

Towards a  
Theoretical Understanding  
of the *XYZ* Mesons (and Baryons)  
from QCD

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Simons Foundation

# Towards a Theoretical Understanding of the $XYZ$ Hadrons from QCD

- constituent models for  $XYZ$  hadrons
- Lattice QCD
- Born-Oppenheimer approximation
  - for quarkonium hybrid mesons  
Juge, Kuti, Morningstar 1999
  - for quarkonium tetraquark mesons
  - for quarkonium pentaquark baryons

# XYZ Mesons

more than 2 dozen new  $c\bar{c}$  and  $b\bar{b}$  mesons  
discovered since 2003

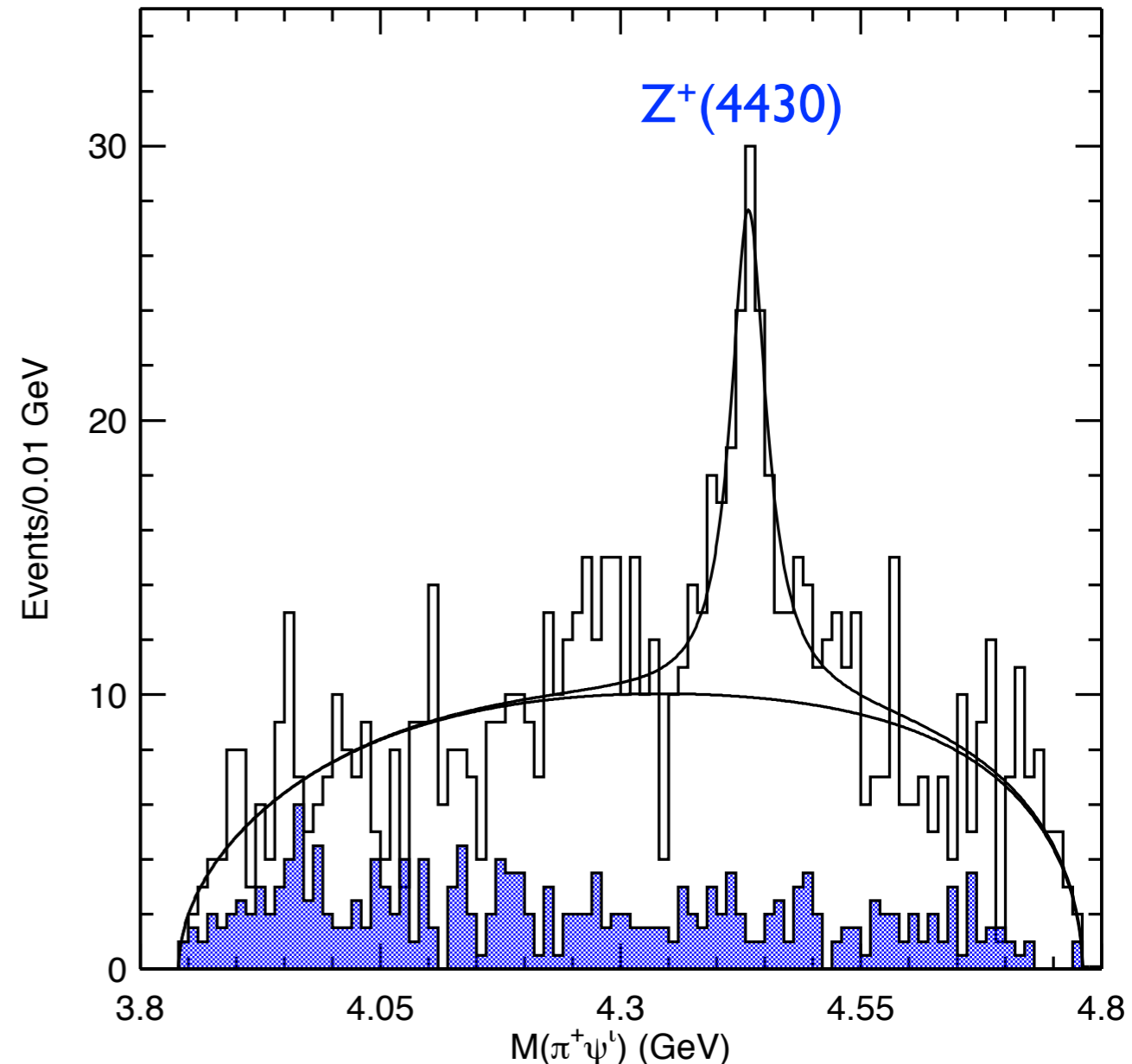
- contain heavy quark and antiquark,  
but seem to require additional constituents
- some of them are tetraquark mesons  
that contain two quarks and two antiquarks
- many of them are surprisingly narrow
- a major challenge to our understanding  
of the QCD spectrum!

# First Charmonium Tetraquark

$Z^+(4430)$  Belle 2007

decays into  $\psi(2S) \pi^+$

- constituents must include  $c \bar{c}$  and  $u \bar{d}$
- not confirmed by Babar 2009 but confirmed by LHCb 2014
- quantum numbers  $J^{+(-)}$
- not seen in  $J/\psi \pi^+$

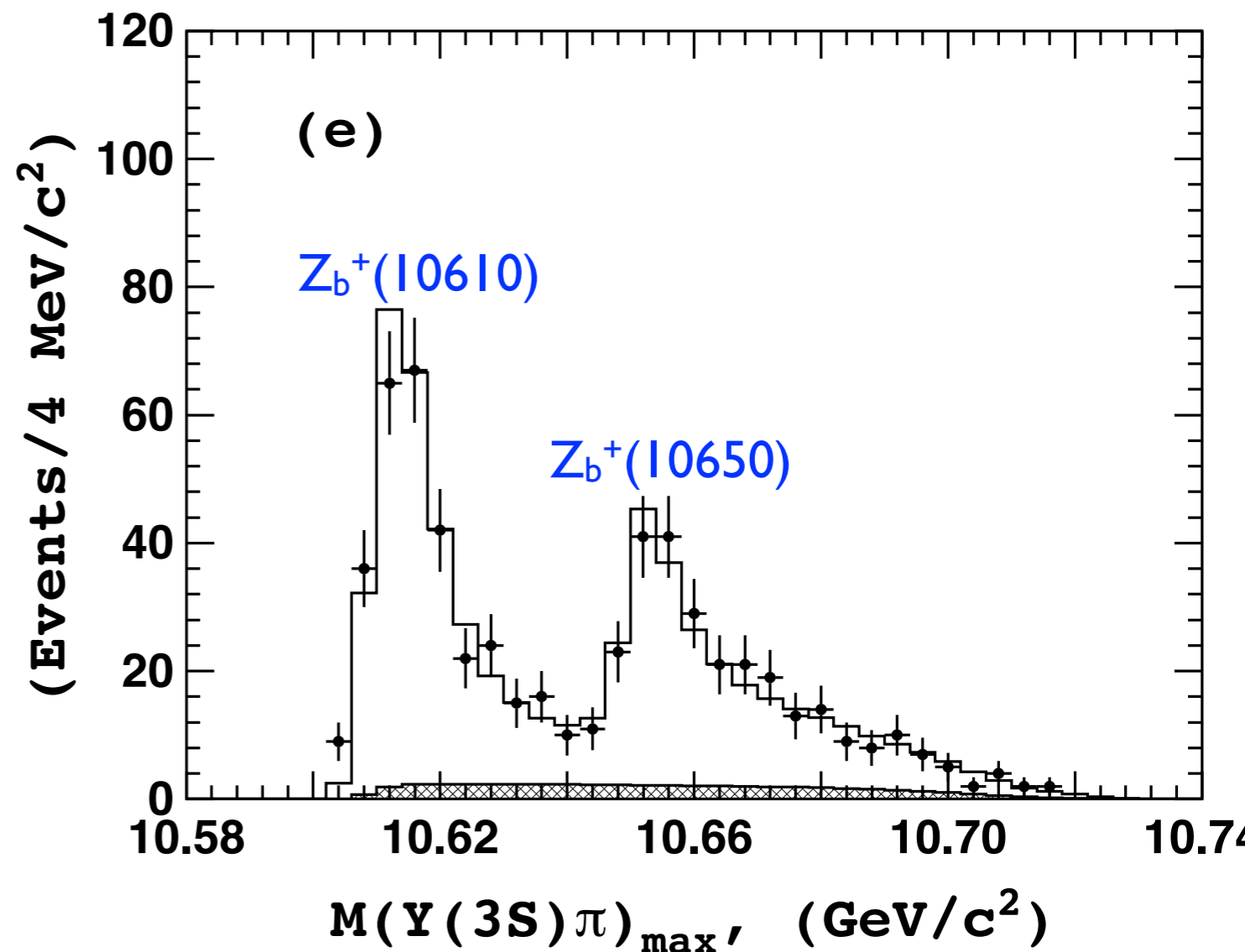


# Bottomonium Tetraquarks

$Z_b^+(10610)$ ,  $Z_b^+(10650)$  Belle 2011

decay into  $\Upsilon(nS) \pi^+$  and into  $h_b(nP) \pi^+$

- constituents must include  $b \bar{b}$  and  $u \bar{d}$
- decays into both  $\Upsilon$  and  $h_b$   
violate heavy-quark  
spin symmetry
- quantum numbers  $J^{+(-)}$
- just above thresholds  
for  $B^* \bar{B}$  and  $B^* \bar{B}^*$

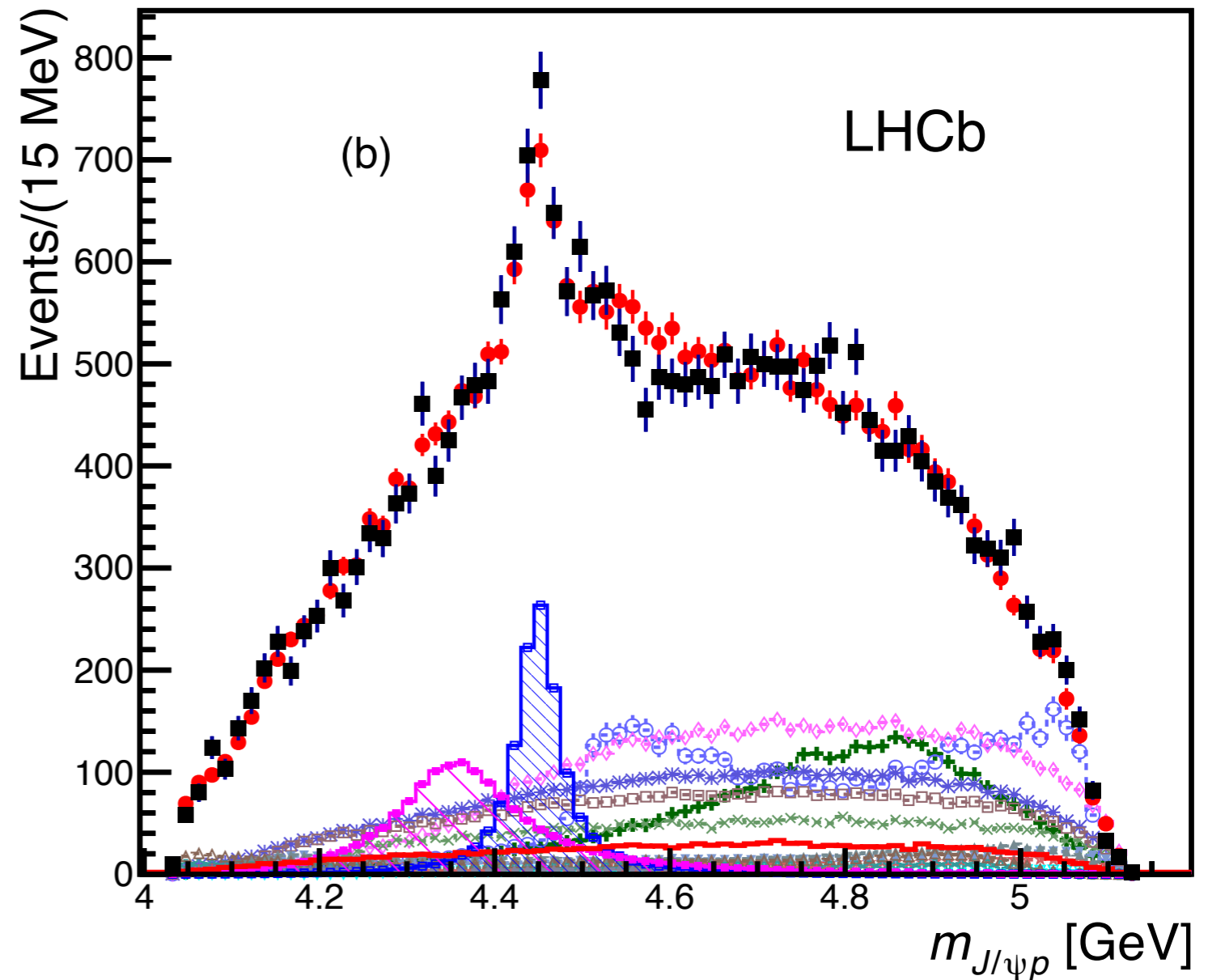


# Charmonium Pentaquarks

$P_c(4380), P_c(4450)$

decay into  $J/\psi p$

LHCb Collaboration  
arXiv:1507.03414

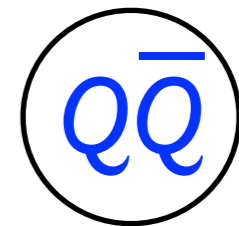


- constituents must include  $c \bar{c}$  and  $u u d$
- one of the pentaquarks is surprisingly narrow
- another major challenge to our understanding of the QCD spectrum!

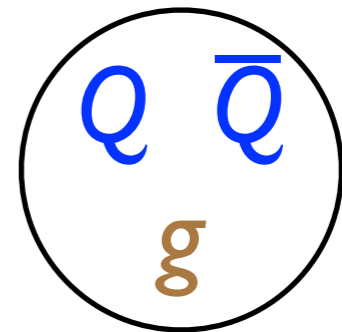
# Models for $XYZ$ Mesons

three basic categories

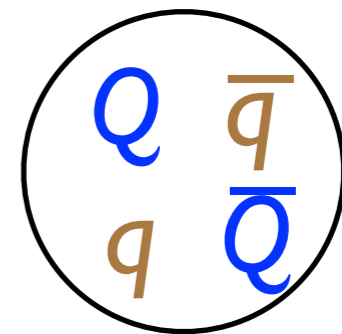
- conventional quarkonium



- quarkonium hybrid

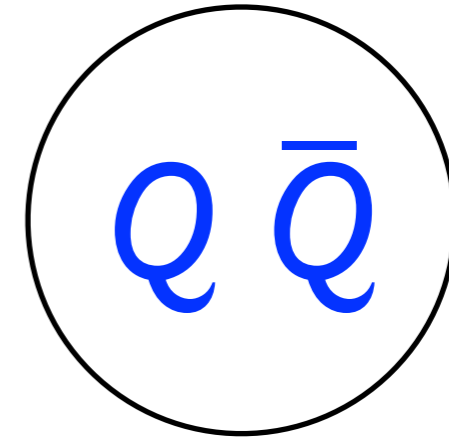


- quarkonium tetraquark



# Models for $XYZ$ Mesons

## Conventional Quarkonium



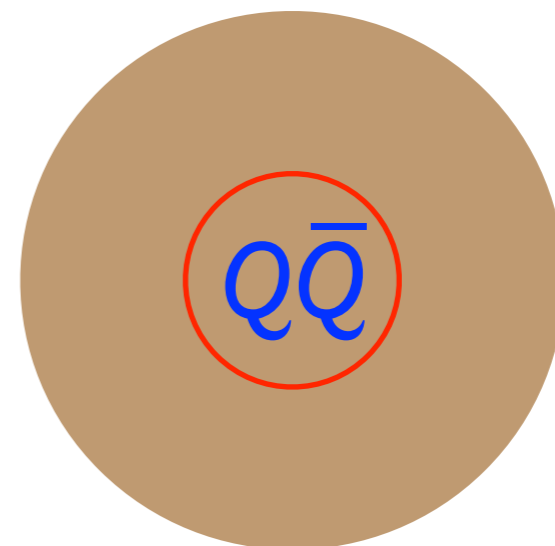
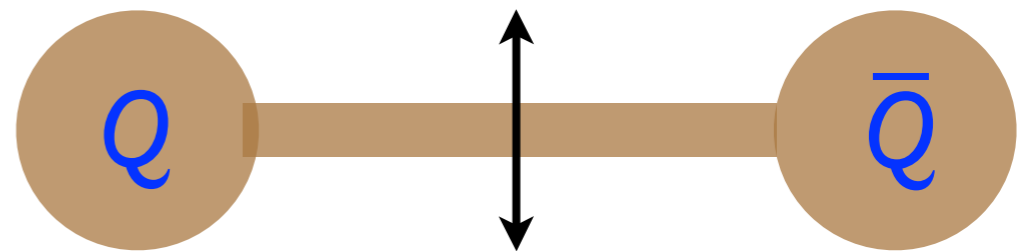
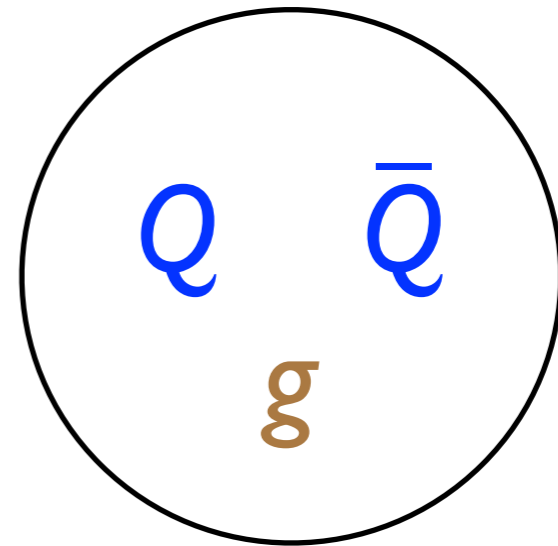
- well-developed phenomenology  
based on quark potential models
- accurate below **open-heavy-flavor threshold**  
well-defined predictions above **threshold**
- energy levels  
orbital angular momentum  $L = 0, 1, 2, \dots$  ( $S, P, D, \dots$ )  
radial excitations  $n = 1, 2, 3, \dots$
- heavy-quark spin multiplets: {spin-singlet, spin-triplets}  
 $S$ -wave:  $\{0^{-+}, 1^{--}\}$   
 $P$ -wave:  $\{1^{+-}, (0, 1, 2)^{++}\}$   
 $D$ -wave:  $\{2^{-+}, (1, 2, 3)^{--}\}$
- forbidden quantum numbers (**exotic**):  
 $0^{--}, 0^{+-}, 1^{-+}, 2^{+-}, 3^{-+}, \dots$



# Models for $XYZ$ Mesons

## Quarkonium Hybrid

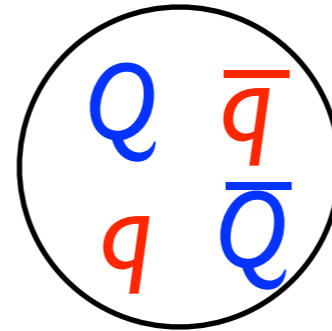
- constituent gluon model
- flux tube model
- gluelump model



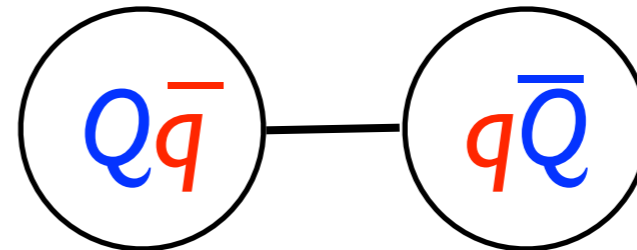
# Models for $XYZ$ Mesons

## Quarkonium Tetraquarks

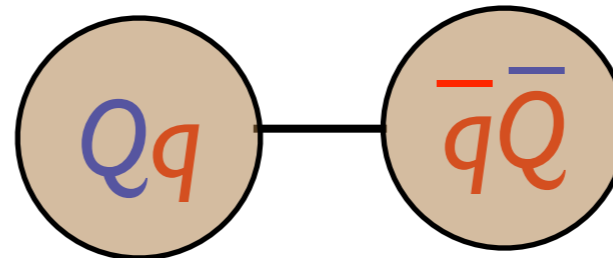
- compact tetraquark



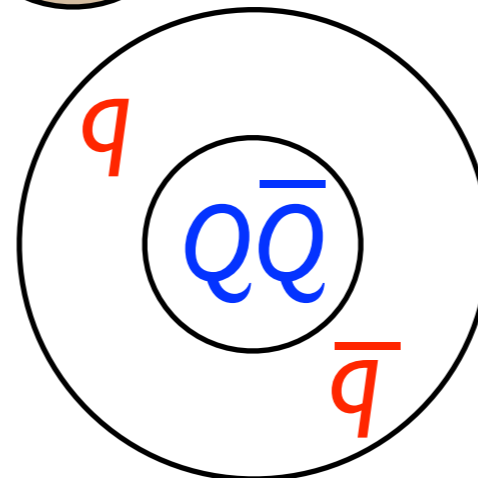
- meson molecule



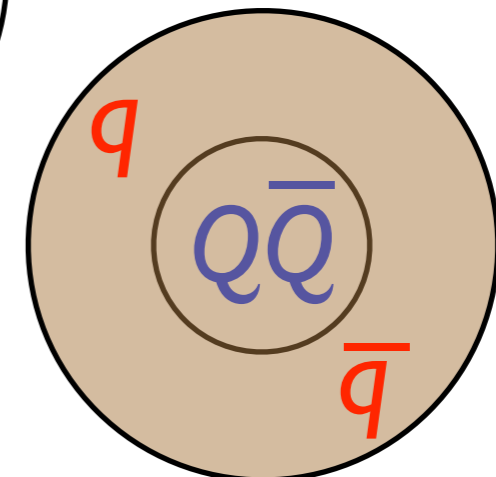
- diquark-onium



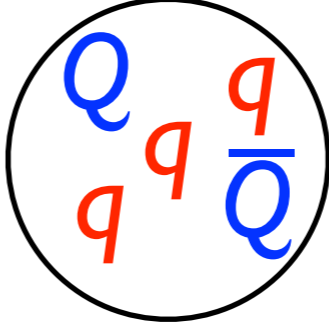
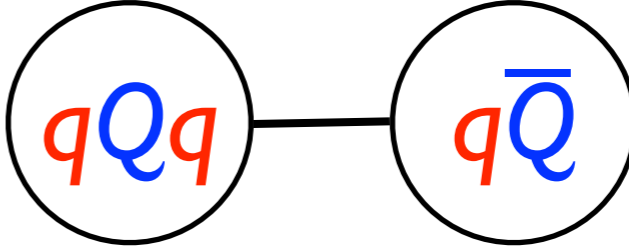
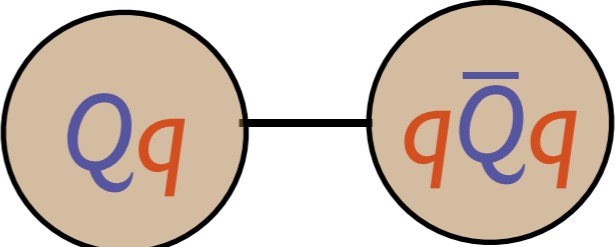
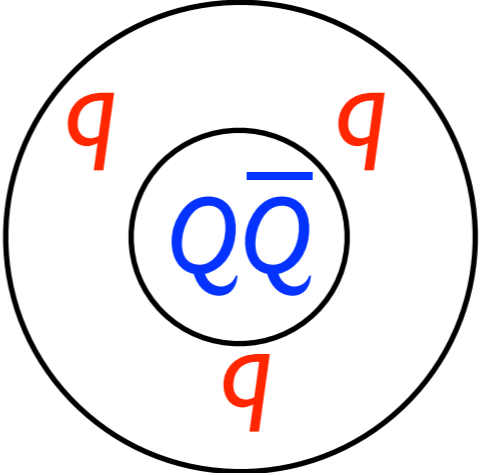
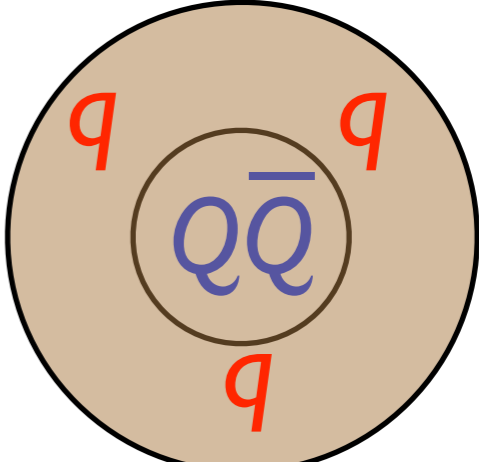
- hadro-quarkonium



- quarkonium adjoint meson



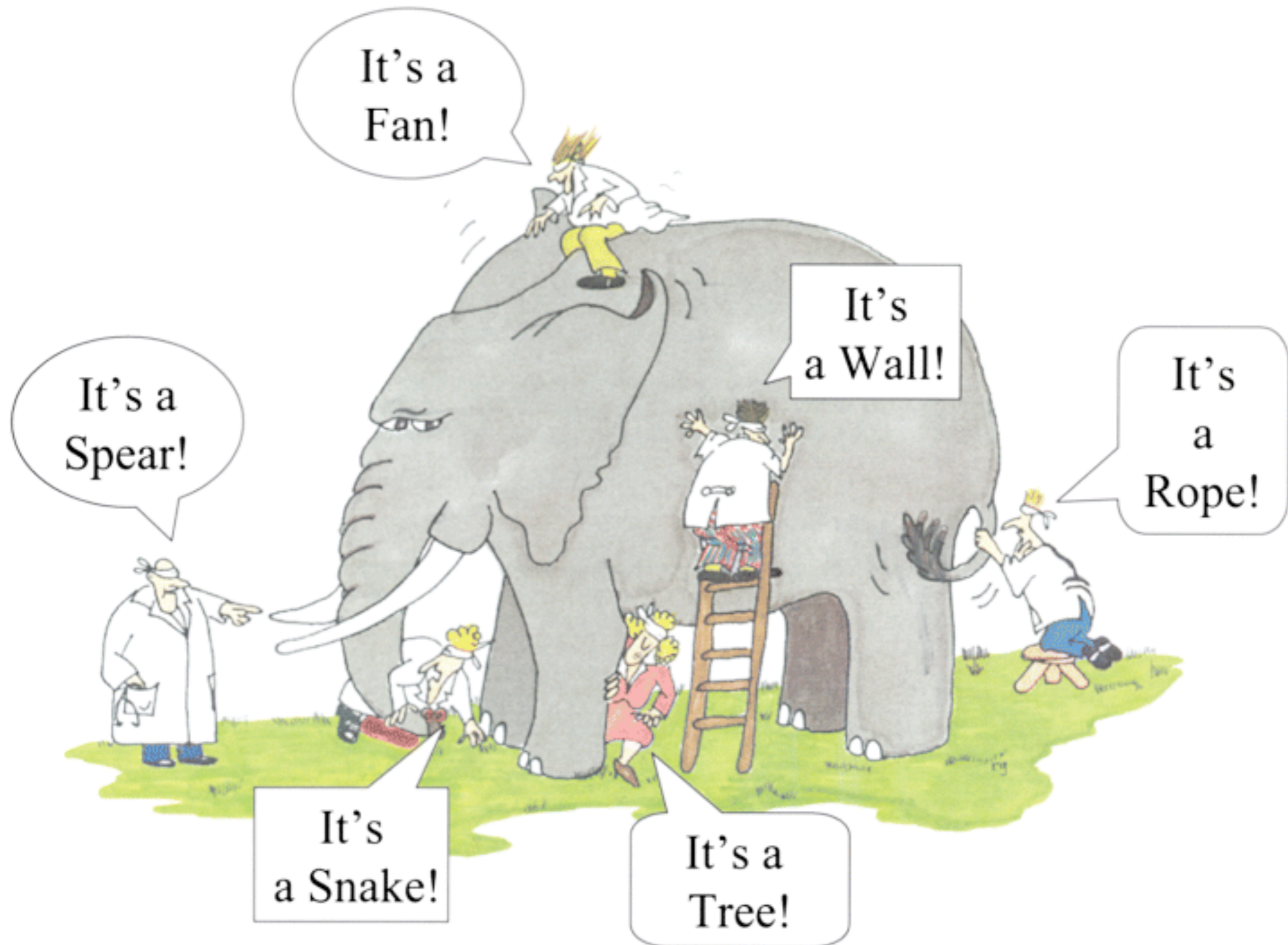
# Quarkonium Pentaquarks

- compact pentaquark 
- baryon-meson molecule 
- diquark+triquark 
- hadro-quarkonium 
- quarkonium adjoint baryon 

# Models for $XYZ$ Hadrons

- little connection with fundamental theory  $QCD$   
constituents: plausible degrees of freedom from  $QCD$   
interactions: purely phenomenological
- some success in describing individual  $XYZ$  mesons
- no success in revealing pattern of  $XYZ$  mesons

# Models for XYZ Hadrons



# Approaches within QCD

fundamental fields: quarks and gluons

parameters:  $\alpha_s$ , quark masses

- Lattice QCD
- QCD Sum Rules?
- Born-Oppenheimer approximation

# $c\bar{c}$ Mesons from Lattice QCD

Dudek, Edwards, Mathur, Richards 2007

Hadron Spectrum Collaboration 2012

## Lattice QCD

- anisotropic lattice:  $24^3 \times 128$
- lattice spacing:  $a = 0.12 \text{ fm}$
- unphysical light quark masses:  $m_\pi = 400 \text{ MeV}$

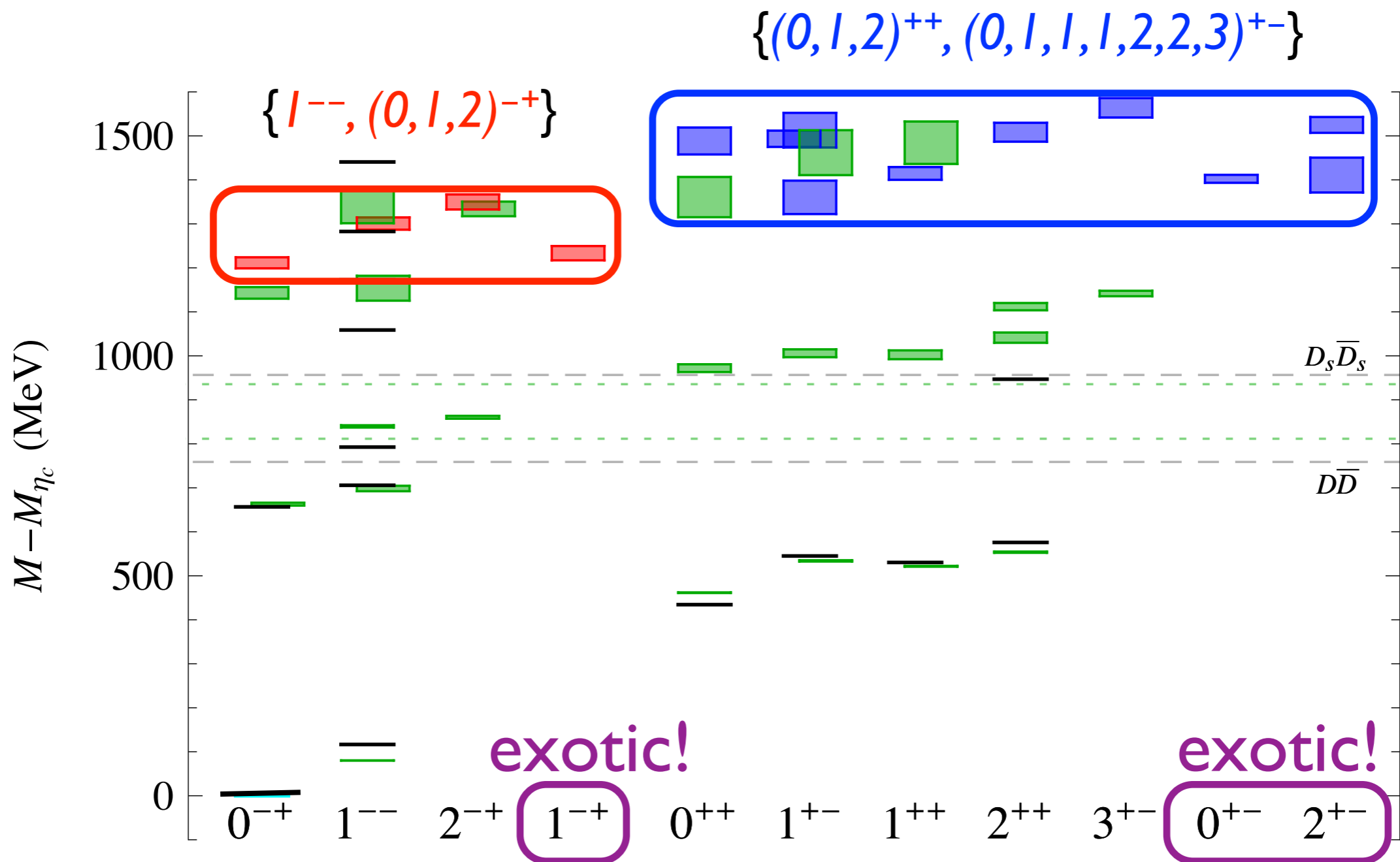
caveats: no extrapolation to physical  $u, d$  quark masses  
no extrapolation to  $a = 0$

- determine masses of charmonium and charmonium hybrids from cross-correlators of many operators
- identified 46 states with various  $J^{PC}$ :  
 $J$  up to 4, mass up to 4.6 GeV
- discriminate between charmonium and charmonium hybrids

# $c\bar{c}$ Mesons from Lattice QCD

Hadron Spectrum Collaboration 2012

14 charmonium hybrid candidates  
lowest 4 form a spin-symmetry multiplet





# $b\bar{b}$ Mesons from Lattice NRQCD

$m_b$  large  $\implies$  use nonrelativistic effective field theory:  
lattice NRQCD

Lattice NRQCD Juge, Kuti, Morningstar 1999

- anisotropic lattice:  $15^3 \times 45$
- lattice spacing:  $a = 0.11$  fm
- quenched: no virtual quark-antiquark pairs!

caveat: no dynamical light quarks

- identified 4 bottomonium hybrid states

spin-singlet  $1^{--}$  state (from  $\{1^{--}, (0,1,2)^{-+}\}$  multiplet?)

$1^{++}$

$0^{++}$

2nd spin-singlet  $1^{--}$  state (radial excitation?)

# Quarkonium Tetraquark Mesons from Lattice QCD

## tetraquark $c\bar{c}$ mesons

with light quark+antiquark flavors  
Prelovsek, Leskovec, Moehler

### Lattice QCD

- anisotropic lattice:  $16^3 \times 32$
- lattice spacing:  $a = 0.12$  fm
- light quarks: 2 flavors,  $m_\pi = 270$  MeV

interpolating operators:  $c+\bar{c}$ ,  $D^*+\bar{D}$ ,  $J/\psi+\pi$ , diquark+antidiquark, ...

- $J^{PC} = 1^{++}$ : candidate for  $X(3872)$  but probably  $\chi_{c1}(2P)$
- $J^{PC} = 1^{+-}$ : no signal for  $Z_c^+$  tetraquark

## tetraquark $b\bar{b}$ mesons ???

# Quarkonium Pentaquark Baryons from Lattice QCD

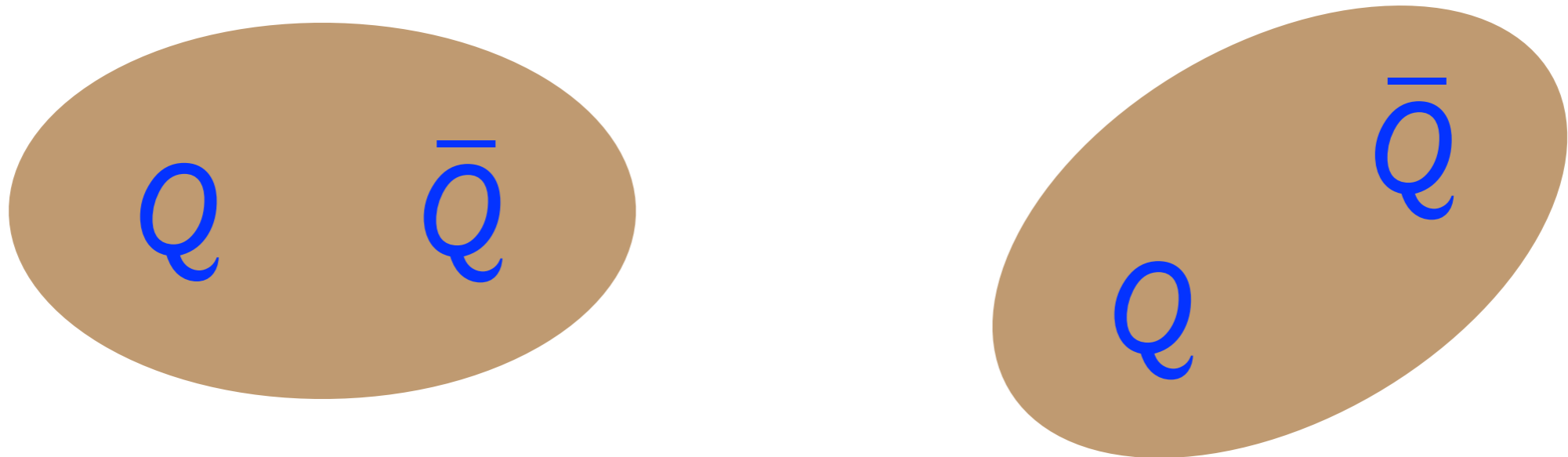
# Born-Oppenheimer Approximation for Quarkonium Hybrids

pioneered by Juge, Kuti, Morningstar 1999

- heavy quark mass  $\gg \Lambda_{\text{QCD}}$
- $Q$  and  $\bar{Q}$  move nonrelativistically
- gluons respond almost instantaneously to the motion of the  $Q$  and  $\bar{Q}$

## B-O approximation: hybrids

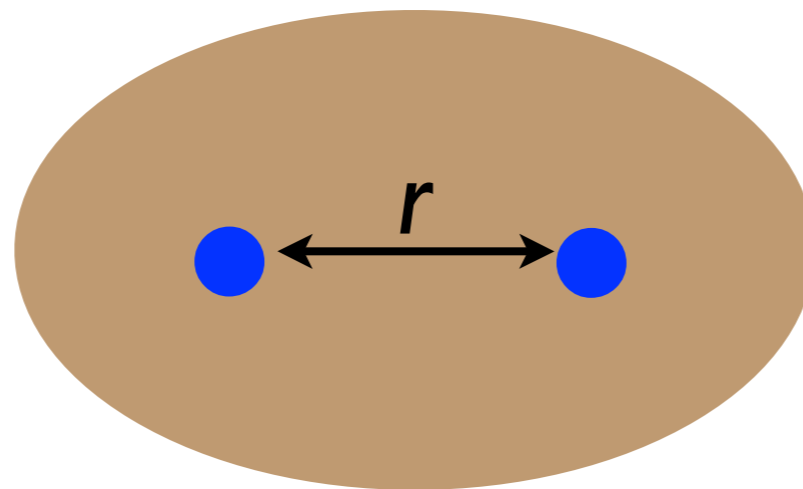
- given the positions of the  $Q$  and  $\bar{Q}$ , the **gluon** fields are in a **stationary state** in the presence of static  $Q$  and  $\bar{Q}$  sources



- as the positions of the  $Q$  and  $\bar{Q}$  change, the **gluon** fields remain adiabatically in that **stationary state**

## B-O approximation: hybrids

- energy of stationary state of gluon fields in presence of static  $Q$  and  $\bar{Q}$  sources separated by distance  $R$  defines Born-Oppenheimer potential  $V(R)$

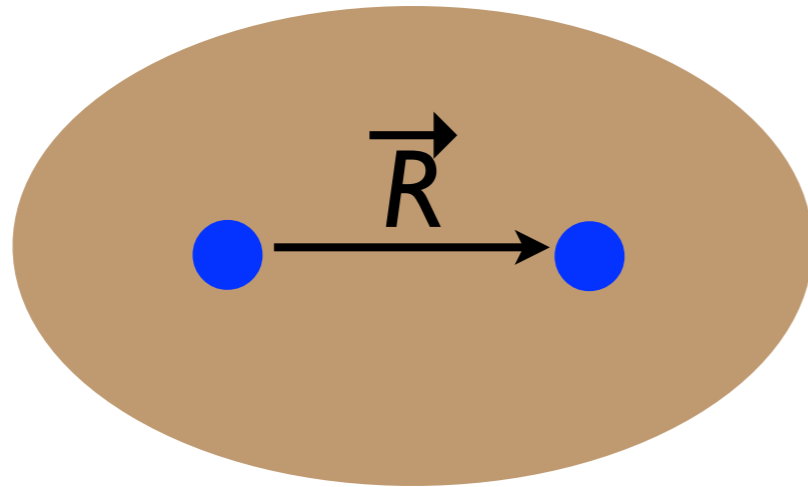


- Born-Oppenheimer approximation: motion of  $Q$  and  $\bar{Q}$  is described by Schrodinger equation in potential  $V(R)$

## B-O approximation: hybrids

stationary states for gluon fields

in presence of static  $Q$  and  $\bar{Q}$  sources  
separated by vector  $\vec{R}$



conserved quantum numbers:  $|\Omega|_{\eta}^{\varepsilon}$

- component of angular momentum along symmetry axis  
 $\hat{R} \cdot \vec{J}_{\text{light}} \equiv \Omega, \quad |\Omega| = 0, 1, 2, \dots$  (or  $\Sigma, \Pi, \Delta, \dots$ )
- product of charge conjugation and parity  
 $(CP)_{\text{light}} \equiv \eta = +1, -1$  (or  $g, u$ )
- for  $\Omega=0$  ( $\Sigma$ ), reflection through plane containing sources  
 $R_{\text{light}} \equiv \varepsilon = +1, -1$  (or  $+, -$ )

# B-O approximation: hybrids

## Born-Oppenheimer potentials

labelled by  $|\Omega|_\eta$

$$|\Omega| = \Sigma, \Pi, \dots$$

$$\eta = g, u$$

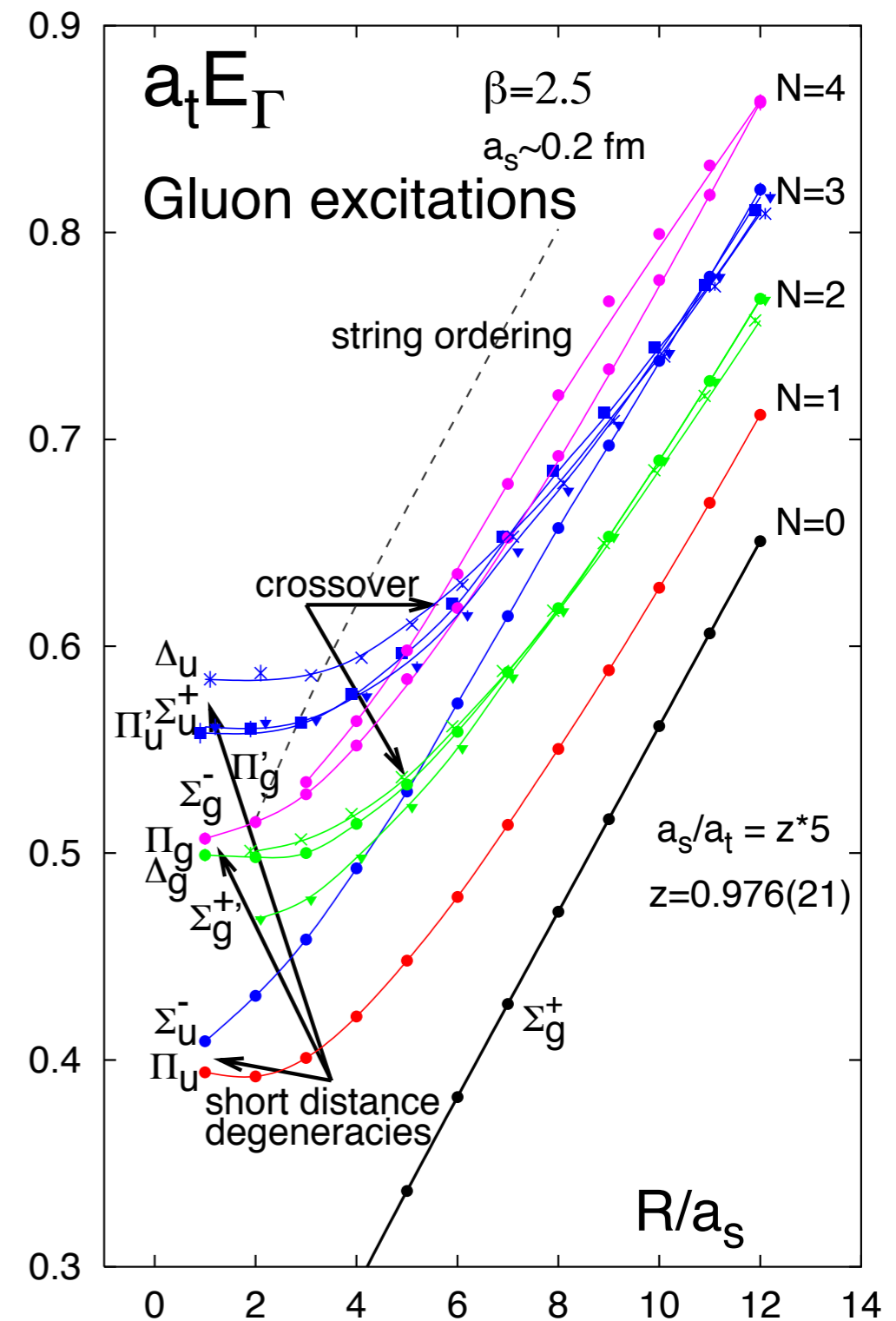
calculate using lattice QCD

Juge, Kuti, Morningstar 1999

- anisotropic lattice:  $10^3 \times 30$
- lattice spacing:  $a = 0.2 \text{ fm}$
- quenched: no virtual quark-antiquark pairs!

deepest Born-Oppenheimer potentials:

$$\Sigma_g^+, \Pi_u, \Sigma_u^-, \dots$$





## B-O approximation: hybrids

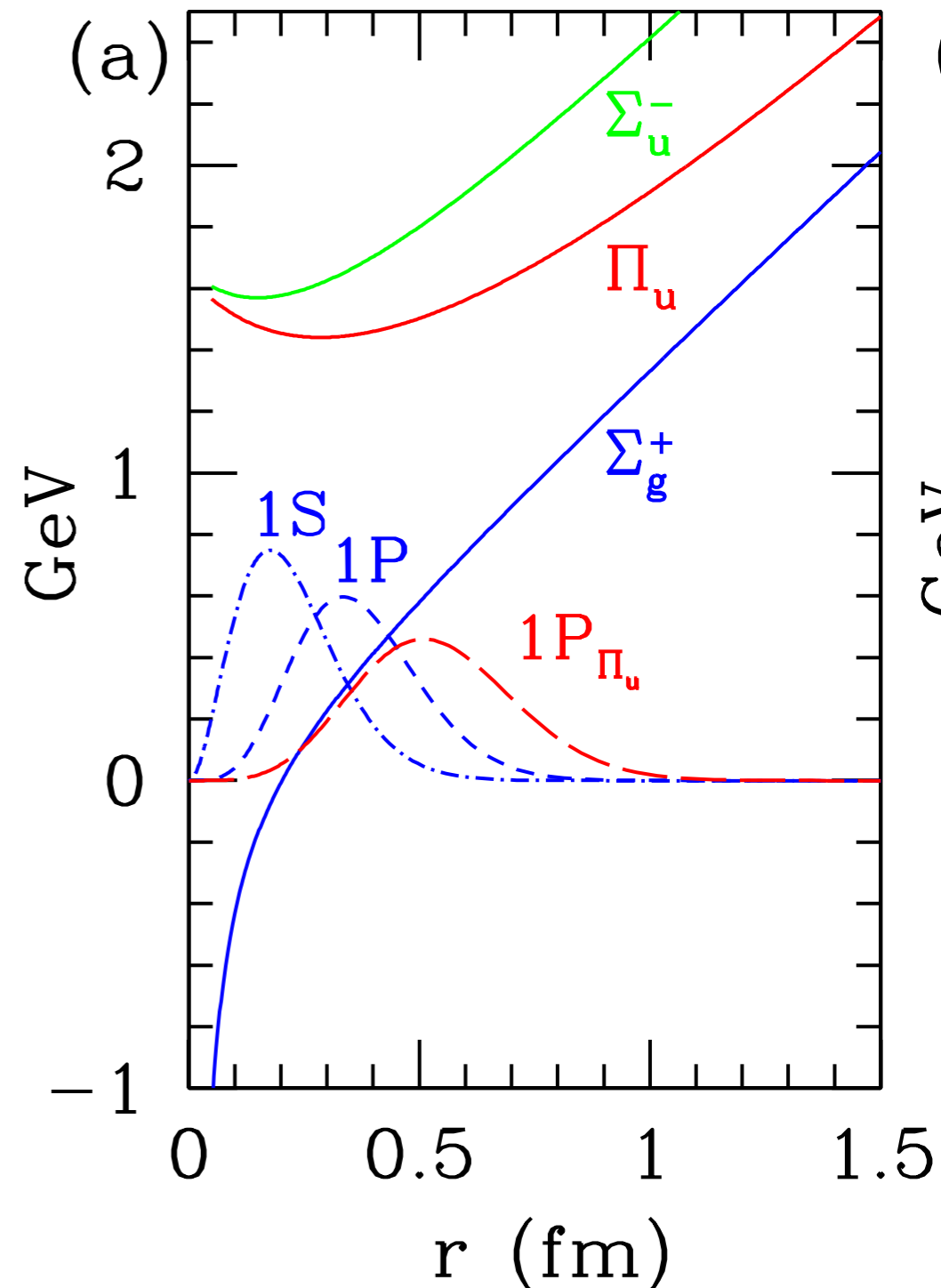
solve Schroedinger equation in Born-Oppenheimer potentials  
Juge, Kuti, Morningstar 1999

energy levels labelled by  $nL$   
radial quantum number:  $n = 1, 2, 3, \dots$   
orbital angular momentum:  $L \geq \Lambda$   
 $L = 0, 1, 2, \dots$  or  $S, P, D, \dots$

energy levels in  $\Sigma_g^+$  potential:  
quarkonium

energy levels in  $\Pi_u, \Sigma_u^-, \dots$  potentials:  
quarkonium hybrids

energy splittings: semiquantitative  
agreement with lattice NRQCD





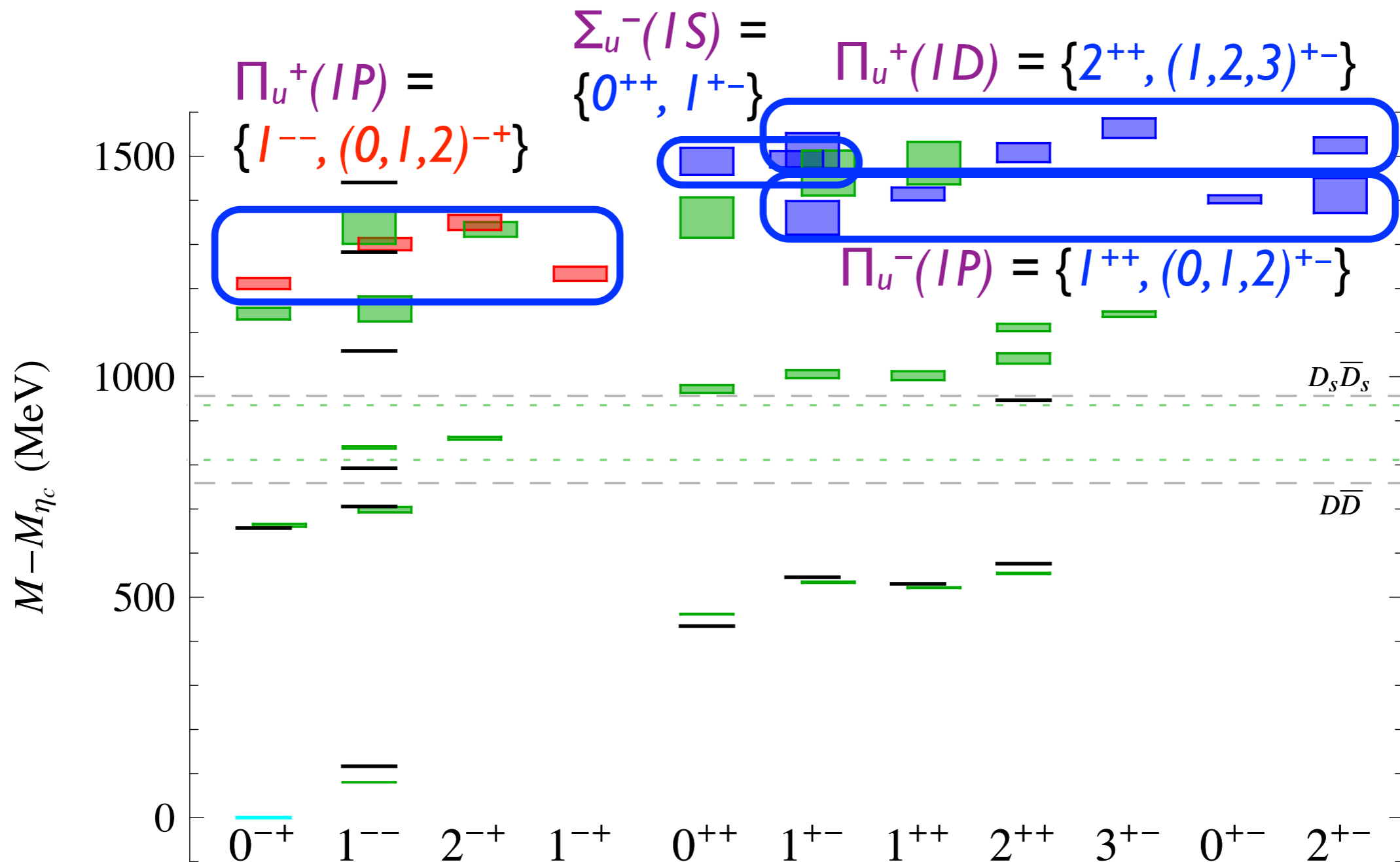
B-O approximation: hybrids

# Lattice QCD Hadron Spectrum Coll 2012

14 charmonium hybrid candidates

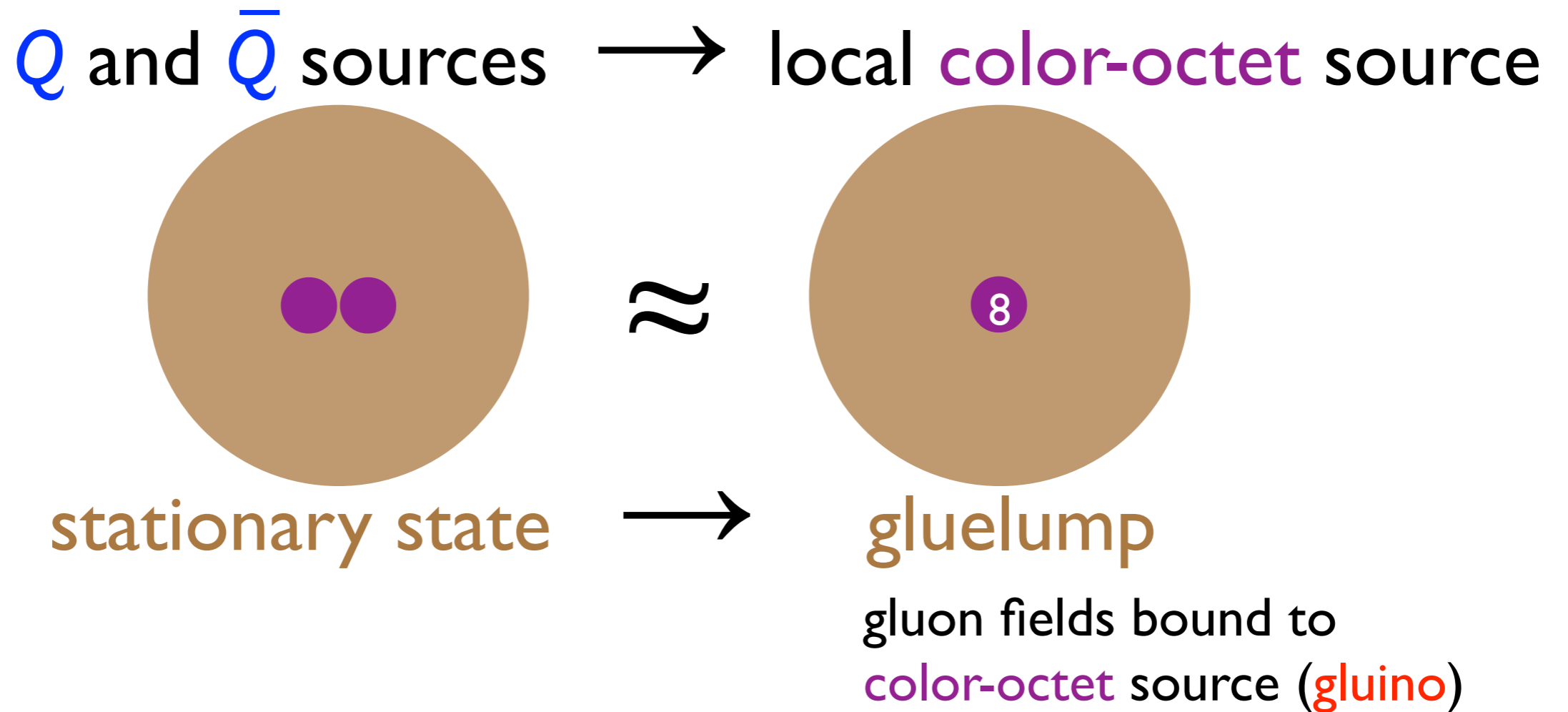
4 complete heavy-quark spin multiplets

4 of the lowest Born-Oppenheimer energy levels



B-O approximation: hybrids

Hybrid Born-Oppenheimer potentials ( $\Pi_u, \Sigma_u^-, \dots$ )  
at small  $R$



potential:  $V(r) \longrightarrow \frac{\alpha_s}{6R} + \text{constant}$

constant = energy of gluelump

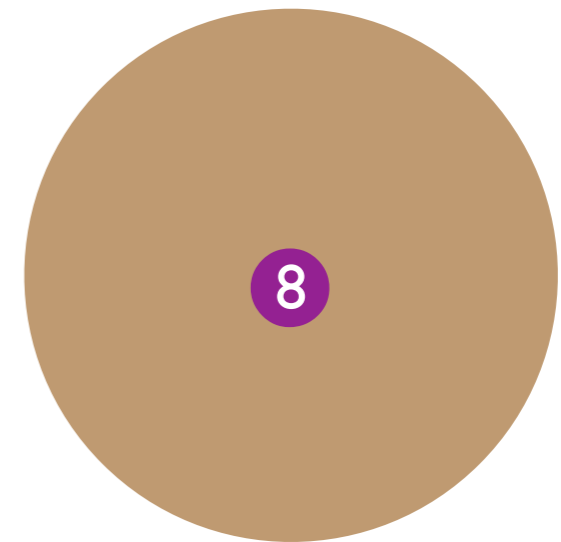
B-O approximation: hybrids

## gluelump spectrum from Lattice QCD

Marsh and Lewis arXiv:1309.1627

### Lattice QCD

- anisotropic lattice:  $28^3 \times 56$
- lattice spacing:  $a = 0.07$  fm
- unphysical light quark masses:  $m_\pi = 480$  MeV



lowest energy:  $1^{+-}$

2nd lowest:  $1^{--}$  (300 MeV higher)

3rd lowest:  $2^{--}$  (700 MeV higher)

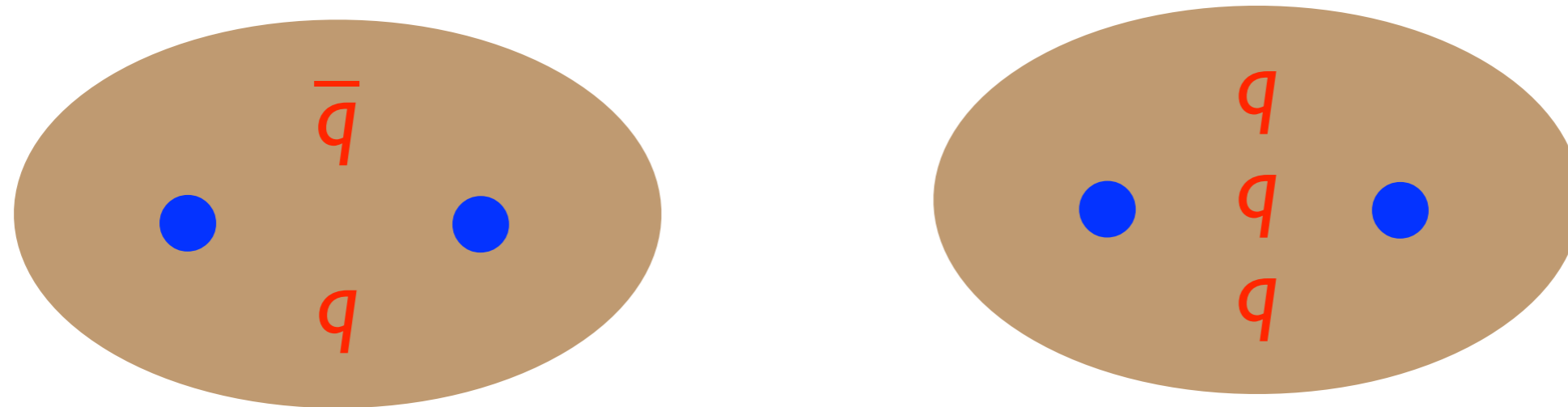
lowest energy gluelump

$\implies$  deepest hybrid Born-Oppenheimer potentials

$$1^{+-} \implies \Pi_u, \Sigma_u^-$$

# Born-Oppenheimer Approximation for Quarkonium Tetraquarks and Pentaquarks

Light quarks can respond almost instantaneously  
to the motion of the heavy quarks, just like gluon fields



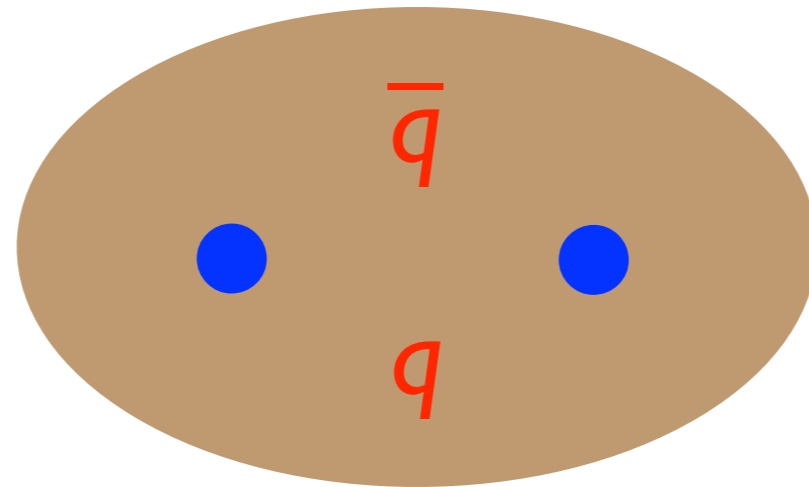
Quarkonium Tetraquarks (and Pentaquarks)

can be treated using the Born-Oppenheimer approximation  
just like Quarkonium Hybrids

except that the stationary state of gluons and light quarks  
has Born-Oppenheimer quantum numbers  
and also light-quark flavors

B-O Approximation: tetraquarks

Tetraquark Born-Oppenheimer potentials?



No Lattice QCD calculations  
of tetraquark Born-Oppenheimer potentials

but there is one hint from Lattice QCD

B-O approximation: tetraquarks

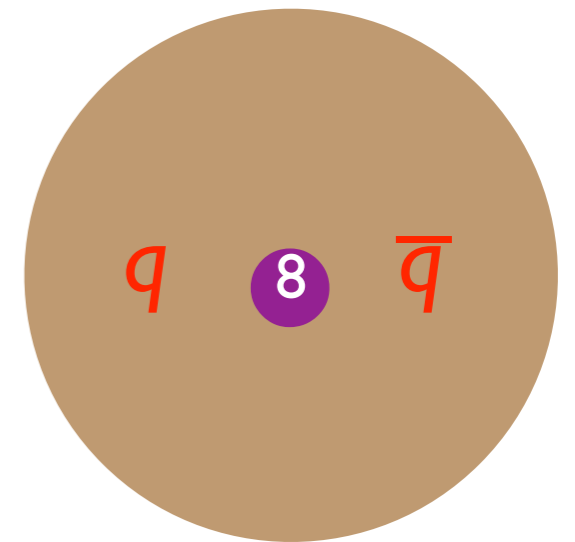
## adjoint meson spectrum

adjoint meson  $\equiv$  light-quark and gluon fields  
with light-quark+antiquark flavor  
bound to color-octet source (gluino)

quenched Lattice QCD

Foster, Michael hep-lat/98111010

lowest energy:  $1^{--}$  or  $0^{-+}$



lowest-energy adjoint mesons

$\implies$  deepest tetraquark Born-Oppenheimer potentials

$$1^{--} \implies \Pi_g, \Sigma_g^+ \quad 0^{-+} \implies \Sigma_u^-$$



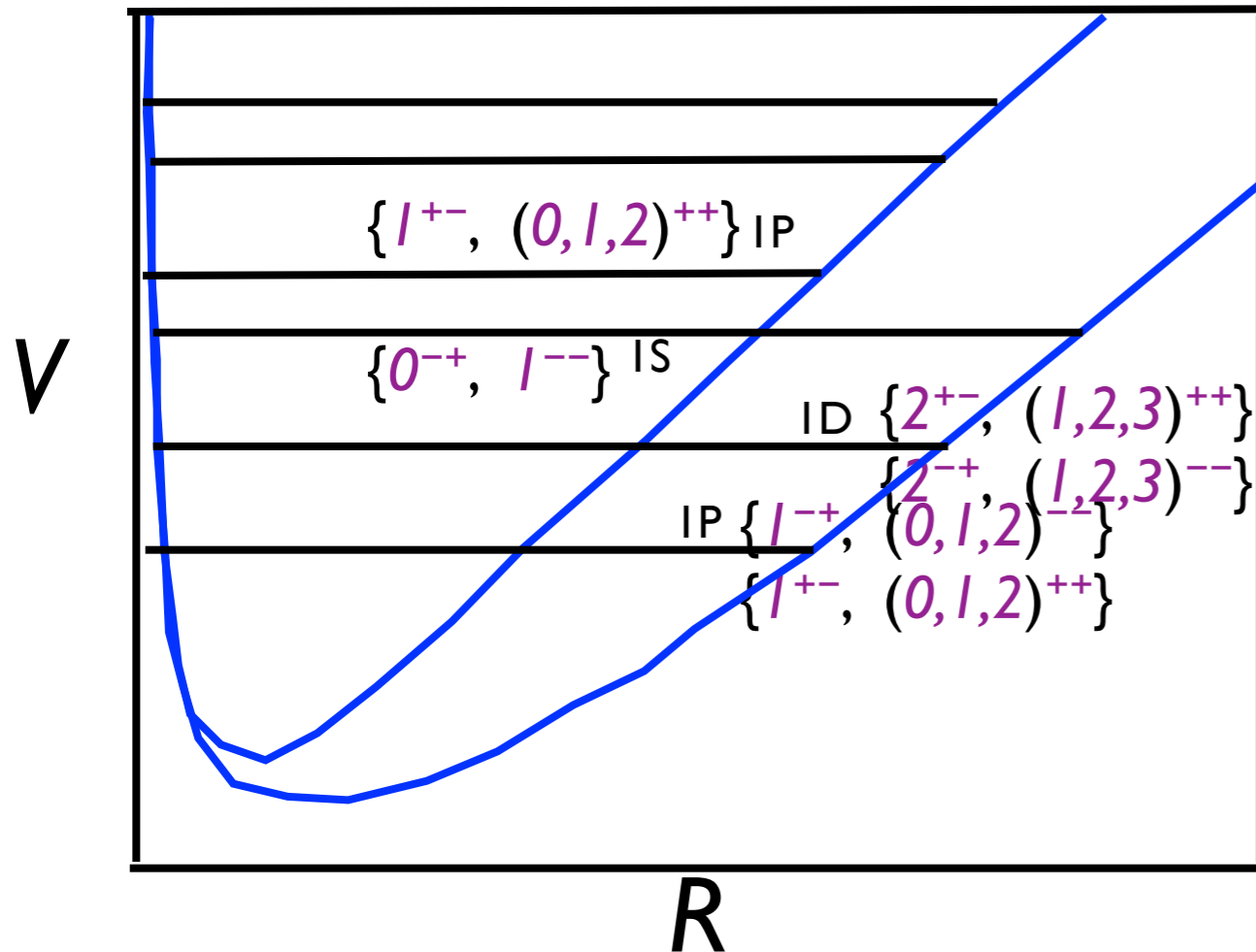
# B-O Approximation: tetraquarks

separate tetraquark Born-Oppenheimer potentials for each of three light flavors: isospin 1, isospin 0,  $s\bar{s}$

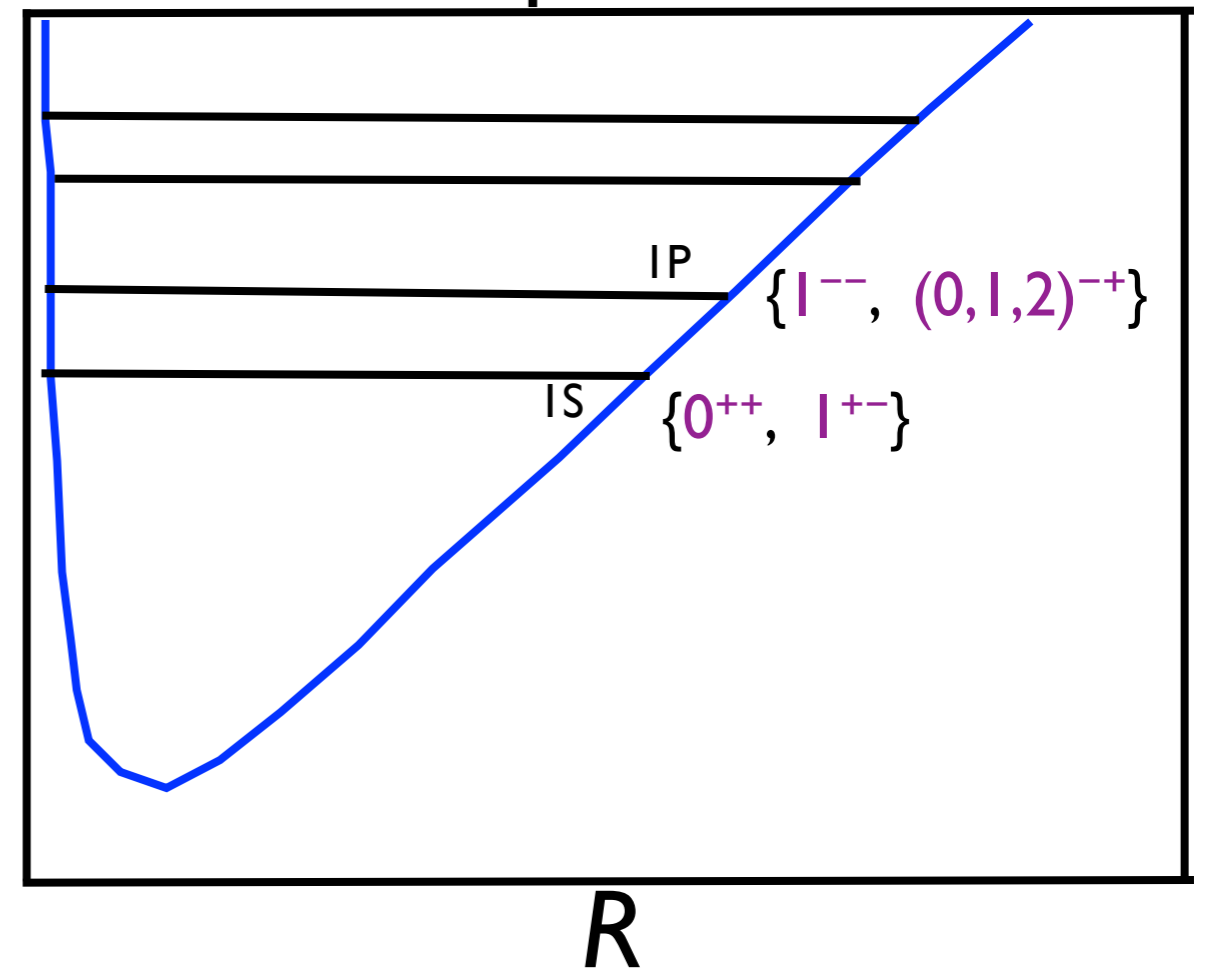
$J^{PC}$  states for lowest tetraquark energy levels  $nL$

(IF quenched lattice QCD correctly identifies lightest adjoint mesons)

$\Pi_g^\pm, \Sigma_g^+$  potentials

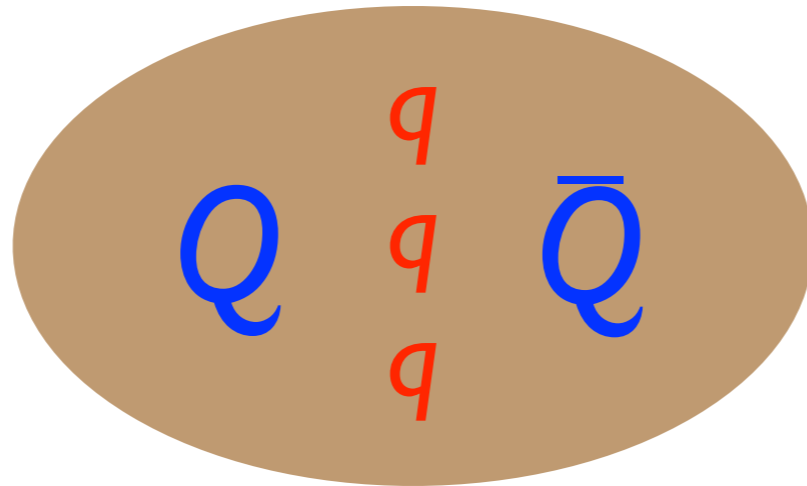


$\Sigma_u^-$  potential



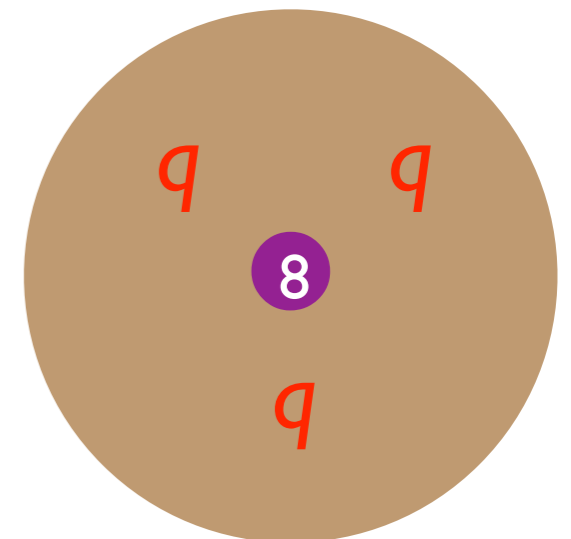
B-O Approximation: pentaquarks

Pentaquark Born-Oppenheimer potentials?



There are no Lattice QCD calculations of pentaquark Born-Oppenheimer potentials

There are not even Lattice QCD calculations of the adjoint baryon spectrum



# Born-Oppenheimer approximation

implies that **quarkonium hybrids, tetraquarks, pentaquarks** should all exist as states in the **QCD** spectrum

whether they can be observed in experiments depends on

- how narrow they are
- how easily they can be produced
- whether they have favorable decay modes

## Born-Oppenheimer approximation

has not yet revealed a compelling pattern for the **XYZ hadrons**

- too many unknown **B-O** potentials
- too few **XYZ hadrons** with known  $J^{PC}$

## Selection rules for hadronic transitions

between **B-O** configurations may provide useful constraints

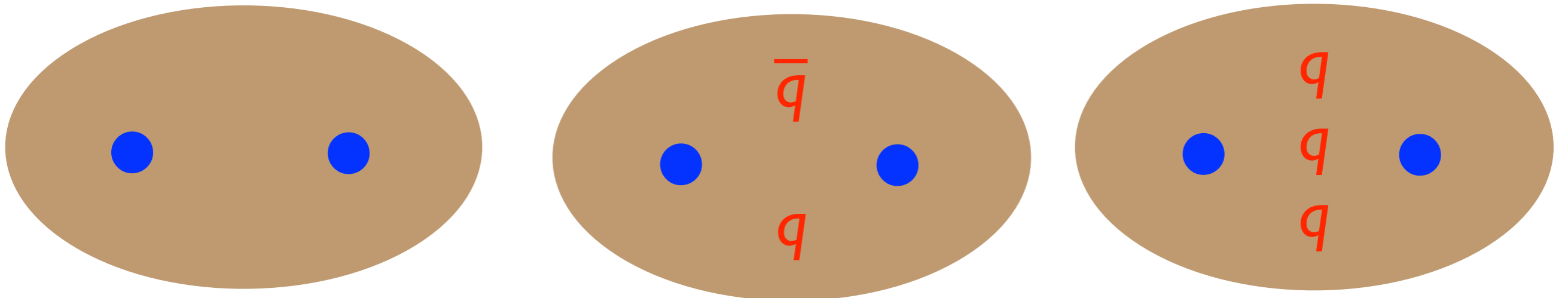
Braaten, Langmack, Smith arXiv:1401.735, arXiv:1402.0438

# Conclusions

The discoveries of the  $XYZ$  mesons and baryons have revealed a serious gap in our understanding of the QCD spectrum

Constituent models for the  $XYZ$  mesons and baryons have not presented a compelling pattern and make little contact with QCD

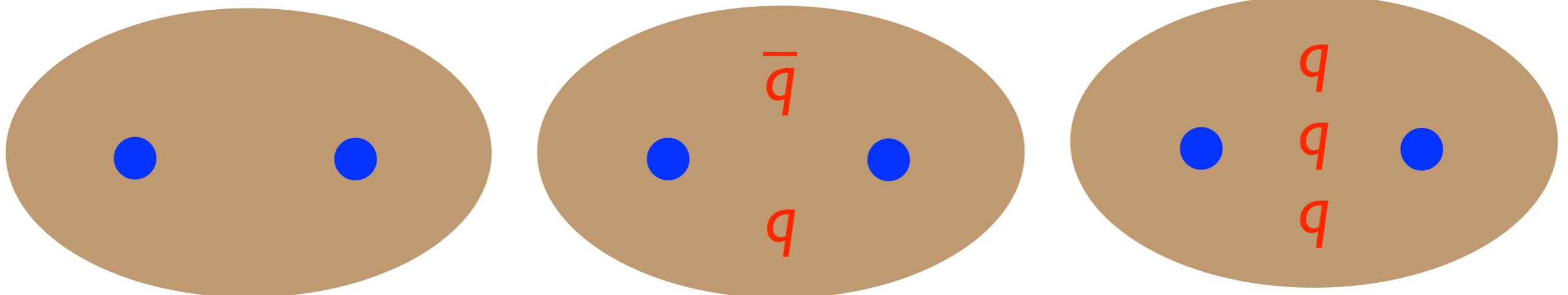
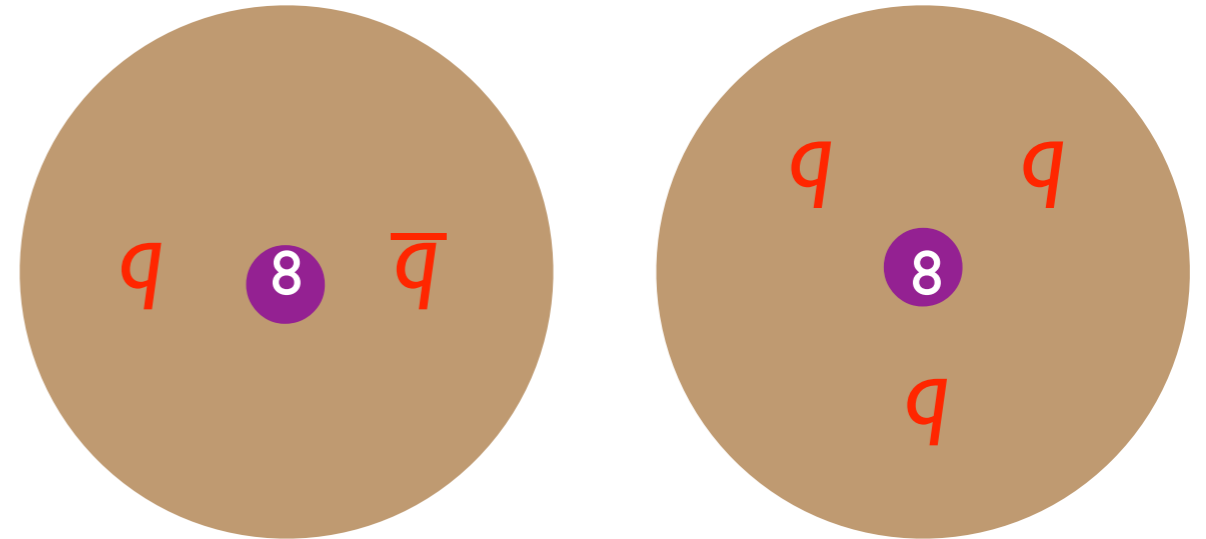
Born-Oppenheimer approximation has not yet provided a compelling pattern for the  $XYZ$  hadrons but it is based firmly on QCD



# Conclusions

## What is needed from Lattice QCD

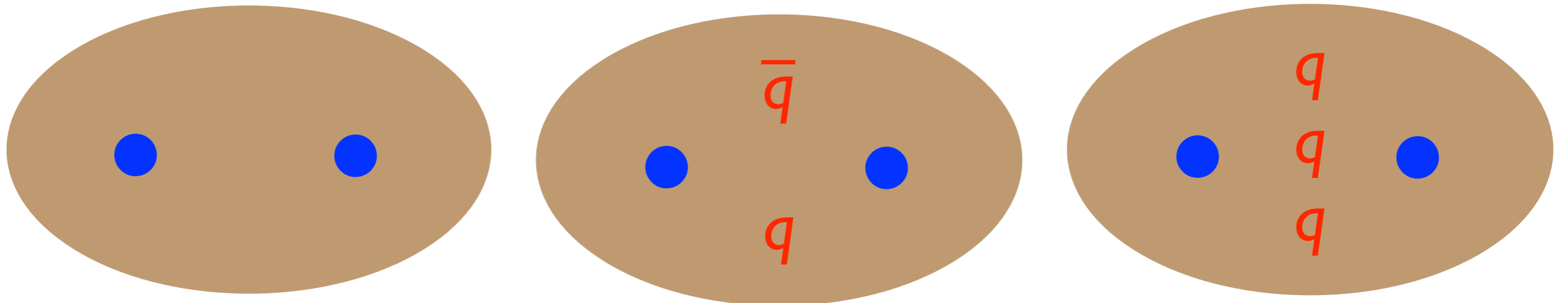
- spectrum of adjoint mesons and adjoint baryons
- $b\bar{b}$  hybrid meson spectrum
- Born-Oppenheimer potentials for tetraquarks and for pentaquarks
- avoided crossings between B-O potentials and hadron-pair thresholds



# Conclusions

## What is needed from non-lattice theory

- infer Born-Oppenheimer potentials from data on XYZ hadrons?
- phenomenological models for hadronic transitions between quarkonium, hybrids, tetraquarks, pentaquarks
- develop effective field theory (BOEFT?) based on the Born-Oppenheimer approximation



## Future experiments on the $XYZ$ hadrons

- $e^+e^-$  experiments
  - charm factory BEPCII
  - bottom factory Super KEK-B
- LHC experiments
  - ATLAS and CMS
  - LHCb
- PANDA:  $p\bar{p}$  annihilation at resonance
  - into charmonium (and hybrids and tetraquarks)

## What is needed from experiment

- more  $J^{PC}$ 's
- more transitions (hadronic and radiative)
- more  $XYZ$  mesons and baryons