



 Intensity Limitations in Particle Beams 2015
The CERN Accelerator School

Electron cloud effects

Giovanni Rumolo

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CAS on Intensity Limitations in Particle Beams 2015, 3-11 November, 2015

<http://indico.cern.ch/event/362960/>



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Electron cloud effects in accelerators



- Primary sources of electrons in the vacuum chamber of accelerators: General concepts
- Formation of the electron clouds for bunched beams
 - Modeling of the impact of electrons against the chamber wall: Secondary electron emission & reflection
 - Build up process due to multipacting
 - Interaction with the beam: transverse beam instability and incoherent effects
 - Simulation techniques
- Machine/beam observables related to electron clouds
- Mitigation and cures

⇒ Important impact of electron cloud on the design/upgrade of high intensity machines

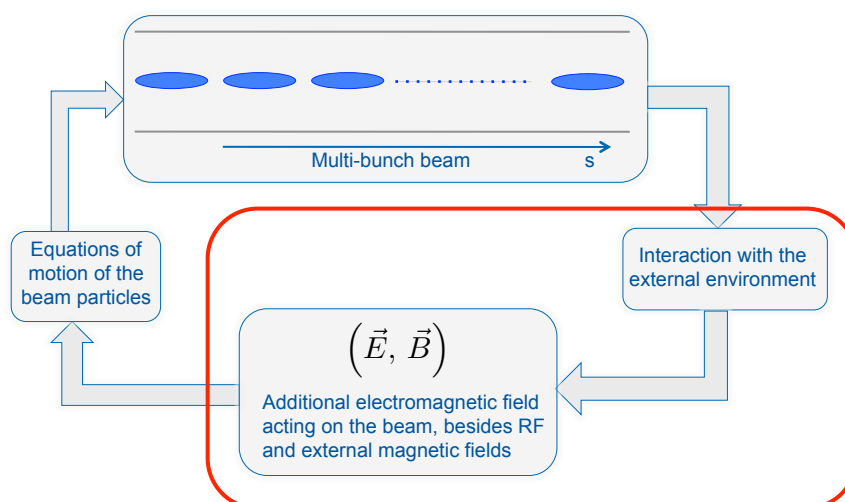


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The instability loop




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Reminder





Interaction of the beam with the external environment

The electron cloud

- Electron production and accumulation
- Poisson's equation with
 - o The electron cloud as the source term
 - o Boundary conditions given by the chamber in which the electron cloud builds up

(\vec{E}, \vec{B})

Additional electromagnetic field acting on the beam, besides RF and external magnetic fields





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Primary generation





Generation of charged particles inside the vacuum chamber (primary, or seed, electrons)

Residual gas ionization

Photoelectrons from synchrotron radiation

Desorption from the losses on the wall

- Gas ionization and wall desorption produce both **electrons** and **ions** (the former one with the same rate, the second one with different rates depending on the desorption yields), photoemission is only a source of **electrons**
- The dominant mechanism depends upon the beam type and parameters, the vacuum level, the design (material, shape), roughness and cleanness of the inner surface of the beam pipe, etc.

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Primary generation

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Residual gas ionization

The number of electron/ion pairs created via **scattering per unit length** ($\lambda = dN_{\text{ion}}/ds = dN_{\text{e}^-}/ds$) depends on the partial pressures of the components of the residual gas (P_n), the cross section of the ionization process for each of these species (σ_n), the number of particles per bunch (N_b). We can assume room temperature $T=300$ K

$$\lambda = \frac{N_b}{k_B T} \sum_{n=1}^N P_n \sigma_n = 3.22 \times 10^{-9} N_b \sum_{n=1}^N P_n [\text{nTorr}] \sigma_n [\text{MBarn}]$$

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Residual gas ionization



- A list of features of charge production through **ionization** of the residual gas:
 1. Electron/ion pair production, all basically at rest in the volume swept by the passing beam
 2. The amount of produced pairs is mainly determined by the **quality of the vacuum** and the **beam intensity**.
 3. **Weak dependency on the beam energy** through the cross section of the ionization process (the ionization cross section does not vary significantly in the ranges of energy usually covered in accelerators).
 4. The composition of the rest gas is only important in machines operating with negatively charged particles → **some ions can be trapped** by the beam and accumulate, while some others can escape.
 5. In some regimes, ionization can be caused not only by scattering, but also by the **electric field**, becoming a severe source of primaries (e.g. CLIC Main Linac)



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Residual gas ionization



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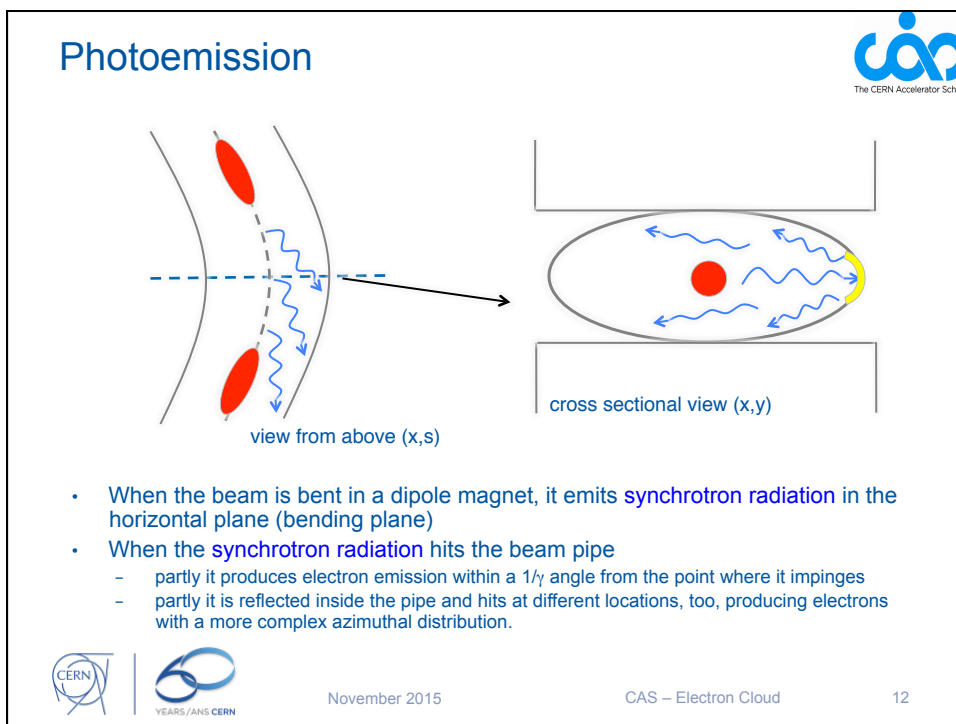
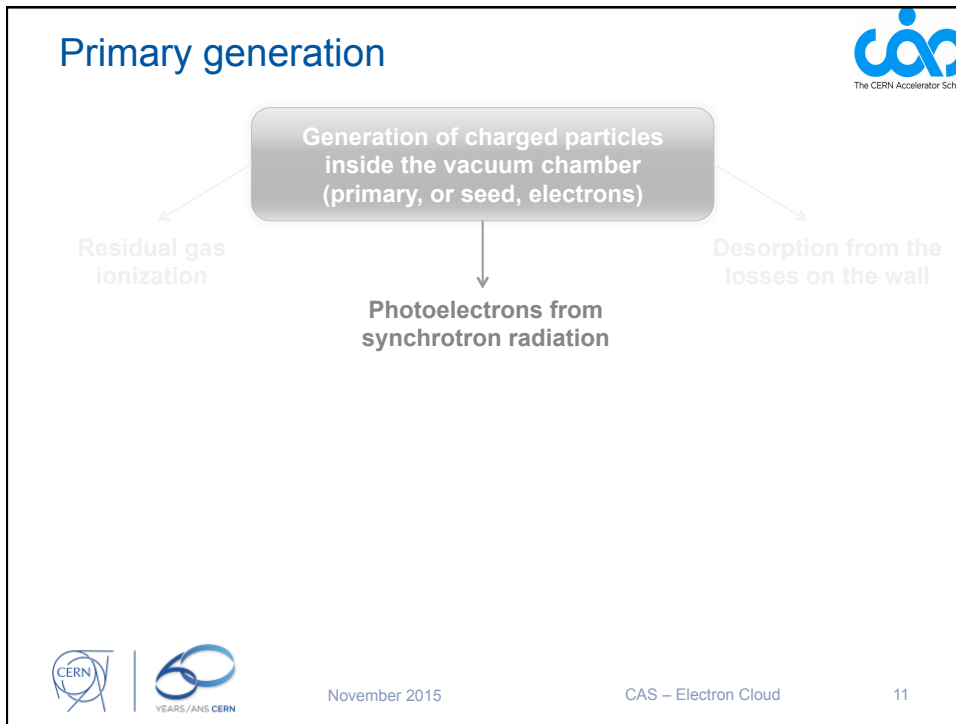
Residual gas ionization is the primary mechanism responsible for both electron cloud formation in machines with positively charge particles (at least in certain regimes) and for ion production in machines with negatively charged particles!



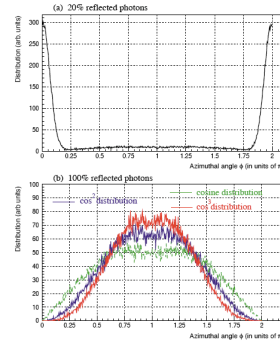
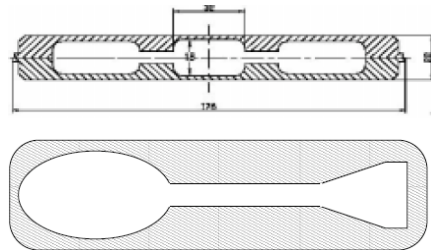
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Photoemission



- The vacuum chamber in dipoles can be designed in such a way as to absorb the direct synchrotron radiation into an antechamber (one sided in arcs, two-sided in wigglers). This solution is adopted in many synchrotron light sources (especially for heat load) and foreseen for wigglers in positron damping rings of linear colliders
- The surface directly hit by synchrotron radiation can be also machined in a way as to change the azimuthal distribution of the reflected radiation.
 - With smooth chamber it is assumed to be uniform on the beam pipe
 - E.g. with saw-tooth shape, distributions can be more like \cos^2 or \cos^3
- Percents of directly absorbed and reflected radiation come from lab measurements.



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Photoemission



- The rate of electron production ($\lambda = dN_e/ds$) is given by the number of photons per beam particle per meter multiplied by the number of beam particles and by the photoemission yield of the surface, which depends on the energy of the photons (Y)
- An effective photoemission yield (Y^*) is used, which usually takes into account also of the antechamber or absorbers (e.g. $Y^* = 0.1 Y$ if we know that 90% of the radiation goes into the antechamber)
- When bent in a dipole on a path length L , a beam produces electrons at a rate given by the following formula.

$$\lambda = Y^* N_b \frac{dN_\gamma}{ds} = Y^* N_b \frac{5\pi\alpha\gamma}{\sqrt{3}L}$$

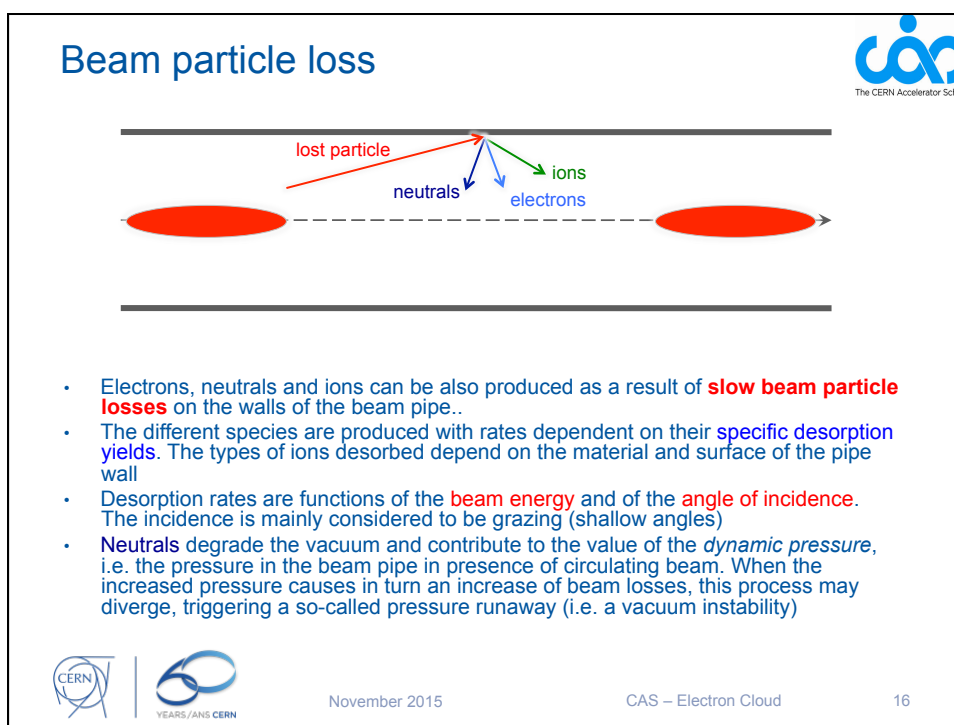
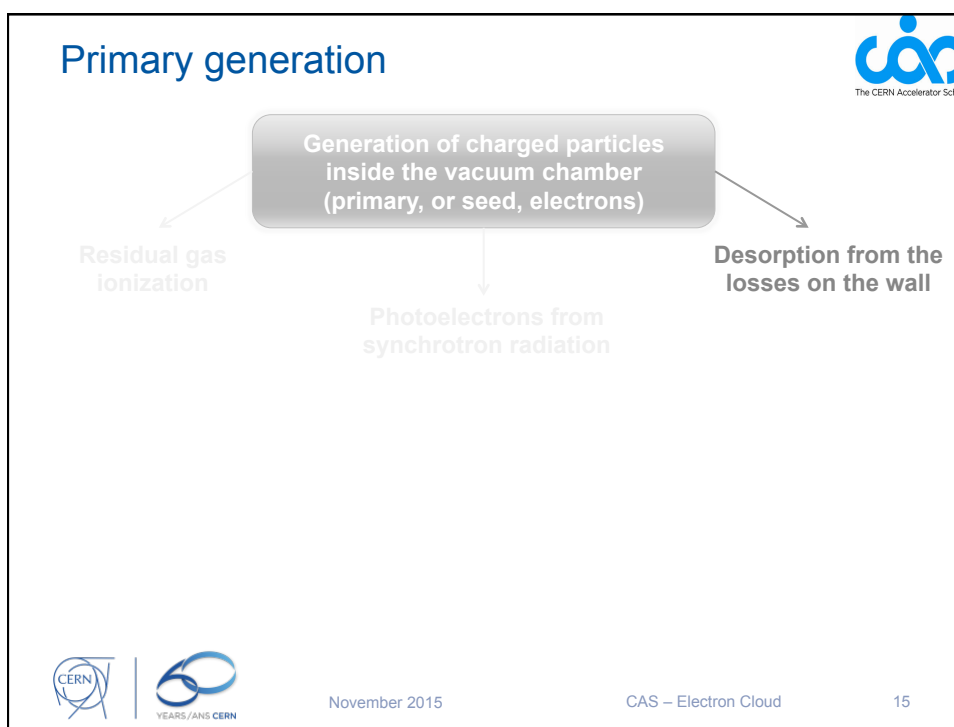
α is the fine structure constant, γ the relativistic factor of the beam, L the total length over which there is emitted radiation.
 Y^* depends on the energy of the photons (it becomes negligible if the critical photon energy is below the work function of the metal, then grows proportionally with this energy, i.e. with the third power of γ)



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Beam particle loss



- Beam particle loss takes place because of
 - Diffusion, emittance growth (IBS, scattering, bremsstrahlung, Touschek, beam-beam, noise excitation, resonance crossing, ...), which cause the particles to exit the dynamic aperture and eventually hit the physical aperture.
 - Uncaptured beam lost at the beginning of the accelerating ramp.
- Diffusion losses are concentrated at the significant aperture restriction points. Capture losses, but also e.g. losses of ions having undergone charge exchange processes (stripping/capture), usually happen downstream from the bending magnets. Both can be intercepted with purposely designed collimators.
- An estimate of the average beam losses is based on the percent of beam lost over a certain number of turns, which can be roughly translated in number of beam particles lost per meter (n') though the desorption yield η_{el}

$$\lambda_{el} = \eta_{el} n'$$



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Electron cloud formation



- **Electrons can strongly affect the performance of machines operating with positively charged particles** (positrons, protons, heavy ions). There are observations of electron accumulation also for electron machines.
- Coasting (continuous) beams
 - Electrons emitted at the pipe surface are accelerated and decelerated in the beam field, and come to the other side of the pipe with a zero net energy gain → no contribution to accumulation
 - Ionization electrons are trapped and move with high frequency. Their accumulation around the beam to the neutralization level may endanger stability.
- Bunched beams
 - Trains of short bunches: under certain conditions, a process of multi-bunch multiplication is possible through the secondary electron emission, i.e. the electrons generated with the mechanisms so far considered (called primary) seed an avalanche process that leads to very high electron densities inside the beam pipe
 - Trains of long bunches: they can behave partly like coasting beams, with the advantage of having clearing gaps. However, again due to secondary electron emission, they may suffer from the so called trailing edge multipacting, which can cause intolerable electron accumulation especially at the tail of this type of bunches



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Electron cloud formation

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Electron cloud formation

- When electrons hit the pipe wall, they do not just disappear.....
 - High energy electrons easily survive and actually multiply through **secondary electron emission**
 - Low energy electrons tend to survive long because they are likely to be **elastically reflected**.
- **Secondary electron emission is governed by the curve below**

An important set of model parameters

(R_0, E_{max})

$$\delta_{true} = \delta_{max} \frac{sx}{s-1+x^s} \quad x = \frac{E}{E_{max}}$$

$$\delta_{elas} = \frac{(\sqrt{E} - \sqrt{E+E_0})^2}{(\sqrt{E} + \sqrt{E+E_0})^2}$$


$$\delta_{tot}(E) = \delta_{true}(E) + R_0 \cdot \delta_{elas}(E)$$

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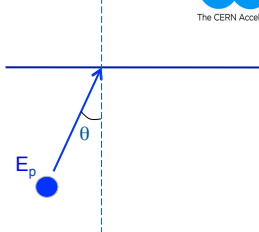
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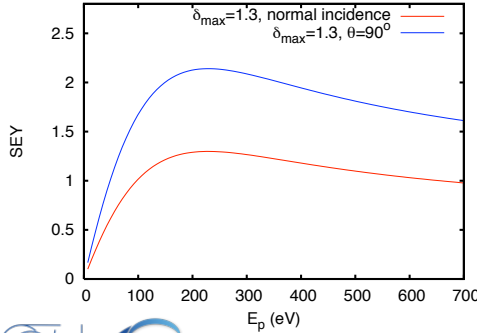
Electron cloud formation



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$$\delta_{\max}^*(\theta) = \delta_{\max} \exp \left[\frac{1}{2} (1 - \cos \theta) \right]$$

$$E_{\max}^*(\theta) = E_{\max} \cdot [1 + 0.7 (1 - \cos \theta)]$$





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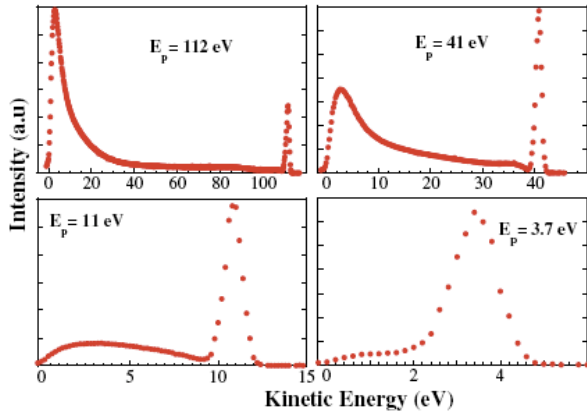
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Electron cloud formation



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- Elastically reflected electrons, and their relevance at very low energies, have been measured (Cimino & Collins, 2004)
- From these measurements both secondary emission and elastic reflection have been fully characterized, although some doubts still persist on the best modeling at low energies







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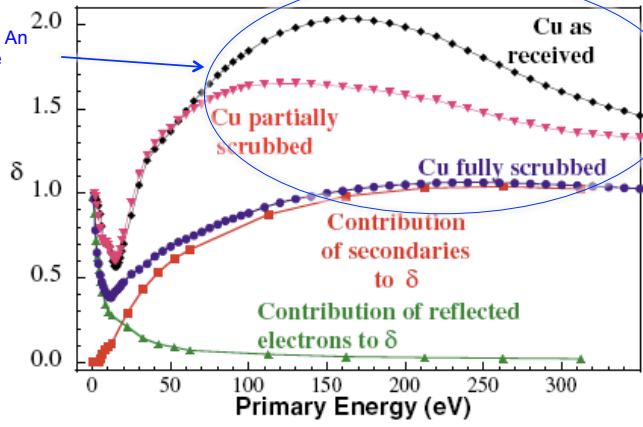
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Electron cloud formation



- Elastically reflected electrons, and their relevance at very low energies, have been measured (Cimino & Collins, 2004)
- From these measurements both secondary emission and elastic reflection have been fully characterized → high probability of elastic reflection of electrons at low energy for technical surfaces

The SEY decreases in time... An effect of what we call "surface scrubbing"







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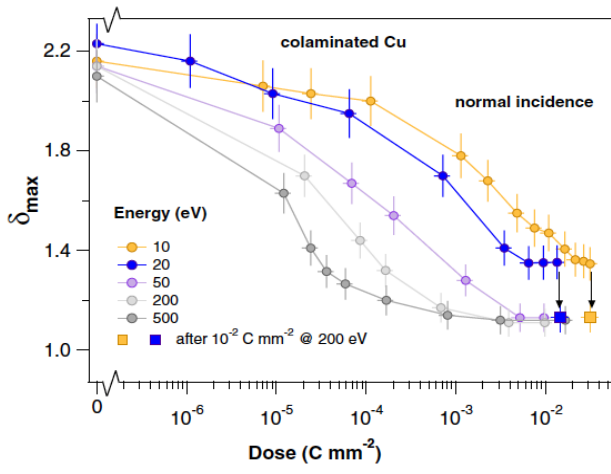
Electron cloud formation





- The SEY can be lowered by electron bombardment (**scrubbing effect**, efficiency depends on the deposited dose) or by radiation bombardment (conditioning effect). Also the PEY decreases by radiation.

Measured in the lab by bombarding a surface with electrons with different energies

Scrubbing efficiency depends on the electron energy!



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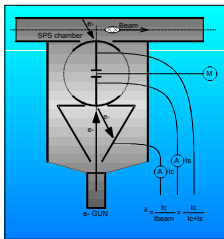
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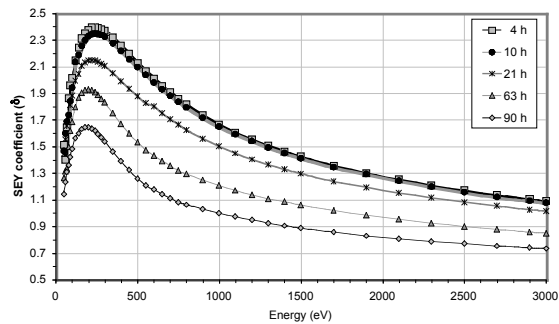
Electron cloud formation



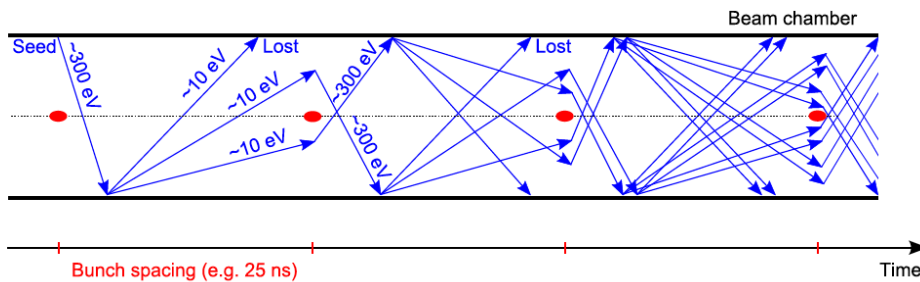
- The SEY can be lowered by electron bombardment (**scrubbing effect**, efficiency depends on the deposited dose) or by radiation bombardment (conditioning effect). Also the PEY decreases by radiation.
- Directly observed also in accelerator: Stainless Steel SEY decreases from above 2 to ~1.6 in the SPS after relatively high electron bombardment. Other materials, like the TiN, rely on conditioning to rapidly get very low maximum SEY (even below 1)



Schematic view of the in-situ SEY detector installed in the SPS




Electron cloud formation: Principle of multipacting



- The circulating beam particles produce “primary or seed electrons”, which are attracted by the passing particle bunch and can be accelerated to energies up to several hundreds of eV.
- When an electron with this energy impacts the wall, “secondary electrons” are likely to be emitted.
- Secondaries have energies up to few tens of eV
 - Some impact the wall with these energies and are either absorbed or elastically reflected
 - Some are again accelerated in the next bunch’s field and will produce more secondaries

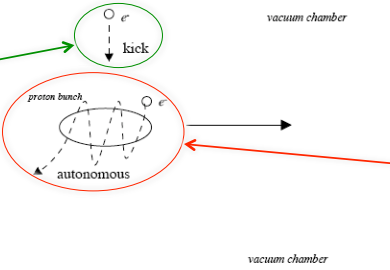


Electron cloud formation: Principle of multipacting





- The picture proposed on the previous slide, though instructive, is simplified, because electrons actually fill the pipe and evolve differently according to their positions when the bunch arrives
- Critical parameters are bunch charge, spacing, chamber radius. While in general higher bunch charges and shorter spacings tend to facilitate multipacting, it is finally the combination of these three numbers to determine how low is the SEY threshold above which multipacting occurs

Electrons far enough from the beam are in kick regime, i.e. they just feel a strong electric kick when a bunch passes



Electrons close to the beam and with low velocities are in autonomous regime, i.e. they oscillate around the bunch. The frequency of this oscillation, as well as the number of oscillations per bunch passage, are two important parameters!





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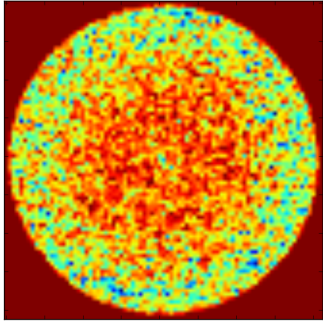
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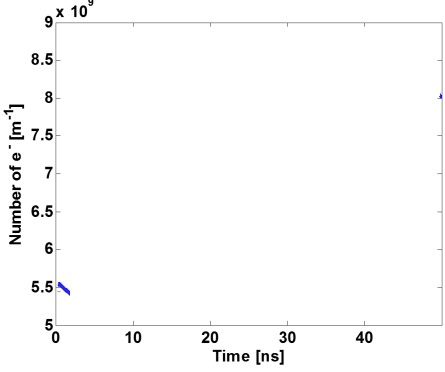
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Electron cloud formation: Illustration of multipacting





Beam pipe transverse cut





Time [ns]	Number of e ⁻ [m ⁻³] × 10 ⁹
0	5.5
~45	8.0





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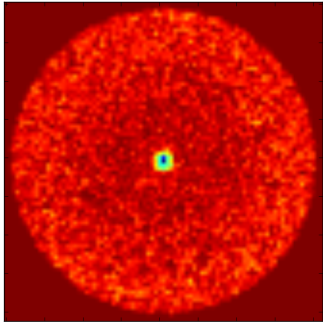
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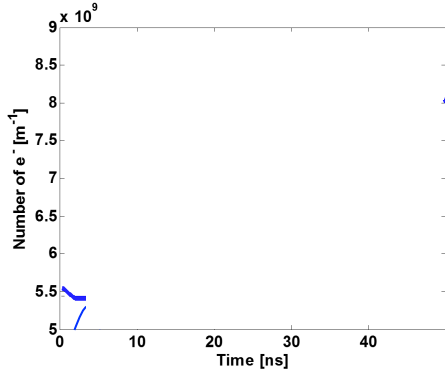
Electron cloud formation: Illustration of multipacting



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Beam pipe transverse cut





Time [ns]	Number of e ⁻ [m ⁻¹]
0	5.0
5	5.5
5.5	8.0
6	8.5
45	8.0

- During the bunch passage the electrons are accelerated by the beam **“pinched”** at the center of the beam pipe





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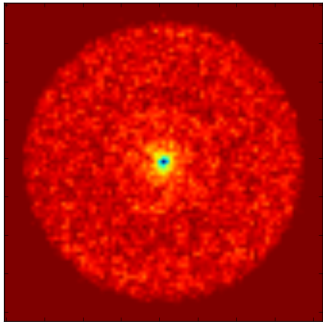
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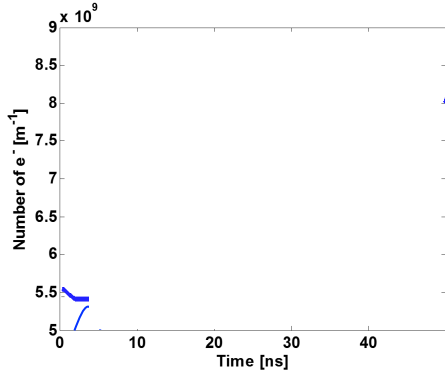
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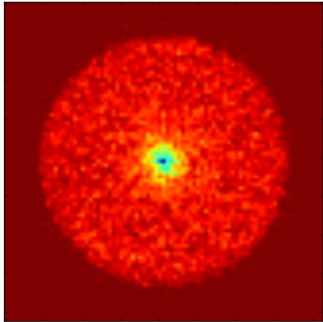
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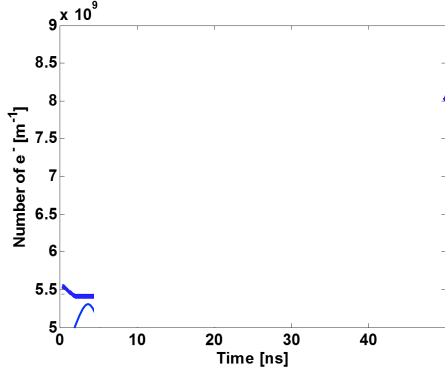
Electron cloud formation: Illustration of multipacting



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Beam pipe transverse cut







Number of e^- [m^{-1}] $\times 10^9$

Time [ns]

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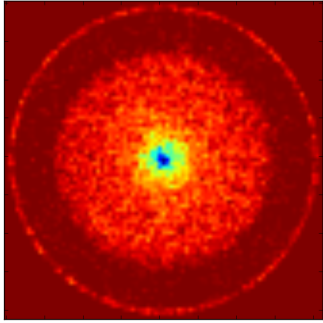
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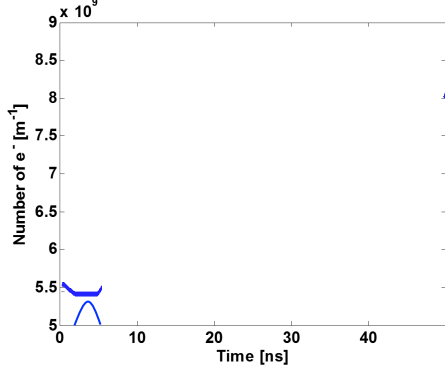
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Beam pipe transverse cut







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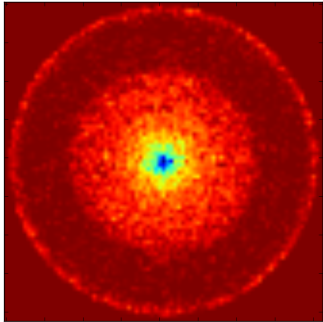
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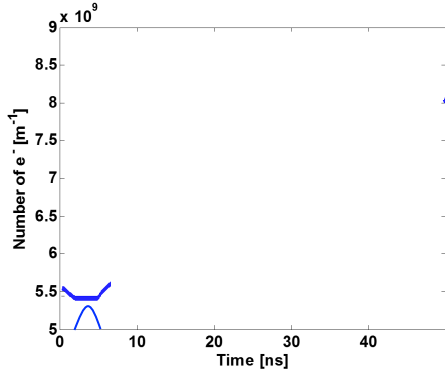
Electron cloud formation: Illustration of multipacting



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Beam pipe transverse cut







Number of e^- [m^{-1}] $\times 10^9$

Time [ns]

- After the bunch passage electrons **hit the wall (with $E \sim 100\text{eV}$)**
- If **Secondary Electron Yield (SEY)** of the surface is large enough, secondary electrons can be generated





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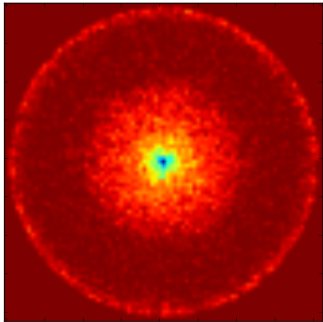
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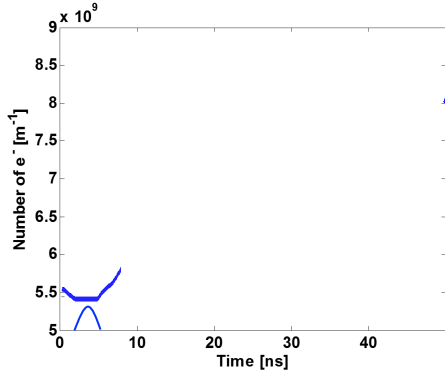
Electron cloud formation: Illustration of multipacting



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Beam pipe transverse cut







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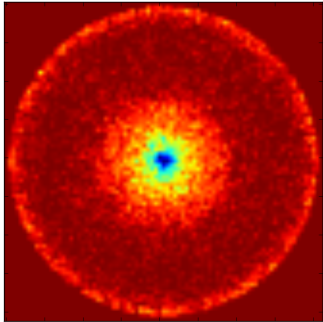
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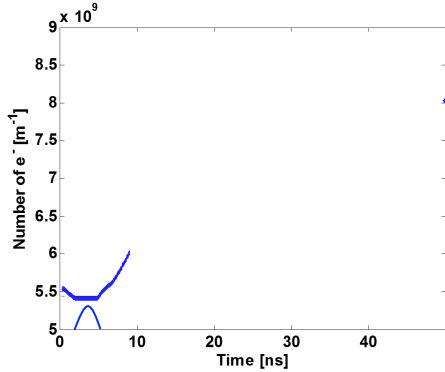
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Electron cloud formation: Illustration of multipacting





Beam pipe transverse cut





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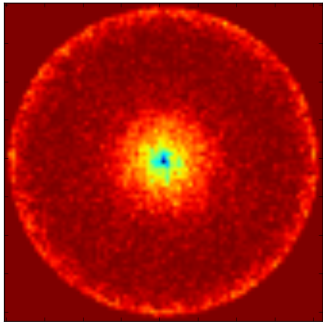
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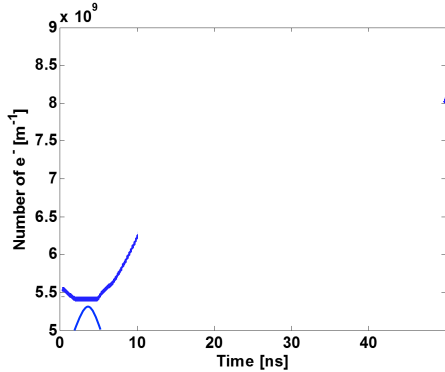
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Electron cloud formation: Illustration of multipacting





Beam pipe transverse cut





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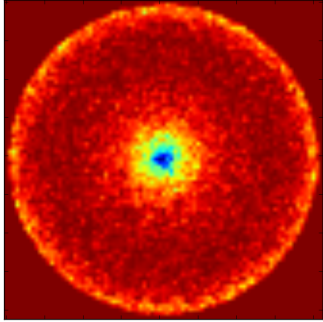
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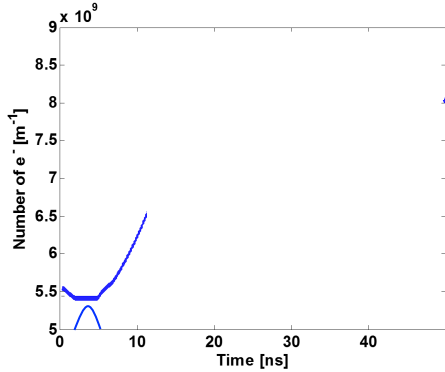
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Electron cloud formation: Illustration of multipacting





Beam pipe transverse cut





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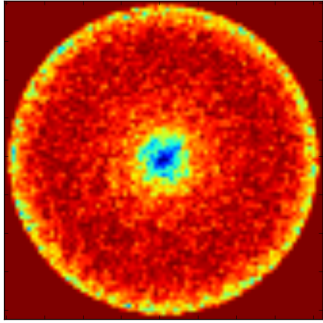
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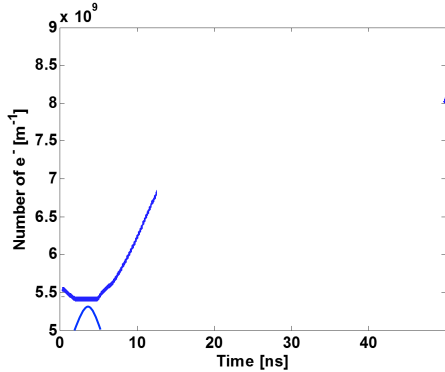
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Electron cloud formation: Illustration of multipacting





Beam pipe transverse cut





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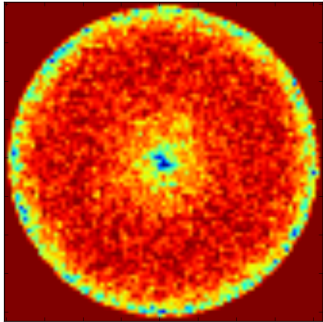
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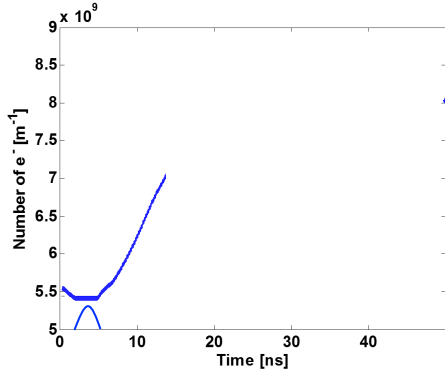
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Beam pipe transverse cut





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4	5.5
6	5.5
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10	6.8
12	7.5
14	8.2
16	9.0
18	9.5
20	10.0
22	10.5
24	11.0
26	11.5
28	12.0
30	12.5
32	13.0
34	13.5
36	14.0
38	14.5
40	15.0
42	15.5
44	16.0
46	16.5
48	17.0
50	17.5

- After the bunch passage electrons **hit the wall (with $E \sim 100\text{eV}$)**
- If **Secondary Electron Yield (SEY)** of the surface is large enough, secondary electrons can be generated





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CAS – Electron Cloud

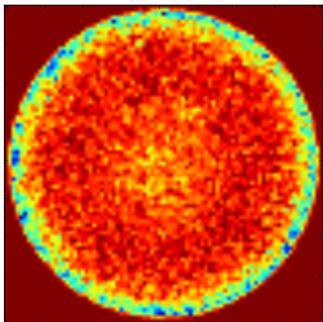
39

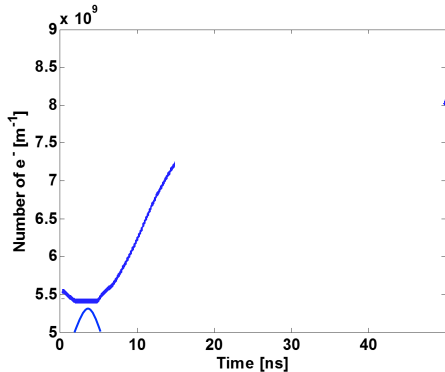
Electron cloud formation: Illustration of multipacting



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Beam pipe transverse cut





Time [ns]	Number of e^- [m^{-1}]
0	5.0
2	5.5
4	5.5
6	5.5
8	6.0
10	6.8
12	7.5
14	8.2
16	9.0
18	9.5
20	10.0
22	10.5
24	11.0
26	11.5
28	12.0
30	12.5
32	13.0
34	13.5
36	14.0
38	14.5
40	15.0
42	15.5
44	16.0
46	16.5
48	17.0
50	17.5

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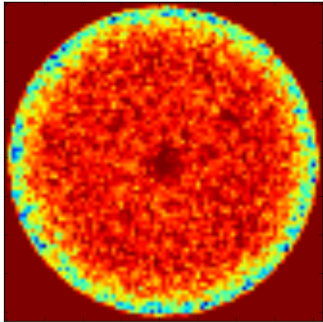
CAS – Electron Cloud

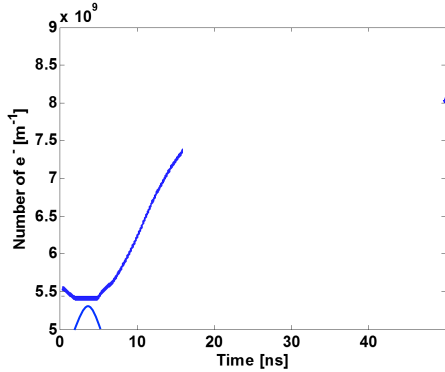
40

Electron cloud formation: Illustration of multipacting





Beam pipe transverse cut





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- If **Secondary Electron Yield (SEY)** of the surface is large enough, secondary electrons can be generated





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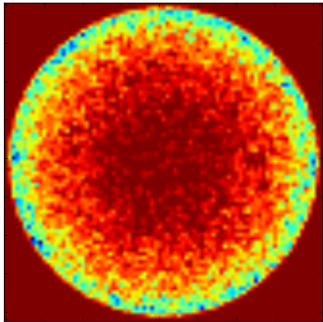
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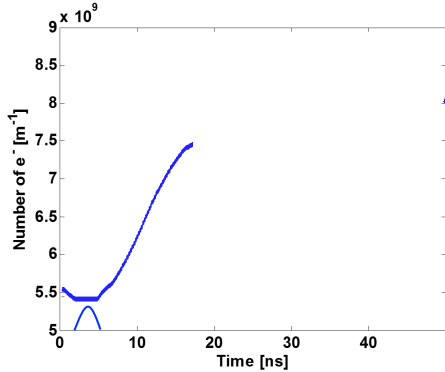
41

Electron cloud formation: Illustration of multipacting





Beam pipe transverse cut





- After the bunch passage electrons **hit the wall (with $E \sim 100\text{eV}$)**
- If **Secondary Electron Yield (SEY)** of the surface is large enough, secondary electrons can be generated

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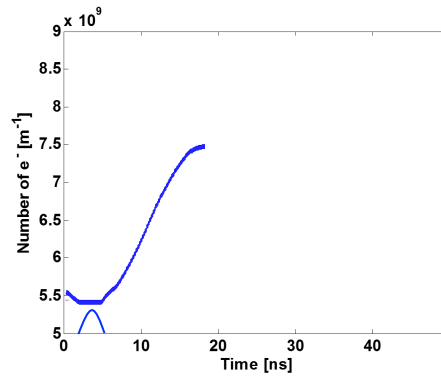
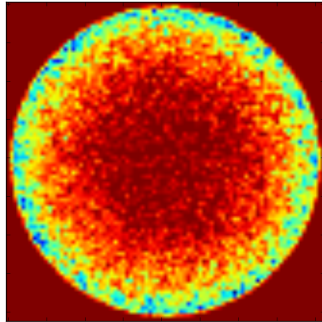
CAS – Electron Cloud

42

Electron cloud formation: Illustration of multipacting



Beam pipe transverse cut



- After the bunch passage electrons **hit the wall (with $E \sim 100\text{eV}$)**
- If **Secondary Electron Yield (SEY)** of the surface is large enough, secondary electrons can be generated



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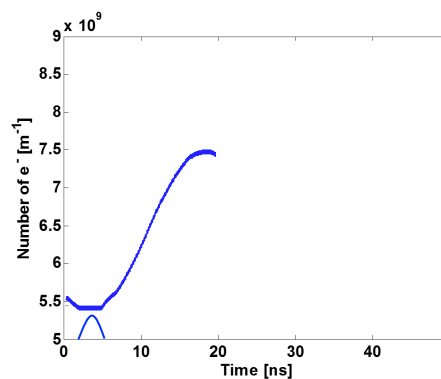
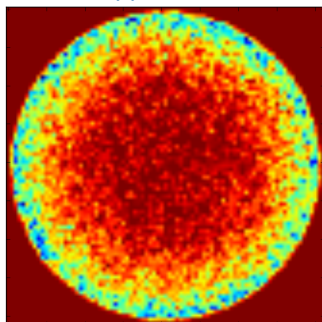
CAS – Electron Cloud

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Electron cloud formation: Illustration of multipacting



Beam pipe transverse cut



- Secondary electrons, emitted with **low energies ($E \sim 1\text{eV}$)**, **are absorbed** without generation of further secondaries
- **Total number of electrons** begins decaying at this stage




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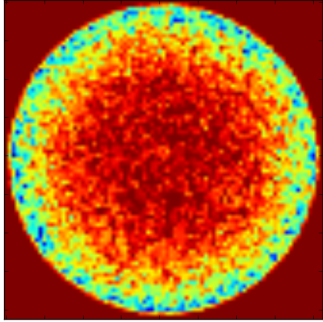
CAS – Electron Cloud

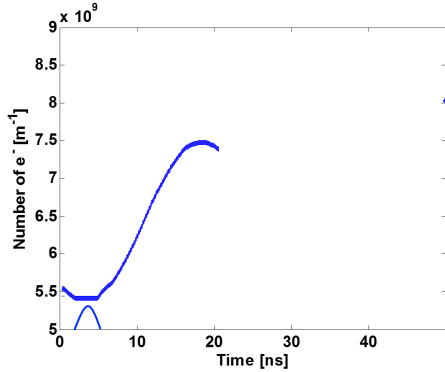
44

Electron cloud formation: Illustration of multipacting





Beam pipe transverse cut





- Secondary electrons, emitted with **low energies ($E \sim 1\text{eV}$)**, **are absorbed** without generation of further secondaries
- **Total number of electrons** begins decaying at this stage





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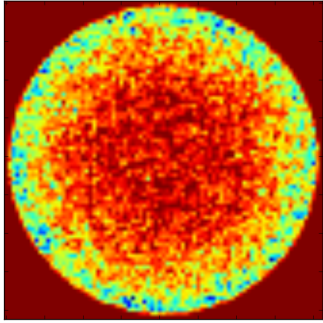
CAS – Electron Cloud

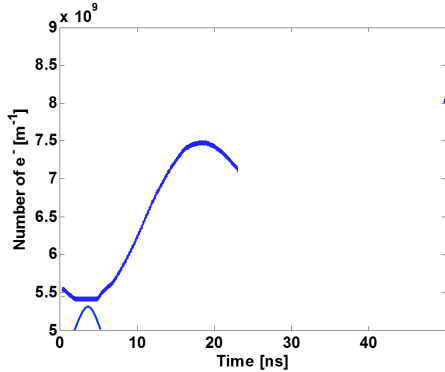
45

Electron cloud formation: Illustration of multipacting





Beam pipe transverse cut





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- **Total number of electrons** begins decaying at this stage





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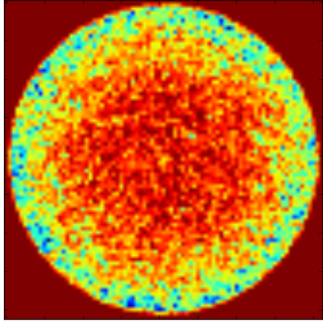
CAS – Electron Cloud

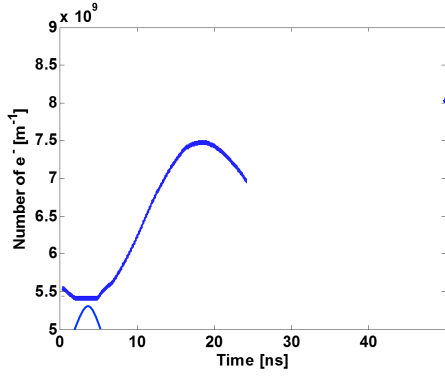
46

Electron cloud formation: Illustration of multipacting





Beam pipe transverse cut





Time [ns]	Number of e ⁻ [m ⁻³]
0	5.0
5	5.5
10	6.5
15	7.2
18	7.5
20	7.4
25	7.0
30	6.5
35	6.0
40	5.5
45	5.0

- Secondary electrons, emitted with **low energies ($E \sim 1\text{eV}$)**, **are absorbed** without generation of further secondaries
- **Total number of electrons** begins decaying at this stage





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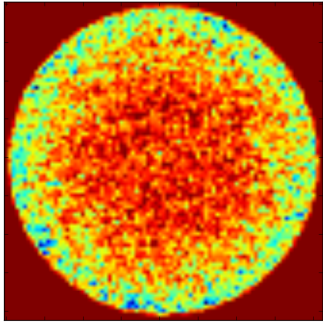
CAS – Electron Cloud

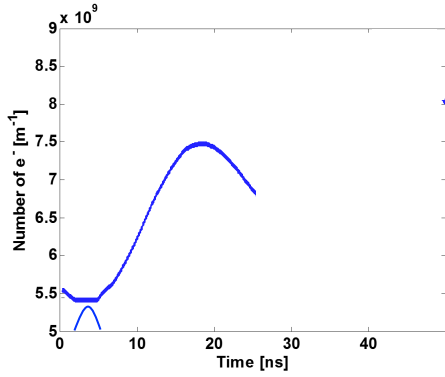
47

Electron cloud formation: Illustration of multipacting





Beam pipe transverse cut





Time [ns]	Number of e ⁻ [m ⁻³]
0	5.0
5	5.5
10	6.5
15	7.2
18	7.5
20	7.4
25	7.0
30	6.5
35	6.0
40	5.5
45	5.0

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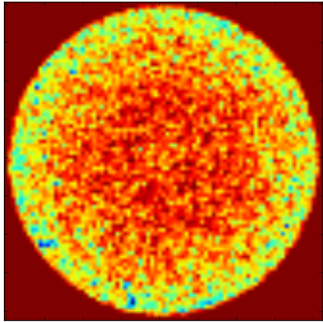
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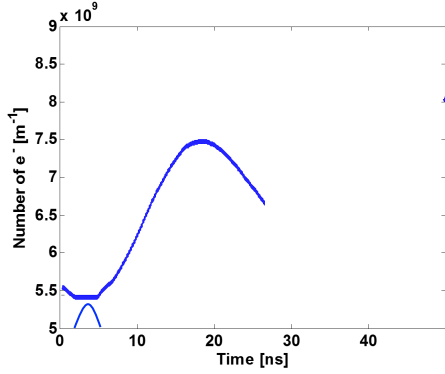
48

Electron cloud formation: Illustration of multipacting





Beam pipe transverse cut





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- **Total number of electrons** begins decaying at this stage





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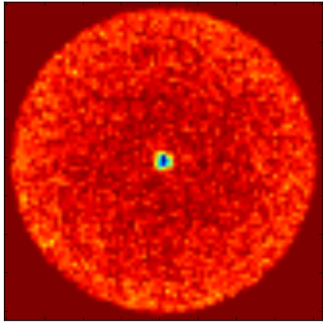
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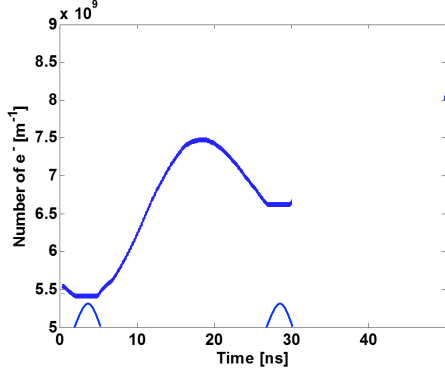
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Electron cloud formation: Illustration of multipacting





Beam pipe transverse cut





- **Another bunch passage can interrupt the decay** before reaching the initial value
- This could lead to **exponential growth** of the number of electrons





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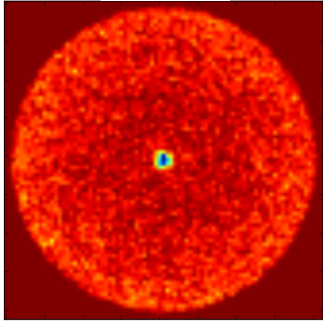
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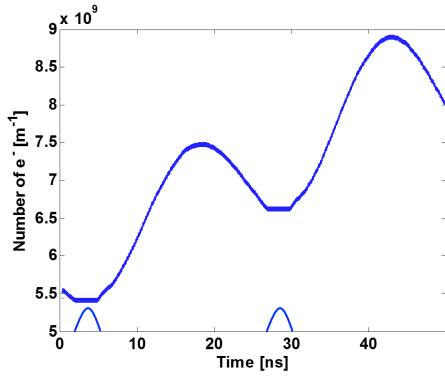
50

Electron cloud formation: Illustration of multipacting



Beam pipe transverse cut







Number of e^- [m^{-1}]

Time [ns]

- **Another bunch passage can interrupt the decay** before reaching the initial value


This could lead to **exponential growth** of the number of electrons

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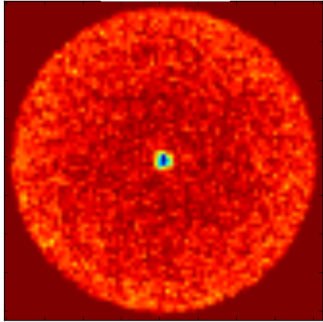
CAS – Electron Cloud

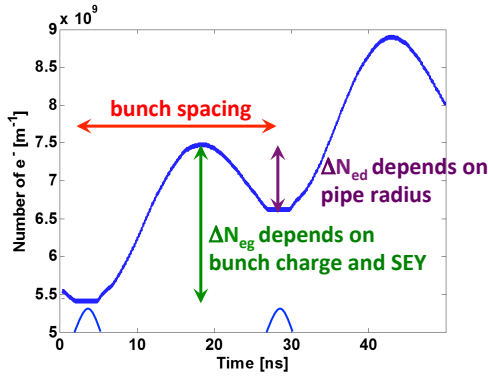
51

Electron cloud formation: Illustration of multipacting



Beam pipe transverse cut





Number of e^- [m^{-1}]



Time [ns]

bunch spacing

ΔN_{eg} depends on bunch charge and SEY

ΔN_{ed} depends on pipe radius

Electron cloud effect is strongly dependent on bunch spacing, bunch charge and pipe radius!





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Electron cloud formation: A model for the multipacting process





$$n_{i+1} = n_i + \int_0^\infty \int_{t_i}^{t_{i+1}} \Phi(E, t) (\delta(E) - 1) dt dE + n_0$$


Energy spectrum of the electrons impacting the wall at time t

SEY of the chamber inner surface

Number of primary electrons generated by the bunch passage



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Electron cloud formation: A model for the multipacting process





Defining a normalized integrated spectrum over the bunch spacing


$$\phi_i(E) = \frac{1}{n_i} \int_{t_i}^{t_{i+1}} \Phi(E, t) dt$$

$$n_{i+1} = n_i \left(1 + \int_0^\infty \phi_i(E) (\delta(E) - 1) dE \right) + n_0$$

$\delta_{\text{eff}, i}$



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Electron cloud formation: A model for the multipacting process



As long as the interaction between electrons stays negligible, the normalized integrated spectrum does not depend on the bunch index

$$\phi_i(E) = \phi(E) \quad \longrightarrow \quad \delta_{\text{eff},i} = \delta_{\text{eff}}$$

$$n_i = n_0 \sum_{k=0}^i \delta_{\text{eff}}^k$$

$$n_i = n_0 \frac{1 - \delta_{\text{eff}}^{i+1}}{1 - \delta_{\text{eff}}}$$

$$\delta_{\text{eff}} < 1$$



$$\delta_{\text{eff}} > 1$$

$$n_i \approx \frac{1}{1 - \delta_{\text{eff}}}$$

No exponential growth, only equilibrium between seed generation and loss to the walls

$$n_i \approx \frac{\delta_{\text{eff}}^{i+1}}{\delta_{\text{eff}} - 1}$$

Exponential growth!





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Electron cloud formation: A model for the multipacting process

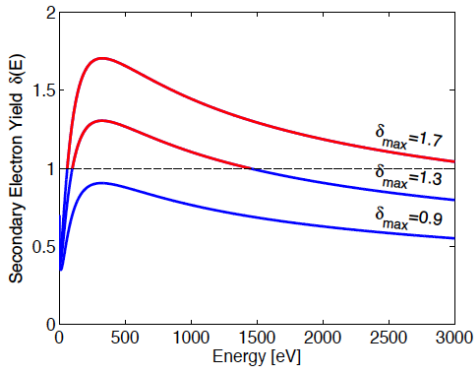




Let's have a closer look to δ_{eff}

$$\delta_{\text{eff}} = 1 + \int_0^{\infty} \phi(E) [\delta(E) - 1] dE$$

Whether δ_{eff} is below or above unity depends on whether the energy spectrum $\phi(E)$ overlaps more with the **absorber region** of the SEY curve ($\delta(E) < 1$) or the **emitter region** ($\delta(E) > 1$)

Absorber region is for low energies and possibly above a certain energy value, depending on E_{max} and δ_{max} .
If $\delta_{\text{max}} < 1$, there is obviously no emitter region

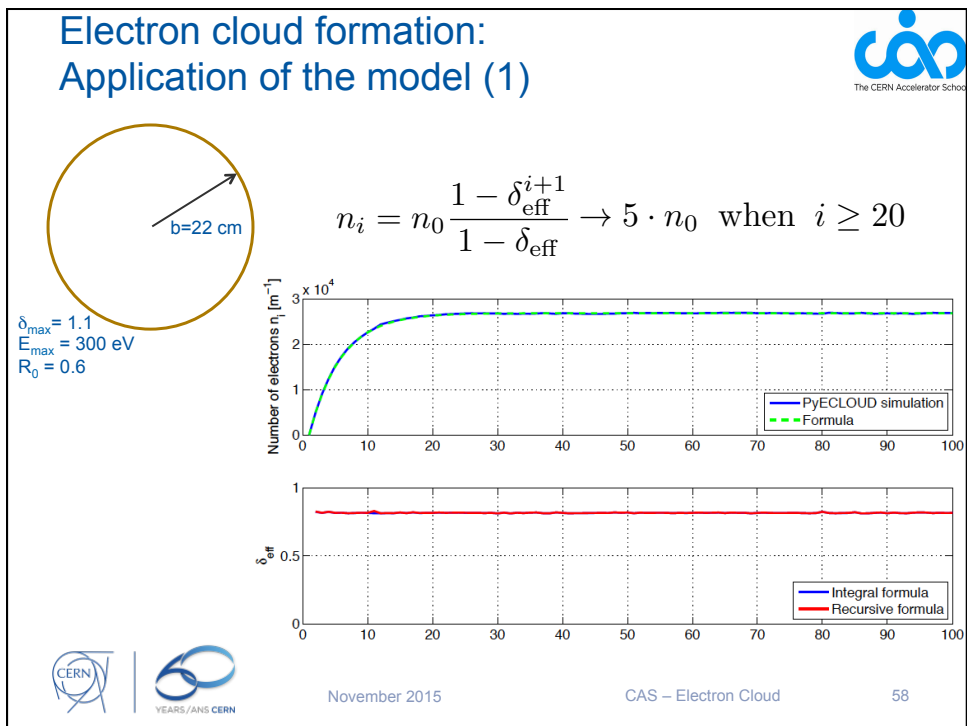
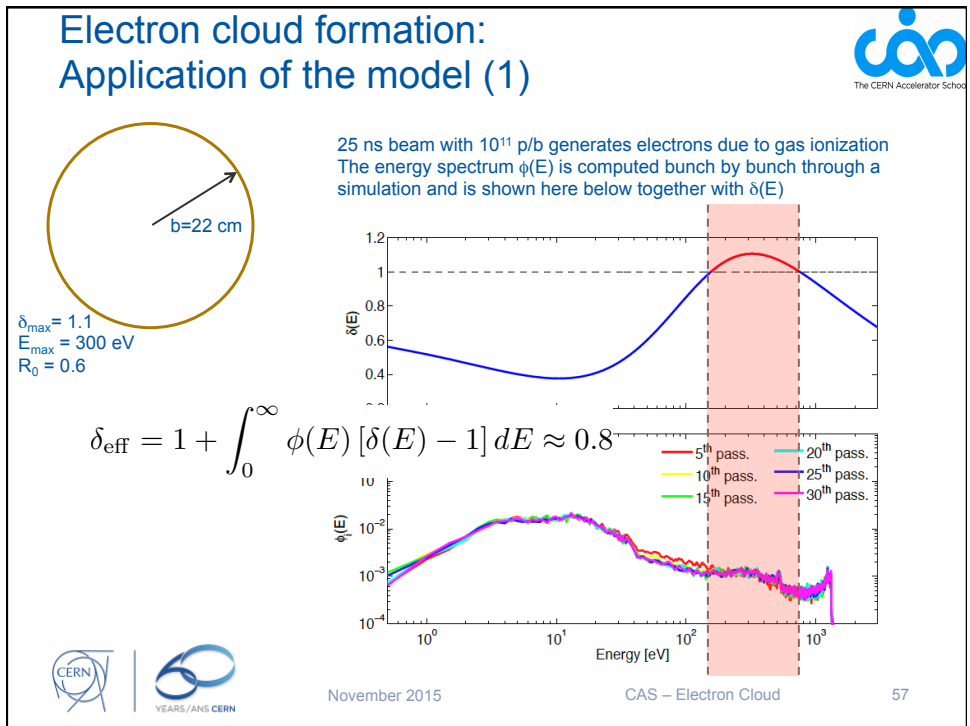



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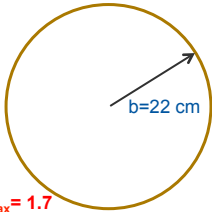
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Electron cloud formation: Application of the model (2)

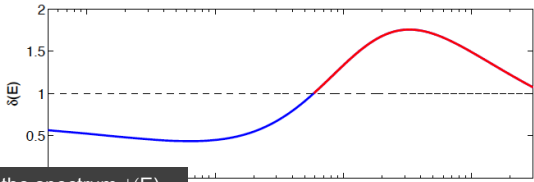




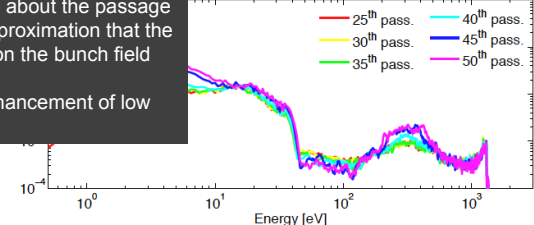
$b=22\text{ cm}$



$\delta_{\text{max}} = 1.7$
 $E_{\text{max}} = 300\text{ eV}$
 $R_0 = 0.6$

25 ns beam with 10^{11} p/b generates electrons due to gas ionization
 The energy spectrum $\phi(E)$ is computed bunch by bunch through a simulation and is shown here below together with $\delta(E)$



First of all, it is clear that the spectrum $\phi(E)$ stays constant only up to about the passage of the 35th bunch (the approximation that the spectrum only depends on the bunch field does not hold anymore)
 After that, there is an enhancement of low energy electrons.







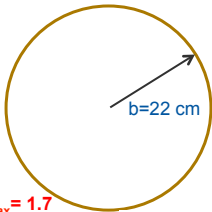
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Electron cloud formation: Application of the model (2)

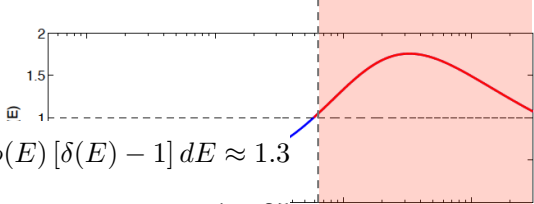




$b=22\text{ cm}$

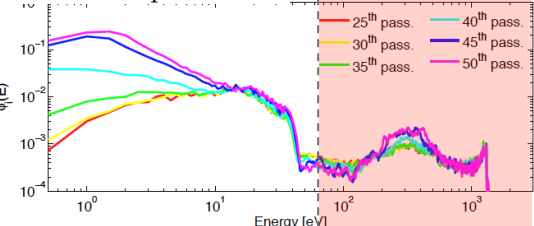
$\delta_{\text{max}} = 1.7$
 $E_{\text{max}} = 300\text{ eV}$
 $R_0 = 0.6$



25 ns beam with 10^{11} p/b generates electrons due to gas ionization
 The normalized integrated energy spectrum $\phi(E)$ is computed through a simulation and is shown here below together with $\delta(E)$



$$\delta_{\text{eff}} = 1 + \int_0^{\infty} \phi(E) [\delta(E) - 1] dE \approx 1.3$$

up to $i \approx 35$

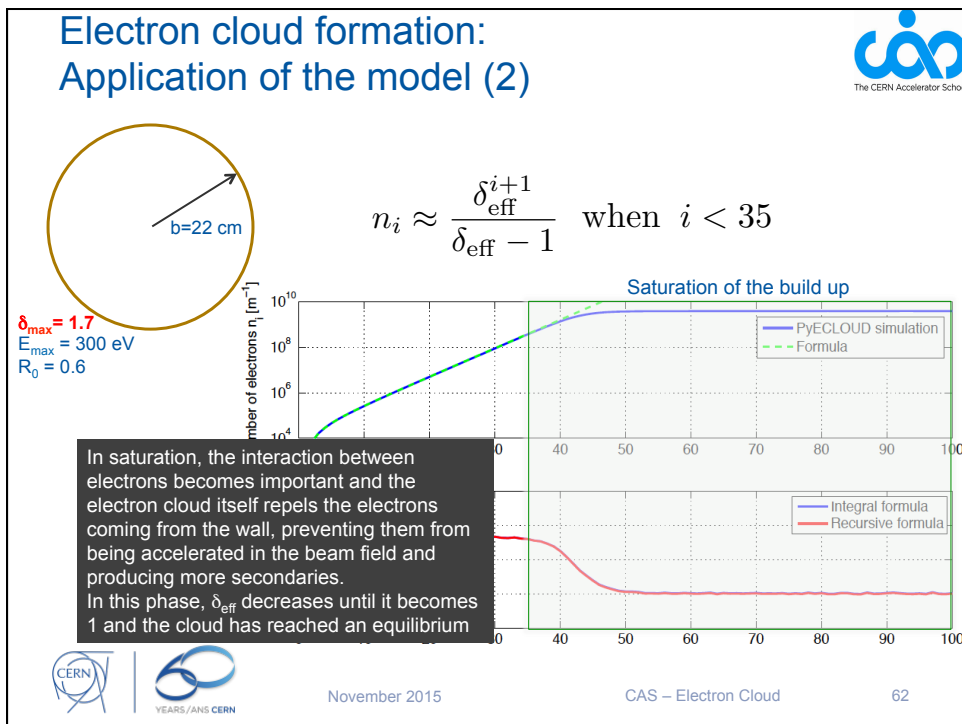
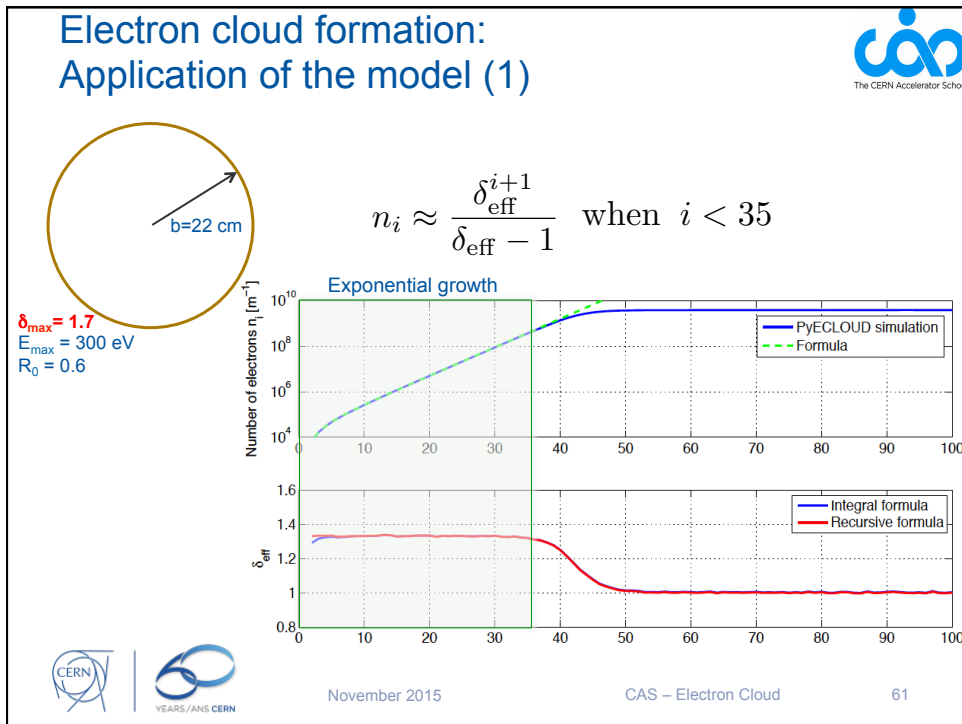


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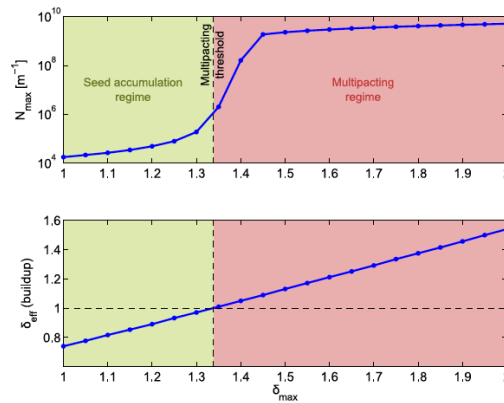
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The multipacting threshold



- The equilibrium electron densities found in the two cases differ by many orders of magnitude (10^4 e/m without multipacting and 10^9 e/m with multipacting)
- Between these two cases we do not expect a smooth variation
 - Abrupt transition between the two regimes (determined by $\delta_{\text{eff}}=1$): **multipacting threshold**
 - The electron density depends on the number of seeds and on δ_{max} when there is no multipacting, while it will hardly depend on either with strong multipacting



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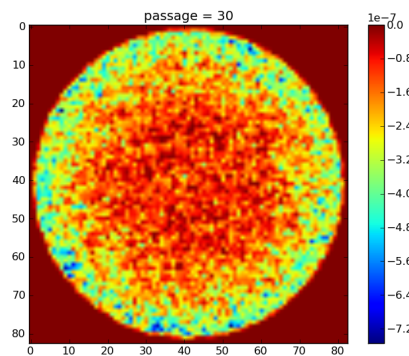
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The electron distribution



- The electrons exhibit different transverse (x,y) distributions, according to the type of region in which the electron cloud is formed
 - In field free regions, the electrons tend to spread uniformly across the pipe section (rigorously true only in circular chambers)




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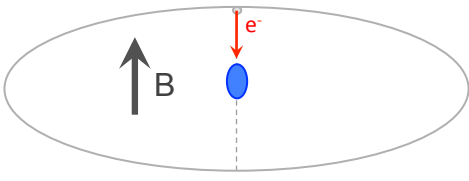
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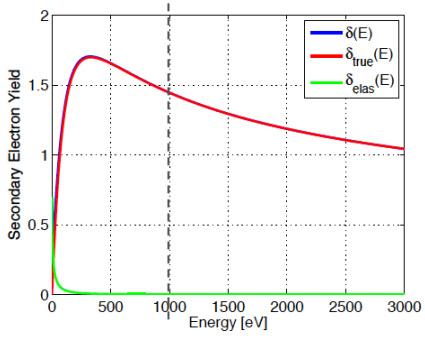
The electron distribution





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- The electrons exhibit different transverse (x,y) distributions, according to the type of region in which the electron cloud is formed
 - In dipole regions, the electron motion is confined along the lines of the magnetic field, and the cloud develops along one central or two side stripes, depending on the beam current and the position of E_{max} in the curve of the SEY.









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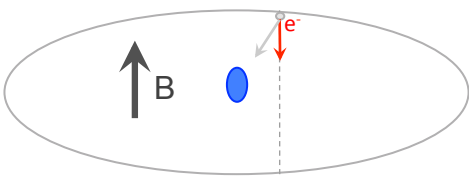
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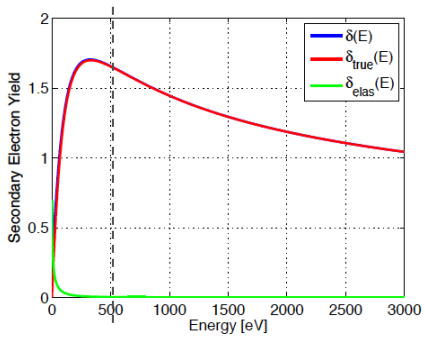
The electron distribution





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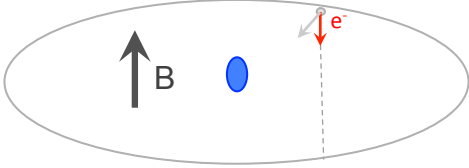
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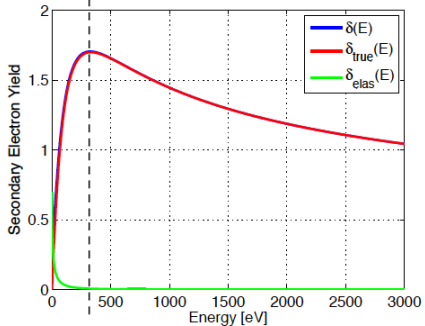
The electron distribution





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CAS – Electron Cloud

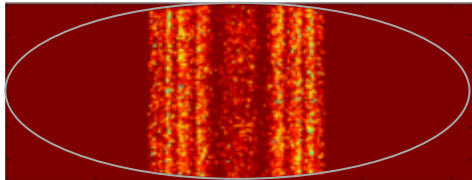
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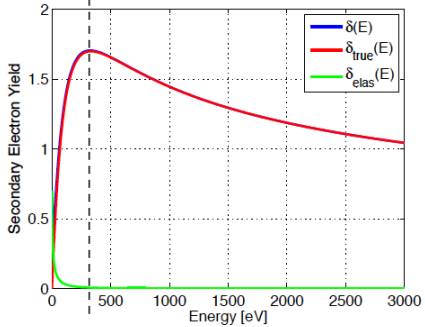
The electron distribution





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- The electrons exhibit different transverse (x,y) distributions, according to the type of region in which the electron cloud is formed
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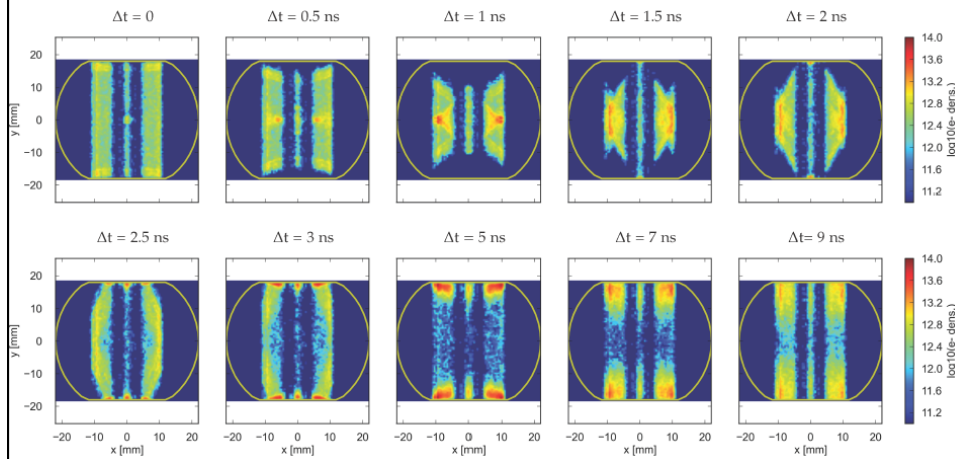
CAS – Electron Cloud

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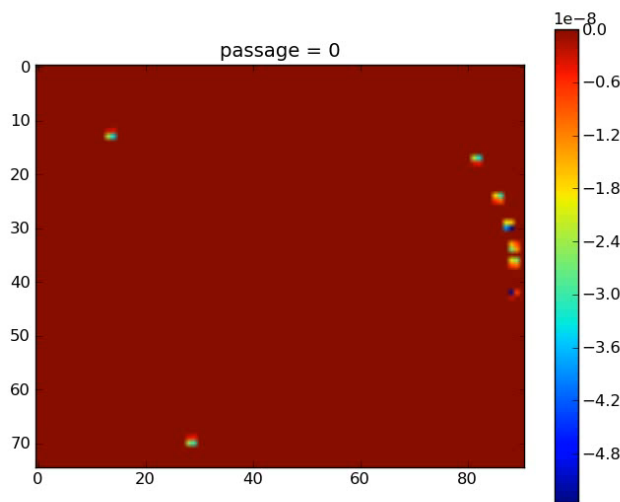
The electron distribution



- The electrons exhibit different transverse (x,y) distributions, according to the type of region in which the electron cloud is formed
- In dipole regions, the electron motion is confined along the lines of the magnetic field. Example: snapshots of multipacting in the dipole of an LHC arc cell during bunch passage and including secondary production.



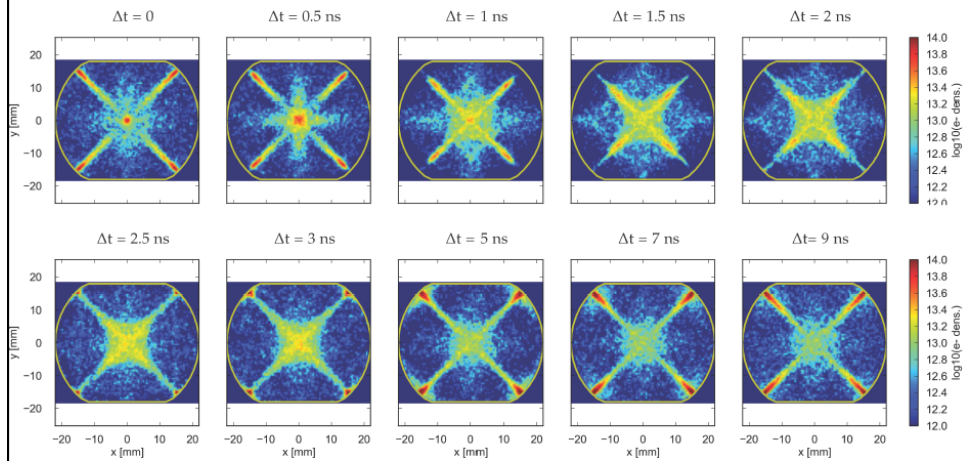
The electron distribution



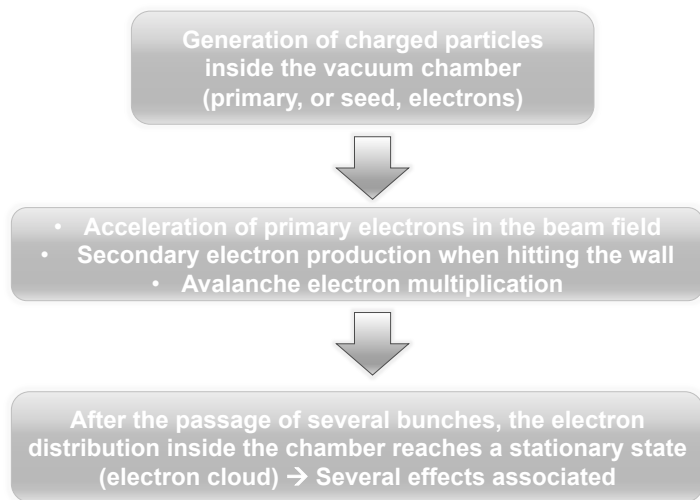
The electron distribution




- The electrons exhibit different transverse (x,y) distributions, according to the type of region in which the electron cloud is formed
 - In quadrupole regions, the electrons tend to multipact along the pole-to-pole lines of the cross section (example: snapshots of multipacting in an LHC arc quadrupole). Multipacting thresholds are usually lower in quadrupoles because electrons survive long thanks to trapping due to the magnetic gradient.



Effects of the electron cloud



Effects of the electron cloud





The presence of an electron cloud inside an accelerator ring is revealed by several **typical signatures**

- Fast pressure rise, outgassing
- Additional heat load
- Baseline shift of the pick-up electrode signal
- Tune shift
 - Coherent along the train
 - Incoherent within the bunch
- Coherent instability
 - Single bunch effect affecting the last bunches of a train
 - Coupled bunch effect
- Beam size blow-up and emittance growth
- Luminosity loss in colliders
- Energy loss measured through the synchronous phase shift
- Active monitoring: signal on dedicated electron detectors (e.g. strip monitors) and retarding field analysers

} Machine observables

} Beam observables





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Machine observables



→ **Electrons hitting the chamber wall with 'stationary' energy spectrum Φ** (defined by $\delta_{\text{eff}}=1$) are revealed through

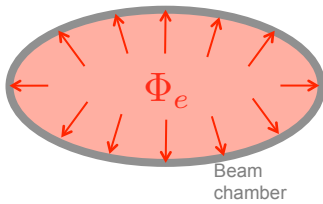
1) **Dynamic pressure rise**

$$\frac{dn_{\text{mol}}}{dt} = \frac{n}{T_{\text{spac}}} \int_0^{\infty} \eta_e(E) \phi(E) dE$$

Outgassing rate: produced gas molecules per unit time and unit length

Bunch spacing



Desorption yield for electrons of the inner wall surface (should be different for different gas species)



Beam chamber

n represents the number of electrons present in the chamber at the arrival of bunch i at saturation

The term dn_{mol}/dt is a term of production in the vacuum equations and determines the pressure rise





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Machine observables



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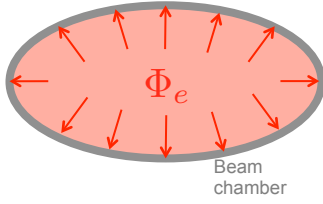
→ **Electrons hitting the chamber wall with 'stationary' energy spectrum Φ** (defined by $\delta_{\text{eff}}=1$) are revealed through

2) **Heat load**

$$\Delta W = \frac{n}{T_{\text{spac}}} \int_0^\infty \phi(E) E dE$$

Power deposited on the inner wall per unit length



Bunch spacing



Beam chamber

The power deposited on the wall can be quantified

- Locally, through temperature rise or reaction from cooling system (significant for cryogenic devices)
- Globally, through the stable phase shift, as the power lost by the beam has to be compensated by the RF system





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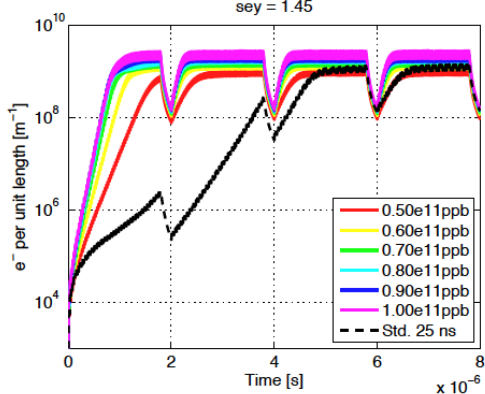
75

Beam effects along the train



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sey = 1.45



→ Since the build up happens along the bunch train, the electron cloud becomes visible only from a certain bunch number, i.e. it affects bunches at the tail of the train

→ **Examples**

- Coherent tune shift due to the extra-focusing effect of the electron cloud (positive detuning)
- Stable phase shift along the train, as the energy lost by a bunch depends on the density of the electron cloud it interacts with.

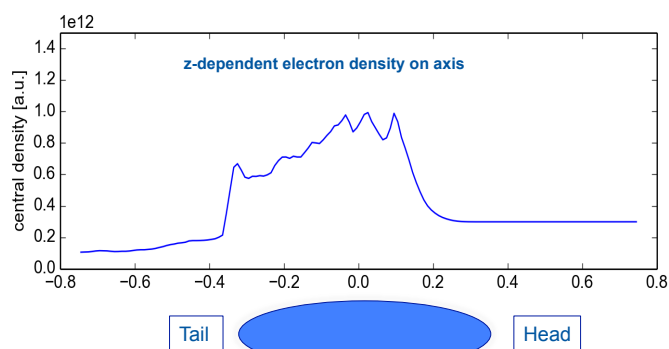



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The electron pinch: intra-bunch effects



- When the bunch arrives the electrons are drawn in and perform a fraction, one or more nonlinear oscillations according to their initial amplitudes (electron pinch)
- This process results into an increasing electron density seen by particles along the passing bunch (mainly due to the electrons close to the beam → central density is important)
 - Can create head-tail coupling
 - Can create a z-dependent tune spread along the bunch (tune footprint of the electron cloud).

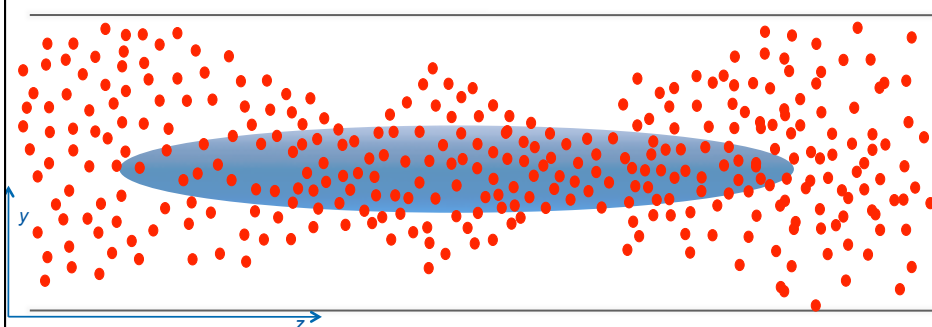


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Single bunch electron cloud instability



- A beam going through an electron cloud focuses the electrons (pinch), so that the central density of electrons changes along the bunch
- Since electrons are drawn toward the bunch local centroid, this is the mechanism that can couple head and tail of a bunch
- **While the bunch is perfectly centered on the pipe axis, the pinch also happens symmetrically and no coherent kick is generated along the bunch**

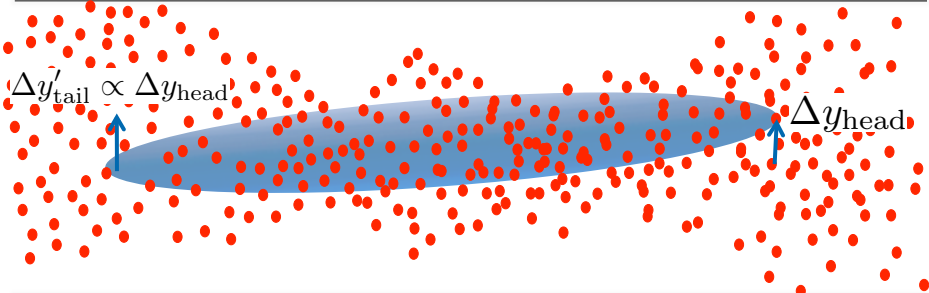


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Single bunch electron cloud instability

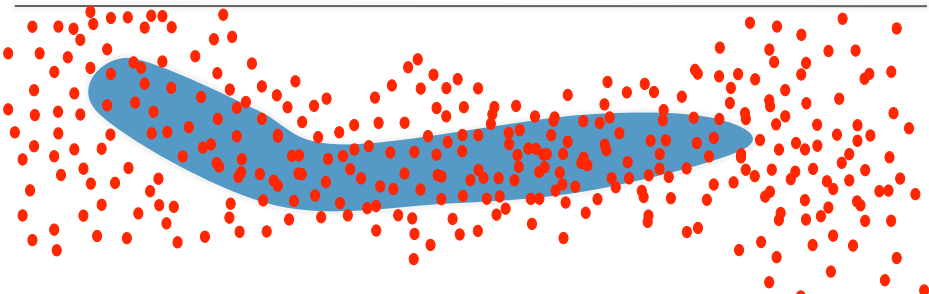


$\Delta y'_{tail} \propto \Delta y_{head}$



→ If the head of the bunch is slightly displaced by an amount Δy_{head} , an asymmetric pinch will take place, resulting into a net kick felt by the bunch tail $\Delta y'_{tail}$

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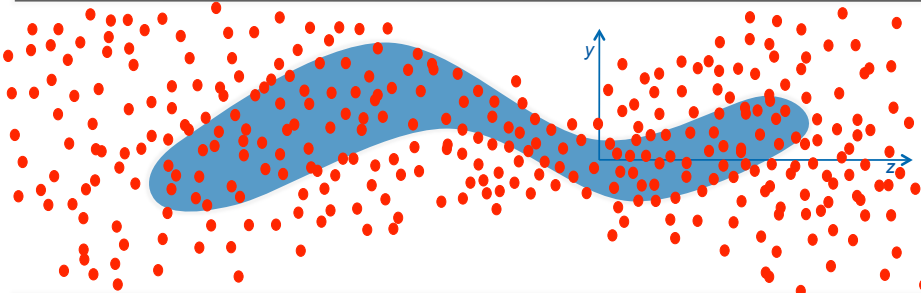
Single bunch electron cloud instability



→ After several turns (passages through the electron cloud), the “perturbation” in the head motion transfers to the bunch tail, and its amplitude may grow under some conditions

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Single bunch electron cloud instability



→ After a number of turns much larger than the synchrotron period, the unstable coherent motion has propagated to the whole bunch

↓

Intra-bunch motion

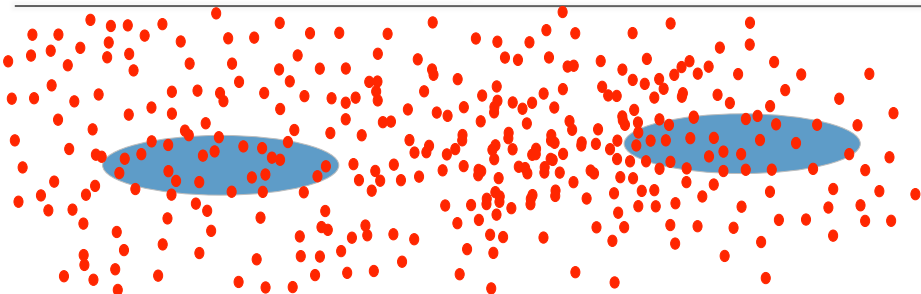
↓

Emittance blow up

Examples will be shown in K. Li's lecture

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Coupled bunch electron cloud instability




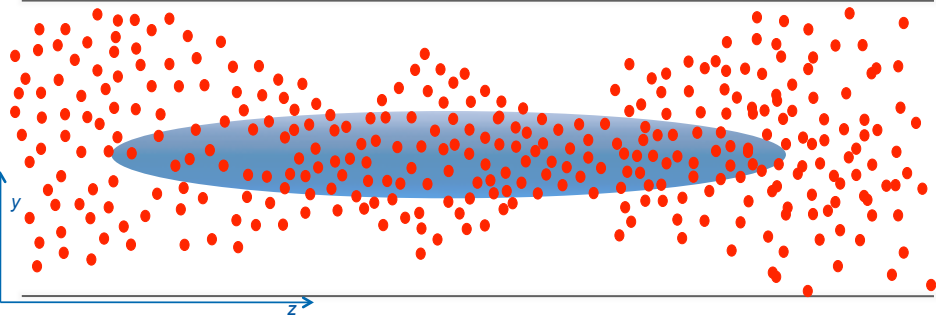
→ A similar mechanism can also be responsible for bunch-to-bunch coupling, but it is more complicated because it involves electron motion between bunches (with secondary emission)

→ Dipoles, through the presence of the stripes, may facilitate this mechanism in the horizontal plane

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Electron cloud incoherent effects





- Even when the electron density is not sufficient to drive a head-tail or bunch-to-bunch instability, the electron pinch is the origin of a z-dependent tune spread along the bunch
- Particles at the bunch head see the tune shift associated to the unpinched electron cloud, particles at the z locations where the electrons are pinched see the largest tune deviation (at least for a short enough bunch ...)

→ Gives rise potentially to incoherent effects (slow loss, emittance growth)


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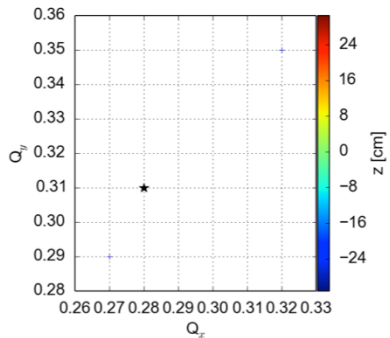
Tune footprint from electron cloud in dipoles

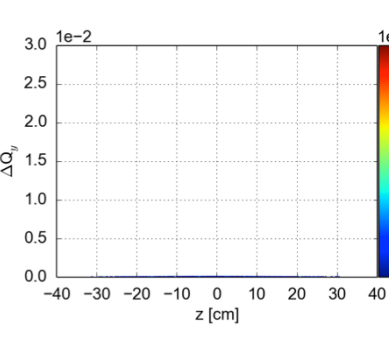
Example: LHC @450 GeV





- LHC nominal intensity 1.15×10^{11} ppb
- Uniform initial distribution of the e-cloud
- The EC density is scanned between 0 and 10×10^{11} e-/m³

No ecloud, $Q' = 0/0$, octupole current @ 0 A






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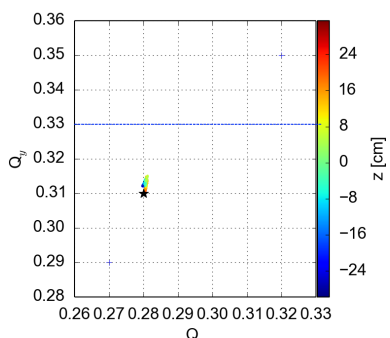
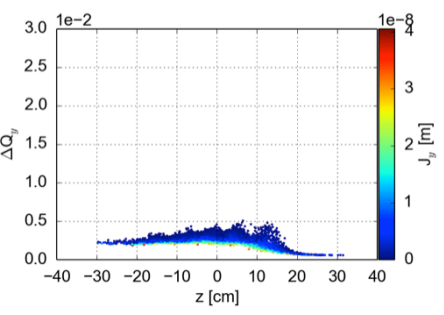
Tune footprint from electron cloud in dipoles



Example: LHC @450 GeV



- LHC nominal intensity 1.15×10^{11} ppb
- Uniform initial distribution of the e-cloud
- The EC density is scanned between 0 and 10×10^{11} e-/m³

2×10^{11} e-/m³, $Q' = 0/0$, octupole current @ 0 A





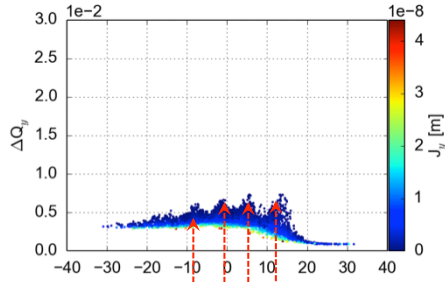
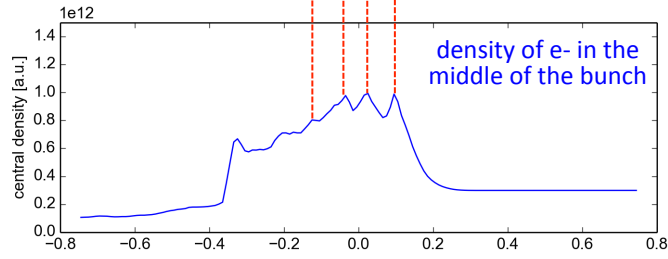



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Every peak of e- density has an **higher detuning** of particles with smaller J_y





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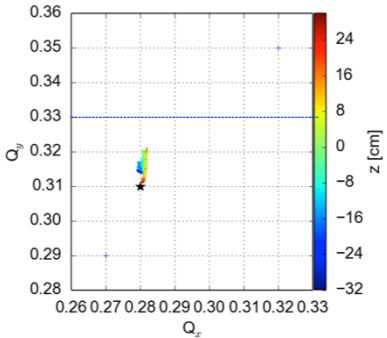
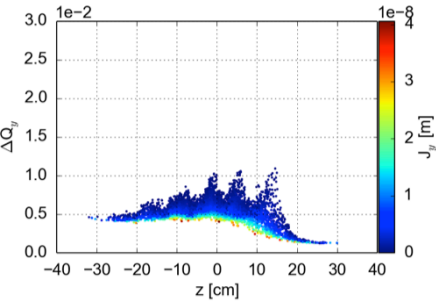
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Tune footprint from electron cloud in dipoles Example: LHC @450 GeV



- LHC nominal intensity 1.15×10^{11} ppb
- Uniform initial distribution of the e-cloud
- The EC density is scanned between 0 and 10×10^{11} e-/m³

4×10^{11} e-/m³, $Q' = 0/0$, octupole current @ 0 A





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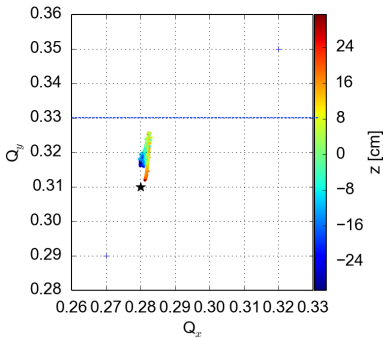
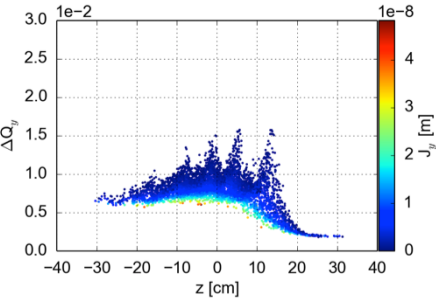
87



Tune footprint from electron cloud in dipoles Example: LHC @450 GeV



- LHC nominal intensity 1.15×10^{11} ppb
- Uniform initial distribution of the e-cloud
- The EC density is scanned between 0 and 10×10^{11} e-/m³

6×10^{11} e-/m³, $Q' = 0/0$, octupole current @ 0 A





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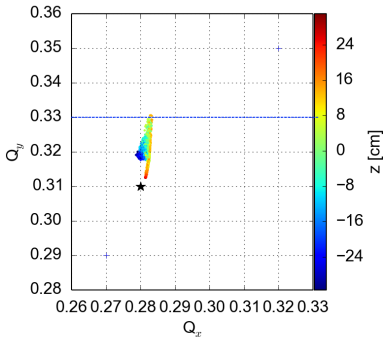
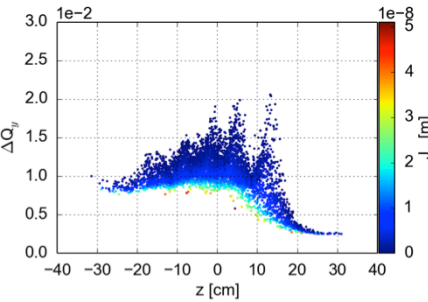
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Tune footprint from electron cloud in dipoles Example: LHC @450 GeV



- LHC nominal intensity 1.15e11 ppb
- Uniform initial distribution of the e-cloud
- The EC density is scanned between 0 and 10e11 e-/m³

8e11 e-/m³, Q' = 0/0, octupole current @ 0 A





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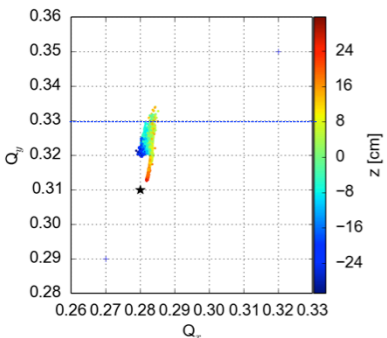
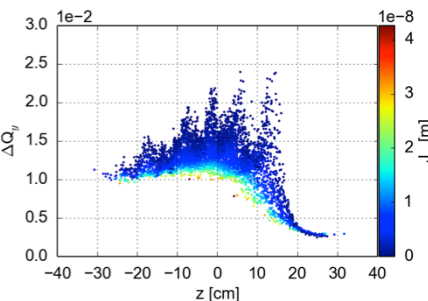
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Tune footprint from electron cloud in dipoles Example: LHC @450 GeV



- LHC nominal intensity 1.15e11 ppb
- Uniform initial distribution of the e-cloud
- The EC density is scanned between 0 and 10e11 e-/m³

10e11 e-/m³, Q' = 0/0, octupole current @ 0 A

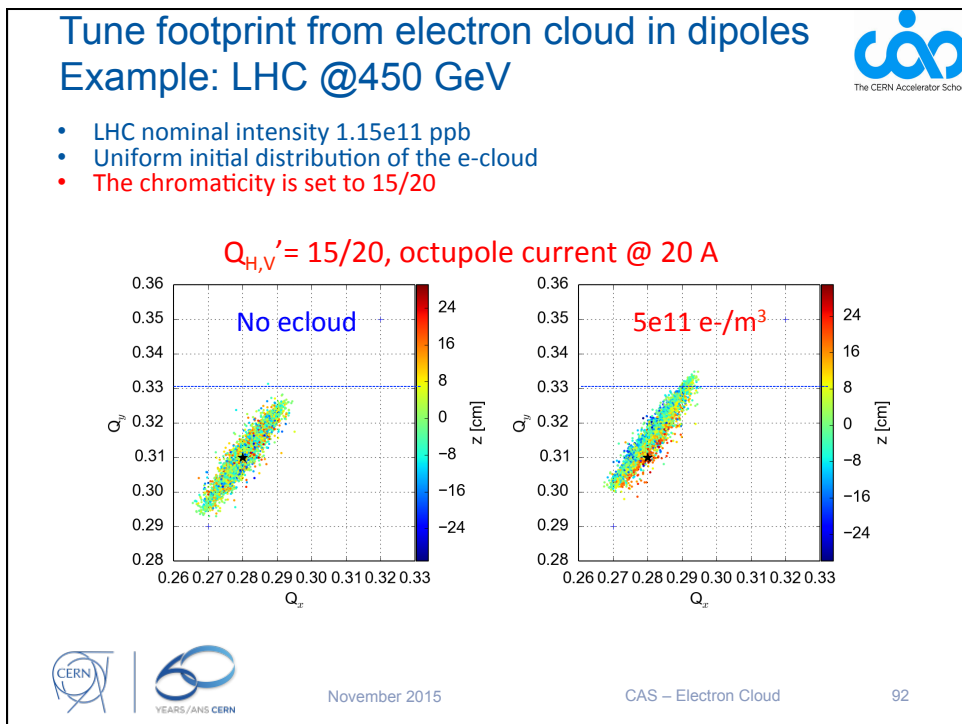
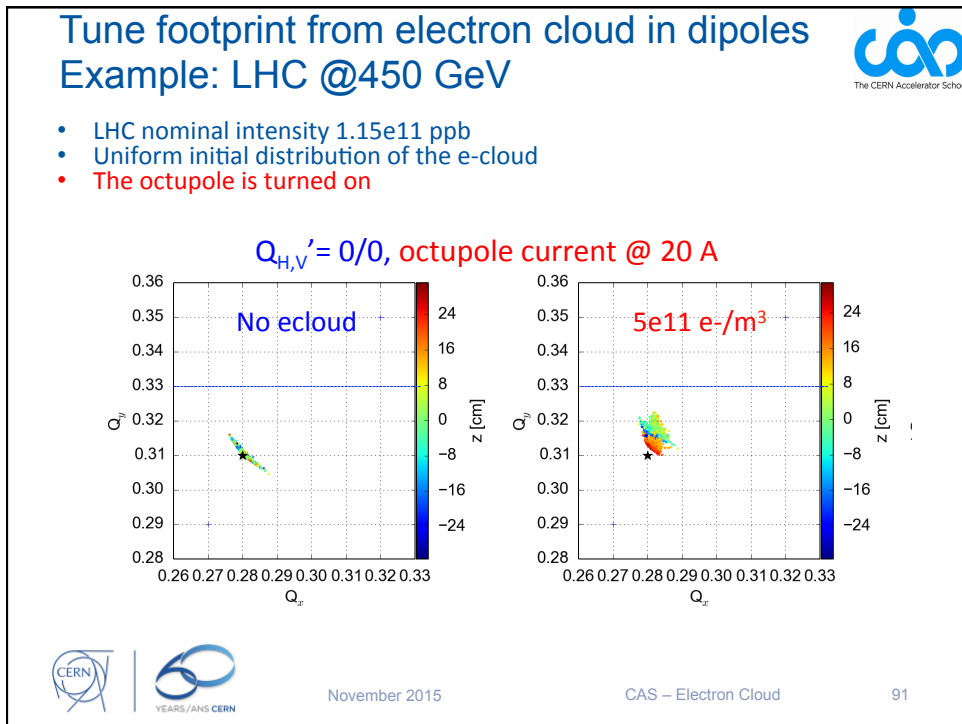



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Machine observations (historical)



- ◆ **Novosibirsk proton storage ring (1967)**
Unusual transverse instabilities occurred for bunched and unbunched beams. Model of coupled electron/beam centroid oscillation.
- ◆ **CERN ISR (1970s)**
Coasting beam instability and fast pressure rise for bunched proton beam.
- ◆ **Los Alamos PSR (1988)**
Fast instability with beam loss above a threshold current (for bunched and unbunched beams)
- ◆ **KEK PF (1989)**
Multibunch instability for positron bunch trains.



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Machine observations (historical)



- ◆ **CERN 1997**
Crash program is launched to study electron clouds because it is suspected that they may endanger LHC operation
- ◆ **SPS and PS (since 1999)**
Evidence for electron cloud with LHC type beams (pressure rise, signals at the PUs, instability)
- ◆ **KEKB and PEP-II (1999)**
E-cloud induced tune shifts along bunch train and instabilities.
- ◆ **RHIC (2002)**
Pressure rise, tune shift, still unexplained instabilities (at transition). Electron detectors installed.



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Machine observations (historical)



- ◆ **Tevatron, SNS, DaΦne (2003-2008)**
Several signatures of electron cloud, like pressure rise or beam instabilities, are noticed in high intensity operation. Even ANKA suspects electron cloud to justify vacuum degradation and heating in the superconducting wiggler.
- ◆ **Cesr-TA (2008 → 2014)**
A program to study specifically electron cloud issues is launched. Thanks to its tunability, the ring is used with positrons to study e-cloud and benchmark simulation codes.
- ◆ **LHC (2010-2015 → ...)**
Electron cloud appeared first as pressure rise in some common chambers with 150 ns beams. Then also as heat load in cold sectors and beam degradation with 75 and 50 ns (improved by scrubbing). It still dominates beam dynamics with 25 ns beams!

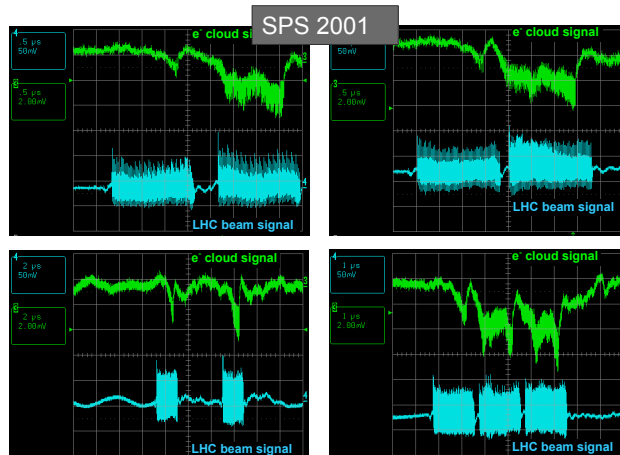


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Examples of machine observations: SPS



- The electron cloud signal first appeared in the SPS on the signal from a pick up as a shift of the baseline (depending on the charge collected by the electrodes)
- Correlation with train structure, length, gap were immediately apparent.



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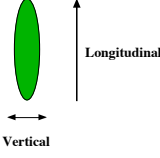
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Examples of machine observations: KEK-LER

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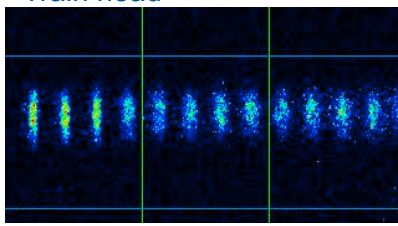
Vertical beam size blow up observed with a streak camera



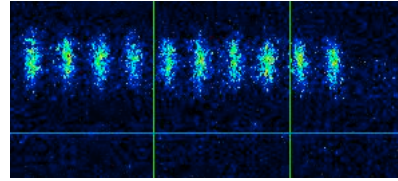
Vertical

Longitudinal



Train head



Train tail



- The electron cloud causes beam size blow up (through instability and incoherent effects) that manifests itself at the tail of the bunch train
- Above an example of yz beam scan done in the KEK-LER

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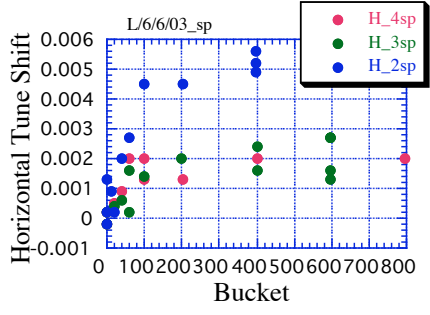
97

Examples of machine observations: KEK-LER

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Bunch-by-bunch tune shift from the e-cloud:

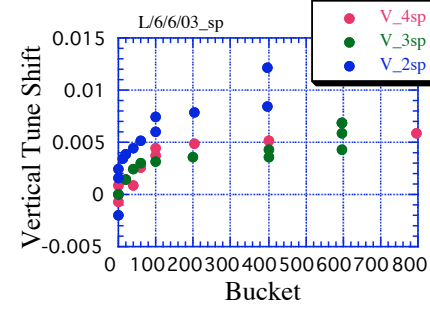
L/6/6/03_sp



Horizontal Tune Shift

Bucket



L/6/6/03_sp



Vertical Tune Shift

Bucket

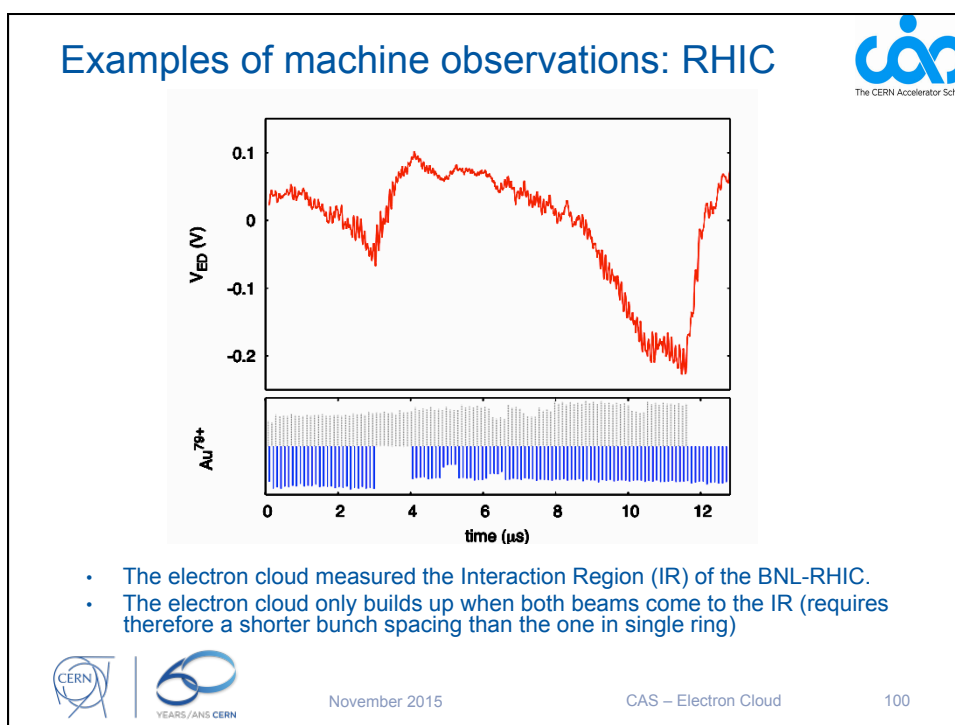
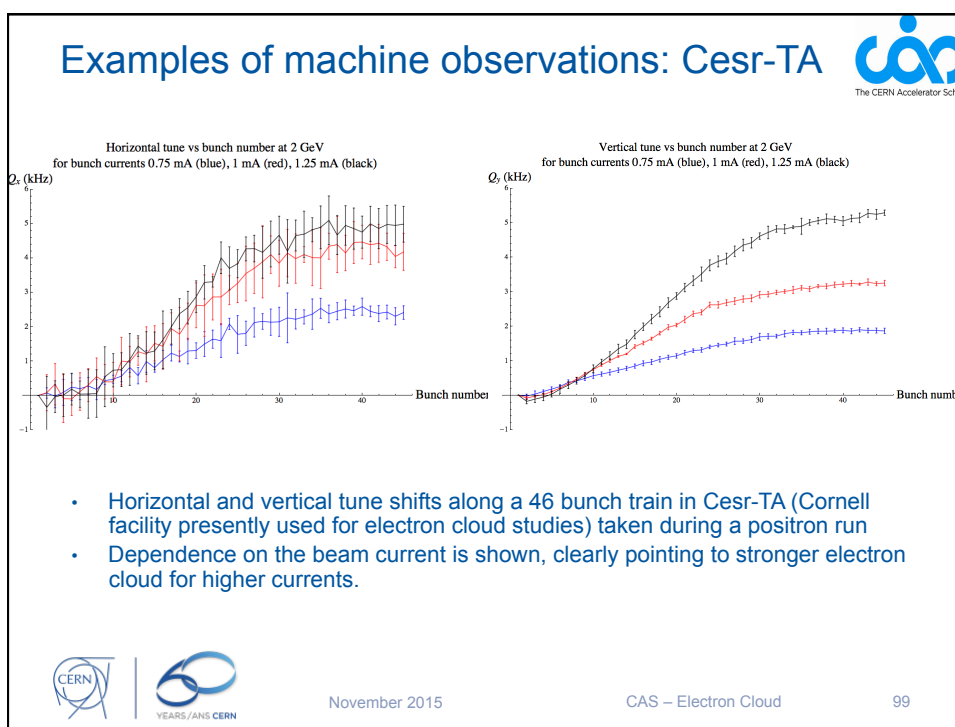
- The electron cloud causes a positive tune shift along the bunch train (if we could measure along the bunch, the tune shift would be also modulated along the bunch)
- Above an example tune shift along the train in the KEK-LER, for three different bunch spacings

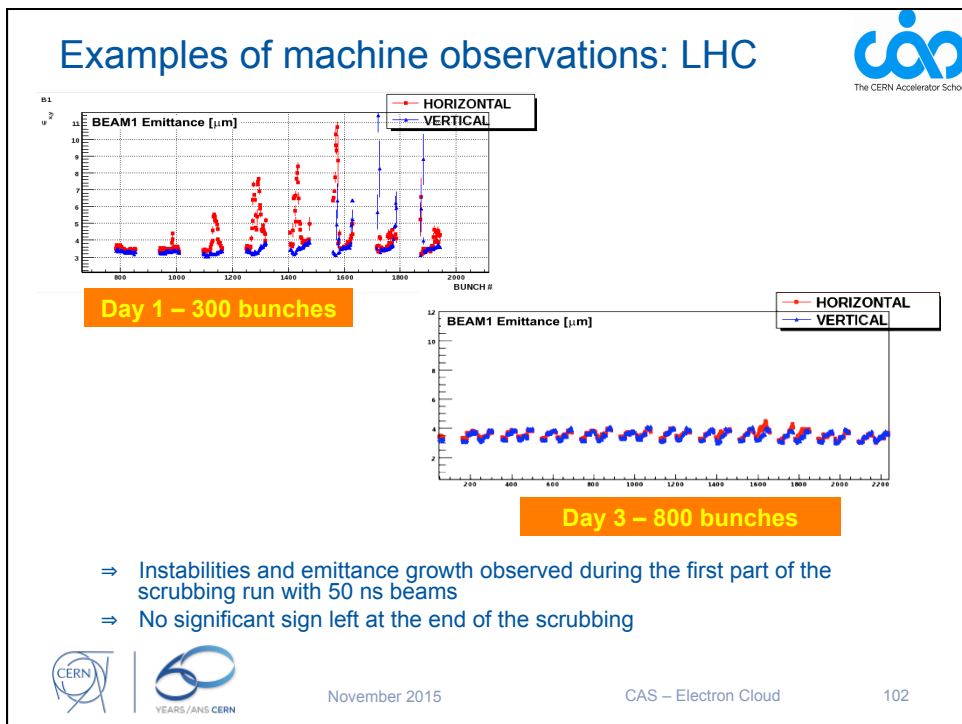
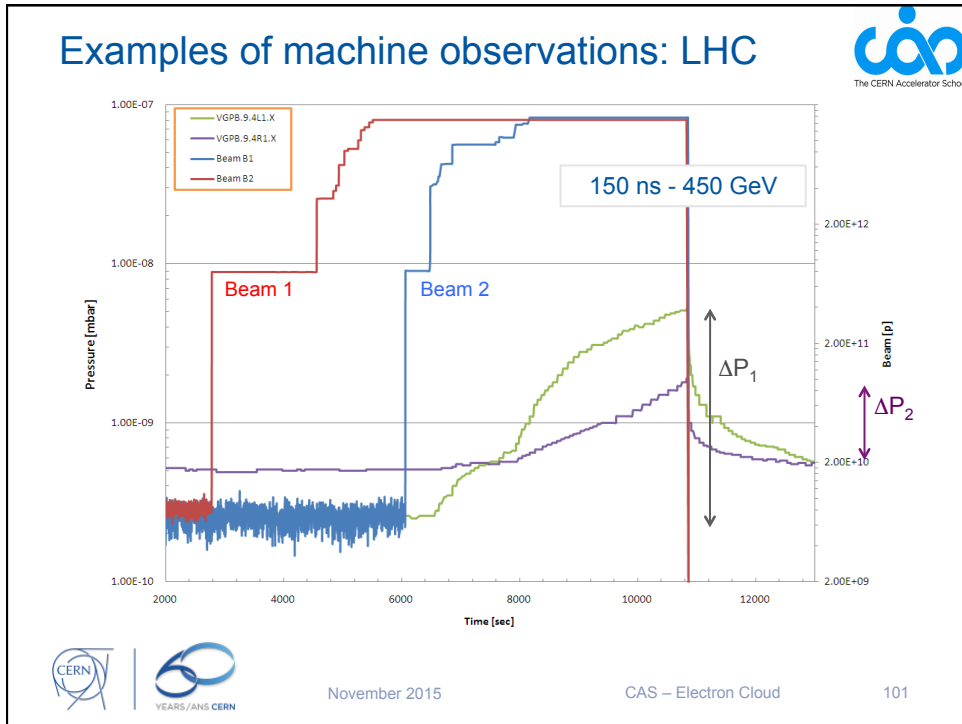



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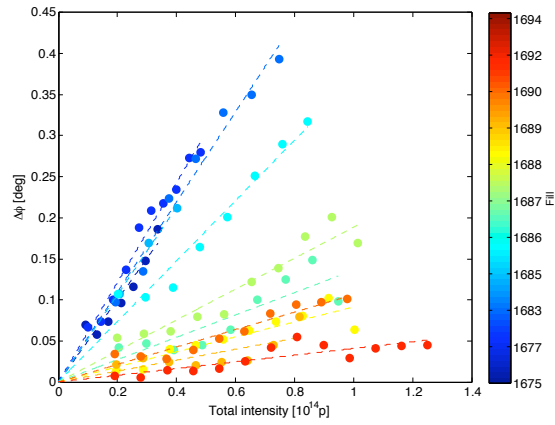
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Examples of machine observations: LHC



- ⇒ The slope of the beam average phase shift with intensity has gradually decreased over the period of the scrubbing run with 50 ns beams
- ⇒ The slope $\Delta\phi_s/\Delta N$ has lost one order of magnitude thanks to scrubbing!

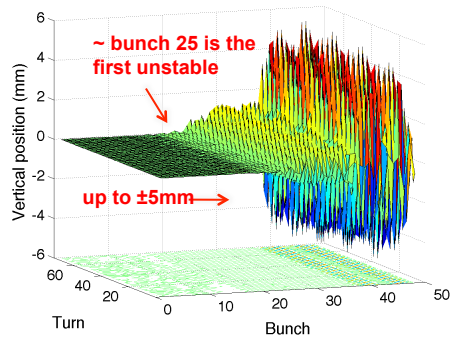
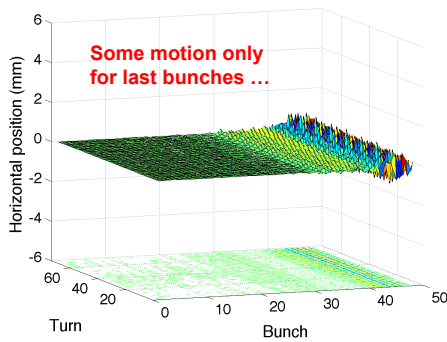


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Examples of machine observations: LHC



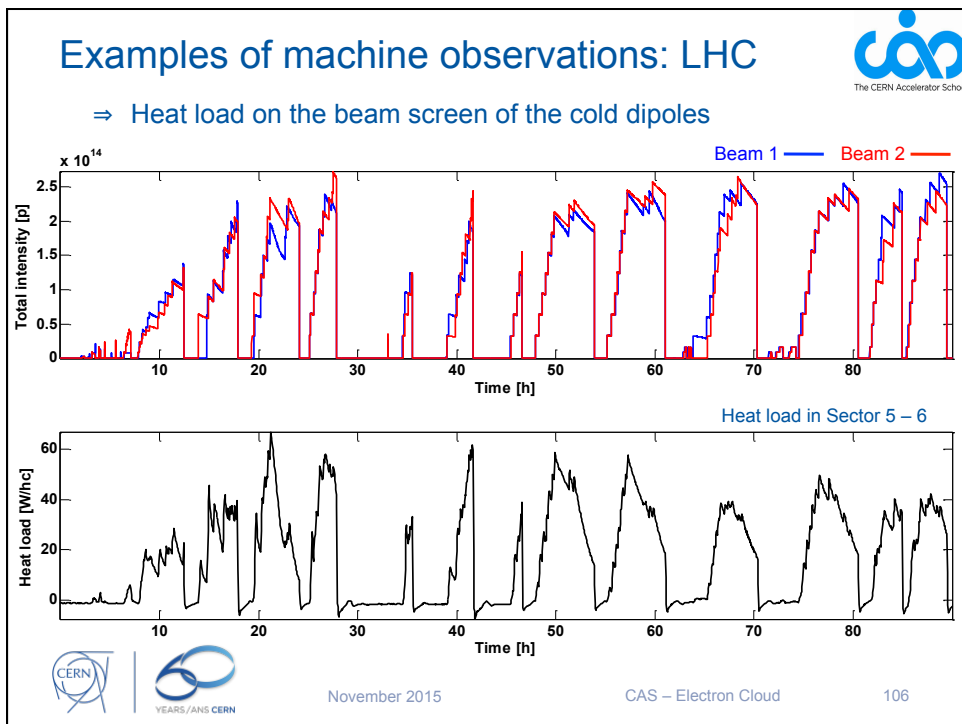
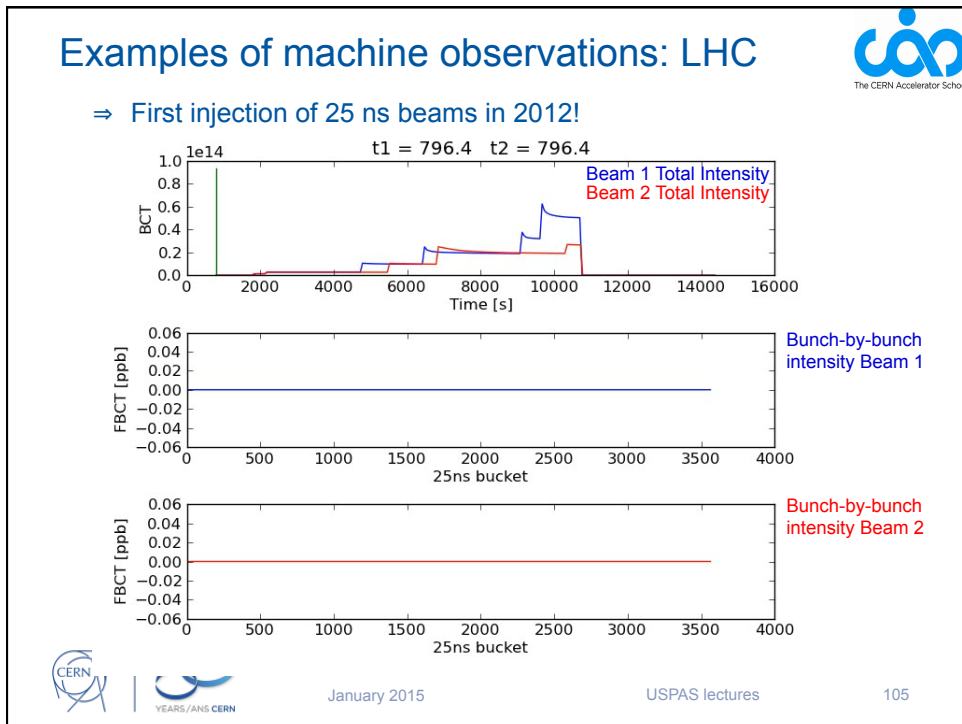
- ⇒ First injection of 48 bunches of 25 ns beam into the LHC in 2011
- ⇒ Beam was dumped twice due to a violent instability in the vertical plane, causing losses above the interlock threshold

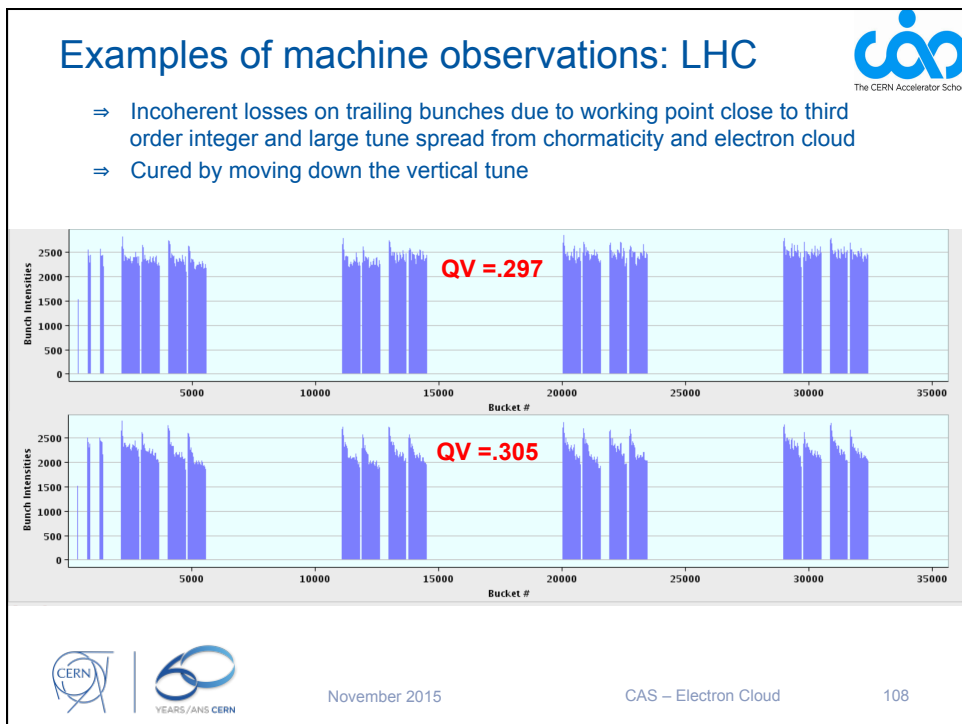
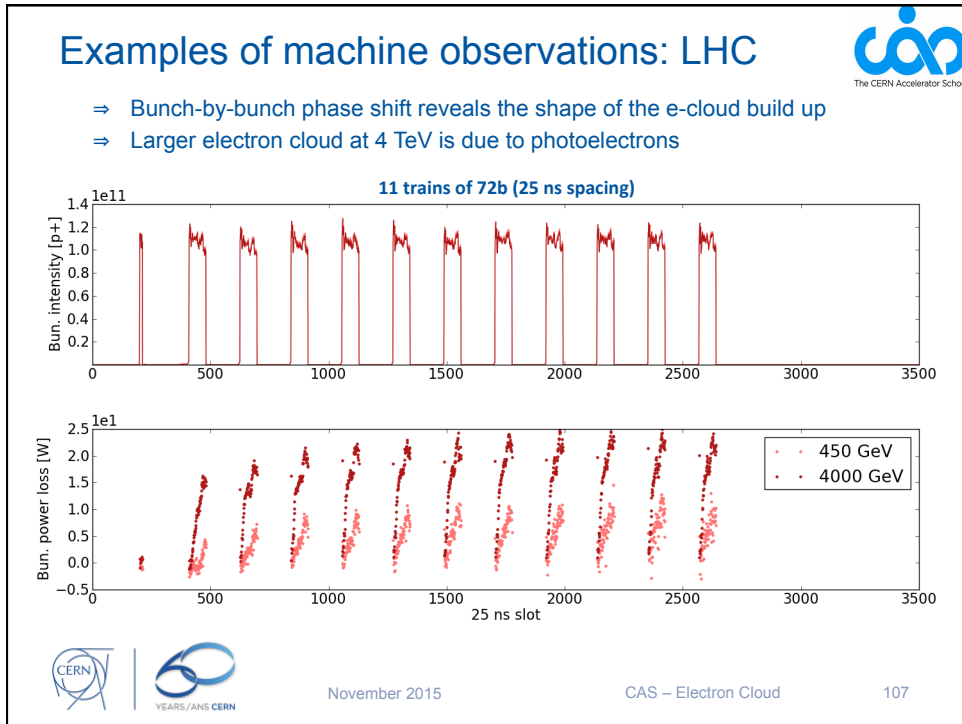


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Mitigation/suppression techniques

Possible Solutions

- Clearing electrodes installed along the vacuum chambers (only local, impedance, aperture restriction)
- Solenoids (only applicable in field-free regions without equipment)
- Tolerate e-cloud, if possible, but damp the instability: feedback system
- Machine scrubbing during operation
 - Limited by reachable SEY
 - Operation with degraded beam for some time
- Applying on the wall thin films with intrinsically low SEY
 - NEG coating (helps vacuum)
 - C coating (no activation)
- Surface roughness to stop secondary electrons
 - Grooves
 - Rough material coating
 - Sponges

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Mitigation/suppression techniques

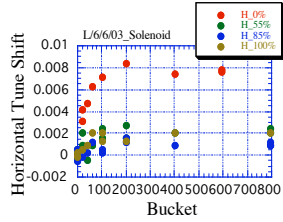
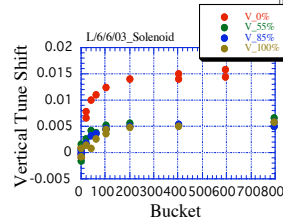
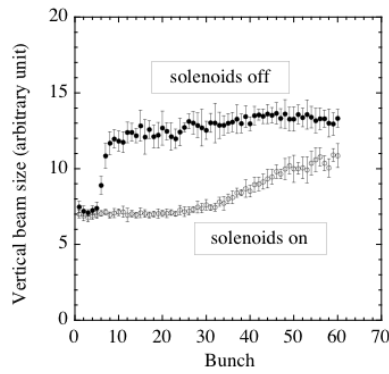
Possible Solutions

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- Applying on the wall thin films with intrinsically low SEY
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- Surface roughness to stop secondary electrons
 - Grooves
 - Rough material coating
 - Sponges

Outgassing, impedance !!

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Mitigation/suppression: Solenoids



- Solenoids have been successfully used at the LER of KEKB
- Switching them on drastically reduces the beam size blow up as well as the tune shift along the batch

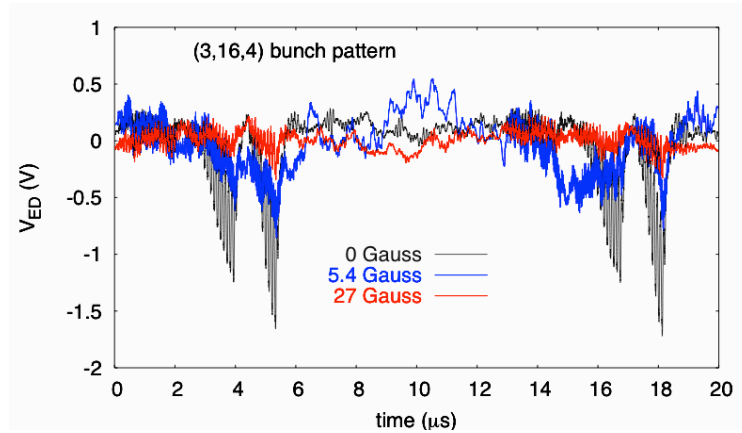


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Mitigation/suppression: Solenoids



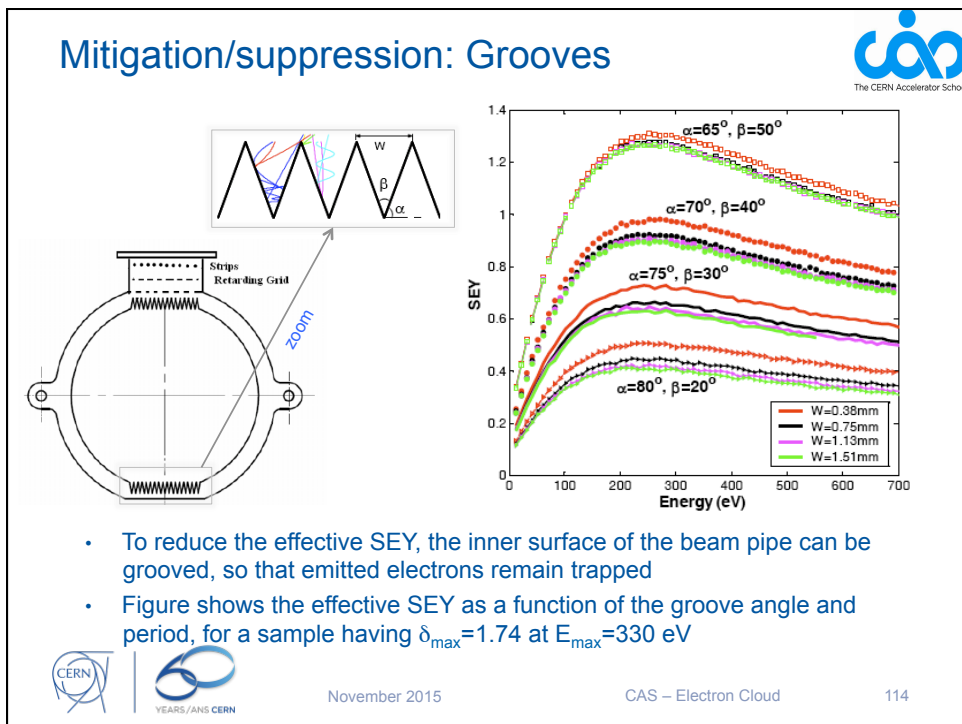
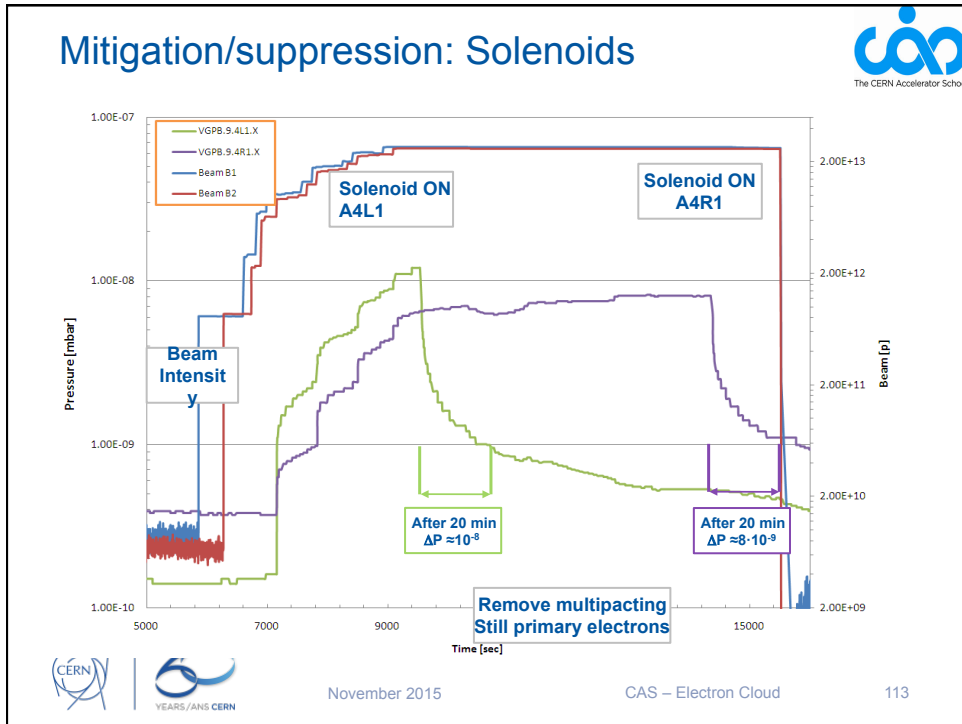
- Also at RHIC the beneficial effect of the solenoids has been observed
- By changing the intensity of the magnetic field, the electron cloud could be efficiently suppressed in a region with an electron detector.



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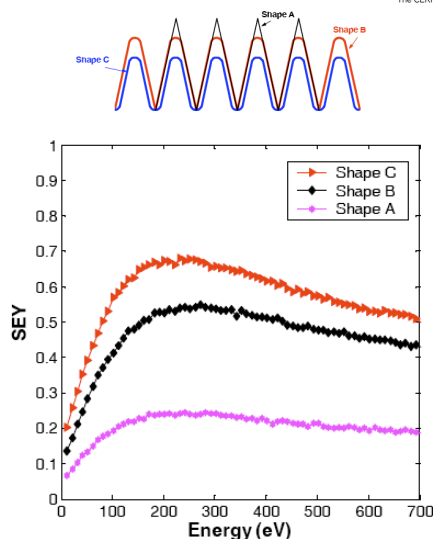
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Mitigation/suppression: Grooves



- Once angle and period are fixed, the efficiency of the grooving to reduce the SEY is found to depend on the shape of the tips.
- This solution raises the following concerns:
 - Impedance enhancement (beam stability)
 - Increased surface, which would make pumping more difficult (good vacuum)

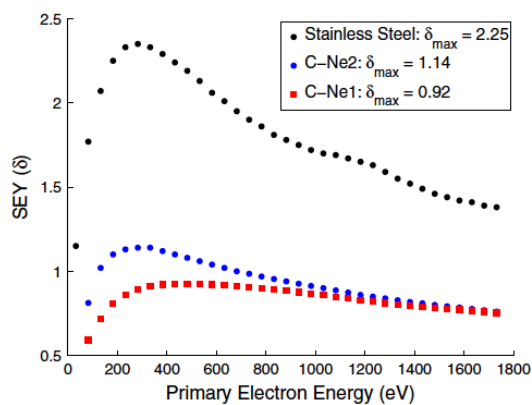
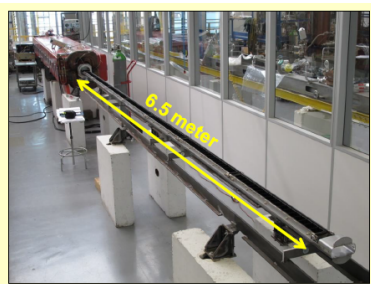


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Mitigation/suppression: a-C coating



- To reduce the effective SEY, the inner surface of the beam pipe can be coated with a-C
- It is possible to reach values of δ_{max} below 1, measured in the laboratory ...




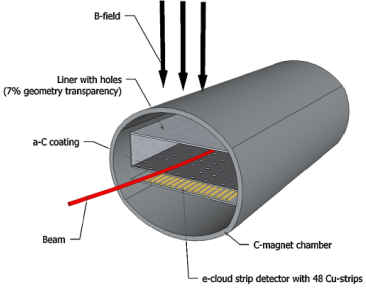
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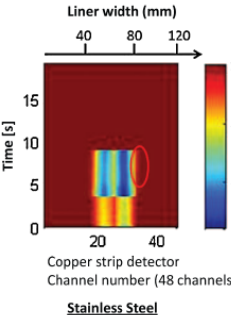
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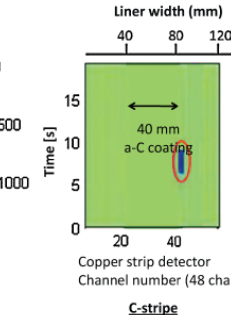
Mitigation/suppression: a-C coating









Stainless Steel



C-stripe

- To reduce the effective SEY, the inner surface of the beam pipe can be coated with a-C
- It is possible to reach values of δ_{max} below 1, measured in the laboratory and also verified by measurements at an electron cloud detector in the SPS





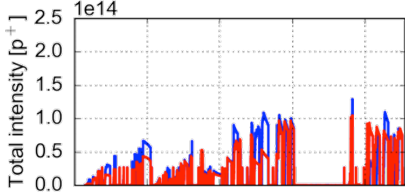
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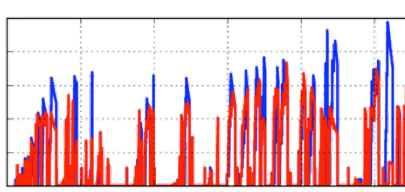
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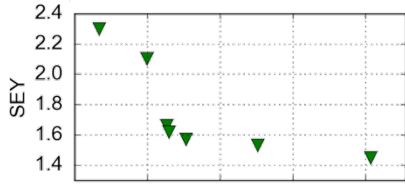
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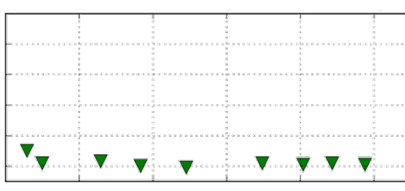
Mitigation/suppression: Scrubbing













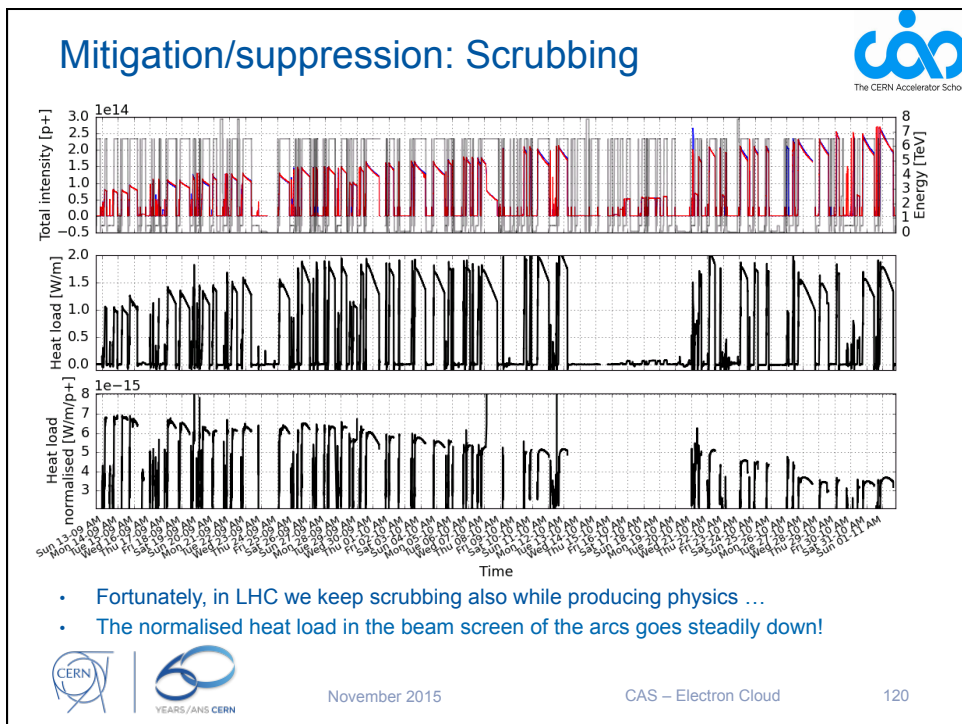
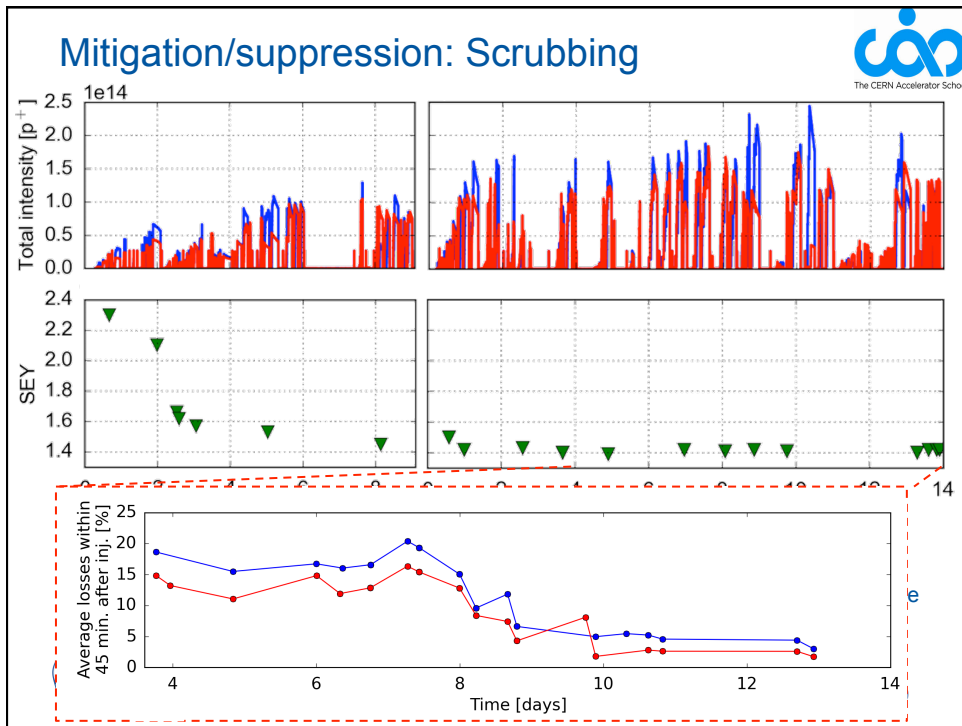
- Over the two scrubbing runs of LHC with 50 and 25 ns beams in 2015, the SEY was estimated to decrease from 2.3 to ~1.4, and the intensity was ramped up
- Scrubbing is fast in the early phase, but it then becomes much slower due to the nature of the process (more scrubbing → less electron cloud → less electron dose)

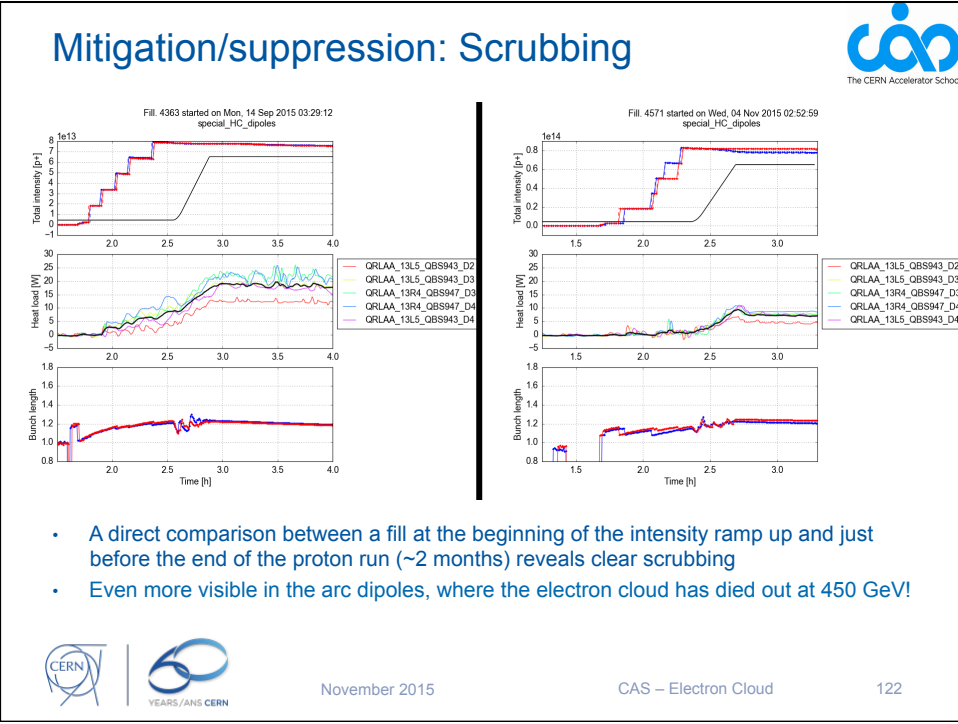
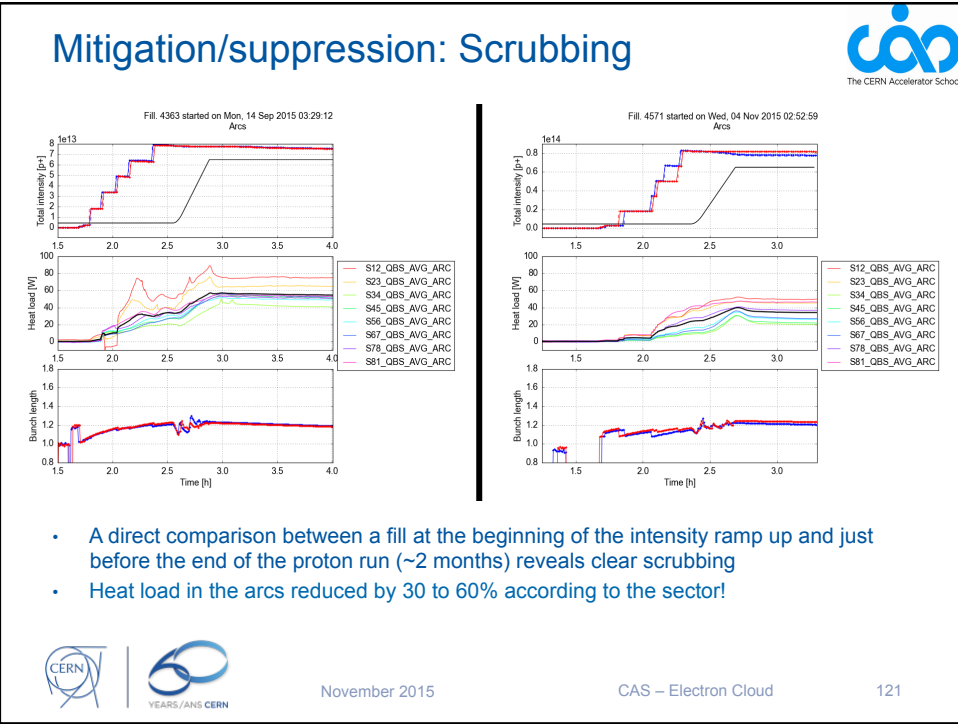



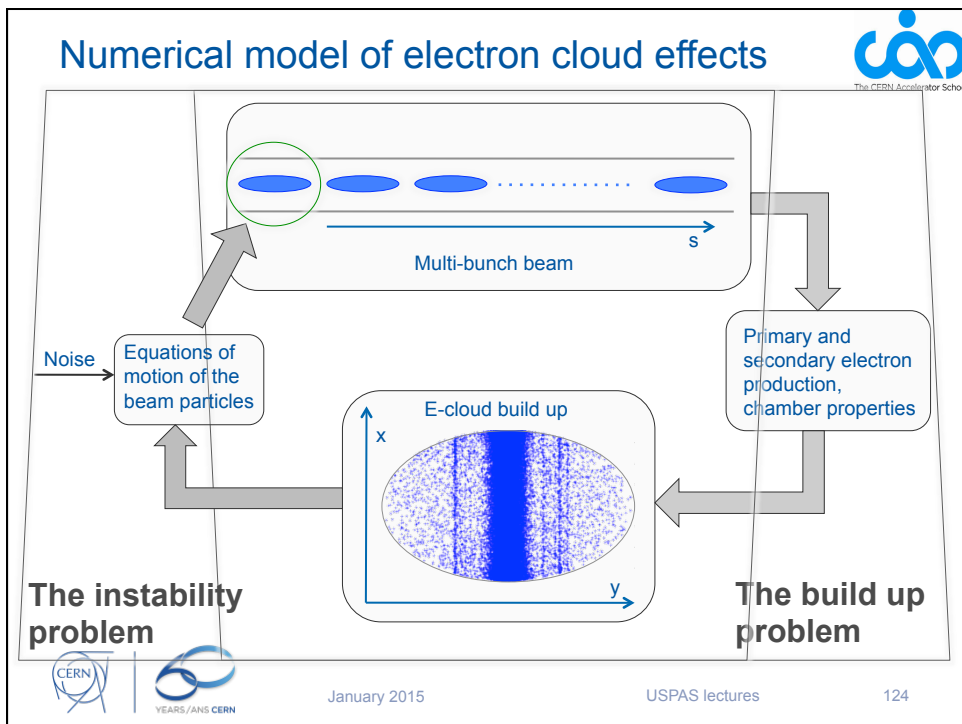
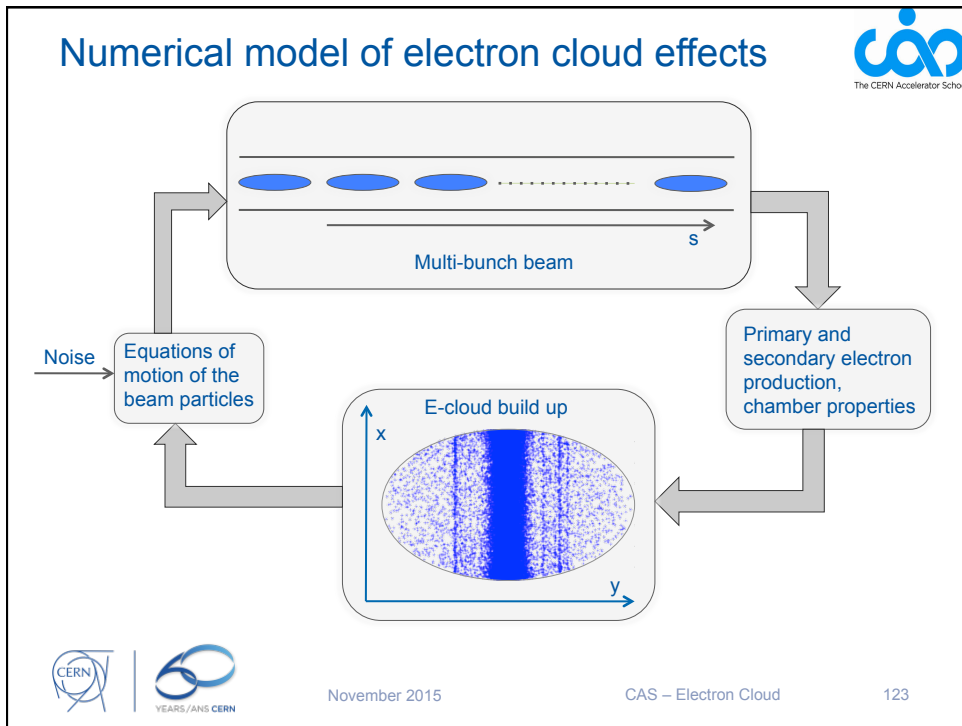
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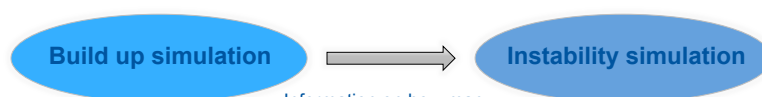




Numerical model of electron cloud effects



- Coupled bunch electron cloud instability naturally needs a self-consistent solution of the electron cloud problem
 - A broad time scale to cover, cutting edge research
- For the moment we simulate the two branches separately (similar to what is done for impedances):
 - **Electron cloud build up**
 - ✓ Multi-bunch
 - ✓ Usually single passage, single turn or just few turns
 - **Electron cloud instability → K. Li's lecture**
 - ✓ Single bunch
 - ✓ Multi-turn, or even multi-kick multi-turn



Information on how many electrons interact with a bunch:

- central density
- detailed distribution



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Numerical model of electron cloud effects



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**ECLOUD, PyECLLOUD,
POSINST, CSEC, ...**

Information on how many electrons interact with a bunch:

- central density
- detailed distribution

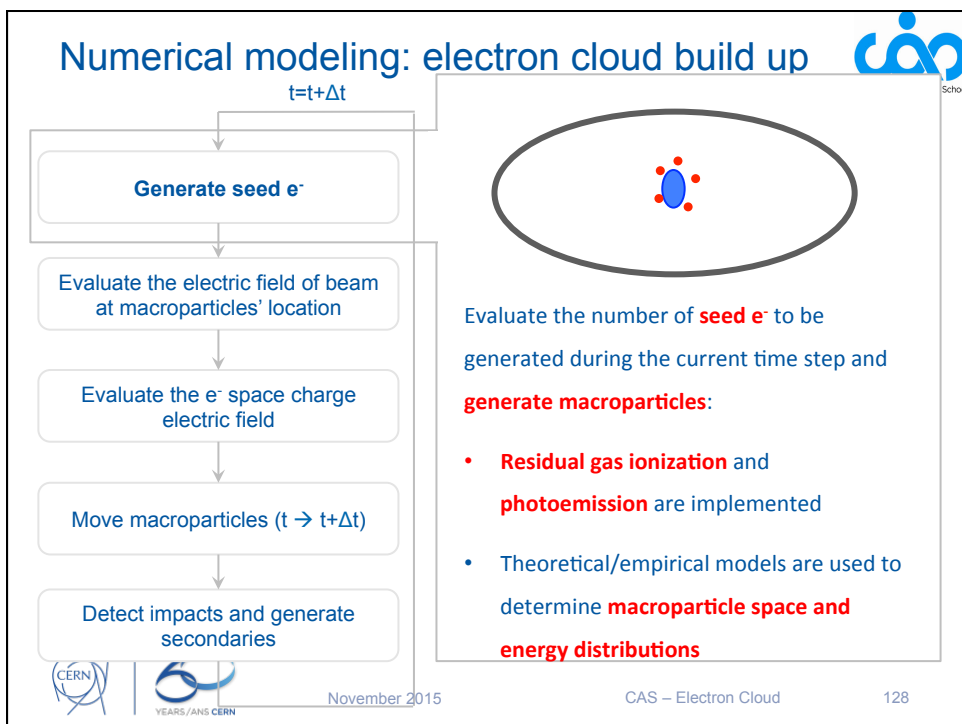
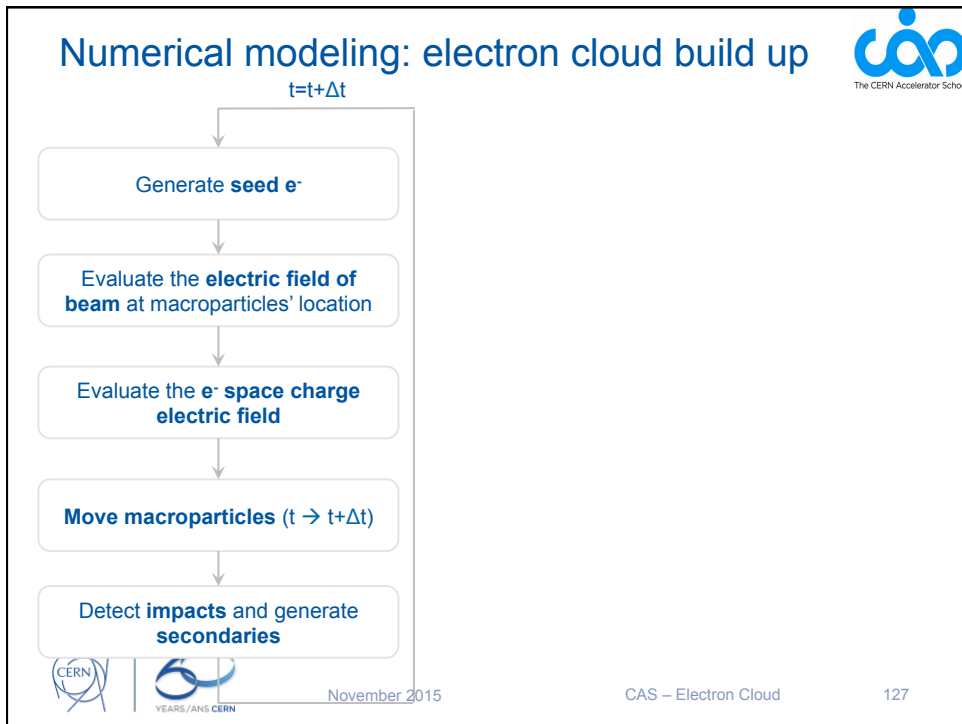
**HEADTAIL, PyHEADTAIL,
CMAD, PEHTS, ...**




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Numerical modeling: electron cloud build up



t=t+Δt

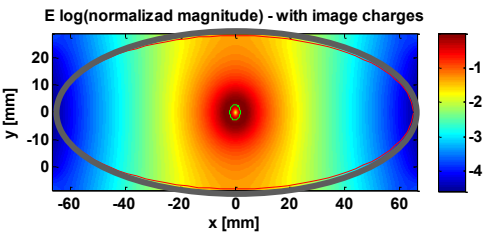
Generate seed e⁻

Evaluate the electric field of beam at macroparticles' location

Evaluate the e⁻ space charge electric field



Move macroparticles (t → t+Δt)

Detect impacts and generate secondaries



E log(normalized magnitude) - with image charges

- The field map for the relevant chamber geometry and beam shape is **pre-computed on a suitable rectangular grid and loaded from file** in the initialization stage
- When the field at a certain location is needed a **linear (4 points) interpolation algorithm** is employed





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Numerical modeling: electron cloud build up



t=t+Δt

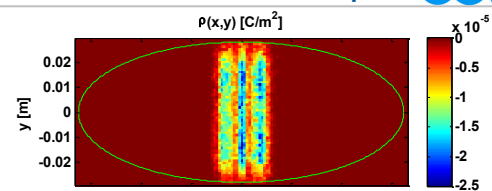
Generate seed e⁻

Evaluate the electric field of beam at macroparticles' location

Evaluate the e⁻ space charge electric field

Move macroparticles (t → t+Δt)

Detect impacts and generate secondaries



ρ(x,y) [C/m²]

Use of PIC algorithm for e⁻ space charge field

Poisson equation:



$$\nabla^2 \phi(x, y) = -\frac{\rho(x, y)}{\epsilon_0}$$

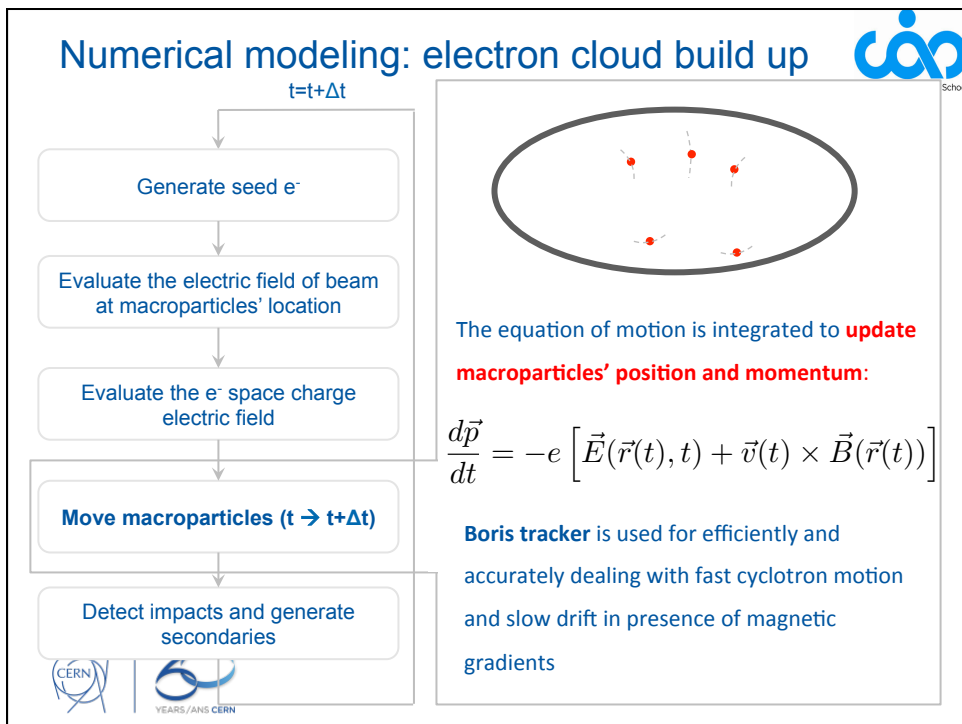
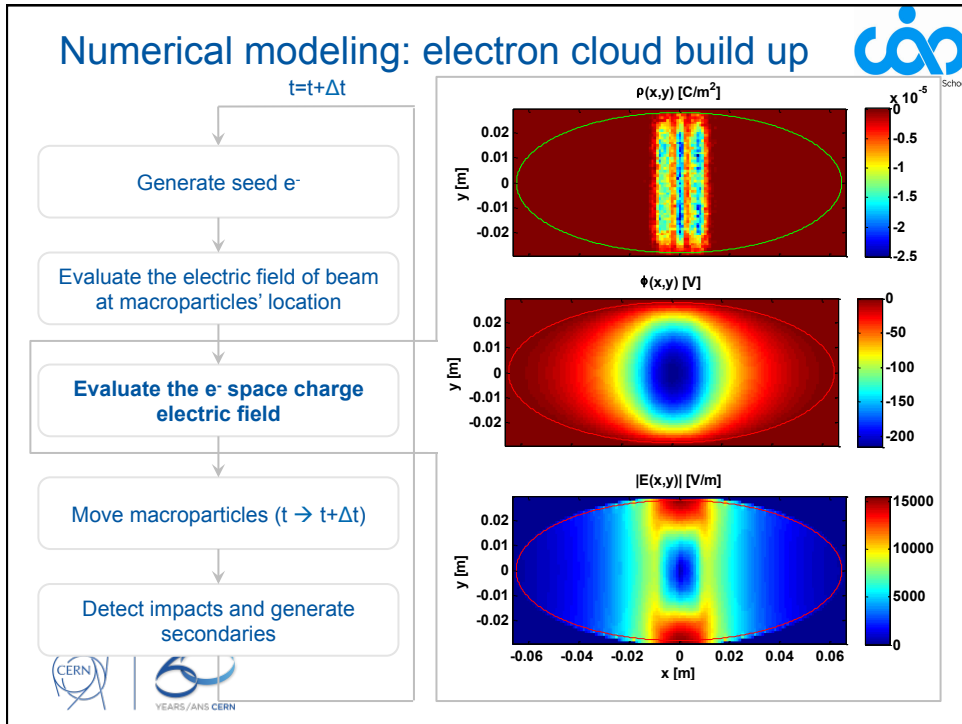
with $\phi(x, y) = 0$ on the boundary

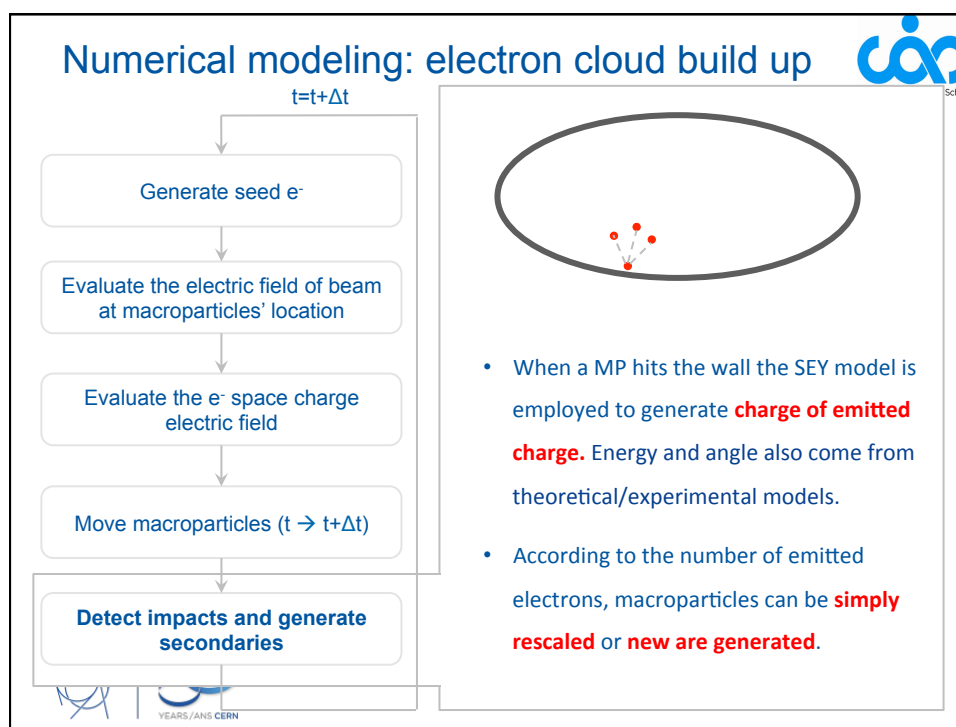
The **electric field**, given by:

$$\vec{E}(x, y) = -\nabla \phi(x, y)$$

is calculated on the grid points and interpolated at the macroparticles' positions







Numerical modeling: electron cloud build up


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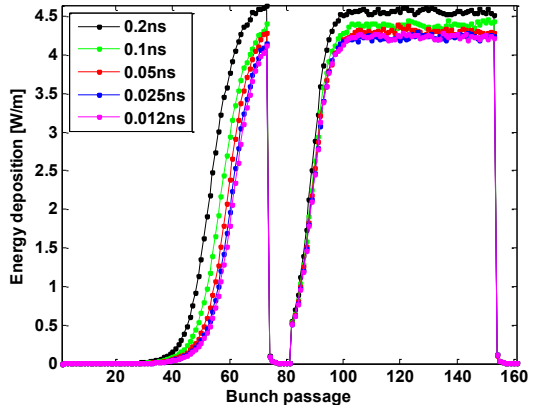
Electron cloud build up simulations pose several challenges:

- Management of the macroparticle size
 - The number of electrons in an electron cloud simulation varies by several orders of magnitude
 - The size of macroparticles needs to be adapted during the simulation to keep the number of macroparticles reasonable, but conserving the phase space of the ensemble
- Tracking in arbitrary magnetic field configurations and time varying electric fields
- Accurate beam field and electron space charge computation
 - Due to all the surface effects, the e^- dynamics in proximity of the wall is crucial, therefore the electric field calculation must be accurate also close to the EM boundary



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Numerical modeling: electron cloud build up





- A sample result of simulated build up, plotted as energy deposition on the chamber due to the electrons as a function of the bunch passage





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Numerical modeling: electron cloud build up

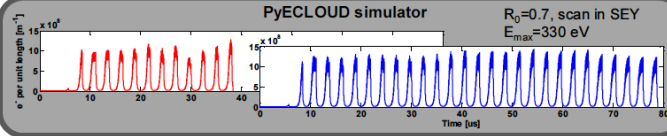


Measured Bunch-by-bunch intensity & length (B1)

Measured Bunch-by-bunch intensity & length (B2)

PyECLOUD simulator

$R_0=0.7$, scan in SEY
 $E_{max}=330$ eV

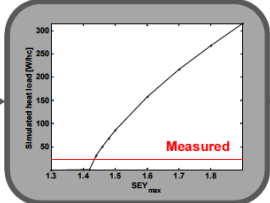


Heat load beam 2

Heat load beam 1



+

Total simulated heat load



SEY

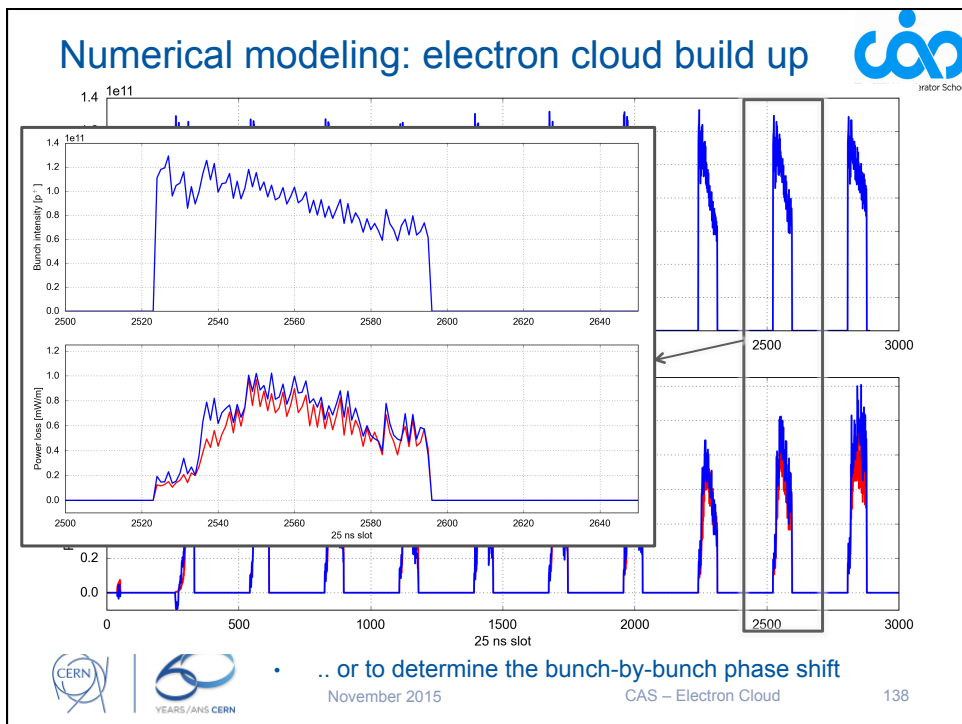
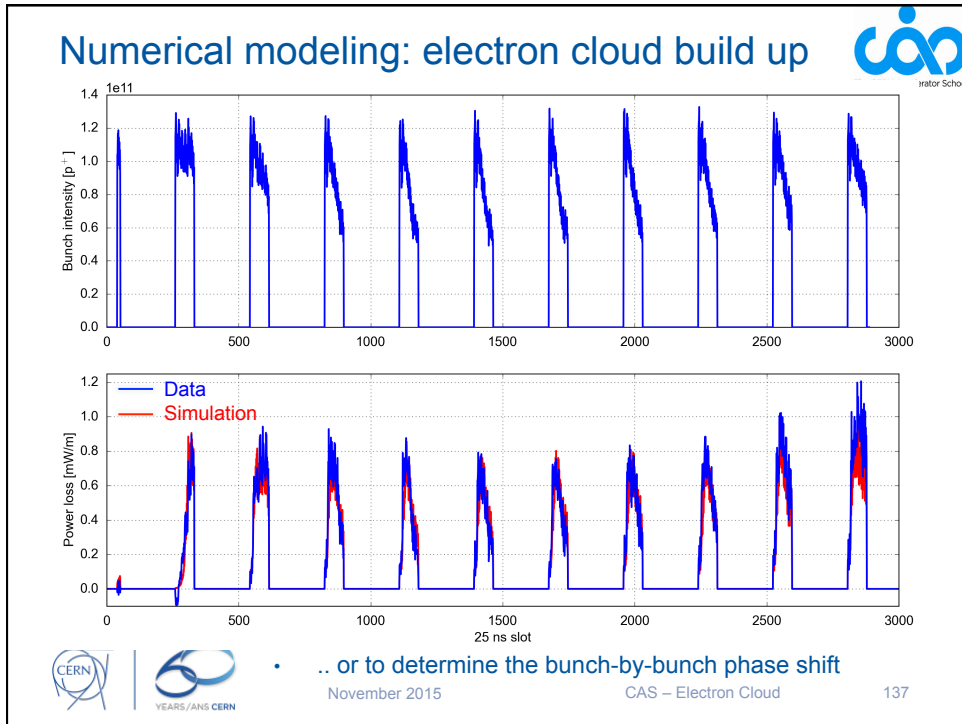
- Simulations can be used to infer the SEY of a surface from heat load measurements in known beam conditions ..


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Summary of electron cloud effects



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- **Electron clouds** can build up in accelerators operating with beams of positively charged particles in some parameter ranges.
 - Several detrimental effects:
 - o Pressure rise & heat load
 - o Energy loss, beam instability, poor beam lifetime, emittance blow up
 - Mitigation/suppression strategies exist and can be applied to both running and new machines
 - o Machine scrubbing
 - o Surface coating with low SEY materials or surface machining
 - o Solenoids, clearing electrodes
 - Well-established numerical models exist to model separately electron cloud build up and beam instability (single bunch)



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Thank you for your attention



January 2015

USPAS lectures

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