



# Theoretical developments for the AWAKE experiment

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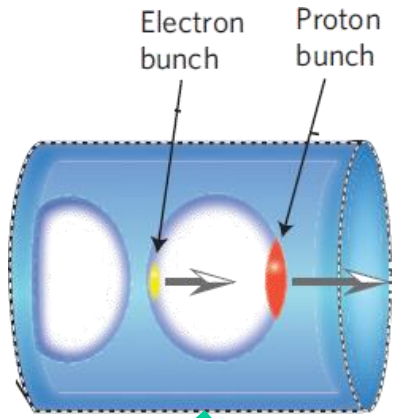
Budker Institut, Novosibirsk

IST, Lissabon

MPI Physik, München



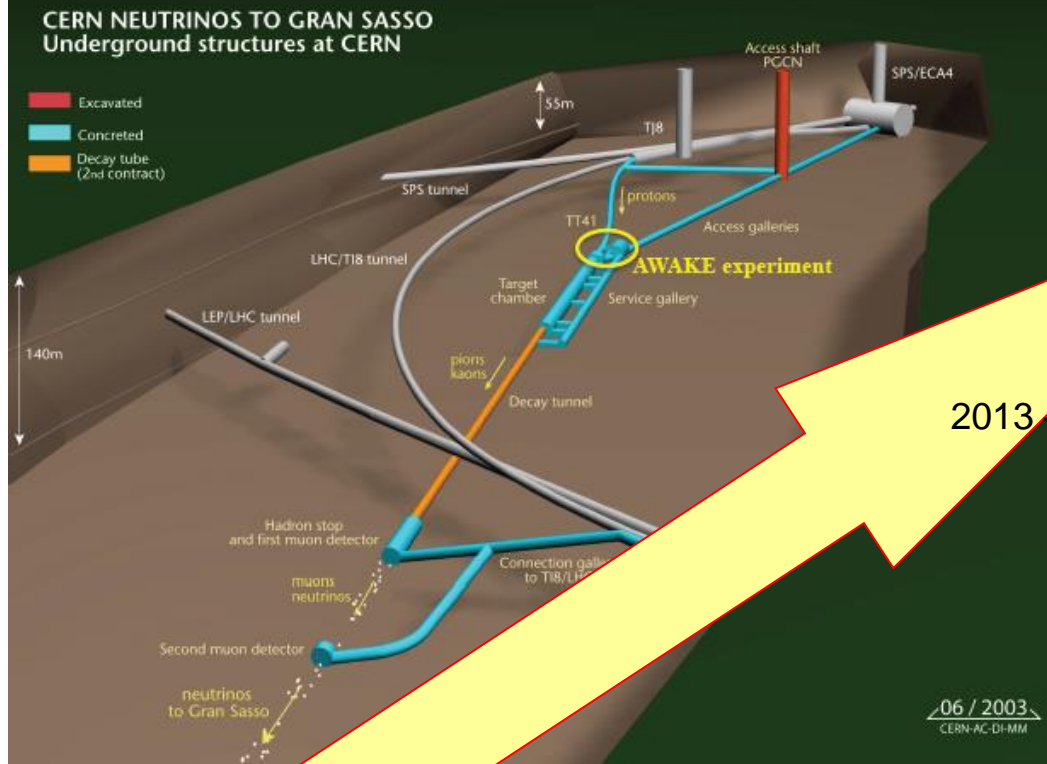
# Short story of



2009 – first idea of PDPWFA  
(100 mm proton bunch,  
1 TeV  $p^+$   $\rightarrow$  600 GeV  $e^-$ )

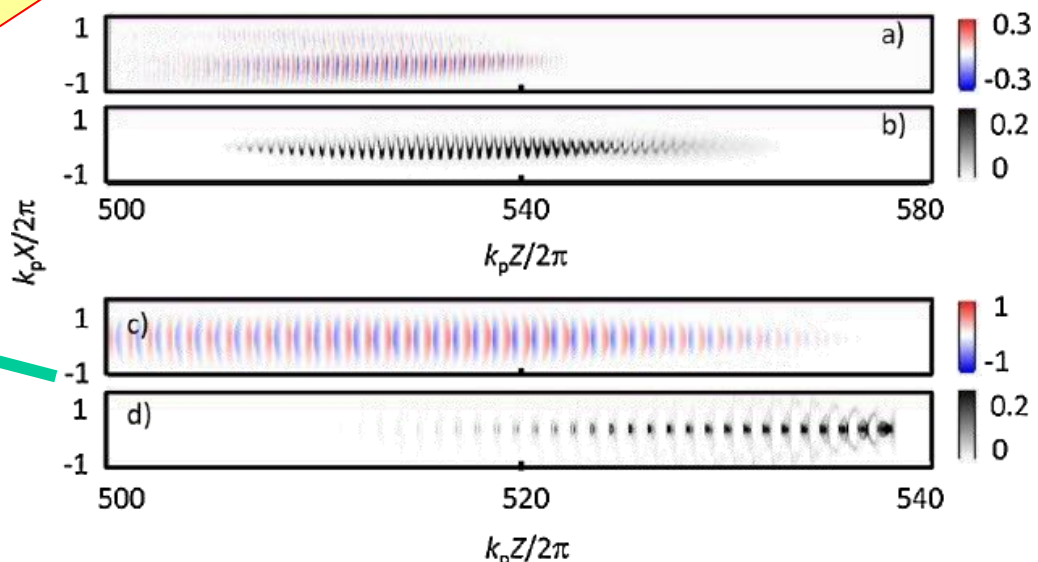
2010 – idea  
of self-modulation

2011 – Letter of Intent,



2017  
first experiments

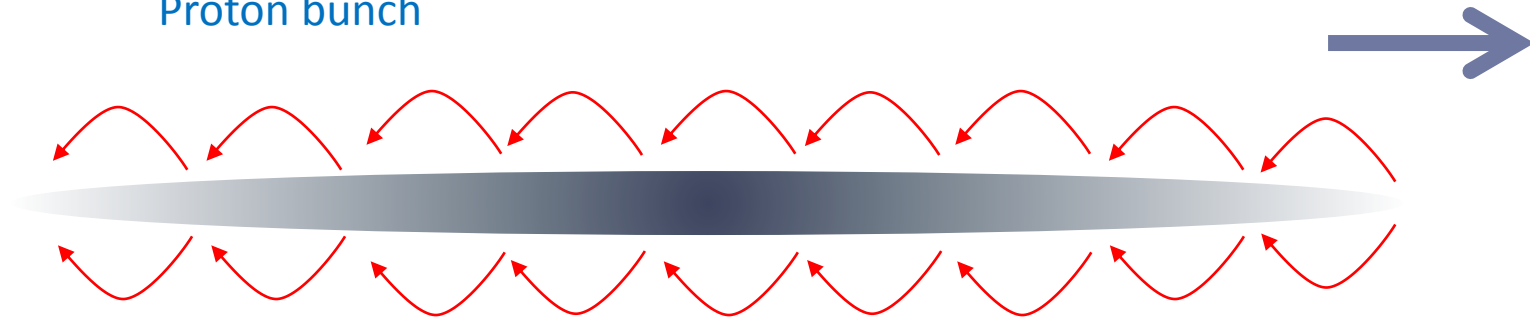
2013 – TDR, CNGS site,  
AWAKE approval



# Self-modulation idea

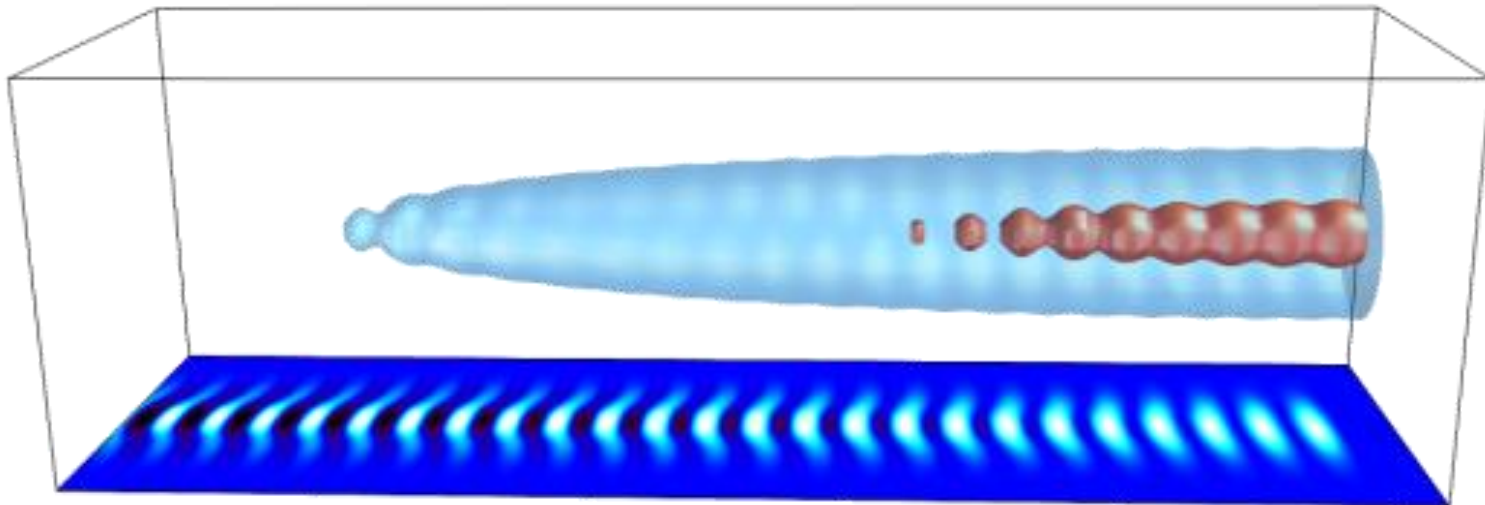
Kumar, Pukhov, Lotov PRL 104, 25503 (2010)

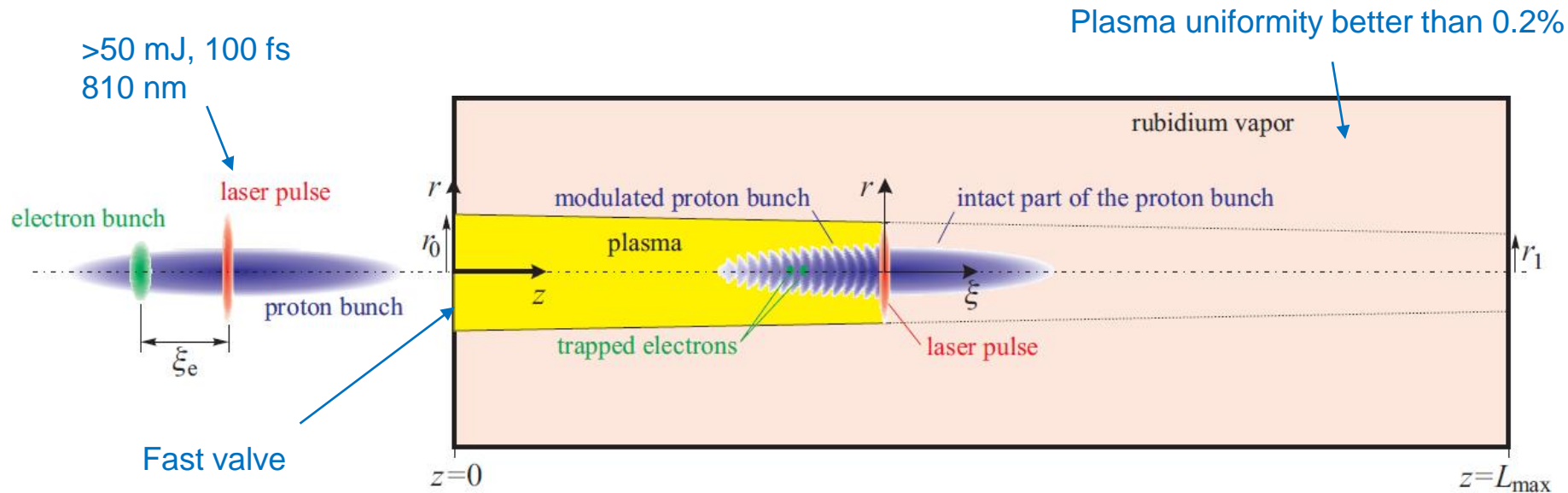
Proton bunch



The bunch self-modulates at plasma wavelength...

...and excites resonant wake field



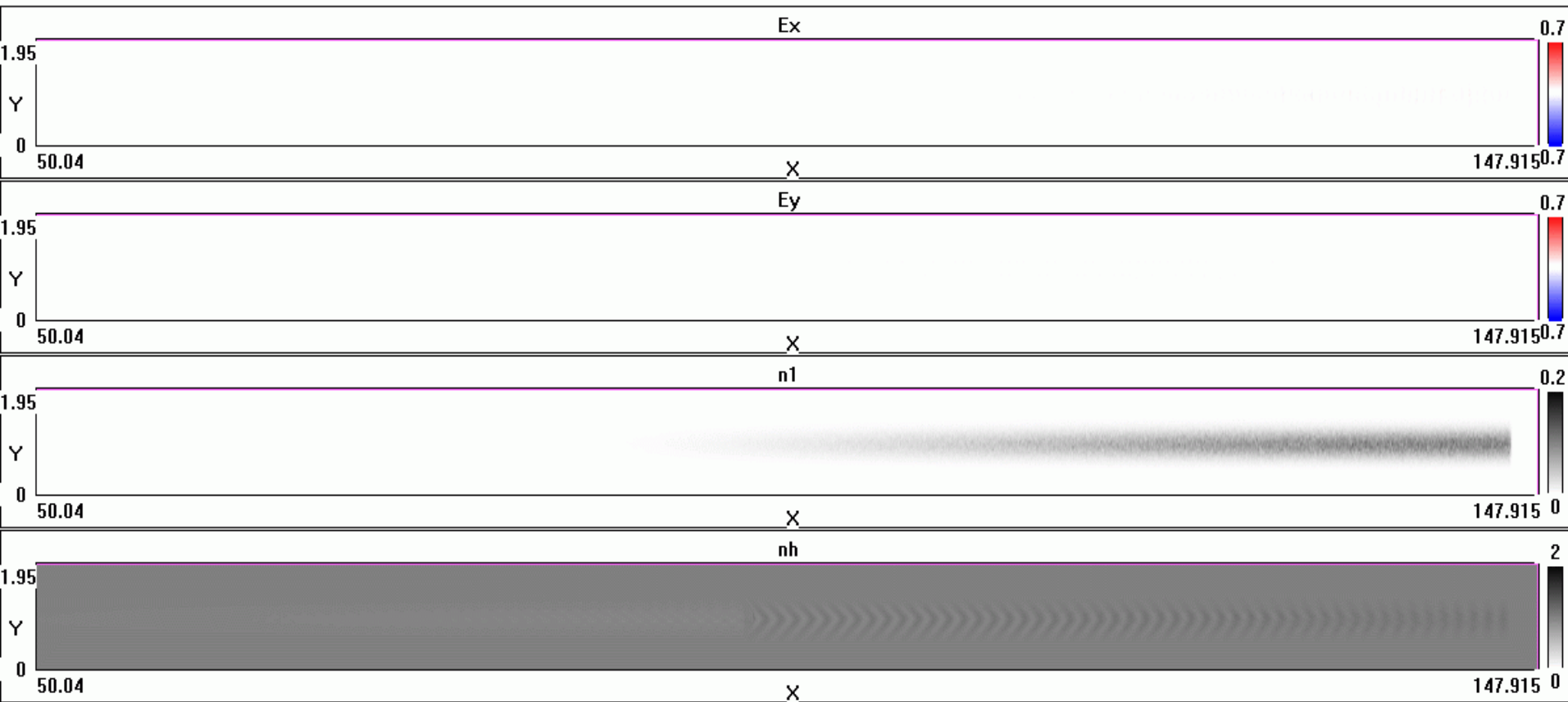


Plasma density	$7 \times 10^{14} \text{ cm}^{-3}$	Electron bunch population	$1.25 \times 10^9$
Plasma column radius	1-1.5 mm	Electron bunch energy	16 GeV
Limiting field	2.54 GV/m	Electron bunch radius	0.25 mm
Proton bunch population	$3 \times 10^{11}$	Electron bunch length	1.2 mm
Proton bunch length	12 cm	Electron bunch delay	16.4 cm
Proton bunch radius	0.2 mm	Acceleration distance	100 cm
Proton bunch energy	400 GeV		

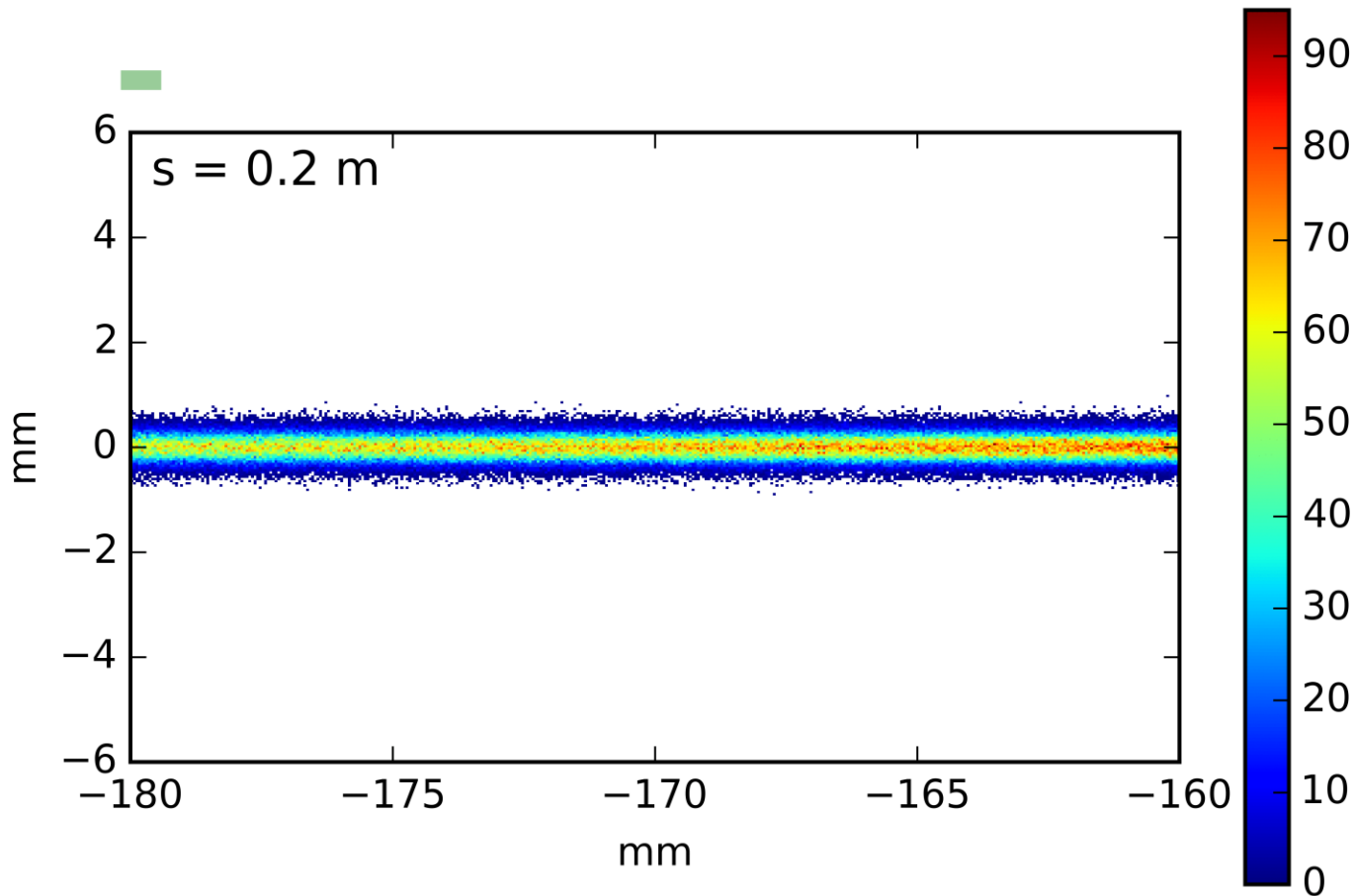


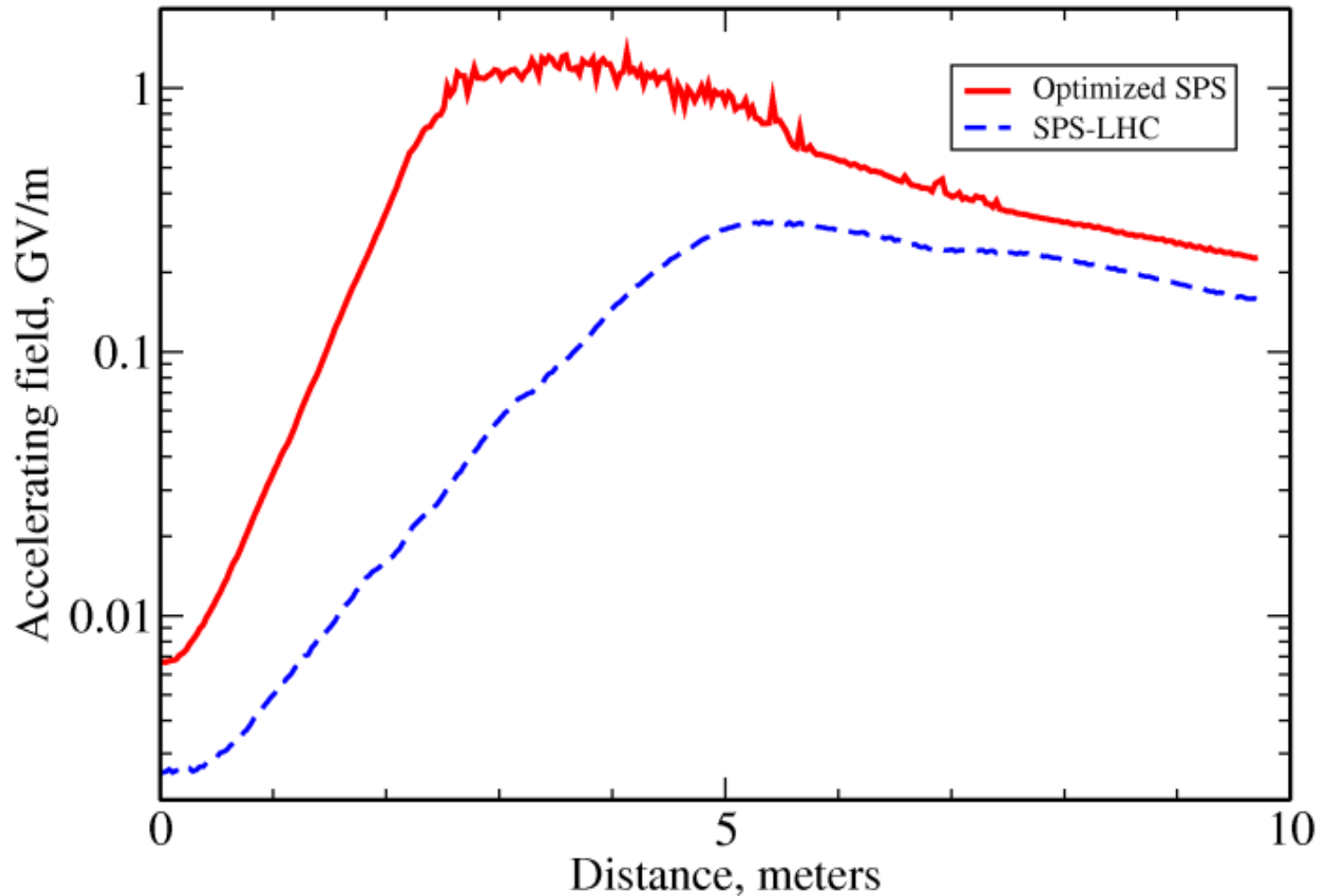
# Self-modulation of the proton bunch

Time=50.028



# Self-modulation at the injection





# Phase velocity of the plasma wave

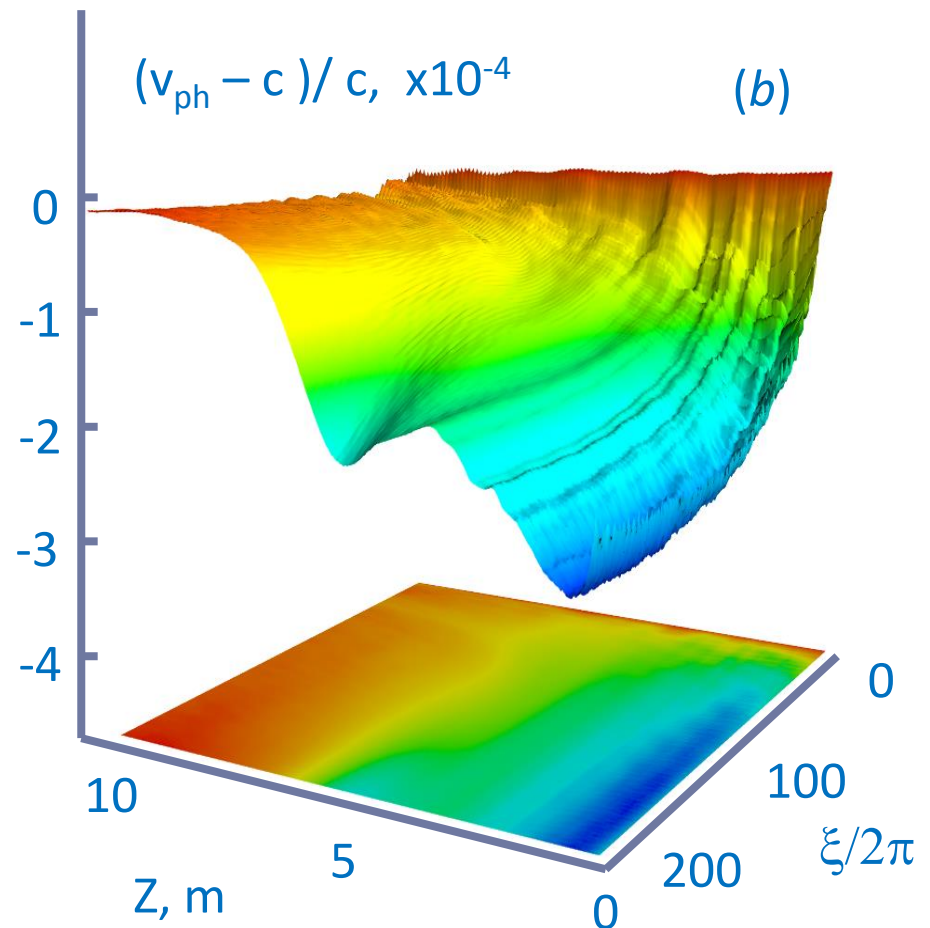
Pukhov et al., Phys Rev Lett (2011)

The wake is slowed down.  
Its minimum gamma-factor is

$$\gamma_{\min} \sim 40$$

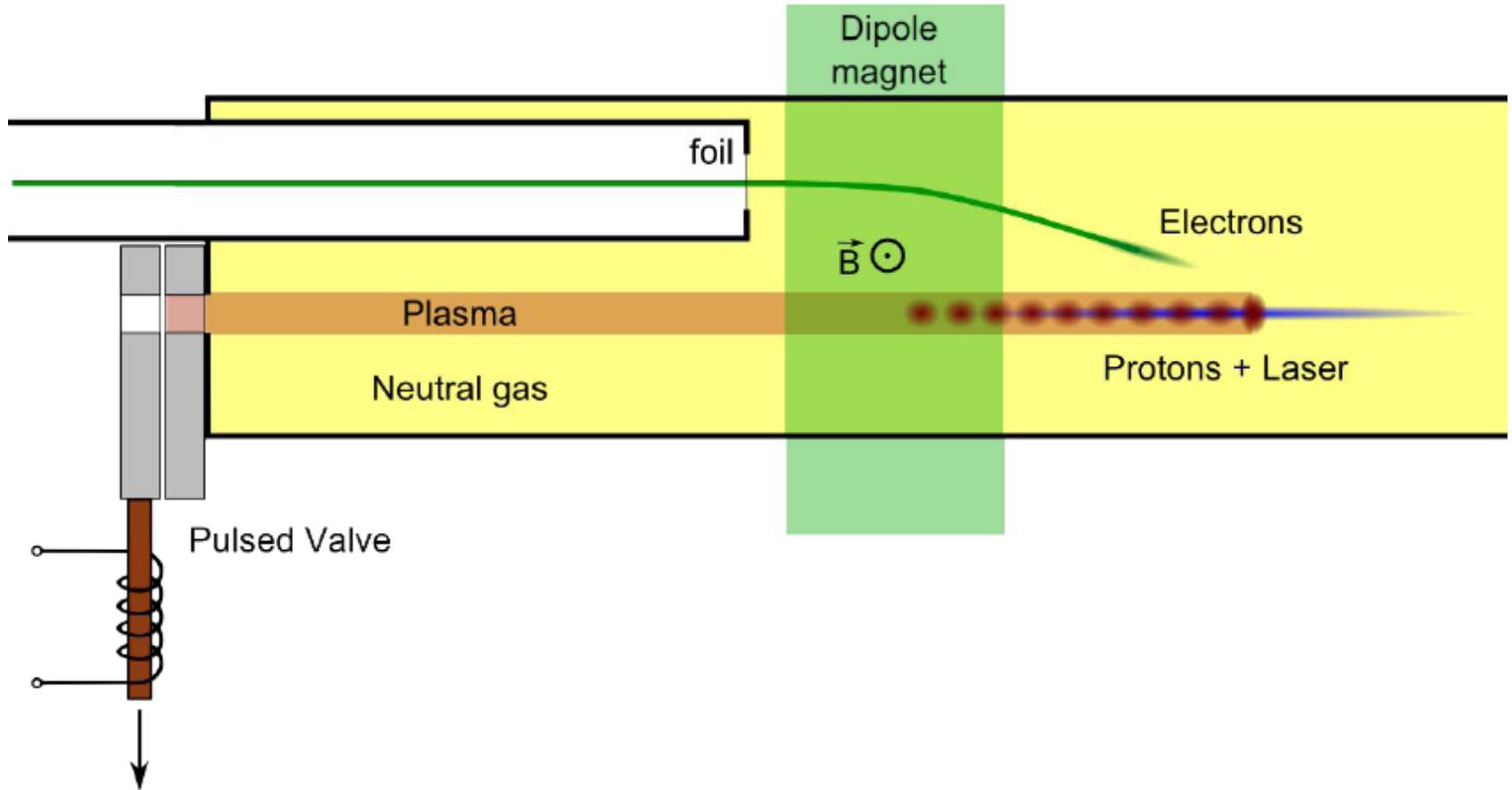
This is order of magnitude  
below that of the proton  
bunch

Electron injection sensitive  
to the phase velocity



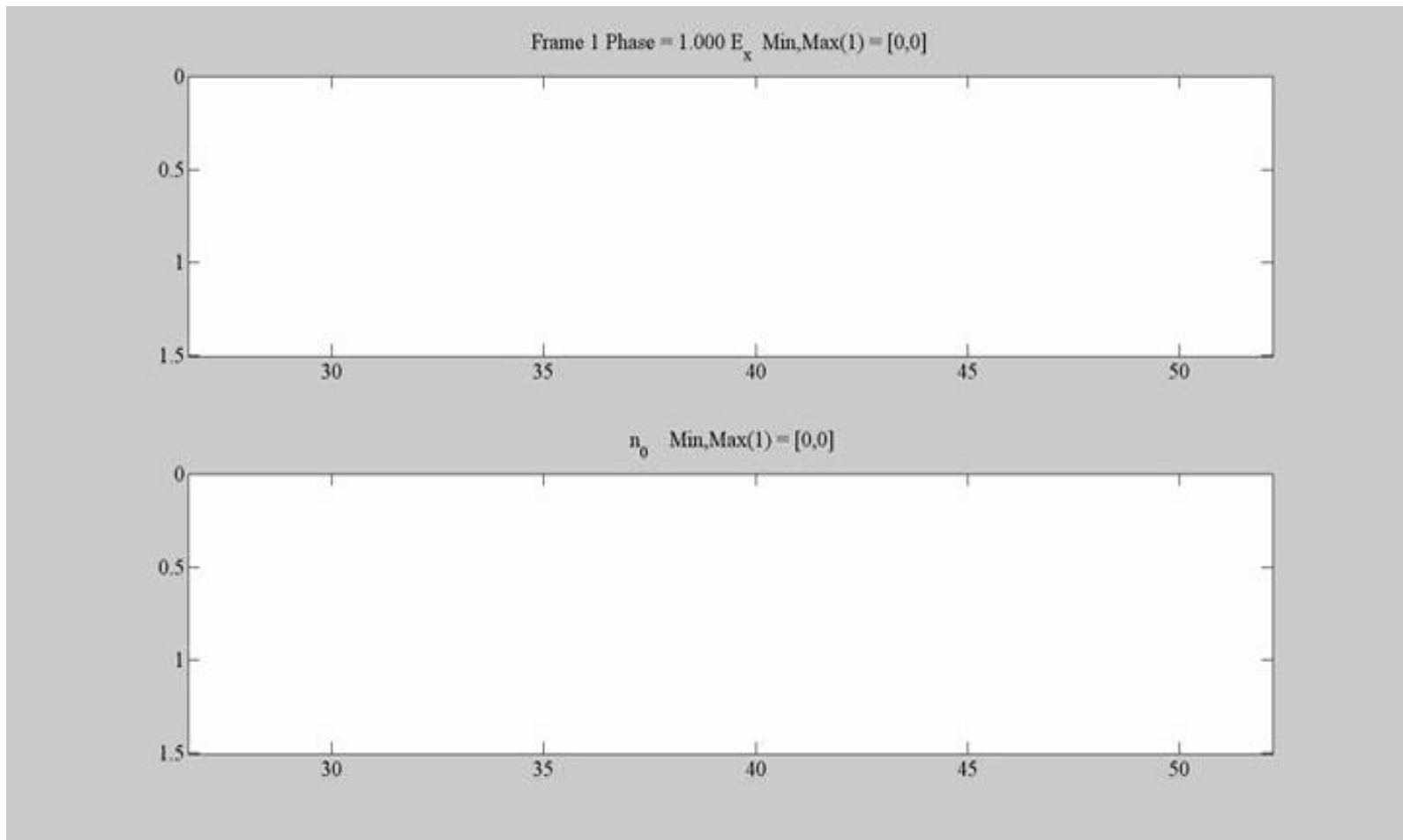


# Side injection option



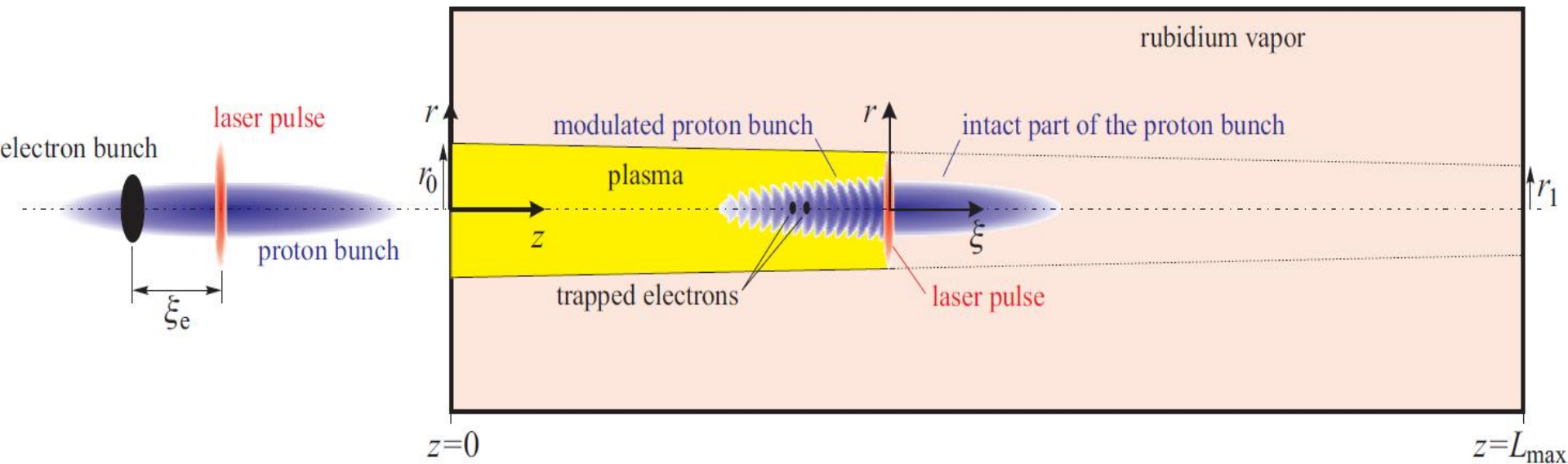
# Side injection option

Side injection after 6 meters, at 0.005 rad angle



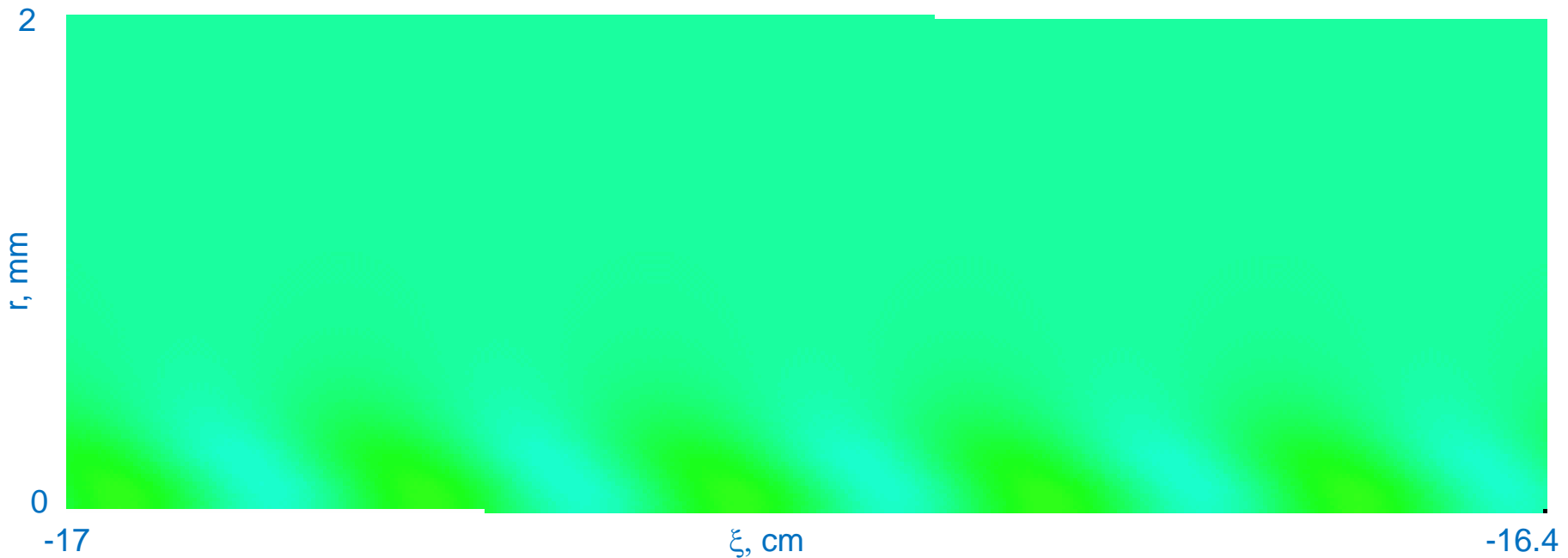
# On-axis injection

On-axis injection is now viewed as the easiest and straightforward path to demonstration of electron acceleration

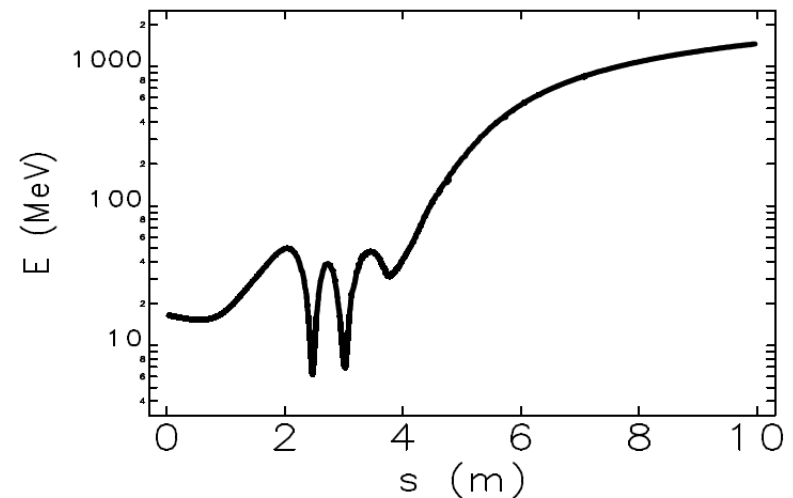
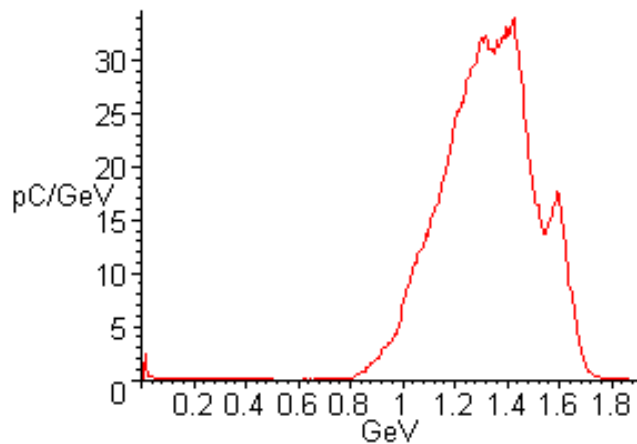
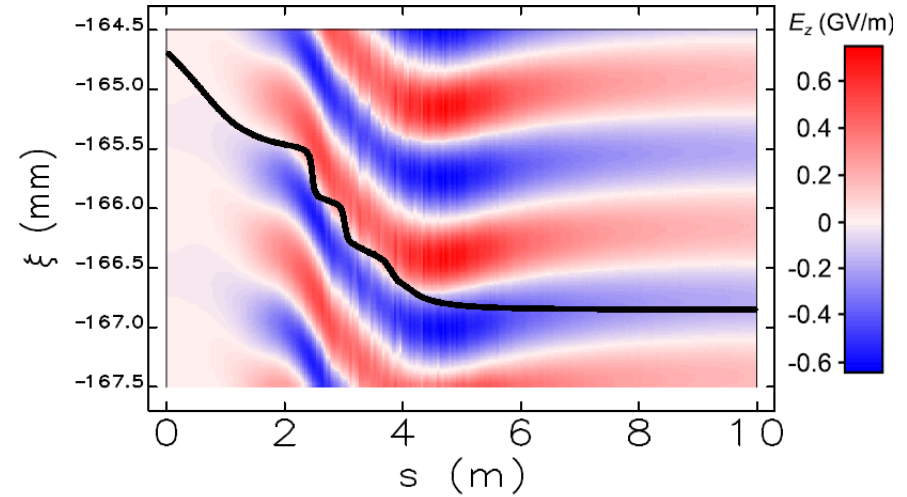
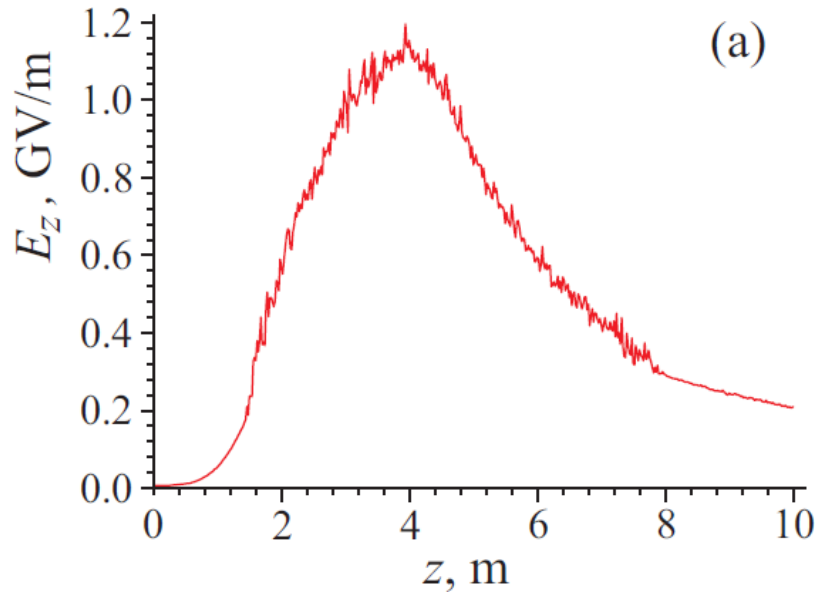


# On-axis injection: animation

black points – injected electrons, color map – wakefield potential

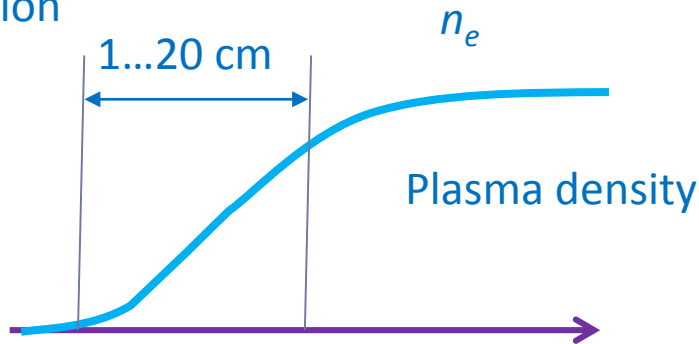


- Electrons are trapped from the very beginning by the wakefield of seed perturbation
- At  $r \sim 3 c/\omega_p$  there is a defocusing region for any  $\xi$ . Reason – incomplete neutralization of the beam current -> plasma lens effect for protons, defocusing lens for electrons
- Trapped electrons make several synchrotron oscillations in their potential wells



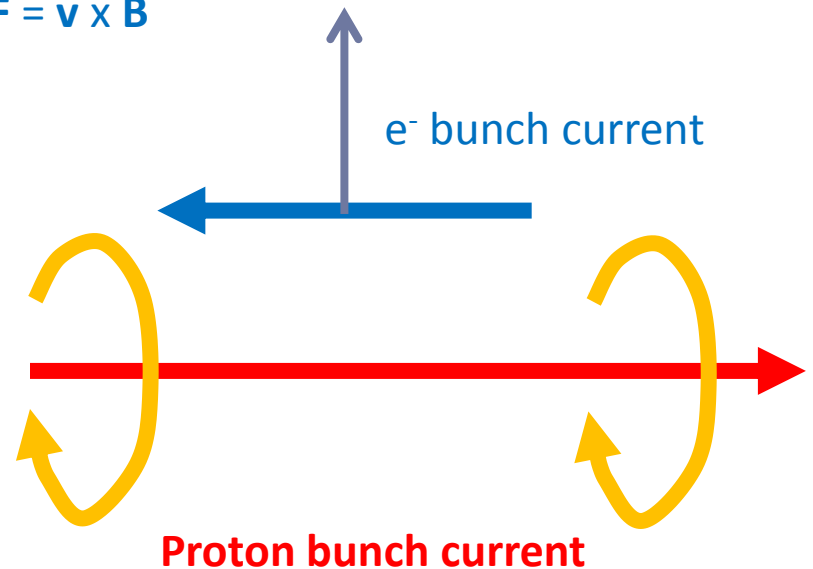
# Entry into plasma issue

Transition region



Electrons are scattered by magnetic field of the proton bunch before the self-modulation instability can develop

Repelling force  
 $F = v \times B$



**Possible solutions** how to overcome the scattering:

1. Shorten the transition region
2. Use high current electron bunch
3. Use a transversely shaped proton bunch that has a minimum current density on-axis:  
donut shape proton bunch

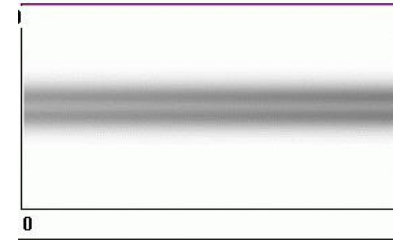
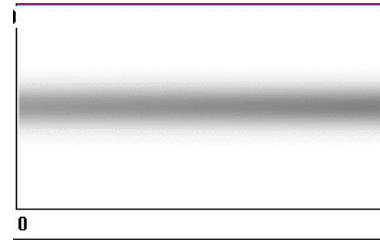
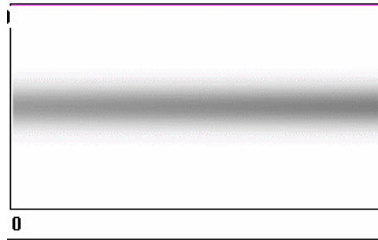
# 3D PIC simulations

High current  
witness bunch  
on-axis: **trapping**

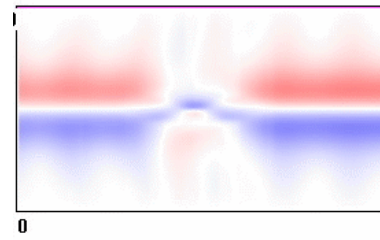
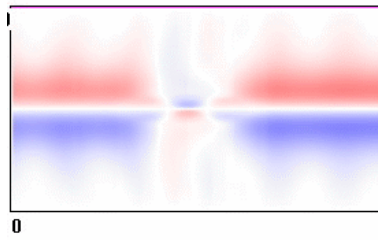
High current  
witness bunch  
off-axis: **bunch lost**

Donut-shaped  
proton bunch:  
**trapping**

Protons



B-field



witness  
bunch





- Theory and simulations support the experimental developments
- Transition from side injection to on-axis injection: simulated and theoretically explained
- Plasma density non-uniformity effects being studied
- The issue of vacuum-plasma transition region has been identified and solutions proposed

- Assmann, R. et al. *Proton-driven plasma wakefield acceleration: a path to the future of high-energy particle physics*  
PLASMA PHYSICS AND CONTROLLED FUSION **56**, 084013 (2014)
- Tueckmantel, T.; Pukhov, A *H-VLPL: A three-dimensional relativistic PIC/fluid hybrid code*  
JOURNAL OF COMPUTATIONAL PHYSICS **269** , Pages: 168-180 (2014)
- Lotov, K. V et al. Electron trapping and acceleration by the plasma wakefield of a self-modulating proton beam  
PHYSICS OF PLASMAS **21**, 123116 (2014)
- Lotov, K. V.; Pukhov, A.; Caldwell, A. Effect of plasma inhomogeneity on plasma wakefield acceleration driven by long bunches  
PHYSICS OF PLASMAS **20**, 013102 (2013)