ (pb)	$\frac{\Delta\sigma}{\sigma}$
Luminosity	0.69	0.04
Pileup modeling	0.24	0.02
Electron trigger efficiency	0.35	0.02
Muon trigger efficiency	0.38	0.02
Electron identification	0.70	0.04
Muon identification	0.45	0.03
b-tagging	0.30	0.02
Jet Energy Scale	2.42	0.15
Jet Energy Resolution	0.58	0.04
$E_T^{\text{miss}}$ modeling	0.40	0.05
tW $Q^2$	0.34	0.02
$t\bar{t}$ $Q^2$	0.29	0.02
ME/PS Matching Thresholds	1.62	0.10
tW DR/DS scheme	0.94	0.06
PDF uncertainties	0.34	0.02
$t\bar{t}$ cross section	0.96	0.06
$Z/\gamma^*$ modeling	0.67	0.04
Statistical	3.33	0.21
Total	4.95	0.31





# tW-associated @ 8 TeV



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BDT input  
variables

Variable Name	Description
# of loose jets	Number of loose jets, $p_T > 20$ GeV, $ \eta  < 4.9$
# of central loose jets	Number of loose jets, $p_T > 20$ GeV, $ \eta  < 2.4$
# of b-tagged loose jets	Number of loose jets, $p_T > 20$ GeV, $q_b$ -tagged, $ \eta  < 2.4$
$p_T^{\text{sys}}$	Vector sum of $p_T$ of leptons, jet, and $E_T^{\text{miss}}$
$H_T$	Scalar sum of $p_T$ of leptons, jet, and $E_T^{\text{miss}}$
$p_T(\text{jet})$	$p_T$ of the leading, tight, b-tagged jet
$p_T(\text{loose jet})$	$p_T$ of leading loose jet, defined as 0 for events with no loose jet present
$p_T^{\text{sys}}/H_T$	Ratio of $p_T^{\text{sys}}$ to $H_T$ for the event
$m_{\text{sys}}$	Invariant mass of the combination of the leptons, jet, and $E_T^{\text{miss}}$
Centrality(j $\ell\ell$ )	Centrality of jet and leptons, defined as ratio of transverse to total energy
$H_T(\text{leptons})/H_T$	Ratio of scalar sum of $p_T$ of the leptons to the $H_T$ of full system
$p_T(\text{j}\ell\ell)$	Vector sum of $p_T$ of jet and leptons
$E_T^{\text{miss}}$	Missing transverse energy in the event

systematic  
uncertainties table

Systematic uncertainty	$\Delta\sigma$ (pb)	$\Delta\sigma/\sigma$	Notes
ME/PS matching thresholds	3.3	14%	Matching threshold $2\times$ and $1/2\times$ nominal 20 GeV value in $t\bar{t}$ simulation
Renormalization/factorization scale	2.9	12%	Scale value $2\times$ and $1/2\times$ nominal value of $m_t^2 + \sum p_T^2$ in $t\bar{t}$ and $tW$ simulation
Top-quark mass	2.2	9%	$m_t$ varied in $tW$ and $t\bar{t}$ simulation by $\pm 2$ GeV
Fit statistical	1.9	8%	Remaining uncertainty in fit when all other systematic uncertainties are removed
Jet energy scale	0.9	4%	Jet energy scale varied up/down
Luminosity	0.7	3%	2.6% uncertainty in the measured luminosity
Z+jets data/simulation scale factor	0.6	3%	Varying scale factors used for correcting Z+jets $E_T^{\text{miss}}$ simulation
$tW$ DR/DS scheme	0.5	2%	Difference between DR and DS scheme used for defining $tW$ signal
$t\bar{t}$ cross section	0.4	2%	Uncertainty in the cross section of $t\bar{t}$ production
Lepton identification	0.4	2%	Uncertainty in scale factors for lepton efficiencies between data/simulation
PDF	0.4	2%	From choice of PDF
Jet energy resolution	0.2	1%	Energy resolution for jets varied up/down
b-tagging data/simulation scale factor	0.2	<1%	Variations in scale factors
$t\bar{t}$ spin correlations	0.1	<1%	Difference between $t\bar{t}$ simulation with/without spin correlations
Pileup	0.1	<1%	Varying effect of pileup
Top-quark $p_T$ reweighting	0.1	<1%	Uncertainty due to differences in top quark $p_T$ between data and $t\bar{t}$ simulation
$E_T^{\text{miss}}$ modeling	0.1	<1%	Uncertainty in amount of unclustered $E_T^{\text{miss}}$
Lepton energy scale	0.1	<1%	Uncertainty in energy of leptons
Total	5.5	24%	



# tW-associated @ 8 TeV: Combination



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Category	ATLAS		CMS		$\rho$
Data statistics	Data statistics	7.1%	Fit statistics	8.1%	0.0
Category subtotal		7.1%		8.1%	0.0
Simulation statistics	Sim. statistics	2.8%	Sim. statistics	2.4%	0.0
Category subtotal		2.8%		2.4%	0.0
Luminosity		3.7%		3.0%	—
Category subtotal		3.7%		3.0%	0.31
Theory modeling	ISR/FSR	5.9%	Ren./fact. scale	12.4%	1.0
	$tW$ gen. and PS	11.0%			—
	$t\bar{t}$ gen. and PS	7.5%	ME/PS match. thr.	14.1%	1.0
	PDF	2.5%	PDF	1.7%	1.0
	$tW/t\bar{t}$ overlap	1.4%	DR/DS scheme	2.1%	1.0
			Top $p_T$ reweight.	0.4%	—
Category subtotal		14.8%		19.0%	0.66
Background normalization	bkg. mod.	3.6%	$t\bar{t}$ cross section	1.7%	0.0
			Z+jets	2.6%	—
Category subtotal		3.6%		3.1%	0.0
Jets	JES common	10.0%	JES	3.8%	0.0
	JES flavour	5.0%			—
	Jet id	0.2%			—
	Jet res.	0.7%	Jet resolution	0.9%	0.0
Category subtotal		11.2%		3.9%	0.0
Detector modeling	Lepton modeling	2.4%	Lepton modeling	1.8%	0.0
	MET scale	4.1%	MET modeling	0.4%	0.0
	MET resolution	4.5%			—
	$b$ -tagging	8.4%	$b$ tagging	0.9%	0.5
			Pileup	0.4%	—
Category subtotal		10.6%		2.0%	0.17
Total		23.3%		21.7%	0.38

7/23/15



# s-channel @ 8 TeV: event yield table



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Process	$\mu$ 3-jets 2-tags	$\mu$ 2-jets 2-tags	$e$ 3-jets 2-tags	$e$ 2-jets 2-tags
$t\bar{t}$	$10043 \pm 604$	$3144 \pm 189$	$8010 \pm 494$	$2483 \pm 154$
$W + jets$	$446 \pm 92$	$449 \pm 93$	$370 \pm 76$	$361 \pm 77$
$Z + jets$	$112 \pm 32$	$65 \pm 20$	$97 \pm 29$	$89 \pm 27$
Diboson	$36 \pm 8$	$45 \pm 10$	$33 \pm 7$	$37 \pm 8$
QCD	$353 \pm 74$	$209 \pm 52$	$222 \pm 19$	$363 \pm 69$
$tW$	$336 \pm 28$	$102 \pm 11$	$259 \pm 22$	$105 \pm 11$
$t$ -channel	$949 \pm 61$	$271 \pm 18$	$750 \pm 49$	$217 \pm 15$
s-channel	$87 \pm 5$	$168 \pm 10$	$70 \pm 4$	$131 \pm 8$
Total MC	$12361 \pm 750$	$4455 \pm 286$	$9811 \pm 606$	$3786 \pm 253$
Data	11979	4450	10149	3884





# s-channel @ 8 TeV: fit results by channel



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$$\begin{aligned}\sigma_{s\text{-ch.}} &= 5.9 \pm 7.1(\text{exp.}) \pm 5.0(\text{th.}) \text{ pb} = 5.9 \pm 8.7 \text{ pb} && \text{muon channel} \\ \sigma_{s\text{-ch.}} &= 6.9 \pm 5.6(\text{exp.}) \pm 6.5(\text{th.}) \text{ pb} = 6.9 \pm 8.7 \text{ pb} && \text{electron channel} \\ \sigma_{s\text{-ch.}} &= 6.2 \pm 5.4(\text{exp.}) \pm 5.9(\text{th.}) \text{ pb} = 6.2 \pm 8.0 \text{ pb} && \text{combined}\end{aligned}$$

$$\begin{aligned}\sigma_{s\text{-ch.}} &= 5.9^{+8.6}_{-5.1} \text{ pb} && \text{muon channel} \\ \sigma_{s\text{-ch.}} &= 6.9^{+8.7}_{-5.7} \text{ pb} && \text{electron channel} \\ \sigma_{s\text{-ch.}} &= 6.2^{+8.0}_{-5.1} \text{ pb} && \text{combined}\end{aligned}$$

$$\begin{aligned}\sigma_{s\text{-ch.}} &< 12.4 \quad (18.4, \quad 10.5) \text{ pb} && \text{muon channel} \\ \sigma_{s\text{-ch.}} &< 14.7 \quad (23.2, \quad 15.4) \text{ pb} && \text{electron channel} \\ \sigma_{s\text{-ch.}} &< 11.5 \quad (17.0, \quad 9.0) \text{ pb} && \text{combined}\end{aligned}$$



# s-channel @ 8 TeV: BDT input variables



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2j2t BDT input variables  
electron channel

Variable	Description
$m_T$	transverse W-boson mass
$\Delta\Phi_{\text{top},b'}$	difference in azimuthal angle between top and recoiled b-tagged jet
$E_T$	missing transverse energy
$M_{\ell b2}$	invariant mass of the lepton and the second-to-leading b-tagged jet
$\cos\theta^*$	cosine of the angle between the lepton and the b-tagged jet recoiling against the top-quark, in the top rest frame
$p_T^{\text{bb}}$	vector sum of $p_T$ of the two b-tagged jets
$\Delta R_{\text{bb}}(*)$	angular separation between the two b-tagged jets
$p_T^\ell$	transverse momentum of the lepton
$m_{\ell\nu b\text{-best}}$	invariant mass of lepton, neutrino and one of the b-tagged jets reconstructed with the best-mass top method, as described in Sec.2
$\Delta R_{b'\ell}$	angular separation between the b-tagged jet recoiling against the top quark and the lepton
$H_T$	scalar sum of $p_T$ of all jets

$$(*) \quad \Delta R = \sqrt{(\Delta\eta)^2 + (\Delta\phi)^2}$$

2j2t BDT input variables  
muon channel

Variable	Description
$p_T^{\text{bb}}$	vector sum of $p_T$ of the two b-tagged jets
$m_{\ell\nu b\text{-best}}$	invariant mass of lepton, neutrino and one of the b-tagged jets reconstructed with the best-mass top method, as described in Sec.2
$m_T$	transverse W-boson mass
$M_{\ell b2}$	invariant mass of the lepton and the second-to-leading b-tagged jet
$E_T$	missing transverse energy
$\Delta\Phi_{\text{top},b'}$	difference in azimuthal angle between top and the recoiled b-tagged jet
$\cos\theta_l$	cosine of the angle between the lepton and the beam axis in top-quark rest frame
$\Delta R_{\text{bb}}$	angular separation between the two b-tagged jets
$H_T$	scalar sum of $p_T$ of all jets
$p_T^\ell$	transverse momentum of the lepton