

EXPERIMENTAL OVERVIEW OF LEPTON NONUNIVERSALITY RESULTS AND PROSPECTS FROM B PHYSICS

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(ON BEHALF OF THE LHCb COLLABORATION,
INCLUDING RESULTS FROM BABAR AND BELLE)



Lepton universality

- In SM, charged lepton flavors are *identical copies* of one another
 - Electroweak couplings are trivially equal for all three flavors by construction, only Higgs Yukawa couplings differentiate them
 - Amplitudes for processes involving e, μ, τ must all be identical up to effects depending on lepton mass (these effects can be large!)
 - Examples:
 - $\mathcal{B}(Z \rightarrow e^+e^-) = \mathcal{B}(Z \rightarrow \mu^+\mu^-) = \mathcal{B}(Z \rightarrow \tau^+\tau^-)$
 - $\mathcal{B}(\psi(2S) \rightarrow e^+e^-) = \mathcal{B}(\psi(2S) \rightarrow \mu^+\mu^-) = \mathcal{B}(\psi(2S) \rightarrow \tau^+\tau^-)/0.3885$
- -> Observation of violations of lepton universality would be a clear sign for physics beyond the standard model
 - Searches have been underway for violations in a number of different systems over the years
 - $Z \rightarrow \ell\ell, W \rightarrow \ell\nu, \tau \rightarrow \ell\nu\bar{\nu}, \pi \rightarrow \ell\nu, K \rightarrow \pi\ell\nu$, etc...
 - These provide very strong limits on nonuniversality in the SM electroweak interactions

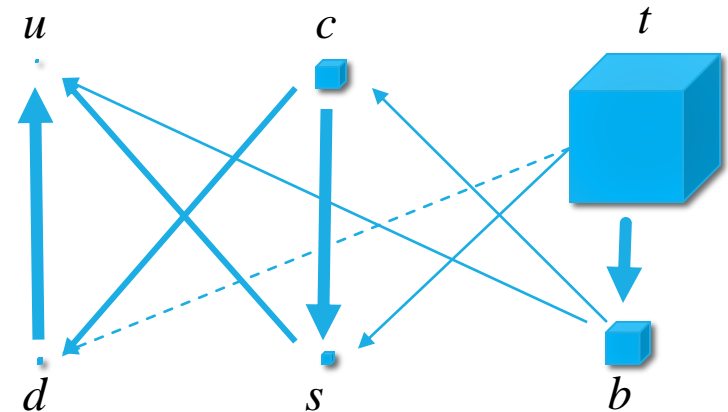
Nonuniversality in NP

- Universality of the EW interactions does not necessarily imply universality of physics beyond the SM
- In particular, new physics preferentially coupling to the 3rd generation is usually less well-constrained, and can modify SM charged and neutral currents
 - Examples: A^0 , H^\pm , new vectors coupled to SM Higgs doublet, leptoquarks
- Many models are strongly constrained by high-Pt searches, but can be tuned to evade these bounds while preserving their effect on heavy flavor searches
- **LFU measurements in heavy flavor decay provide additional constraining power beyond light flavor and tau decay measurements**

SM flavor structure and B physics basics

- Standard model flavor structure is described by the Cabibbo-Kobayashi-Maskawa mixing matrix
- V_{CKM} hierarchical & nearly diagonal
 - Quark flavor transitions mixing different generations suppressed
 - 3rd generation especially “isolated”
- This leads to suppression of all tree-level b quark decay amplitudes
 - $|V_{cb}| \sim 0.04$
 - Makes B physics quite sensitive to NP generically misaligned with CKM
- Also leads to long b quark lifetime:
 - $\tau_B \sim 400 \mu\text{m}$! (= about 2x charm lifetime)
 - Very Important for hadron collider b tagging/reconstruction
 - Allows access to time-dependent phenomena

$$\begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix}$$



The players

Cast (1/3): BABAR/PEP-II

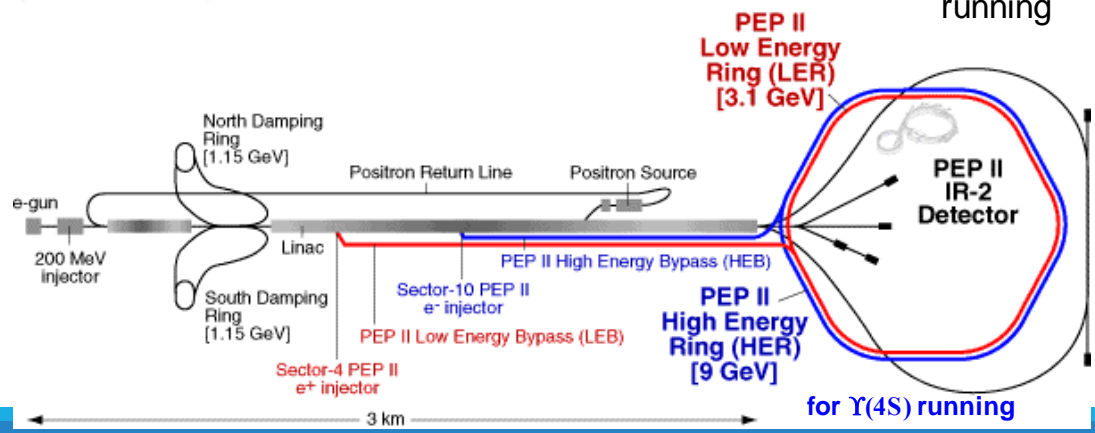
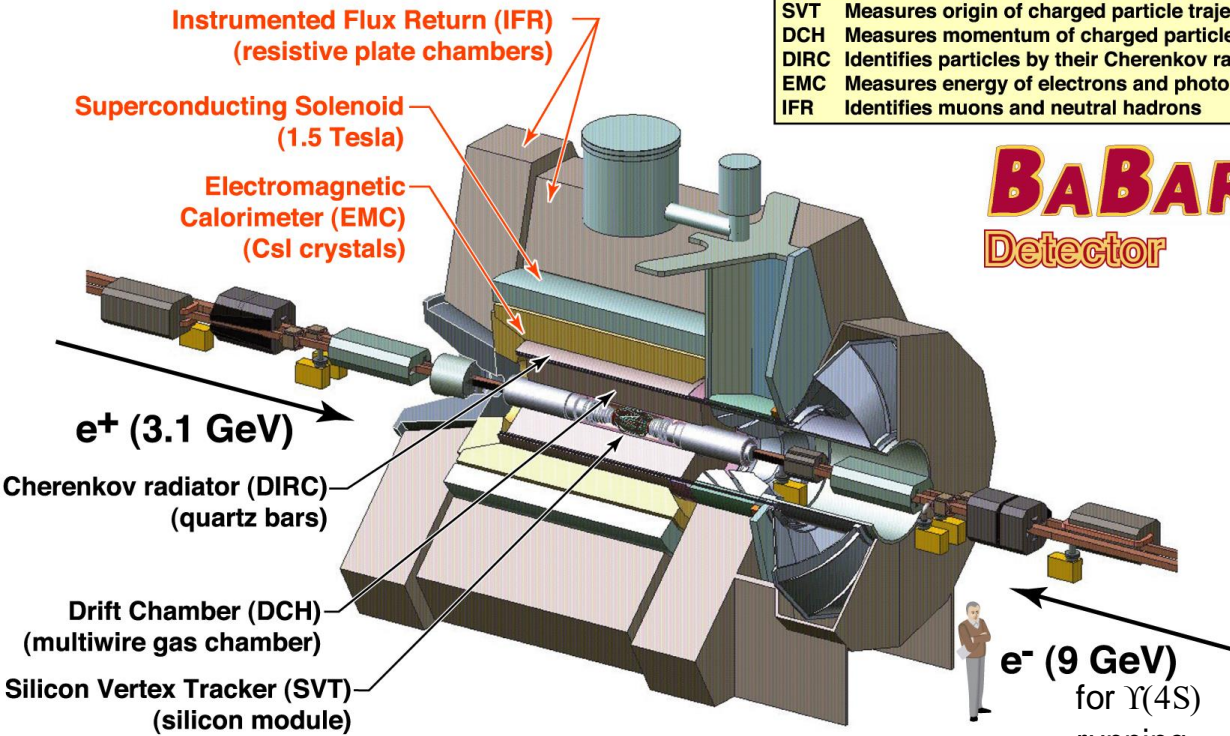


BABAR

SVT	Measures origin of charged particle trajectories
DCH	Measures momentum of charged particles
DIRC	Identifies particles by their Cherenkov radiation
EMC	Measures energy of electrons and photons
IFR	Identifies muons and neutral hadrons

BABAR™ Detector

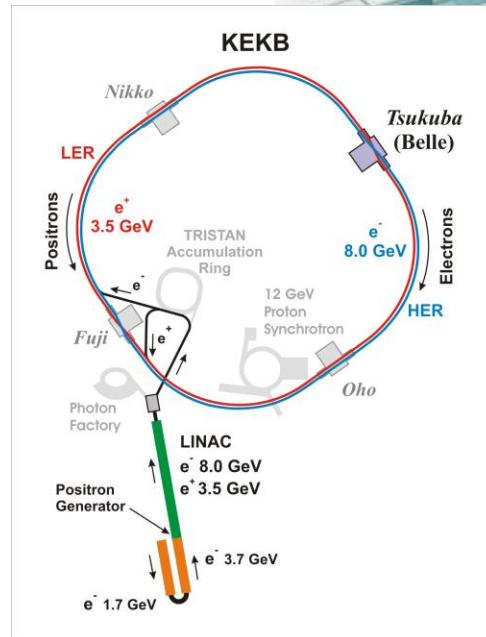
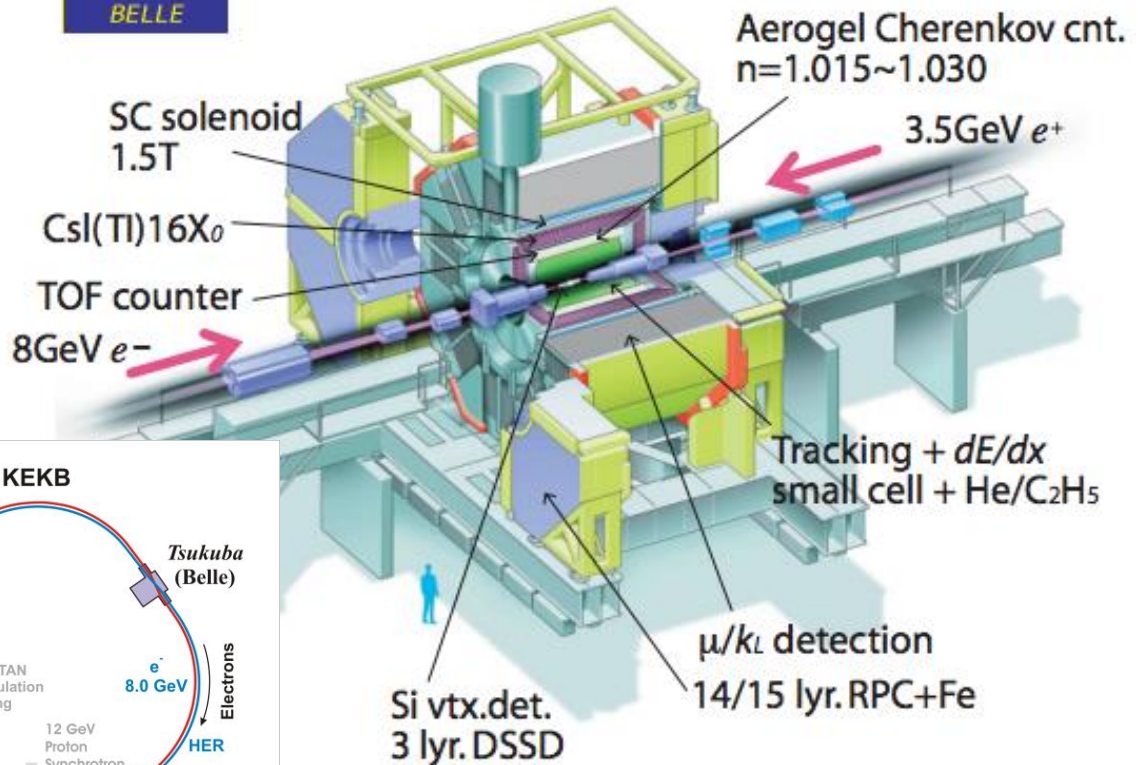
- 5-layer inner silicon strip tracker (SVT) plus 40-layer multicell drift chamber (DCH) in a 1.5 Tesla axial B field
- Dedicated Cherenkov PID system with quartz ($n \sim 1.474$) radiator
 - PID at low p from dE/dx in both DCH and SVT
- CsI(Tl) crystal calorimeter
- Magnet flux return instrumented with RPCs and/or LSTs (depending on run period)
- $\Upsilon(4S)$ dataset: $\approx 470 \times 10^6 B\bar{B}$ pairs



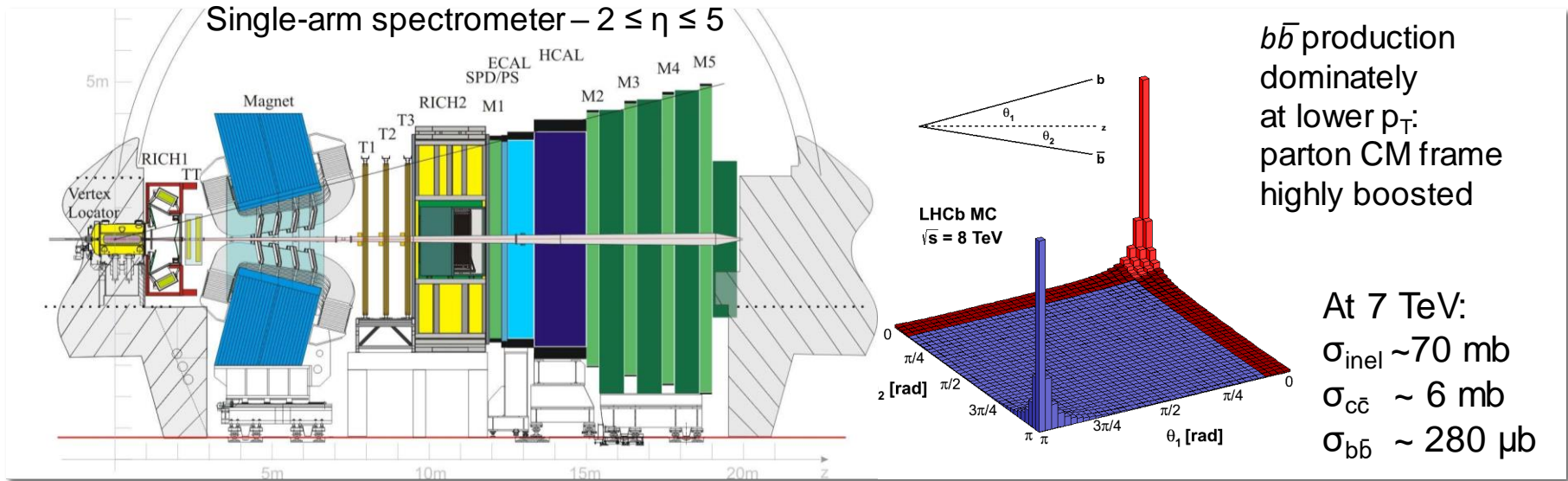
Cast (2/3): Belle/KEKB



- 3/4-layer double-sided Silicon Tracker plus drift chamber
- Particle ID via measurements of time of flight and Cherenkov counter
- Excellent μ/K_L detection
- CsI(Tl) crystal calorimeter measures photon energies and assists in PID
- $\Upsilon(4S)$ dataset: $\approx 770 \times 10^6 B\bar{B}$ pairs



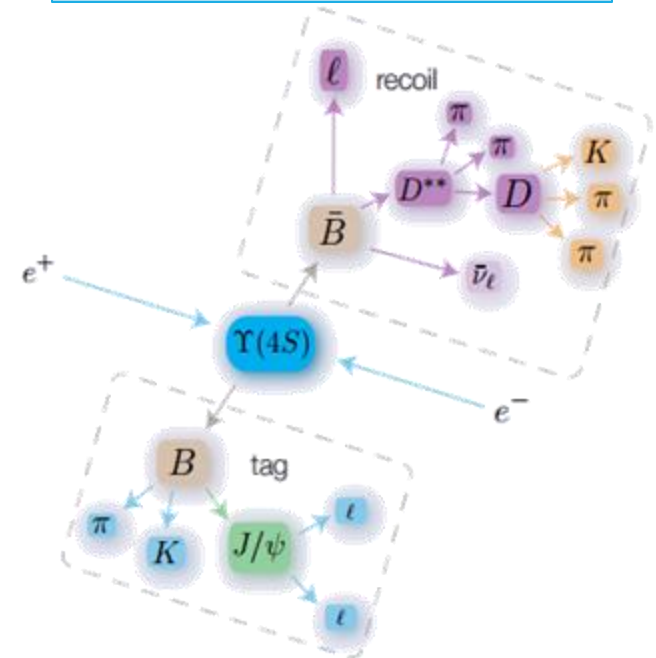
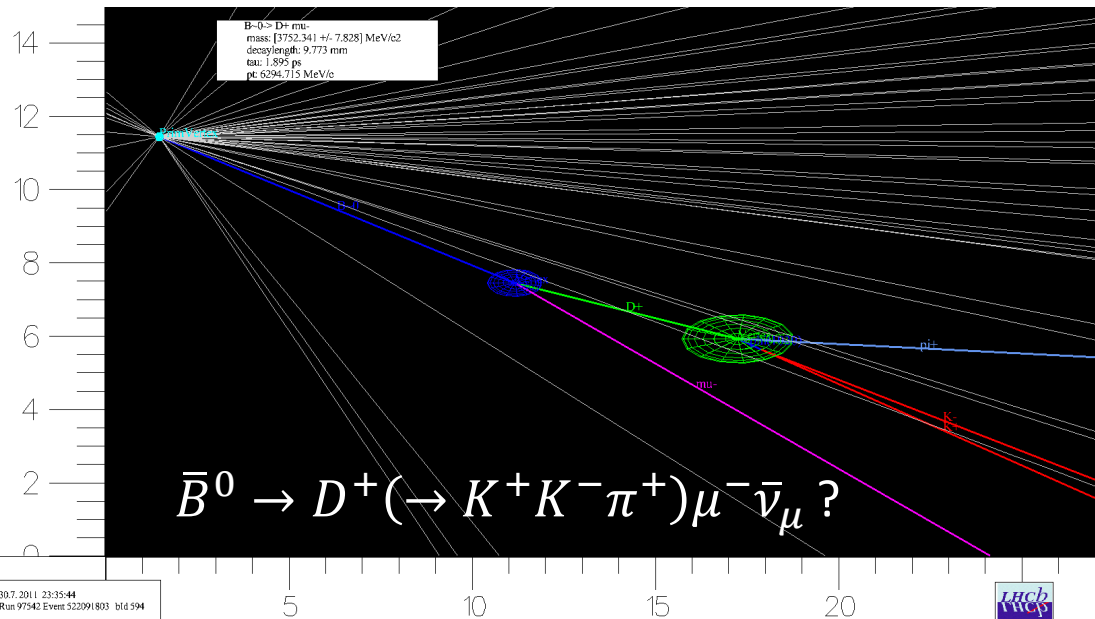
Cast (3/3) LHCb/LHC



- Single arm spectrometer optimized for beauty and charm physics at large η :
 - Trigger: $\sim 90\%$ efficient for dimuon channels, $\sim 30\%$ for all-hadronic
 - Tracking: $\sigma_p/p \sim 0.4\% - 0.6\%$ (p from 5 GeV to 100 GeV), $\sigma_{\text{IP}} < 20$ μm
 - Vertexing: $\sigma_\tau \sim 45$ fs for $B_s \rightarrow J/\psi\phi$
 - PID: 97% μ ID for 1-3% $\pi \rightarrow \mu$ misID
 - Dipole magnet polarity periodically flipped to change the sign of many reconstruction asymmetries
- Instruments $\lesssim 3\%$ of the solid angle to cover 27% of the b -quark cross-section
- Run1 dataset: 3fb^{-1} , Run2 data-taking has already begun with 50ns ramp

b hadron production

Figure from T. Lück's talk at ICHEP 2014



- B-factories: exploit clean **BB production** from $\Upsilon(4S)$

- Event shape discriminates $B\bar{B}$ vs $e^+e^- \rightarrow q\bar{q}$, $q = u, d, s, c$
- **B mesons fly together, easy to cross-feed tracks between the two B mesons**

- LHCb: exploit clean **B hadron decays**

- At LHC energies, b hadrons fly macroscopic distances before decaying: use displaced vertex, large impact parameter of charged tracks, etc
- **Production is $gg \rightarrow b\bar{b} + MPI + showering + ISR + \dots$, very messy**

Selected Results

Tau

○ B-factory measurements in $e^+e^- \rightarrow \tau^+\tau^-$ have been used to set tight limits on nonuniversality in the electroweak interaction

○ τ^\pm decays to different charged leptons are calculable in the SM and naturally have negligible hadronic uncertainty. Thus:

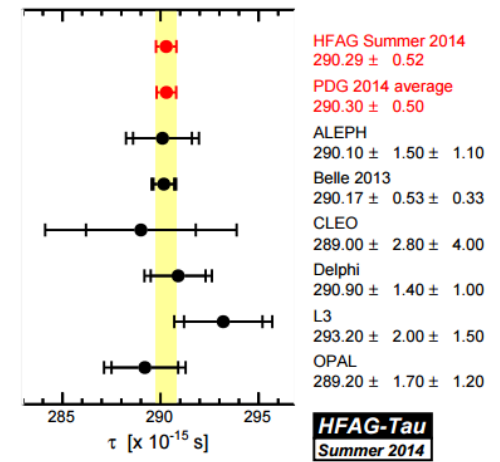
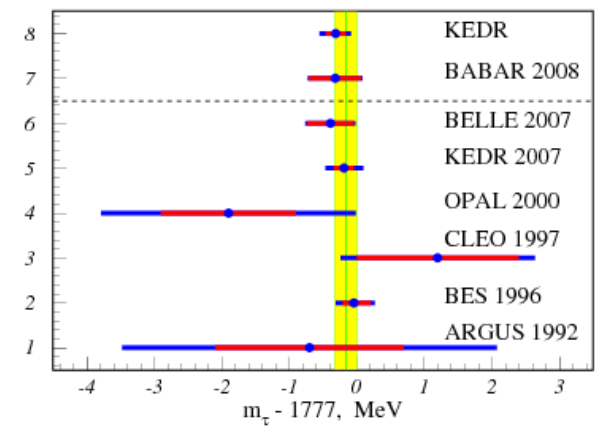
$$\frac{\Gamma_{\tau \rightarrow e}}{\Gamma_{\mu \rightarrow e}} \propto \left(\frac{g_\tau}{g_\mu}\right)^2 = \frac{\tau_\mu}{\tau_\tau} \mathcal{B}(\tau^- \rightarrow e^- \bar{\nu}_e \nu_\tau) \left(\frac{m_\mu}{m_\tau}\right)^5 \frac{f(m_e^2/m_\mu^2)r_{EW}^\mu}{f(m_e^2/m_\tau^2)r_{EW}^\tau}$$

$$\frac{\Gamma_{\tau \rightarrow \mu}}{\Gamma_{\mu \rightarrow e}} \propto \left(\frac{g_\tau}{g_e}\right)^2 = \frac{\tau_\mu}{\tau_\tau} \mathcal{B}(\tau^- \rightarrow \mu^- \bar{\nu}_\mu \nu_\tau) \left(\frac{m_\mu}{m_\tau}\right)^5 \frac{f(m_e^2/m_\mu^2)r_{EW}^\mu}{f(m_\mu^2/m_\tau^2)r_{EW}^\tau}$$

$$\frac{\Gamma_{\tau \rightarrow e}}{\Gamma_{\tau \rightarrow \mu}} \propto \left(\frac{g_e}{g_\mu}\right)^2 = \frac{\mathcal{B}(\tau^- \rightarrow e^- \bar{\nu}_\mu \nu_\tau) f(m_\mu^2/m_\tau^2)}{\mathcal{B}(\tau^- \rightarrow \mu^- \bar{\nu}_\mu \nu_\tau) f(m_e^2/m_\tau^2)}$$

○ Waverage values with uncertainty of $\mathcal{O}(1.5 \times 10^{-3})$ have been obtained using information from B-factory measurements (esp. mass, lifetime)

arxiv 1112.3815



PoS:KAON 054 2008+HFAG 2014

HFAG 2014 average.

EW penguin decays



PRL 113 (2014) 151601



PRD 86 (2012) 032012

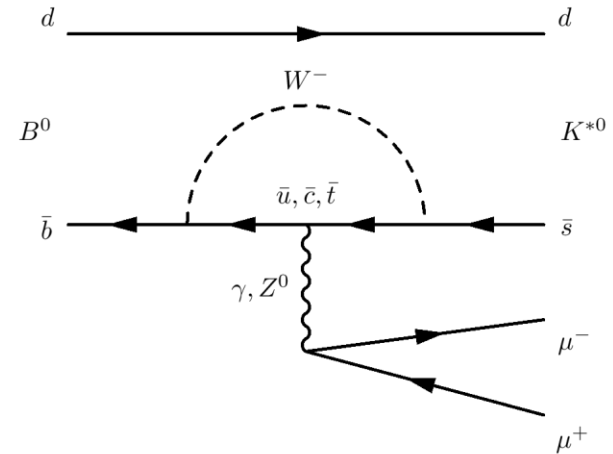


PRL 103 (2009) 171801

Electroweak Penguins

- Penguin transitions stringently test the structure of the electroweak interaction
 - Loop structure with almost all major SM players at once: W, Z, γ, t
 - New particles connected to EWSB can appear and introduce q^2 - or angular-dependent interference
- Excellent targets for both LHCb and B-factories
 - Dilepton in final state allows for clean event selection
 - Rich phenomenology with scalar and vector hadronic final states (K or K^*)
 - SM calculations become unreliable near $m(\ell\ell) = m(J/\psi), m(\psi(2S))$
 - (tree-level $b \rightarrow c\bar{c}s$ amplitudes, $c\bar{c}$ vacuum polarization, long distance effects...)
- Lepton universality test: standard lore is that

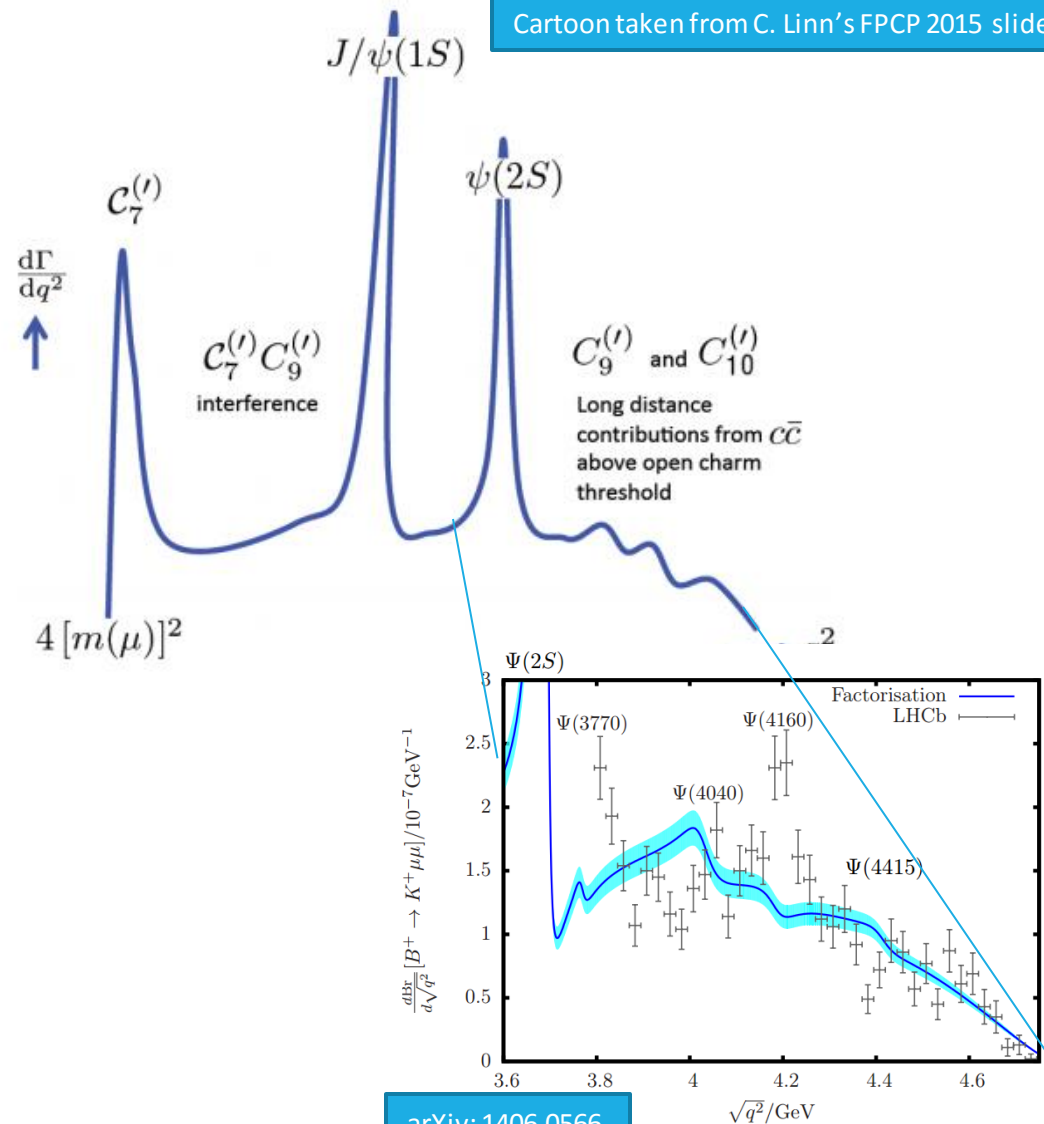
$$R(K) \equiv \frac{\mathcal{B}(B \rightarrow K \mu^+ \mu^-)}{\mathcal{B}(B \rightarrow K e^+ e^-)} = 1 \pm \mathcal{O}(10^{-3})$$
 if only γ, Z participate



More on decay structure

- R(K) measurements can be performed anywhere in q^2
 - B factory measurements in high and low regions
- LHCb only measures below to $q^2 < 6 \text{ GeV}^2$
 - ψ resonances may dilute out NP contributions
 - high q^2 is very poorly modeled by naïve factorization

Cartoon taken from C. Linn's FPCP 2015 slides



arXiv: 1406.0566

Analysis

BABAR

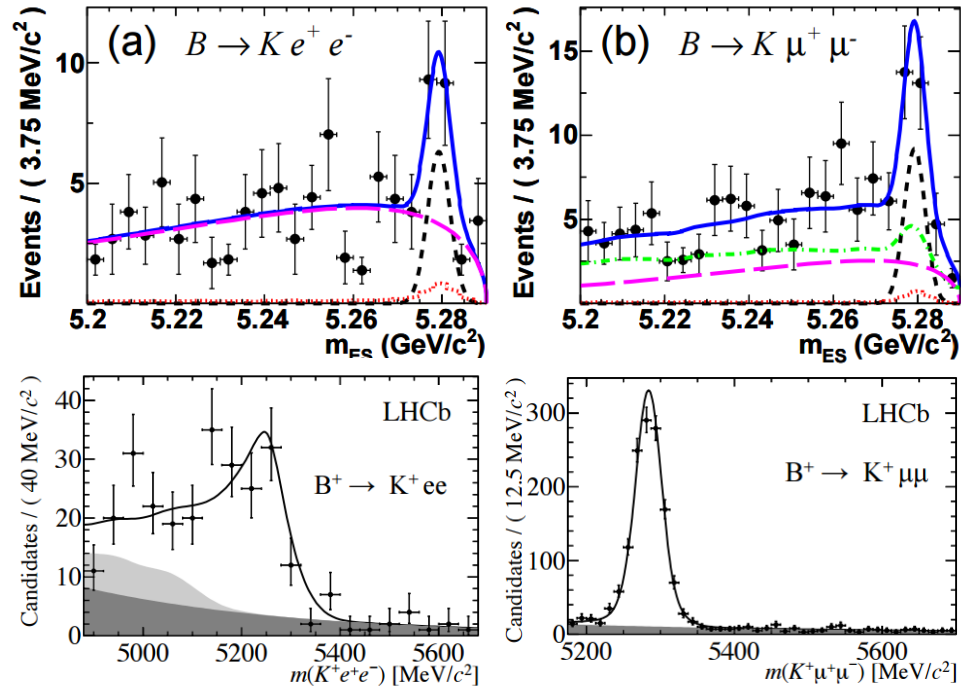
(high q^2)

PRD 86 (2012) 032012

LHCb

(left: electron triggered category)

PRL 113 (2014) 151601



Fit
Signal
Combinatorial
Hadronic
Cross-feed

- Analysis is (relatively) straightforward at all facilities

- Fully reconstructed final state
- LHCb: fit directly in reconstructed mass

B-factories: cut on $E - E_{beam}$, fit in $m_{ES,BC} \equiv \sqrt{\left(\frac{E_{CM}^*}{2}\right)^2 - (p_B^*)^2}$

- Belle additionally fits in θ_B =angle between B and beam in CM frame

- Non-B background suppressed by multivariate classifiers in all experiments

Results

- Good compatibility between various experiments (by eye)

- Belle:

$$R_K = 1.03 \pm 0.19 \pm 0.06$$

- BaBar:

$$R_{K, q^2 < 8.12 \text{ GeV}^2} = 0.74_{-0.31}^{+0.40} \pm 0.06$$

$$R_{K, q^2 > 10.11 \text{ GeV}^2} = 1.43_{-0.44}^{+0.65} \pm 0.12$$

- LHCb:

$$R_{K, q^2 < 6 \text{ GeV}^2} = 0.745_{-0.074}^{+0.090} \pm 0.036$$

- More data clearly needed here to clarify the situation and set harder limits in this system

- Related results:

- Belle:

$$R_{K^*} = 0.83 \pm 0.17 \pm 0.08$$

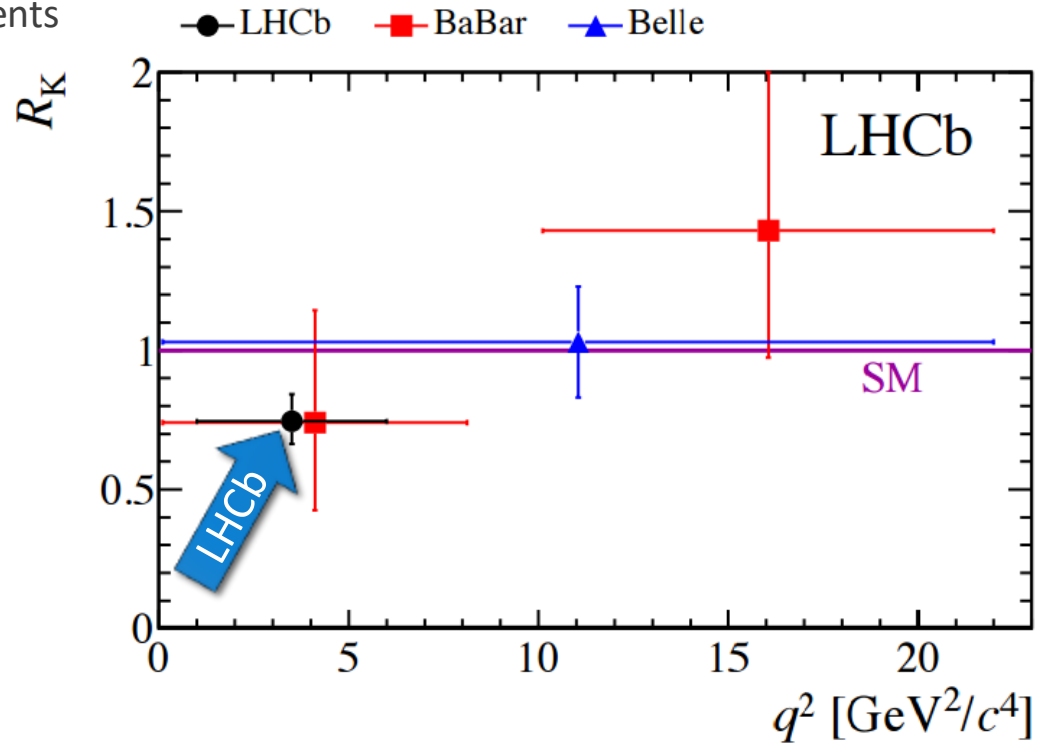
- BaBar:

$$R_{K^*, q^2 < 8.12 \text{ GeV}^2} = 1.06_{-0.33}^{+0.48} \pm 0.08$$

$$R_{K^*, q^2 > 10.11 \text{ GeV}^2} = 1.18_{-0.37}^{+0.55} \pm 0.11$$

- LHCb:

analysis ongoing



PRL 113 (2014) 151601



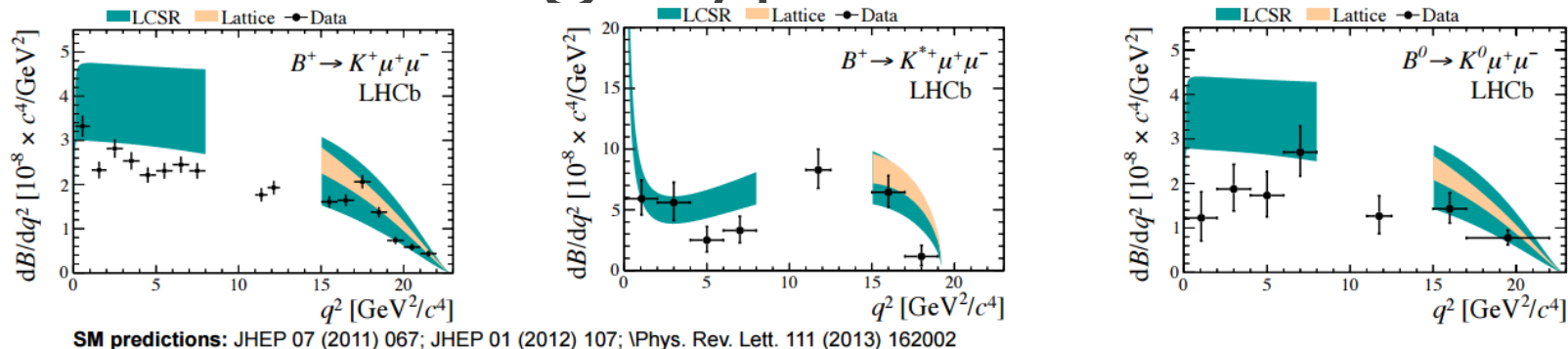
PRD 86 (2012) 032012



PRL 103 (2009) 171801

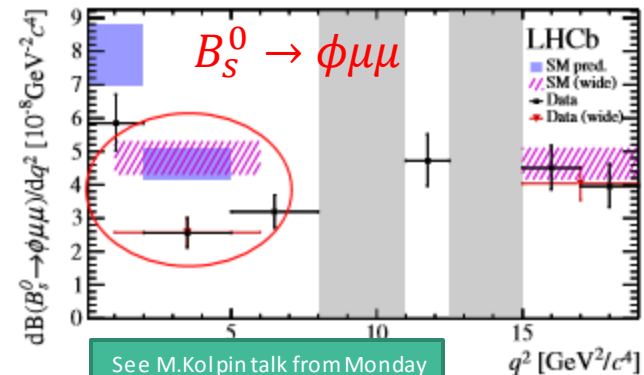


Entertaining hypotheticals...



JHEP 06 (2014) 133

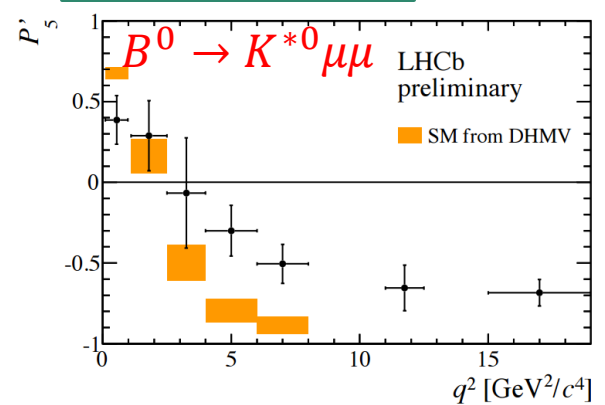
- Other similarly-sized deviations across $b \rightarrow s\mu\mu$ measurements:
 - Branching fractions consistently below expectations at low q^2
 - Angular variable P_5' in poor agreement



LHCb-PAPER-2015-023

- Combined fit to $b \rightarrow s\mu\mu$ gives $P \sim 0.02$ for standard model
 - Preferred NP operators contribute left handed $b \rightarrow s$ FCNC [PRD 90 (2014) 054014]

- But high-scale dynamics that generates these must be $SU(3)_C \times SU(2)_L \times U(1)_Y$ invariant!
 - Implies related charged currents... (arxiv 1412.7164, 1506.01705, 1506.02661)
 - Ok, half conspiracy theory, half convenient segue...



LHCb-CONF-2015-002

Semileptonic decays



PRL 109 (2012) 101802
PRD 88 (2013) 072012

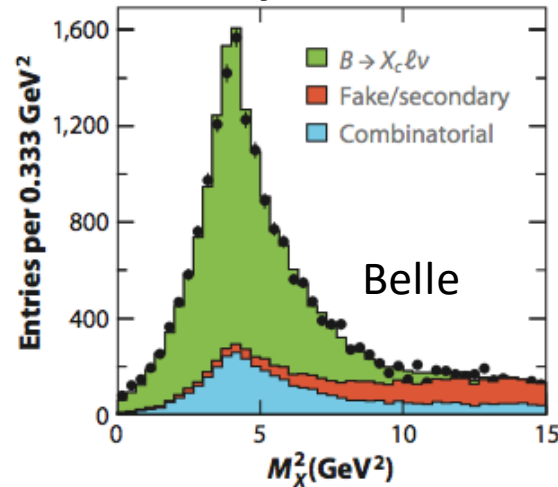
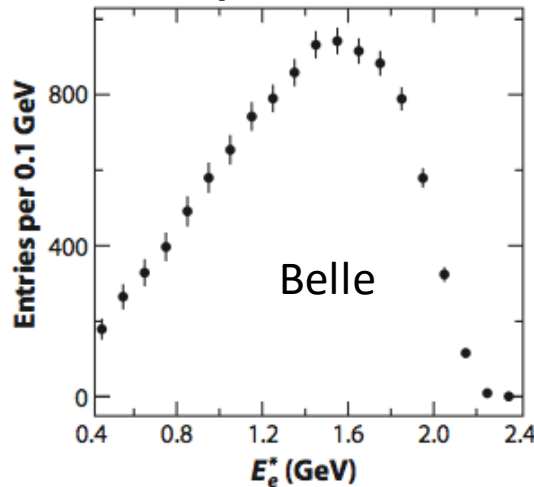


arXiv: 1506.08614
Submitted to PRL



arXiv: 1507.03233
Submitted to PRD

Semileptonic B decays



Luth, V.G.
Annual
Review of
Nuclear
Science, **61**
(2011) 119-
148

- “Beta decay” of B hadrons – signature is **lepton** (μ or e (or τ !)) , recoiling **hadronic** system, and **missing momentum**
- Theoretically well-understood in the SM
 - Tree level virtual W emission – strong V-A structure
 - No QCD interaction between the lepton-neutrino system and the recoiling hadron(s)
 - $\bar{B} \rightarrow W^{*\pm} D^{(*)}$ half of the decay still needs non-perturbative input
- **Charged lepton universality implies branching fractions for semileptonic decays to e, μ, τ differ only phase space and helicity-suppressed contributions**

What we want to measure

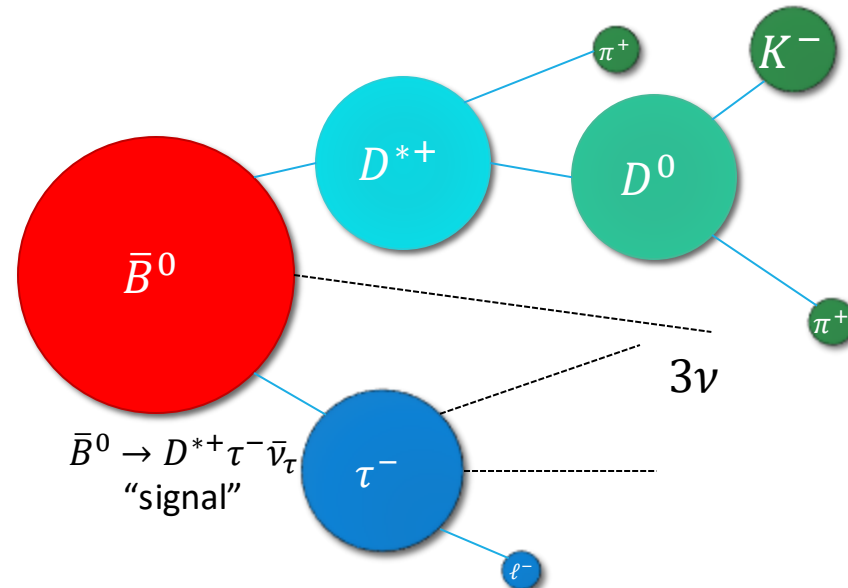
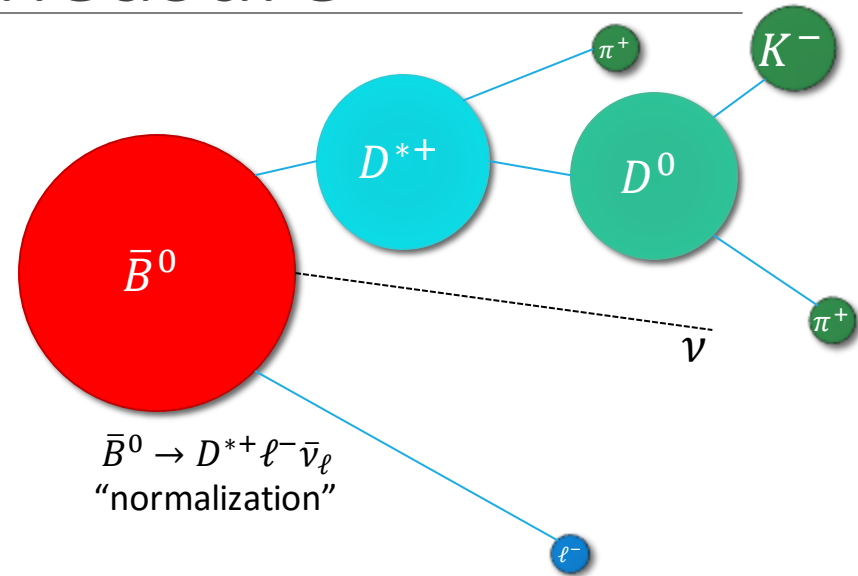
$$R(D^{(*)}) \equiv \frac{\mathcal{B}(\bar{B} \rightarrow D^{(*)} \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\bar{B} \rightarrow D^{(*)} \ell^- \bar{\nu}_\ell)}$$

○ **Theoretically clean** due to cancellation of form factor uncertainties

- Poorly-measured helicity suppressed amplitudes give dominant uncertainty
- SM:
 $R(D^*) = 0.252(3)$ PRD **85** 094025 (2012)
 $R(D) = 0.300(8)$ arxiv:1505.03925

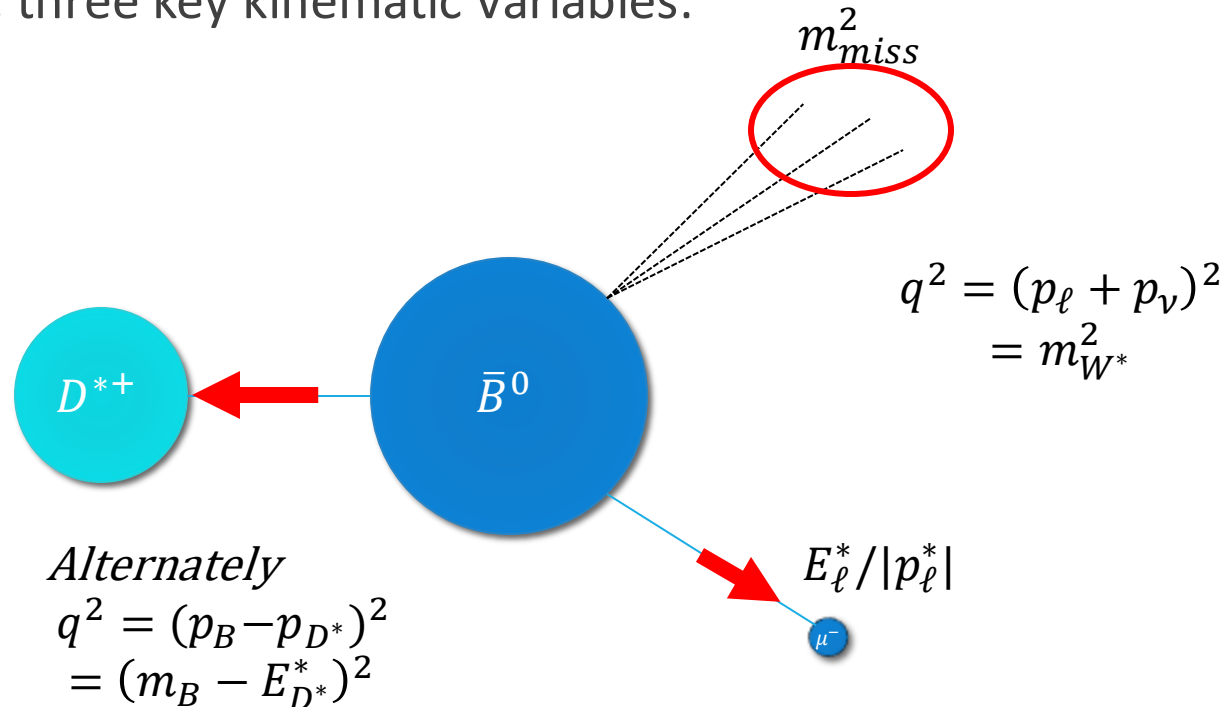
○ **Experimentally nice with $\tau^- \rightarrow \ell^- \bar{\nu}_\ell \nu_\tau$**

- Results in identical (visible) final state
- large, well-measured BF:
 $\mathcal{B}(\tau^- \rightarrow \mu^- \bar{\nu}_\mu \nu_\tau) = (17.41 \pm 0.04)\%$
 - Expected (signal)/(normalization)=0.439%
- Disentangle from $\bar{B}^0 \rightarrow D^{*+} \ell^- \bar{\nu}_\ell$ using invariant mass of invisible system, lepton energy spectrum



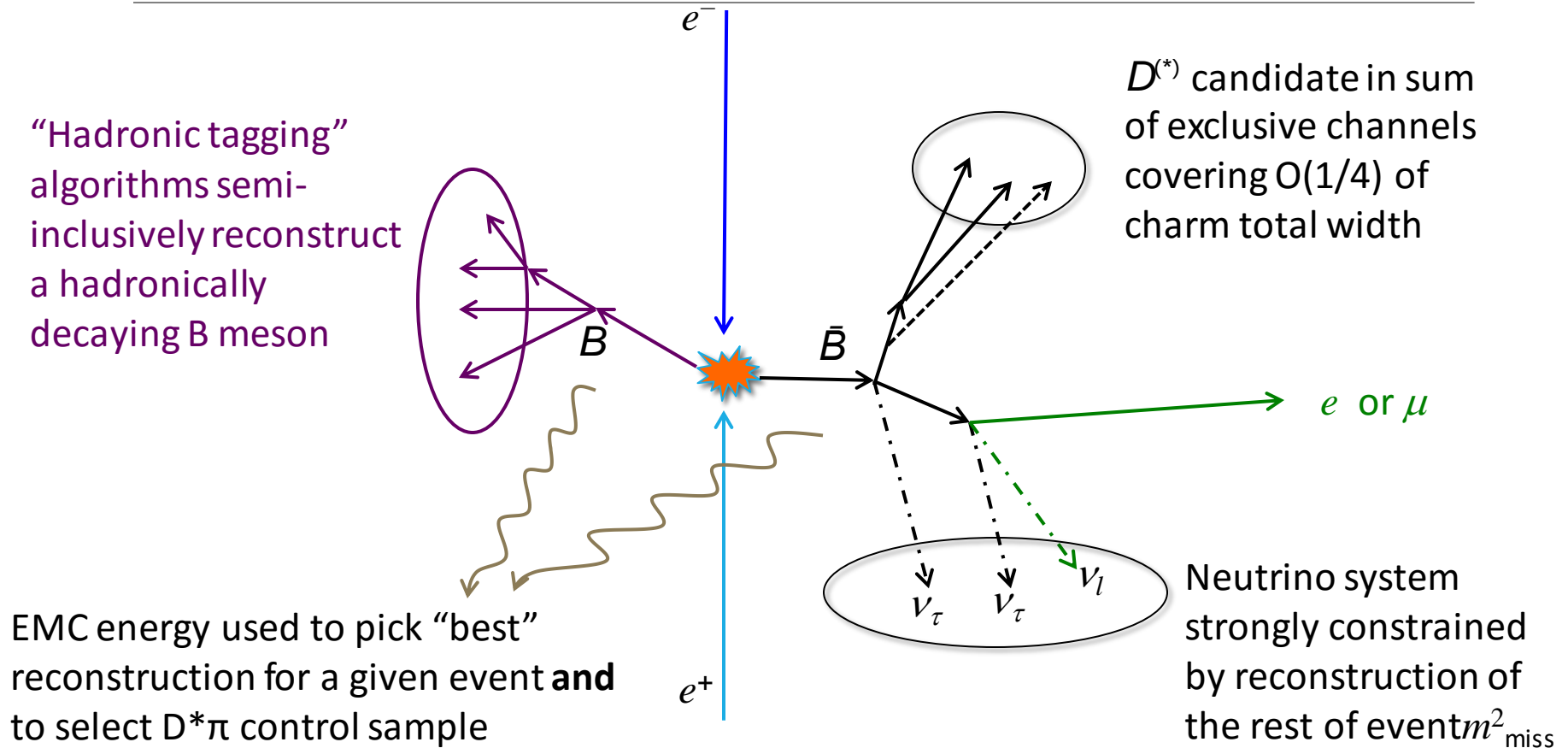
Distinguishing $b \rightarrow c\tau(\rightarrow \ell\nu\nu)\nu$ from $b \rightarrow c\ell\nu$

- In B rest frame, three key kinematic variables:



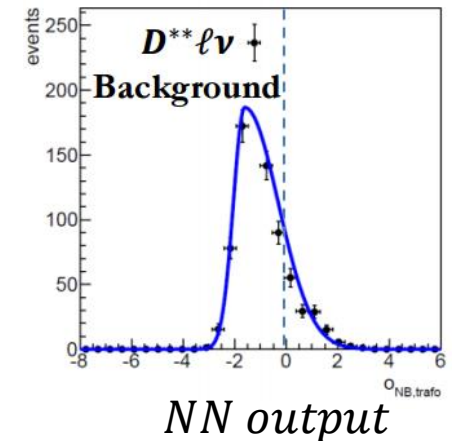
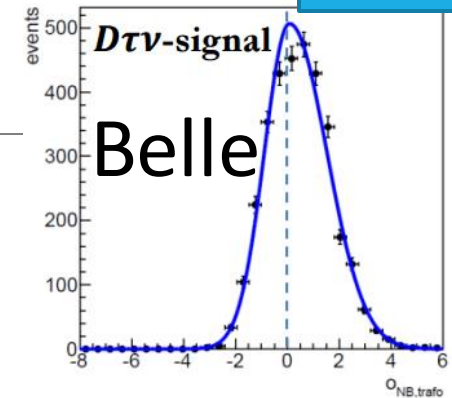
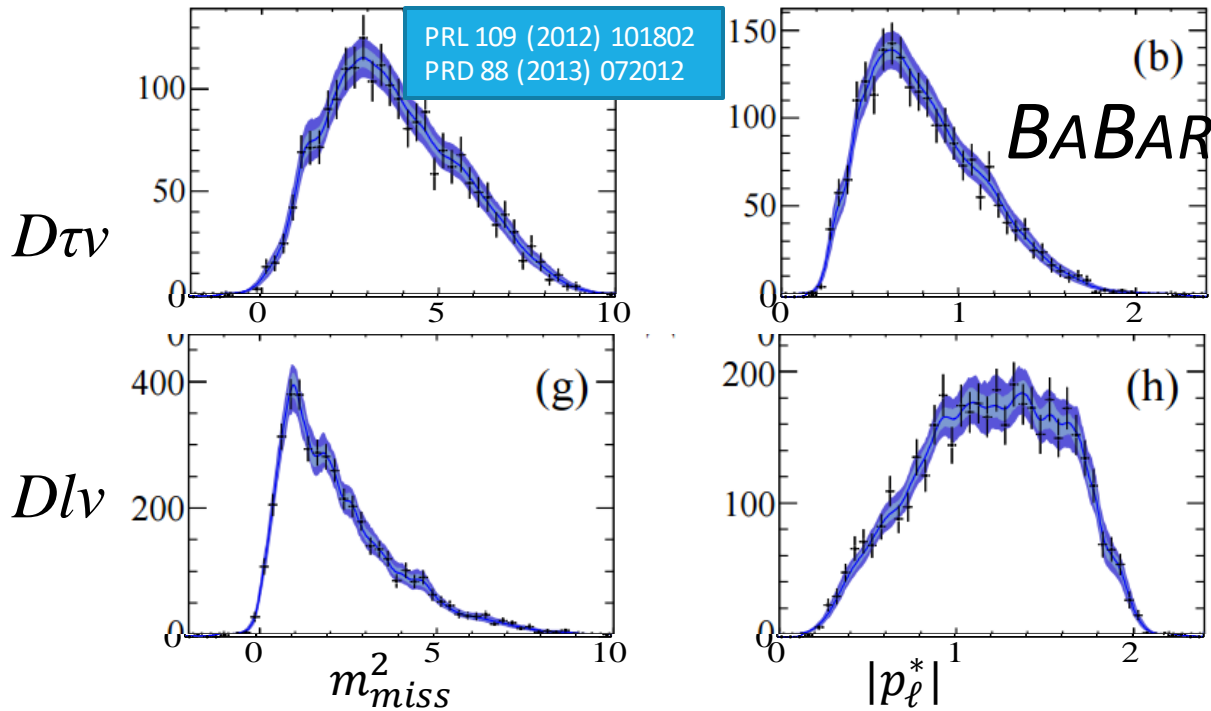
$\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}$	$\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}$
$m_{miss}^2 > 0$	$m_{miss}^2 = 0$
E_l^* spectrum is soft	E_l^* spectrum is hard
$m_\tau^2 \leq q^2 \leq 10.6 \text{ GeV}^2$	$\approx 0 \leq q^2 \leq 10.6 \text{ GeV}^2$

B-factory techniques (continued)



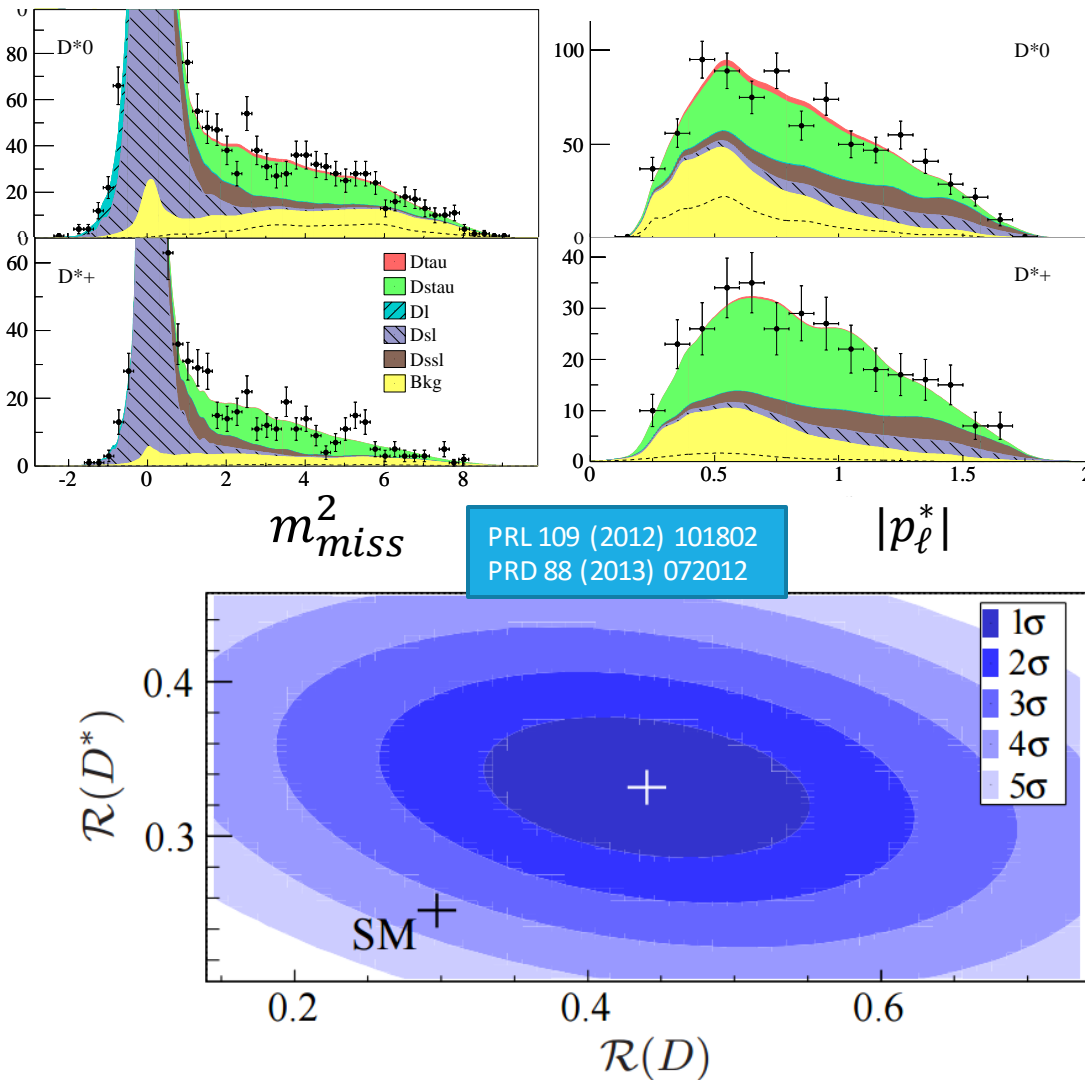
○ Select events with $q^2 = (p_\ell + p_{\text{miss}})^2 = (p_B - p_D)^2 > 4 \text{ GeV}^2$

Extracting the Signal



- Fit is performed in **mass squared** of invisible system vs **lepton momentum** in B frame
 - Split between D^0 , D^+ , D^{*0} , D^{*+} samples
- Distributions for fit taken from simulation
 - Missing mass squared best discriminator of signal from normalization ($D^{(*)}\ell\nu$)
 - Backgrounds separated in mm and pl for BaBar, special neural net for Belle

Fits – BaBar



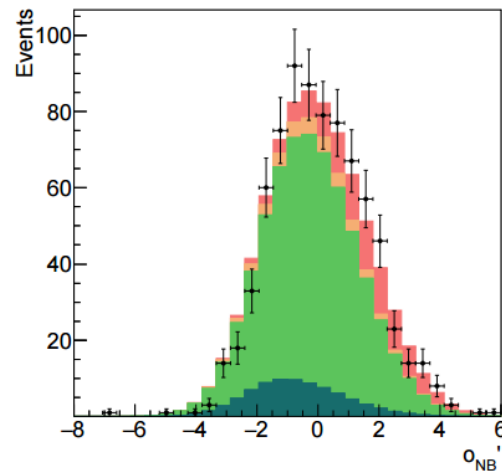
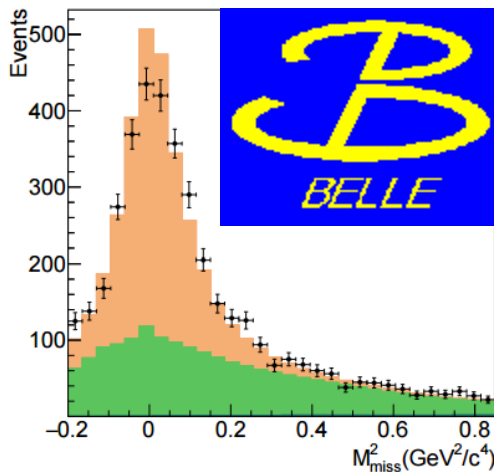
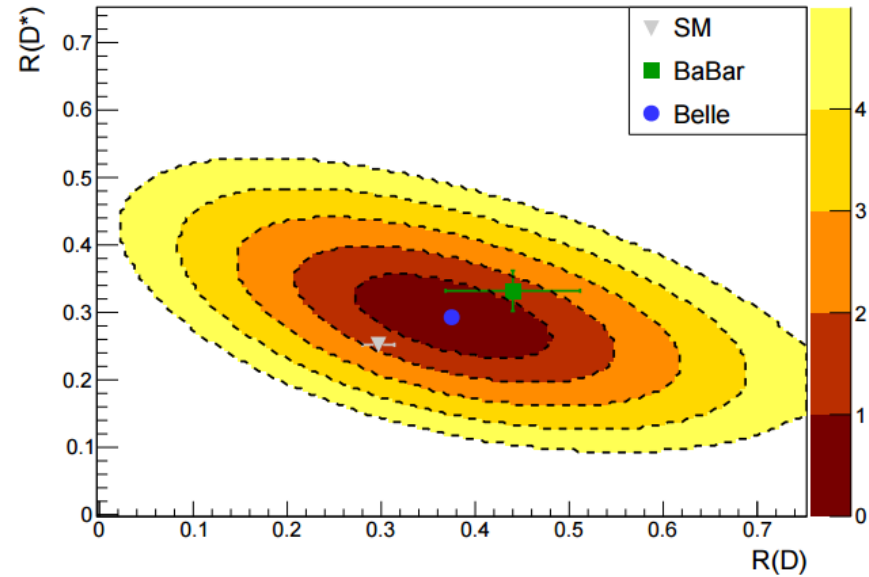
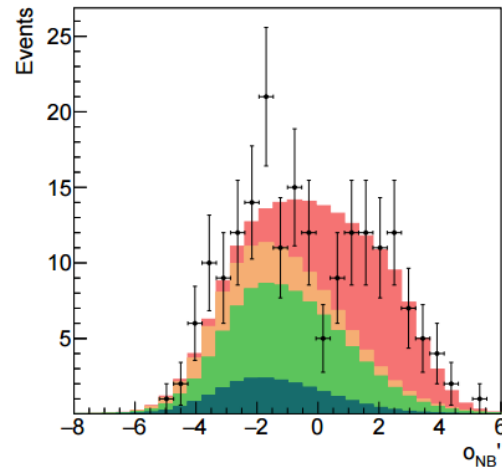
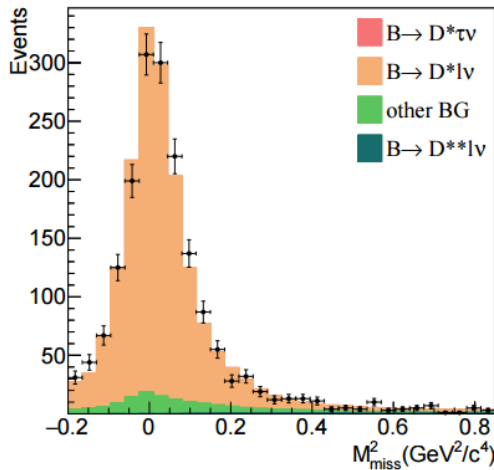
○ BaBar published their hadronically-tagged result on the final dataset in 2012/2013 (PRL+detailed PRD)

○ Result showed tantalizing tension with SM: 3.4 sigma including correlations!

○ This is where things stood until FPCP this year, when *two* new measurements were released!



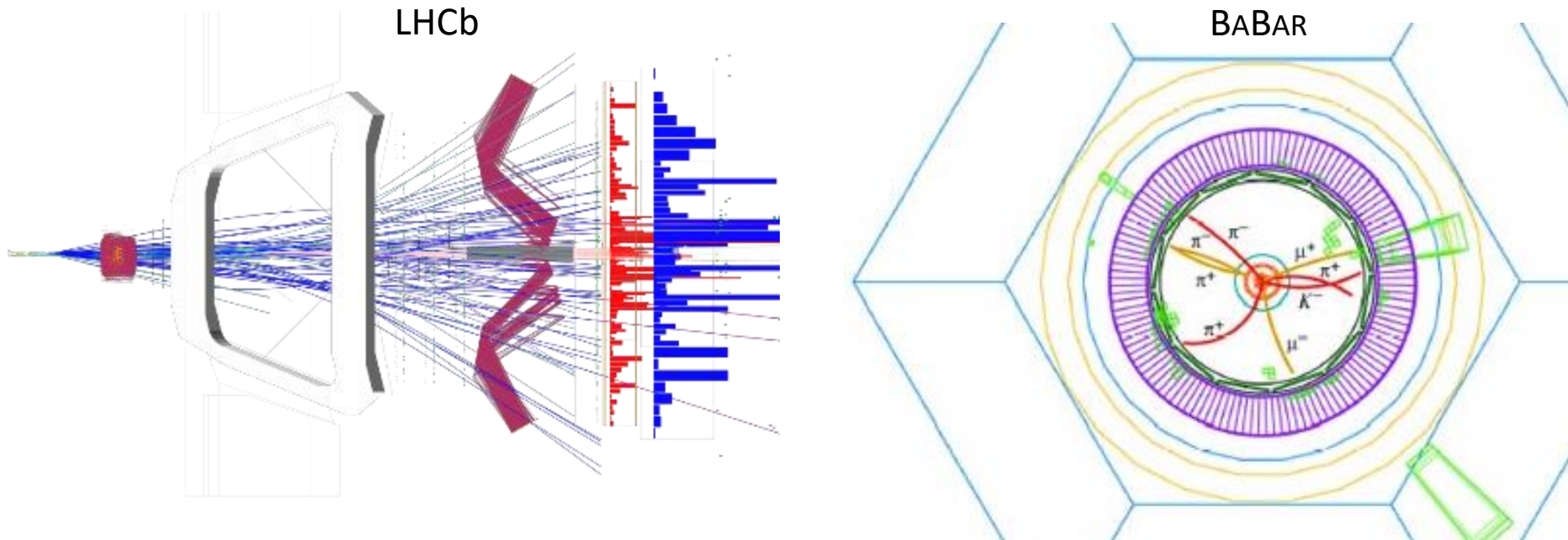
New $R(D^{(*)})$ Results



arXiv: 1507.03233

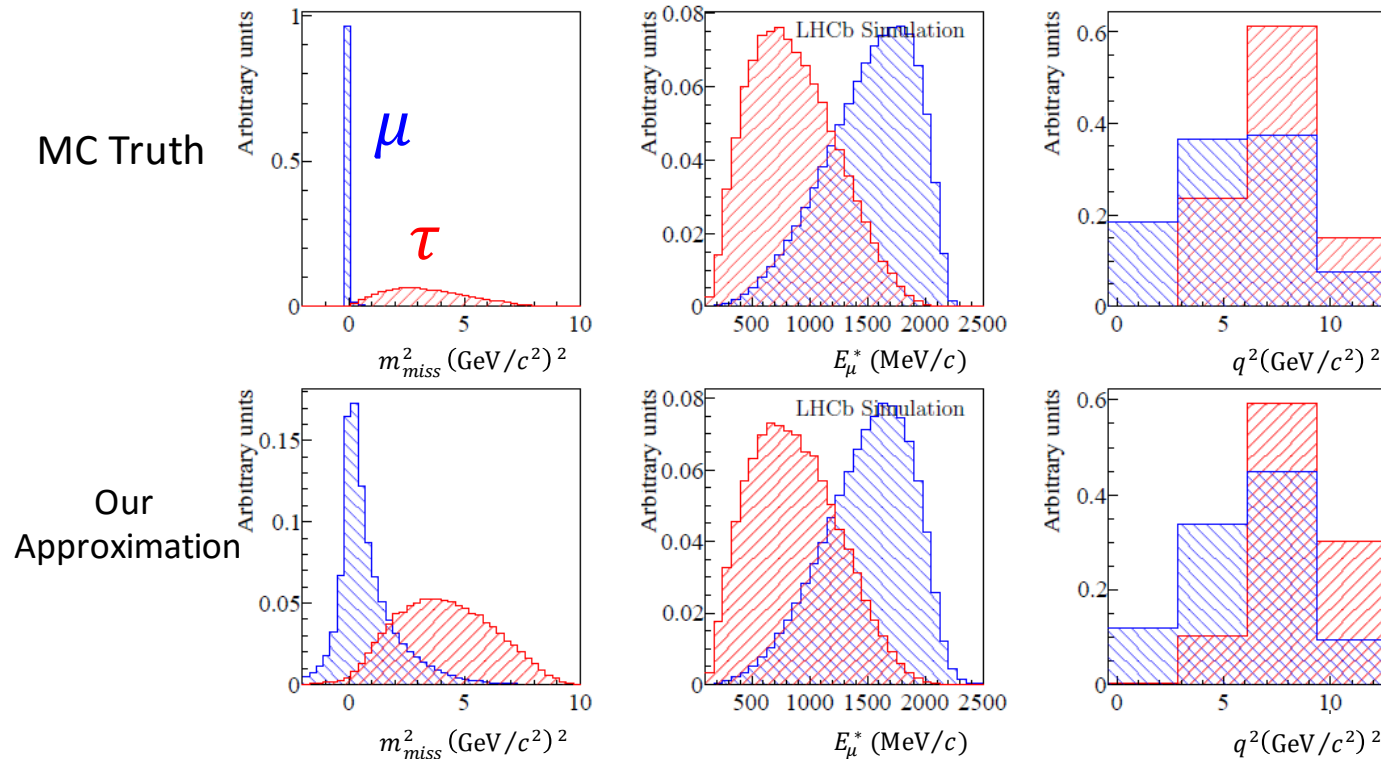
- At FPCP2015, Belle weighed in with their full dataset
 - Result shows no serious tension with either BaBar or SM (almost splits the difference by eye)

What about LHCb?



- In hadron collisions, things are not nearly as “nice” as in $\Upsilon(4S)$ decay
 - Unknown CM frame for $gg \rightarrow b\bar{b}$ production
 - Lots of additional particles in the event (showering, MPI etc)
- Different handles are needed to deal with (1) missing neutrinos and underconstrained kinematics as well as (2) large backgrounds from partially-reconstructed B decays

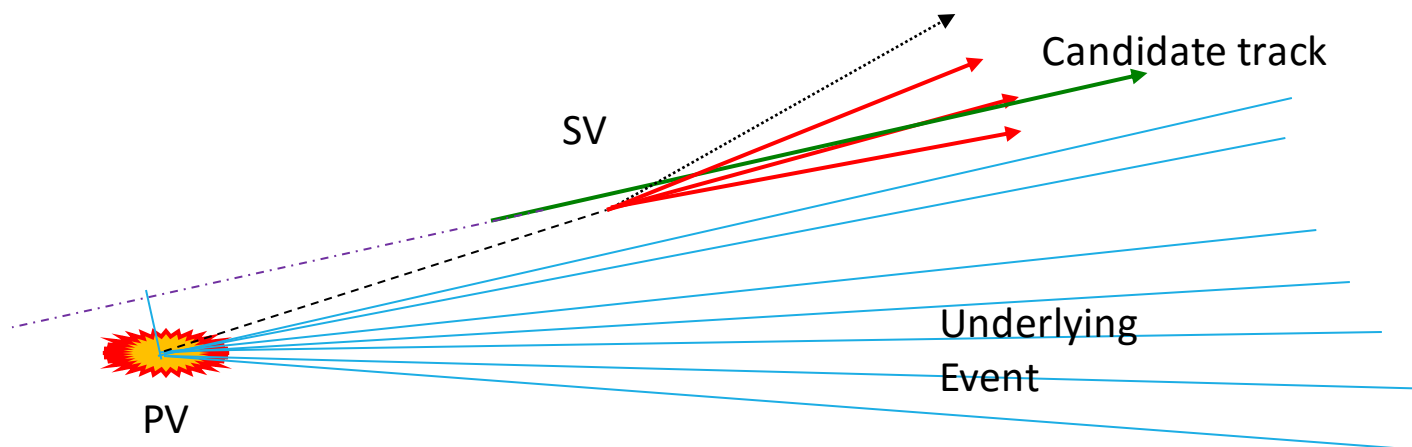
Rest frame approximation at LHCb



- Resolution on rest frame variables doesn't matter much because distributions are broad to begin with
 - A well-behaved approximation will still preserve differences between signal, normalization and backgrounds
 - Take $(\gamma\beta_z)_{\bar{B}} = (\gamma\beta_z)_{D^*\mu} \implies (p_z)_{\bar{B}} = \frac{m_B}{m(D^*\mu)} (p_z)_{D^*\mu}$
- 18% resolution on B momentum approximation gives excellent shapes to use for fit

Fit

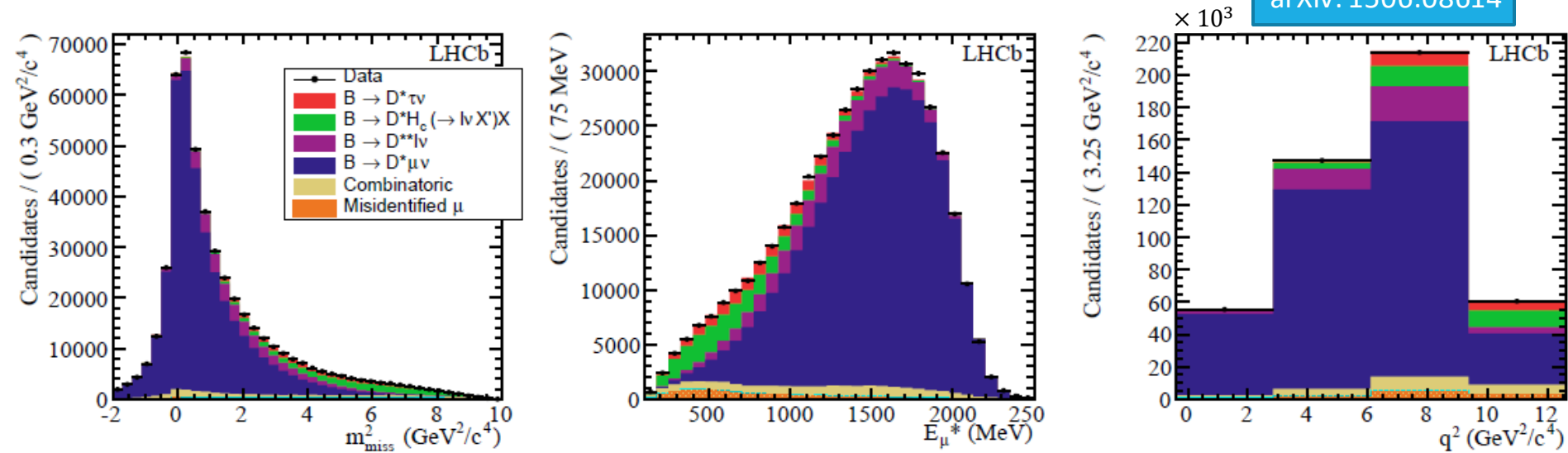
- Using rest frame approximation, construct 3D “template” histograms for each process contributing to $D^{*+}\mu^{-}$ sample
 - Signal, normalization, and partially reconstructed backgrounds use simulated events, other backgrounds use control data
- Reduce partially constructed backgrounds with LHCb’s excellent tracking
 - Scan over every reconstructed track and compare against $D^{*+}\mu^{-}$ vertex
 - Cut on most SV-like track below threshold: get signal sample enriched in exclusive decays. Rejects 70% of events with 1 additional slow pion
 - Cut on most SV-like track(s) being above threshold: get control samples enriched in interesting backgrounds ($\bar{B} \rightarrow D^{**}\ell\nu$, $B \rightarrow D^*H_c(\rightarrow \mu\nu X')X$, $H_c =$ any open charm)



Fit Result – Full projections



arXiv: 1506.08614



- Projections of (left) m_{miss}^2 and (middle) E_{μ}^* and (right) q^2
- Signal clearly much smaller than normalization, as expected from phase-space suppression combined with $\mathcal{B}(\tau^- \rightarrow \mu^- \bar{\nu}_{\mu} \nu_{\tau}) \cong 17\%$
- Result: $R(D^*) = 0.336 \pm 0.027 \pm 0.030$

Systematics



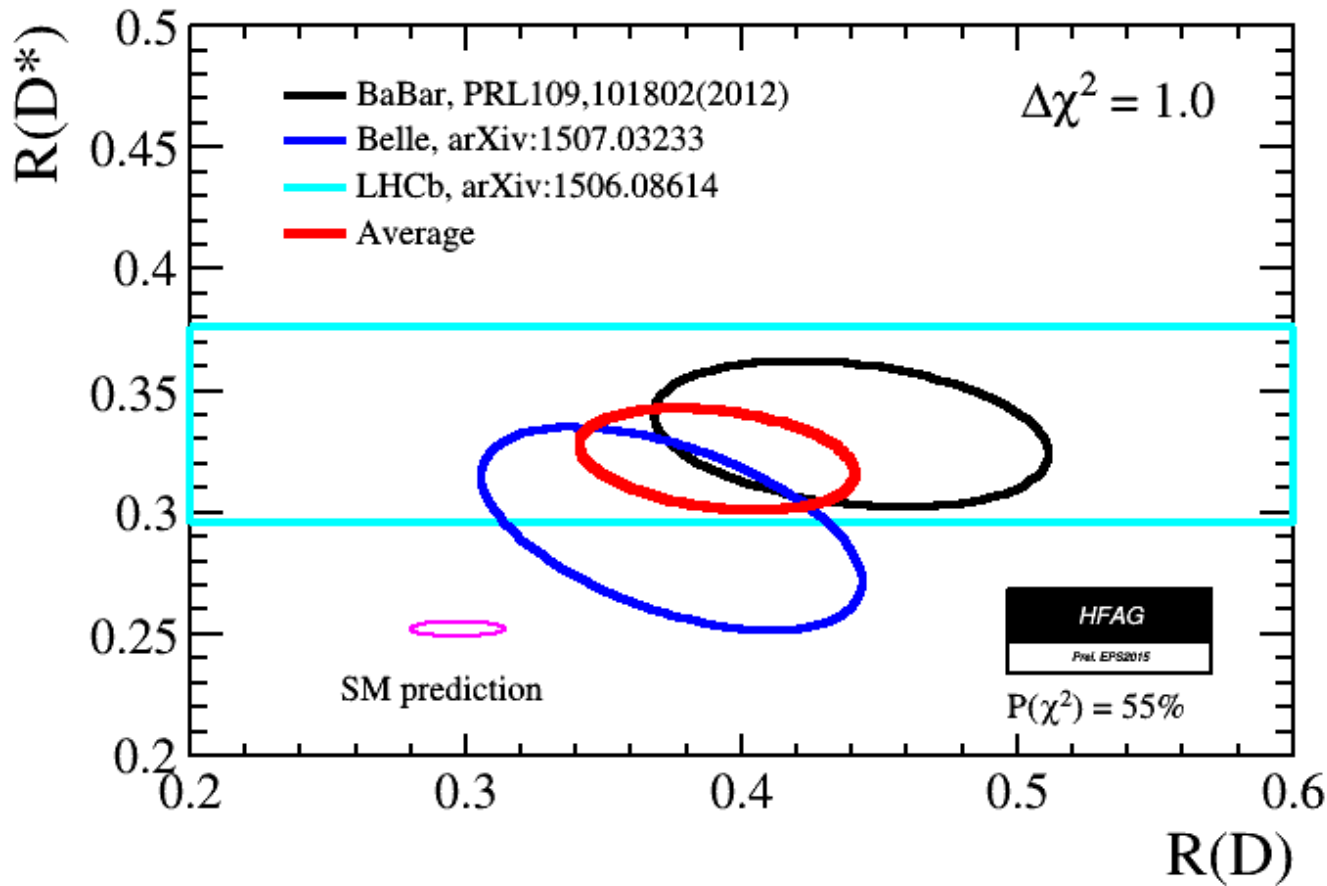
Model uncertainties	Absolute size ($\times 10^{-2}$)
Simulated sample size	<u>2.0</u>
Misidentified μ template shape	<u>1.6</u>
$\bar{B}^0 \rightarrow D^{*+}(\tau^-/\mu^-)\bar{\nu}$ form factors	<u>0.6</u>
$\bar{B} \rightarrow D^{*+}H_c(\rightarrow \mu\nu X')X$ shape corrections	<u>0.5</u>
$\mathcal{B}(\bar{B} \rightarrow D^{**}\tau^-\bar{\nu}_\tau)/\mathcal{B}(\bar{B} \rightarrow D^{**}\mu^-\bar{\nu}_\mu)$	0.5
$\bar{B} \rightarrow D^{**}(\rightarrow D^*\pi\pi)\mu\nu$ shape corrections	<u>0.4</u>
Corrections to simulation	0.4
Combinatorial background shape	<u>0.3</u>
$\bar{B} \rightarrow D^{**}(\rightarrow D^{*+}\pi)\mu^-\bar{\nu}_\mu$ form factors	<u>0.3</u>
$\bar{B} \rightarrow D^{*+}(D_s \rightarrow \tau\nu)X$ fraction	0.1
Total model uncertainty	2.8
Normalization uncertainties	Absolute size ($\times 10^{-2}$)
Simulated sample size	0.6
Hardware trigger efficiency	0.6
Particle identification efficiencies	0.3
Form-factors	0.2
$\mathcal{B}(\tau^- \rightarrow \mu^-\bar{\nu}_\mu\nu_\tau)$	< 0.1
Total normalization uncertainty	0.9
Total systematic uncertainty	3.0

Expected to be reduced for future $R(D) + R(D^*)$

Will scale down with more data (Run2)



Combined $R(D^*)$ data



$$R(D^*)_{avg} = 0.322 \pm 0.022$$

$$R(D)_{avg} = 0.391 \pm 0.050$$

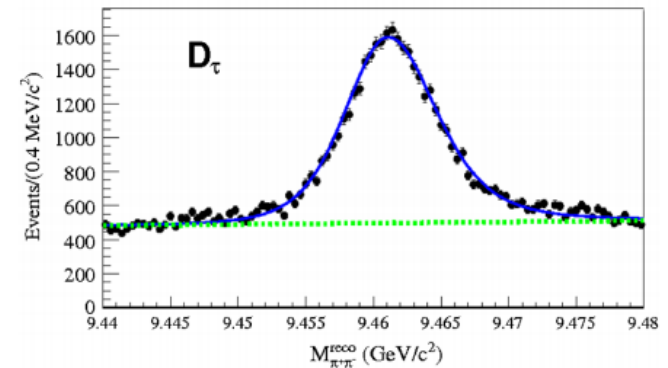
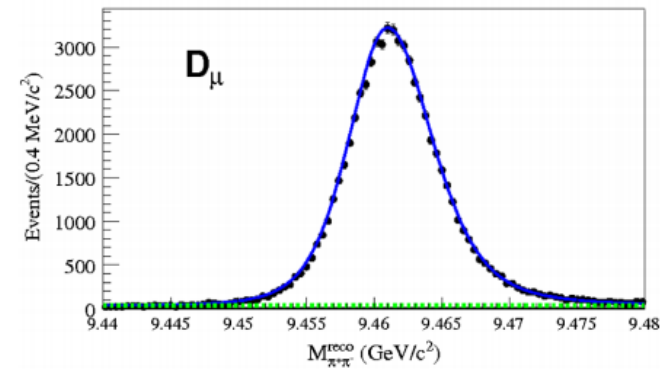
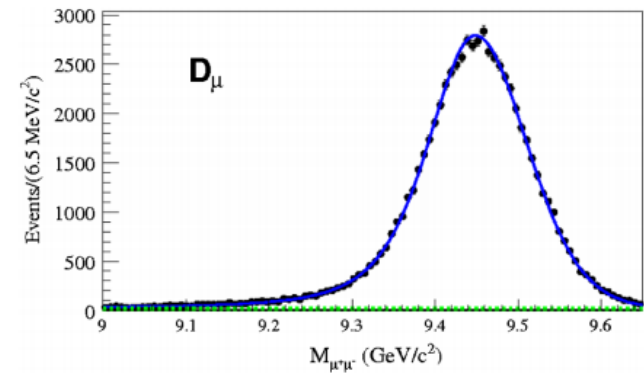
$$\rho = -0.29$$

- Plot and average from HFAG
 - SM p-value = $1.1 \times 10^{-4} \rightarrow \approx 3.9\sigma$

Other results of note

$\Upsilon(1S)$ decay

- Nonuniversal effects in $\Upsilon(1S) \rightarrow \mu\mu/\tau\tau$ can be induced by pseudoscalar Higgs A^0 (directly or via mixing with η_b)
- BaBar searched in $\Upsilon(3S) \rightarrow \Upsilon(1S)\pi\pi$
 - $\Upsilon(1S) \rightarrow \mu\mu$ is fully reconstructed
 - $\Upsilon(1S) \rightarrow \tau\tau$ is selected based on missing energy after the $\Upsilon(3S) \rightarrow \Upsilon(1S)\pi\pi$ dipion system is identified
- Result:
 - $R_{\mu\tau} = 1.005 \pm 0.013 \pm 0.022$



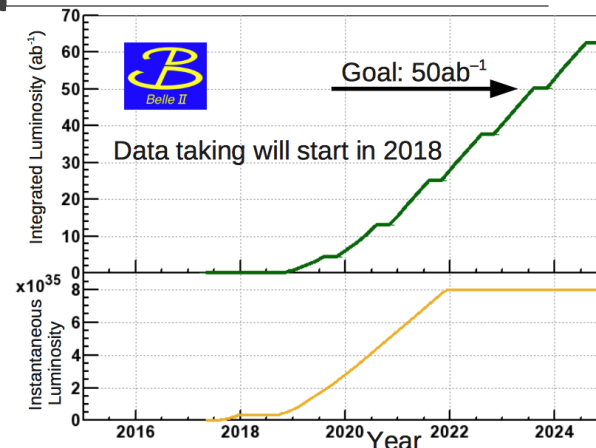
Looking Ahead...



Future Heavy Flavor Experiments

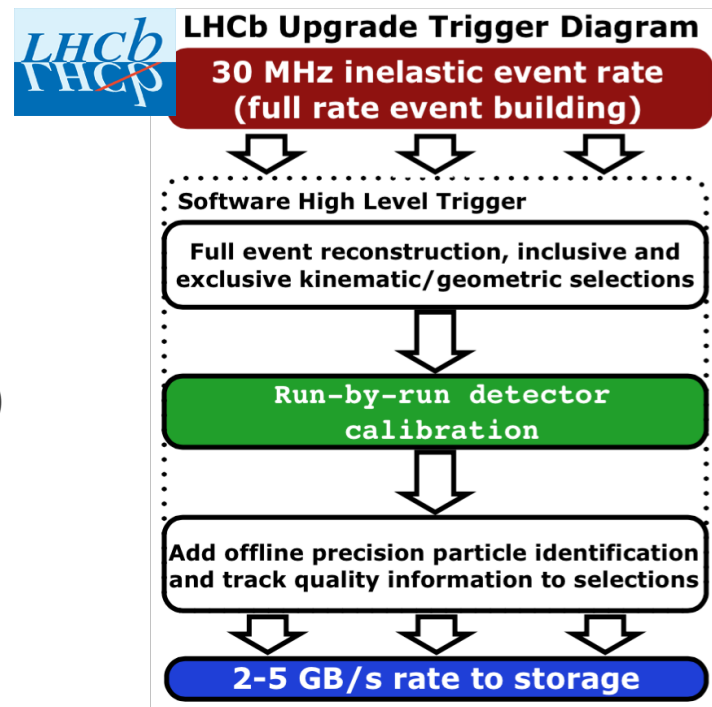
e^+e^-

- Belle-II / Super-KEKB
 - Nanobeams, improved final focus, and doubled beam currents to reach 8×10^{35} Hz / cm²
 - Physics data to begin in 2018, with a goal of $50\text{ab}^{-1} \approx 6 \times 10^{10} B\bar{B}$ pairs



pp

- LHCb Run 2:
 - 13TeV with 25ns spacing
 - LHCb to collect $5\text{fb}^{-1} \approx 6 \times 10^{11} b\bar{b}$ in acceptance
- LHCb Upgrade:
 - LHCb detector to be upgraded for increased instantaneous luminosity running in LS2(2018/2019)
 - All-new tracking system to cope with increased occupancy
 - 40MHz synchronous readout plus all-software triggering
 - $50\text{fb}^{-1} \approx 6 \times 10^{12} b\bar{b}$ in acceptance



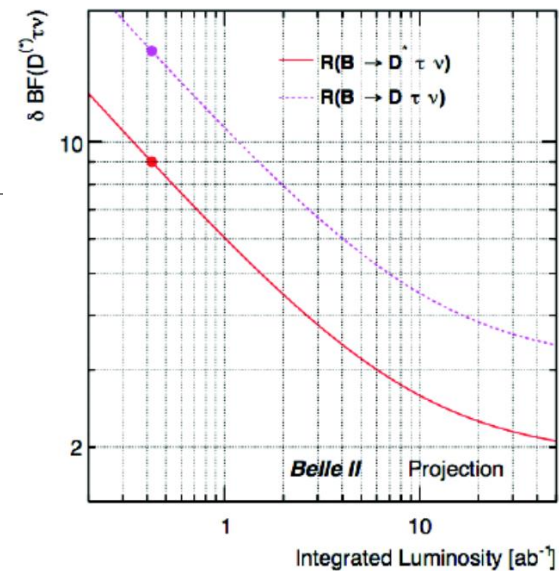
$R(K)$ future prospects

- $b \rightarrow s\ell\ell$ still largely statistically limited (particularly in $B^+ \rightarrow K^+ e^+ e^-$)
- Naively scaling statistical error bars:
 - LHCb 2018: $R(K) = x.xx \pm 0.04 \pm 0.04$
 - Assumes no systematic uncertainty improvement—very pessimistic assumption
 - Systematics currently dominated by trigger efficiencies. Can be reduced by dedicated study
 - Belle II: $R(K) = x.xx \pm 0.03 \pm ?$
 - Systematics currently codominated by a variety of sources. Probably can be controlled with careful study...
 - LHCb Upgrade: $R(K) = x.xx \pm 0.02 \pm ?$
 - Here we will be dealing with an all-new trigger scheme. How well can we nail the relative efficiency down?

$R(D^{(*)})$

Belle II:

- Analysis is limited by the statistics available after hadronic B-tagging.
- Expected scaling given on right
 - Could reach 2% sensitivity after full luminosity is collected



LHCb:

- Situation is more subtle. Currently systematics dominated, but dominated by MC stats
- Most systematics (e.g. shape uncertainties) scale with data or control samples
- Systematic from misidentified muon background requires more effort to reduce
 - Uncertainty on $R(D^*)$ of 7%-9% could be possible with Run2 data, 3%-4% with upgrade
 - Depends on how trigger efficiencies evolve
 - (Assumes BaBar central value for comparison with above plot)

Model uncertainties	Absolute size ($\times 10^{-2}$)
Simulated sample size	2.0
Misidentified μ template shape	1.6
$\bar{B}^0 \rightarrow D^{*+}(\tau^-/\mu^-)\bar{\nu}$ form factors	0.6
$\bar{B} \rightarrow D^{*+}H_c(\rightarrow \mu\nu X')X$ shape corrections	0.5
$\mathcal{B}(\bar{B} \rightarrow D^{**}\tau^-\bar{\nu}_\tau)/\mathcal{B}(\bar{B} \rightarrow D^{**}\mu^-\bar{\nu}_\mu)$	0.5
$\bar{B} \rightarrow D^{**}(\rightarrow D^*\pi\pi)\mu\nu$ shape corrections	0.4
Corrections to simulation	0.4
Combinatorial background shape	0.3
$\bar{B} \rightarrow D^{**}(\rightarrow D^{*+}\pi)\mu^-\bar{\nu}_\mu$ form factors	0.3
$\bar{B} \rightarrow D^{*+}(D_s \rightarrow \tau\nu)X$ fraction	0.1
Total model uncertainty	2.8
Normalization uncertainties	Absolute size ($\times 10^{-2}$)
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Hardware trigger efficiency	0.6
Particle identification efficiencies	0.3
Form-factors	0.2
$\mathcal{B}(\tau^- \rightarrow \mu^-\bar{\nu}_\mu\nu_\tau)$	< 0.1
Total normalization uncertainty	0.9
Total systematic uncertainty	3.0

Summary

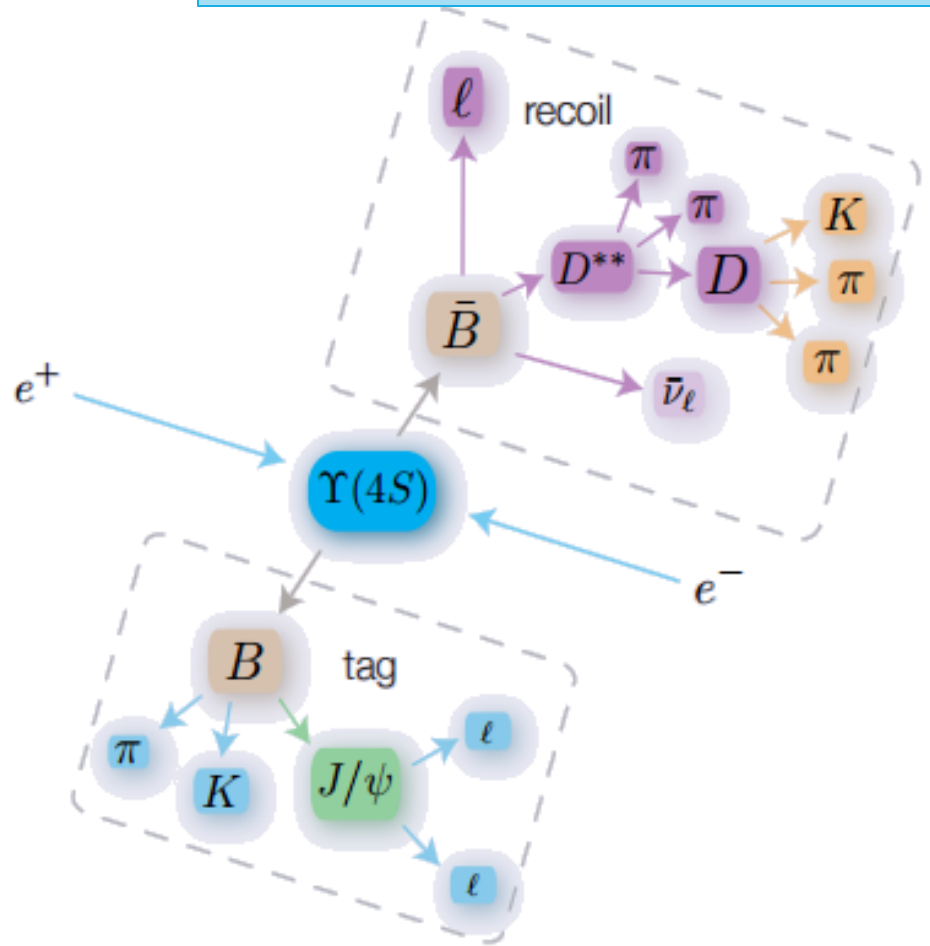
- B physics experiments are pushing lepton universality tests into new and exciting territories beyond tests of the Electroweak interaction
- R_K measurements from electroweak penguin decays are reaching the 10% precision level with LHCb Run1
 - Further improvements expected to be rapid with LHCb Run2, Belle-II, LHCb Upgrade datasets
 - Small tension in LHCb result can be related back to other tensions in branching ratios at low q^2 .
 - SM still provides a very respectable fit, but possibilities are tantalizing!
- Semitauonic branching fractions remain too large relative to SM expectations
 - P-level with respect to HQET+Lattice now at 10^{-4} level

Backup

B-factory measurements

- Exploiting the simple kinematics of the $e^+e^- \rightarrow \Upsilon(4S) \rightarrow B\bar{B}$ reaction
 - Small Q-value means no additional hadrons produced
- “Hadronically-tagged” analyses preferred in channels with multiple neutrinos
 - Reconstruct 2nd B meson in decay mode with no missing particles
 - Provides precise knowledge of kinematics of missing system
 - Reduces backgrounds from $e^+e^- \rightarrow c\bar{c}$ and from background partially-reconstructed B decays
 - Allows use of calorimeter to veto events with
 - Efficiency of few 10^{-3} -- costly!

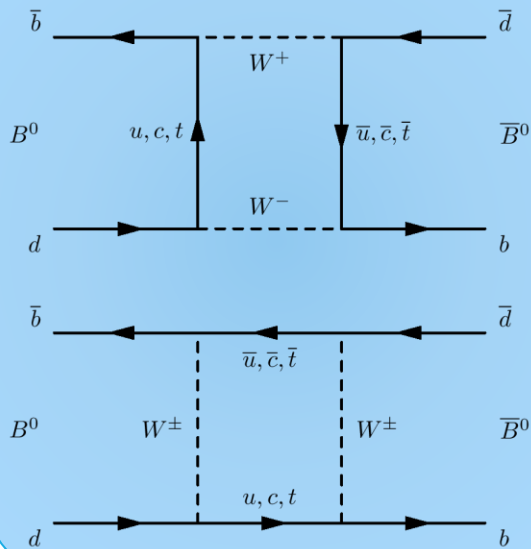
Figure from T. Lück's talk at ICHEP 2014



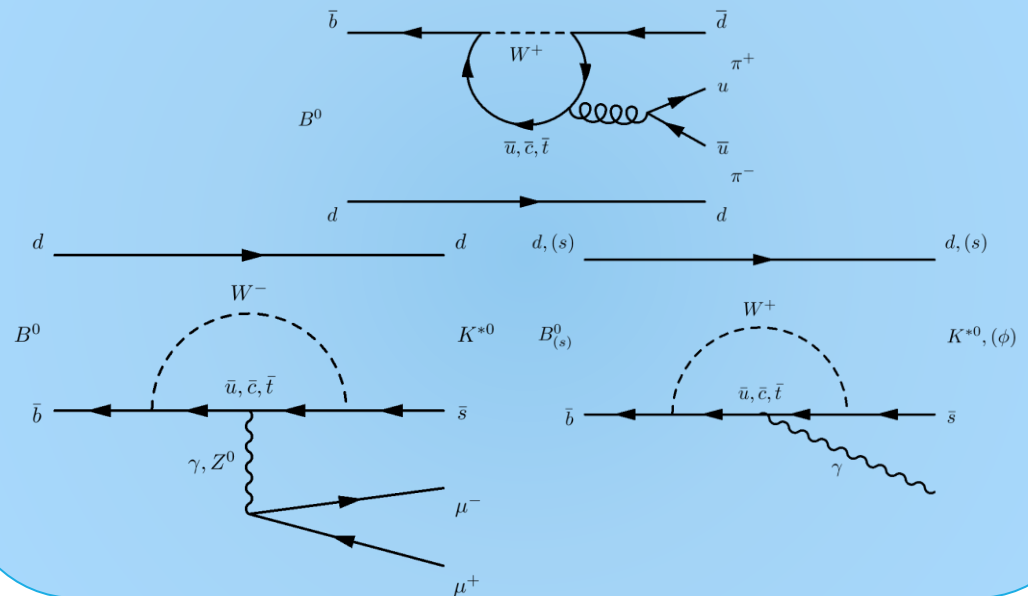
FCNC generalities

- Flavor-changing Neutral Currents (FCNC) are forbidden at tree-level in the SM
 - Ensured by GIM mechanism, assuming Higgs Yukawas are the only source of flavor violation
- FCNCs in standard model first appear at second order in the weak interaction:

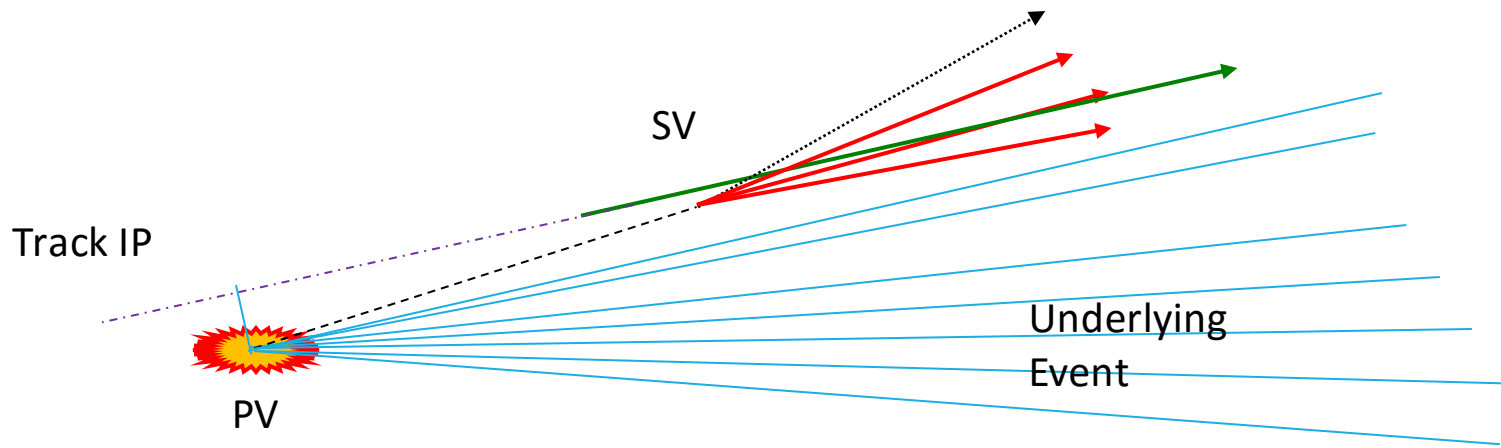
$\Delta F = 2$ “box” diagrams



$\Delta F = 1$ “penguin” diagrams



Reducing partially reconstructed backgrounds

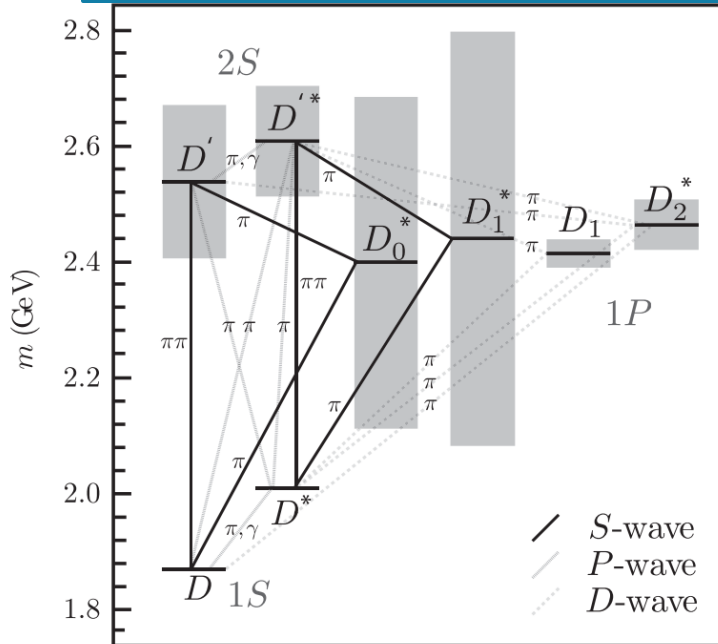


- Make use of superb tracking system
 - Scan over every reconstructed track and compare against $D^{*+}\mu^{-}$ vertex
 - Check for vertex quality with PV and SV, change in displacement of SV, p_T , alignment of track and $D^{*+}\mu^{-}$ momenta
- Each track receives BDT score as “SV-like” (high) vs “PV-like” (low)
 - Cut on most SV-like track below threshold: get signal sample enriched in exclusive decays. Rejects 70% of events with 1 additional slow pion
 - Cut on most SV-like track(s) being above threshold: get control samples enriched in interesting backgrounds (B2dstst, B2hc)

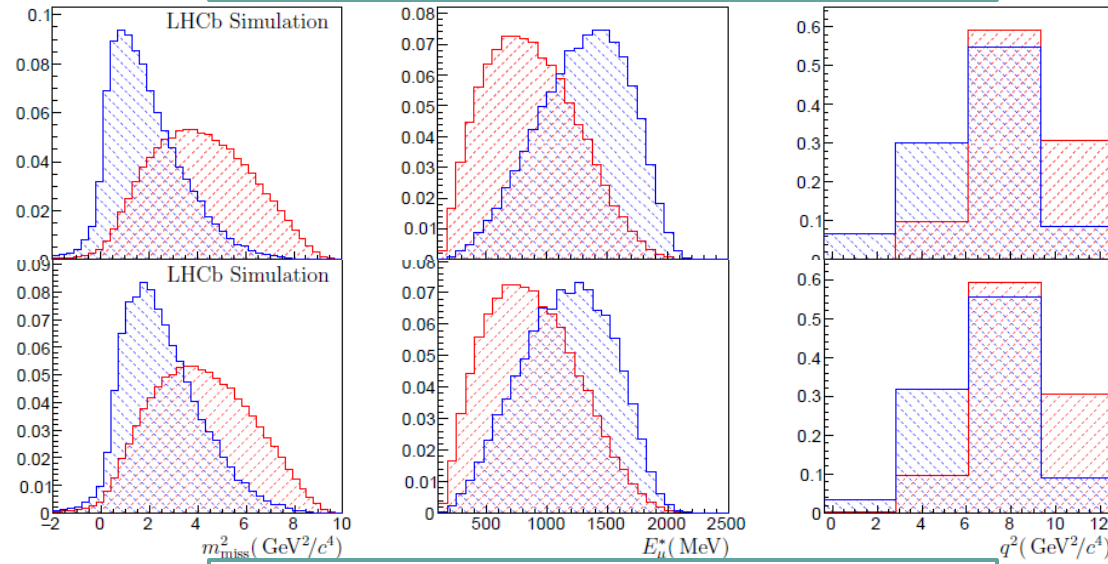
Semileptonic Backgrounds

Bernlochner et al, PRD 85 094033 (2012)

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$\bar{B}^0 \rightarrow D_1^+(2420)\mu^-\bar{\nu}_\mu$ vs $\bar{B}^0 \rightarrow D^{*+}\tau^-\bar{\nu}_\tau$



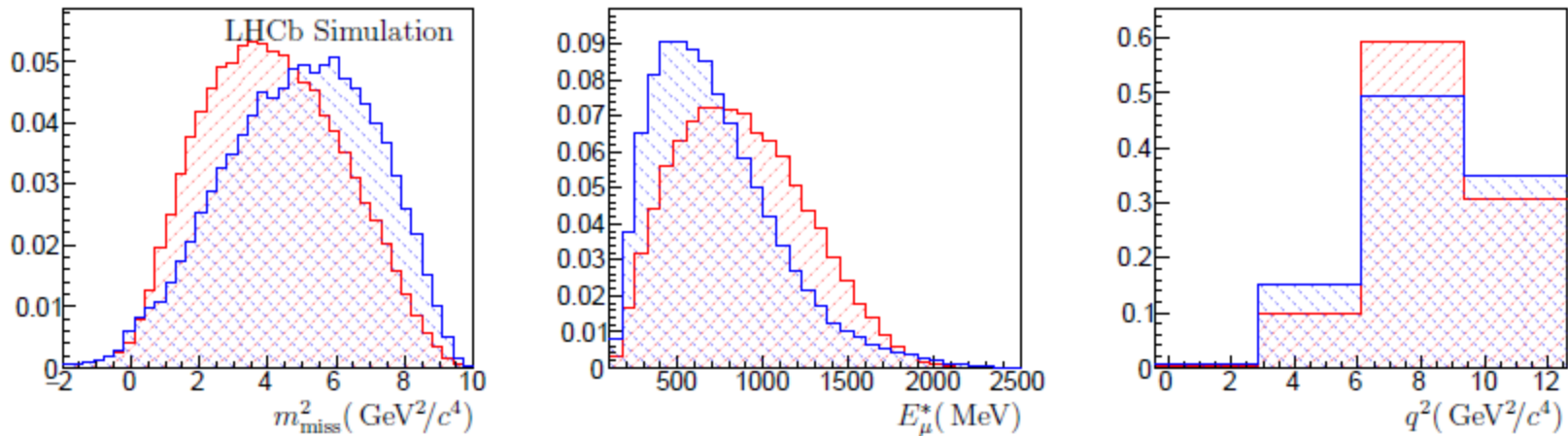
$\bar{B}^0 \rightarrow D^{*+}(\rightarrow D^+\pi\pi)\mu^-\bar{\nu}_\mu$ vs $\bar{B}^0 \rightarrow D^{*+}\tau^-\bar{\nu}_\tau$

- Contributions of excited charm states in the $B^{\pm,0} \rightarrow (c\bar{q})\mu\nu$ transition are large
 - 1P states decaying as $D^*\pi$ known and reasonably well-described by theory (HQET)
 - $D^{*+}\mu^-\pi^-$ control sample sets nonperturbative shape parameters for input to signal fit
 - States decaying as $D^*\pi\pi$ less well-understood, fit insensitive to exact composition.
 - $D^{*+}\mu^-\pi^+\pi^-$ control sample used to correct q^2 spectrum to match data
- Distinguishable by “edge” at missing mass $\approx (2)m_\pi$

$B \rightarrow D^{*+} H_c(\rightarrow \mu\nu X') X$ background

- $b \rightarrow c \bar{c} q$ decays can lead to very similar shapes to the semitauonic decay (e.g. $\bar{B}^0 \rightarrow D^{*+} D_s^- (\rightarrow \phi \mu \nu)$ + many others)
 - Highly suppressed in B-factory analyses due to complete event reconstruction, but very important at LHCb
- Branching fractions well-cataloged, but detailed descriptions of the $D^* DK (n \geq 0 \pi)$ final states are not well-simulated
 - Dedicated $D^{*+} \mu^- K^\pm$ control sample used to improve the template to match data

$\bar{B}^0 \rightarrow D^{*+} H_c(\rightarrow \mu\nu X') X$ vs $\bar{B}^0 \rightarrow D^{*+} \tau^- \nu_\tau$



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Big picture $\bar{B} \rightarrow D^* \tau \nu$

$$\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}_\mu \text{ (normalization)}$$

$$\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau \text{ (signal)}$$

$$\begin{aligned} \bar{B}^0 &\rightarrow D^{*+} \mu^- \bar{\nu}_\mu + \bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau \\ \bar{B}^- &\rightarrow D^{*0} \mu^- \bar{\nu}_\mu + \bar{B}^- \rightarrow D^{*0} \tau^- \bar{\nu}_\tau \\ D^{*+} &\rightarrow D^+ \pi \text{ (3 states each, 6 PDFs)} \end{aligned}$$

$$\begin{aligned} \bar{B}_S^0 &\rightarrow D_S^{*+} \mu^- \bar{\nu}_\mu \\ D_S^{*+} &\rightarrow D^+ K_S^0, \text{ (2 states, 1 free param)} \end{aligned}$$

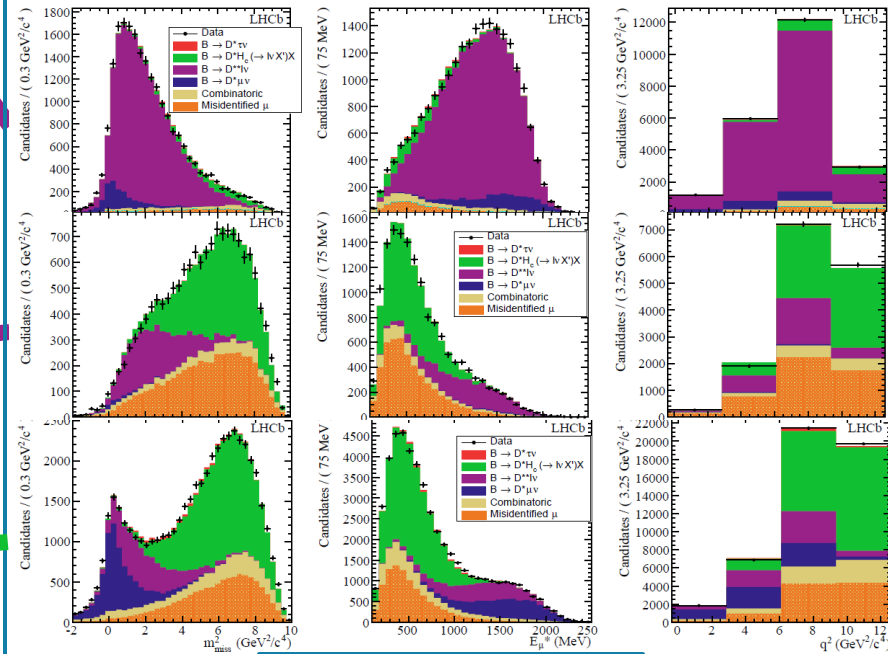
$$\begin{aligned} B^{+,0} &\rightarrow \bar{D}^{*+} \mu^+ \nu_\mu \\ \bar{D}^{*+} &\rightarrow D^{*0} \pi \pi, \text{ (cocktail)} \end{aligned}$$

$$\begin{aligned} \bar{B} &\rightarrow D^{*+} H_C (\rightarrow \mu \nu X') X \\ + \bar{B} &\rightarrow D^{*+} D_S^- (\rightarrow \tau^- \bar{\nu}_\tau) X \end{aligned}$$

combinatorial D^{*+}
combinatorial $D^{*+} \mu^-$

$h \rightarrow \mu$ misidentification

Control sample fits to constrain shapes



Detailed fit projections

- Projections of (left) m_{miss}^2 and (right) E_{μ}^* in bins of increasing q^2 from top to bottom

- Signal more clearly visible here in highest q^2 bin
 - Note different y scales, most signal actually in second-highest q^2 bin

