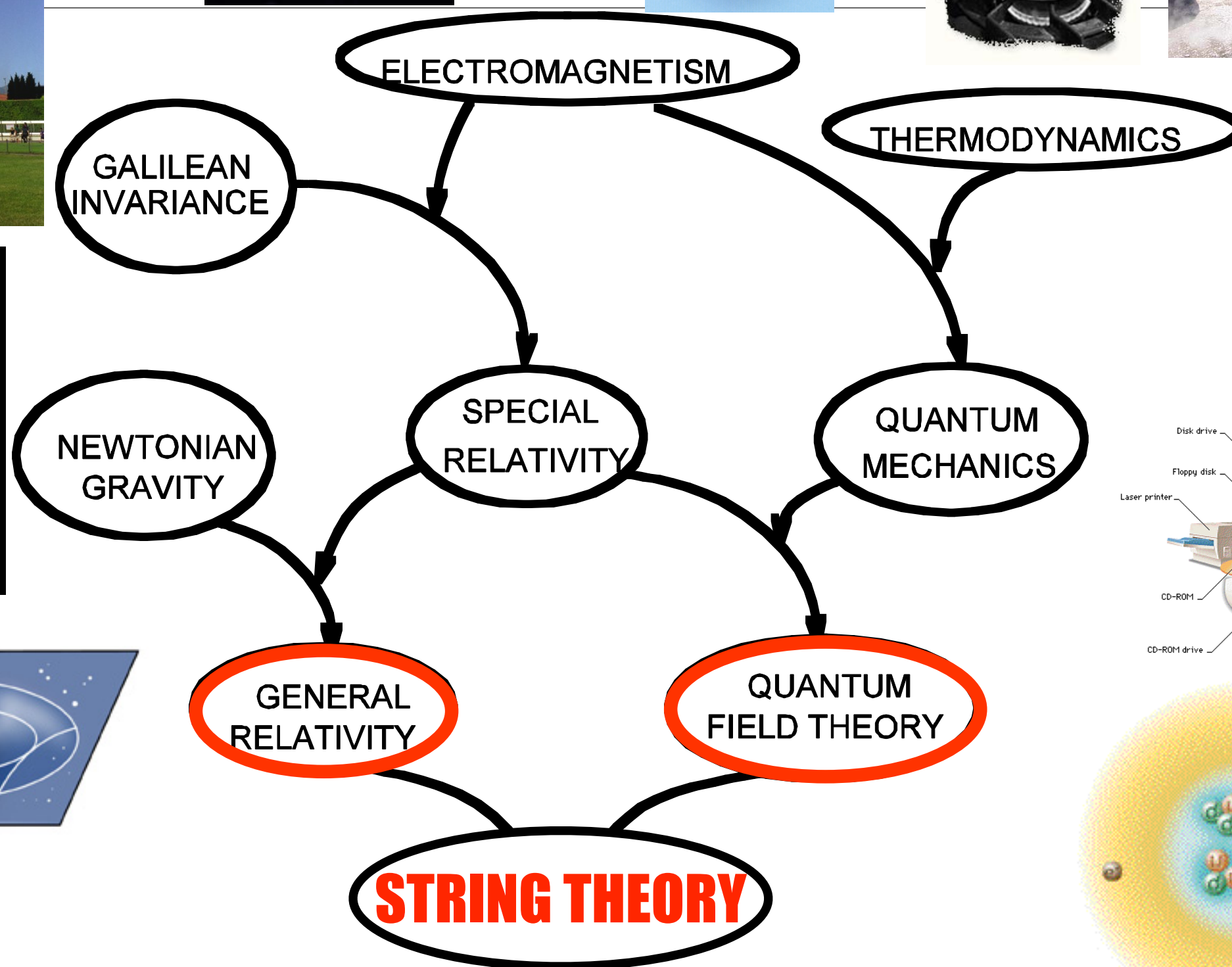
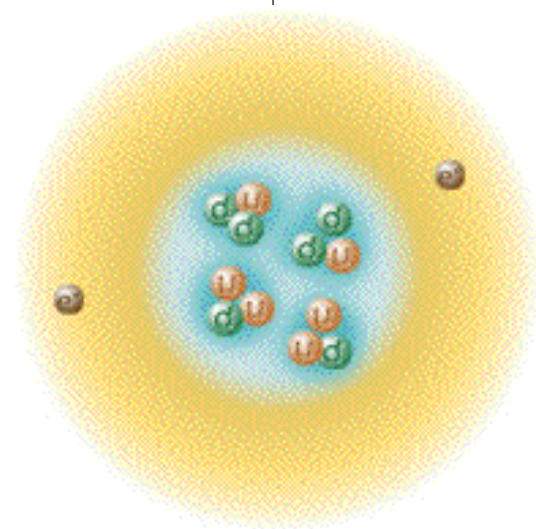
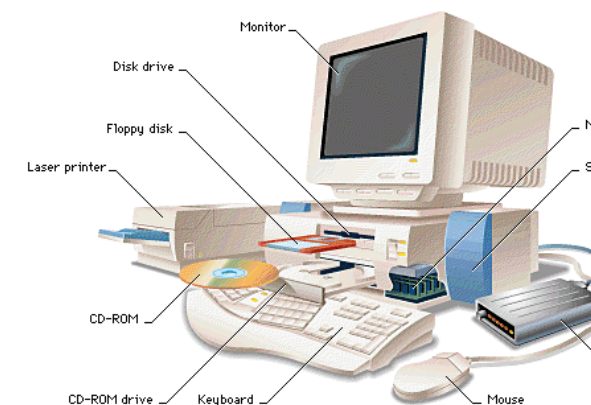
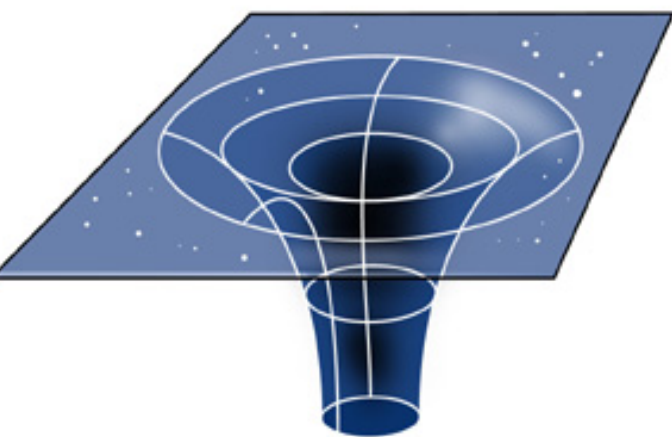
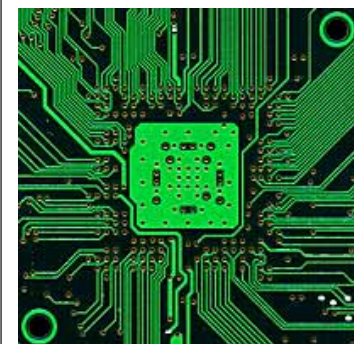


# string phenomenology today

fernando marchesano



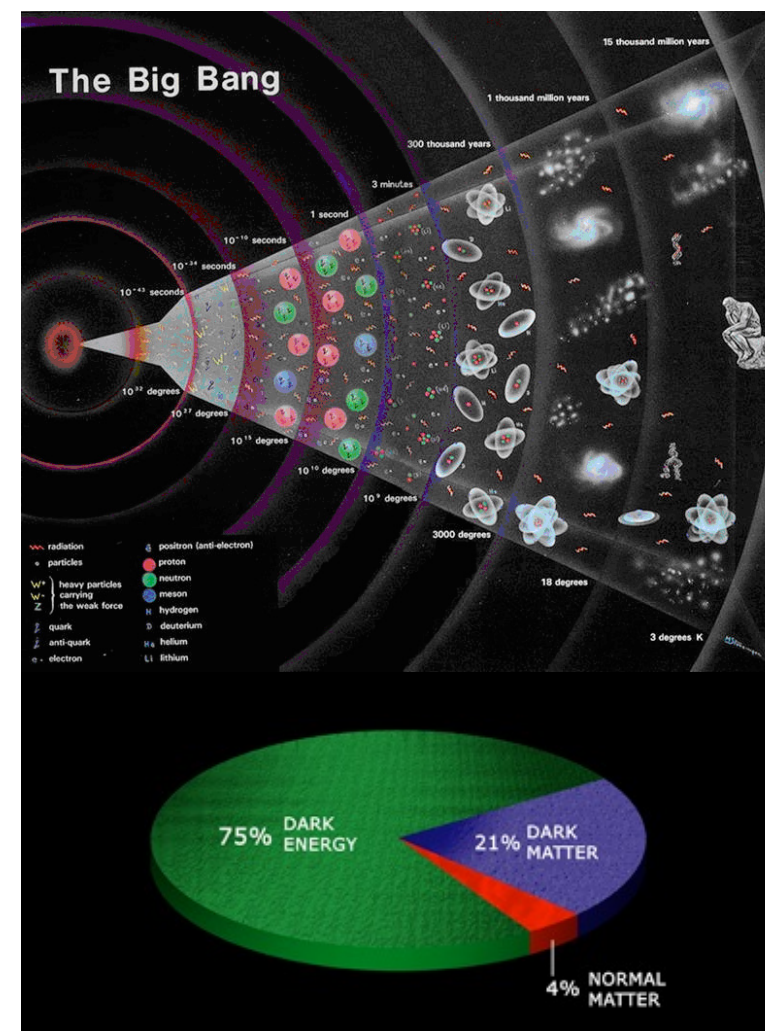
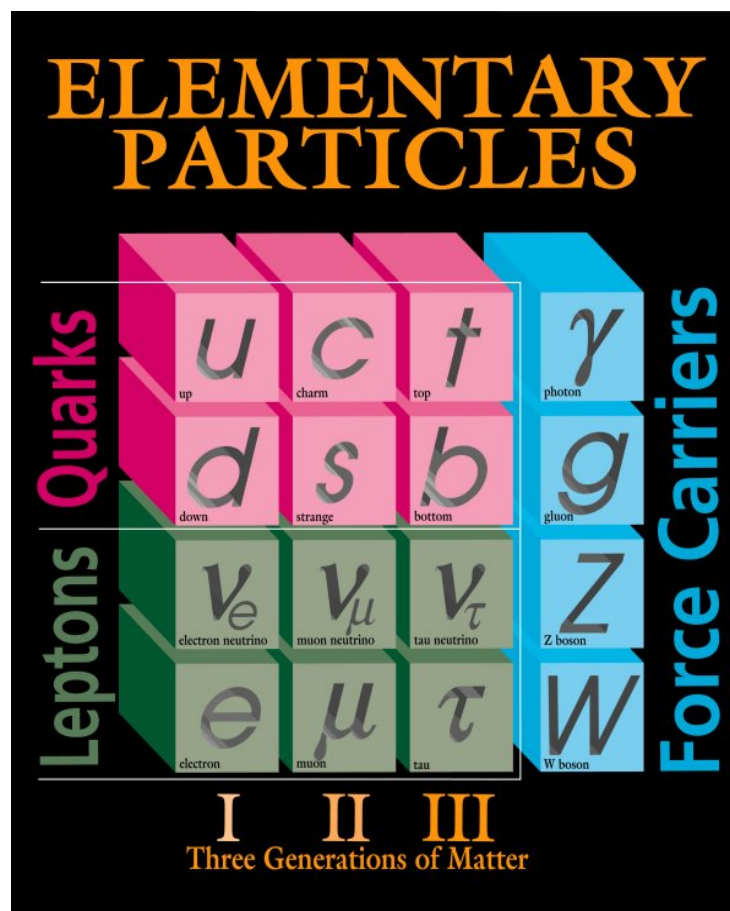
Instituto de  
Física  
Teórica  
UAM-CSIC





# Why string phenomenology?

- String phenomenology aims to embed the SM of Particle Physics and Cosmology within string theory, providing a UV completion for both that also includes Quantum Gravity
- Because string theory is rather complex and rich we do not have a clear or unique prescription on how to achieve this goal



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So how do we proceed?

1. We need to fully understand the theory before trying to connect it with the real world
2. With our current understanding we try to get as close as possible to these SM and realise them as effective theories of string theory



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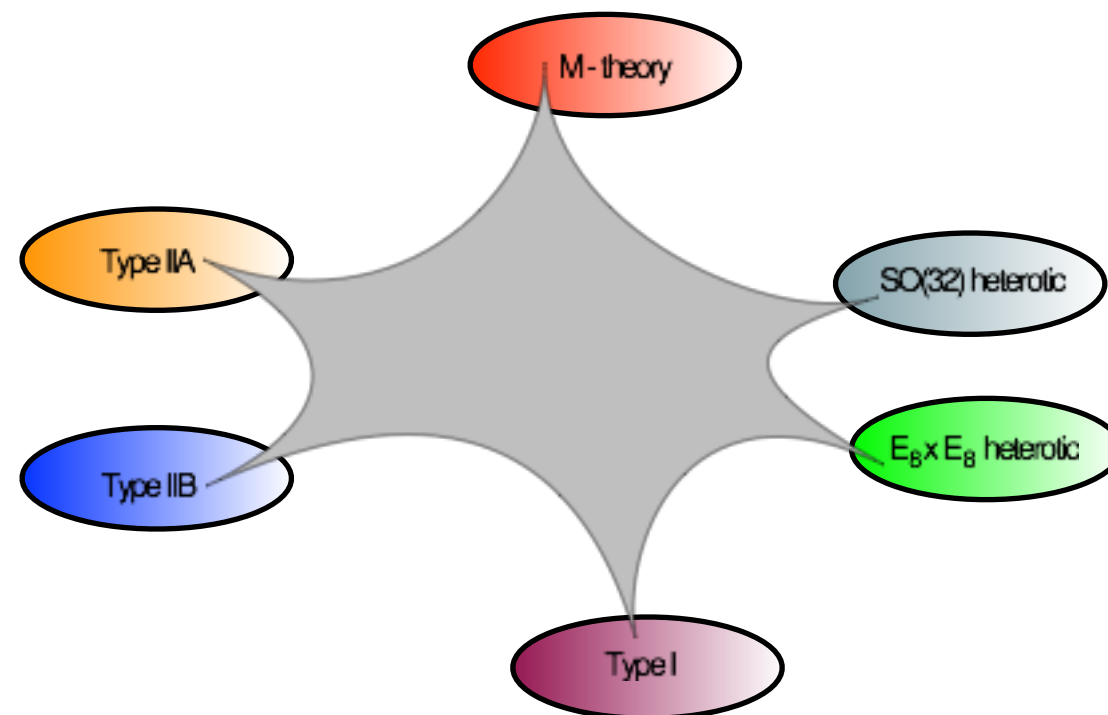
string phenomenologist go for option #2

# The quest for the Standard Model

Question:

Can we reproduce the SM from string theory?

- To answer this we need to focus on a **region** of the theory which is **under control**, and try to reproduce our universe as a **string theory vacuum**



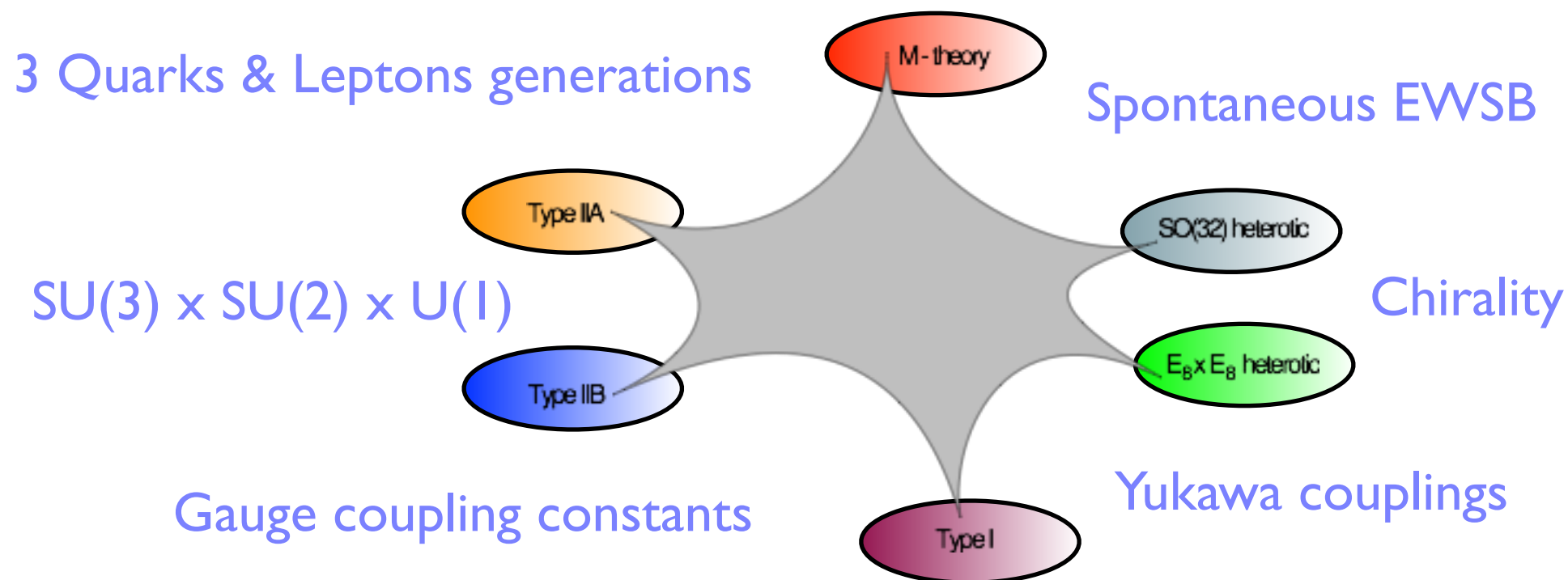
# The quest for the Standard Model

Question:

Can we reproduce the SM from string theory?

- To answer this we need to focus on a **region** of the theory which is **under control**, and try to reproduce our universe as a **string theory vacuum**
- For the SM of Particle Physics **many “ingredients”** are needed

Four observable dimensions





# The quest for predictions

---

- String theory does not provide a unique effective 10d theory, and the situation is much more dramatic when we construct effective 4d theories, for which there is a myriad of possibilities
- As a result, even if we know how to construct semi-realistic 4d vacua, there is not a definite consensus nowadays on how to obtain a prediction from string theory

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different approaches:

- a) We focus on a vacuum that we particularly like and we try to obtain a whole bunch of BSM predictions from it
- b) We try to get an overall picture of the BSM features of 4d vacua, as well as the kind of scenarios that they generate
- c) We take a statistical approach on the ensemble of string vacua and try to extract predictions from statistical correlations and from the percentage of vacua with a certain property (e.g., small  $\Lambda$ )

# 3+1 strategies for (3+1)d physics

---

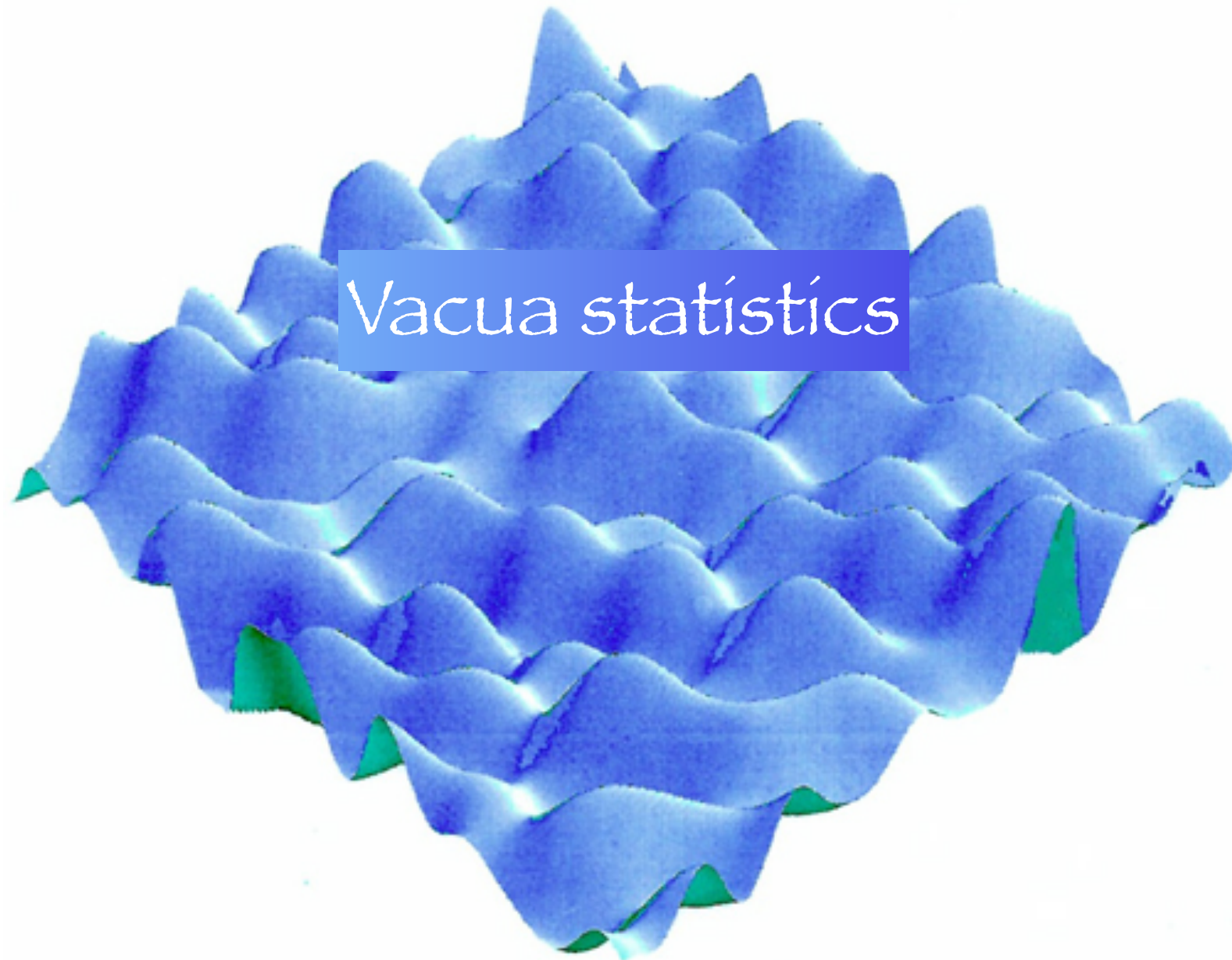
Building vacua





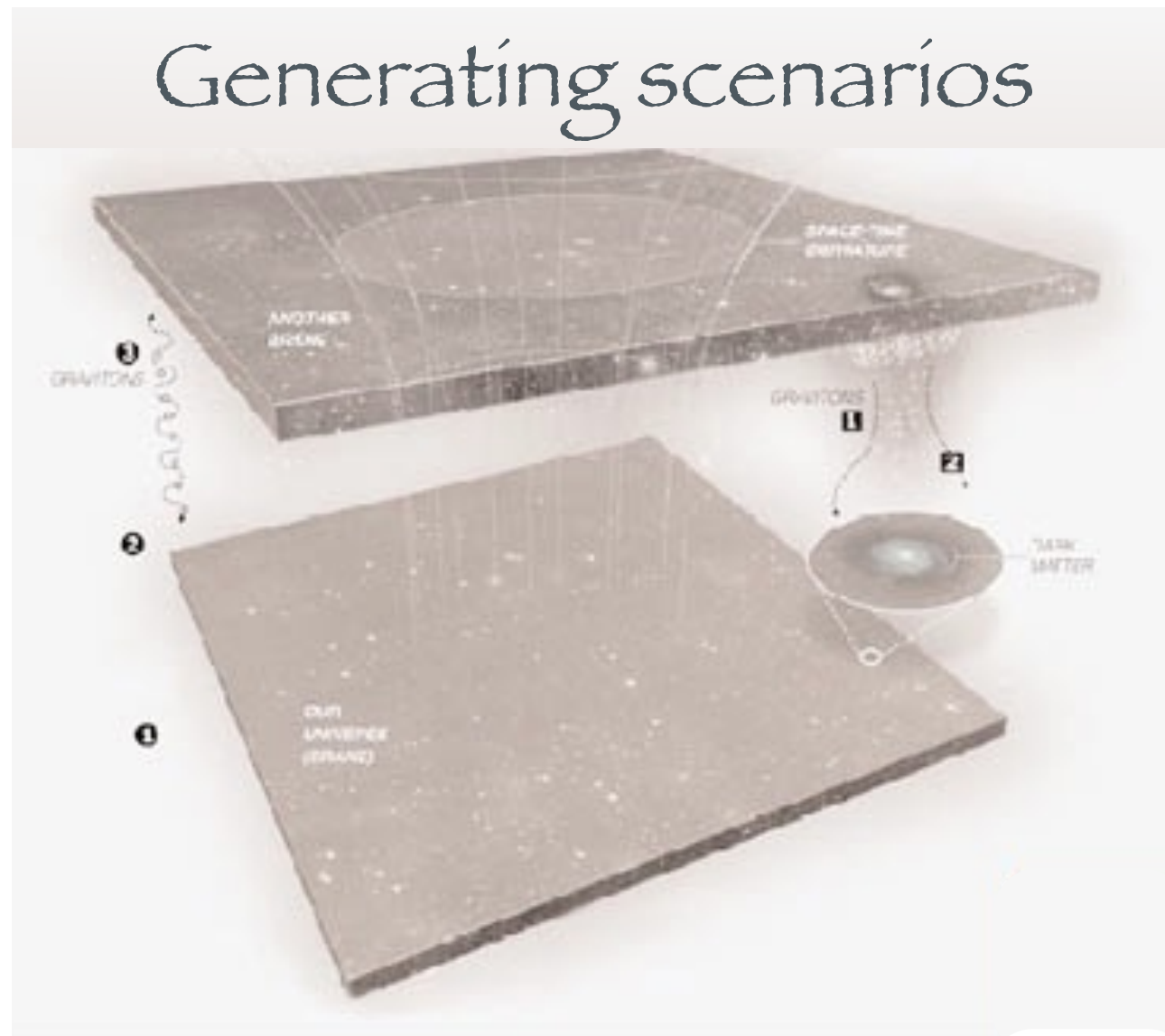
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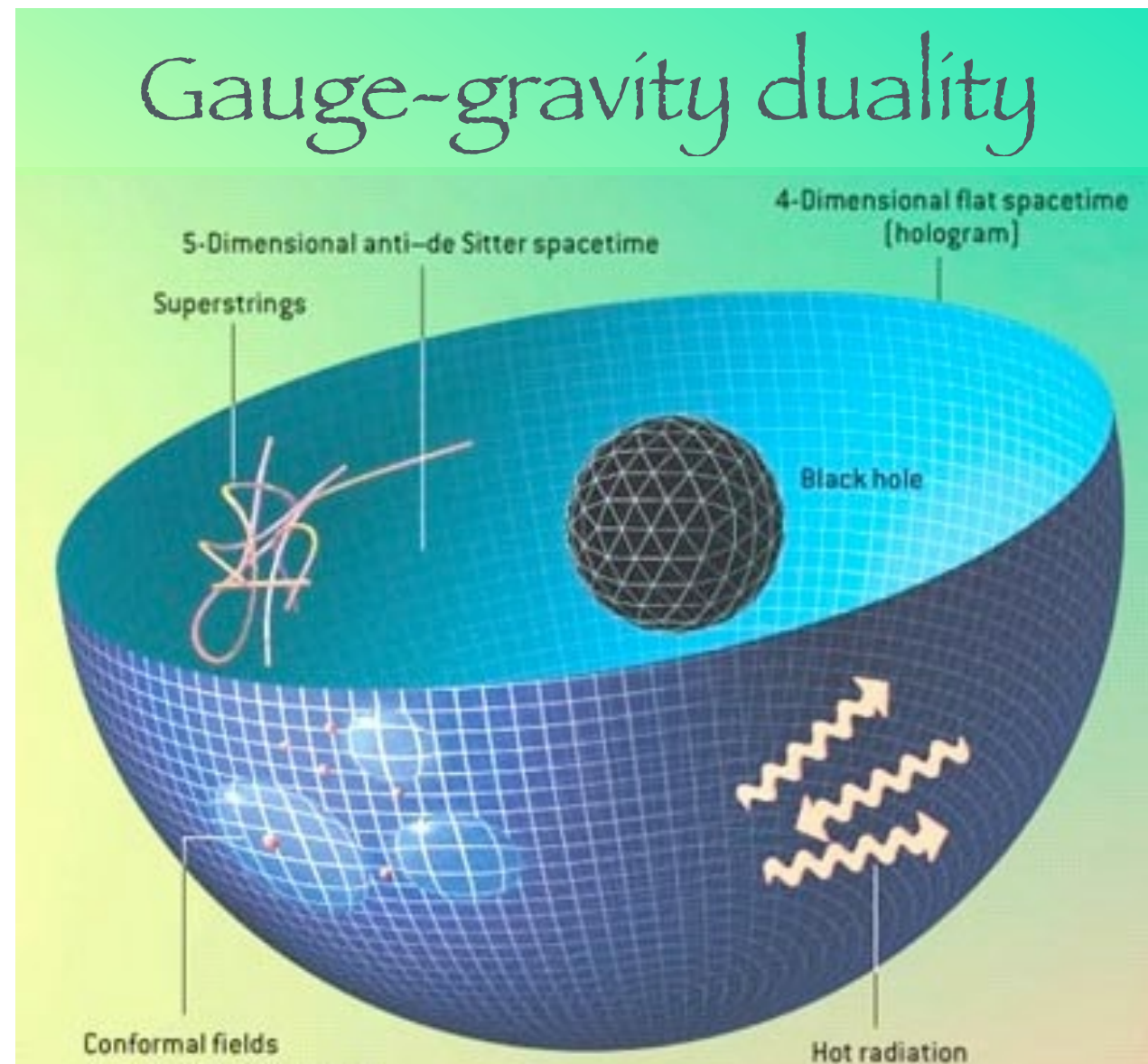
# 3+1 strategies for (3+1)d physics

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# 3+1 strategies for (3+1)d physics

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Building vacua

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# Building vacua

---

- Classical strategy:
  - ✦ Search for **more and more realistic models**, until finding a vacuum reproducing empiric data and able to provide testable predictions
  - ✦ Once found, see which **insight** it may give **over the SM and  $\Lambda$ CDM**, as well as over their problems and puzzles
  - ✦ Wonder if there is a dynamical **vacuum selection mechanism** in favour of this vacuum with respect to others

Most of the effort in string phenomenology up to today has been devoted to the first point. A **recurrent question** is...

# Which superstring is the best?

type HE

type HO

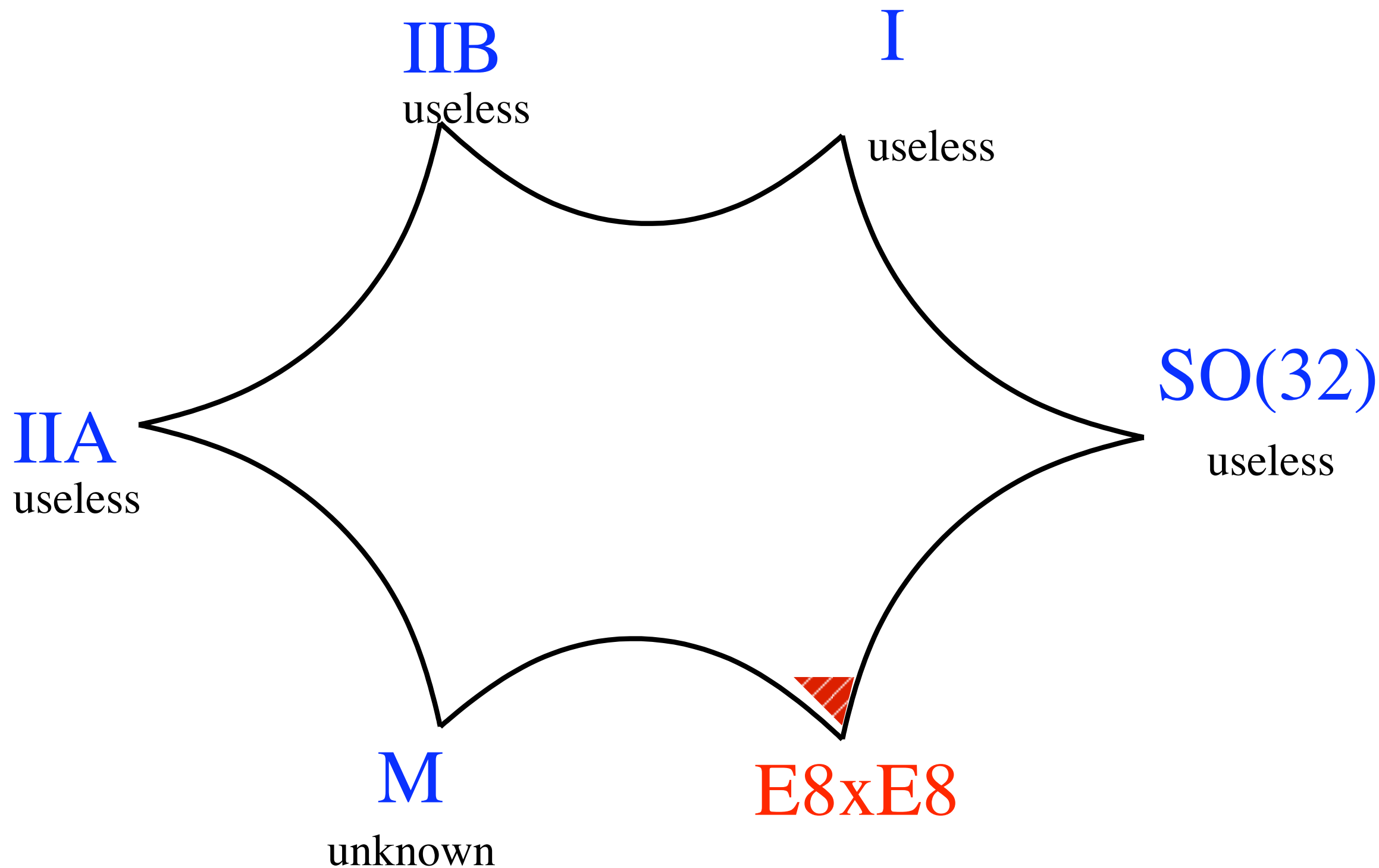


type IIB

type I

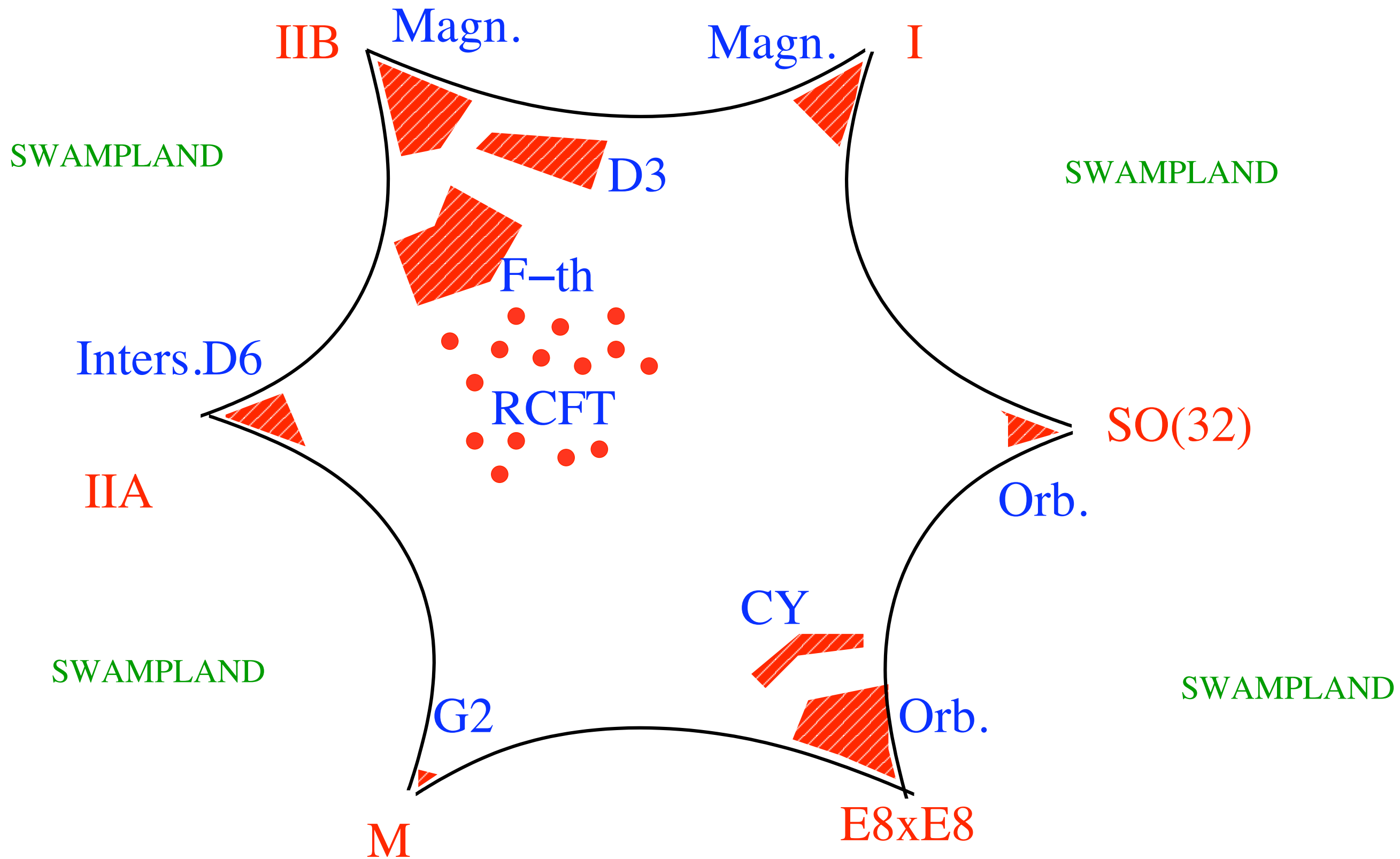
type IIA





Design: L. E. Ibáñez

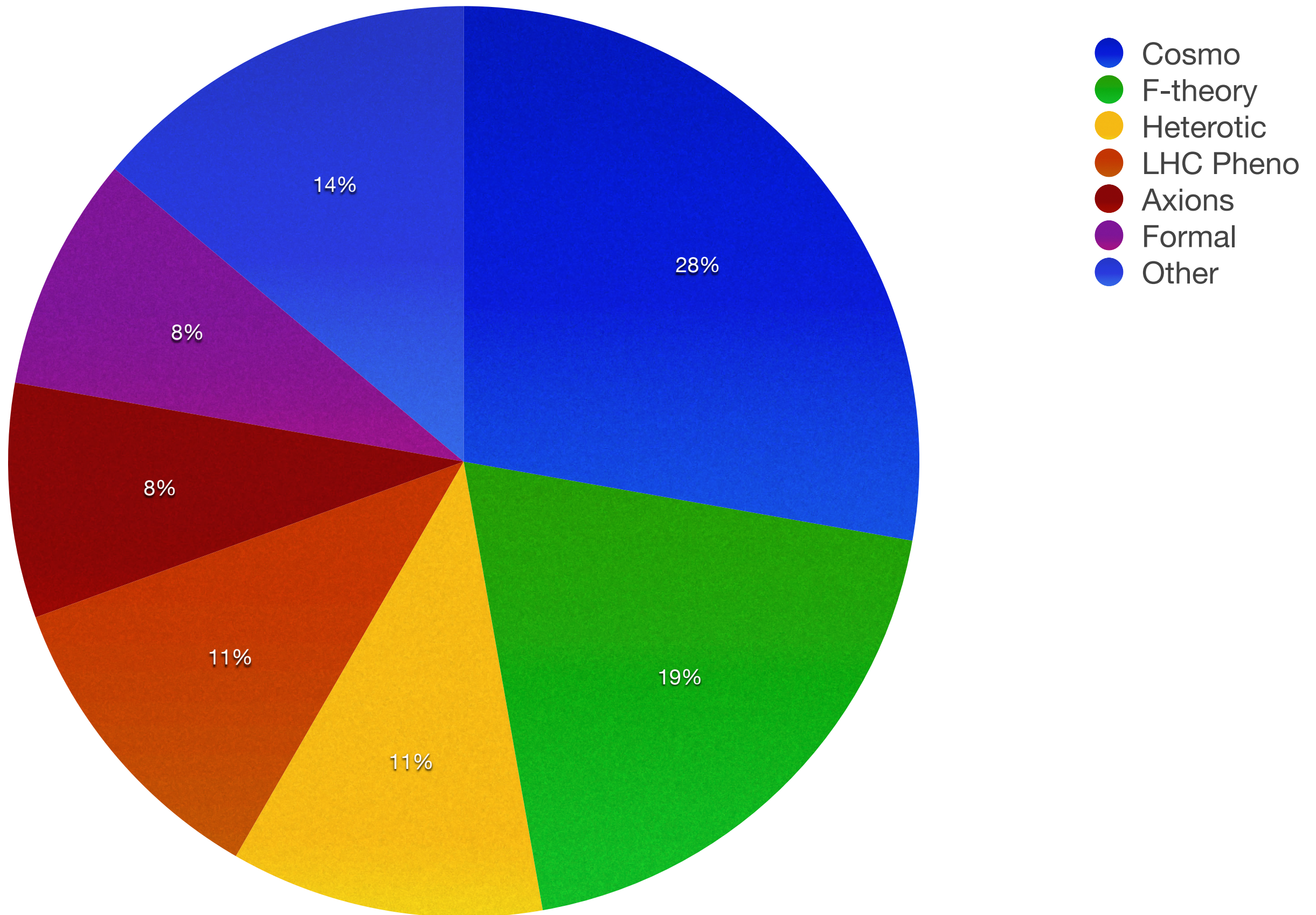
Circa 1995



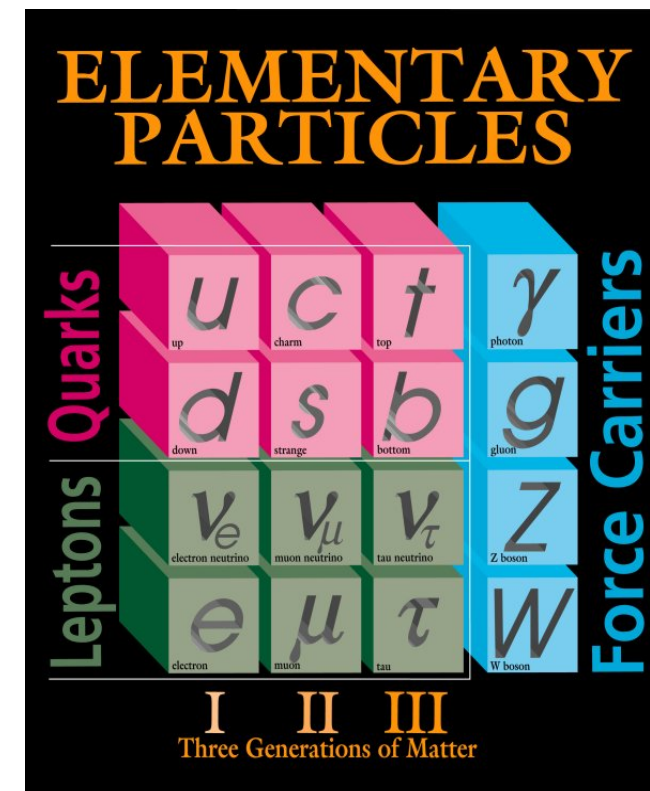
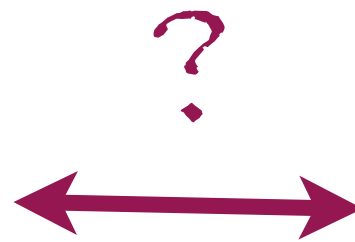
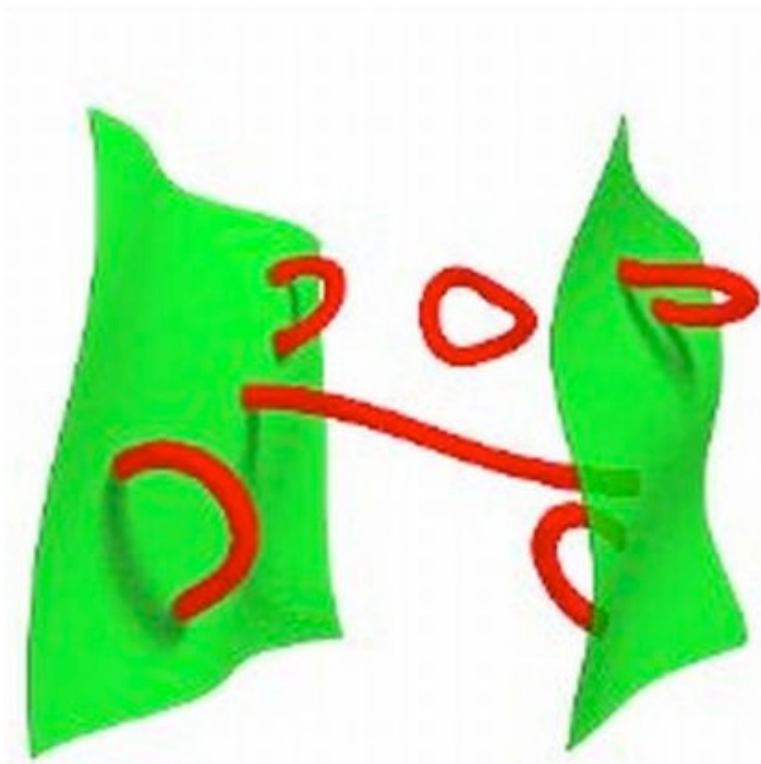
Design: L. E. Ibáñez

Circa 2015

# Plenary talk distribution at String Pheno 2014



# From strings to Particle Physics





# Two main approaches

---

- The “top-down” approach

- One considers a **large class of vacua**, and then restricts them to those vacua with realistic 4d effective field theories

- Classical Example: early **SM search in the heterotic**

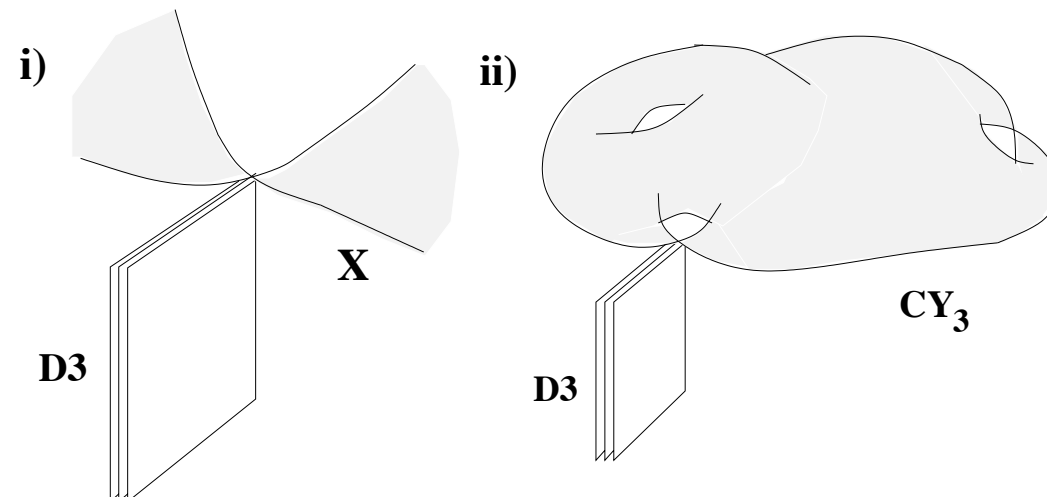
*Candelas et al. '86*

- The “bottom-up” approach

- Made of **two steps**:

i) We build a **gauge sector** containing the **SM**

ii) We embed this sector in a fully-fledged **compactification** including **gravity**



*Aldazábal et al. '00*

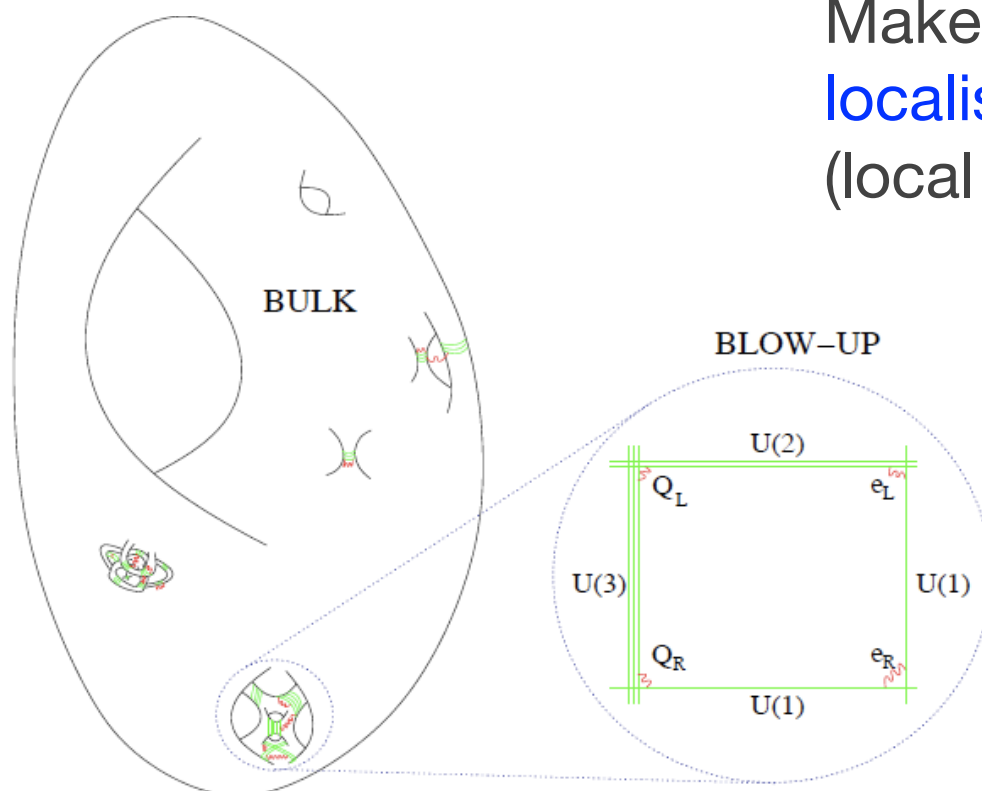
# Two main approaches

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  - One considers a **large class of vacua**, and then restricts them to those vacua with realistic 4d effective field theories
  - Classical Example: early **SM search in the heterotic**

*Candelas et al. '86*

- The “bottom-up” approach

Makes sense in **D-brane models**, since these **localise gauge theories** and much of their data (local models)



*Aldazábal et al. '00*

# Models and Geometry

---

- For any of these approaches there is a **geometric 10d description** of the 4d effective field theory quantities, specially in D-brane models
- The more **robust** the **4d quantity** is, the more it is its geometric description

Four observable dimensions

Gauge group  $SU(3) \times SU(2) \times U(1)_Y$

Chiral Fermions

3 families of Quarks & Leptons

Gauge coupling constants

Yukawa couplings

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- The key property is **chirality**: models are usually classified in terms of how it is obtained
- The hardest quantity to reproduce are the **Yukawas**

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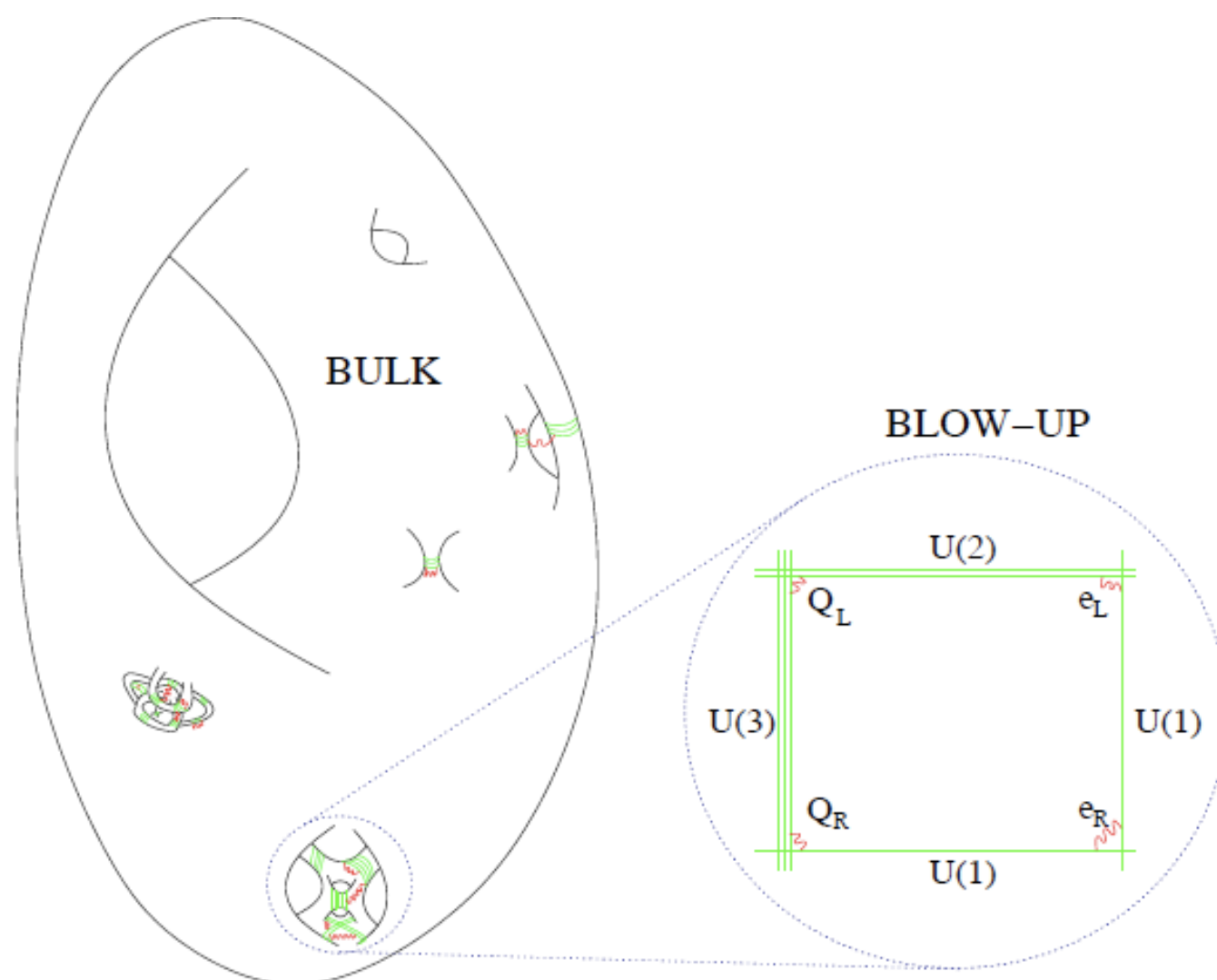
Yukawa couplings



# F-theory

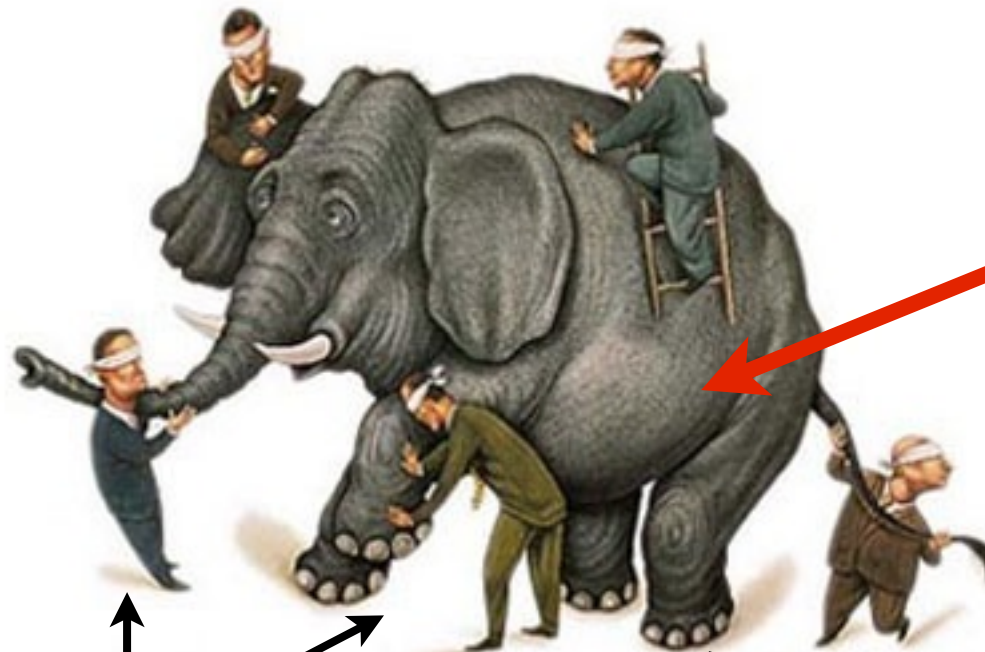
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- A quite promising novel class of vacua are those based on **F-theory local models**
- Cousins of D-brane models
- **Bottom-up** approach
- Realise **gauge coupling unification** via GUTs



What have we learnt lately?

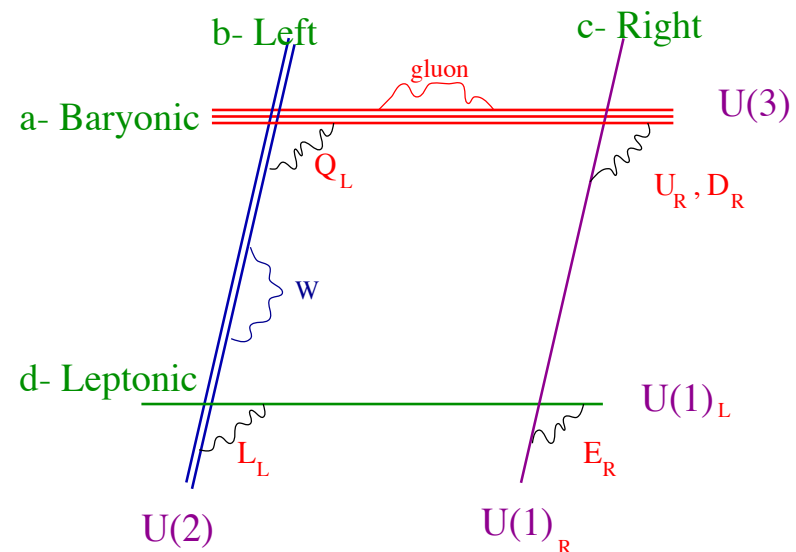
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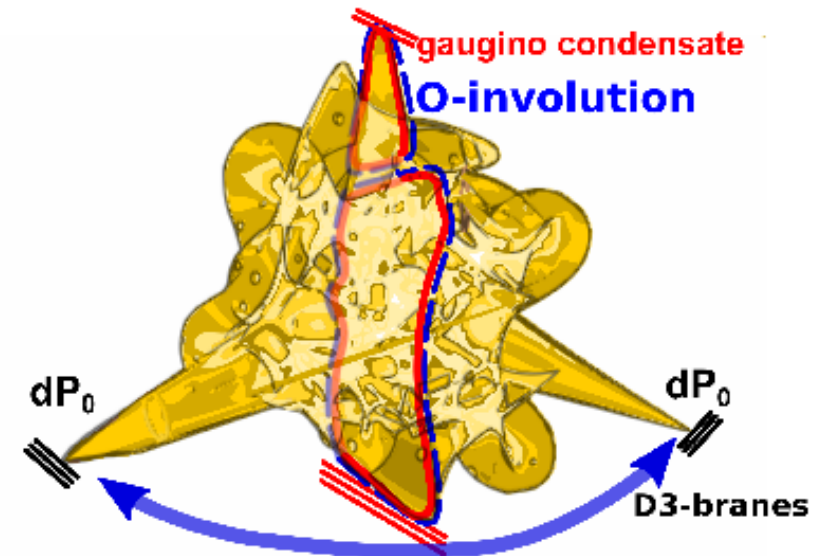
String/M-theory

String phenomenologists

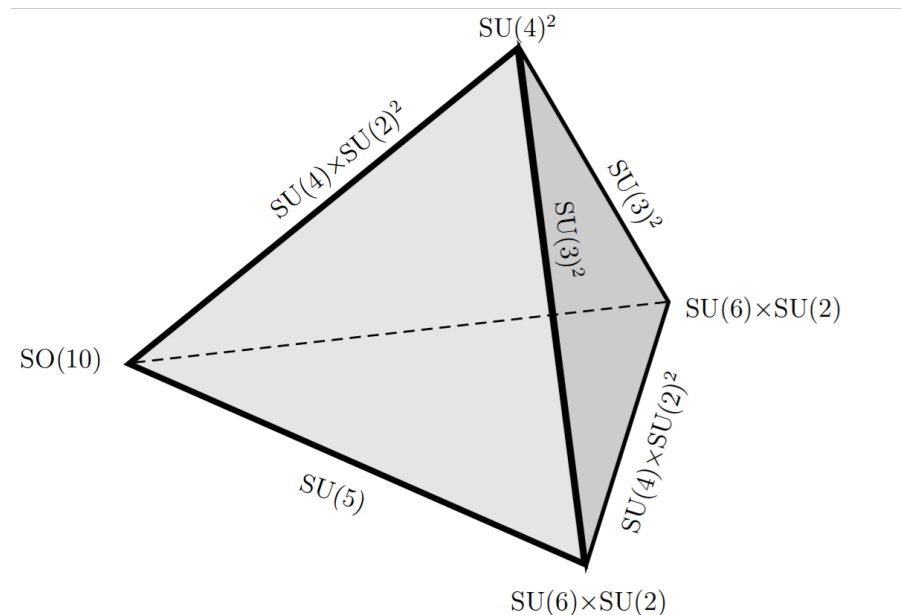
# Different approaches to model building



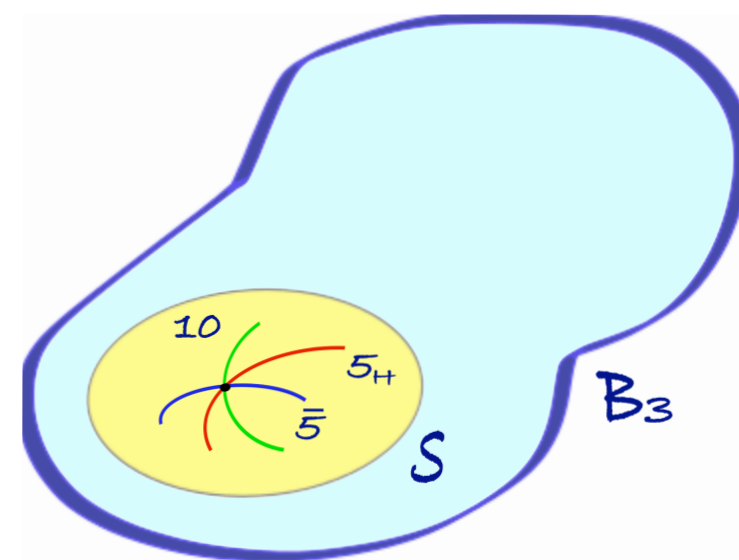
type IIA



type IIB

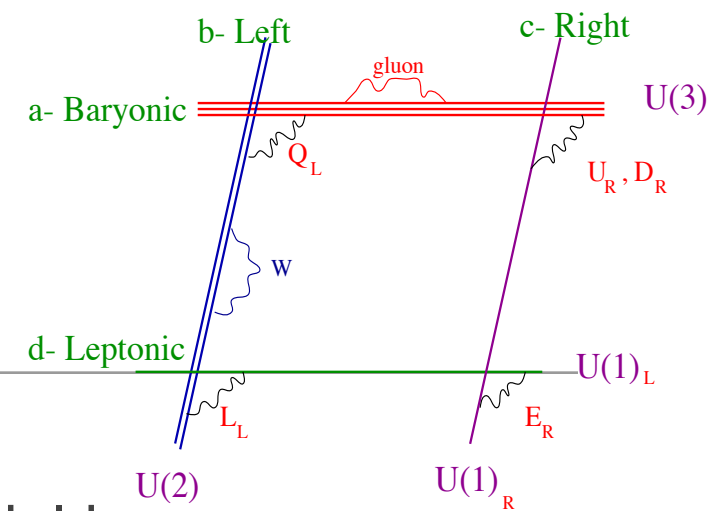


Heterotic



F-theory

# The type IIA insight



- Type IIA vacua describe the most relevant features of a model in a very intuitive and pictorial way.
- This has allowed to conceive new kinds of models, even in other model building approaches, and to better understand their 4d effective theories.
- Recently:
  - D-brane instantons
  - Discrete gauge symm. } hierarchy of couplings



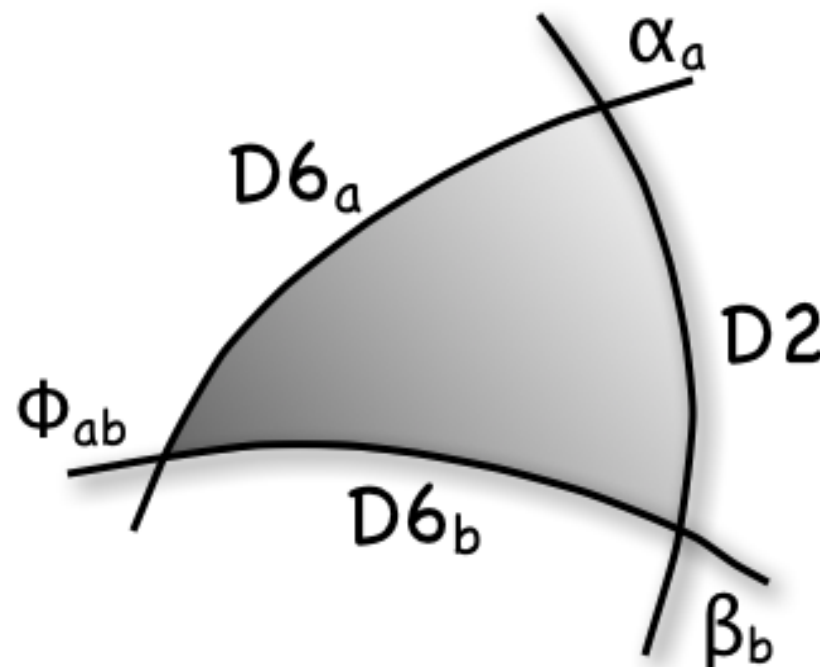
# Instantons and discrete gauge symmetries

- **D-brane instantons** are the only effects that **break the global U(1)** symmetries of D-brane models, and can generate **neutrino Majorana masses**, forbidden at the perturbative level by lepton number conservation

$$\nu_R \nu_R M_s e^{-2\pi T} \quad T = \rho + i\phi$$

*Blumenhagen, Cvetič, Weigand '06*

*Ibáñez & Uranga '06*



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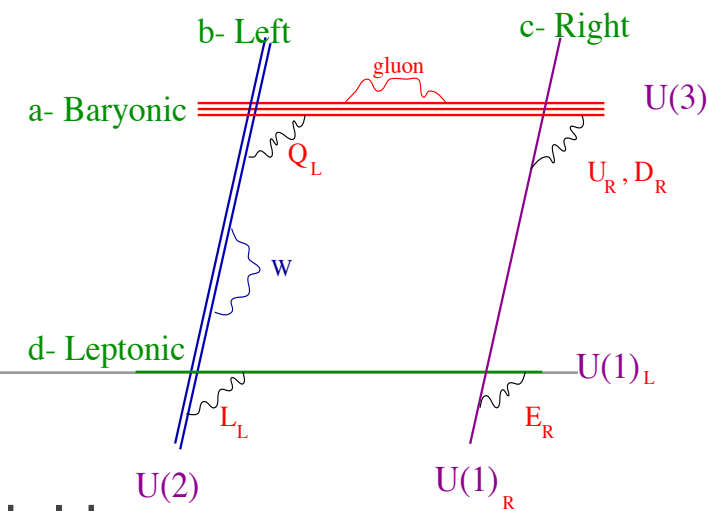
- In general they can **break** the **U(1)** completely or to a  $\mathbb{Z}_k$  subgroup

$$\mathcal{L}_{\text{Stk}} = \frac{1}{2}(d\phi + kA) \quad \text{Berasaluce-Gonzalez et al. '11}$$

- If **k is non-trivial**, they still have to preserve a **residual  $\mathbb{Z}_k$  gauge symmetry**  
 $\Rightarrow$  some **couplings** are **forbidden** at all levels

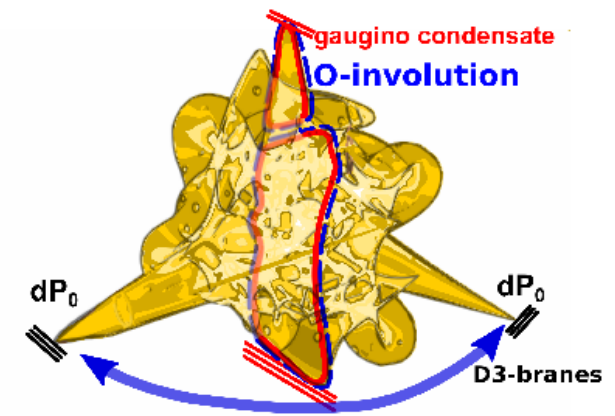
Tree level	$Y_{ijk}$
Non-perturbative	$Y_{ijk} e^{-2\pi T}$
Forbidden	0

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- This has allowed to conceive **new kinds of models**, even in other model building approaches, and to better **understand** their **4d effective theories**.
- Recently:
  - D-brane instantons
  - Discrete gauge symm. } **hierarchy of couplings**
  - Non-Abelian discrete flavour symmetries
- Also:
  - Systematic scan on MSSM-like models in **toroidal and RCFT orientifolds**  
*Honecker. et al. '08-14*      *Schellekens et al. '10*
  - Systematics of classical **de Sitter solutions**  
*Danielsson et al. '09-12*

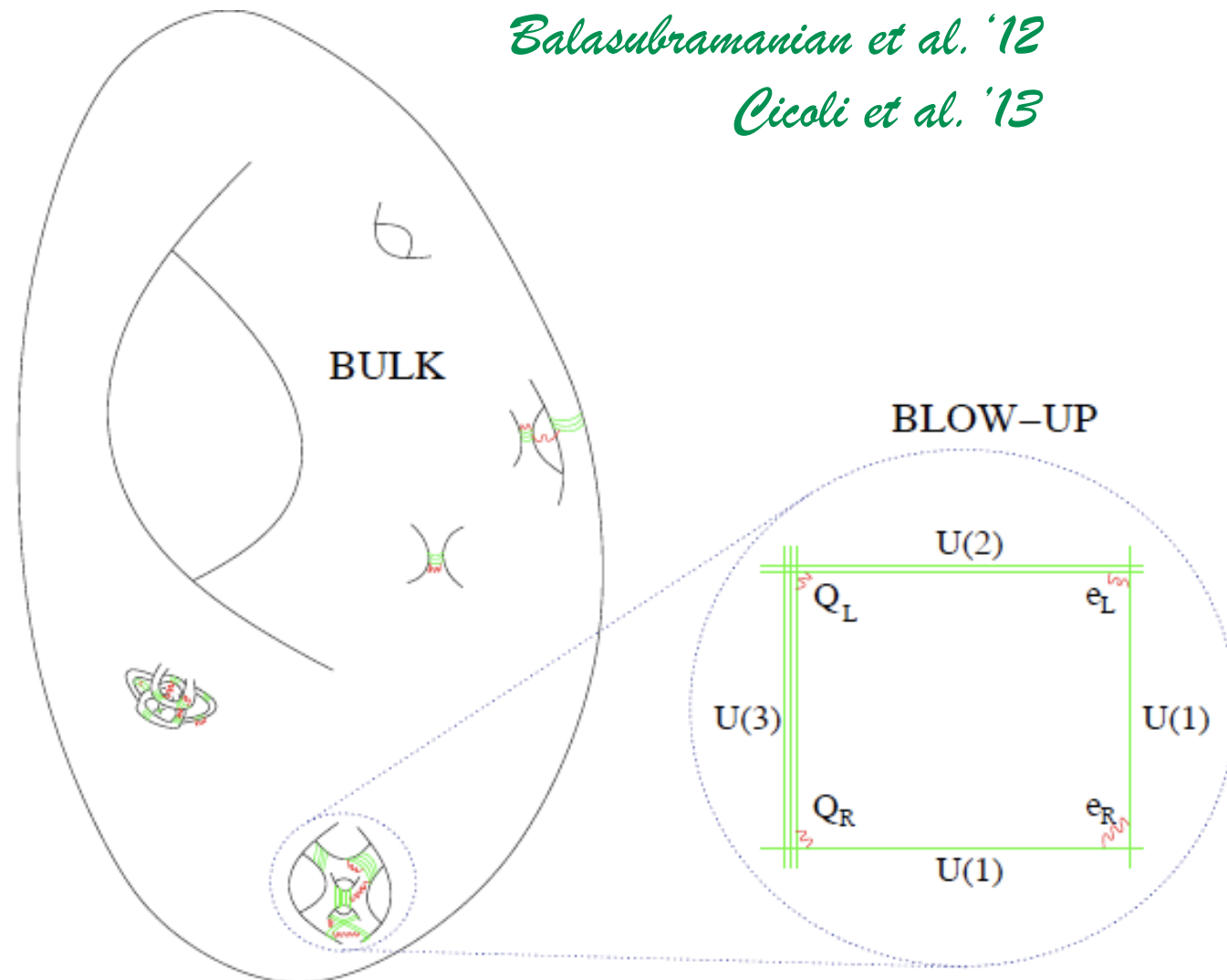
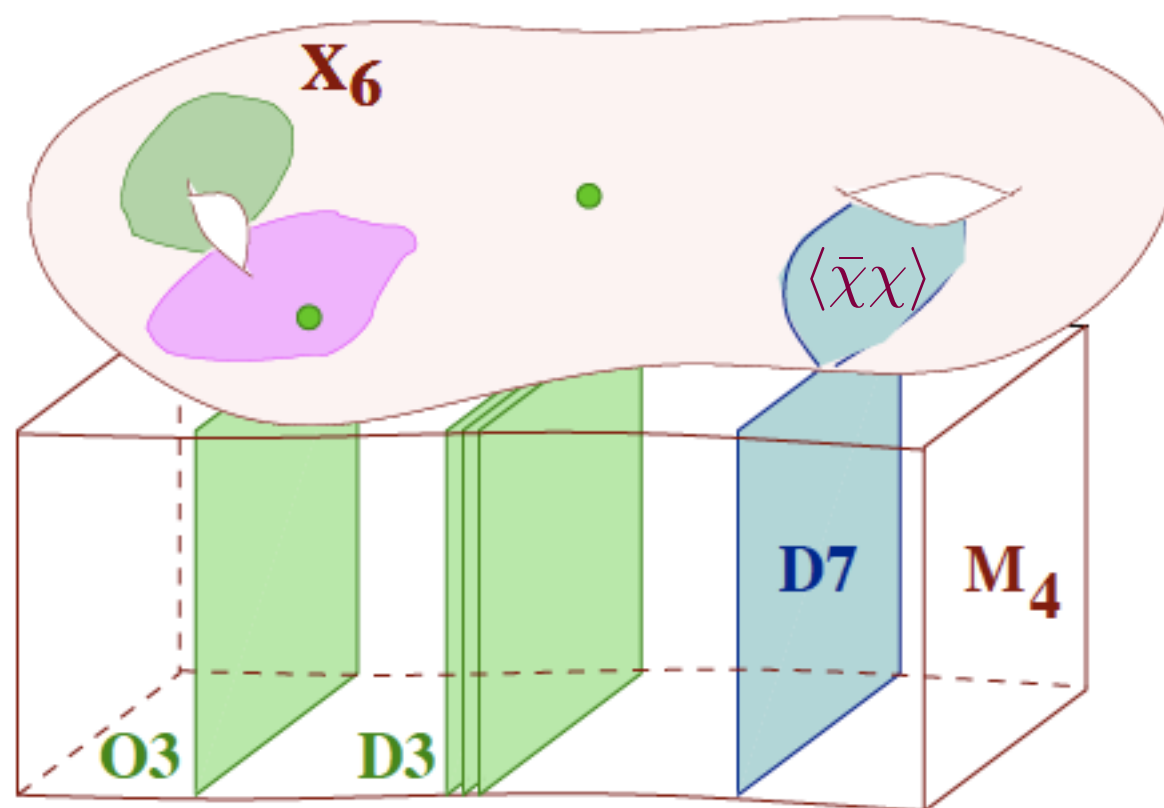
# The type IIB strength



- Type IIB models provide a **unique framework to combine particle physics** model building with the program on **moduli stabilisation** & string **cosmology**
- **Singularity** model building **well developed**. Important to understand the global completion of local models

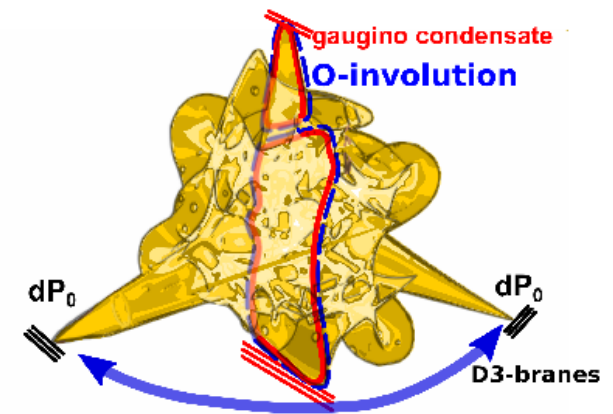
*Balasubramanian et al. '12*

*Cicoli et al. '13*





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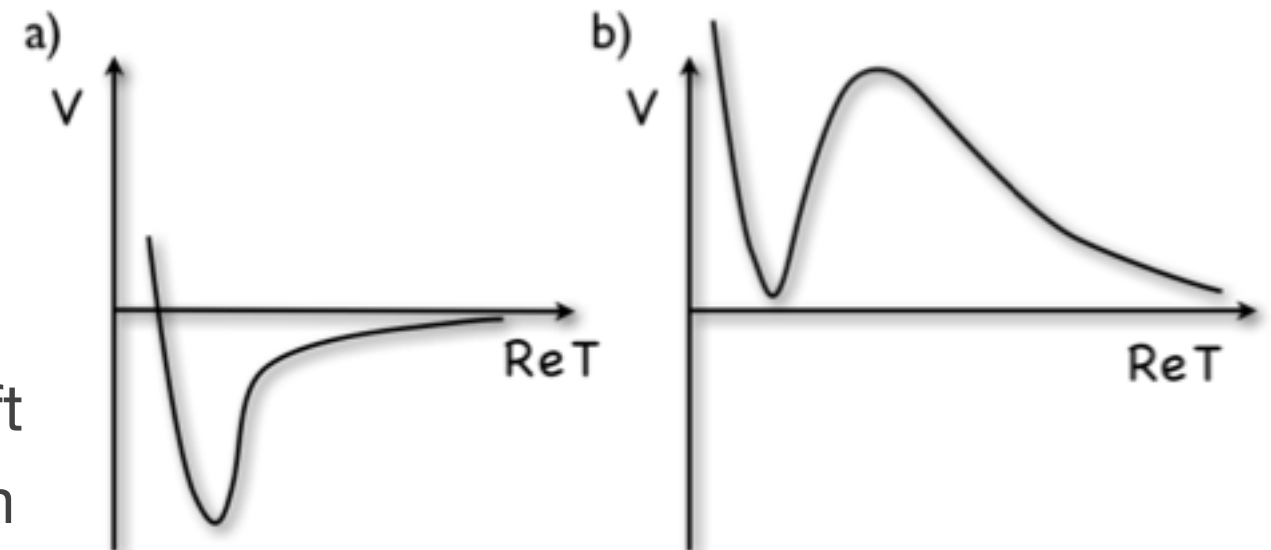


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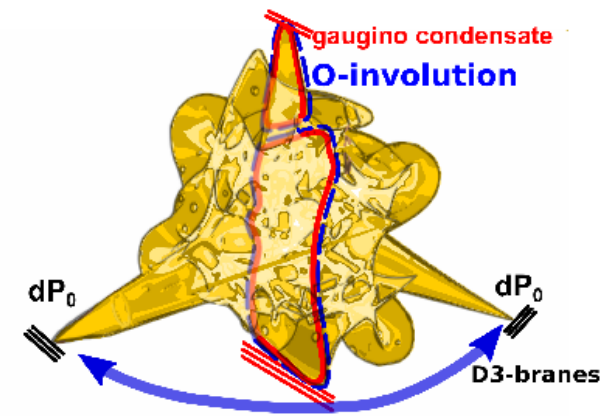
*Cicoli et al. '13*

- Most **popular settings for dS vacua**
  - KKLT
  - Large Volume Scenario
- Both need of **anti-D3-branes** to uplift from AdS to metastable dS<sub>4</sub> vacuum



Ongoing debate on whether anti-D3-brane vacua are metastable

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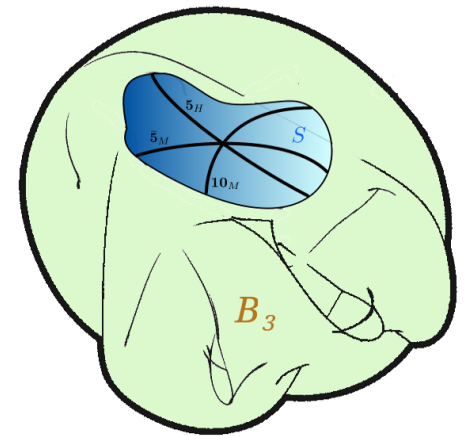
- Most **popular settings for dS vacua**
  - KKLT
  - Large Volume Scenario
- These settings could in principle be realised in other string corners by using **generalised geometry** techniques. So far not much progress due to **lack of existence theorems** for manifolds beyond Calabi-Yau

*see Derendinger's talk*

- Current attempt: non-geometric backgrounds

*Blumenhagen, Lüst et al. '11-14*

# Type IIB and SUSY breaking

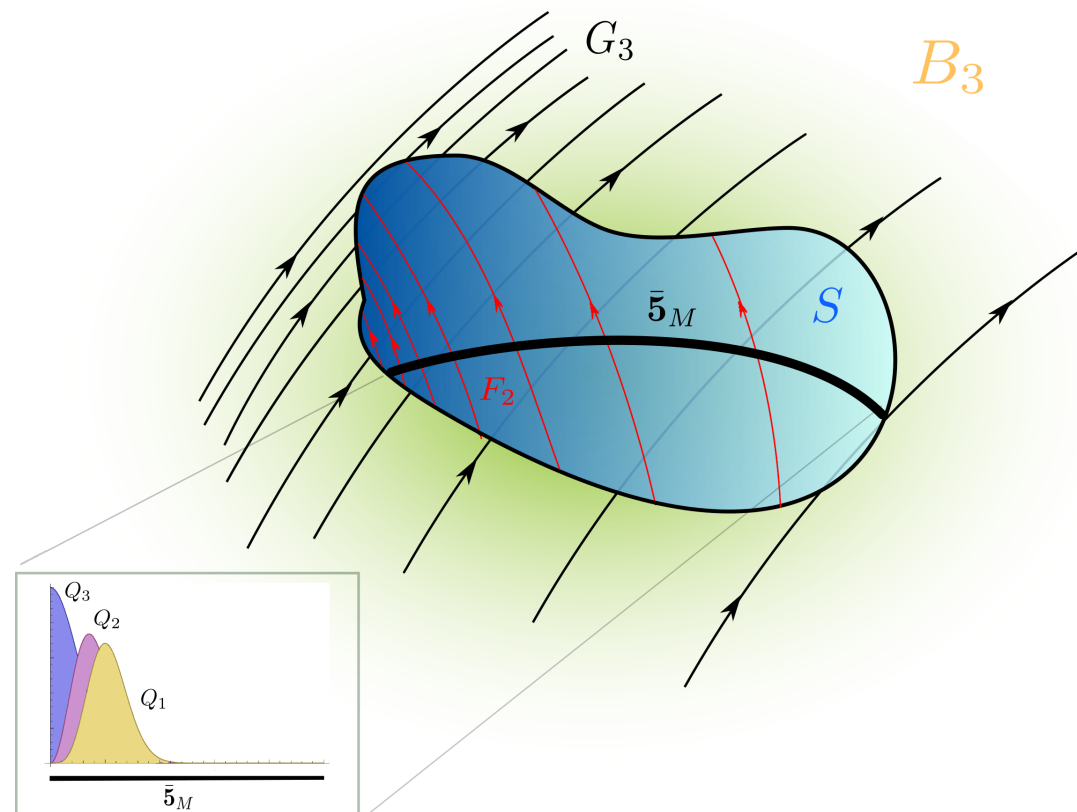


- Type IIB models are also particularly suitable to analyse **SUSY breaking** effects on particle physics models
- **Flux-induced** SUSY breaking **soft terms** can be computed microscopically on **D7-brane models** → flavour dependence
- **D3-brane at singularity** models may present the feature of **sequestering** → microscopic understanding still to be developed

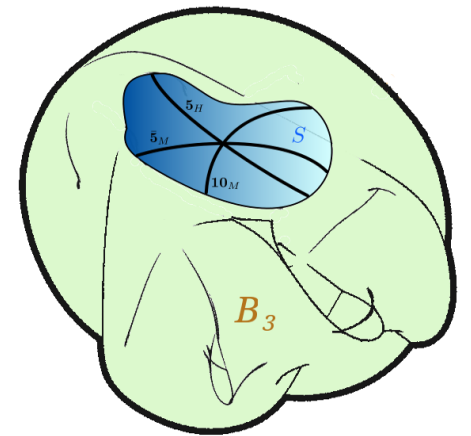
*Camara et al. '04-13*

*Blumenhagen et al. '09*

*Aparicio et al. '14*

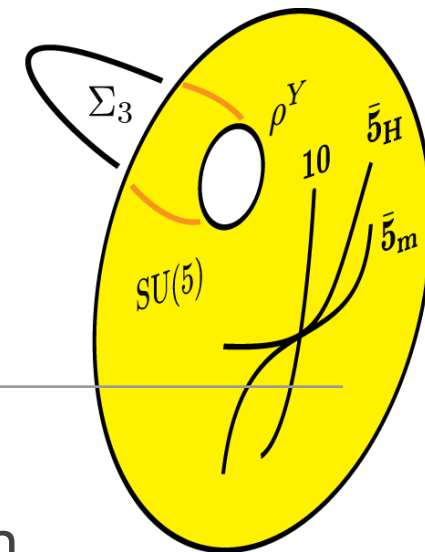


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*Camara et al. '04-13*
- **D3-brane at singularity** models may present the feature of **sequestering** → microscopic understanding still to be developed  
*Blumenhagen et al. '09*  
*Aparicio et al. '14*
- **Control over warping** allows to endeavour the computation of warped effective actions, as well as to apply **holographic techniques**
  - Holographic duals of the SM  
*Cascales, Saad, Uranga '05*
  - Holographic gauge mediation  
*Benini et al. '09*

# The power of F-theory



- F-theory provides the most direct strategy to **build GUT models** with **universal features**, thanks to the **bottom-up approach**
- New mechanism for **GUT-breaking**: **hypercharge flux**  
→ new possibilities for **doublet-triplet splitting**
- Large top Yukawa** and **hierarchical mass spectrum**  $\mathcal{O}(1), \mathcal{O}(\epsilon), \mathcal{O}(\epsilon^2)$

*Donagi & Wijnholt '08*  
*Beasley, Heckman, Vafa '08*

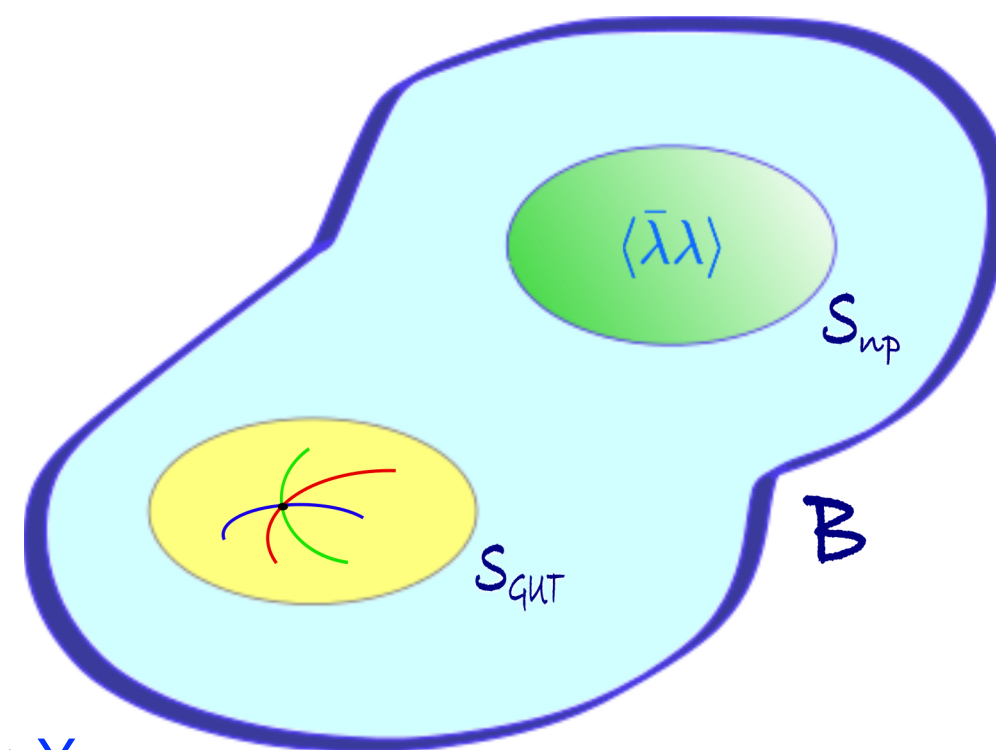
- Rank 1 Yukawas** via topological conditions

*Cecotti et al. '10*

- Non-perturbative effects** increasing the rank

*F.M. & Martucci '10*  
*Font et al. '12-13*

- Deviation from 4d GUT relations thanks to hypercharge flux dependence of masses:  $Y_\tau \neq Y_b$







Vacua statistics

# How many vacua?

---

- It has been estimated that the number of semi-realistic **string vacua** could be of order  **$10^{500}$**

*Bousso & Polchinski '00*

*Douglas '03*

- If this is the case it may not make much sense to search for elegant schemes to, e.g., reproduce quark masses.
- Instead, a more elegant manner to **extract predictions** from the theory could be a statistical strategy, posing questions like “**Which fraction of vacua** have a small positive cosmological constant?”
- There have been some attempts to implement this approach in simple vacua

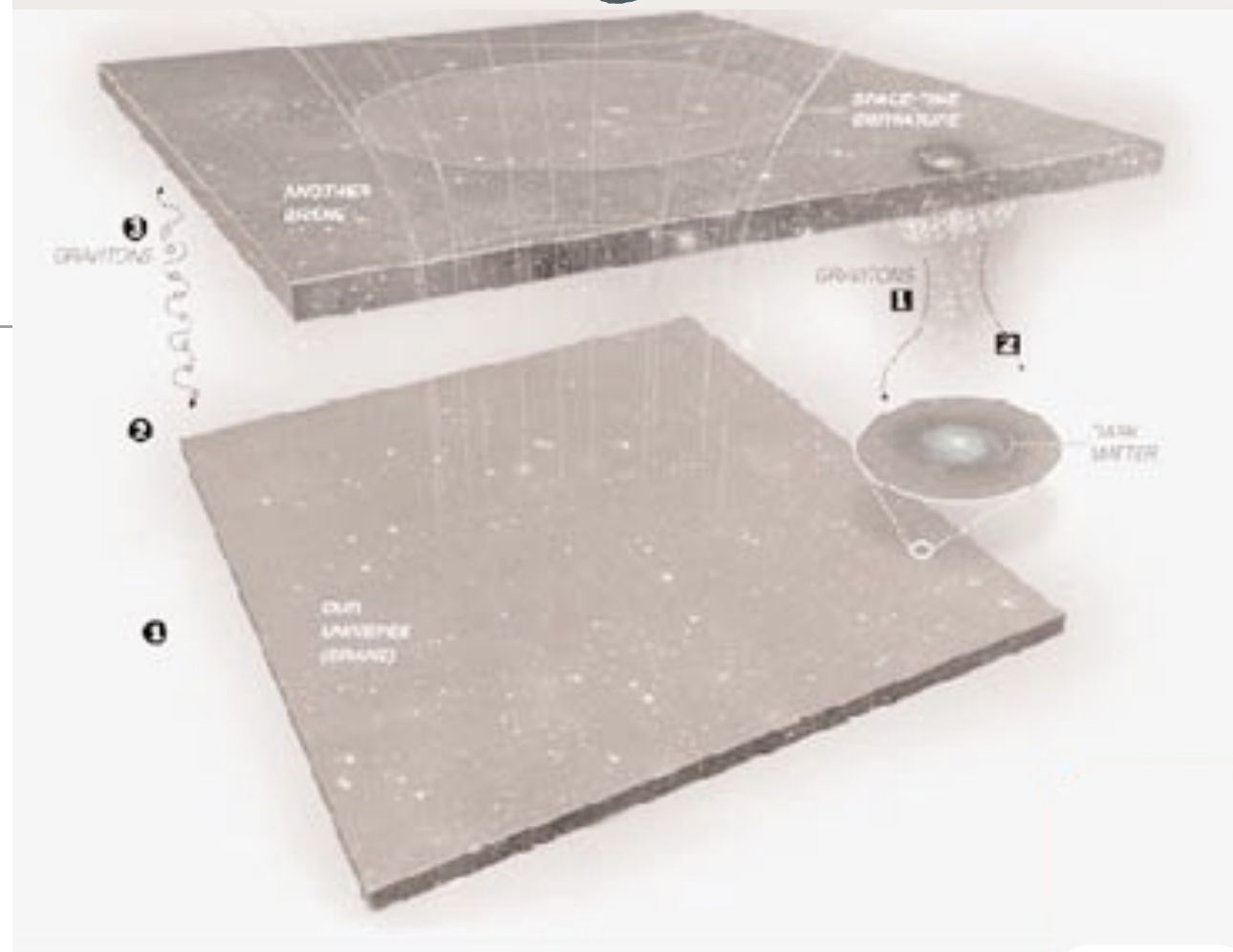
*Gmeiner et al. '05*

# In the 'Landscape'

---

- Determining **whether there is a 'Landscape'** of realistic vacua is an **important and valid question**
- However, it is also important to recall that we have **not** found a single **fully realistic model yet**
- In particular, none of the infinite families of isolated string vacua have a **chiral spectrum**, one of the key properties of the SM
- In addition, **the  $10^{500}$  vacua estimate neglects** the interaction between
  - ✦ Fluxes (source of moduli stabilisation)
  - ✦ D-branes (source of chirality)**}  $\Rightarrow$  Tension between both**

# Generating scenarios



# Inspiring scenarios

---

- A [more indirect way](#) to connect string theory with observable physics is via [generating scenarios](#) Beyond the Standard Model

- [Examples](#) up to now:

SUSY

Large Extra Dimensions

Warped Extra Dimensions

D-brane Inflation

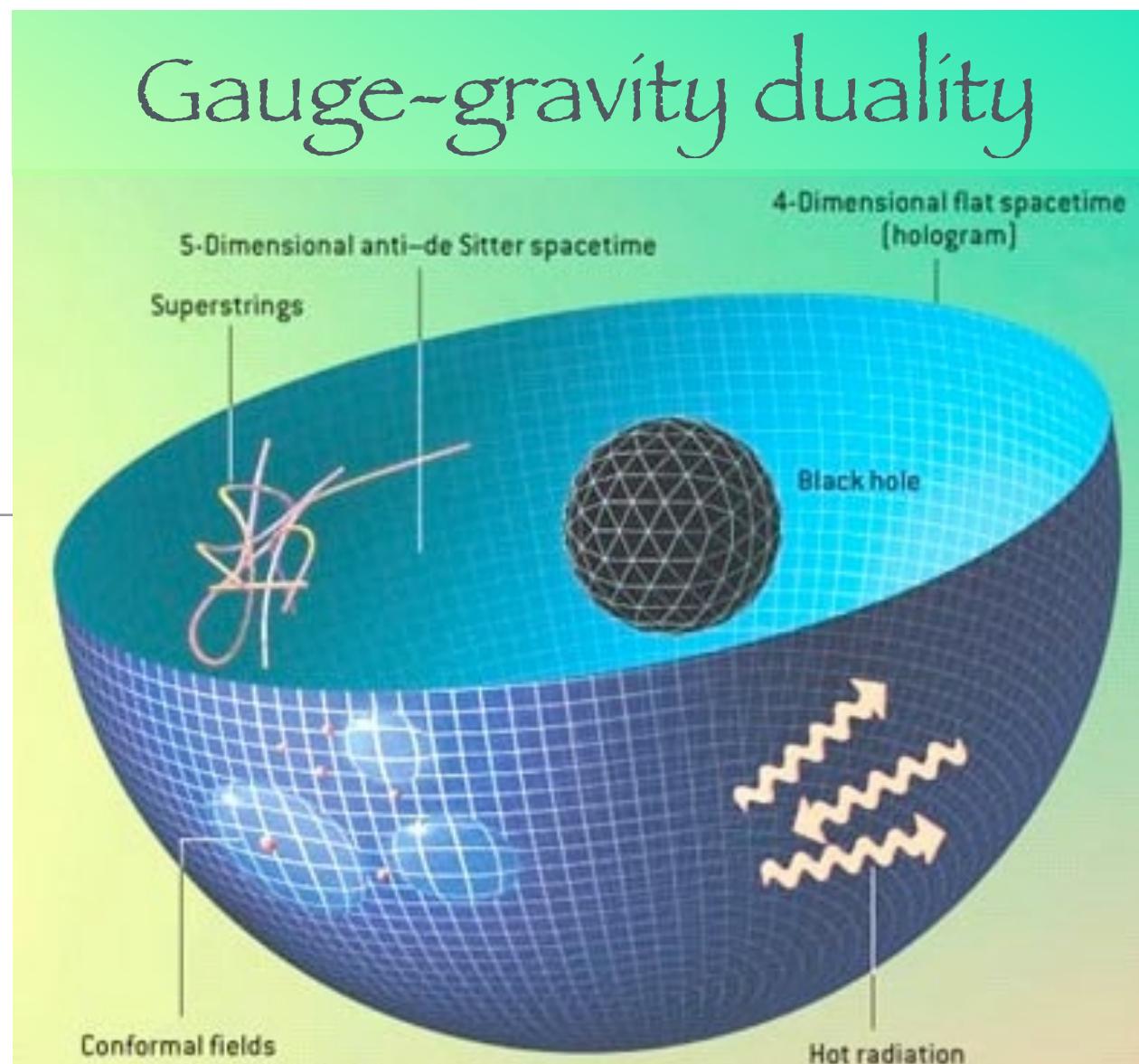
Axion monodromy Inflation

...

- New string theory constructions give rise to [new scenarios](#) (example: GUT gauge group breaking via hypercharge flux)



# Gauge-gravity duality



# AdS/CFT and holography

*see Petropoulos' talk*

---

- String theory is used as a **tool** to extract the physics of **field theories at strong coupling**
  - **Gravity dual** physics is similar to that of string vacua with **strong warping**
  - In general, **lessons learnt about such vacua** contain valuable information on the physics of the **gauge dual**. Lessons on model building also useful here!!
  - On the other hand, holographic duals allow to systematically explore the generation of scales due to **strong gauge dynamics**, which is one of the most robust mechanism to **generate hierarchies**.
- ➔ **Holographic techniques** are useful **to implement hierarchies in string models of Particle Physics and Cosmology**

What are the open questions?

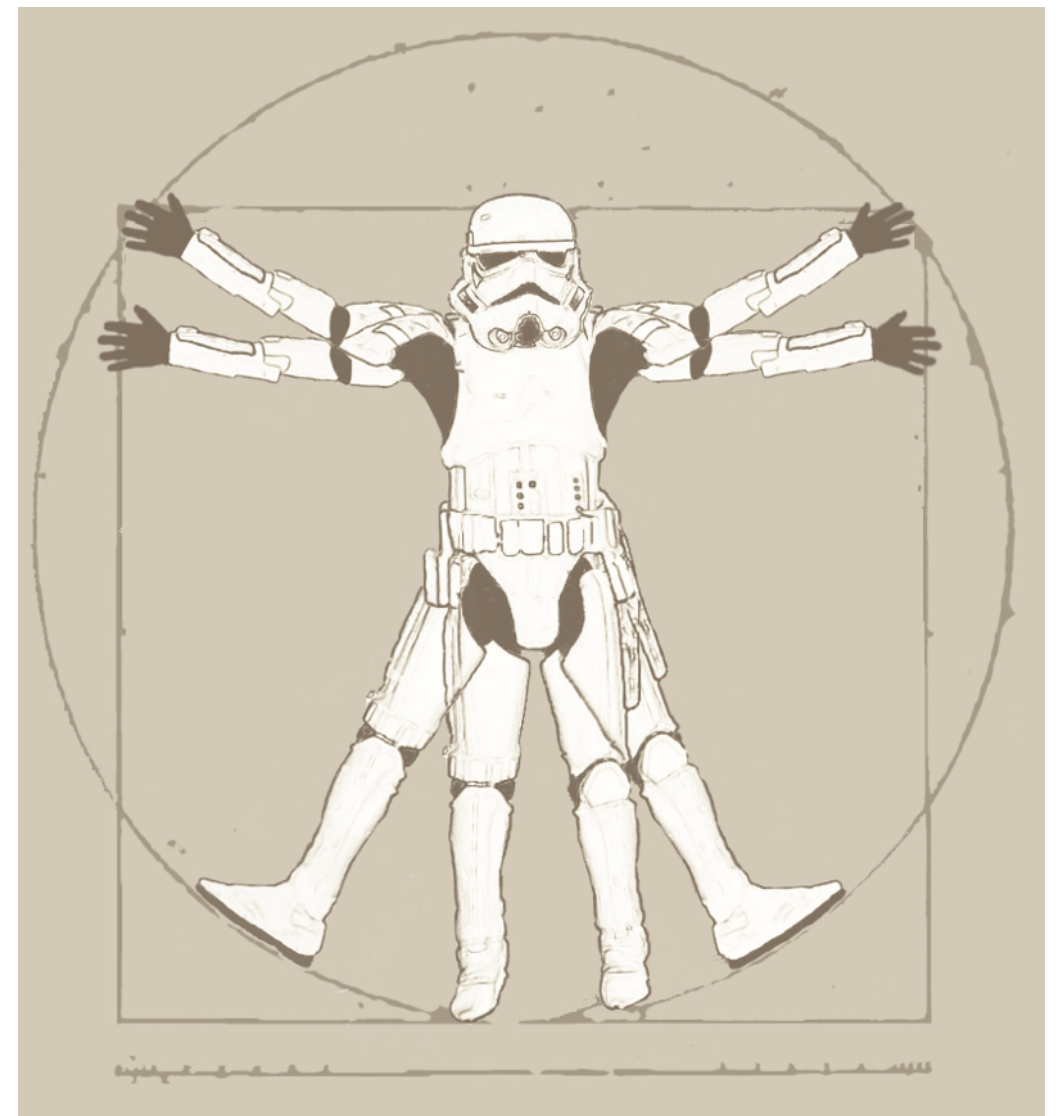
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# The String Landscape

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- Is there a **landscape** with... ?
  - Reasonable cosmological constant
  - Standard Model spectrum
- If no, which dynamical **vacuum selection** principle are we missing?
- If yes, do environmental/**anthropic selection principles** play a role in explaining observable physics?  
To which quantities do they affect?



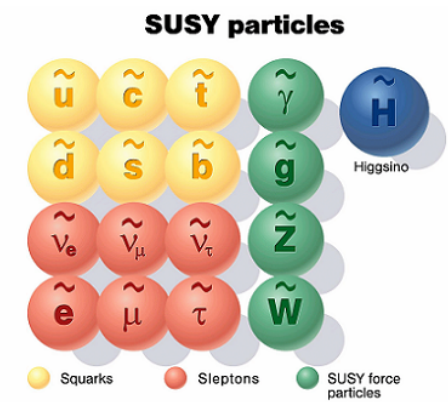
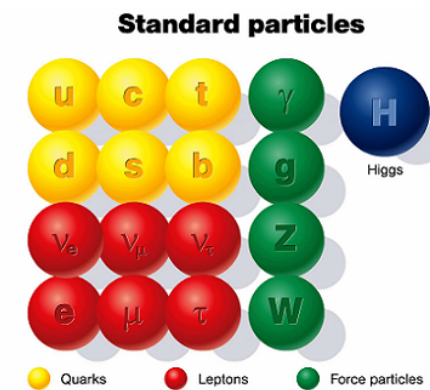
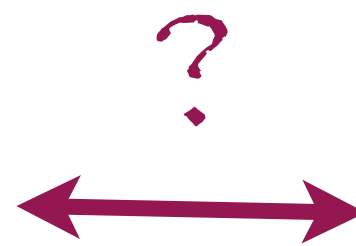
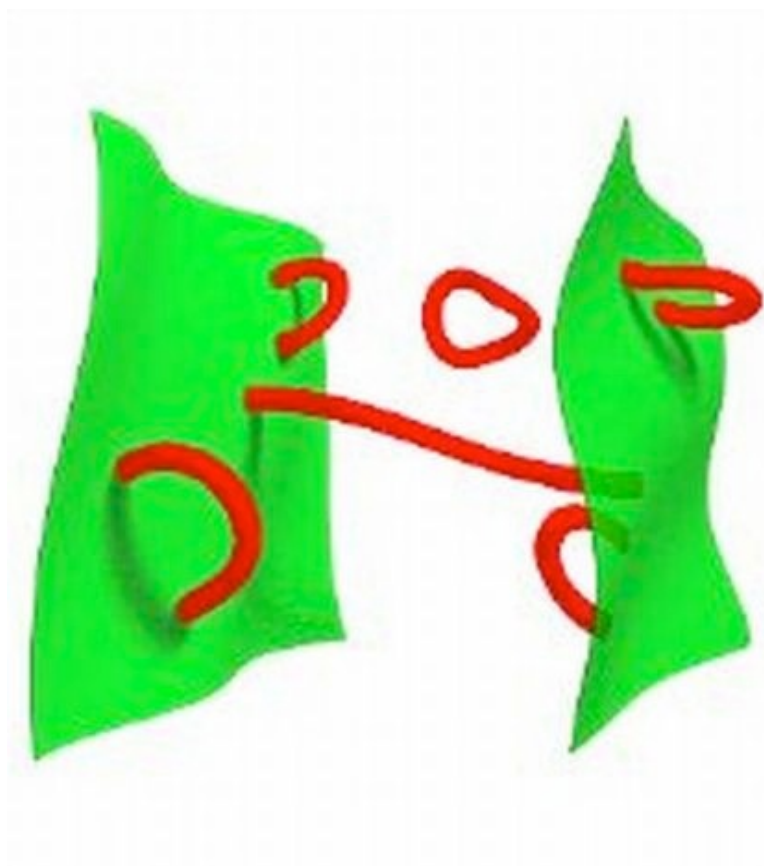
# Other open questions

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- Why is **de Sitter** so hard to get?
- What is the **SUSY breaking scale**?
  - Low
  - Intermediate
  - High
- What is the most natural **string scale**?
- Is gauge **coupling unification** favoured?
- Which input does the **Higgs mass** give?
- Small vs. large field inflation
- ...



# Strings and SUSY



# What is the string scale?

*see Antoniadis' talk*

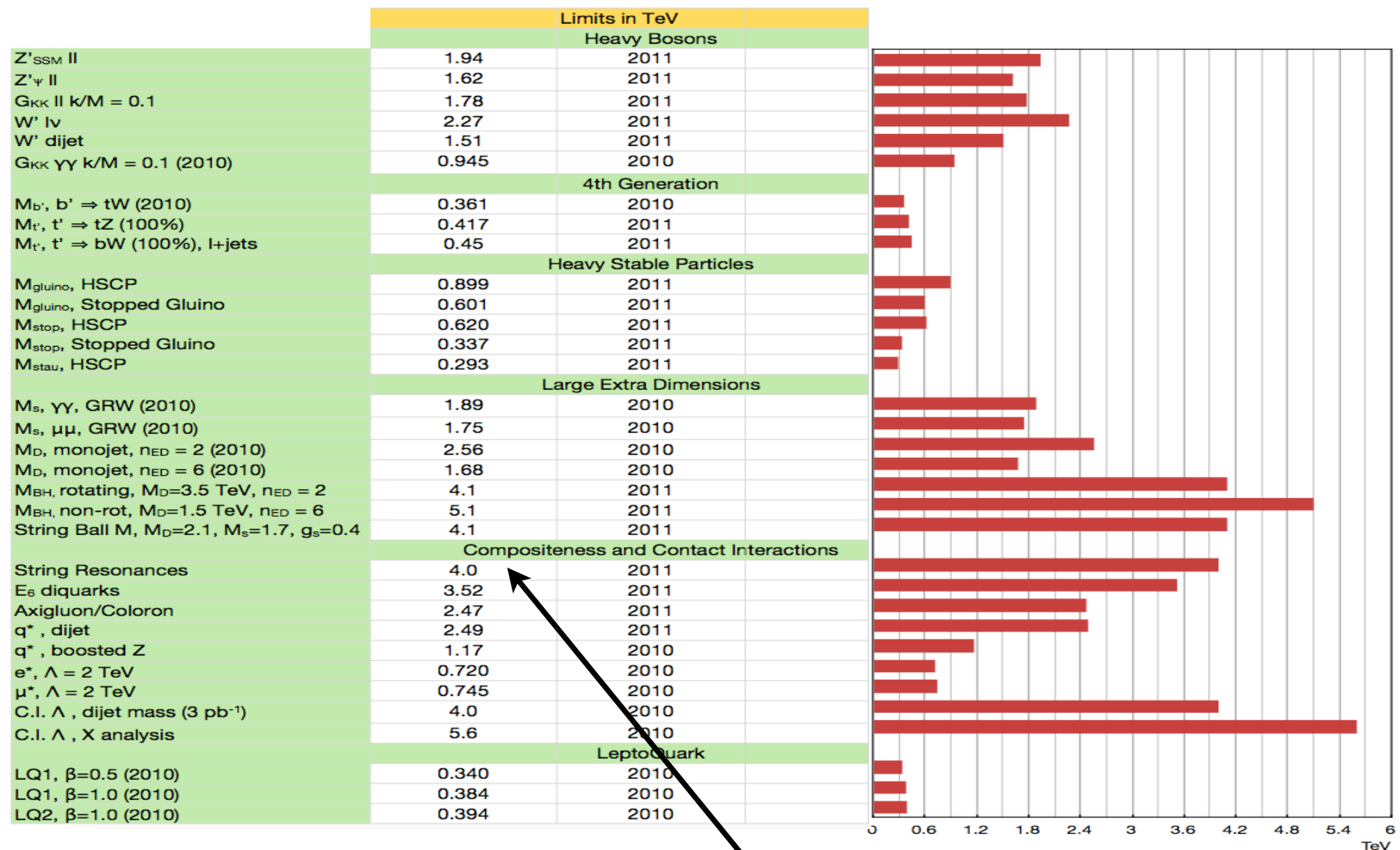
- The **string scale**  $M_s$  is in principle the only **free parameter** of the theory.  
It is chosen depending on the string scenario
- **Pre D-brane scenario**: gravity and gauge interactions both propagate over  $X_6$ 
  - realistic 4d couplings fix  $M_s \sim g_{YM} M_P$  and  $M_{KK}$  slightly smaller
  - we need **SUSY in the TeV -  $M_s$  range** to address the hierarchy problem
- **D-brane scenario**: allows to dilute gravity  $M_s \sim g_{YM} M_P [V_{\perp}/g_s]^{1/2}$ 
  - we can **lower the  $M_s$**  down to the **TeV** *Antoniadis et al. '98*
  - **no need for SUSY**, even at  $M_s$ 
    - Light  $Z'$  bosons
    - Effects on SM amplitudes from exchange of Regge resonances or KK modes *Anchordoqui et al. '09-14*
    - Black hole production
    - ...

# TeV string scale?

*see Antoniadis' talk*



## Summary of the searches in EXO

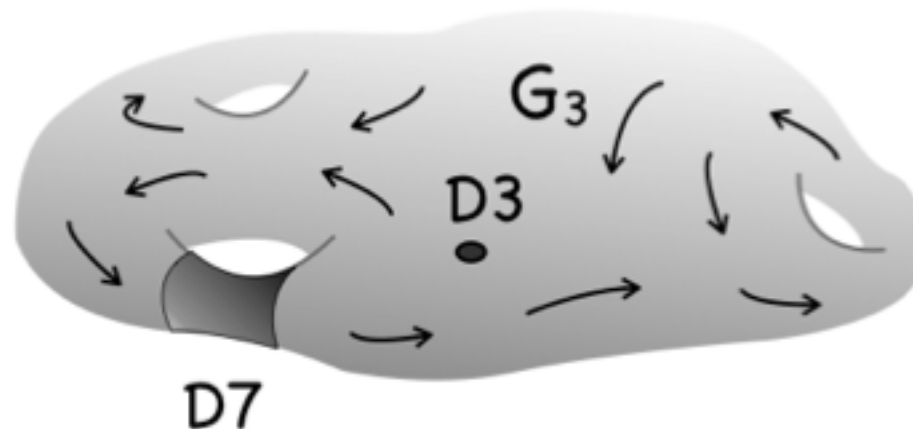


$M_s > 4-5 TeV$

# Strings and supersymmetry

---

- In the most elaborated models, however, SUSY is lurking at some scale
- This is not so surprising because after all SUSY is a fundamental symmetry of string theory, and as such it should be present at some scale, even if very high
- In fact in many moduli stabilisation scenarios that include gravity, supersymmetry is necessary to guarantee vacuum stability, and to avoid tachyonic modes.
- Typical scenario: supersymmetry is broken spontaneously in the gravity sector via background fluxes and other ingredients (np effects), and this generates soft terms on the MSSM brane sector of the theory



# Strings and supersymmetry

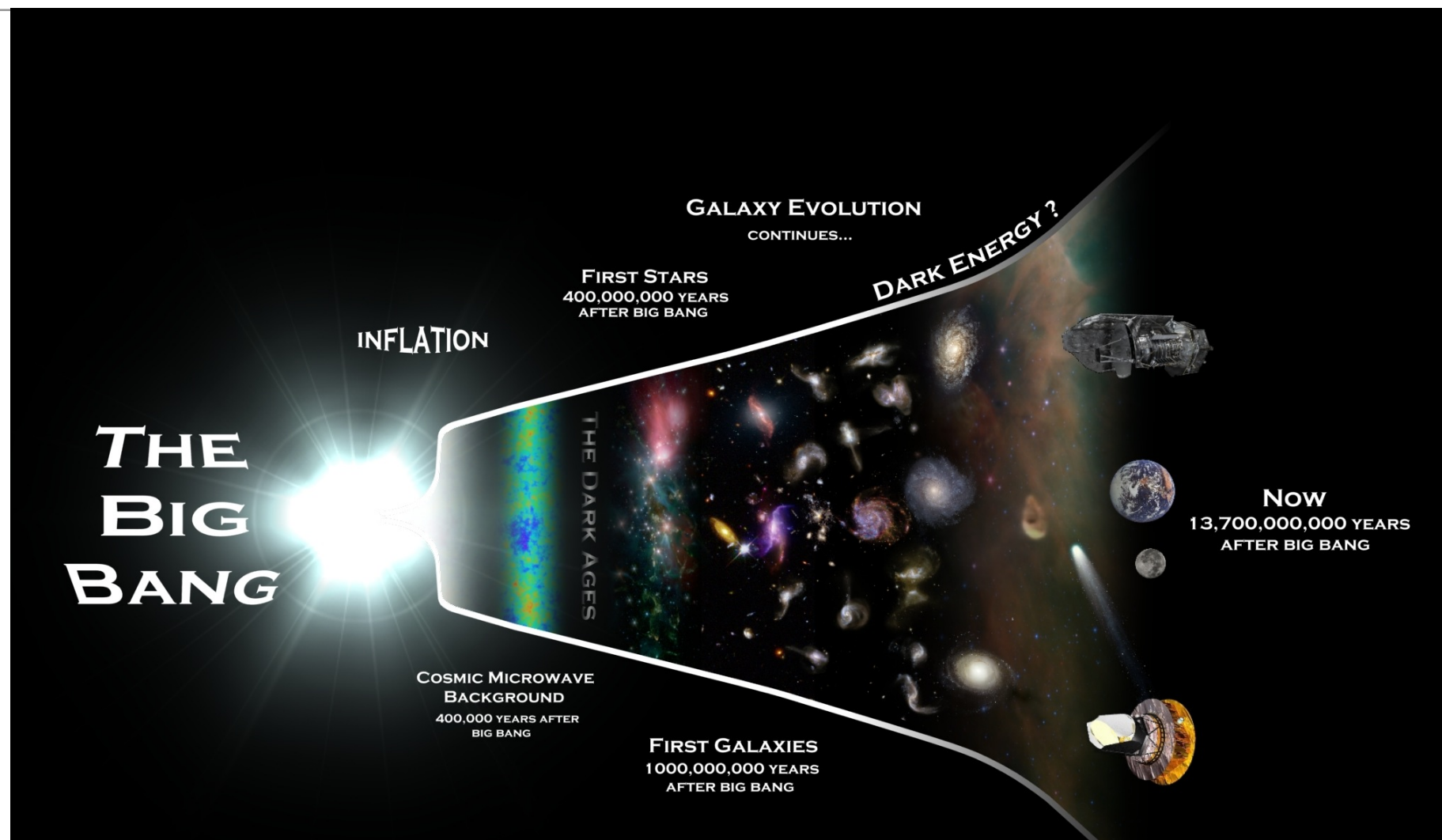
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- Typical scenario: supersymmetry is broken spontaneously in the gravity sector via background fluxes and other ingredients (np effects), and this generates soft terms on the MSSM brane sector of the theory
  - KKLT scenario:  $M_s \sim 10^{16}$  GeV and  $W_0 / M_p^3 \sim 10^{-15}$
  - LVS:  $M_s \sim 10^{11}$  GeV,  $V \sim 10^{16} \rightarrow W_0 / M_p^3 \sim 1$

for  $m_{3/2} \sim 1 \text{ TeV}$

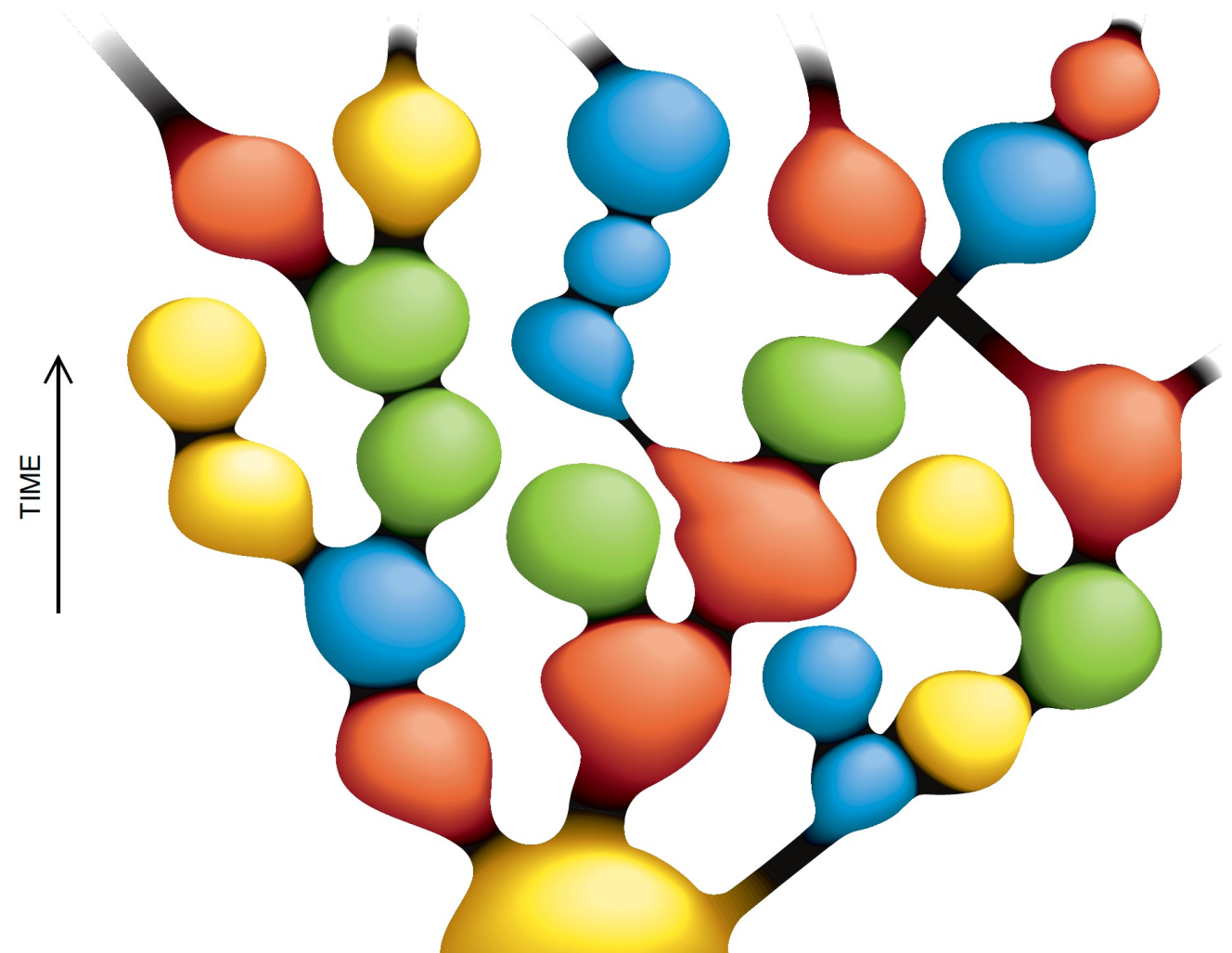


# From strings to Cosmology



# Inflation

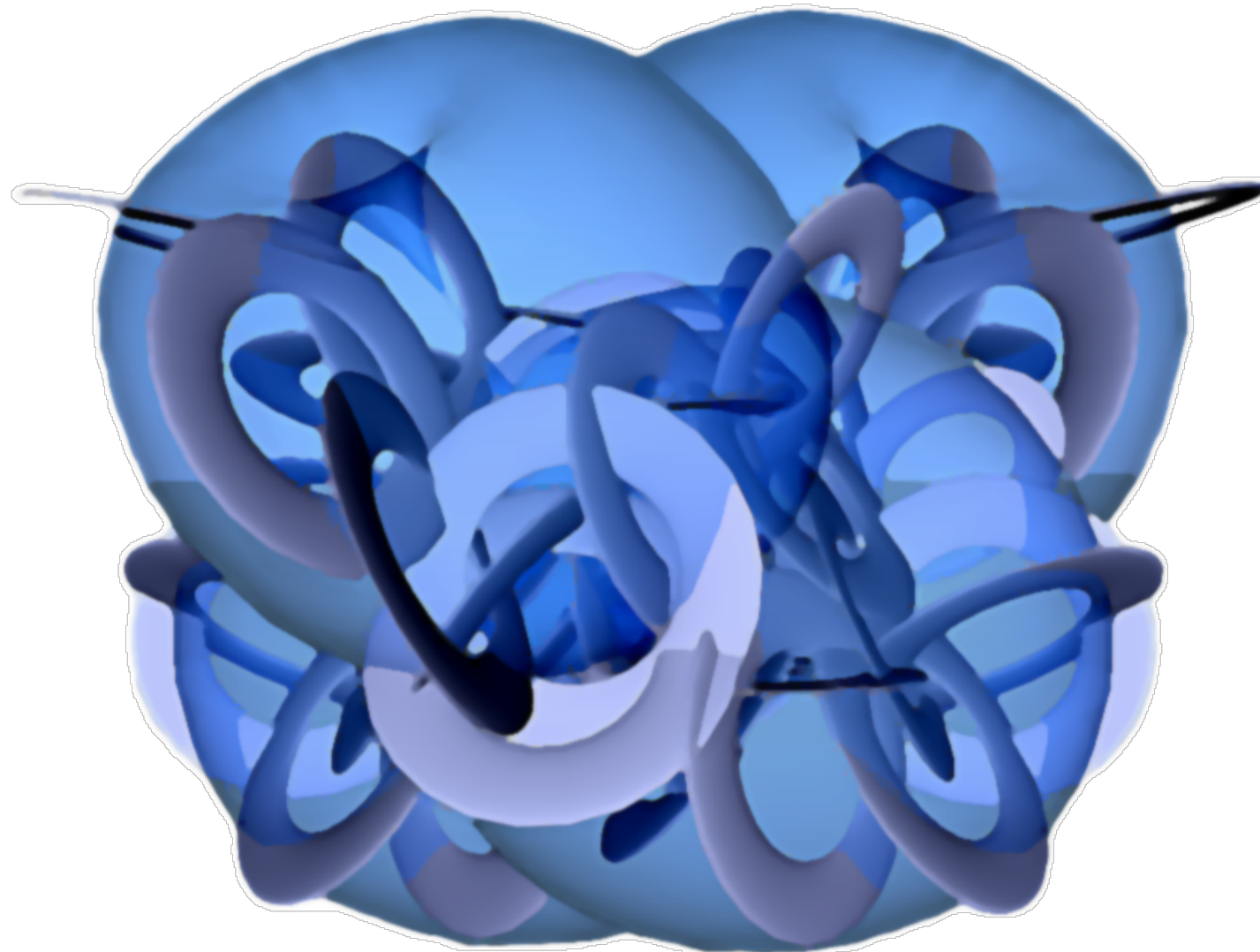
- A crucial mechanism for the string Landscape is the population of vacua via **eternal inflation**
- Typical example: chaotic inflation
- It is therefore important to construct **inflationary string models** that also include the SM
- Very interesting case: **large field** inflation



# Cosmology and moduli fixing

---

- When we couple the full **gravity sector** we encounter a **lot of massless fundamental scalars** in our theory: the closed string **moduli**
- Some of them are axions but some of them are not, and **describe the shape of the compactification manifold  $X_6$**  (volume of some  $n$ -cycle  $\Pi_n \subset X_6$ )



# Cosmology and moduli fixing

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- When we couple the full **gravity sector** we encounter a **lot of massless fundamental scalars** in our theory: the closed string **moduli**
- Some of them are axions but some of them are not, and **describe the shape of the compactification manifold  $X_6$**  (volume of some  $n$ -cycle  $\Pi_n \subset X_6$ )
- We **need to fix the value** of such moduli because otherwise:
  - A **de Sitter vacuum** will quickly decay to a lower energy vacuum
  - An **inflation potential** is not reliable

Best framework:

Type IIB flux compactifications

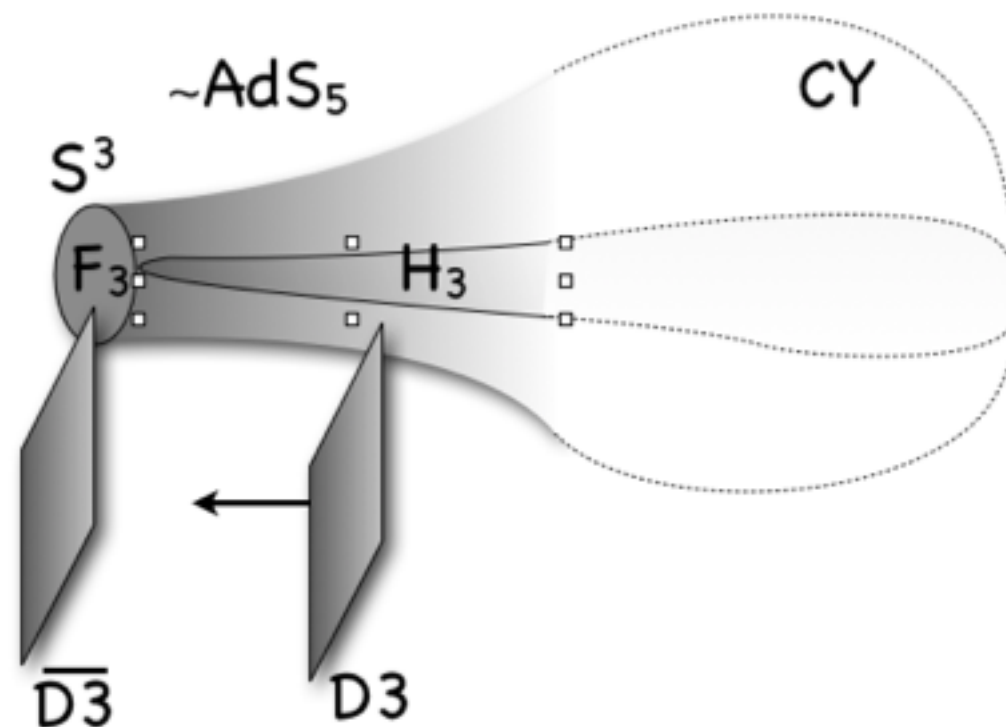
Most popular settings:

- KKLT
- Large Volume Scenario

# D-brane inflation

- Given such moduli stabilisation scenarios one may consider **models of inflation**.
- Classes of models depend on the **nature of the inflaton**.  
Quite popular nowadays is **D-brane inflation**:

*Dvali & Tye '98*



*Kachru, Kallosh, Linde, Maldacena, McAllister, Trivedi '03*

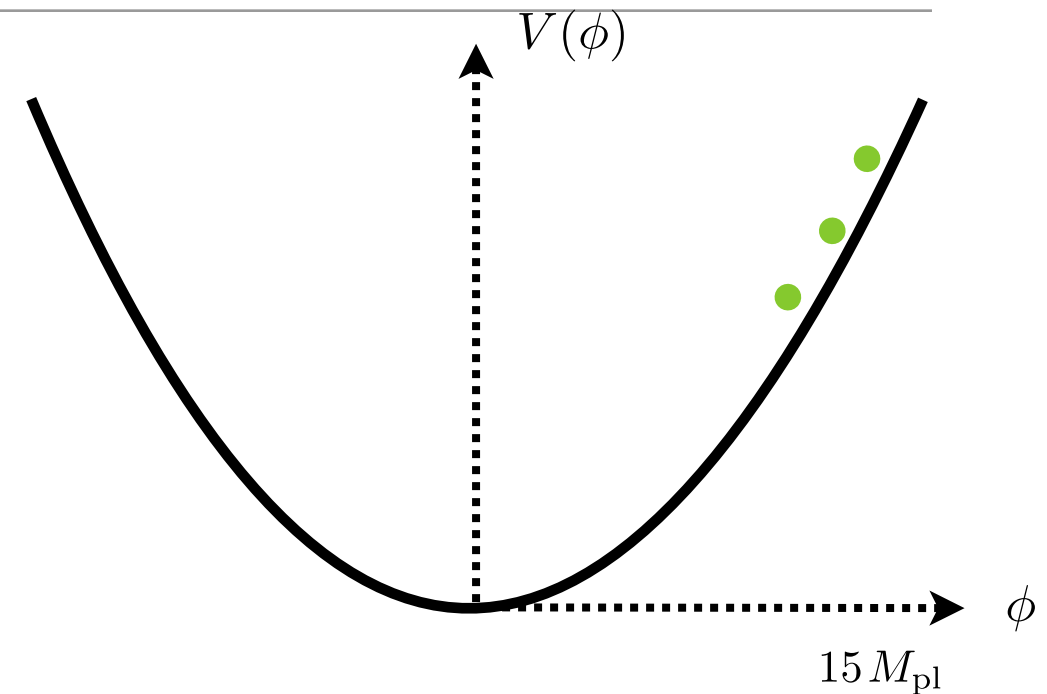
# Large field inflation

- **Large field inflation** models are particularly sensitive to UV physics. We need a theory of **quantum gravity** to properly deal with them

$$\mathcal{L}_{\text{eff}}[\phi] = \frac{1}{2}(\partial\phi)^2 - \frac{1}{2}m^2\phi^2 + \sum_{i=1}^{\infty} c_i \phi^{2i} \Lambda^{4-2i}$$



- Moreover in them the typical inflationary scale is  **$M_{\text{GUT}} \sim 10^{16} \text{ GeV}$** , which is very suggestive for models of unification.





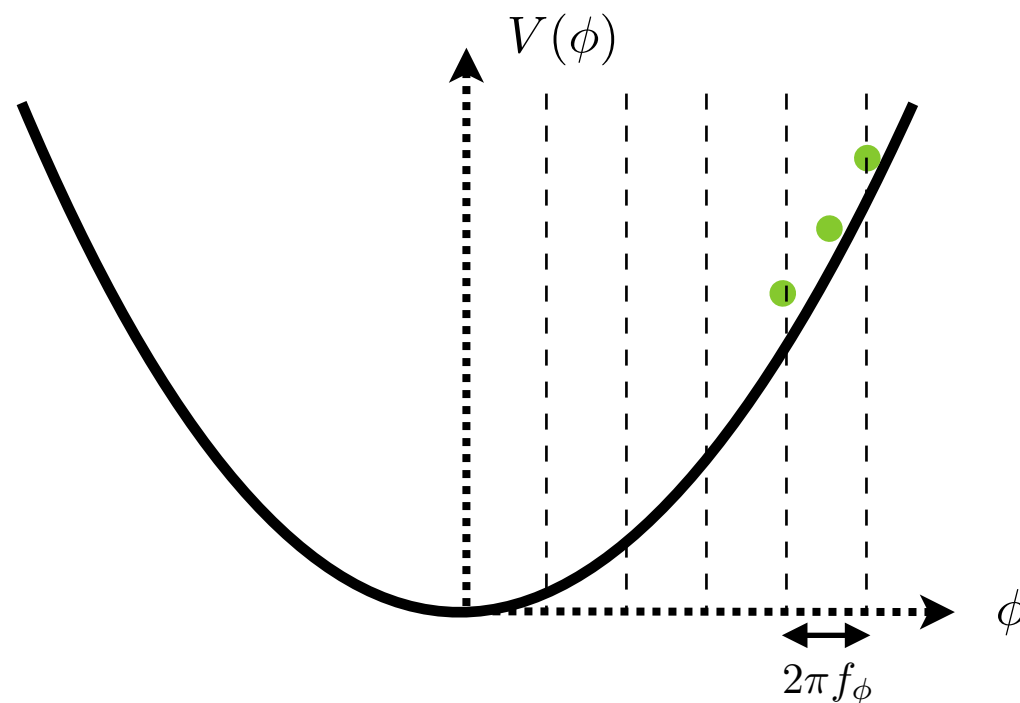
# Axions and strings

- In string theory one may elegantly implement large field inflation by identifying the **inflaton with an axion** and applying the **axion-monodromy** proposal

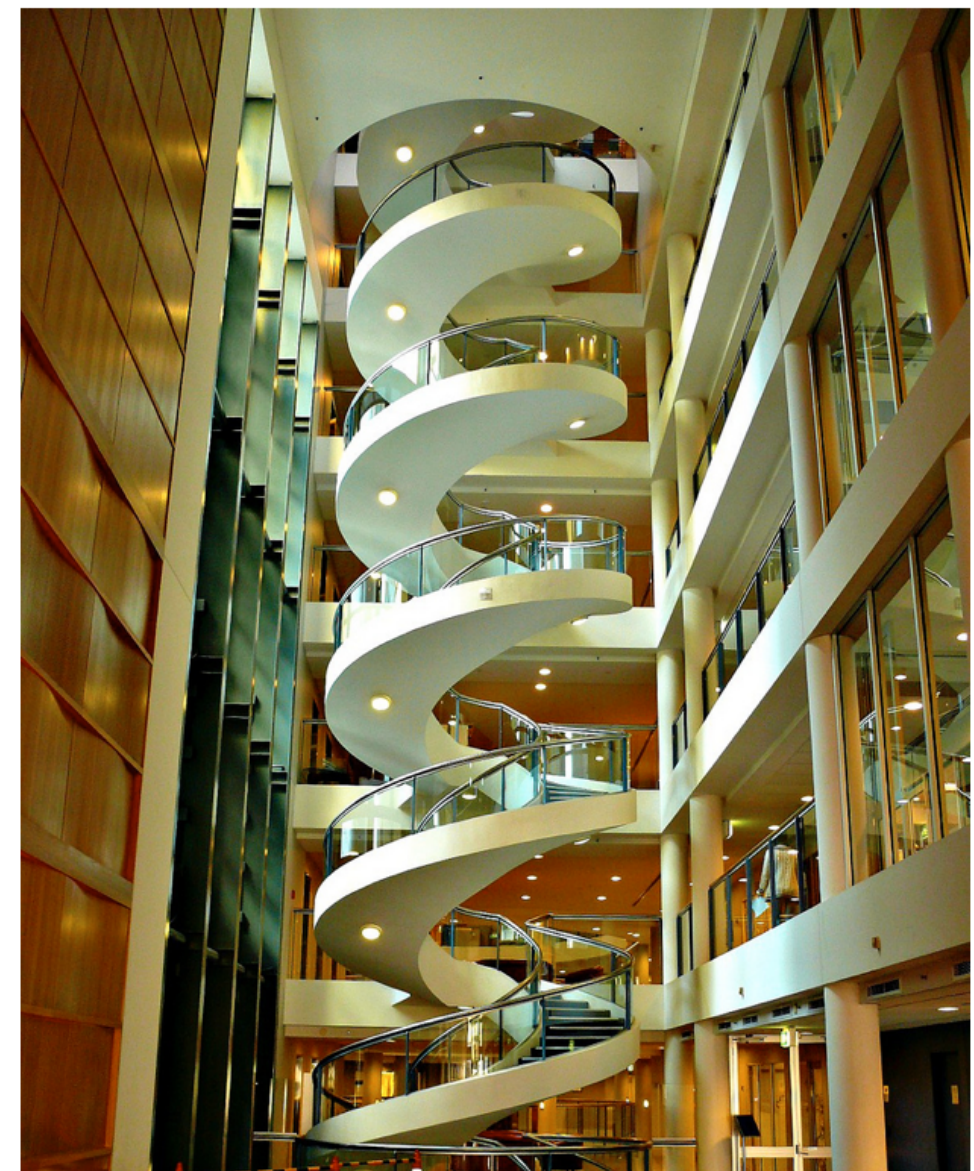
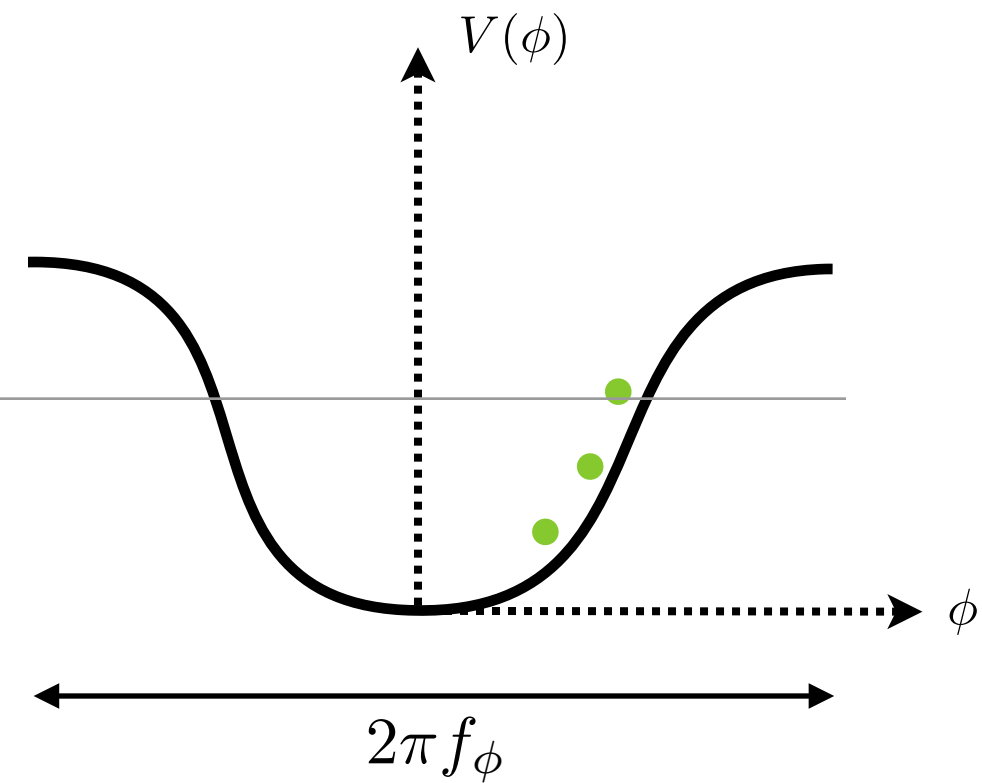
*Silverstein & Westphal '08*

- These ideas can be formulated in **4d effective field theory**

*Kaloper & Sorbo '08*



What about string theory?



# Strings and monodromies



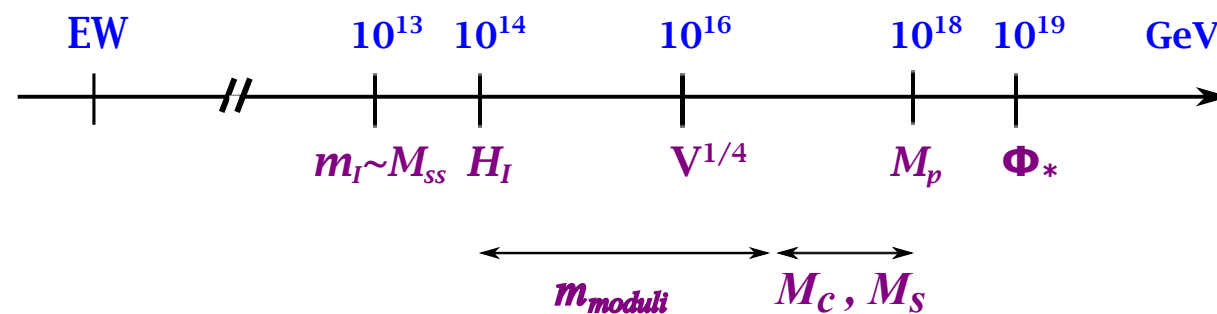
- One can obtain Kaloper-Sorbo by string compactifications where **axions** develop a **superpotential**

“F-term axion monodromy”

*F.M., Shiu, Uranga '14*

- Interesting results

- Starting with a quadratic potential there is a **flattening effect** that reduces the tensor to scalar ratio  $r \Rightarrow$  compatibility with current experimental bounds
- Several kinds of models, some in direct connection with particle physics

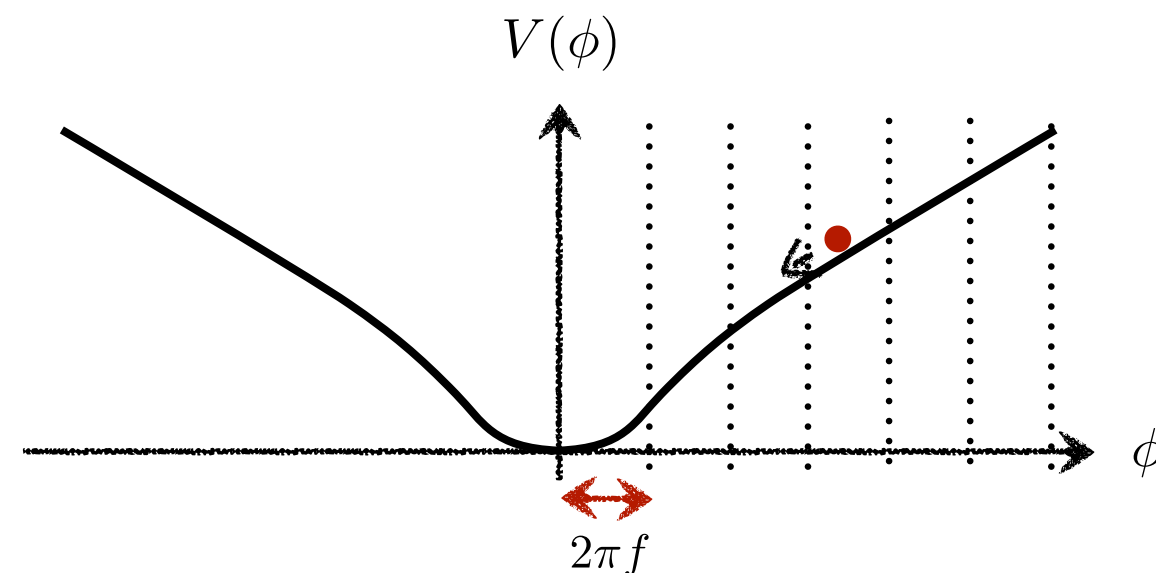


SM Higgs region

$H_L \sim h$

Higgs-otic region

$|h|, |H|$



*Ibáñez, F.M., Valenzuela '14*



# Conclusions

---

- To build a string model, we need to reproduce a “wish list” of SM features
- The first items of the list are more universal, as well as more robust with respect to corrections. Further items are usually more model-dependent
- A key feature is chirality. One can classify models by how chiral fermions arise.
- A quantity difficult to reproduce are Yukawa couplings, but vacua based on F-theory models can realise a hierarchical structure in a natural way.
- Other recent developments involve moduli stabilisation, SUSY breaking and discrete gauge symmetries in string models.
- Open questions mostly involve the string Landscape, the SUSY and string scales and how to implement early cosmology in string theory
- Most of the recent activity in string cosmology has been devoted to construct models of small and large field inflation



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# STRING PHENO 2015

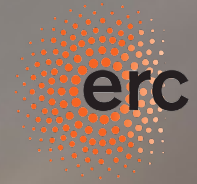
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