# Limits on Weak Gauge-Breaking Operators of Effective Field Theories for the LHC, and Dick 

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## FIRST, SOME DICK ARNOWITT REMARKS ... e.g.,

Dirac's constrained Ham'n systems $\rightarrow$ ArnowittDM $\rightarrow$ Loop-QG, and what was presented this morning - SuperGravity, with P. Nath

Secondly, my physics talk on Weak-Breaking EFTs for the LHC!

A Concrete Example:
Bai and Tait (1208.4361, PLB) proposed a simple weak-gauge breaking dark matter (DM)-production operator for the LHC:
$\frac{1}{\Lambda^{2}}\left(\bar{\chi} \gamma^{\mu} \chi\right)\left(\bar{u} \gamma_{\mu} u+\xi \bar{d} \gamma_{\mu} d\right)$
At the parton level, this operator characterizes the process $u\left(p_{1}\right) \bar{d}\left(p_{2}\right) \rightarrow \chi\left(k_{1}\right) \bar{\chi}\left(k_{2}\right)+W^{+}(q)$, as shown in the diagrams


Figure: $M_{1}$ and $M_{2}$ amplitudes for the mono- $W$ process $u\left(p_{1}\right) \bar{d}\left(p_{2}\right) \rightarrow \chi\left(k_{1}\right) \bar{\chi}\left(k_{2}\right) W^{+}(q)$, in the effective field theory framework.

Bai and Tait noted that the mono-W process is unique among the mono-X processes, in its ability to probe different DM couplings to $u$ and $d$ quarks.
( Mono-Z initiated in Bell, Dent, et al., 1209.0231 and PRD.)
Their operator is very popular (many citations), and has been used by ATLAS and CMS in analysis and publication:

- G. Aad et al. (ATLAS Collaboration), Phys.Rev.Lett. 112, 041802 (2014), arXiv:1309.4017 [hep-ex]
- G. Aad et al. (ATLAS Collaboration), JHEP 1409, 037 (2014), arXiv:1407.7494 [hep-ex]
- V. Khachatryan et al. (CMS Collaboration), (2014), arXiv:1408.2745 [hep-ex]

Clearly, the operators are $S U(2)_{L^{-}}$-invariant $(u \leftrightarrow d)$ only for $\xi=1$. For general $\xi$, it is NON-invariant.
Their claim is that for $\xi<1$, there is an interference between $M_{1}$ and $M_{2}$ which can greatly enhance the rate of DM production.
As I will now explain, we see this differently:

1. The $\left(\frac{\text { vev }}{\Lambda}\right)^{n}$ suppression.
2. The Ward identity.
3. The role of the longitudinal mode $W_{\text {Long }}$.
4. Goldstone boson equivalence.
5. Leading $s$ behavior and unitarity.

Our arguments apply to all weak gauge NON-Invariant operators.
Examples are $(\bar{\chi}\ulcorner\chi) \times$
(a) for scalar mediators (integrated out), $\left(\overline{u_{L}} u_{R}+\overline{u_{R}} u_{L}\right)$, independent of $(u \rightarrow d)$;
(b) for vector mediators (integrated), $\left(\overline{u_{L}} \gamma^{\mu} u_{L}+\overline{u_{R}} \gamma^{\mu} u_{R}\right)$

$$
\text { if } \neq(u \rightarrow d)
$$

(Nuclear isospin-breaking models for direct detection (keV) OK.)

1. The $\left(\frac{\text { vev }}{\Lambda}\right)^{n}$ suppression.

Operators which are non-invariant under the weak symmetry must vanish as the weak vev $\rightarrow 0$. Thus, there is one or more implicit powers of vev in the operator ("Wilson") coefficient.
Thus, there is a suppression $\left(\frac{\text { vev }}{\Lambda}\right)^{n}$,
making the operator
(i) compete in magnitude with higher dim ops;
(ii) significantly suppressed above the EW scale $\sim v e v \sim 250 \mathrm{GeV}$.

For example, the ( $\left.\overline{u_{L}} u_{R}+\overline{u_{R}} u_{L}\right)$ operator is manifestly not $S U(2)_{L}$ invariant, as $u_{L}$ is a weak doublet and $u_{R}$ is a singlet. This costs one power of $\left(\frac{\mathrm{vev}}{\Lambda}\right)$.
As another example, $\left(\bar{u}_{L} \gamma^{\mu} u_{L}+\overline{u_{R}} \gamma^{\mu} u_{R}\right) \neq\left(u_{L} \rightarrow d_{L}\right)$ costs two powers of $\left(\frac{\mathrm{vev}}{\Lambda}\right)$, one for each mismatched $u \leftrightarrow d$.

The vev serves to instill quantum numbers of a weak doublet.

The equivalence of the vev as a weak doublet happens because the $v e v$ is a remnant of the $S U(2)_{L}$ scalar doublet

$$
\begin{equation*}
\Phi \equiv\binom{\phi^{+}}{\phi^{0}=\frac{1}{\sqrt{2}}\left(H+v e v+i \Im \phi^{0}\right)} . \tag{1}
\end{equation*}
$$

Enough powers of $\Phi$ are required to form an $S U(2)_{L}$-invariant operator. The fields $\phi^{ \pm}$and $\Im \phi$ are gauged away to become, in unitary gauge, the longitudinal modes of the $W^{ \pm}$and $Z$. So, it is the real, neutral field $\frac{1}{\sqrt{2}}(H+v e v)$ whose $n^{\text {th }}$ power appears in the operator. Commonly, the $H$ part of the expression is omitted, leaving just an implicit vev ${ }^{n}$ in the coefficient. Dimensionally, the $\operatorname{vev}^{n}$ comes with a $\Lambda^{-n}$.

We remark that omission of the $H$ part in the operator may ignore some interesting phenomenology.
2. The Ward identity.

Since the two amplitudes of Figure 1 , with $\xi \neq 1$, are not gauge invariant, they will not satisfy the relevant Ward Identity.

For the sum of the mono- $W$ amplitudes of Fig. 1 we find

$$
\begin{equation*}
q_{\alpha} \mathcal{M}^{\alpha}=\frac{g_{W}}{\Lambda^{2}}\left[\bar{v}\left(p_{2}\right)(1-\xi) \gamma^{\mu} \frac{P_{L}}{\sqrt{2}} u\left(p_{1}\right)\right]\left[\bar{u}\left(k_{1}\right) \gamma_{\mu} v\left(k_{2}\right)\right] \tag{2}
\end{equation*}
$$

which clearly vanishes only for $\xi=1$.
3. The role of the longitudinal mode, $W_{\text {Long }}$.

The three polarization vectors for the W or Z bosons are orthogonal to the particle four-momentum, $q^{\mu}=\left(q^{0} ; 0,0,|\vec{q}|\right)$. We may choose this basis to be $\epsilon_{T x}^{\mu}=(0 ; 1,0,0),, \epsilon_{T y}^{\mu}=(0 ; 0,1,0$,$) ,$ and $\epsilon_{\text {Long }}^{\mu}=\left(|\vec{q}| ; 0,0, q^{0},\right) / M_{V}$. The polarization sum for the vector bosons is $\sum_{\lambda} \epsilon_{\alpha}^{\lambda} \epsilon_{\beta}^{\lambda *}=-g_{\alpha \beta}+\frac{q_{\alpha} q_{\beta}}{m_{W}^{2}}$. The contribution of the transverse components are straightforward, leaving the subtlety, the $\frac{q_{\alpha} q_{\beta}}{m_{W}^{2}}$ term, to subtract an unphysical piece from $g_{\alpha \beta}$ that arises from the growing longitudinal mode. In fact, this longitudinal mode may be written as $\epsilon_{\text {Long }}^{\mu}=\left(\frac{|\vec{q}| ; 0,0, q^{0},}{M_{V}}\right)=\frac{q^{\mu}}{M_{V}}-\left(\frac{M_{V}}{q^{0}+|\vec{q}|}\right)(1 ; 0,0,-1)$ which makes it clear that at high-energy, $\epsilon_{\text {Long }}^{\mu}$ approaches $\left(\frac{q^{\mu}}{M_{V}}\right)$.

This result leads to two important observations:
(i) Very little high-energy longitudinal W is included in the previous operator, since current conservation argues against a $q^{\mu}$ insertion onto an external fermion leg, for any value of $\xi$.

So why does the operator calculation get a large enhancement? Precisely because the polarization sum $\sum_{\lambda} \epsilon_{\alpha}^{\lambda} \epsilon_{\beta}^{\lambda *}=-g_{\alpha \beta}+\frac{q_{\alpha} q_{\beta}}{m_{W}^{2}}$ is used, incorrectly, for what should be a sum on just two transverse polarizations, $\sum_{\mathrm{T}} \epsilon_{\alpha}^{\mathrm{T}} \epsilon_{\beta}^{\mathrm{T}} *=\operatorname{diag}(0,1,1,0)$.
For $\xi=1$, gauge invariance leads to the correct cancellations to allow the previous insertion, but for $\xi \neq 1$, just the sum on transverse polarizations should be used.
(ii) Gauge invariance as given by a UV completion would yield a growing longitudinal $W$ mode, but it would come from an internal brehmstrahlung process, as shown in a UV-completion model with an $\operatorname{SU}(2)$-doublet, scalar particle called $\eta$, which must carry the same quantum numbers, color triplet, weak doublet, hyper charge $1 / 6$, as the $Q_{L}$ doublet:


Figure : Contributions to the mono- $W$ process in a UV complete model.

The internally bream'd vector $W$ must couple derivatively to the $\eta$, which means it will couple to the mass-squared difference of the two components of the $\eta$-doublet, which in turn means proportional to the weak $\operatorname{vev}^{2}$. It all works out, but now with the additional $\left(\frac{\mathrm{vev}}{\Lambda}\right)^{2}$ suppression.

BTW, a mass splitting of the $\eta$ components is easily arranged in the model, via the term $\lambda_{4}\left(\Phi^{\dagger} \eta\right)\left(\eta^{\dagger} \Phi\right)$, which upon SSB becomes $\lambda_{4} \frac{v e v^{2}}{2} \eta_{d}^{2}$.
In this UV-completed, renormalizable model, $\xi=\left(1+\lambda_{4} \frac{\mathrm{Vev}^{2}}{2}\right)^{-1}$, which shows that negative $\xi$ is not possible in this model, and more importantly, that for $\Lambda \gtrsim 1 \mathrm{TeV}$ and a perturbative value for $\lambda_{4}, \xi$ will not deviate far from 1 .
(In progress, a look at mixing of new neutral vector with the ( $Z^{\prime}, \gamma$ ) system, in spirit of theorem that in contrast to scalars, vectors can be repulsive as well as attractive; may get negative contribution to $\xi$, but small compared to $\mathrm{SM} Z$ )

## 4. Goldstone Boson Equivalence Theorem:

At high energy, the Goldstone boson equivalence theorem requires that the amplitude for emission of a longitudinally polarized $W_{L}$ is equivalent to that for the emission of the corresponding Goldstone boson. Since the Goldstone boson couples to quarks with strength proportional to their mass, these terms are close to zero. The Ward identity for the longitudinal $W$ at high energy therefore takes the form $\left(\epsilon_{\alpha}^{L} \approx \frac{q_{\alpha}}{m_{W}}\right)$

$$
\begin{equation*}
\epsilon_{\alpha}^{L} \mathcal{M}^{\alpha} \approx \frac{q_{\alpha}}{m_{W}} \mathcal{M}^{\alpha}(q, \ldots)=i \mathcal{M}\left(\phi^{+}(q)\right) \simeq 0 \tag{3}
\end{equation*}
$$

which says $W_{L}$ decouples. Not what we have seen (\#2 above).
5. Leading $s$ behavior and unitarity.

The Optical Theorem (e.g., Itzykson and Zuber) implies that asymptotically, $\left|M_{\text {elastic }}(s)\right|<16 \pi \frac{t}{t_{0}}(\ln (s))^{2}$. Froissart generalized this result to the total cross section. So eventually, an $s^{2}$ behavior, coming from the longitudinal W mode in a gauge non-invariant model, cannot be sustained.

The issue is, when in energy does the EFT break down. I show some plots relevant to this issue (for simplicity, we have taken $\frac{M_{x}^{2}}{s}$ to be zero):


Figure : Total parton-level cross sections versus energy, for $\Lambda=600 \mathrm{GeV}$ and various $\xi$.

Left: contribution from the $+q_{\alpha} q_{\beta} / M_{W}^{2}$ term in the polarization sum.
The cross section scales simply as $(1-\xi)^{2}$.
Right: contribution from the $-g_{\alpha \beta}$ term. At LHC energies, the $q_{\alpha} q_{\beta} / M_{W}^{2}$ term dominates unless $\xi \simeq 1$.

The separation into $\sigma_{1}$ and $\sigma_{2}$ is shown in the unitary gauge. Notice the differing vertical scales between the two panels. The " $W_{L}$ " term way dominates.


Figure : Total parton-level cross sections for $\Lambda=600 \mathrm{GeV}$, for particular choices of $\xi$. Solid lines are the analytic calculation and dots are the MadGraph calculation.

We find for the leading behavior in $s$, $\sigma_{\text {TOT }}=\sigma_{1}+\sigma_{2} \rightarrow \frac{s}{\Lambda^{4}}\left(1+(1-\xi)^{2} \frac{s}{M_{W}^{2}}\right)$, with the extra power in $s$ coming from $\sigma_{2}$, i.e. from the longitudinal mode of the $W$.

It is this extra power of $s$ that warns us that the weak gauge-violating operator with $\xi \neq 1$ is to be cut off at the weak scale, here, $s \sim M_{W}^{2}$.

For pp physics at the LHC, this would imply cutting off parton fractional energies above $x_{1} x_{2} \sim \frac{M_{W}^{2}}{S_{L H C}}$, i.e. at $\sim 10^{-4}$ for the $\sqrt{s}=7 \mathrm{TeV}$ run, and at 4 times less for the $\sqrt{s}=13 \mathrm{TeV}$ run.

In summary, we have argued that any $S U(2)$-violating difference in the $u$ and $d$ quark couplings must be protected by the EW scale, by $\left(\frac{v}{\Lambda}\right)^{n}$, and therefore cannot be arbitrarily large.

Furthermore, we have shown that spurious terms associated with the longitudinal mode of the $W$ would grow large enough to violate unitarity at high-energies. But even at lower energy, their presence appears to be problematic for the Ward identity. These spurious longitudinal $W$ couplings are avoided only by using a renormalizable UV-completed theory, rather than weak gauge-breaking EFT operators. Guessed-EFT ops may not represent any UV-complete model !

## PROLOGUE:

Via internal meetings, as of April 2015,
ATLAS and CMS have adopted a new protocol for LHC searches -
Simple, UV-Complete Models, rather than Effective Operators.

