

Search for rare top-quark decays at the LHC

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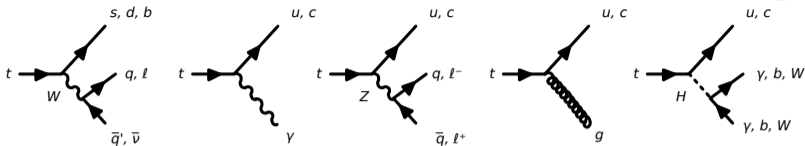


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top quark decays

(2)



Dominant decay mode $t \rightarrow bW$

$$BR(t \rightarrow bW)_{SM} = 9.98 \times 10^{-1}$$

$$BR(t \rightarrow sW)_{SM} = 1.64 \times 10^{-3}$$

$$BR(t \rightarrow dW)_{SM} = 7.85 \times 10^{-5}$$

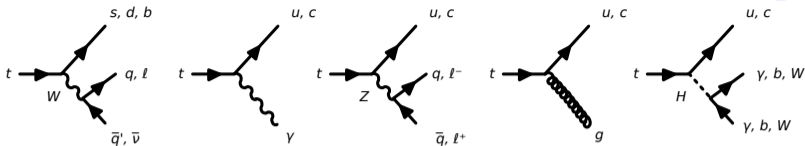
FCNC decays are suppressed
by the GIM mechanism

maximum $BR(t \rightarrow FCNC)$ in several models:

	SM	QS	2HDM	FC 2HDM	MSSM	\tilde{R} SUSY	RS
$t \rightarrow cZ$	$\sim 10^{-14}$	$\sim 10^{-4}$	$\sim 10^{-6}$	$\sim 10^{-10}$	$\sim 10^{-7}$	$\sim 10^{-6}$	$\sim 10^{-5}$
$t \rightarrow cg$	$\sim 10^{-12}$	$\sim 10^{-7}$	$\sim 10^{-4}$	$\sim 10^{-8}$	$\sim 10^{-7}$	$\sim 10^{-6}$	$\sim 10^{-10}$
$t \rightarrow c\gamma$	$\sim 10^{-14}$	$\sim 10^{-9}$	$\sim 10^{-7}$	$\sim 10^{-9}$	$\sim 10^{-8}$	$\sim 10^{-9}$	$\sim 10^{-9}$
$t \rightarrow cH$	$\sim 10^{-15}$	$\sim 10^{-5}$	$\sim 10^{-3}$	$\sim 10^{-5}$	$\sim 10^{-5}$	$\sim 10^{-9}$	$\sim 10^{-4}$

top quark decays

③



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$$BR(t \rightarrow sW)_{SM} = 1.64 \times 10^{-3}$$

$$BR(t \rightarrow dW)_{SM} = 7.85 \times 10^{-5}$$

FCNC decays are suppressed by the GIM mechanism

present experimental limits:

	LEP	HERA	Tevatron	LHC
$BR(t \rightarrow qZ)$	7.8%	30% (tuZ)	3.2%	0.05%
$BR(t \rightarrow qg)$	17%	13%	2.0×10^{-4} (ug) 3.9×10^{-3} (cg)	3.1×10^{-5} (ug) 1.6×10^{-4} (cg)
$BR(t \rightarrow q\gamma)$	2.4%	0.47% ($tu\gamma$)	3.2%	1.6×10^{-4} ($u\gamma$) 1.8×10^{-3} ($c\gamma$)
$BR(t \rightarrow qH)$	—	—	—	0.56%

will only cover the analyses with best sensitivity for each channel/detector

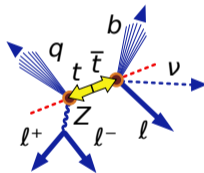
JHEP 09 (2012) 139 $\sqrt{s} = 7 \text{ TeV}$, $\mathcal{L} = 2.1 \text{ fb}^{-1}$

two **orthogonal** analyses:

- **3ID**: 3 fully identified leptons (e, μ); main background from ZZ and WZ production
- **2ID+TL**: 2 fully identified leptons (e, μ) and the third one is allowed to be a high quality inner detector track (TL); main background from jets faking TL

- | | | |
|---|--------|--|
| <ul style="list-style-type: none"> • =3ID l • $p_T^{l1} > 25 \text{ GeV}$ • $p_T^{l2,3} > 20 \text{ GeV}$ | } or { | <ul style="list-style-type: none"> • =2ID $l + 1 \text{ TL}$ • $p_T^{ID} > 20 \text{ GeV}$ • $p_T^{TL} > 25 \text{ GeV}$ |
|---|--------|--|

- 2 leptons with same flavour and opposite charges
- $|m_Z^{\text{PDG}} - m_{l+l-}| < 15 \text{ GeV}$
- ≥ 2 jets; $p_T > 25 \text{ GeV}$; $|\eta^{j1,j2}| < 2.5$; (1 b -tagged in 2ID+TL)
- $E_T^{\text{miss}} > 20 \text{ GeV}$
- $|m_t^{\text{FCNC,SM}} - 172.5 \text{ GeV}| < 40 \text{ GeV}$
- $|m_W - 80.4 \text{ GeV}| < 30 \text{ GeV}$



search for $t \rightarrow qZ$ (ATLAS)

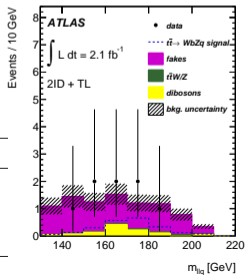
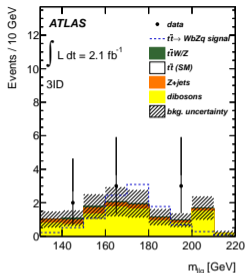
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	3ID	2ID+TL
ZZ and WZ	9.5 ± 4.4	1.0 ± 0.5 0.6
$t\bar{t}W$ and $t\bar{t}Z$	0.51 ± 0.14	0.25 ± 0.05
$t\bar{t}$, WW	0.07 ± 0.02	} 7.6 ± 2.2
Z+jets	1.7 ± 0.7	
Single top	0.01 ± 0.01	
2+3 fake leptons	0.0 ± 0.0 0.0	
Expected background	11.8 ± 4.4	8.9 ± 2.3
Data	8	8
Signal efficiency	$(0.205 \pm 0.024)\%$	$(0.045 \pm 0.007)\%$

main systematics:

- 3ID: ZZ and WZ simulation modelling
- 2ID+TL: fake-TL estimation

channel	observed	(-1σ)	expected	$(+1\sigma)$
3ID	0.81%	0.63%	0.95%	1.4%
2ID+TL	3.2%	2.15%	3.31%	4.9%
Combination	0.73%	0.61%	0.93%	1.4%

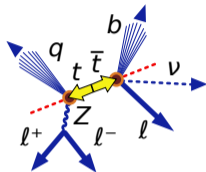


PRL 112 (2014) 171802

 $\sqrt{s} = 7 + 8 \text{ TeV}, \mathcal{L} = 5 + 20 \text{ fb}^{-1}$

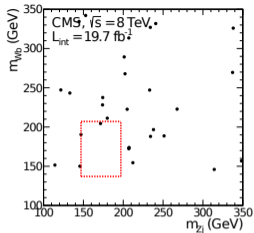
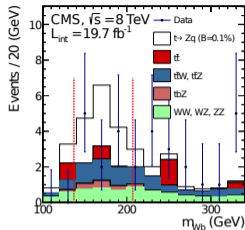
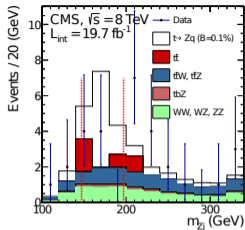
8 TeV:

- =3 leptons ($p_T > 20 \text{ GeV}$)
- 2 leptons with same flavour and opposite charges
- $78 \text{ GeV} < m_{\ell^+ \ell^-} < 102 \text{ GeV}$
- $E_T^{\text{miss}} > 30 \text{ GeV}$
- ≥ 2 jets ($(p_T > 30 \text{ GeV}, |\eta| < 2.4)$)
- ≥ 1 b -tagged jet
- $|m_t^{\text{SM}} - 172.5 \text{ GeV}| < 35 \text{ GeV}$
- $|m_t^{\text{FCNC}} - 172.5 \text{ GeV}| < 25 \text{ GeV}$
- event reconstruction assumes $m_{\ell\nu} = m_W$, $t^{\text{SM}} = \ell + \nu + b$ -tagged jet, $t^{\text{FCNC}} = \ell^+ + \ell^- + \text{light jet maximizing } \Delta\phi \text{ to } t^{\text{SM}}$.



search for $t \rightarrow qZ$ (CMS)

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Process	Estimation from data	MC prediction
$t \rightarrow Zq$ ($B = 0.1\%$)	—	$6.4 \pm 0.1 \pm 1.3$
WZ		$0.9 \pm 0.1 \pm 0.3$
ZZ	$1.4 \pm 0.1 \pm 0.3$	< 0.1
Drell-Yan		< 0.1
$t\bar{t}$		$0.7^{+1.1}_{-0.4} \pm 1.2$
$t\bar{t}Z$	$1.7 \pm 0.8 \pm 0.4$	$1.1 \pm 0.1 \pm 0.8$
$t\bar{t}W$		$0.1 \pm 0.1 \pm 0.1$
$t\bar{t}Z$		$0.3 \pm 0.1 \pm 0.2$
Total background	$3.1 \pm 0.8 \pm 0.8$	$3.2 \pm 1.2 \pm 1.5$
Observed events	1	—

$B(t \rightarrow Zq)$	8 TeV	7 TeV + 8 TeV
Expected upper limit	$< 0.10\%$	$< 0.09\%$
Observed upper limit	$< 0.06\%$	$< 0.05\%$
1σ boundary	0.06–0.13%	0.06–0.13%
2σ boundary	0.05–0.20%	0.05–0.18%

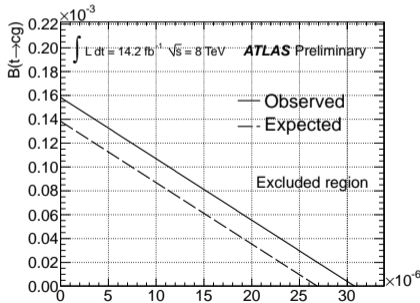
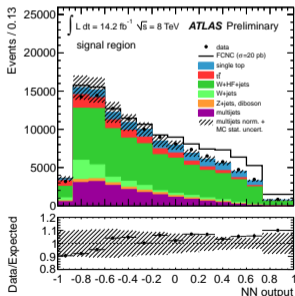
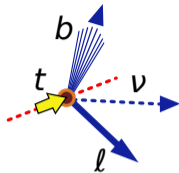
- main systematics for signal: renormalization/factorization scales, PDF, $\sigma_{t\bar{t}}^{SM}$

search for $qg \rightarrow t$ (ATLAS)

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ATLAS-CONF-2013-063 $\sqrt{s} = 8 \text{ TeV}$, $\mathcal{L} = 14.2 \text{ fb}^{-1}$

- $t\bar{t}$ production with $t \rightarrow qg$ decay difficult to distinguish from multijet production
- search for single top production via FCNC (strong sector)
- = 1 lepton ($>25 \text{ GeV}$), $E_T^{\text{miss}} > 30 \text{ GeV}$,
1 jet ($>30 \text{ GeV}$, = 1 b -tagged), $m_T^W > 50 \text{ GeV}$
- MVA method (neural-network with 13 variables) used to improve analysis



dominant systematics: b -tag, met, background modeling

$$\text{BR}(t \rightarrow ug) < 3.1 \times 10^{-5}$$

$$\text{BR}(t \rightarrow cg) < 1.6 \times 10^{-4}$$

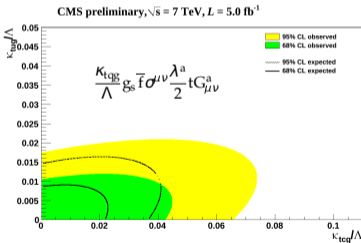
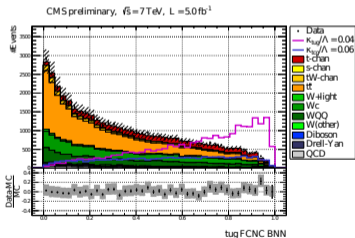
$B(t \rightarrow ug)$

search for $qg \rightarrow t$ (CMS)

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CMS-PAS-TOP-14-007 $\sqrt{s} = 7 \text{ TeV}, \mathcal{L} = 5 \text{ fb}^{-1}$

- search for single top production via FCNC in t -channel topology
- $= \mu$ ($p_T > 15 \text{ GeV}$)
- $= 2, 3$ jets ($p_T > 30 \text{ GeV}, |\eta| < 4.7$)
- ≥ 1 b -tagged jet, ≥ 1 light jet
- different b -tagged jets and light jets CRs
- Bayesian neural-network with 4 variables used to reduce QCD
- Bayesian neural-network with 15 (20) variables used to define tug (tcg) region



dominant systematics: PDF (9%), signal generator (5%)

$$\text{BR}(t \rightarrow ug) < 3.55 \times 10^{-4} \quad (1.58 \times 10^{-4})$$

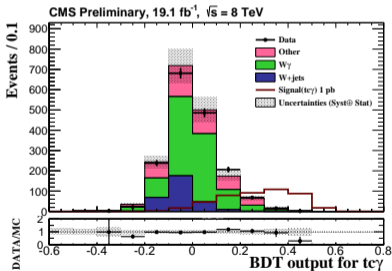
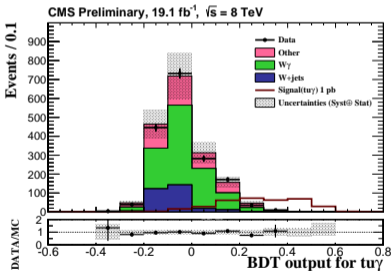
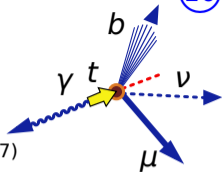
$$\text{BR}(t \rightarrow cg) < 3.44 \times 10^{-3} \quad (1.05 \times 10^{-4})$$

search for $t \rightarrow q\gamma$ (CMS)

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CMS-PAS-TOP-14-003 $\sqrt{s} = 8 \text{ TeV}$, $\mathcal{L} = 19.1 \text{ fb}^{-1}$

- search for single top production via FCNC (QED)
- = 1μ ($p_T > 26 \text{ GeV}$, $|\eta| < 2.1$)
- = 1γ ($p_T > 50 \text{ GeV}$, $|\eta| < 2.5$, $\Delta R(\mu, \gamma) > 0.7$)
- ≤ 1 b -tagged jet ($p_T > 30 \text{ GeV}$, $|\eta| < 2.5$, $\Delta R(b, \gamma) > 0.7$)
- $E_T^{\text{miss}} > 30 \text{ GeV}$, $130 < m_t < 220 \text{ GeV}$
- MVA method (BDT with 8 variables) used to improve analysis



dominant systematics: $W + \gamma$ and W +jets normalizations

CL_S : $\text{BR}(t \rightarrow u\gamma) < 1.6 \times 10^{-4}$ (2.8×10^{-4}) $\text{BR}(t \rightarrow c\gamma) < 1.8 \times 10^{-3}$ (2.6×10^{-3})
 $\sim 28\%$ improvement if σ_{NLO} corrections are considered

search for $t \rightarrow qH$ (ATLAS)

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JHEP 06 (2014) 008 $\sqrt{s} = 7 \text{ TeV } \mathcal{L} = 4.7 \text{ fb}^{-1} + \sqrt{s} = 8 \text{ TeV } \mathcal{L} = 20.3 \text{ fb}^{-1}$

- ≥ 2 photons ($p_T^{\gamma 1} > 40 \text{ GeV}, p_T^{\gamma 2} > 30 \text{ GeV}$)

- two topologies searched for:

- hadronic

=0 leptons

=4 jets (1 b -tagged)

$156 \text{ GeV} < m_{\gamma\gamma j} < 191 \text{ GeV}$

$130 \text{ GeV} < m_{jjj} < 210 \text{ GeV}$

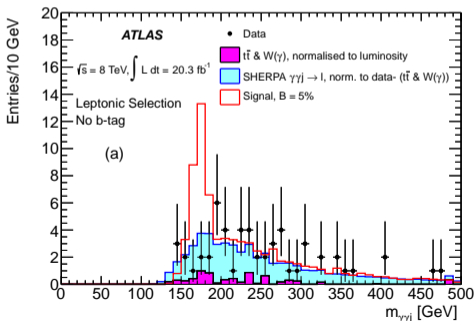
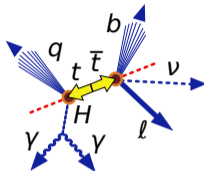
- leptonic (=1 lepton)

$m_T^W > 30 \text{ GeV}$

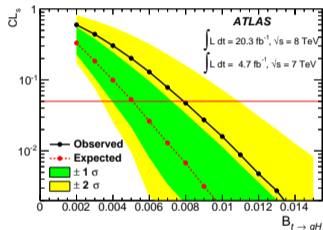
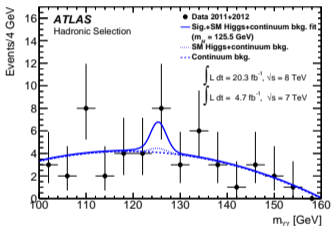
≥ 2 jets (1 b -tagged)

$156 \text{ GeV} < m_{\gamma\gamma j} < 191 \text{ GeV}$

$135 \text{ GeV} < m_{\ell v j} < 205 \text{ GeV}$



- 50 hadronic and 1 leptonic events selected
- maximum likelihood fit is performed



dominant systematics: photon ID, JES, ISR/FSR, b -tag

95% CL limits:

	observed	expected
$BR(t \rightarrow cH)$	0.79%	0.51%

search for $t \rightarrow qH$ (CMS)

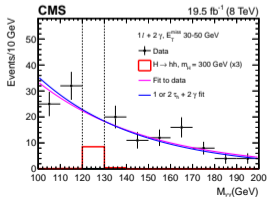
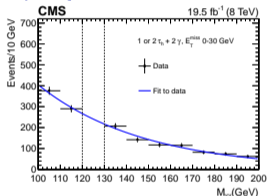
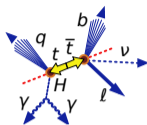
13

PRD 90 (2014) 112013 $\sqrt{s} = 8 \text{ TeV } \mathcal{L} = 19.5 \text{ fb}^{-1} + \sqrt{s} = 7 \text{ TeV } \mathcal{L} = 5 \text{ fb}^{-1}$

- $\geq 3l$ (e, μ, τ_{had}) or = $1l + 2\gamma$ photons ($p_T^{\gamma 1} > 40 \text{ GeV}$, $p_T^{\gamma 1} > 30 \text{ GeV}$)

- multilepton events classified according to:
 - number of leptons (3, 4)
 - flavour and charge combinations
 - Z boson reconstructions ($75 < m_Z < 105 \text{ GeV}$)
 - 5 E_T^{miss} bins ($3l$), 4 E_T^{miss} bins ($4l$)

- diphoton events ($120 < m_{\gamma\gamma} < 130 \text{ GeV}$) classified according to:
 - lepton flavour
 - presence of a b -tagged jet
 - 4 E_T^{miss} bins



search for $t \rightarrow qH$ (CMS)

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10 most sensitive search channels (signal for BR=1%):

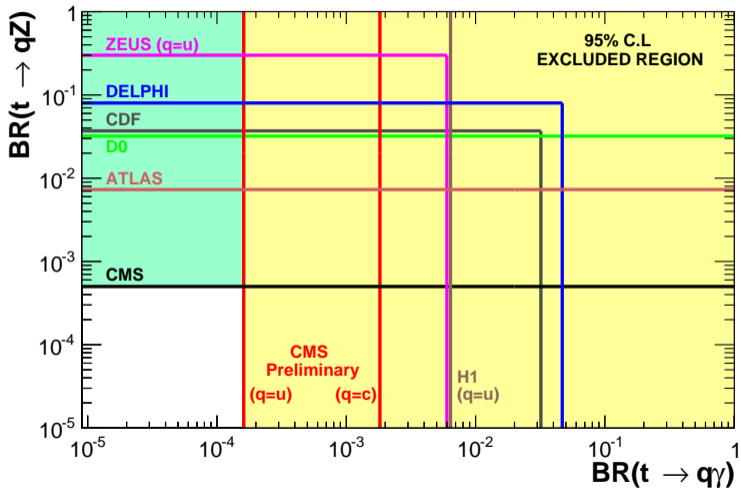
Channel	E_T^{miss} (GeV)	N_b	Obs.	Exp.	Sig.
$\gamma\gamma\ell$	(50, 100)	≥ 1	1	2.3 ± 1.2	2.88 ± 0.39
	(30, 50)	≥ 1	2	1.1 ± 0.6	2.16 ± 0.30
	(0, 30)	≥ 1	2	2.1 ± 1.1	1.76 ± 0.24
	(50, 100)	0	7	9.5 ± 4.4	2.22 ± 0.31
	(100, ∞)	≥ 1	0	0.5 ± 0.4	0.92 ± 0.14
	(100, ∞)	0	1	2.2 ± 1.0	0.94 ± 0.17
$ll\ell$ (OSSF1, below-Z)	(50, 100)	≥ 1	48	48 ± 23	9.5 ± 2.3
	(0, 50)	≥ 1	34	42 ± 11	5.9 ± 1.2
$ll\ell$ (OSSF0)	(50, 100)	≥ 1	29	26 ± 13	5.9 ± 1.3
	(0, 50)	≥ 1	29	23 ± 10	4.3 ± 1.1

dominant systematics: diphoton background, $t\bar{t}$ and τ_{had} misidentification

Higgs boson decay mode	Upper limits on $\mathcal{B}(t \rightarrow ch)$		
	Obs.	Exp.	68% CL range
$\mathcal{B}(h \rightarrow WW^*) = 23.1\%$	1.58%	1.57%	(1.02–2.22)%
$\mathcal{B}(h \rightarrow \tau\tau) = 6.15\%$	7.01%	4.99%	(3.53–7.74)%
$\mathcal{B}(h \rightarrow ZZ^*) = 2.89\%$	5.31%	4.11%	(2.85–6.45)%
Combined multileptons ($WW^*, \tau\tau, ZZ^*$)	1.28%	1.17%	(0.85–1.73)%
$\mathcal{B}(h \rightarrow \gamma\gamma) = 0.23\%$	0.69%	0.81%	(0.60–1.17)%
Combined multileptons + diphotons	0.56%	0.65%	(0.46–0.94)%

BR($t \rightarrow qZ$) vs BR($t \rightarrow q\gamma$)

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- search for top quark FCNC decays can be one of the HL-LHC goals
- ATLAS and CMS sensitivities for top quark FCNC decays at $\sqrt{s} = 14$ TeV, 3 ab^{-1} was/is being studied
- exclusion limits improve by one order of magnitude

ATLAS

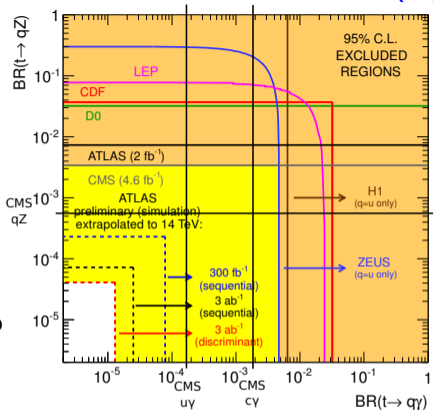
decay	7/8 TeV limit	HL-LHC sensitivity	
$t \rightarrow qZ$	5.8×10^{-4}	extrapolation	3.8×10^{-5} (ATL-PHYS-PUB-2012-001)
$t \rightarrow ug$	3.1×10^{-5}	extrapolation	2.1×10^{-6}
$t \rightarrow cg$	1.6×10^{-4}		1.4×10^{-5}
$t \rightarrow q\gamma$	—	extrapolation	3.8×10^{-5} (ATL-PHYS-PUB-2012-001)
$t \rightarrow qH$	8.3×10^{-3}	extrap / MC	1.5×10^{-4} (ATL-PHYS-PUB-2013-012)

CMS

decay	7/8 TeV limit	HL-LHC sensitivity	
$t \rightarrow qZ$	5×10^{-4}	extrap	7×10^{-5} (CMS-PAS-FTR-13-016)
		MC	1×10^{-4}

summary

- ATLAS and CMS searches for top quark FCNC decays at 7 TeV and 8 TeV
- Z, γ , H, g channels covered
- no evidence for signal found
- 95% CL limits derived
- ATLAS and CMS sensitivities at 14 TeV, 3 ab^{-1} expected to improve by one order of magnitude



	LEP	HERA	Tevatron	LHC
$BR(t \rightarrow qZ)$	7.8%	30% (tuZ)	3.2%	0.05%
$BR(t \rightarrow qg)$	17%	13%	2.0×10^{-4} (ug) 3.9×10^{-3} (cg)	3.1×10^{-5} (ug) 1.6×10^{-4} (cg)
$BR(t \rightarrow q\gamma)$	2.4%	0.47% ($tu\gamma$)	3.2%	1.6×10^{-4} ($u\gamma$) 1.8×10^{-3} ($c\gamma$)
$BR(t \rightarrow qH)$	—	—	—	0.56%

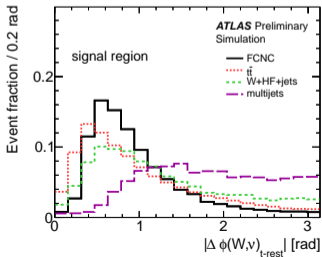
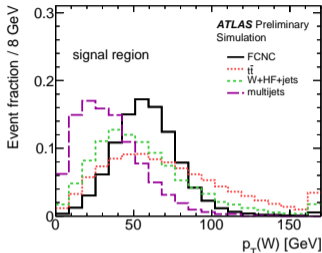
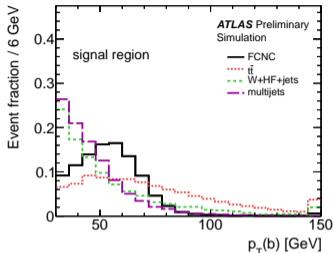
b a c k u p

Input variables of BNN used in the analysis

Table 3: Variables used as input to the neural network ordered by their importance, as estimated from total correlation loss to the target caused by its removal.

Variable	loss of total correlation (%)	Variable	loss of total correlation (%)
$p_T(b)$	34	$\Delta\phi(\ell, W)$	6
$p_T(W)$	19	$\Delta\phi(b, \ell\nu b)$	5
$\Delta\phi(W, \nu)$ in the top quark rest frame	13	$\Delta R(\ell, \ell\nu b)$	5
Charge of the lepton $q(\ell)$	12	$\Delta\phi(W, \ell\nu b)$	4
$\eta(\ell)$	11	$\eta(\nu)$	4
$\Delta\phi(\ell, b)$	9	E_T^{miss}	4
$\eta(\ell\nu b)$	9		

search for $qg \rightarrow t$ (ATLAS)



Input variables of BNNs used in the analysis (1/2)

Variable	Description	tug BNN	tcg BNN
$p_T(b_1)$	p_T of the leading-b-jet (the b-tagged jet with the highest p_T) — hereinafter we use the notations "leading" and "second-leading" for jets correspondingly to their order in p_T , the decreasing one	V	V
$p_T(b_2)$	p_T of the second-leading b-jet		V
$p_T(j_1 j_2)$	a vector sum of p_T of the first and the second-leading jets	V	V
$p_T(\sum_{i \neq i_{\text{best}}} \vec{p}_T(j_i))$	a vector sum of p_T of all jets without the best jet. The notation "best jet" is used for the jet which gives the invariant mass of the top quark closest to the value of 172.5 GeV, which is used in the MC simulation	V	V
$p_T(j_L)$	p_T of the light-flavour jet (untagged jet with the highest value of $ \eta $)	V	V
$p_T(\mu)$	transverse momenta of the muon		V
$p_T(W, b_1)$	p_T of the W boson and the leading-b-jet	V	V
$p_T(W)$	p_T of the W boson	V	V
E_T^{miss}	missing transverse energy (energy of the reconstructed neutrino)		V

Input variables of BNNs used in the analysis (2/2)

Variable	Description	tug BNN	tcg BNN
$\eta(\mu)$	η of the muon	V	V
$\eta(j_L)$	η of the light-flavour jet	V	V
$M(\sum_{i \neq i_{\text{best}}}(j_i))$	the invariant mass of all jets without the best one		V
$M(jW)$	the invariant mass of the W boson and all jets		
$M(W, b_1)$	the invariant mass of the W boson and the leading-b-jet	V	V
$M(\sum_i(j_i))$	the invariant mass of all jets	V	V
$\Delta R(j_1, j_2)$	equal to $\sqrt{(\eta(j_1) - \eta(j_2))^2 + (\varphi(j_1) - \varphi(j_2))^2}$	V	V
$\Delta R(\mu, j_2)$	equal to $\sqrt{(\eta(\mu) - \eta(j_2))^2 + (\varphi(\mu) - \varphi(j_2))^2}$		
$\Delta\varphi(\mu, E_T^{\text{miss}})$	azimuthal angle between the lepton and the reconstructed neutrino	V	V
$\cos(\theta_{\mu, j_L}) _{\text{top}}$	the cosine of the angle between the lepton and the light flavour jet in the top quark rest frame, the top quark is reconstructed with the leading-b-jet [45]	V	V
$\cos(\theta_{\mu, W}) _W$	the cosine of the angle between the lepton and the W boson in the W boson rest frame [46]	V	V
$\cos(\theta_{\mu, j_1}) _{\text{top}}$	the cosine of the angle between the lepton and the first jet in the top quark rest frame		V
$Q(\mu)$	a charge of the lepton	V	V

Input variables of BDT used in the analysis

- photon transverse momentum,
- b -jet transverse momentum,
- muon transverse momentum,
- angular separation between the photon and the muon, $\Delta R(\mu, \nu)$,
- angular separation between the photon and the b -jet, $\Delta R(b\text{-jet}, \gamma)$,
- CSV discriminant value for the b -tagged jet,
- jet multiplicity,
- cosine of the angle between the reconstructed top quark and the photon.

ATLAS:

- arXiv:1504.04605: $BR(t \rightarrow uH) < 1\%$.

CMS:

- CMS-PAS-TOP-12-021: $BR(t \rightarrow ug) < 0.56\%$, $BR(t \rightarrow cg) < 7.12\%$, $BR(t \rightarrow uZ) < 0.51\%$ and $BR(t \rightarrow cZ) < 11.40\%$.