

# Neutrino Mixing and the Search for CP Violation

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HEP 2015

Developments in High Energy Physics and Cosmology

Athens, 16 April 2015

# A century of elusive neutrinos

- **1930**: proposed by Pauli
  - 1934: beta decay theory, including neutrino, by Fermi
- **1956**: observation of the neutrino (Reines, Cowan)
- 1957: the neutrino is left-handed (Goldhaber)
- **1962**: muon-neutrino discovery at the AGS (Brookhaven)
- 1963: neutrino mixing proposed by Pontecorvo, Nakagawa (PMNS)
- **1968**: solar neutrino problem in Davis experiment first results
- 1973:  $\nu$ -e elastic scattering at CERN (discovery of neutral currents)
- 1999: Super-Kamiokande establishes atmospheric neutrino oscillations
- **2001**: SNO establishes solar neutrino oscillations
- 2003-05: KAMLAND & K2K observe man-made neutrino oscillations
- **2012-13**: T2K, Daya Bay, RENO establish large  $\theta_{13}$
- **2015-20**: T2K, NoVA searching for MH, evidence of CPV
- **2025-35**: DUNE, T2HK to discover CPV, measure “unitarity triangle”

# Neutrinos: facts and open questions

- ✓ No neutrino mass term (mechanism) in Standard Model
- ✓ 3 mass eigenstates ( $\nu_1, \nu_2, \nu_3$ ) mixing into 3 WI eigenstates ( $\nu_e, \nu_\mu, \nu_\tau$ )
- ? Unitarity of mixing matrix – extra states (steriles)
- ? Mass Hierarchy (MH)
- ? CP Violation
- ?  $\theta_{23}$  exactly  $45^\circ$ ; octant
- Baryon Asymmetry: **Leptogenesis?**
- Inflation and Unification (coincidences?):
  - Seesaw, heavy neutrinos  $\sim 10^{14}$  GeV
  - Inflation field  $\sim 10^{13}$  GeV
  - Interaction unification  $\sim 10^{16}$  GeV

# 3 neutrino mixing

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{bmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

flavour states                      PMNS matrix                      mass states

$$U_{PMNS} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & C_{23} & S_{23} \\ 0 & -S_{23} & C_{23} \end{bmatrix} \begin{bmatrix} C_{13} & 0 & S_{13}e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -S_{13}e^{+i\delta_{CP}} & 0 & C_{13} \end{bmatrix} \begin{bmatrix} C_{12} & S_{12} & 0 \\ -S_{12} & C_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Atmospheric,                       $\nu_\mu$  Long BL,                      Solar,  
 $\nu_\mu$  Long BL                      reactor Short BL                      reactor Long BL

$C_{ij}$  ( $S_{ij}$ ) represent  $\cos\theta_{ij}$  ( $\sin\theta_{ij}$ ),  $\delta_{CP}$  the CP violating phase  
 Majorana phases ignored



# Neutrino oscillation probability

- **2-neutrino mixing**  
In vacuum (no matter effect)  $P(\nu_\alpha \rightarrow \nu_\beta, t) = \sin^2 2\theta \sin^2 \left( \frac{\Delta m^2}{4E} L \right)$

Amplitude determined by mixing angle  $\theta$

Frequency determined by mass splitting  $\Delta m$  and  $L/E$

- **3-neutrino mixing including matter effect**

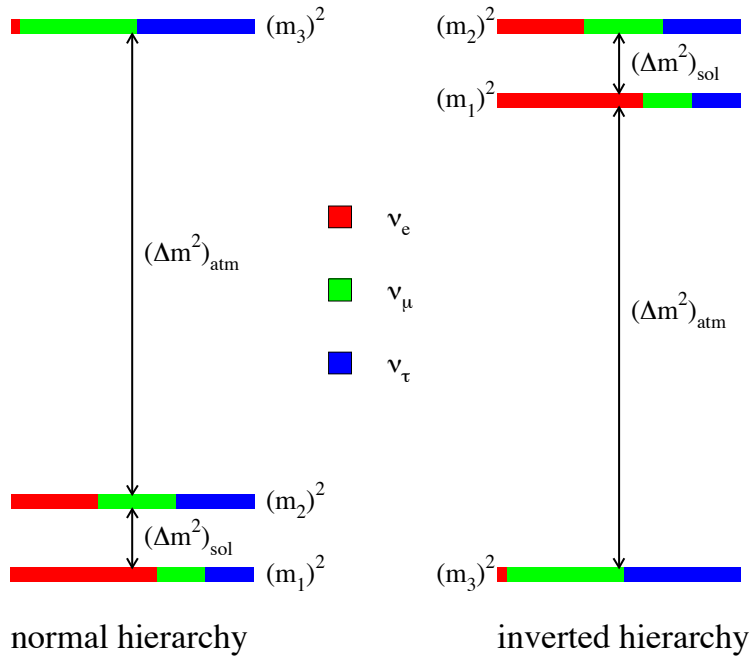
$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) = & 4C_{13}^2 S_{13}^2 S_{23}^2 \sin^2 \Phi_{31} (1 + \frac{2a}{\Delta m_{31}^2} (1 - 2S_{13}^2)) \\
 & + 8C_{13}^2 S_{12} S_{13} S_{23} (C_{12} C_{23} \cos \delta_{CP} - S_{12} S_{13} S_{23}) \cos \Phi_{32} \sin \Phi_{31} \sin \Phi_{21} \\
 & - 8C_{13}^2 C_{12} C_{23} S_{12} S_{13} S_{23} \sin \delta_{CP} \sin \Phi_{32} \sin \Phi_{31} \sin \Phi_{21} \\
 & + 4S_{12}^2 C_{13}^2 (C_{12}^2 C_{23}^2 + S_{12}^2 S_{23}^2 S_{13}^2 - 2C_{12} C_{23} S_{12} S_{23} S_{13} \cos \delta_{CP}) \sin^2 \Phi_{21} \\
 & - 8C_{13}^2 S_{13}^2 S_{23}^2 (1 - 2S_{13}^2) \frac{aL}{4E_\nu} \cos \Phi_{32} \sin \Phi_{31},
 \end{aligned}$$

---


$$\Phi_{ji} = \Delta m_{ji}^2 L / 4E_\nu$$

$$a \equiv 2\sqrt{2}G_F n_e E_\nu$$

# Mass hierarchy



We know:

$$m_1^2 < m_2^2$$

$$m_2^2 - m_1^2 \ll |m_3^2 - m_{1,2}^2|$$

Oscillations sensitive to  $|\Delta m^2|$

Matter effect in the Sun determines  
sign of  $\Delta m_{12}^2$

MH sensitivity of Long BL  
experiments improves with  
distance (DUNE > T2HK)

$$\nu_e = U_{e1} \nu_1 + U_{e2} \nu_2 + U_{e3} \nu_3$$

# Neutrino oscillation experiments

Reactor SBL:  $\theta_{13}$ 

Daya Bay, Reno, D-Chooz

T2K

Accelerator LBL:  $\theta_{13}$ , (MH,  $\delta_{CP}$ )

NOvA

2015

2020

2025

2030

2035

## PINGU, ORCA

MH

# JUNO

Reactor LBL: MH

## DUNE, T2HK

Accelerator LBL: MH,  $\delta_{CP}$ 

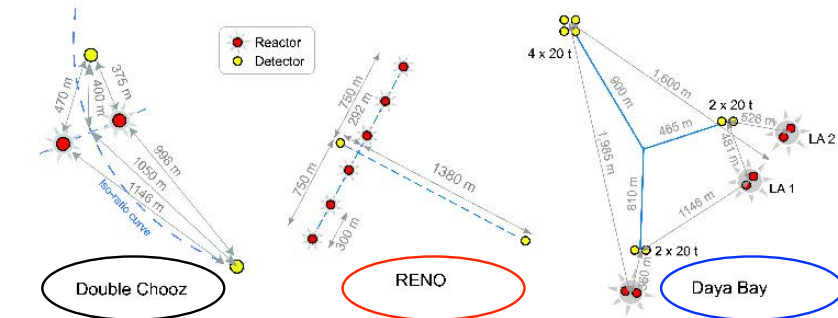
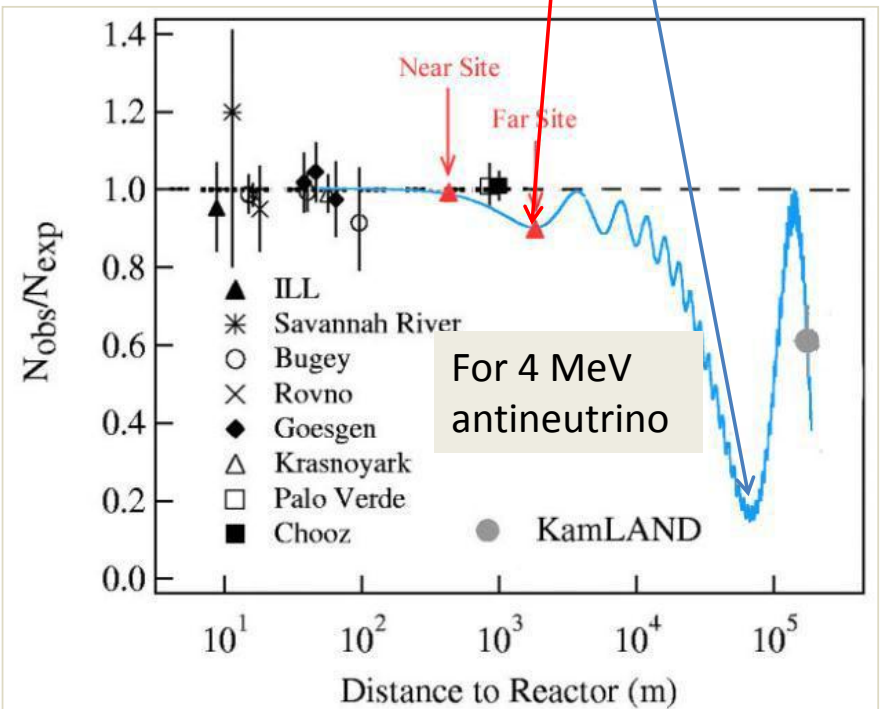
FNAL SBL

Steriles, Nu. Int.

# Reactor Short BaseLine experiments

- 2-neutrino mixing ( $\nu_e$  disappearance)
- No parameter degeneracy
- Clean measurement of  $\theta_{13}$
- Liquid scintillator
  - Gd doping: increases neutron capture efficiency
- High statistics
- Near & Far detectors: control systematics

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) = 1 - \sin^2 2\theta_{13} \sin^2\left(\frac{\Delta m_{ee}^2 L}{4E_\nu}\right) - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2\left(\frac{\Delta m_{21}^2 L}{4E_\nu}\right)$$

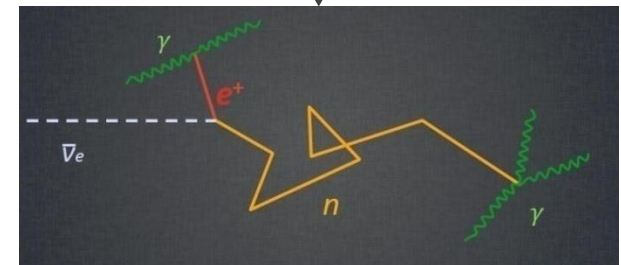
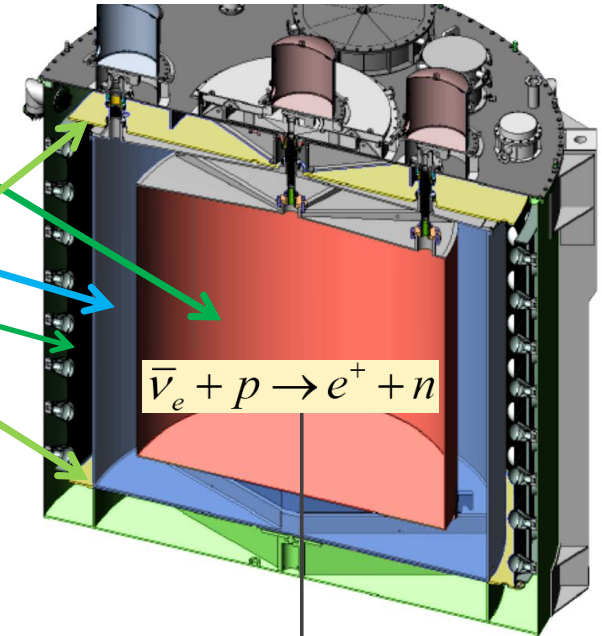


# A Daya Bay detector

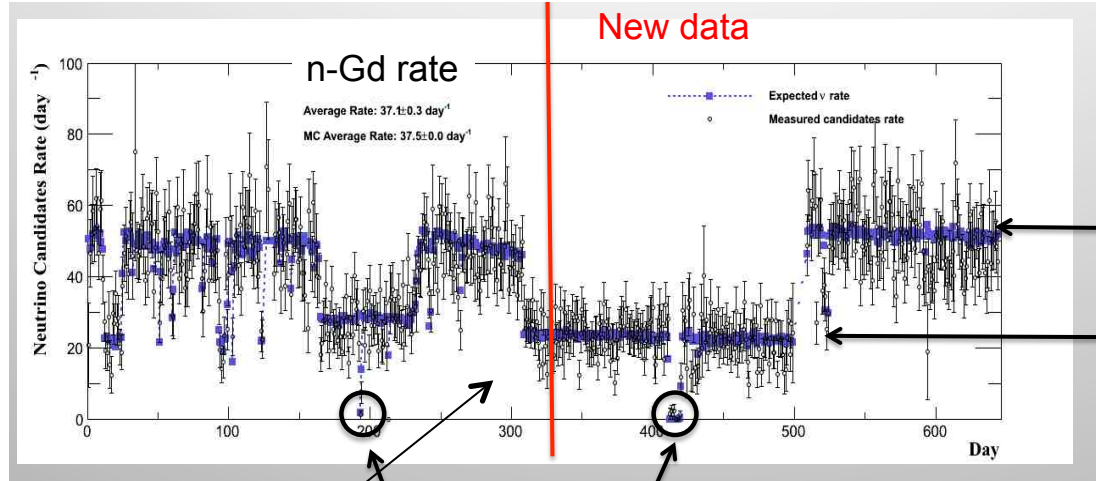
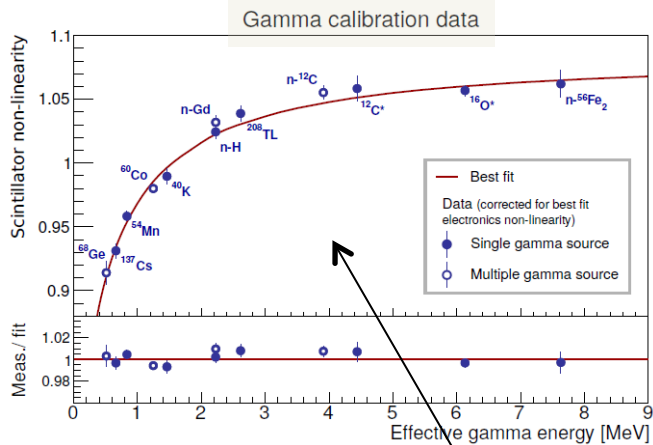
- Three zones structure:
  - Target: 20 t 0.1% Gd-loaded scintillator
  - $\gamma$ -catcher: 20 t scintillator
  - Buffer shielding: mineral oil
- Top and bottom optical reflectors double the photon coverage.
- 192 8'' PMTs collect  $\sim 160$  p.e./MeV

8 identically designed detectors to reduce systematic uncertainties

$$\frac{N_f}{N_n} = \left( \frac{N_{p,f}}{N_{p,n}} \right) \left( \frac{L_n}{L_f} \right)^2 \left( \frac{\epsilon_f}{\epsilon_n} \right) \left[ \frac{P_{\text{sur}}(E, L_f)}{P_{\text{sur}}(E, L_n)} \right]$$



# Reactor experiment systematics control

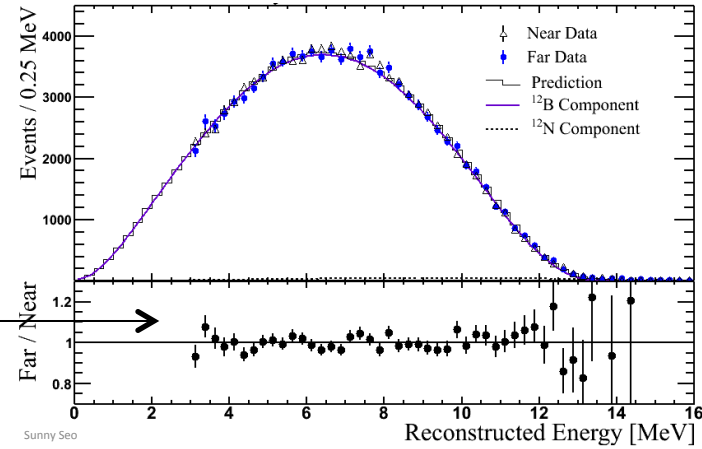
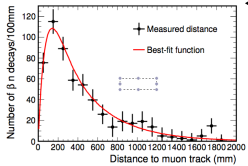
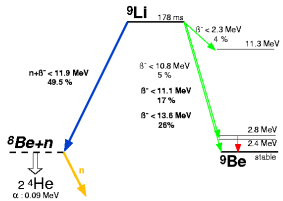


## Energy non-linearity, Daya Bay

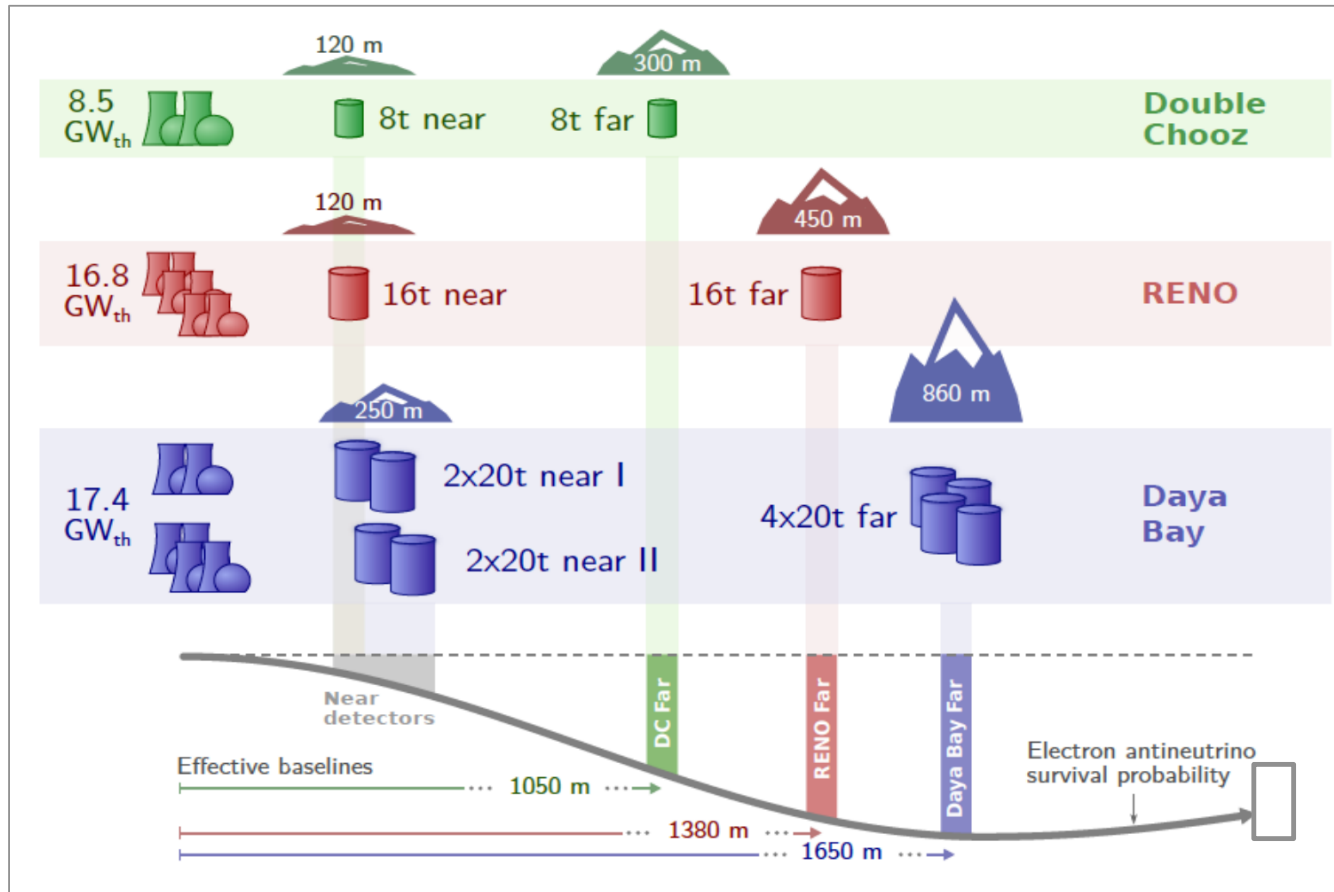
## Rate vs reactor power, D-Chooz

- Cosmogenics:  $^9\text{Li}$ , D-Chooz

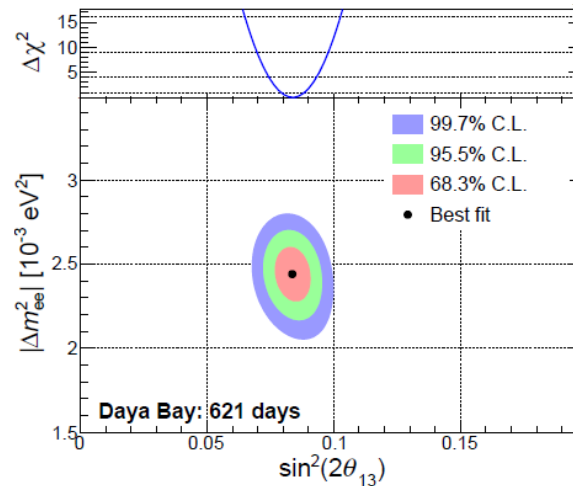
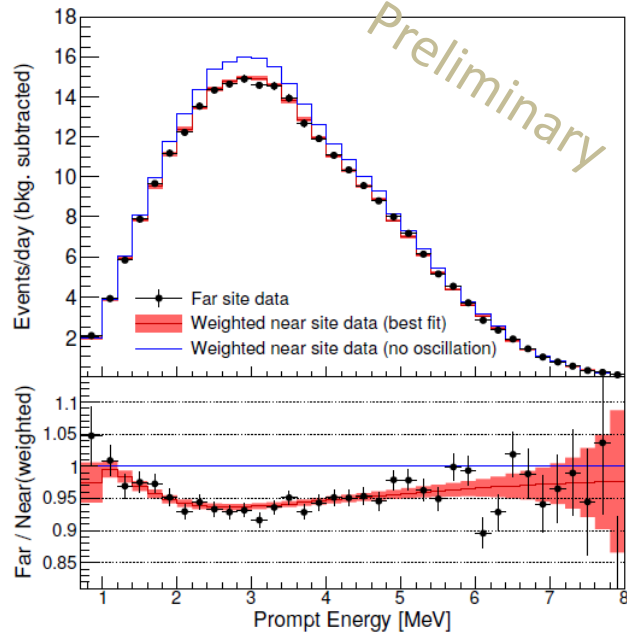
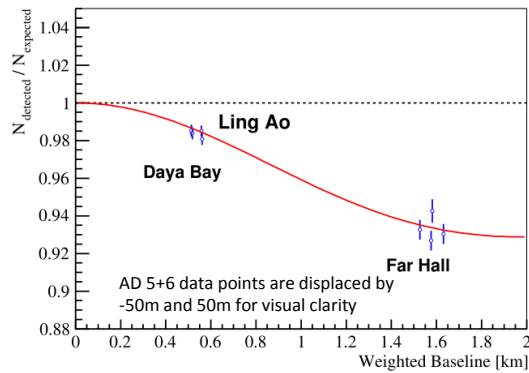
## Far-Near efficiency, $^{12}\text{B}$ spectrum, RENO



# Reactor measurements of $\theta_{13}$



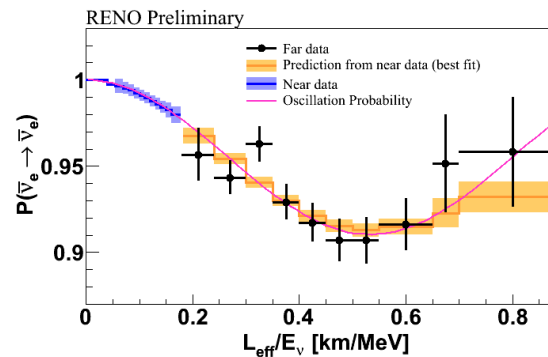
# Reactor results



$$\sin^2 2\theta_{13} = 0.084^{+0.005}_{-0.005}$$

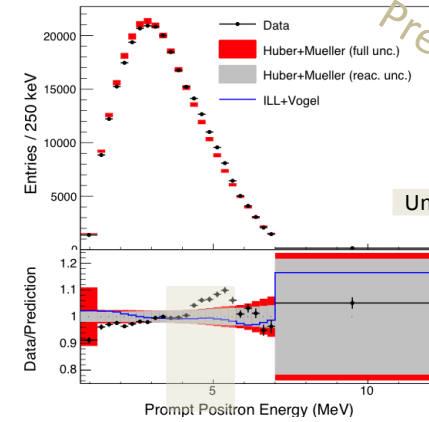
$$|\Delta m^2_{ee}| = 2.44^{+0.10}_{-0.11} \times 10^{-3} (\text{eV}^2)$$

$$\chi^2 / \text{NDF} = 134.7 / 146$$

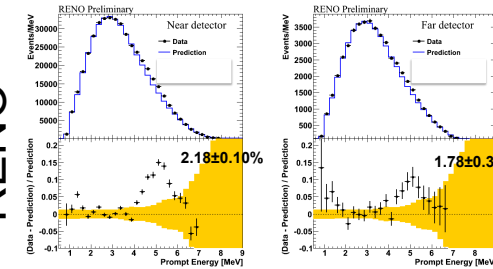


## 5MeV bump

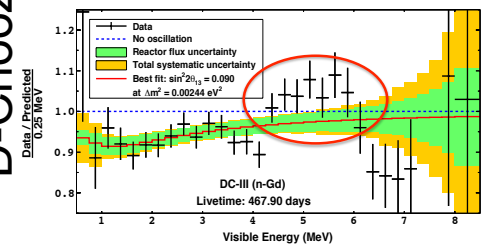
Daya Bay



RENO



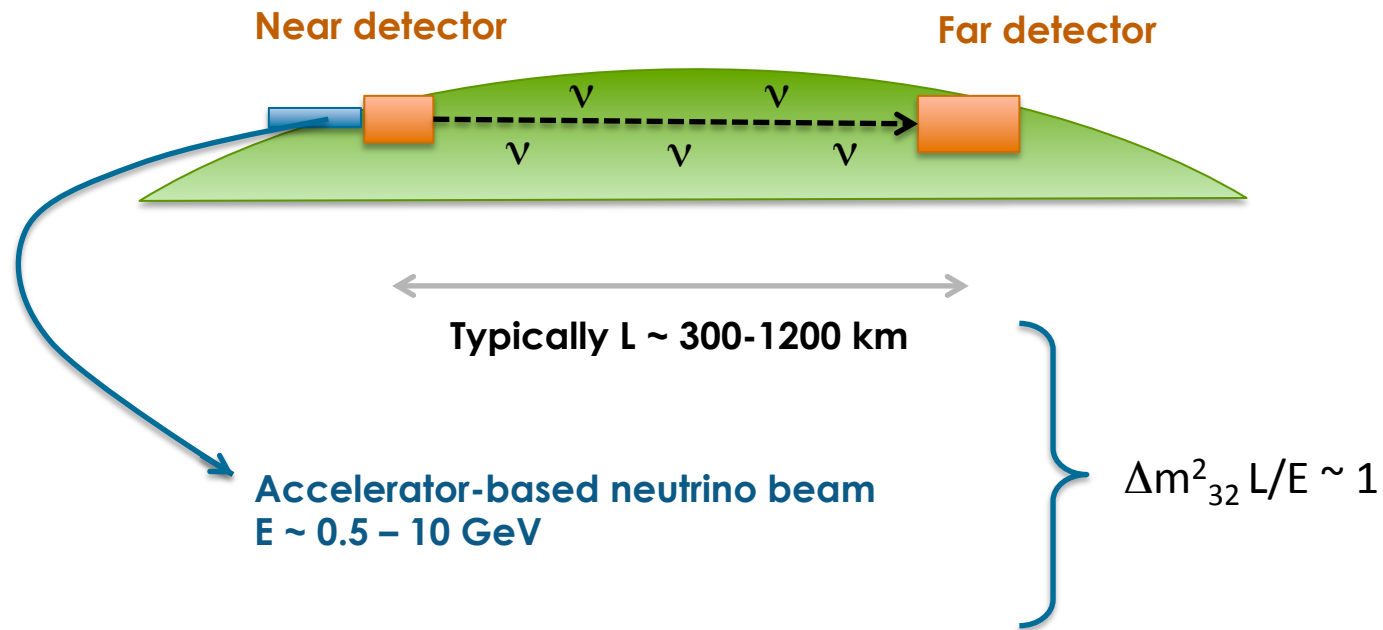
D-Chooz



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# Accelerator Long BaseLine experiments

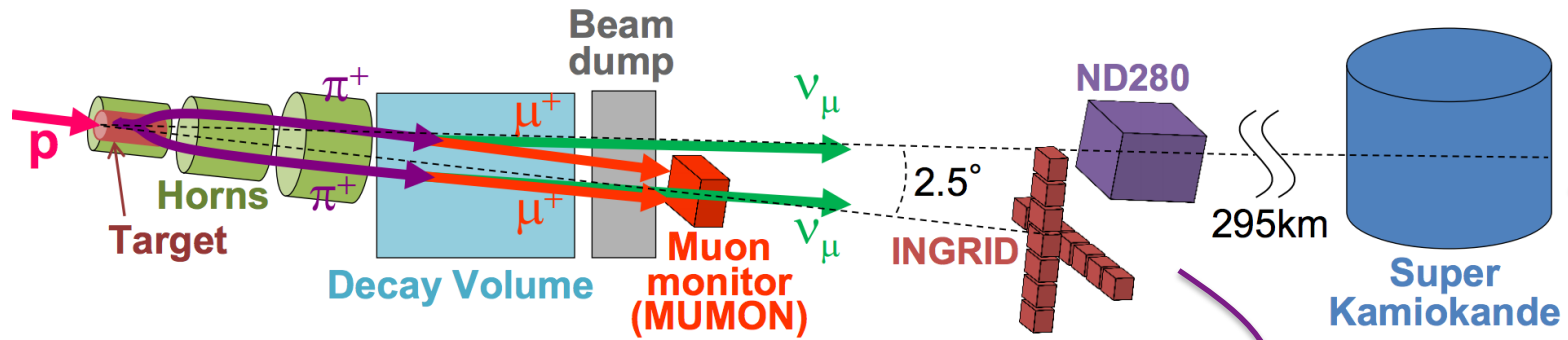


**Two types of oscillation searches:**

**$\nu_\mu (\rightarrow \nu_\tau)$  disappearance:**  $\theta_{23}$

**$\nu_e$  appearance:** sensitivity to  $\theta_{13}$  and  $\delta_{CP}$ , mass hierarchy, through sub-leading terms in the appearance oscillation probability ( $\propto L/E$ ) and matter effect ( $\propto L \times E$ )

# T2K



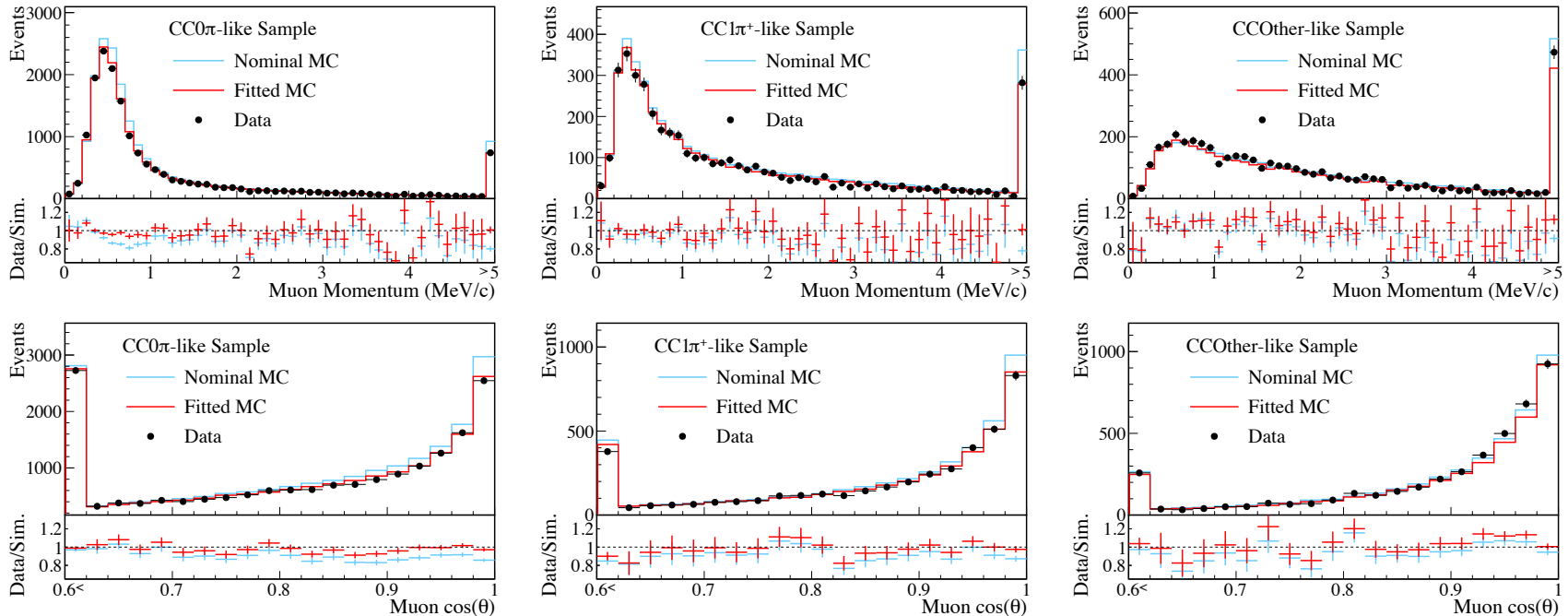
- The first off-axis long-baseline neutrino oscillation experiment
- Narrow band beam, peak  $E = 600$  MeV,  $L = 295$  km (matter effect correction of order 5%)

Near detector complex: INGRID (on-axis) and ND280 (off-axis) constrain neutrino flux and cross-section.

Far detector: Super-K is a 50kton Water Cherenkov capable of efficient muon/electron discrimination.

**Searches for  $\nu_\mu$  disappearance and  $\nu_e$  appearance  
with sensitivity to  $\theta_{13}$ ,  $\delta_{CP}$ .**

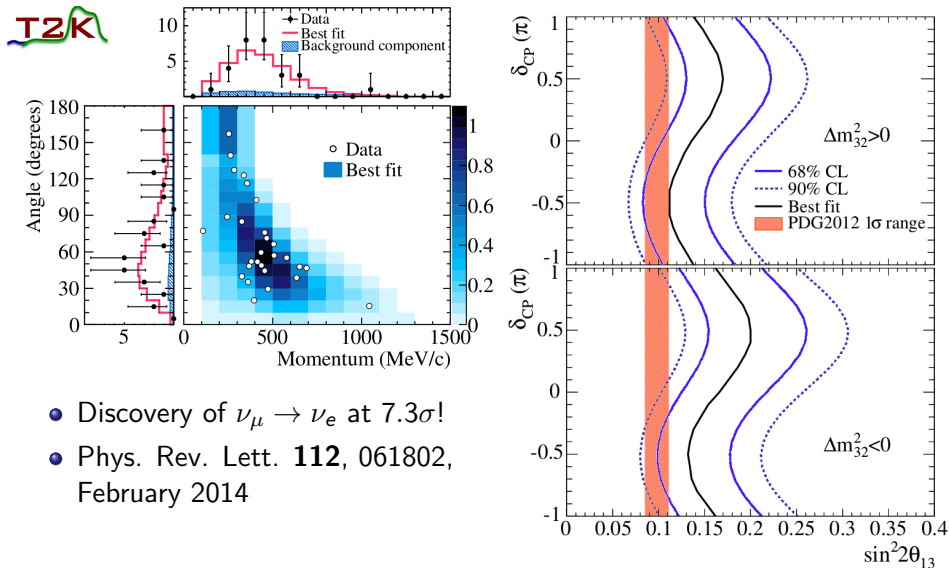
# T2K: the power of the Near Detector



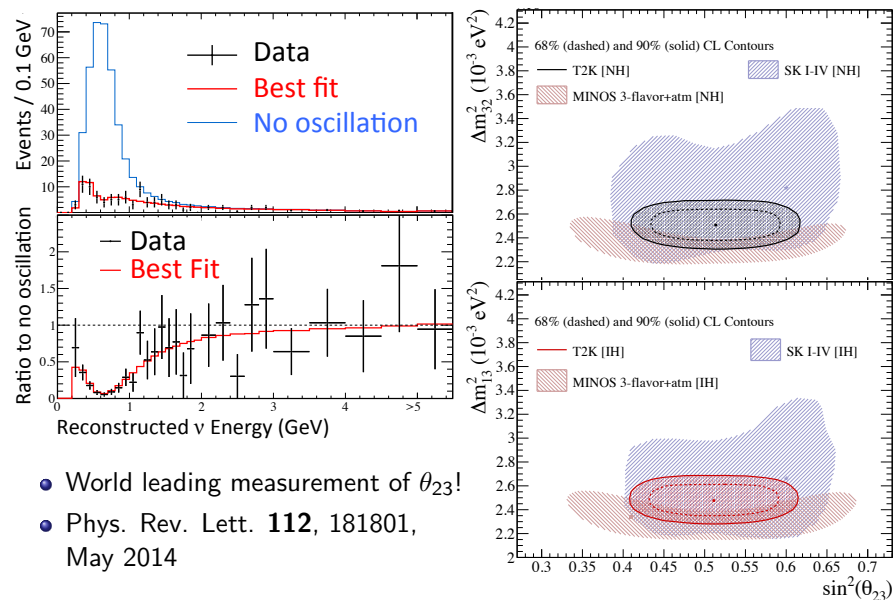
Constrain rate (flux  $\times$  cross section) and cross section parameters with ND data

Correlation between energy/samples taken into account

$\nu_e$  at SK can be constrained by  $\nu_\mu$  at ND (same parent particle)



- Discovery of  $\nu_\mu \rightarrow \nu_e$  at  $7.3\sigma$ !
- Phys. Rev. Lett. **112**, 061802, February 2014



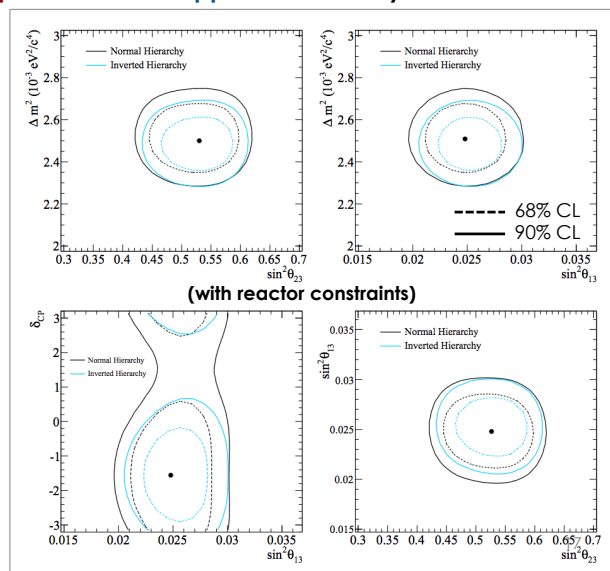
- World leading measurement of  $\theta_{23}$ !
- Phys. Rev. Lett. **112**, 181801, May 2014

## Combined appearance + disappearance analysis!

[arXiv:1502.01550]  
2010-2013 data runs:  
10% of total expected data

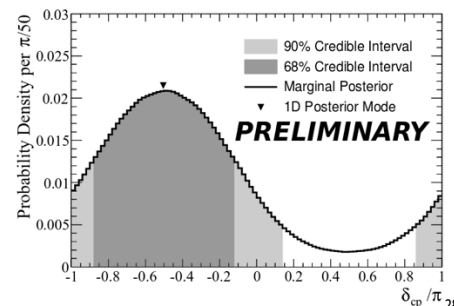
Simultaneous  $\nu_\mu$  and  $\nu_e$  fit under a **three-flavor oscillation hypothesis**:  
**Vary  $\delta_{CP}$ ,  $\Delta m_{32}^2$ ,  $\theta_{13}$ ,  $\theta_{23}$ .**  
Frequentist approach.

Combined with reactor experiments: hints toward  $\delta_{CP} = -\pi/2$



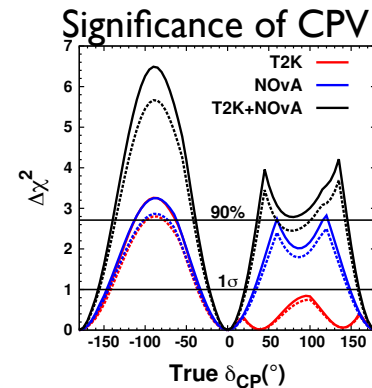
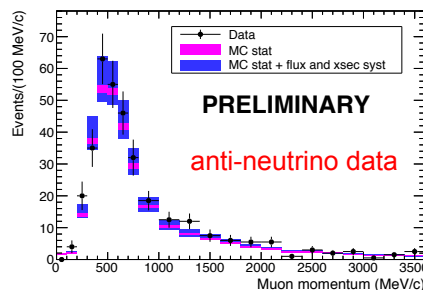
## Bayesian probability

	NH	IH	Sum
$\sin^2 \theta_{23} \leq 0.5$	0.179	0.078	0.257
$\sin^2 \theta_{23} > 0.5$	0.505	0.238	0.743
Sum	0.684	0.316	1.0



# T2K status & outlook

- Last run: stable beam at 320kW
- Plan for 2015: 350kW
- Recently exceeded integrated  $10^{21}$  POT (approved for  $8 \times 10^{21}$ )
- So far 70% neutrinos, 30% antineutrinos
- Aim for summer 2015: antineutrino oscillation with  $\sim 5 \times 10^{20}$  POT
- Continue to 2021, search for CP Violation with up to  $2.5\sigma$  sensitivity
- Combine with NOvA and SK to increase reach



# MINOS/MINOS+ recent results

[arXiv:1502.07715]

## New results from a three-flavor combined disappearance and appearance analysis

Beam and atmospheric neutrino data

Best fit (IH):

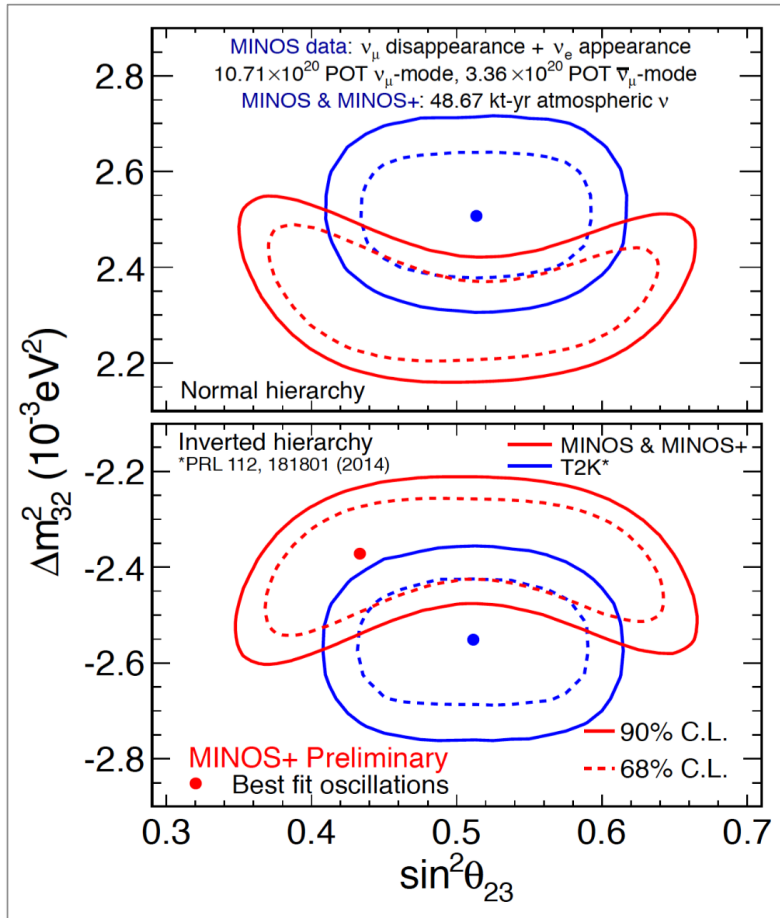
$$|\Delta m_{32}^2| = 2.37^{+0.11}_{-0.07} \times 10^{-3} \text{ eV}^2$$

$$\sin^2 \theta_{23} = 0.43^{+0.19}_{-0.05}$$

Most precise  $|\Delta m_{32}^2|$  measurement!

Consistent with maximal mixing.

Marginal preference for inverted hierarchy, lower octant of  $\theta_{23}$ .



# NOvA

$E \approx 2 \text{ GeV}$ ,  $L = 810 \text{ km}$

## Physics goals:

$\nu_e$  appearance:

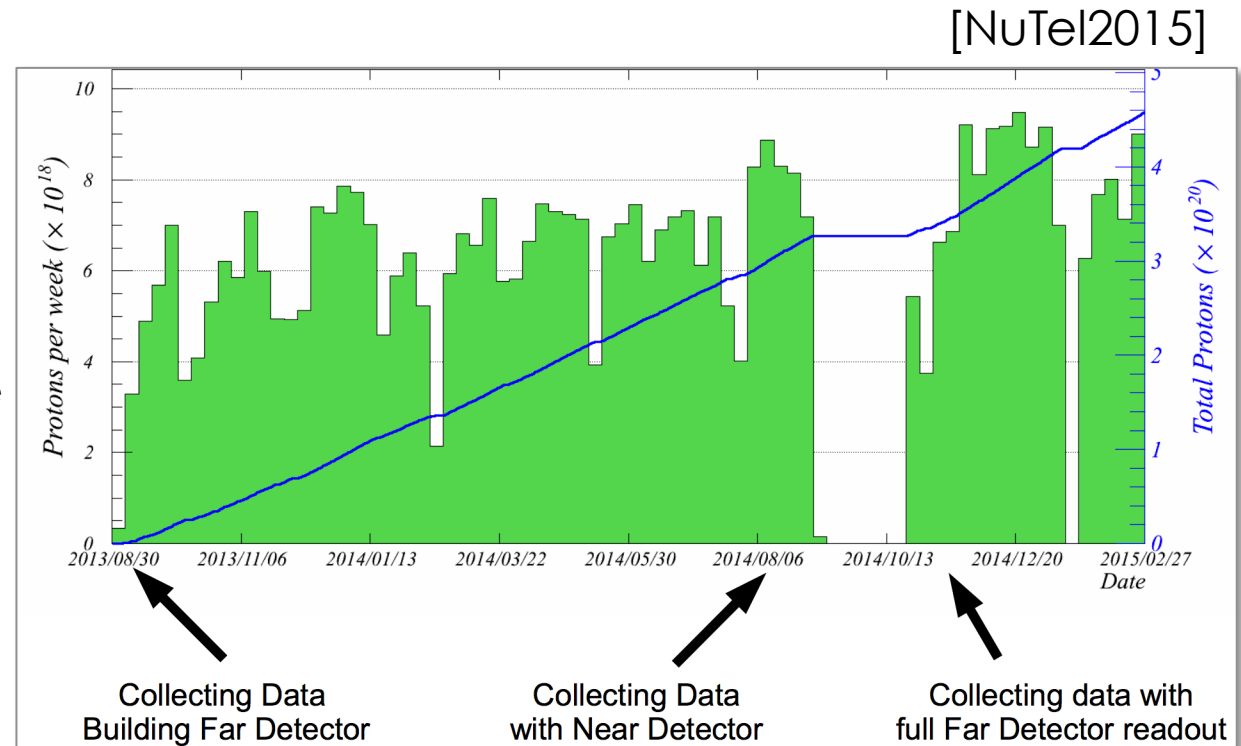
$\theta_{13}$ ,  $\delta_{CP}$ , **mass hierarchy**

$\nu_\mu$  disappearance:

$\sin^2 2\theta_{23}$ ,  $|\Delta m^2_{32}|$

Combined appearance and disappearance:

→ **octant of  $\theta_{23}$**



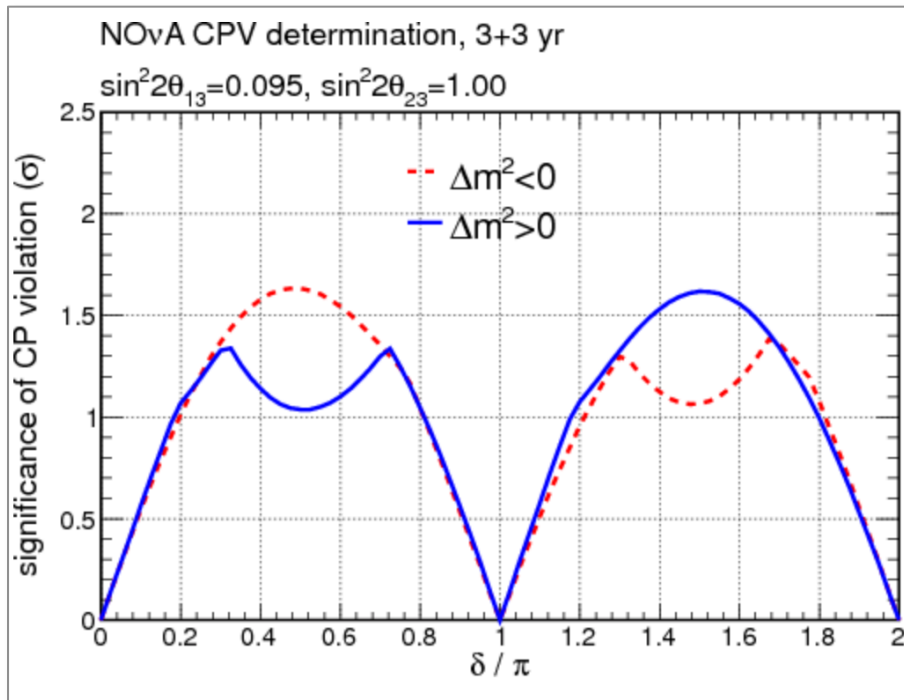
**Construction complete and data taking with both detectors ongoing!**

**First oscillation results expected in the next few months!**

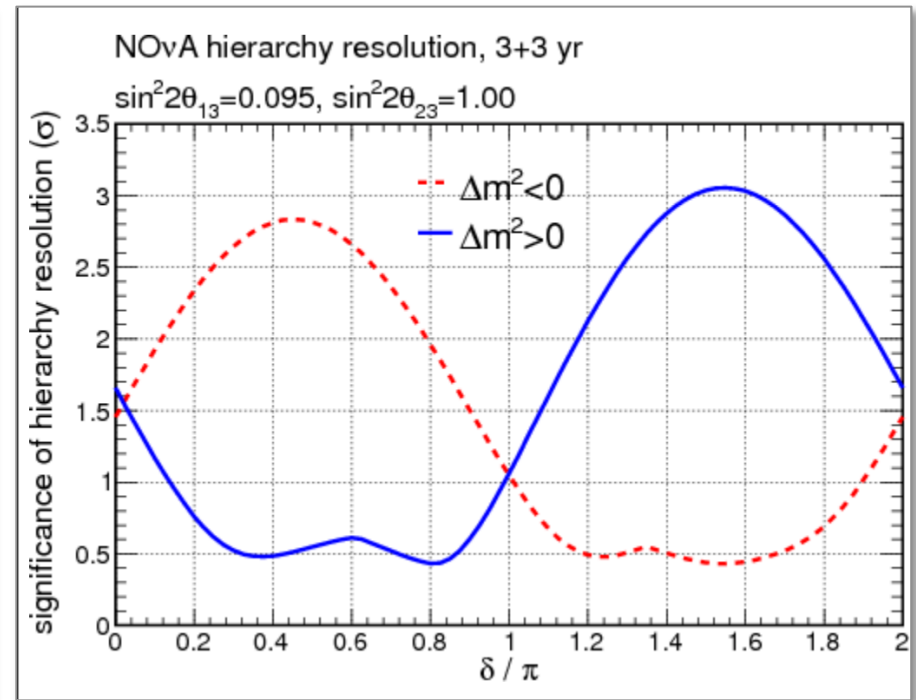
Sensitivity to  $\nu_e$  appearance is about 3 sigma **with current data.**

# NOvA expected sensitivity

[NuTel2015]



Ruling out no CP violation  
as function of true value of  $\delta_{\text{CP}}$



Hierarchy resolution as a  
function of true value of  $\delta_{\text{CP}}$

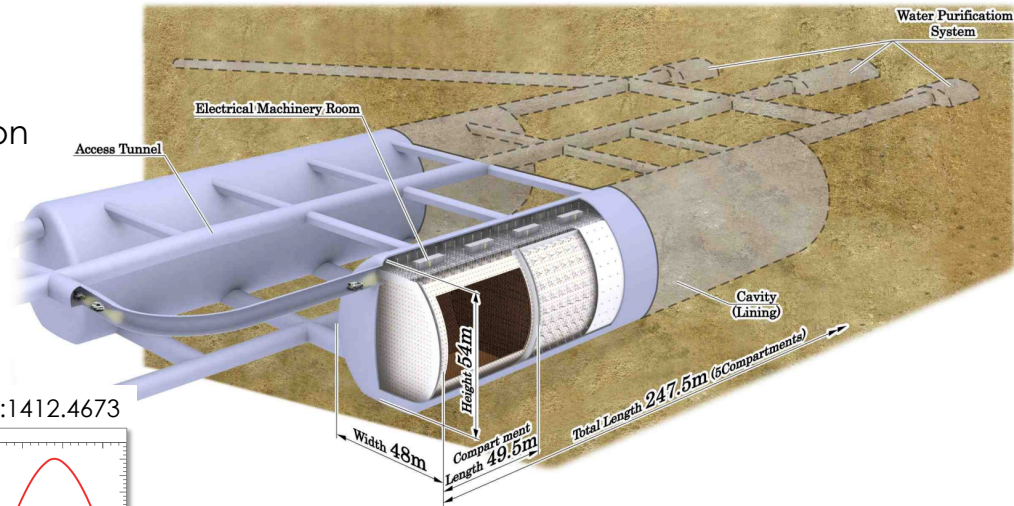


# Future experiment: T2HK

Megaton-scale Water Cherenkov detector (20-25x larger than Super-K)

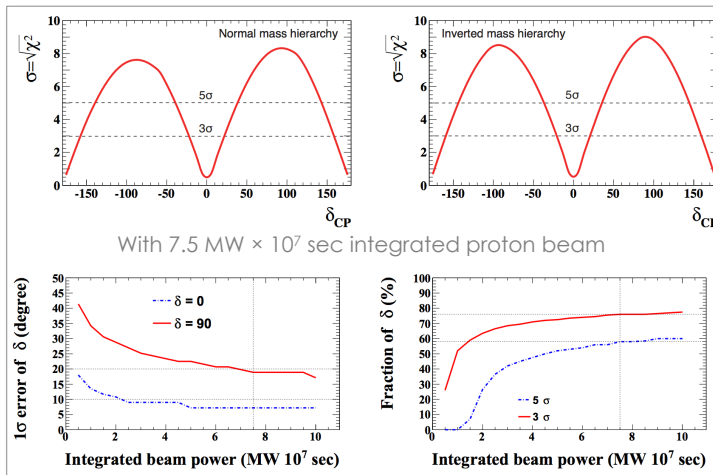
## Goal: $\delta_{CP}$ determination

- Increased zenith angle resolution
- $e/\mu$  discrimination
- Low energy threshold
- Statistical separation of  $\nu_e$  vs.  $\bar{\nu}_e$
- Large statistics!



Hyper-K  $\delta_{CP}$  reach:

arXiv:1412.4673



$\delta_{CP}$  error of <19 deg for all values

76% (68%) parameter space coverage at  $3\sigma$  ( $5\sigma$ )

R&D funded in Japan and UK  
Final funding decision by 2017



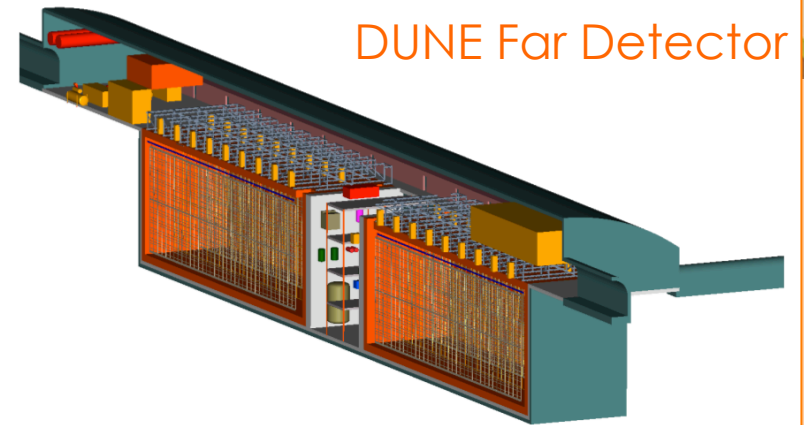
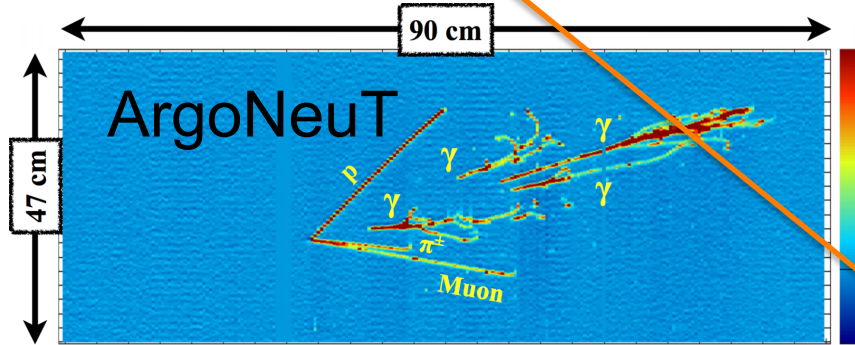
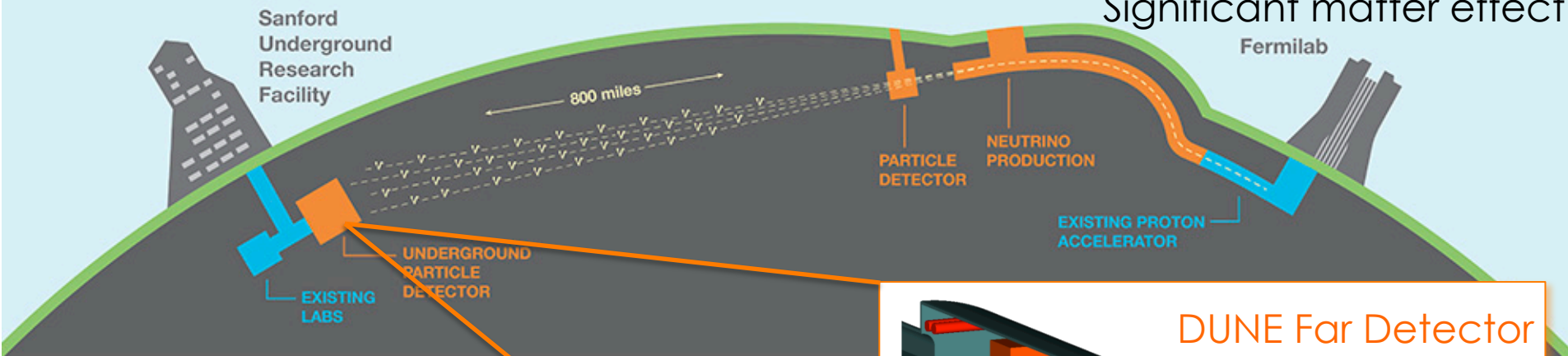
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# Future experiment: DUNE

Deep Underground Neutrino Experiment (*formerly LBNE/ELBNF/LBNF*)

Primary physics goal:  $\delta_{CP}$  and  
neutrino mass hierarchy

34 kton Liquid Argon Time Projection  
Chamber (LArTPC), 1.5km underground  
 $E = 0.5\text{--}5\text{ GeV}$ ,  $L = 1200\text{ km}$   
Significant matter effect

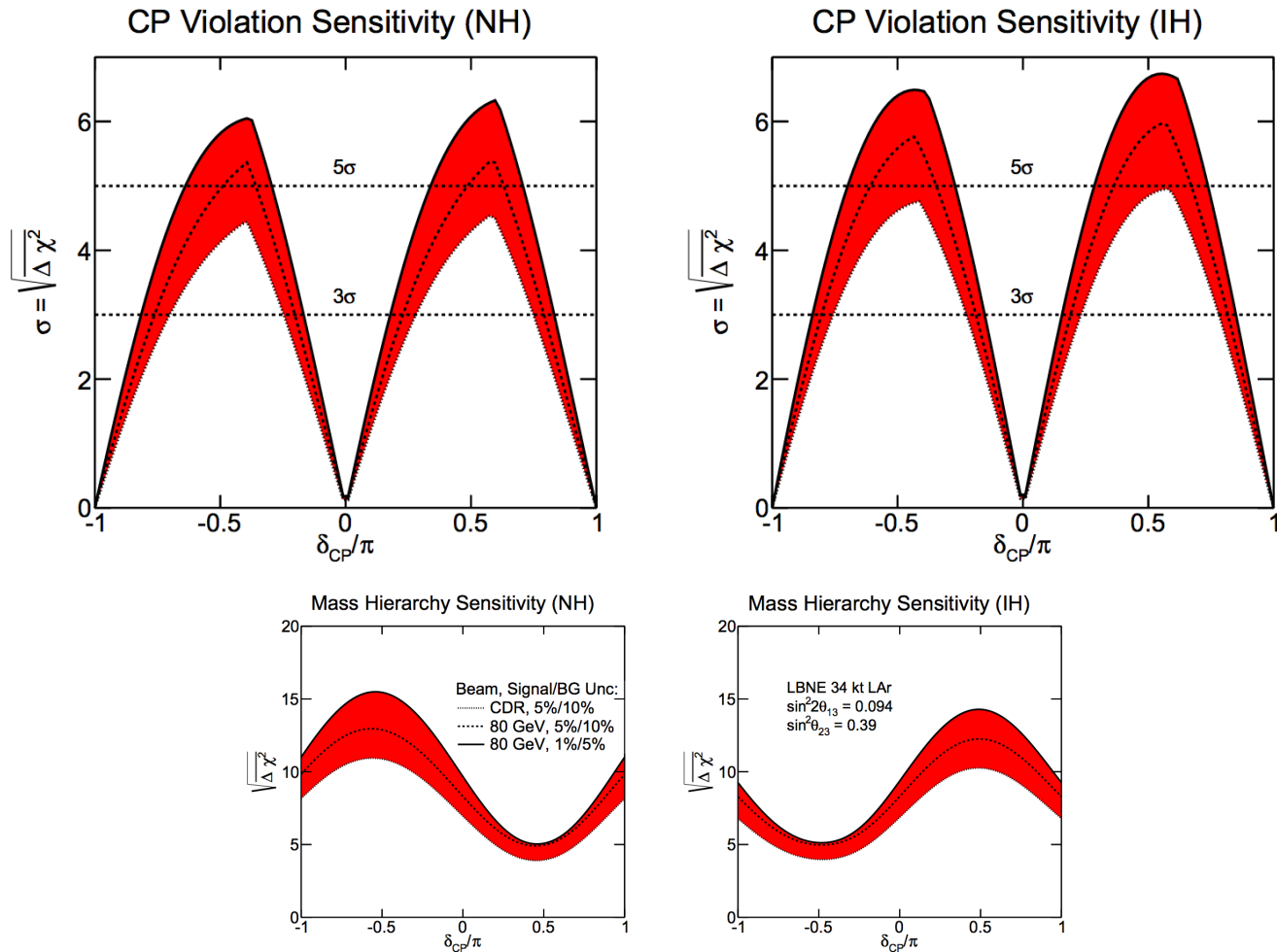


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# LBNF and DUNE

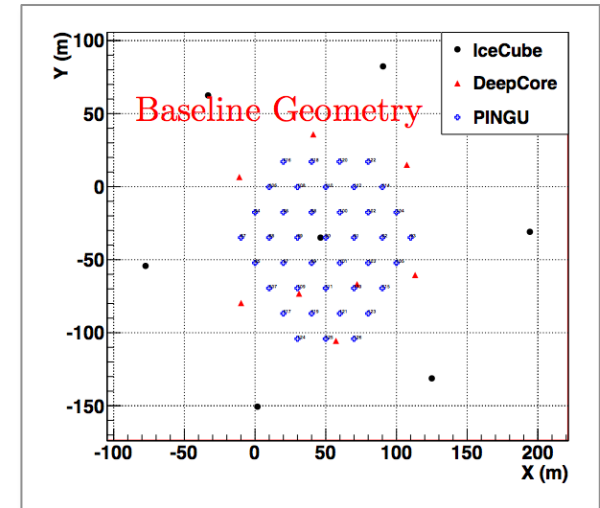
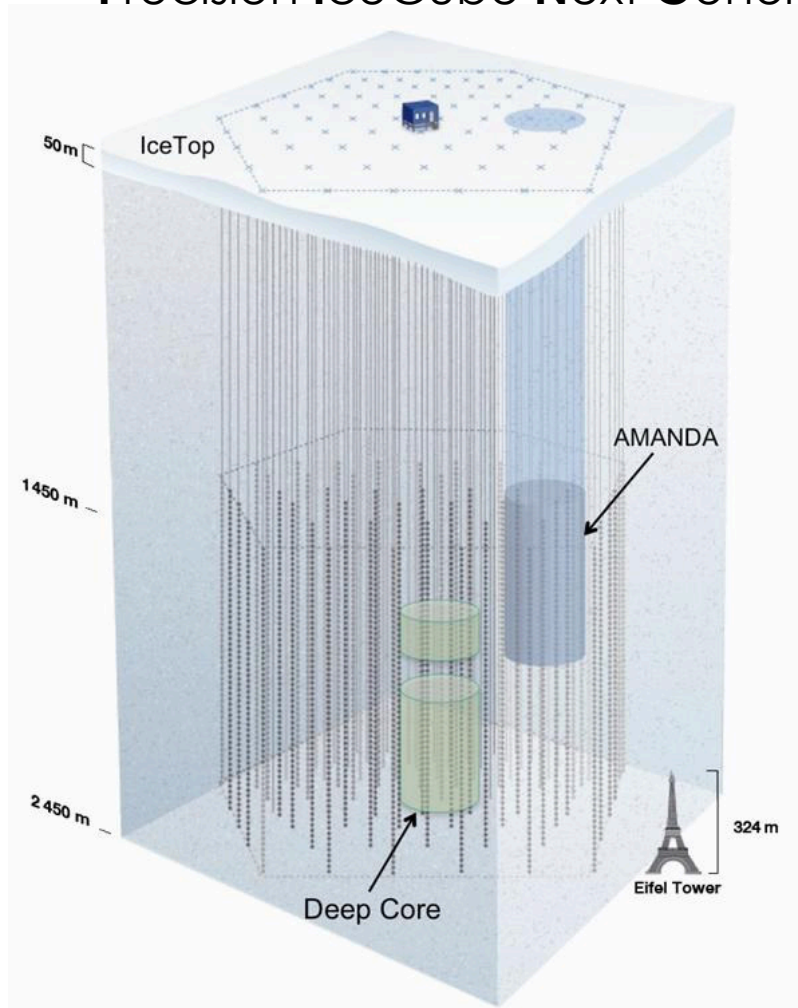
- Long Baseline Neutrino Facility at Fermilab and SURF (FNAL/DOE)
  - Proton complex, neutrino beam, ND and FD facilities
  - PIP-II upgrade to deliver 1.2MW, tunable, wide-band n beam by 2024
  - Further upgrades to 2.4MW by ~2030
- DUNE: 550 signatories, 147 institutions, 24 countries
  - To construct and operate a (staged) 40 kt LAr detector at SURF, 1300 km from Fermilab, underground at 4300 mwe
  - And a high-granularity, high-precision Near Detector
- To integrate 120 kt\*MW\*yr by ~2035
  - CP violation
  - Mass Hierarchy
  - Test the 3-neutrino paradigm (unitarity of PMNS)
  - Search for nucleon decay
  - Atmospheric and astrophysical neutrino measurements
- First collaboration meeting Jan 2015, second as we speak

# DUNE expected sensitivity



# Future experiment: PINGU

Precision IceCube Next Generation Upgrade



A new in-fill array for IceCube  
PINGU LOI, arXiv:1401.2046

Primary goal: precision measurement  
of atmospheric oscillations with a focus  
on the **neutrino mass hierarchy  
determination**  
(exploiting earth MSW effect)

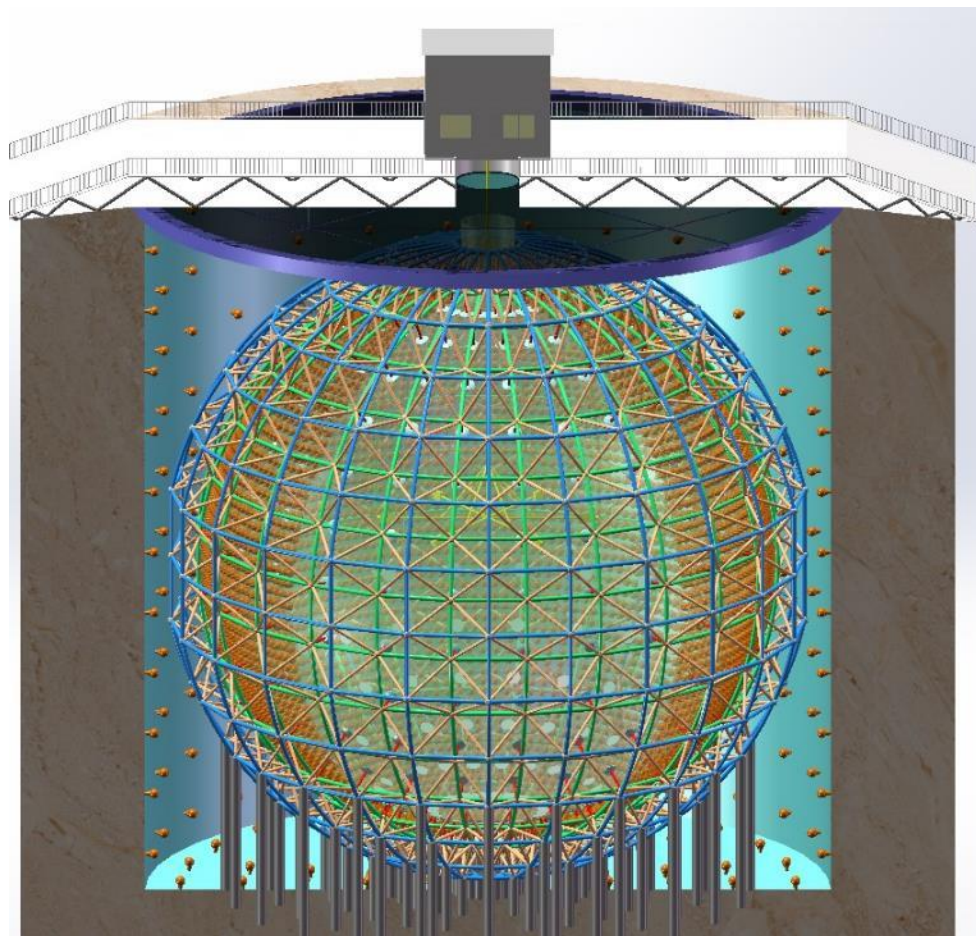


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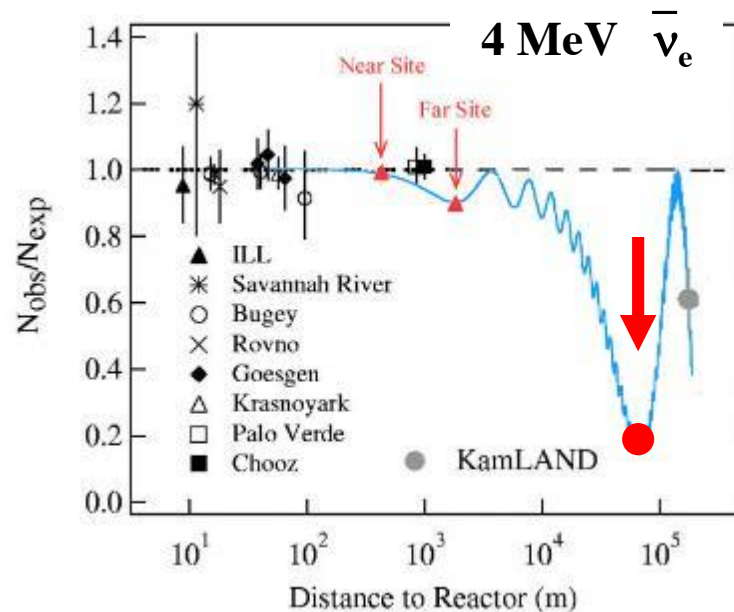
# JUNO

- ◆ **Jiangmen Underground Neutrino Observatory, a multiple-purpose neutrino experiment, approved in Feb. 2013. ~ 300 M\$.**

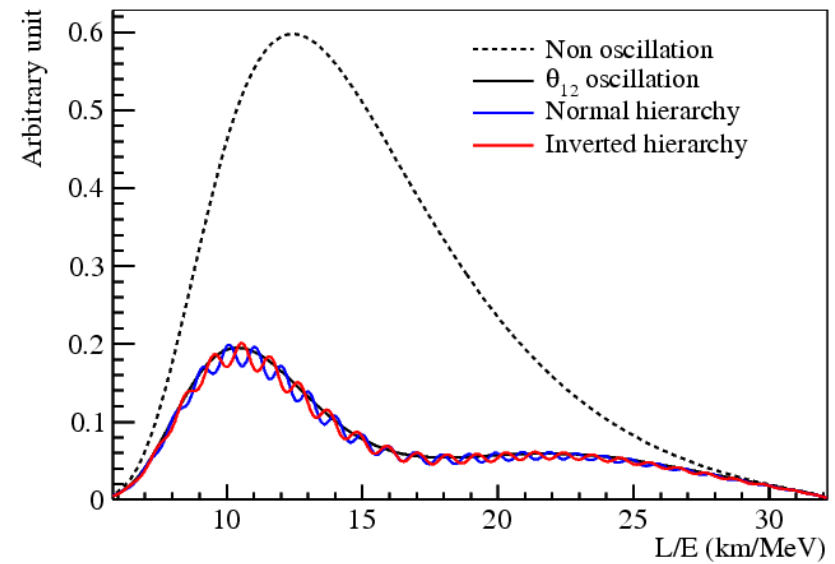


- ◆ **20 kton LS detector**
- ◆ **3% energy resolution**
- ◆ **700 m underground**
- ◆ **Rich physics possibilities**
  - ⇒ **Reactor neutrino**  
**for Mass hierarchy and precision measurement of oscillation parameters**
  - ⇒ **Supernovae neutrino**
  - ⇒ **Geoneutrino**
  - ⇒ **Solar neutrino**
  - ⇒ **Atmospheric neutrino**
  - ⇒ **Exotic searches**

# JUNO oscillation measurements



## Access to MH



Detector under 700m overburden  
53 km from two new power station complexes  
26.6 GW by 2020 start, increasing to 35 GW

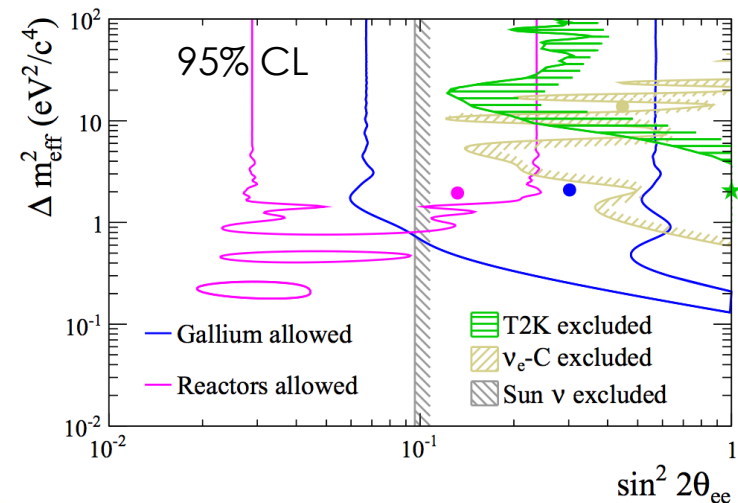
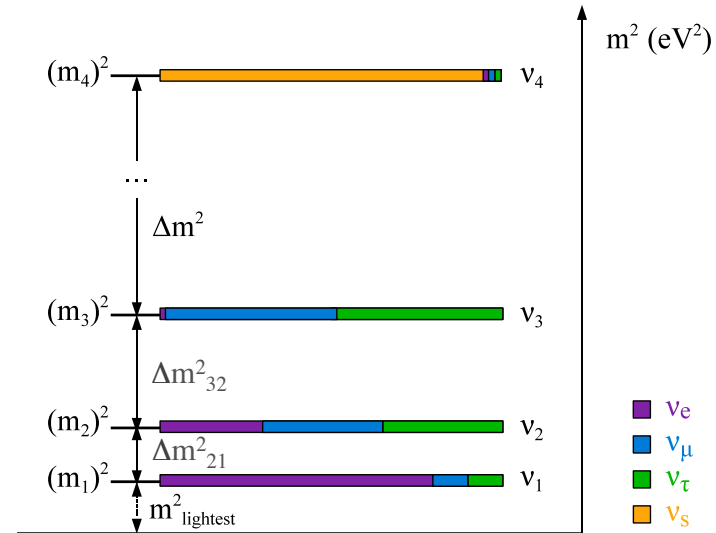
# Other issues: sterile signs / anomalies

- LSND anti- $\nu_e$  appearance ( $3.8\sigma$ , 1995)
- MiniBooNE appearance, similar, 2012
- Reactor rate deficit, 2-3 $\sigma$ , compatible
  - Flux shape and integral?
- Gallium source deficit
- MINOS+ preliminary: stringent constraints
- T2K ND: central solutions exclusion

SBN programme at FNAL (LAr detectors)

- SBND
- MicroBooNE
- ICARUS

3 baselines in Booster beam for final resolution

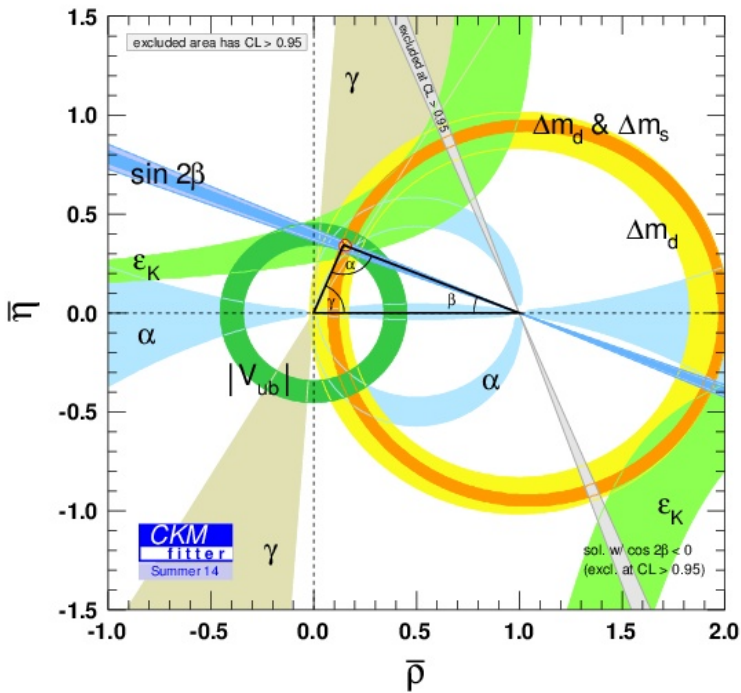




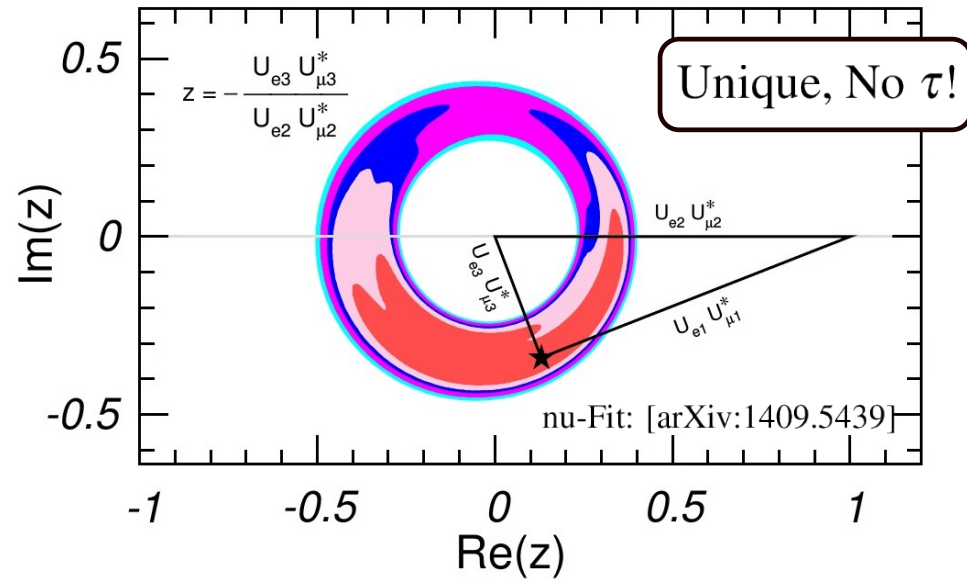
# Outlook

- Exciting recent results
  - Accelerator and reactor experiments start to provide hints of  $\delta_{CP}$  and MH
  - New results to come from T2K, NOvA, MINOS/MINOS+ in the next 5 years
- Next generation experiments (DUNE, Hyper-K, PINGU, JUNO) hold great promise for the next two decades
- A strong Short Baseline programme at FNAL will explore the sterile / anomalies landscape
- Most probably new questions will come up, a few discoveries, and hopefully some breakthrough to “the other side” of the “TeV scale” of the LHC

# Quarks vs neutrinos



Unitarity *Not* assumed



Unitarity *Is* assumed.