

# Causality constraints on the graviton 3-point coupling

José Edelstein

Department of Particle Physics  
Universidade de Santiago de Compostela

[jose.edelstein@usc.es](mailto:jose.edelstein@usc.es)

Iberian Strings 2015  
Salamanca, May 29, 2015

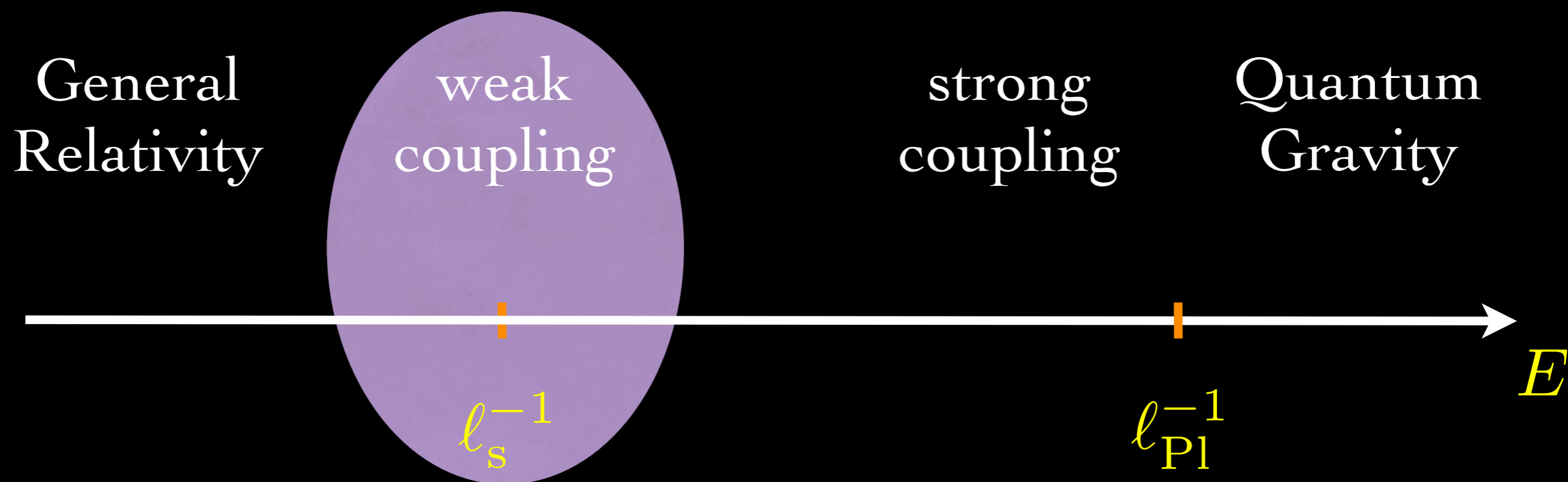
# Local, Lorentz invariant Lagrangians may be inconsistent

ADAMS, ARKANI-HAMED, DUBOVSKY, NICOLIS, RATAZZI, 2006

Causality, unitarity or analyticity of the S-matrix not guaranteed

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \frac{c_1}{\Lambda^4}(F_{\mu\nu}F^{\mu\nu})^2 + \frac{c_2}{\Lambda^4}(F_{\mu\nu}\tilde{F}^{\mu\nu})^2 + \dots$$

We want to scrutinize the status of gravity by **weakly coupled modifications to the Einstein tensor**



From the point of view of the Lagrangian

$$\mathcal{L} = R + \sum_{m=1} \zeta_m \mathcal{P}_m(R, R_{\mu\nu}, R_{\mu\nu\rho\sigma})$$

where  $\mathcal{P}_m$  are polynomials of order  $m+1$  in the curvatures

If the above Lagrangian is a Wilsonian effective Lagrangian

$$\zeta_m \sim \ell_{\text{Pl}}^{2m}$$

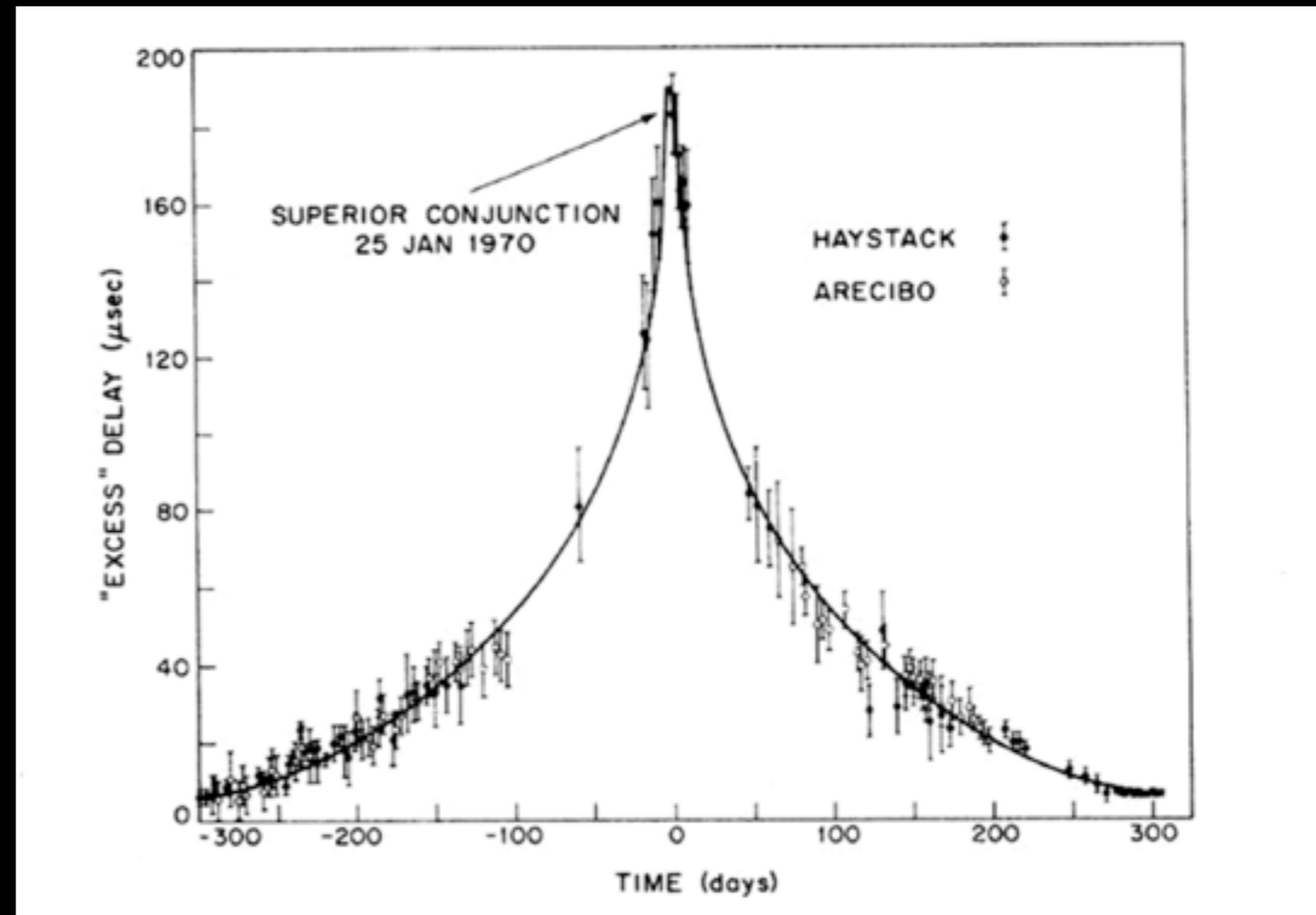
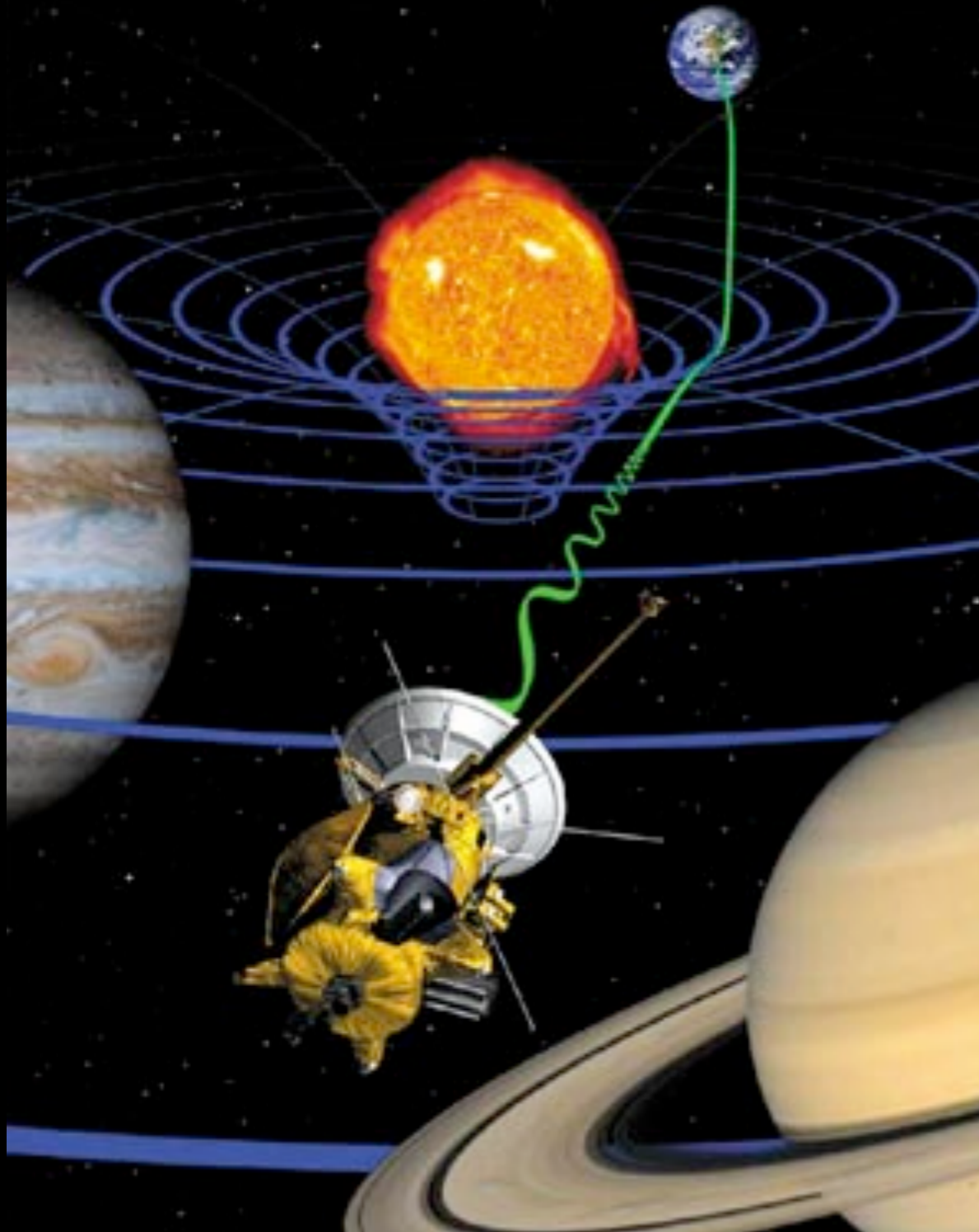
What we are willing to explore is

$$\zeta_m := \alpha_{2m}^m \sim \ell_s^{2m} \quad \alpha_{2m} \sim \ell_s^2$$

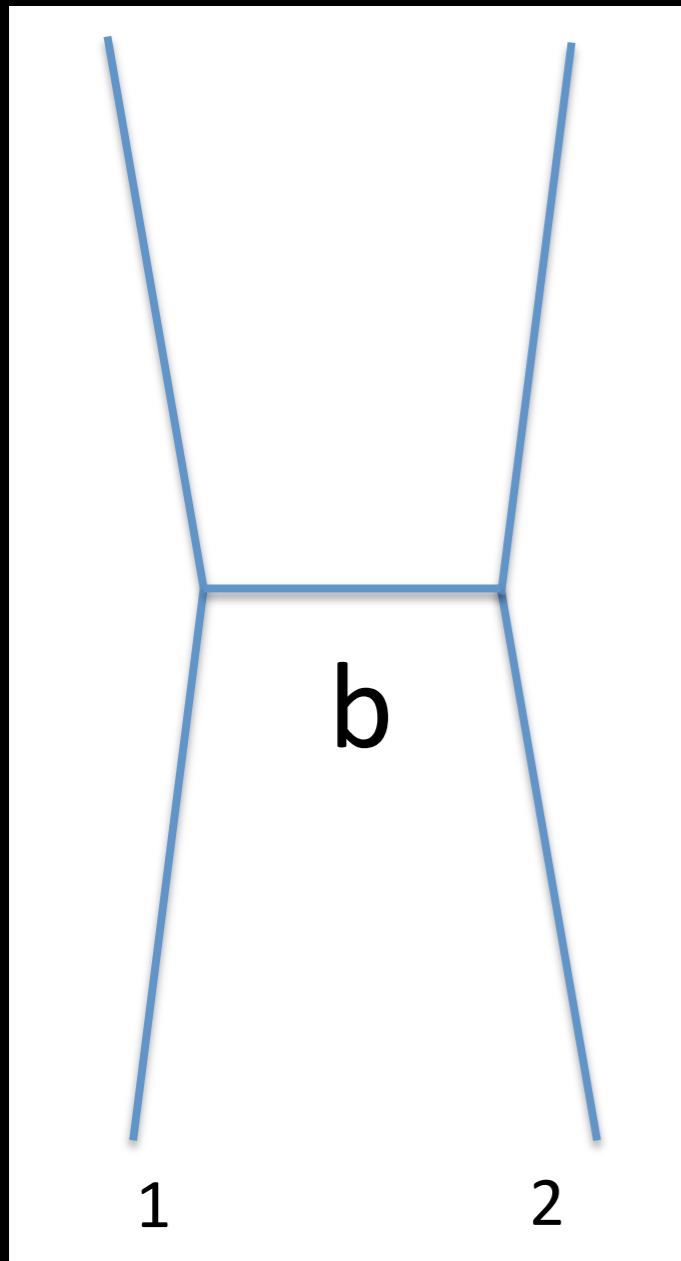
with  $\ell_s \gg \ell_{\text{Pl}}$  (e.g. weakly coupled string theory)

# The fourth test of GR: Shapiro time delay

SHAPIRO, 1964



# Shapiro delay: highly boosted particle



Its gravitational field is a plane wave

DRAY, 'T HOOFT, 1985

'T HOOFT, 1987

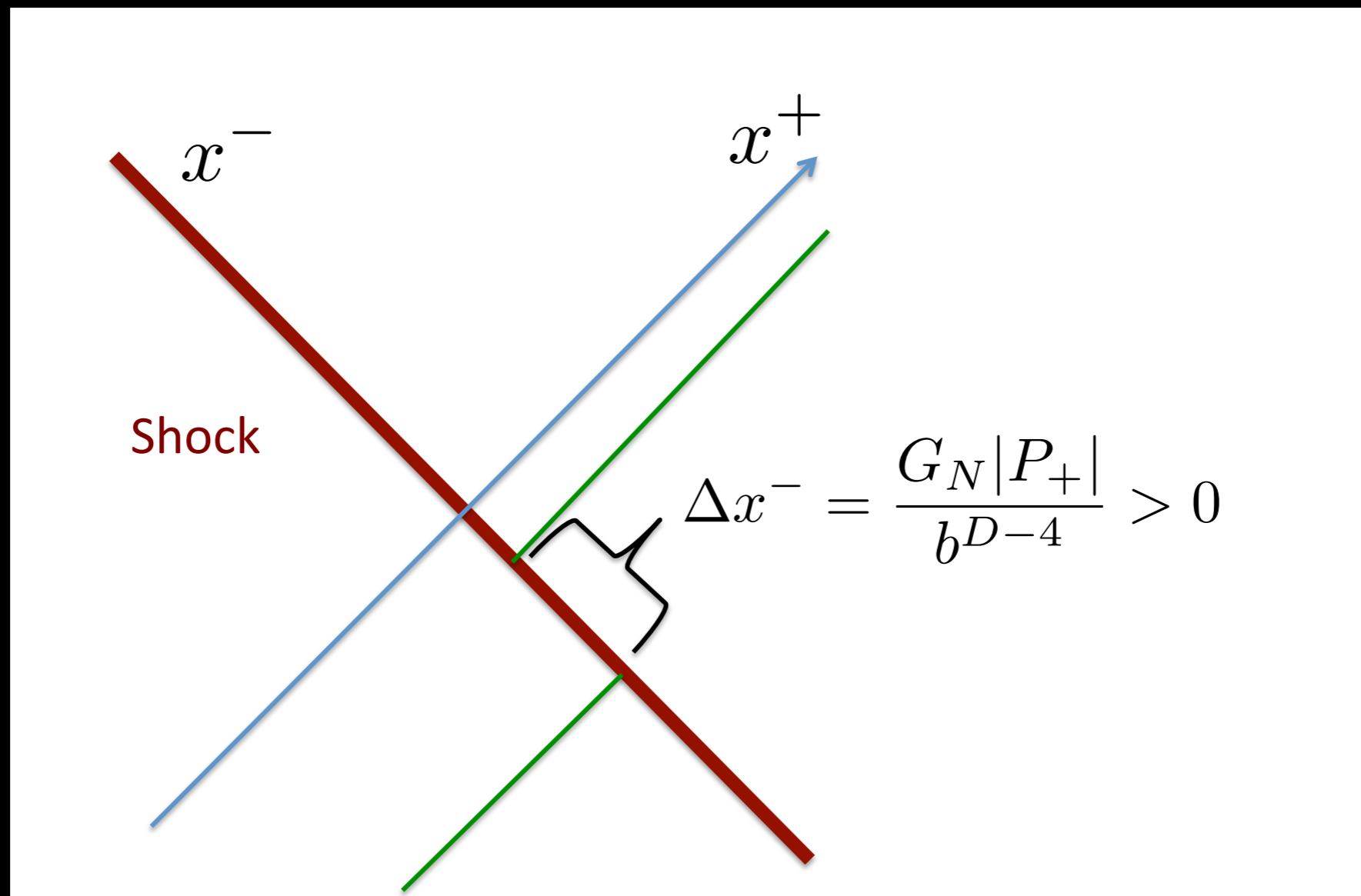
$$ds^2 = -dudv + h(u, x_i) du^2 + \sum_{i=1}^{D-2} (dx_i)^2$$

$$T_{uu} = -P_u \delta(u) \delta^{D-2}(\vec{x})$$

$$h(u, x_i) = \frac{4\Gamma\left(\frac{D-4}{2}\right)}{\pi^{\frac{D-4}{2}}} \delta(u) \frac{G|P_u|}{r^{D-4}}$$

$$v = v_{\text{new}} + \frac{4\Gamma\left(\frac{D-4}{2}\right)}{\pi^{\frac{D-4}{2}}} \frac{G|P_u|}{b^{D-4}} \theta(u)$$

$$\Delta v = v_{\text{After crossing}} - v_{\text{Before crossing}} = \frac{4\Gamma\left(\frac{D-4}{2}\right) G|P_u|}{\pi \frac{D-4}{2} b^{D-4}} > 0$$



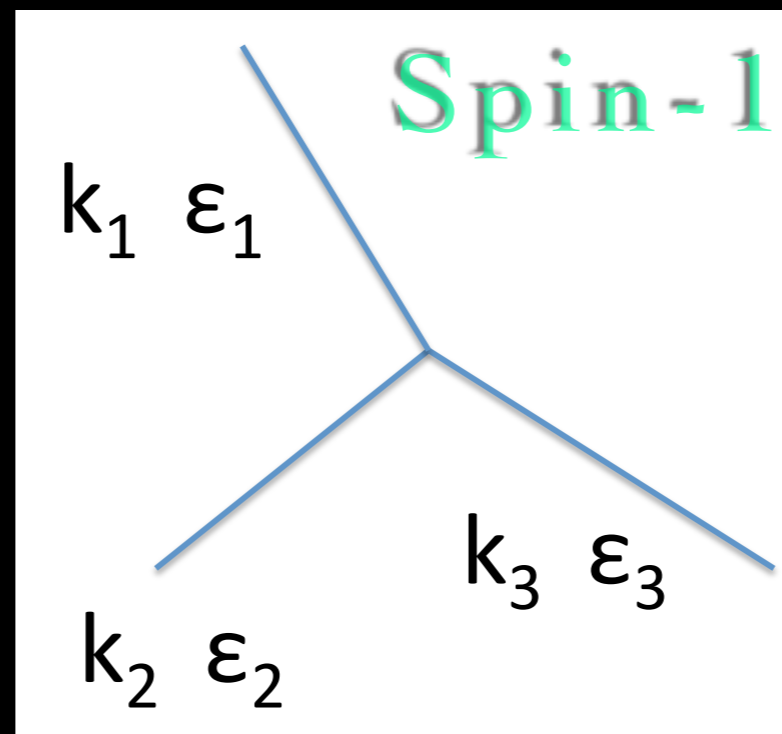
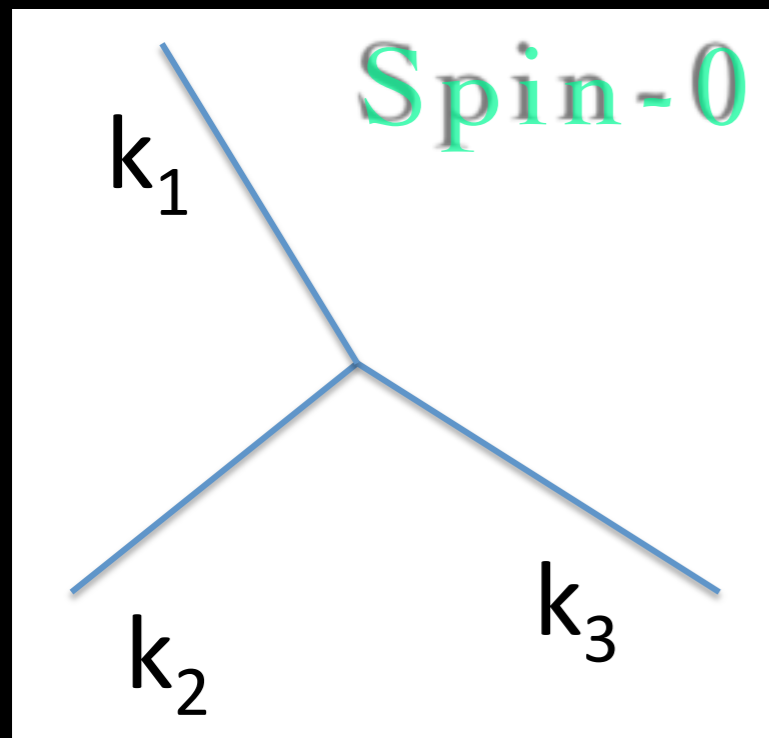
Gravity always slows you down: we will impose this as a defining principle for any theory of gravity

Consider **asymptotically flat geometries: scattering amplitudes**

Let's analyze **3-point vertices**

No kinematic invariants:

$$(k_1 + k_2)^2 = k_3^2 = 0$$



$$\epsilon_i \cdot k_i = 0$$

$$\epsilon_i \approx \epsilon_i + k_i$$

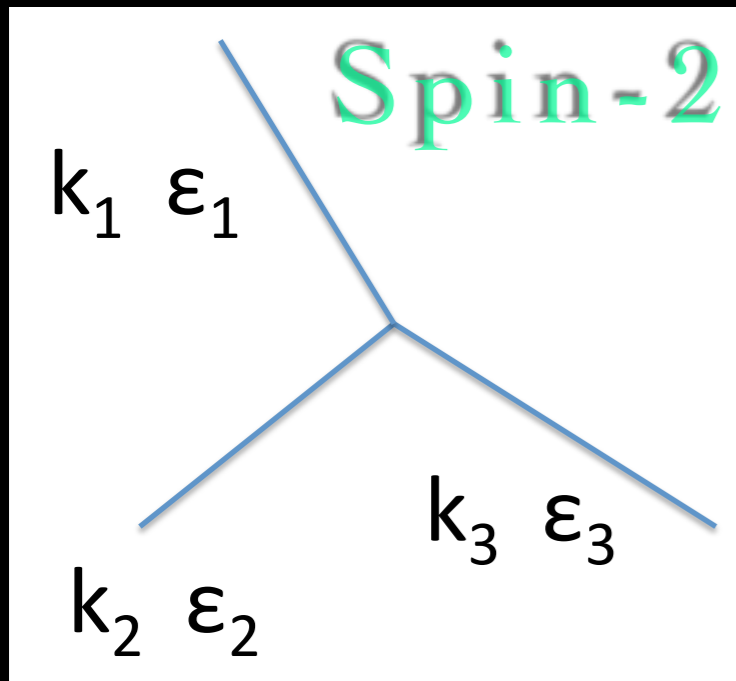
Single coupling

$$\sqrt{G}$$

$$\mathcal{A}_0 = \epsilon_1 \cdot \epsilon_2 \epsilon_3 \cdot k_1 + \text{cyclic}$$

(Yang-Mills)

$$\mathcal{A}_2 = \epsilon_1 \cdot k_2 \epsilon_2 \cdot k_3 \epsilon_3 \cdot k_1$$



There are three possible structures:

$$\mathcal{G}_0 = \mathcal{A}_0 \mathcal{A}_0 \quad \frac{1}{G_N} \int d^4x R$$

$$\epsilon_{\mu\nu} = \epsilon_\mu \epsilon_\nu$$

$$\mathcal{G}_2 = \mathcal{A}_0 \mathcal{A}_2 \quad \frac{\alpha_2}{G_N} \int d^4x R^2_{\mu\nu\lambda\sigma}$$

absent for D=4 or maximal SUSY

$$\mathcal{G}_4 = \mathcal{A}_2 \mathcal{A}_2 \quad \frac{\alpha_4^2}{G_N} \int d^4x R^3_{\mu\nu\lambda\sigma}$$

absent for SUSY

Claim: The latter two structures lead to causality violation



In the **quadratic theory**, the time delay depends on the helicity

$$\alpha_2 = \lambda \ell_s^2$$

Tensor channel

$$h_{ij} b^j = 0$$

$$\Delta v = \left[ 1 \ominus \lambda (D - 4) \frac{\ell_s^2}{b^2} \right] \frac{G_N |P_u|}{b^{D-4}}$$

Vector channel

$$h_{ij} b^i b^j = 0$$

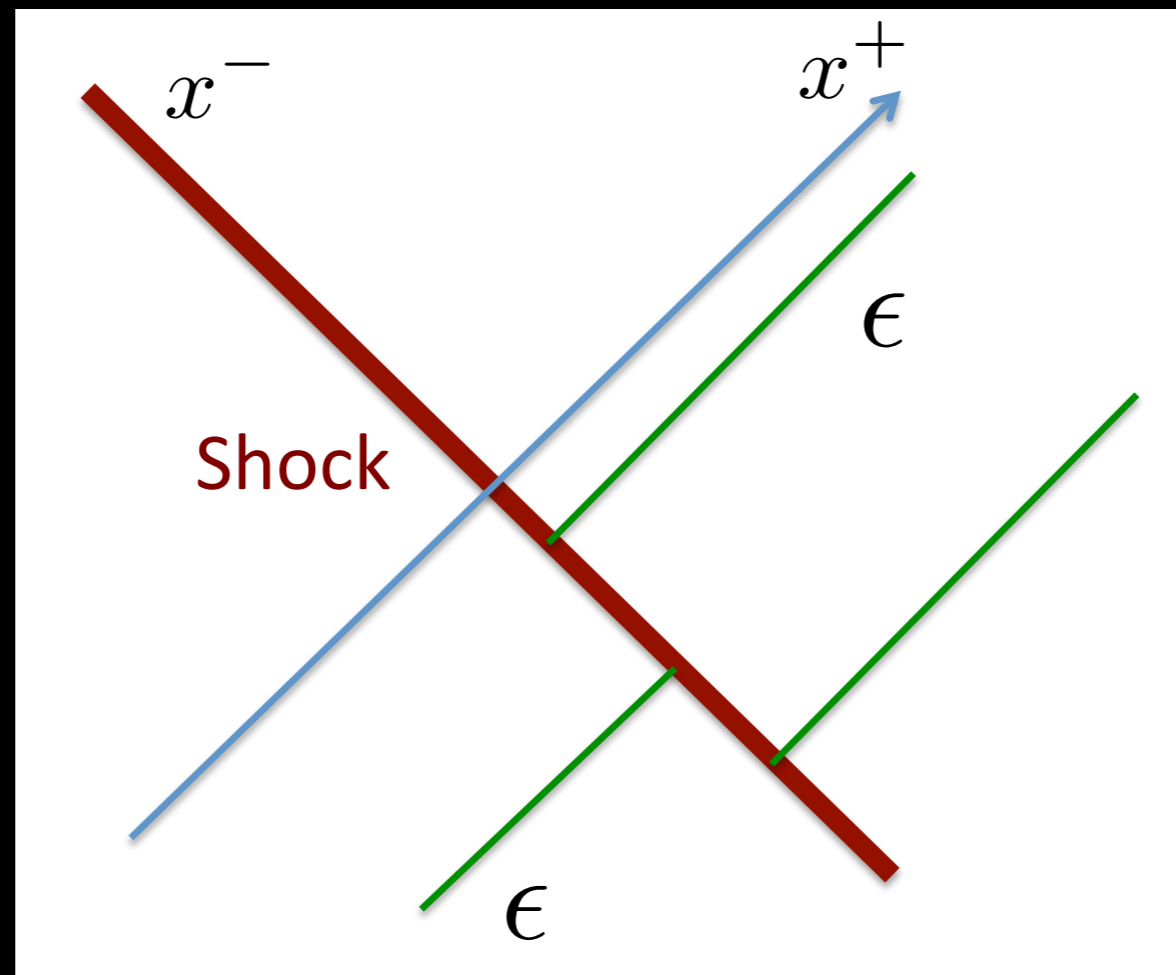
$$\Delta v = \left[ 1 + \lambda (D - 4)^2 \frac{\ell_s^2}{b^2} \right] \frac{G_N |P_u|}{b^{D-4}}$$

Scalar channel

$$h_{ij} b^i b^j \neq 0$$

$$\Delta v = \left[ 1 \oplus 2\lambda (D - 4)^2 \frac{\ell_s^2}{b^2} \right] \frac{G_N |P_u|}{b^{D-4}}$$

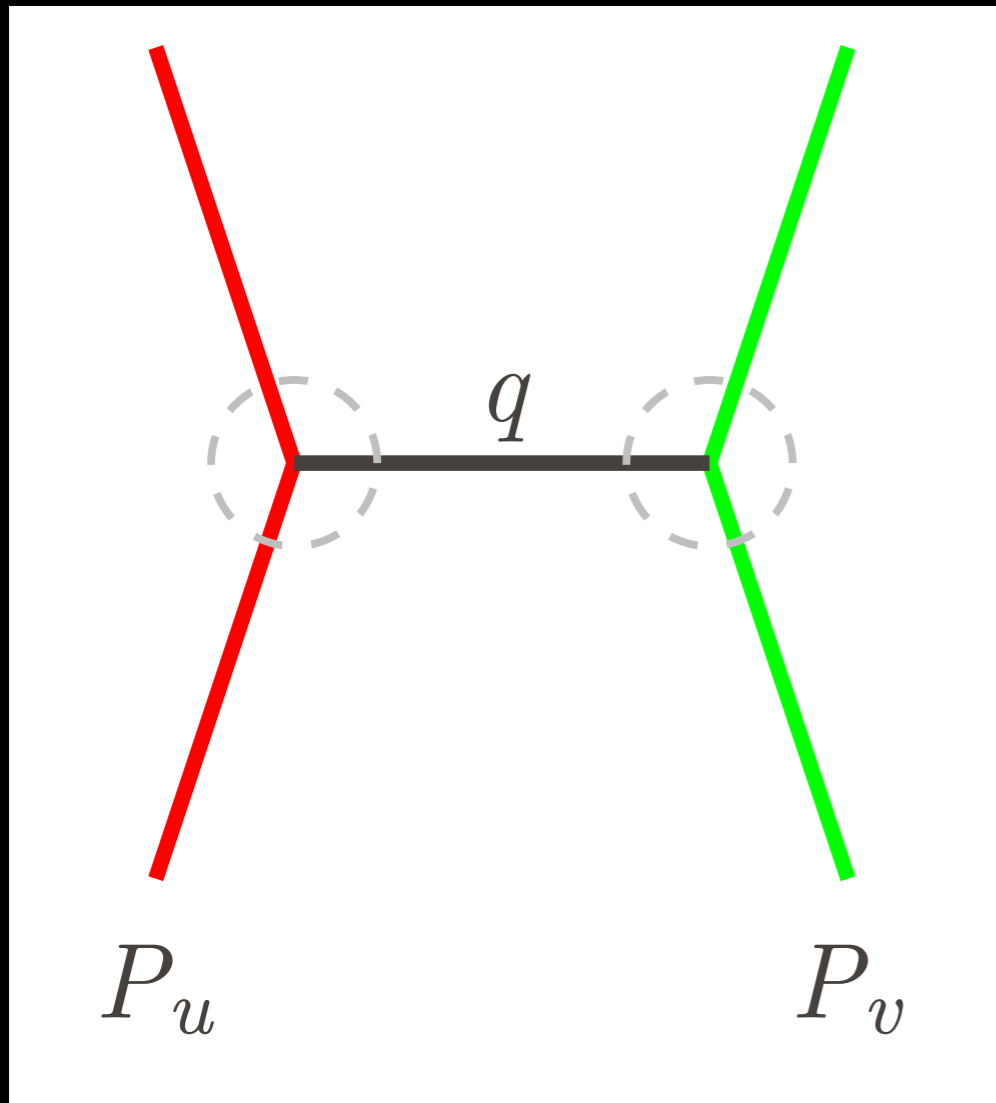
# When higher derivatives are included



$$\Delta v = \left( 1 \pm \frac{\alpha_2}{b^2} \pm \frac{\alpha_4^2}{b^4} \right) \frac{G_N |P_u|}{b^{D-4}}$$

Propagation faster than light as seen from infinity, violating asymptotic causality

# Equivalent description in terms of tree-level 4-point amplitudes



Kinematic regime:

Forward or Eikonal limit

★  $s$  very large but small enough

$$\ell_s^{-2} \ll \ell_{\text{Pl}}^{-2}$$

$$s \simeq P_u P_v \equiv P_+ P_-$$

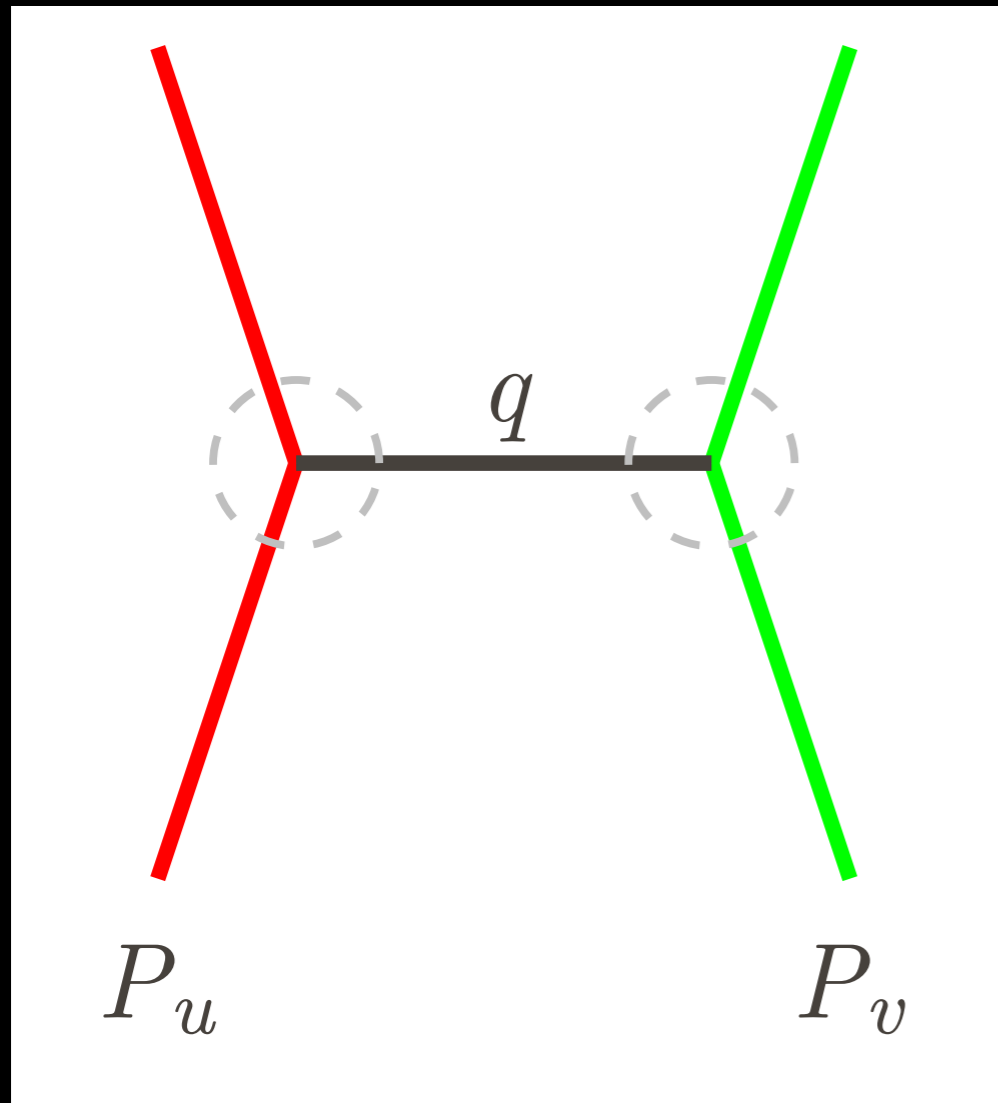
★  $t/s \ll 1$

★ fixed  $b$

Eikonal phase shift (impact parameter representation)

$$\delta(s, \mathbf{b}) = \frac{1}{2s} \int \frac{d^{D-2} \mathbf{q}}{(2\pi)^{D-2}} e^{i\mathbf{b} \cdot \mathbf{q}} \mathcal{A}_{\text{tree}}^{[4]}(s, t \simeq -\mathbf{q}^2)$$

# Equivalent description in terms of tree-level 4-point amplitudes



Kinematic regime:

Forward or Eikonal limit

★  $s$  very large but small enough

$$\ell_s^{-2} \ll \ell_{\text{Pl}}^{-2}$$

$$s \simeq P_u P_v \equiv P_+ P_-$$

★  $t/s \ll 1$

★ fixed  $b$

Eikonal phase shift (impact parameter representation)

$$\delta(s, \mathbf{b}) \sim \frac{1}{2s} \sum_I \mathcal{A}_{13I}^{(3)}(-i\partial_{\mathbf{b}}) \mathcal{A}_{I24}^{(3)}(-i\partial_{\mathbf{b}}) \log \mathbf{b}^2$$

If the particle along the t-channel is a massless graviton

$$\delta(s, \mathbf{b}) \sim -P_v \Delta v \quad (\text{scales like } s)$$

time advance being encoded in the phase shift

The effect accumulates and builds up into a macroscopic effect

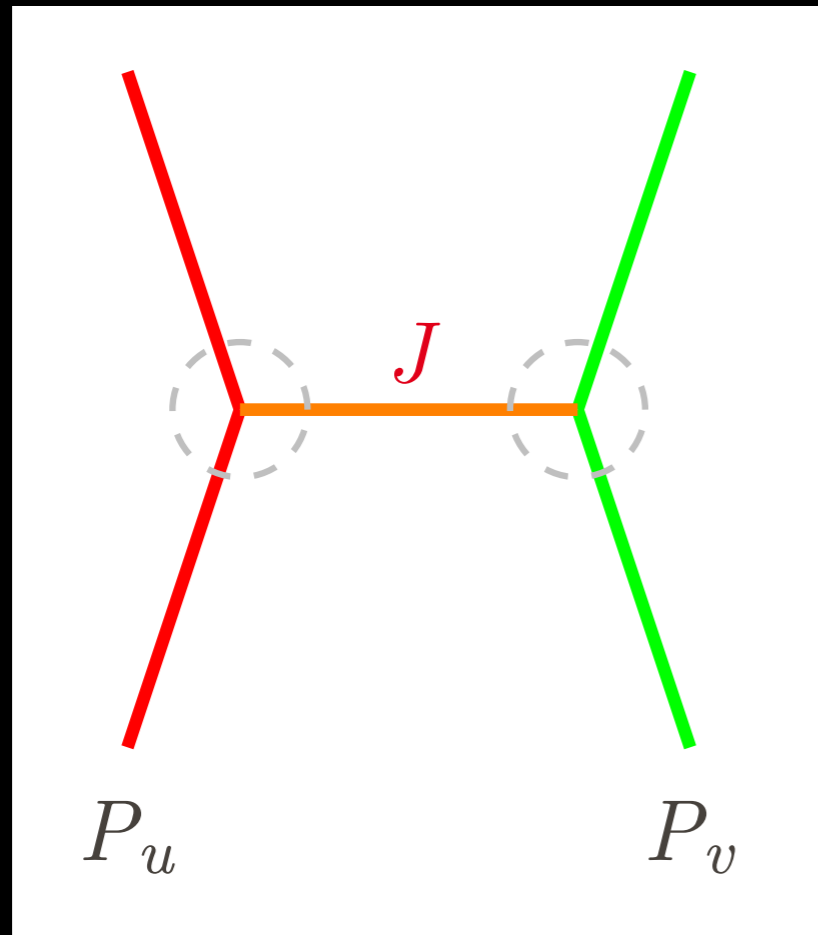
$$S(s, \mathbf{b}) \simeq e^{i\delta(s, \mathbf{b})}$$

Analytic & Bounded  $|e^{i\delta(s, \mathbf{b})}| \leq 1$   
in upper half plane

New degrees of freedom must appear at energies

$$E \sim \ell_s^{-1}$$

Particles with spin  $J$  contribute like  $\delta(s, \mathbf{b}) \sim s^{J-1}$



Spin 0 or 1 particles do not cure the problem

Massive spin 2 neither:  
**no KK fixing!**

Finite number of higher spin entails **non-unitarity**

Need an infinite number of higher spin particles  $m_J \lesssim \ell_s^{-1}$  with delicate cancellations...

...which works in string theory!

## What about the case of asymptotically dS geometries?

If primordial B-mode gravity waves are detected with  $r \sim 0.12$  during inflation:  $H \sim 1.94 \cdot 10^{16} \text{ GeV}$

Gravitons' characteristic energies at inflation are  $E \sim H$

They are weakly coupled: since the 2-point function is a direct measurement of the coupling

$$\langle hh \rangle \sim \frac{H^2}{M_{\text{Pl}}^2} \sim g_{\text{eff}}^2 \leq 10^{-6}$$

They can collide: signatures of the graviton 3-point vertex

MALDACENA, 2003

MALDACENA, PIMENTEL, 2011

$$\frac{\langle hhh \rangle_{R^3}}{\langle hhh \rangle_{\text{E}}} \approx \alpha_4^2 H^4 \quad \frac{\langle hhh \rangle}{\langle hh \rangle^{3/2}} \sim \frac{H}{M_{\text{Pl}}} \left[ F_{\text{E}} + \alpha_4^2 H^4 F_2 \right]$$

Non-Gaussianities in the spectrum of primordial fluctuations

# Concluding remarks

Deviations of the graviton 3-point vertex from the Einstein value signal the existence of **new higher spin particles**

$$M_{\text{h.s.}}^2 \sim \frac{1}{\alpha_{2,4}} \sim \ell_s^{-2}$$

**On-shell** discussion: independent of field redefinition ambiguity

If such deviations were seen in the CMB then a structure like **string theory must have existed during inflation**

In **AdS** this appears as **non-positivity of certain energy correlators**

CAMANHO, EDELSTEIN, ZHIPOEDOV, IN PROGRESS

CAMANHO, UPCOMING TALK

**Tighter constraints on CFTs having weakly coupled gravity duals**