



*Luca Stanco,
INFN-Padova
(for the OPERA collaboration)*



Search for sterile neutrinos at Long-BL

- The present scenario and the “sterile” issue at 1 eV mass scale
- OPERA results for $\nu_\mu \rightarrow \nu_\tau$ and $\nu_\mu \rightarrow \nu_e$ searches
- Other results from MINOS and SuperK
- Perspectives and Conclusions



[Home](#)

[Programme](#)

[Venue](#)

[Events](#)

[Registration](#)

[Contributions](#)

[Travel](#)

EUROPEAN PHYSICAL SOCIETY
CONFERENCE ON HIGH ENERGY PHYSICS 2015
22 - 29 JULY 2015
VIENNA, AUSTRIA



The “sterile” issue

From masses to flavours:

$$|\nu_e\rangle = U_{e1}|\nu_1\rangle + U_{e2}|\nu_2\rangle + U_{e3}|\nu_3\rangle$$

$$|\nu_\mu\rangle = U_{\mu1}|\nu_1\rangle + U_{\mu2}|\nu_2\rangle + U_{\mu3}|\nu_3\rangle$$

$$|\nu_\tau\rangle = U_{\tau1}|\nu_1\rangle + U_{\tau2}|\nu_2\rangle + U_{\tau3}|\nu_3\rangle$$

\mathbf{U} is the 3×3 Neutrino Mixing Matrix
mixing given by 3 angles, $\theta_{23}, \theta_{12}, \theta_{13}$

transition amplitudes driven by

$$\Delta m_{\text{solar}}^2 = \Delta m_{21}^2$$

$$\Delta m_{\text{atm}}^2 = |\Delta m_{31}^2| \approx |\Delta m_{32}^2|$$

The wonderful frame pinpointed for the 3 standard neutrinos, beautifully adjusted by the θ_{13} measurement, left out some relevant questions:

- Leptonic CP violation
- Mass values
- Dark Matter
- Anomalies and discrepancies in several results

The “sterile” issue (cnt.)

The previous picture is working wonderfully.
So it should stay whenever extensions are allowed !

Exploit 3+1 or even 3+2 oscillating models, by adding one or more “sterile” neutrinos

$$\begin{bmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{bmatrix} \begin{matrix} \rightarrow \\ \rightarrow \end{matrix} \begin{cases} P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2 2\theta_{\alpha\beta} \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E} \right) \\ \text{APPEARANCE} \\ P(\nu_\alpha \rightarrow \nu_\alpha) = 1 - \sin^2 2\theta_{\alpha\alpha} \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E} \right) \\ \text{DISAPPEARANCE} \end{cases}$$

when $\Delta m_{21}^2 \ll \Delta m_{31}^2 \ll \Delta m_{41}^2$ and $|U_{s4}|^2 \leq 1$

with $\sin^2 2\theta_{e\mu} = 4|U_{e4}|^2|U_{\mu4}|^2$ and
for **APPEARANCE**

$$\begin{aligned} \sin^2 2\theta_{ee} &= 4|U_{e4}|^2(1 - |U_{e4}|^2) \\ \sin^2 2\theta_{\mu\mu} &= 4|U_{\mu4}|^2(1 - |U_{\mu4}|^2) \end{aligned}$$

for **DISAPPEARANCE**

sterile: not weakly interacting neutrinos (B. Pontecorvo, JETP, 53, 1717, 1967)

The “sterile” issue (cnt.)

- Experimental hints for more than 3 standard neutrinos, at eV scale
- Strong tension with any formal extension of 3x3 mixing matrix

ν_e disappearance

Reactor anomaly $\sim 2.5\sigma$

Re-analysis of data on anti-neutrino flux from reactor short-baseline ($L \sim 10-100$ m) shows a small deficit of

$$R = 0.943 \pm 0.023$$

G. Mention et al, Phys.Rev.D83, 073006 (2011), A. Mueller et al. Phys.Rev.C 83, 054615 (2011).

Gallex/SAGE anomaly $\sim 3\sigma$

Deficit observed by Gallex in neutrinos coming from a ^{51}Cr and ^{37}Ar sources

$$R = 0.76 + 0.09 - 0.08$$

C. Giunti and M. Laveder, Phys.Rev. C83, 065504 (2011), arXiv:1006.3244

ν_e appearance

Accelerator anomaly $\sim 3.8\sigma$

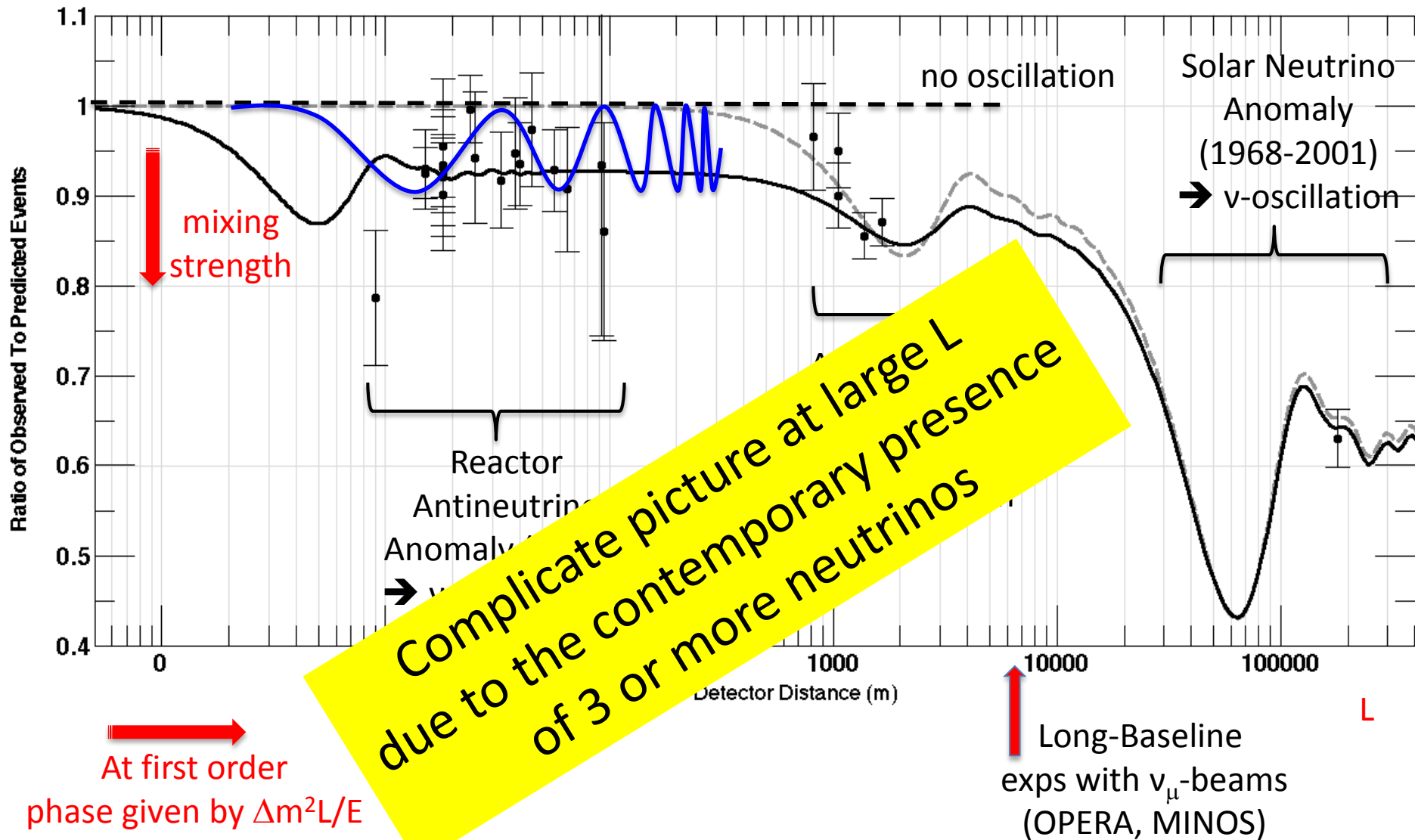
Appearance of anti- ν_e in a anti- ν_μ beam (LSND). *A. Aguilar et al. LSND Collaboration Phys.Rev.D 64 112007 (2001).*

Confirmed (?) by miniBooNE (which also sees appearance of ν_e in a ν_μ beam) *A. Aguilar et al. (MiniBooNE Collaboration) Phys.Rev.Lett. 110 161801 (2013)*

No hint so far for

- ν_μ disappearance
- ν_τ appearance/disappearance

The oscillation scenario in terms of distance L from the source



→ for $L/E \gg 1$ the disappearance averages to $\frac{1}{2}$ of mixing strength: $\sin^2(\Delta m^2 L/4E) = 0.5$

Tau appearance in the presence of a sterile neutrino (3+1)

$$\Delta_{ij} = \frac{\Delta m_{ij}^2 L}{2E}$$

neglect solar driven oscillation $\Delta_{21} \sim 0$

~ standard oscillation

pure exotic oscillation

$$P_{\nu_\mu \rightarrow \nu_\tau} = 4|U_{\mu 3}|^2|U_{\tau 3}|^2 \sin^2 \frac{\Delta_{31}}{2} + 4|U_{\mu 4}|^2|U_{\tau 4}|^2 \sin^2 \frac{\Delta_{41}}{2} + 2\Re[U_{\mu 4}^* U_{\tau 4} U_{\mu 3} U_{\tau 3}^*] \sin \Delta_{31} \sin \Delta_{41} - 4\Im[U_{\mu 4}^* U_{\tau 4} U_{\mu 3} U_{\tau 3}^*] \sin^2 \frac{\Delta_{31}}{2} \sin \Delta_{41} + 8\Re[U_{\mu 4}^* U_{\tau 4} U_{\mu 3} U_{\tau 3}^*] \sin^2 \frac{\Delta_{31}}{2} \sin^2 \frac{\Delta_{41}}{2} + 4\Im[U_{\mu 4}^* U_{\tau 4} U_{\mu 3} U_{\tau 3}^*] \sin \Delta_{31} \sin^2 \frac{\Delta_{41}}{2}$$

interference terms

$$P(\text{Energy}) = C^2 \sin^2 \frac{\Delta_{31}}{2} + \sin^2 2\theta_{\mu\tau} \sin^2 \frac{\Delta_{41}}{2}$$

$$C = 2|U_{\mu 3}||U_{\tau 3}|$$

$$\phi = \text{Arg}(U_{\mu 3}^* U_{\tau 3}^* U_{\mu 4} U_{\tau 4}^*)$$

$$\sin 2\theta_{\mu\tau} = 2|U_{\mu 4}||U_{\tau 4}|$$

(effective mixing)
CP-violating

$$+ \frac{1}{2} C \sin 2\theta_{\mu\tau} \cos \phi_{\mu\tau} \sin \Delta_{31} \sin \Delta_{41}$$

$$- C \sin 2\theta_{\mu\tau} \sin \phi_{\mu\tau} \sin^2 \frac{\Delta_{31}}{2} \sin \Delta_{41}$$

$$+ 2 C \sin 2\theta_{\mu\tau} \cos \phi_{\mu\tau} \sin^2 \frac{\Delta_{31}}{2} \sin^2 \frac{\Delta_{41}}{2}$$

$$+ C \sin 2\theta_{\mu\tau} \sin \phi_{\mu\tau} \sin \Delta_{31} \sin^2 \frac{\Delta_{41}}{2}$$

Mass Hierarchy dependence

At Long-Baselines and eV mass scale: $\sin \Delta_{41} \approx 0$

$$\sin^2 \frac{\Delta_{41}}{2} \approx \frac{1}{2}$$

$$P(\text{Energy}) = C^2 \sin^2 \frac{\Delta_{31}}{2} + \frac{1}{2} \sin^2 2\theta_{\mu\tau}$$

$$+ C \sin 2\theta_{\mu\tau} \cos \phi_{\mu\tau} \sin^2 \frac{\Delta_{31}}{2}$$

$$+ \frac{1}{2} C \sin 2\theta_{\mu\tau} \sin \phi_{\mu\tau} \sin \Delta_{31}$$

Sensitive to mixing “sterile” angles, MH and phase CP-violation

Separate analyses for NH and IH and maximize Likelihood as $L(\phi_{\mu\tau}, \sin^2 \theta_{\mu\tau}, C^2)$

Use $|\Delta m_{31}^2| = 0.00243 \text{ eV}^2$ for NH and 0.00238 eV^2 for IH

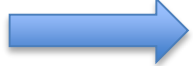
effective mixing of
sterile with ν_{μ} and ν_{τ}

effective mixing of
standard $\nu_{\mu} - \nu_{\tau}$
oscillation

OPERA search for ν_τ sterile “anomalies”

just published in *JHEP*, 6, 69 (2015) and *arXiv:1503.01876*, based on 4 taus' candidates

Separate analyses for “high” and low $|\Delta m_{41}^2|$

For $|\Delta m_{41}^2| > 1 \text{ eV}^2$  $\sin^2 2\theta_{\mu\tau} < 0.116$ at 90% C.L.
 when integrating over ϕ
 (quasi-equal results for NH and IH)
Note: 0.069 obtained if neglect interference terms

OPERA just observed a 5th candidate ! (*arXiv:1507.01417*, submitted to *PRL*)

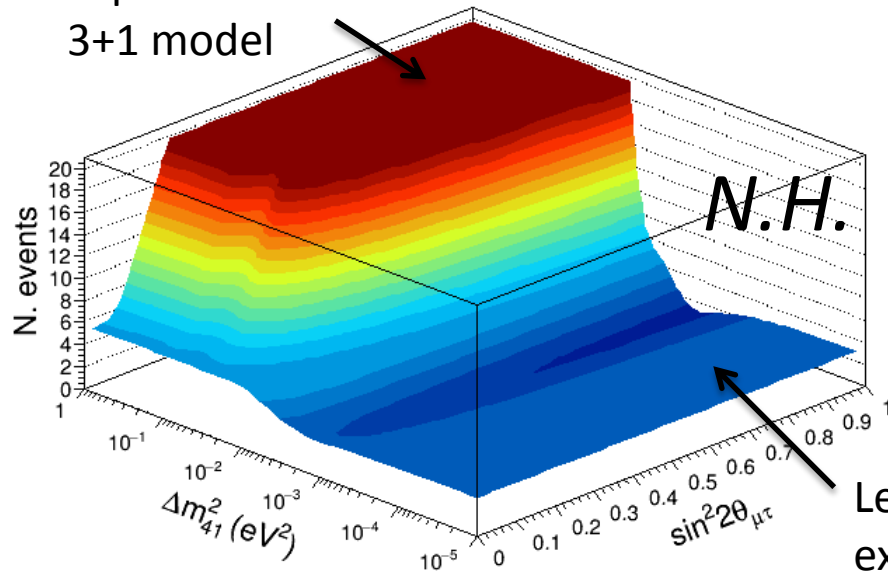
Channel	Expected background				Expected signal	Observed
	Charm	Had. re-interac.	Large μ -scat.	Total		
$\tau \rightarrow 1h$	0.017 ± 0.003	0.022 ± 0.006	—	0.04 ± 0.01	0.52 ± 0.10	3
$\tau \rightarrow 3h$	0.17 ± 0.03	0.003 ± 0.001	—	0.17 ± 0.03	0.73 ± 0.14	1
$\tau \rightarrow \mu$	0.004 ± 0.001	—	0.0002 ± 0.0001	0.004 ± 0.001	0.61 ± 0.12	1
$\tau \rightarrow e$	0.03 ± 0.01	—	—	0.03 ± 0.01	0.78 ± 0.16	0
Total	0.22 ± 0.04	0.02 ± 0.01	0.0002 ± 0.0001	0.25 ± 0.05	2.64 ± 0.53	5

NEW: preliminary update of the analysis with 5 ν_τ candidates

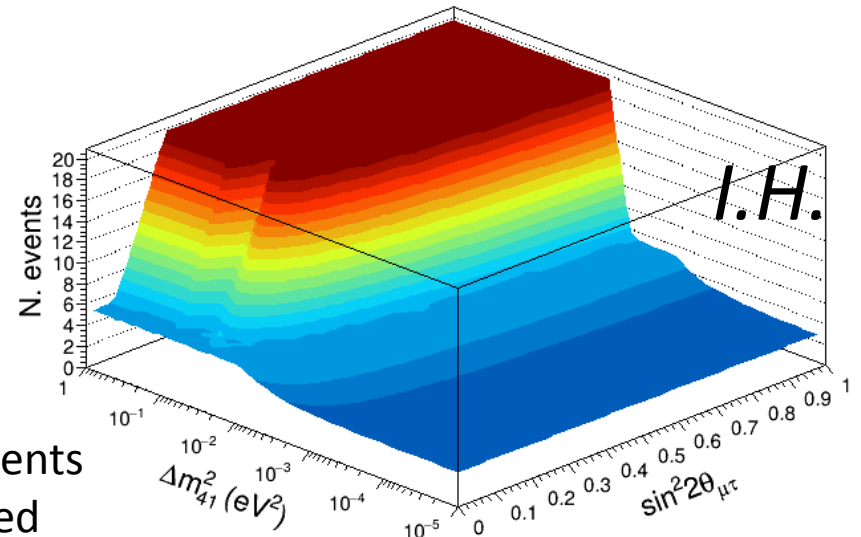
Interplay of interference



More events
expected from
3+1 model

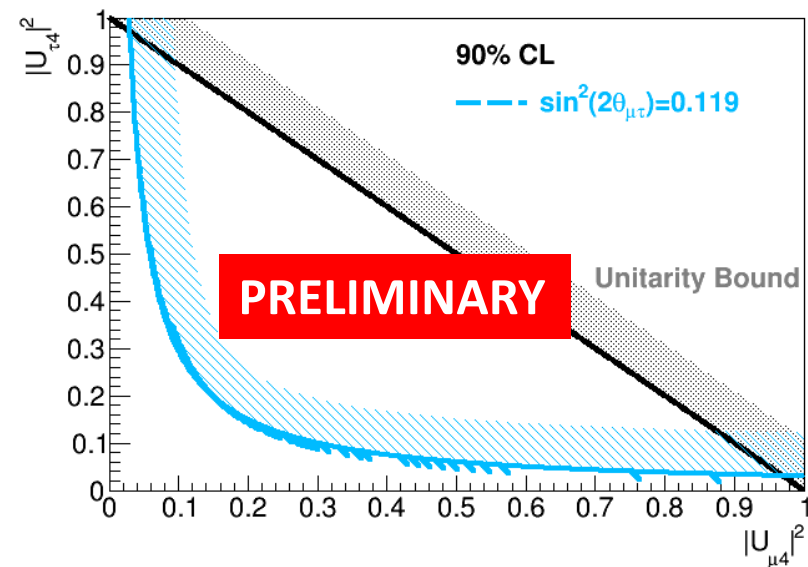
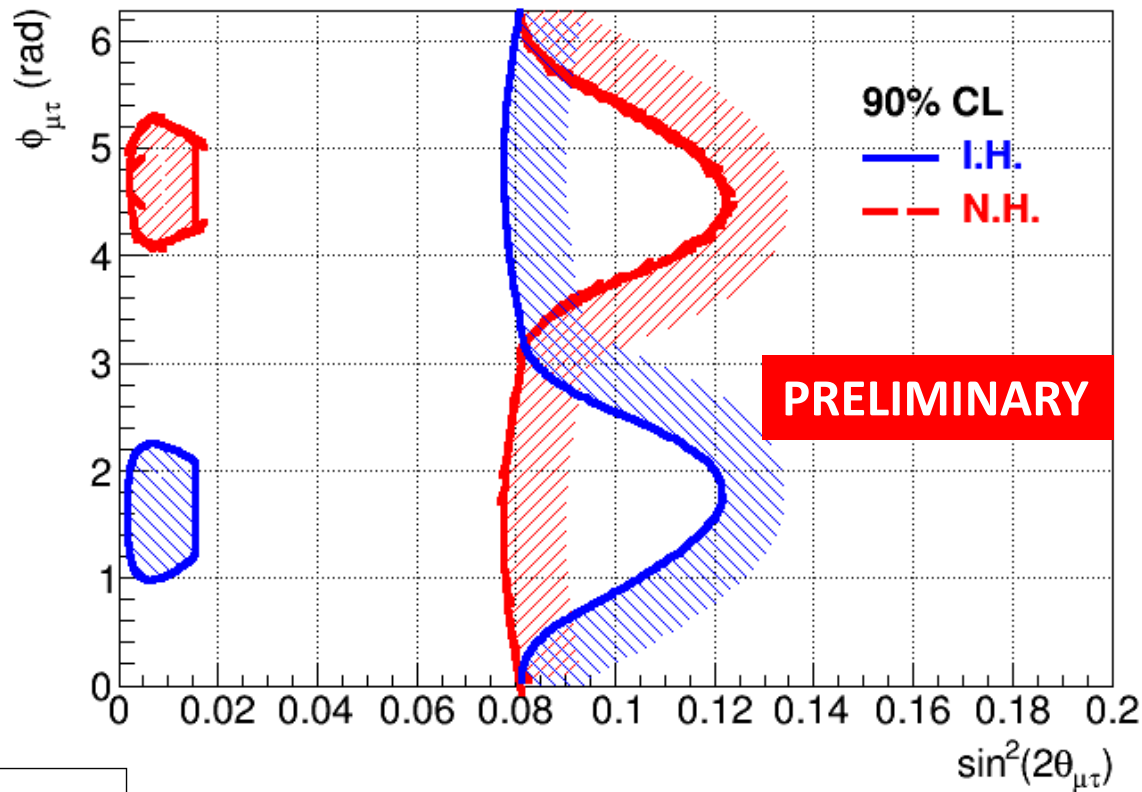


Less events
expected



For $|\Delta m_{41}^2| > 1 \text{ eV}^2$

(Raster-scan
à la Feldman&Cousins)



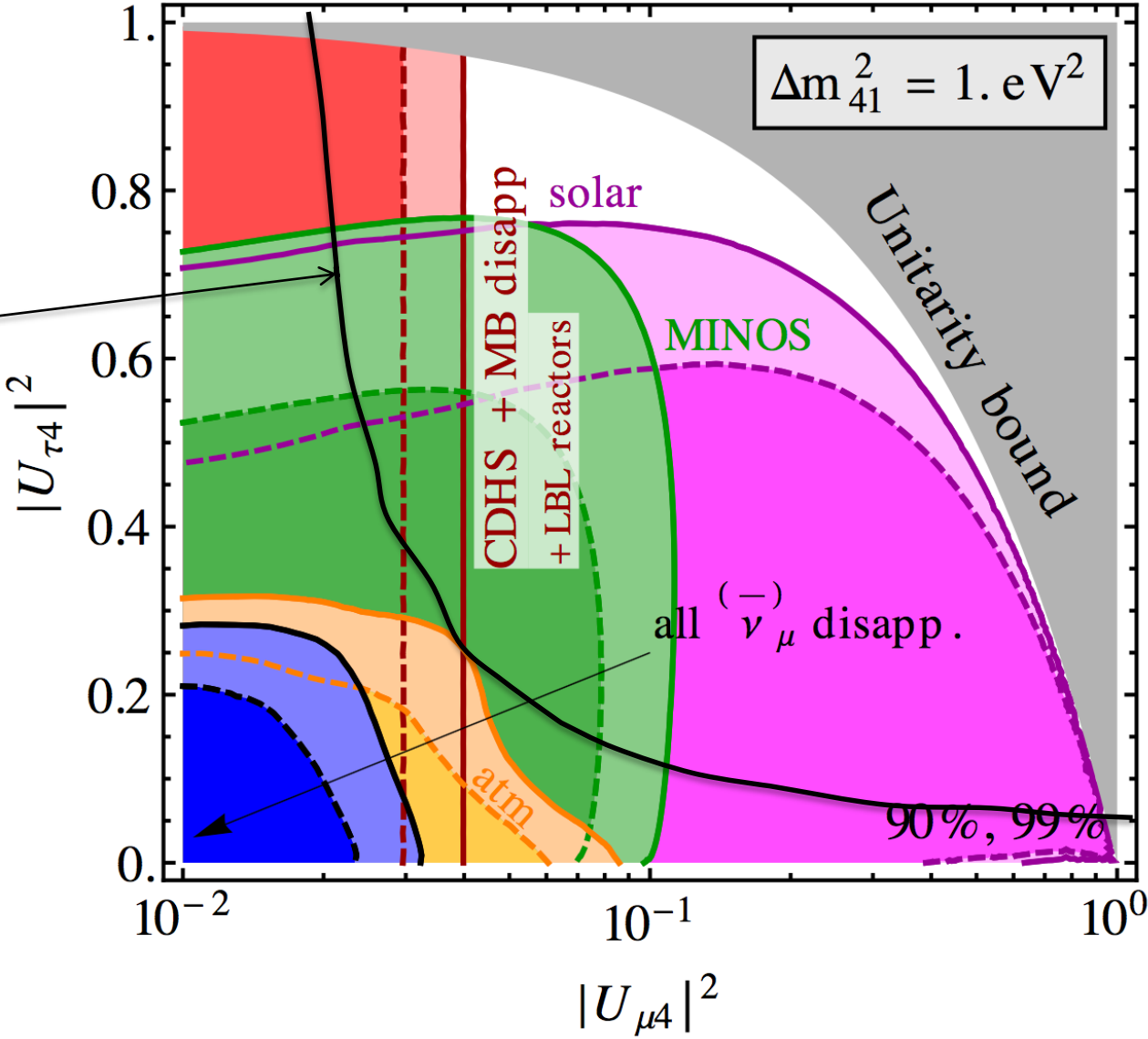
$\sin^2 2\theta_{\mu\tau} < 0.119$ at 90% C.L.
when integrating over ϕ
(quasi-equal results for NH and IH)

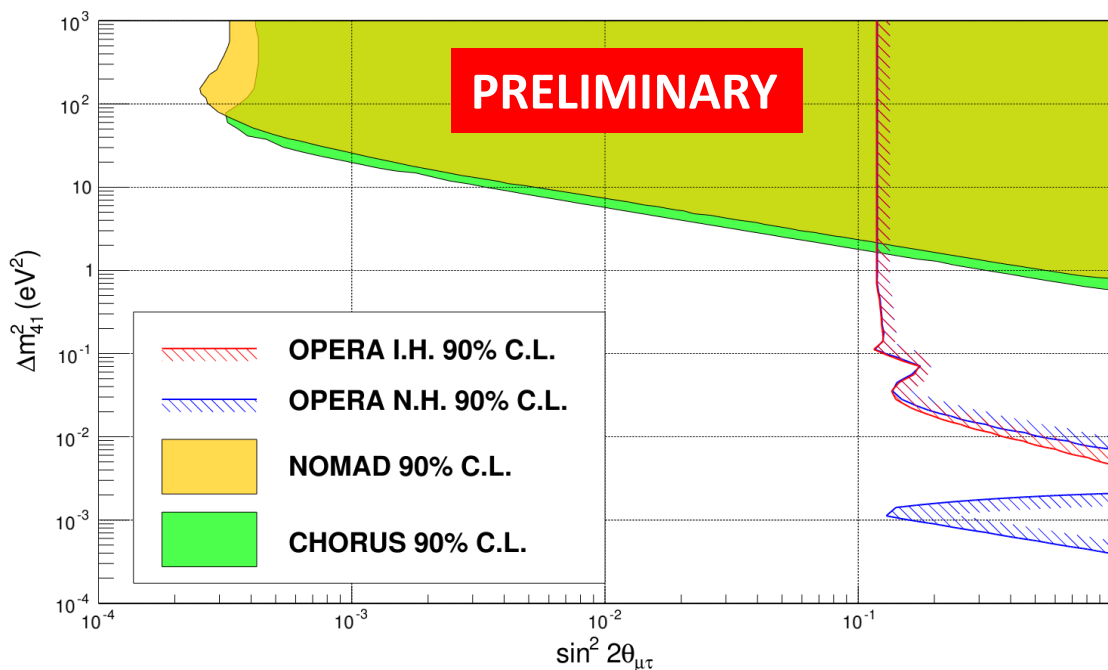
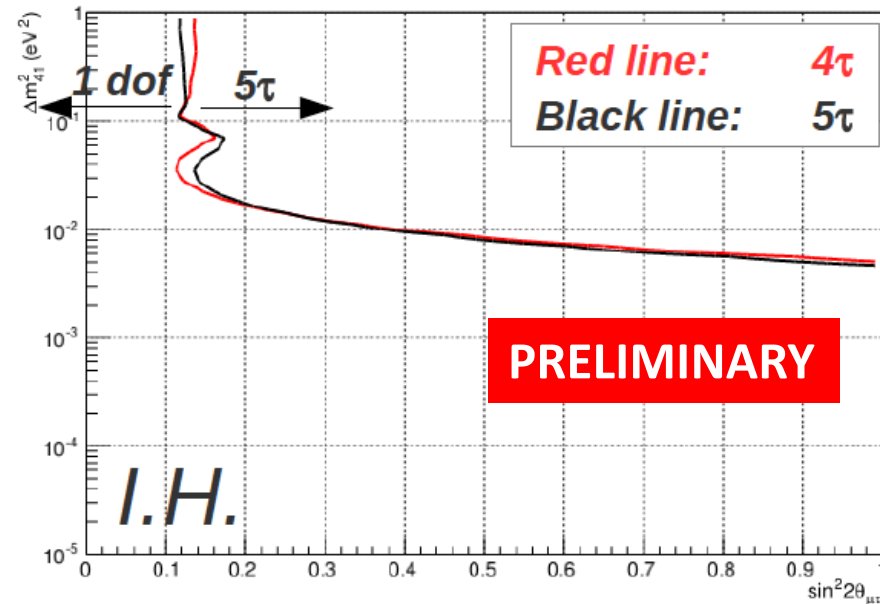
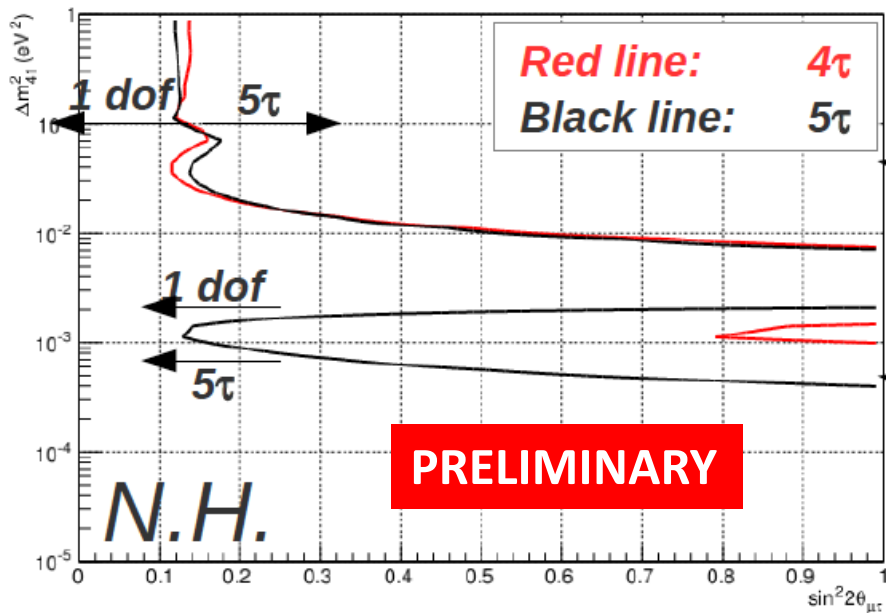
PRELIMINARY

Complementary measurement wrt disappearance experiments

OPERA PRELIMINARY

(just sketched)

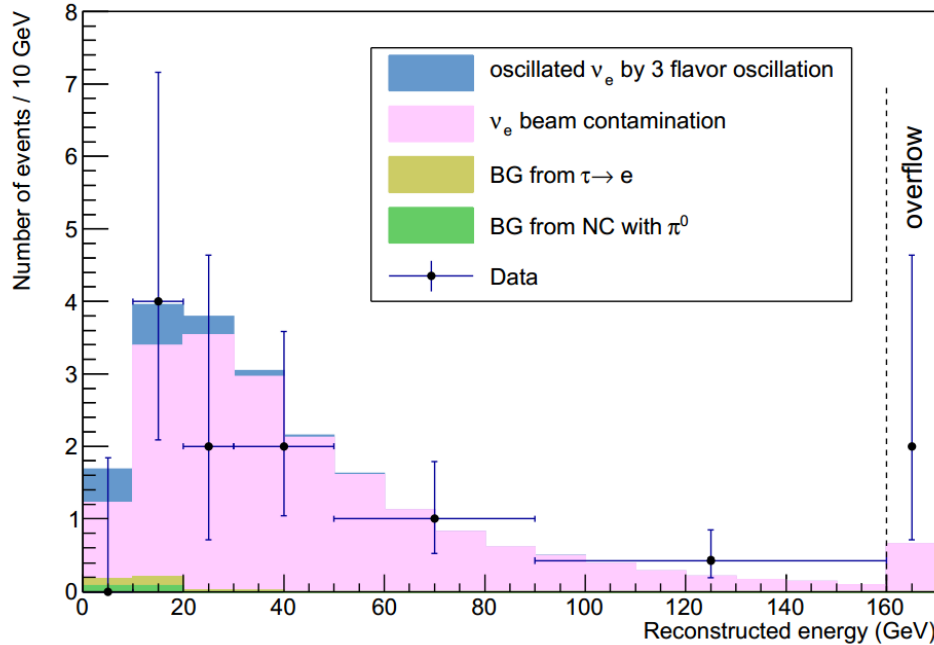




Full analysis with GLOBES
 (matter effects, Δm_{21}^2 included,
 profiled out on Δm_{31}^2)

OPERA can perform similar analysis based on ν_e observation

Old result from 2008+2009 data sample (30% of total): JHEP 4, 1307 (2013) and arXiv:1303.3953



	E < 20 GeV	
ν_e candidates	19	4
background	19.8 ± 2.8	4.6

Compatible with expectation from
intrinsic ν_e component in the
CNGS ν_μ beam: 0.9%



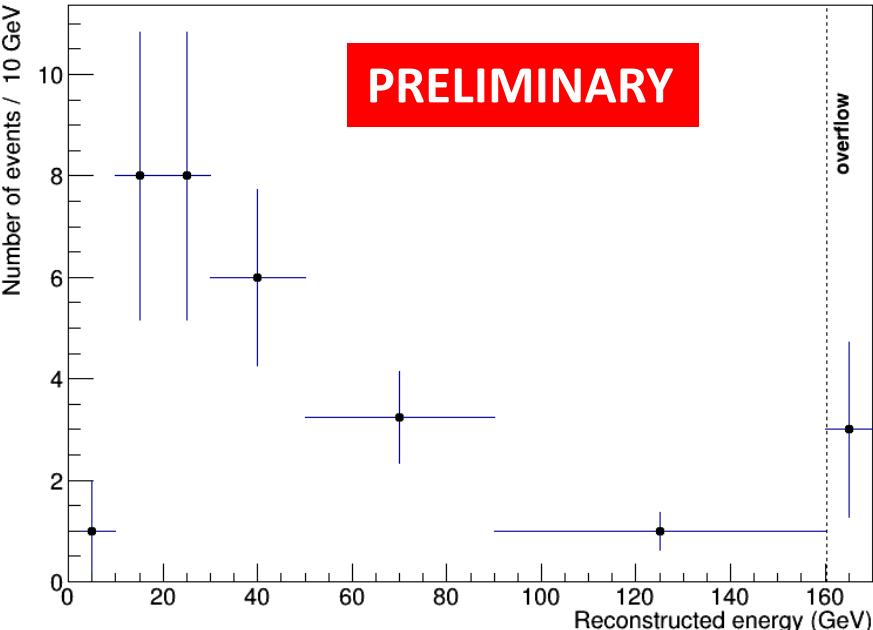
We may put rough limits to exclude
mixing on θ_{14} with a 2 flavour model

**Approximate analysis, see our previous result
and e.g. A.Palazzo, PRD 91, 91301(R) (2015)**

OPERA is extending the ν_e analysis on the full data sample !

ν_e candidates selected by Emulsion Analysis

OPERA ν_e candidates (preliminary plot)

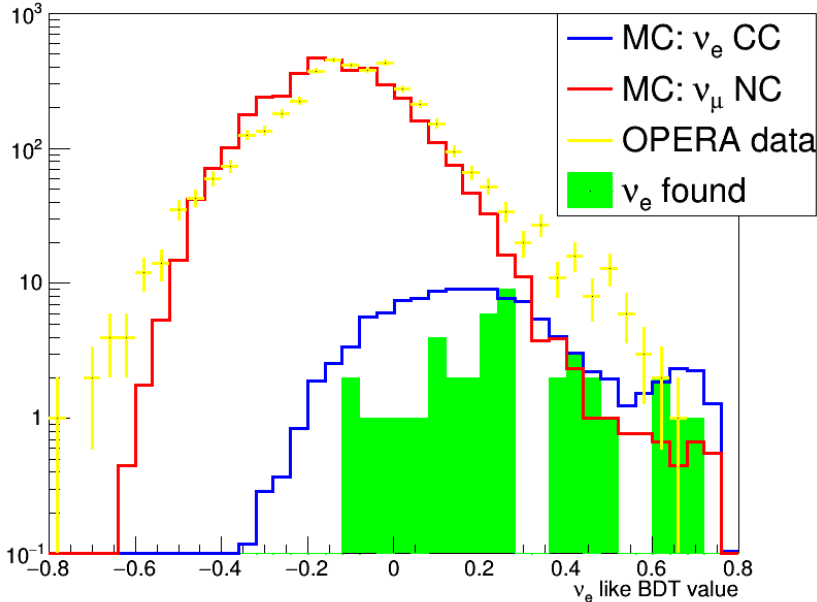


E < 20 GeV

ν_e candidates (30% data)	19	4
ν_e candidates (all data)	52	9

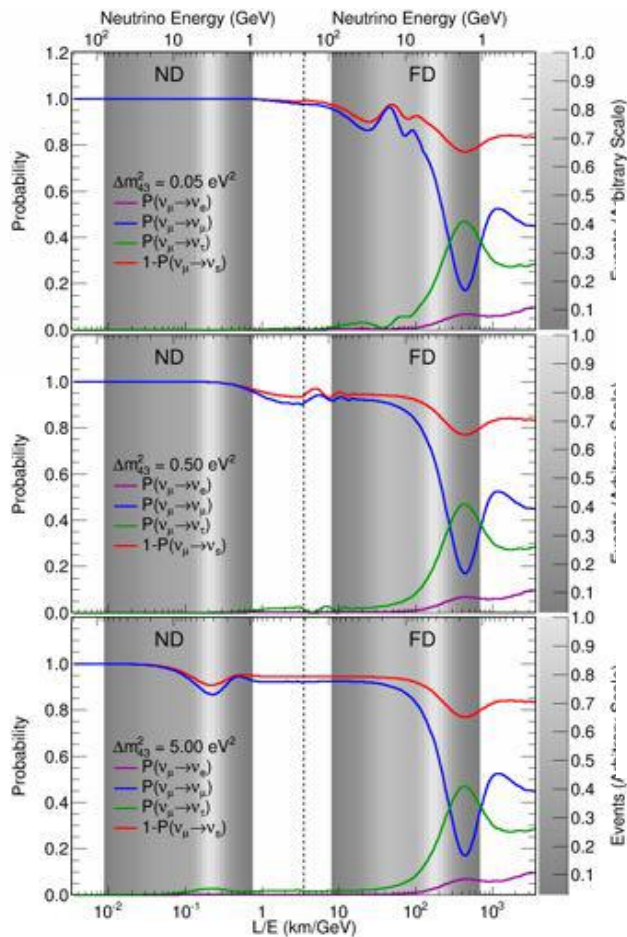
PRELIMINARY

OPERA data / MC comparison (ED level)



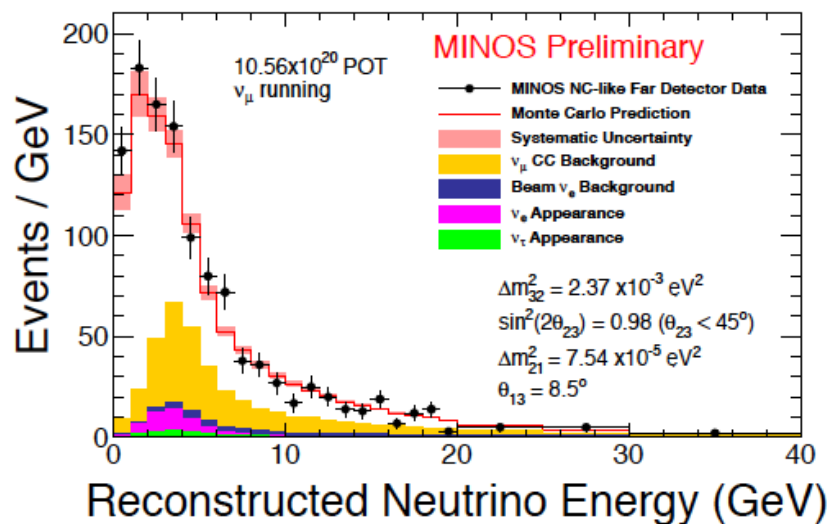
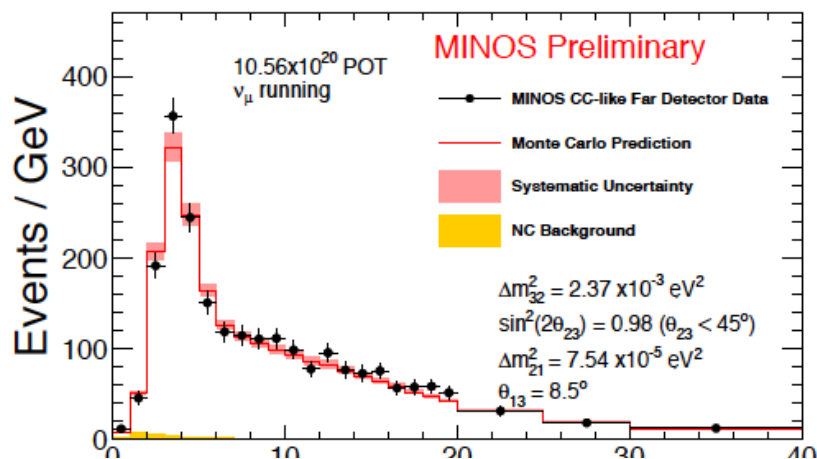
*Good confirmation of ν_e events
from Electronic Detectors
(via Boosted-Decision-Tree)*

MINOS+ on ν_μ sterile “anomalies”



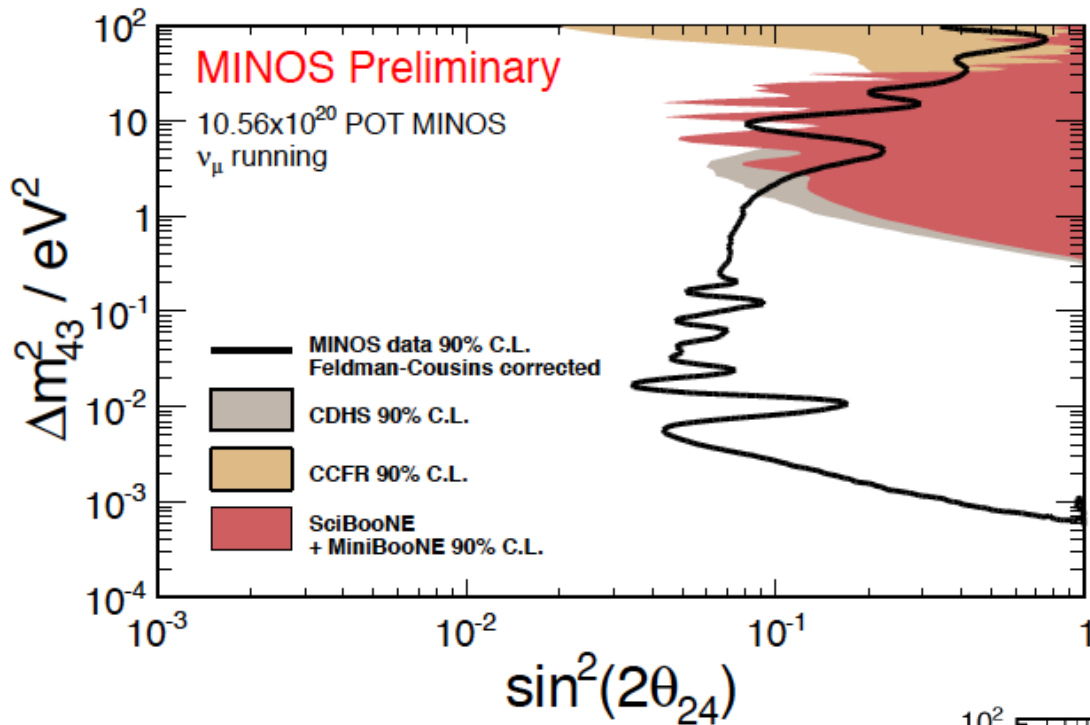
CC and NC searches:

- Excellent agreement with best-fit with 3 flavors
- Extended range in Δm^2 due to large energy span



arXiv:1502.07715

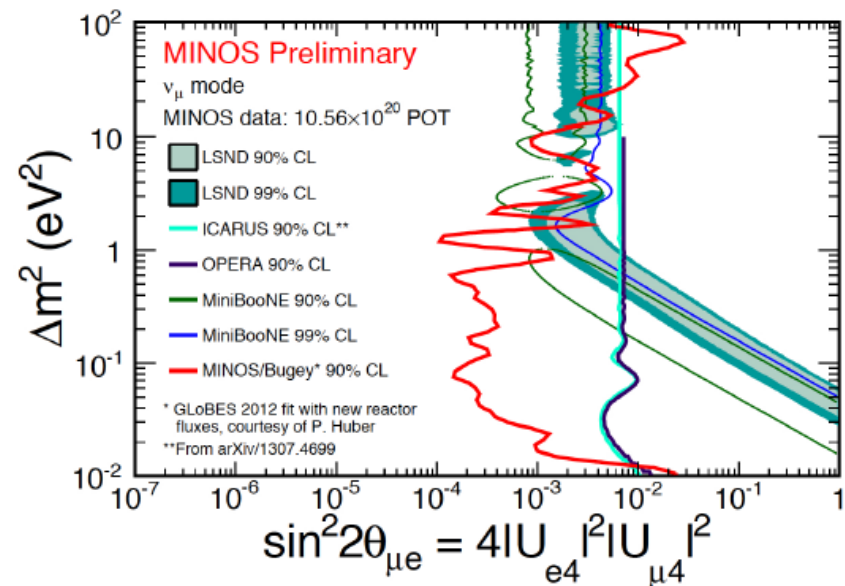
arXiv:1504.04046



increase tension with ν_e sterile “anomalies”



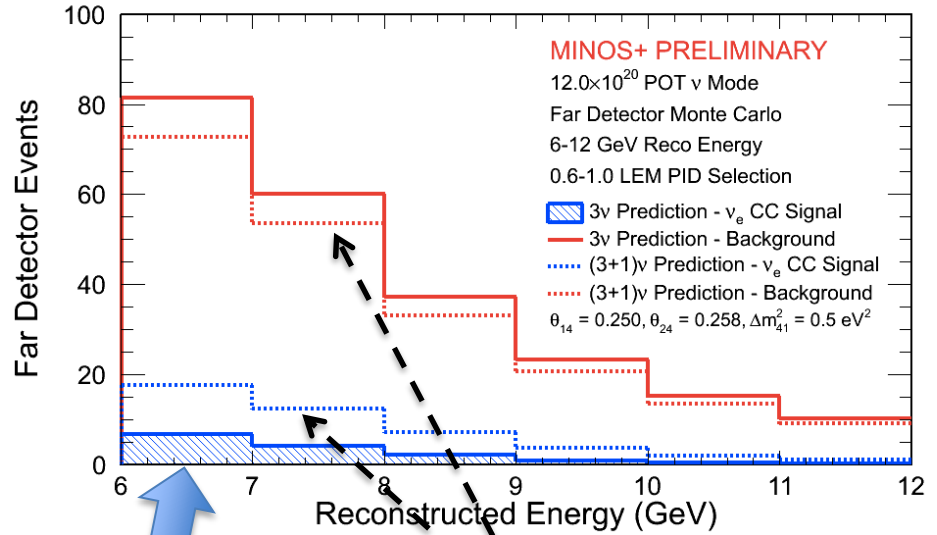
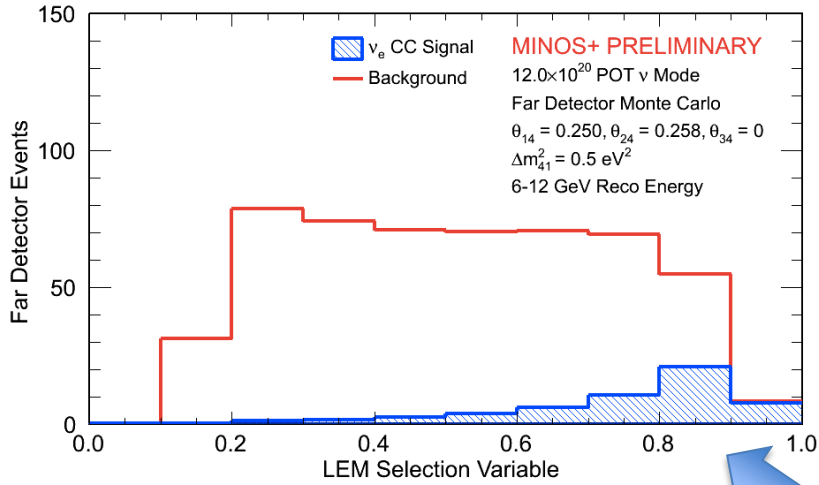
By combining MINOS 90% limit on θ_{24} to Bugey reactor experiment limit on θ_{14}



Ongoing work on anti- ν_μ mode running

Ongoing Analysis on $\nu_\mu \rightarrow \nu_e$

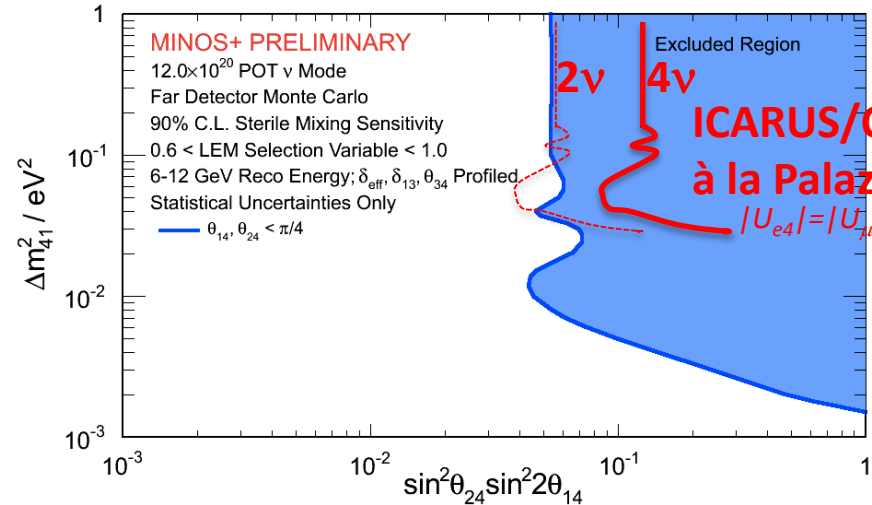
(Very recent blessed MINOS plots: public release at INFO2015 few days ago)



SIGNAL

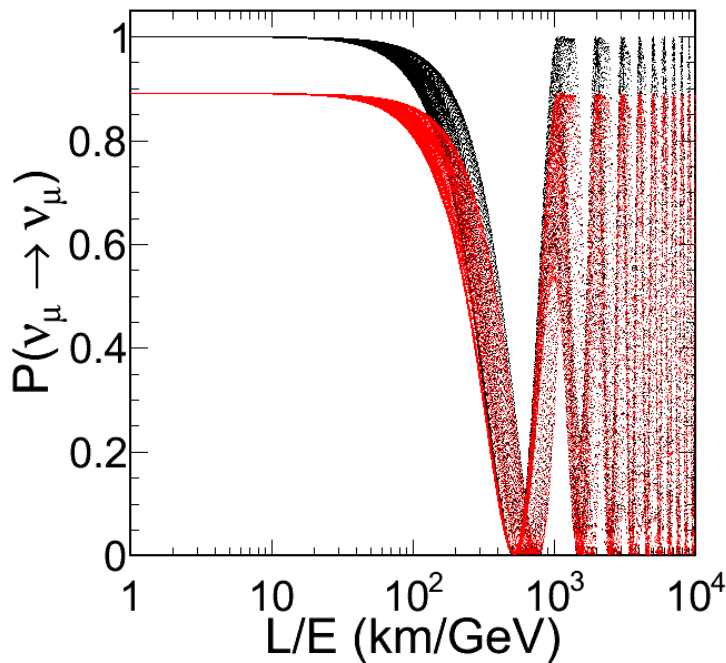
Expected "sterile" effect

Expected SENSITIVITY for 12x10²⁰ pot

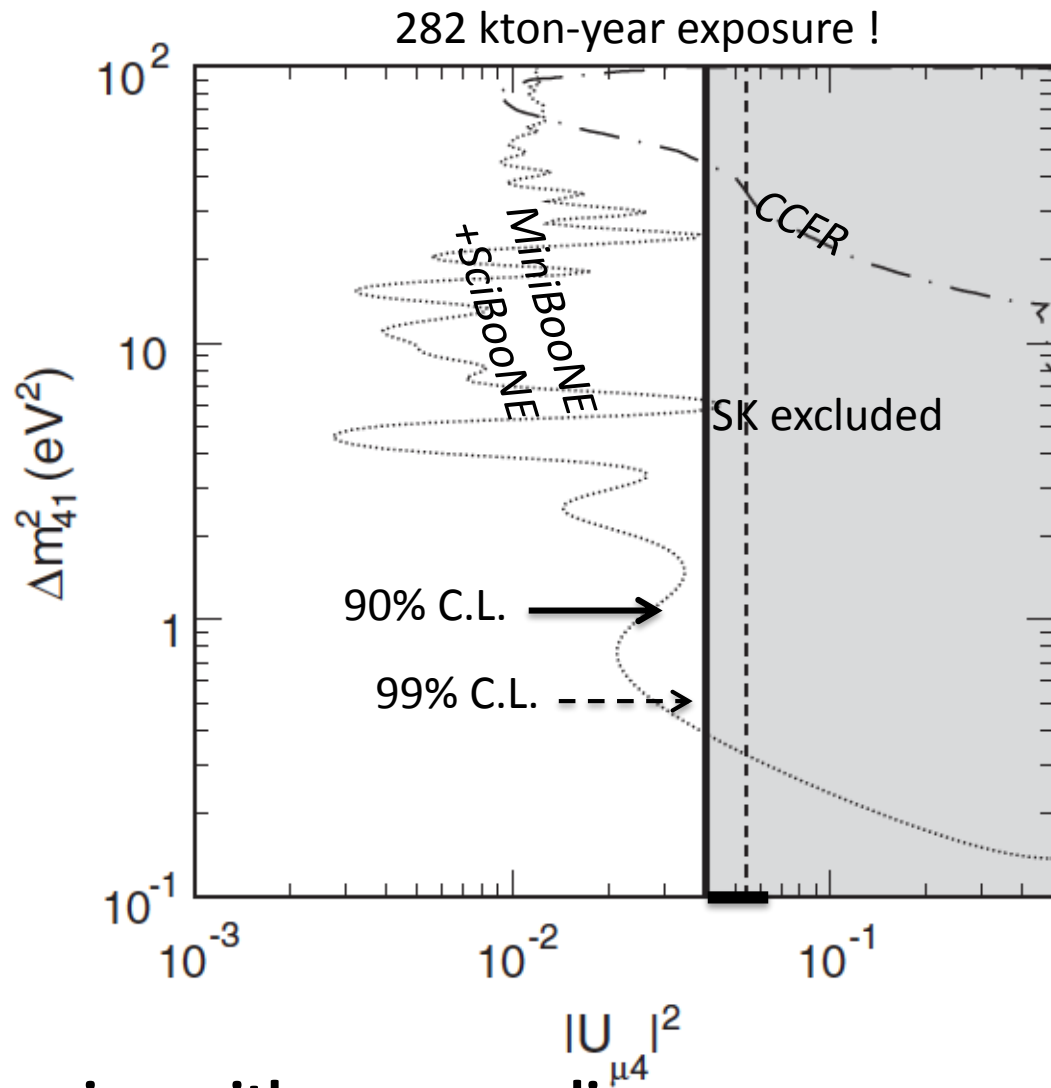


SuperK on ν_μ sterile “anomalies”

(Sterile neutrino oscillation in Atmospheric Neutrinos)



PRD 91, 052019 (2015)



even stronger tension with ν_e anomalies

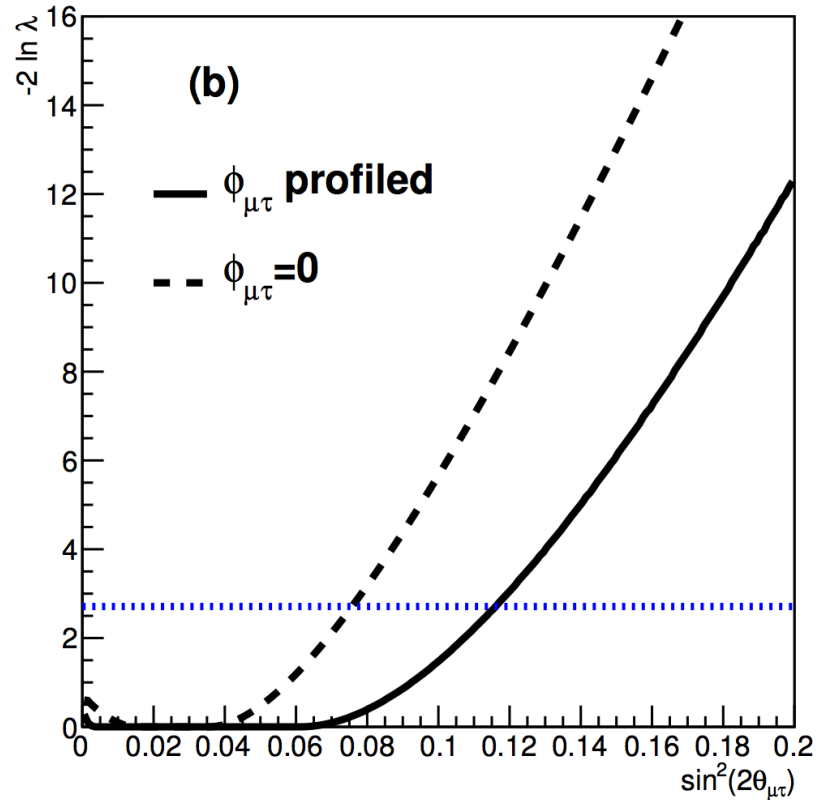
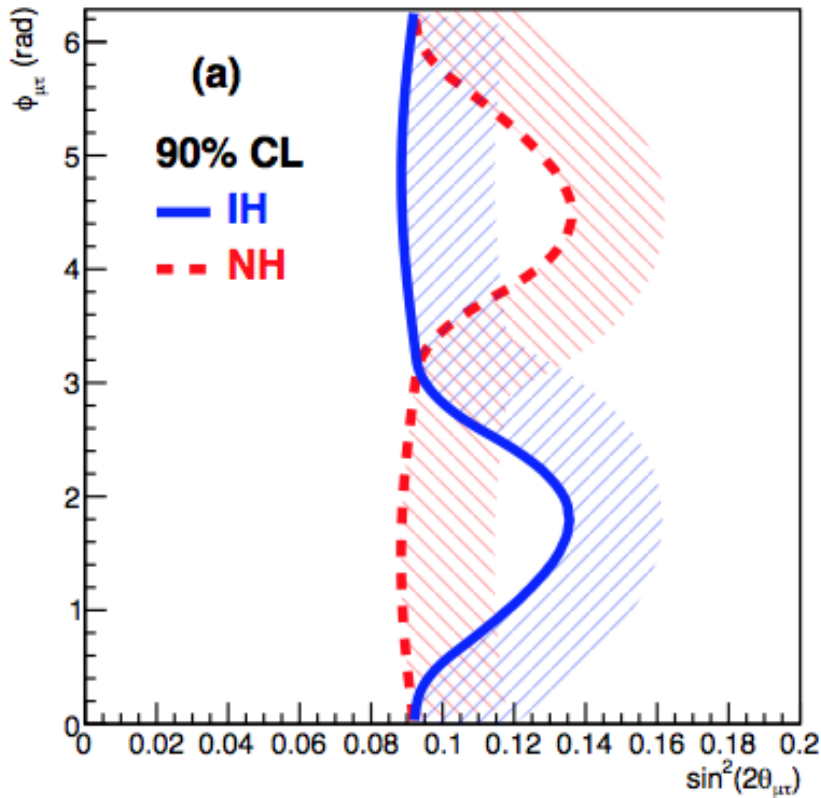
Conclusions and perspectives

- The sterile issue at eV mass scale can be studied also at Long-Baseline ν_μ beams
- Many results from OPERA, MINOS, Super-K are already available
- New preliminary result from OPERA on possible ν_τ sterile “anomalies” (presented at this conference)
- Soon new results (without too restrictive assumptions) from OPERA on ν_e oscillation
- Expected soon new results from MINOS+ on ν_e oscillation
- Sterile mixing, if it exists, corresponds to low values (order of 1%)
- New specific experiments should be settled and approved

BACKUP

OPERA search for ν_τ sterile “anomalies”

JHEP, 6, 69 (2015) and arXiv:1503.01876, based on 4 taus’ candidates



90% C.L.

Number of expected ν_τ sterile events

