

# A Model of Flavor and Flavor-Changing

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## OUTLINE:

1. I will briefly explain a **model of flavor mixing** proposed two years ago by SMB and Heng-Yu Chen, in which **all flavor change is controlled by a single “master matrix.”**
2. I will review how the model gives **testable predictions for neutrino masses/mixings** as well as some **precise post-dictions for quark properties** that are at present imprecisely known. [SMB and H-Y Chen, JHEP 1211 (2012) 092]

I will also review how it gives **predictions for proton decay branching ratios**

[SMB and H-Y Chen, JHEP 1310 (2013) 039]

3. **NEW:** I will show that the model has **predictions** for the pattern of **flavor-changing** produced by the **exchange of a SM singlet scalar field**, specifically for the processes  **$\tau \rightarrow \mu\gamma$ ,  $\tau \rightarrow e\gamma$ ,  $\mu \rightarrow e\gamma$ .**

## Basic Ideas of the model:

(1)  $\exists$  an underlying  $SU(5)$  symmetry relates quark and lepton properties.

(2) All family mixing is due to the 3 chiral fermion families mixing with extra vector-like fermion multiplets.

Three chiral families:  $10_i + \bar{5}_i$  ( $i = 1, 2, 3$ )

An abelian family symmetry makes the Yukawa couplings of SM Higgs to these families diagonal in family space  $\Rightarrow$  **DIAGONAL MASS MATRICES**

Extra vectorlike fermion multiplets:  $5'_A + \bar{5}'_A$  ( $A = 1, 2, \dots$ )

**These have no family symmetry.**

**The key is that the  $\bar{5}_i$  and  $\bar{5}'_A$  mix** with each other. This does not break  $SU(5)$ , but it involves the spontaneous breaking of the family symmetry. **This mixing is characterized by a 3x3 matrix we call  $A$ .**

**This induces mixing among the three chiral families.**

Because  $\bar{5}$  fermion multiplets contain **LH leptons**, and **RH quarks**, **LH mixing is greater for quarks than leptons: MNS mixing is larger than CKM mixing** (“lopsided” mixing idea).

**All flavor mixing is controlled by the “master matrix”  $A$ .**

Recall that in SU(5) fermion multiplets look like this:

$$10 = \left( \ell^+ \begin{pmatrix} u \\ d \end{pmatrix} u^c \right) \quad \bar{5} = \left( \begin{pmatrix} \nu \\ \ell^- \end{pmatrix} d^c \right)$$

The mass matrix for the down-type quarks is

$$(d(10_i) \ D(5'_A)) \begin{pmatrix} (m_d)_{ij} & \mathbf{0} \\ \Delta_{Aj} & M_{AB} \end{pmatrix} \begin{pmatrix} d^c(\bar{5}_j) \\ D^c(\bar{5}'_B) \end{pmatrix}$$

$y_{Aj} \ 5'_A \bar{5}_j \langle \Omega_j \rangle$        $Y_{AB} \ 5'_A \bar{5}'_B \langle \Omega \rangle$

diagonal

$\Omega, \Omega_j$  are SU(5) and SM singlets with large VEVs.

$\langle \Omega_j \rangle$  spontaneously breaks the abelian family symmetries

This gets block diagonalized into the known three families and the heavy extra fermions by unitary matrices as follows:

$$\underbrace{\begin{pmatrix} I & G^\dagger \\ -G & I \end{pmatrix}}_{U_L^\dagger} \begin{pmatrix} m_d & \mathbf{0} \\ \Delta & M \end{pmatrix} \underbrace{\begin{pmatrix} A & B \\ C & D \end{pmatrix}}_{U_R} = \begin{pmatrix} \bar{m}_d & \mathbf{0} \\ \mathbf{0} & \bar{M} \end{pmatrix},$$

where

$$A = [I + \Delta^\dagger M^{-1\dagger} M^{-1} \Delta]^{-1/2}$$

$$B = [I + \Delta^\dagger M^{-1\dagger} M^{-1} \Delta]^{-1/2} \Delta^\dagger M^{-1\dagger}$$

$$C = -M^{-1} \Delta [I + \Delta^\dagger M^{-1\dagger} M^{-1} \Delta]^{-1/2}$$

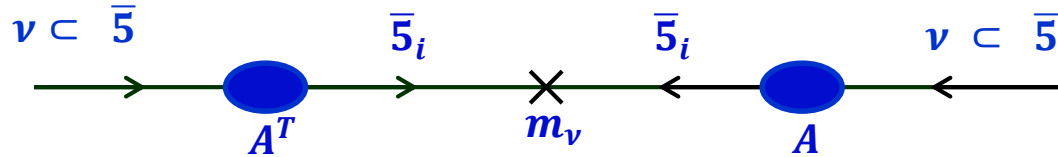
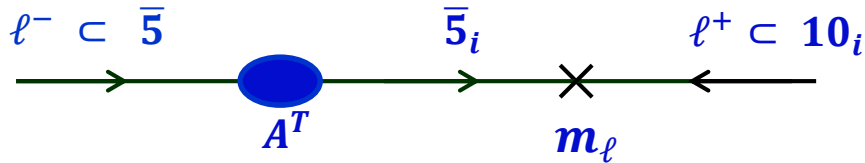
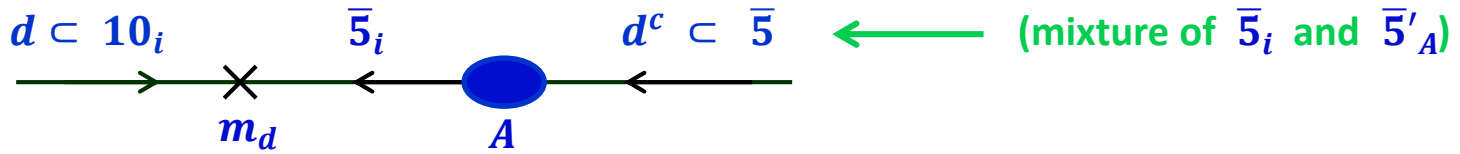
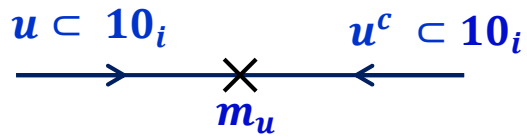
$$D = [I + M^{-1} \Delta \Delta^\dagger M^{-1\dagger}]^{-1/2}$$

$$G^\dagger = -m_d \Delta^\dagger M^{-1\dagger} D^2 M^{-1}$$

So that the mass matrix for  $d$ ,  $s$ , and  $b$  is

$$\bar{m}_d = m_d A$$

diagonal      "master matrix"



$$\begin{aligned}\bar{m}_u &= m_u \\ \bar{m}_d &= m_d A \\ \bar{m}_\ell &= A^T m_\ell \\ \bar{m}_v &= A^T m_v A\end{aligned}$$

$$\bar{m}_u = m_u$$

$$\bar{m}_d = m_d A$$

are diagonal

so CKM mixing comes from  $A$

$A$  is a hermitian 3x3 matrix  $\Rightarrow$  9 parameters. Too many?

But  $A$  can be written in the form

$$A = \mathcal{D} A_\Delta U$$

where  $\mathcal{D}$  is diagonal,  $U$  is unitary, and

$$A_\Delta = \begin{pmatrix} 1 & b & ce^{i\theta} \\ 0 & 1 & a \\ 0 & 0 & 1 \end{pmatrix}$$

So THIS matrix controls all flavor mixing

$$\bar{m}_d = (m_d \mathcal{D}) \begin{pmatrix} 1 & b & ce^{i\theta} \\ 0 & 1 & a \\ 0 & 0 & 1 \end{pmatrix} U \rightarrow \cong \begin{pmatrix} m_d & 0 & 0 \\ 0 & m_s & 0 \\ 0 & 0 & m_b \end{pmatrix} \begin{pmatrix} 1 & b & ce^{i\theta} \\ 0 & 1 & a \\ 0 & 0 & 1 \end{pmatrix}$$

$U$  can be absorbed into a redefinition of the right-handed quarks

$$\begin{aligned} \Rightarrow a &\cong V_{cb}(m_b/m_s) && \cong (0.04)(50) && \cong 2 \\ b &\cong V_{us}(m_s/m_d) && \cong (0.2)(20) && \cong 4 \\ c &\cong V_{ub}(m_b/m_d) && \cong (0.003 e^{i\delta})(10^3) && \cong 3e^{i\delta} \end{aligned}$$

One can straightforwardly show that in the basis in which the charged-lepton mass matrix is diagonal the mass matrix of the three light neutrinos is

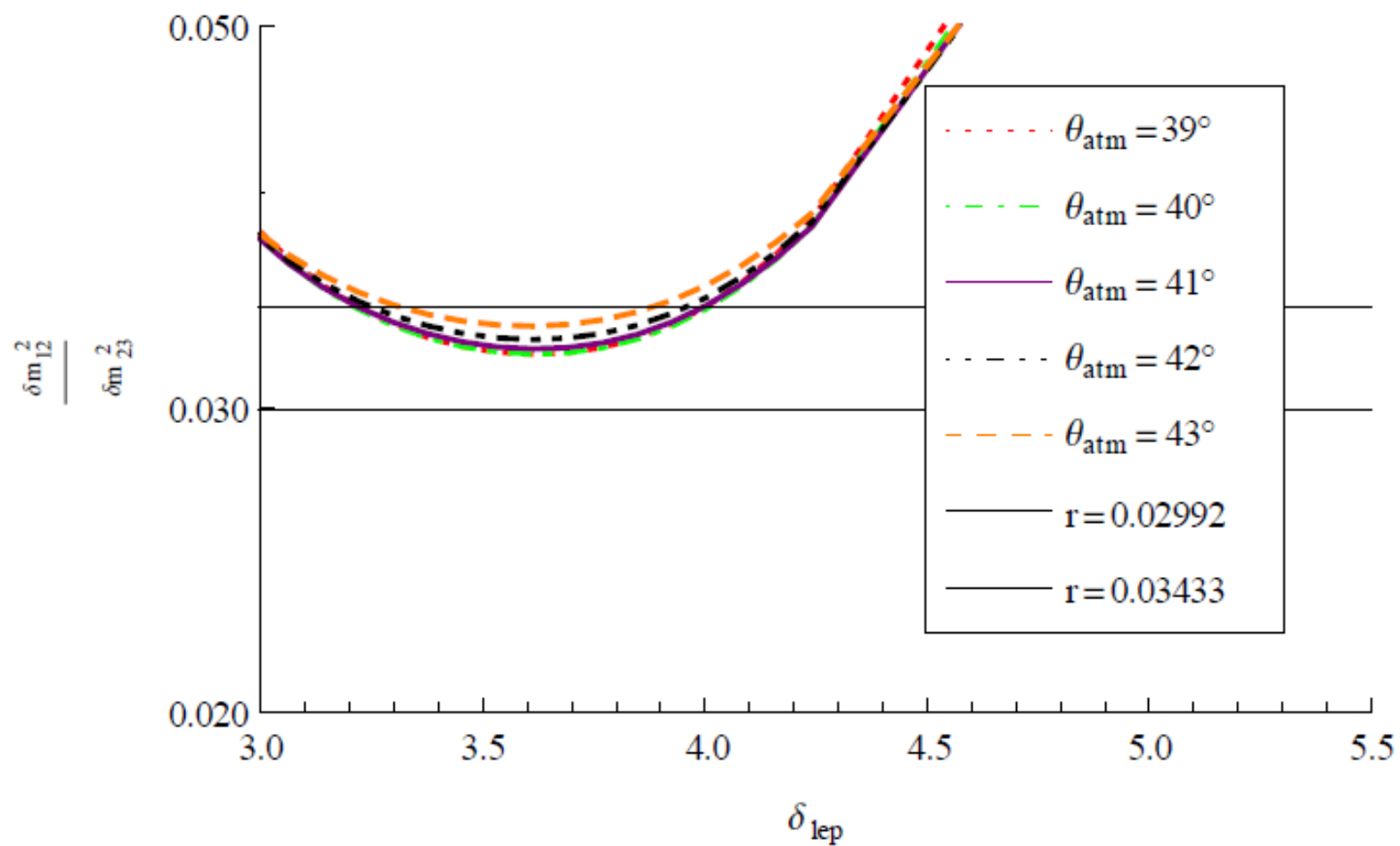
$$\bar{m}_\nu = A_\Delta^T (\text{diag}) A_\Delta = \mu_\nu \begin{pmatrix} 1 & 0 & 0 \\ b & 1 & 0 \\ ce^{i\theta} & a & 1 \end{pmatrix} \begin{pmatrix} qe^{i\beta} & 0 & 0 \\ 0 & pe^{i\alpha} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & b & ce^{i\theta} \\ 0 & 1 & a \\ 0 & 0 & 1 \end{pmatrix}$$

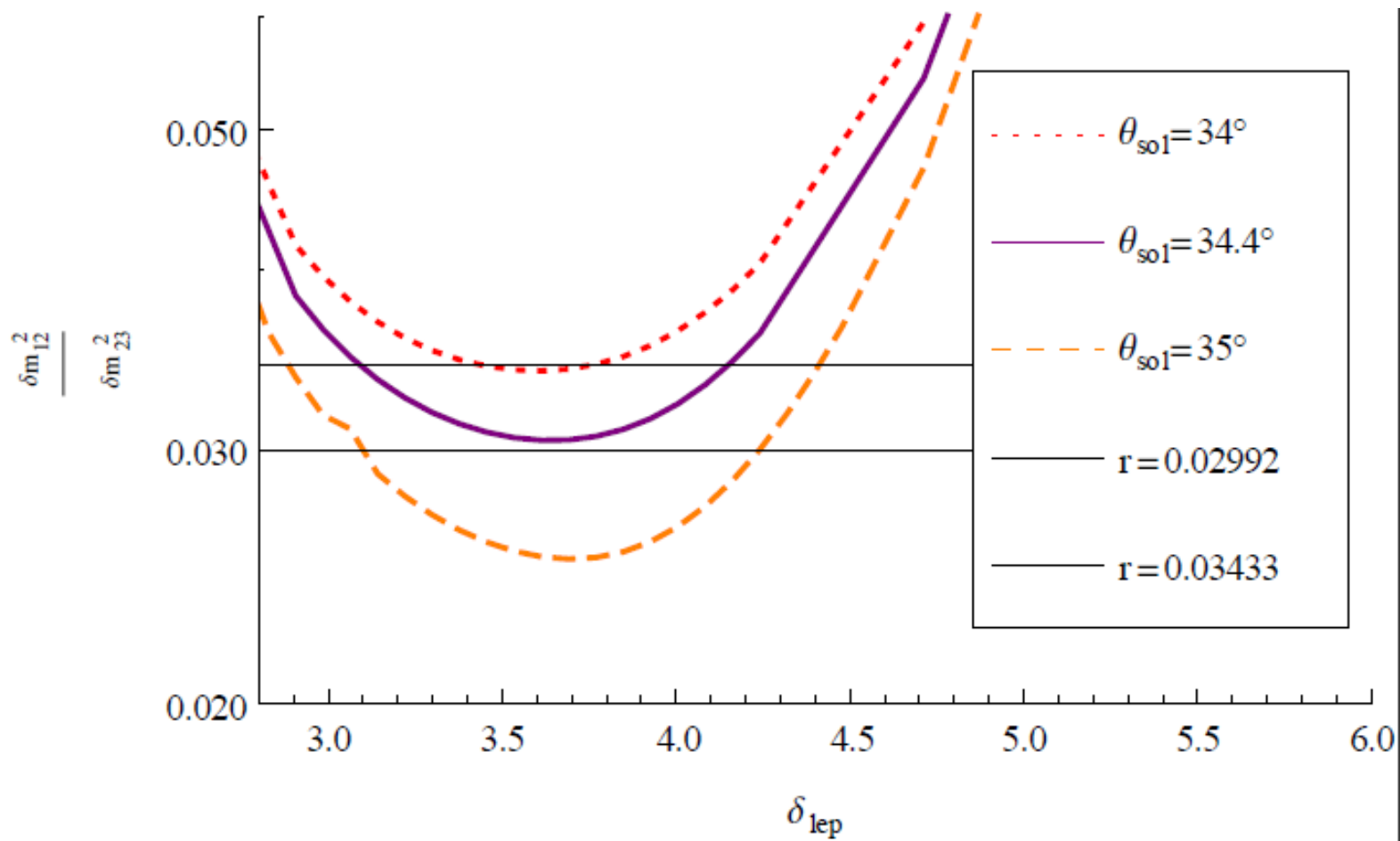
Quantity	Values in fit	Experiment
$\mu_\nu$	0.1428 eV	—
$pe^{i\alpha}$	$0.1525e^{-2.734i}$	—
$qe^{i\beta}$	$0.01405e^{-0.352i}$	—
$m_b/m_s$	52.9	$52.9 \pm 2.6$
$m_s/m_d$	19	17 to 22
$ V_{us} $	0.2252	$0.2252 \pm 0.0009$
$ V_{cb} $	0.0409	$0.0409 \pm 0.0011$
$ V_{ub} $	0.00415	$0.00415 \pm 0.00049$
$\delta$	1.30 rad	$1.187^{+0.175}_{-0.192}$ rad
$\theta_{sol}$	$34.1^\circ$	$33.89^\circ \begin{smallmatrix} +0.976^\circ \\ -0.971^\circ \end{smallmatrix}$
$\theta_{atm}$	$40^\circ$	$45^\circ \pm 6.5^\circ$
$\theta_{13}$	$9.12^\circ$	$9.122^\circ \begin{smallmatrix} +0.609^\circ \\ -0.647^\circ \end{smallmatrix}$
$\delta m_{23}^2$	$2.32 \times 10^{-3} \text{ eV}^2$	$2.32^{+0.12}_{-0.08} \times 10^{-3} \text{ eV}^2$
$\delta m_{12}^2$	$7.603 \times 10^{-5} \text{ eV}^2$	$(7.5 \pm 0.2) \times 10^{-5} \text{ eV}^2$
$\delta_{lep}$	$1.15\pi$ rad	$1.1\pi \begin{smallmatrix} +0.3\pi \\ -0.4\pi \end{smallmatrix}$ rad
$(M_\nu)_{ee}$	0.0020 eV	

There are 9 neutrino observables: 3 masses, 3 mixing angles, Dirac phase, 2 Majorana phases.

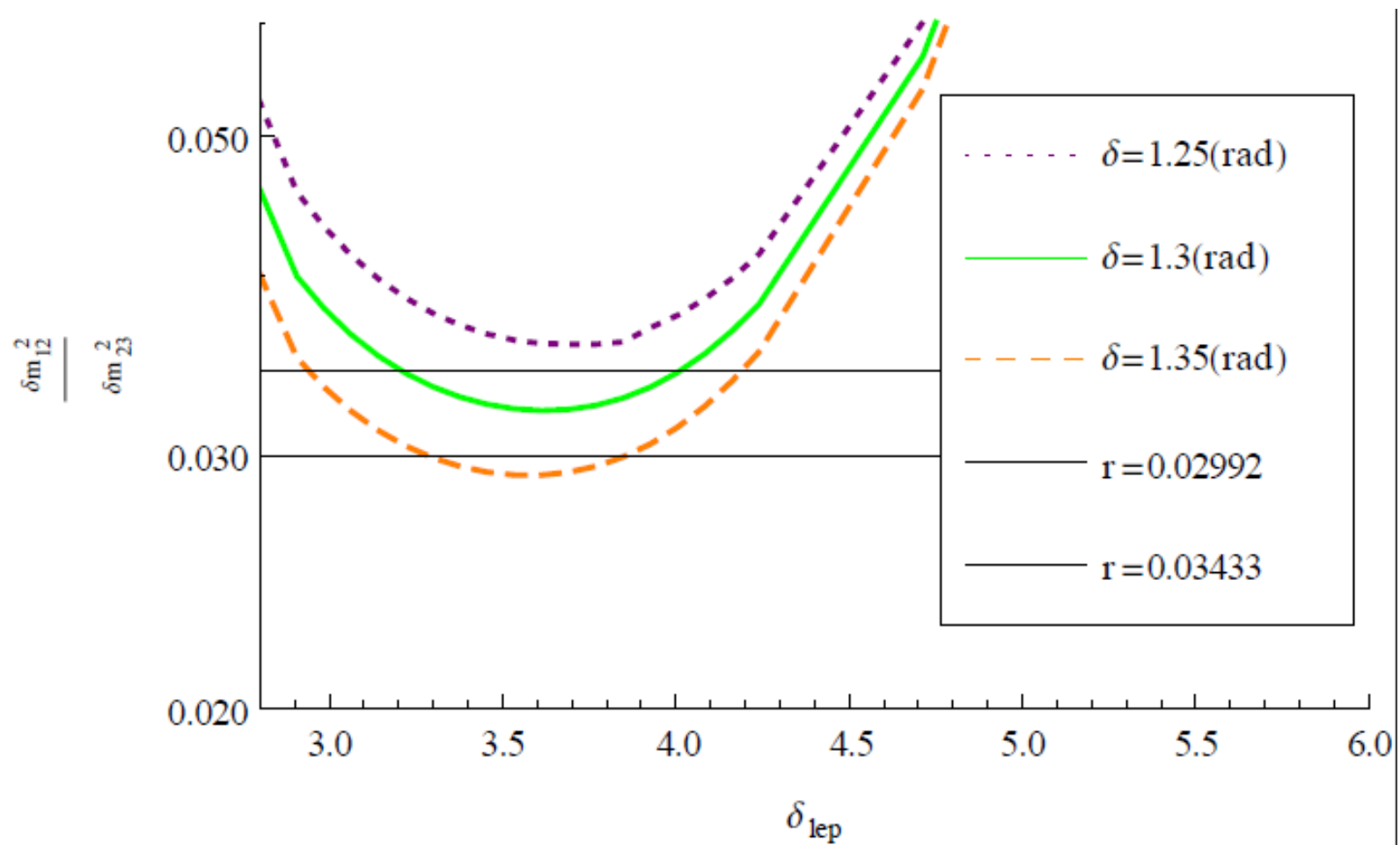
There are 5 model parameters.

9 - 5 = 4 predictions.









## Proton decay branching ratios

There are other mixing angles that describe how quarks and leptons are put together in SU(5) multiplets. These are also controlled by the matrix  $A_\Delta$ . One can show that the fermion multiplets in this model look like this:

$$\mathbf{10} \cong \left[ e^{-i\theta} V_{\ell^+} \tilde{\ell}^c \quad \begin{pmatrix} \tilde{u} \\ V_{CKM} \tilde{d} \end{pmatrix} \quad e^{-i\Phi} \tilde{u}^c \right] \quad \bar{\mathbf{5}} \cong \left[ \begin{pmatrix} U_{MNS} \tilde{\nu} \\ \tilde{\ell}^- \end{pmatrix} \quad \tilde{d}^c \right]$$

where

$$V_{\ell^+} \cong \begin{pmatrix} 1 & -\frac{m_e b}{m_\mu} & -\frac{m_e c e^{i\theta}}{m_\tau} \\ \frac{m_e b}{m_\mu} & 1 & -\frac{m_\mu a}{m_\tau} \\ \frac{m_e c e^{-i\theta}}{m_\tau} & \frac{m_\mu a}{m_\tau} & 1 \end{pmatrix}$$

From this it can be shown that

$$\frac{\Gamma(p \rightarrow \pi^0 \mu^+)}{\Gamma(p \rightarrow \pi^0 e^+)} \cong \frac{(b\kappa)^2 + \sin^2 \theta_C}{(1 + \kappa)^2 + 4\cos^2 \theta_C}$$


$$R \equiv 2 \left( 1 - \frac{m_K^2}{m_p^2} \right)^2 \left( \frac{1 + \frac{m_p}{m_B} (D-F)}{1 + D + F} \right)^2 = 0.105 \pm 0.005$$

$$\frac{\Gamma(p \rightarrow \kappa^0 e^+)}{\Gamma(p \rightarrow \pi^0 e^+)} \cong R \frac{(b\kappa)^2 + 4\sin^2 \theta_C}{(1 + \kappa)^2 + 4\cos^2 \theta_C}$$

$$\frac{\Gamma(p \rightarrow \kappa^0 \mu^+)}{\Gamma(p \rightarrow \pi^0 e^+)} \cong R \frac{(1 + b^2 \kappa)^2 + \cos^2 \theta_C}{(1 + \kappa)^2 + 4\cos^2 \theta_C}$$

**New: Flavor-changing mediated by exchange of  $\tilde{\Omega}$**

$$(d \quad D) \begin{pmatrix} m_d & \mathbf{0} \\ \Delta & M \end{pmatrix} \begin{pmatrix} d^c \\ D^c \end{pmatrix} \Rightarrow (d \quad D) \begin{pmatrix} \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \frac{M}{\langle \Omega \rangle} \tilde{\Omega} \end{pmatrix} \begin{pmatrix} d^c \\ D^c \end{pmatrix}$$


 $D(Y[\langle \Omega \rangle + \tilde{\Omega}])D^c = D \left( M + \frac{M}{\langle \Omega \rangle} \tilde{\Omega} \right) D^c$

To see how  $\tilde{\Omega}$  couples to the three known families, one must go through the block-diagonalization we did before

$$\underbrace{\begin{pmatrix} I & G^\dagger \\ -G & I \end{pmatrix}}_{U_L^\dagger} \begin{pmatrix} \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \frac{M}{\langle \Omega \rangle} \tilde{\Omega} \end{pmatrix} \underbrace{\begin{pmatrix} A & B \\ C & D \end{pmatrix}}_{U_R} = \begin{pmatrix} G^\dagger M C & G^\dagger M D \\ M C & M D \end{pmatrix} \begin{pmatrix} \tilde{\Omega} \\ \langle \Omega \rangle \end{pmatrix}$$

So,  $\tilde{\Omega}$  couples to the 3 known families by the effective Yukawa coupling matrix

$$\begin{aligned} \frac{1}{\langle \Omega \rangle} G^\dagger M C &= \frac{1}{\langle \Omega \rangle} (-m_d \Lambda^\dagger M^{-1 \dagger} D^2 M^{-1})^\dagger (M) (-M^{-1} \Delta A) \\ &= \frac{1}{\langle \Omega \rangle} m_d [\Delta^\dagger M^{-1 \dagger}] D^2 [M^{-1} \Delta] A \end{aligned}$$

$$\begin{aligned}
\tilde{Y} &= \frac{1}{\langle \Omega \rangle} m_d [\Delta^\dagger M^{-1\dagger}] D^2 [M^{-1} \Delta] A \\
&= \frac{1}{\langle \Omega \rangle} m_d [\Delta^\dagger M^{-1\dagger}] [I + M^{-1} \Delta \Delta^\dagger M^{-1\dagger}]^{-1} [M^{-1} \Delta] A \\
&= \frac{1}{\langle \Omega \rangle} m_d [\Delta^\dagger M^{-1\dagger} M^{-1} \Delta] [I + \Delta^\dagger M^{-1\dagger} M^{-1} \Delta]^{-1} A \\
&= \frac{1}{\langle \Omega \rangle} m_d [A^{-2} - I] A^2 A = \frac{1}{\langle \Omega \rangle} m_d [A - A A^\dagger A] \\
&= \frac{1}{\langle \Omega \rangle} m_d \mathcal{D} [A_\Delta - A_\Delta A_\Delta^\dagger |\mathcal{D}|^2 A_\Delta] U
\end{aligned}$$

$$A = \mathcal{D} A_\Delta U$$

is absorbed by redef. of RH fields

$$\tilde{Y} \cong \frac{1}{\langle \Omega \rangle} (m_d \mathcal{D} A_\Delta) [I - A_\Delta^\dagger |\mathcal{D}|^2 A_\Delta] = \frac{1}{\langle \Omega \rangle} \bar{m}_d [I - A_\Delta^\dagger |\mathcal{D}|^2 A_\Delta]$$

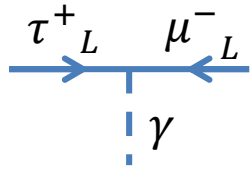
$$\tilde{Y}_{FC} \cong -\frac{1}{\langle \Omega \rangle} \bar{m}_d A_\Delta^\dagger |\mathcal{D}|^2 A_\Delta$$

$$\mathcal{D} = \begin{pmatrix} |\delta|^2 & 0 & 0 \\ 0 & |\varepsilon|^2 & 0 \\ 0 & 0 & |\zeta|^2 \end{pmatrix}$$

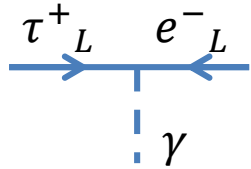
$$A_\Delta = \begin{pmatrix} 1 & b & ce^{i\theta} \\ 0 & 1 & a \\ 0 & 0 & 1 \end{pmatrix}$$

$$\bar{m}_d = \begin{pmatrix} m_d & 0 & 0 \\ 0 & m_s & 0 \\ 0 & 0 & m_b \end{pmatrix}$$

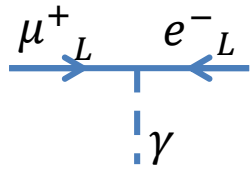
The expression for the Yukawa couplings of  $\tilde{\Omega}$  to the charged leptons looks the same, but with Left-handed and Right-handed fermions interchanged. It ends up looking as follows:



$$\frac{m_\tau}{\langle \Omega \rangle} [|\delta|^2 b c e^{-i\theta} + |\varepsilon|^2 a] \equiv \frac{m_\tau}{\langle \Omega \rangle} \Delta_{\mu\tau}$$



$$\frac{m_\tau}{\langle \Omega \rangle} [|\delta|^2 c e^{-i\theta}] \equiv \frac{m_\tau}{\langle \Omega \rangle} \Delta_{e\tau}$$



$$\frac{m_\mu}{\langle \Omega \rangle} [|\delta|^2 b] \equiv \frac{m_\mu}{\langle \Omega \rangle} \Delta_{e\mu}$$

$$\frac{\Gamma(\tau \rightarrow e\gamma)}{\Gamma(\mu \rightarrow e\gamma)} = \left| \frac{\Delta_{e\tau}}{\Delta_{e\mu}} \right|^2 = \left| \frac{c}{b} \right|^2 \cong \frac{9}{16}$$

And if the  $\delta^2$  term dominates for  $\tau \rightarrow \mu\gamma$

$$\frac{\Gamma(\tau \rightarrow \mu\gamma)}{\Gamma(\mu \rightarrow e\gamma)} = \left| \frac{\Delta_{\mu\tau}}{\Delta_{e\mu}} \right|^2 = |c|^2 \cong 9$$

One can derive bounds on the unknown parameters  $|\delta|^2$ ,  $|\varepsilon|^2$ , and  $|\zeta|^2$ .

$$AA^\dagger = [I + (M^{-1}\Delta)^\dagger(M^{-1}\Delta)]^{-1} = \begin{pmatrix} \delta & 0 & 0 \\ 0 & \varepsilon & 0 \\ 0 & 0 & \zeta \end{pmatrix} A_\Delta A_\Delta^\dagger \begin{pmatrix} \delta^* & 0 & 0 \\ 0 & \varepsilon^* & 0 \\ 0 & 0 & \zeta^* \end{pmatrix}$$



the elements of this matrix bounded from above

$$BR(\tau \rightarrow \mu\gamma) = 24 \left(\frac{\alpha}{\pi}\right)^3 \left(\frac{v}{\langle\Omega\rangle}\right)^4 f^2 \left(\frac{M_F}{M_{\tilde{\Omega}}}\right) \underbrace{\left| |\delta|^2 b c e^{-i\theta} + |\varepsilon|^2 a \right|^2}_{<2}$$

$$BR(\tau \rightarrow \mu\gamma) < 10^{-8} (TeV/\langle\Omega\rangle)^4$$