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$\beta$ -expansion in QCD and generalization of BLM  
optimization procedure  
[Based on Phys.Rev. D 91, 014007 (2015)]

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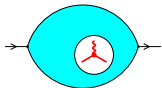
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## Outline

### Objects:



renormalization group (RG) invariant, single-scale quantities, e.g., Adler  $D$ -function, DIS sum rules, ...  $q^2$

**Goal:** RG optimization of PT (truncated) series with the “best”  $\mu^2$

$$D(q^2/\mu^2, \alpha_s(\mu^2)) = d_0 + \sum_{n=1}^N \alpha_s^n(\mu^2) d_n(q^2/\mu^2)$$

**Known example – BLM approach at NLO, [Brodsky&Lepage&Mackenzie(1983)]**

“...One, therefore, has to address the question of

**what is the “best” choice for  $\mu^2$  within a given scheme**, usually  $\overline{\text{MS}}$ . There is no definite answer to this question – **higher-order corrections do not “fix” the scale**, rather they render the theoretical predictions less sensitive to its variation.”

(I. Hinchliffe, PDG booklet 2002)

**higher-order corrections can fix the scale in accordance with RG transformation**

# Plan of Presentation

1.  $\beta$  structure of perturbative expansion for RGI quantities
  1. Introduction of Adler  $D$ -function and  $S_{Bjp}^{NS}$  as the examples
  2. Expansion: from series  $\{d_n\}$  to matrixes  $\{D_{nl}\}$
  3. How do we identify the  $\beta$ -terms  $D_{nl}$ ?
2. Explicit results in N<sup>2</sup>LO: Adler  $D^{NS}$ -function, Bjorken sum rules  $S_{Bjp}^{NS}$ 
  1. The role of **generalized Crewther relation**
  2. Discussion of another  $S_{Bjp}^{NS}$  structure
3. What is “Principle of maximum conformality”, PMC ?
4. What is the optimization of PT series? A few partial results.
5. Conclusion

## 1.1 Adler $D$ -function and Bjorken sum rule $S_{Bjp}^{NS}$ in $\overline{MS}$ scheme

Studies of different ways of resummation and fixation of scale-scheme uncertainties of HO QCD PT predictions  $\oplus$  understanding of basic features and symmetries beyond these representations are important theoretically and phenomenologically.

$$D^{\text{EM}}(Q^2/\mu^2, a_s(\mu^2)) = \left( \sum_i q_i^2 \right) d_R D^{\text{NS}}(Q^2/\mu^2, a_s(\mu^2)) + \left( \sum_i q_i \right)^2 d_R D^{\text{S}}(Q^2/\mu^2, a_s(\mu^2))$$

$$R_{e^+e^-}(s) \equiv R(s, \mu^2 = s) = \frac{1}{2\pi i} \int_{-s-i\epsilon}^{-s+i\epsilon} \frac{D^{\text{EM}}(\sigma/\mu^2; a_s(\mu^2))}{\sigma} d\sigma \Big|_{\mu^2=s}$$

$$D^{\text{NS}}(Q^2/\mu^2, a_s(\mu^2)) \xrightarrow{\mu^2=Q^2} D^{\text{NS}}(a_s(Q^2)) = 1 + \sum_{l \geq 1} d_l^{\text{NS}} a_s^l(Q^2) \quad (1)$$

$$S_{Bjp}(Q^2) = \int_0^1 [g_1^{lp}(x, Q^2) - g_1^{ln}(x, Q^2)] dx = \frac{g_A}{6} C_{Bjp}(Q^2/\mu^2, a_s(\mu^2))$$

$$C_{Bjp}(a_s) = C_{Bjp}^{\text{NS}}(a_s) + \left( \sum_i q_i \right) C_{Bjp}^{\text{S}}(a_s) \quad \text{[Larin(2013), Baikov\&Chetyrkin\&Kuhn(2015)]}$$

$$C_{Bjp}^{\text{NS}}(Q^2/\mu^2, a_s(\mu^2)) \xrightarrow{\mu^2=Q^2} 1 + \sum_{l \geq 1} c_l^{\text{NS}} a_s^l(Q^2) \quad (2)$$

Coefficients  $c_l^{\text{NS}}$ ,  $d_l^{\text{NS}}$  are combinations of Casimirs in  $\overline{MS}$  scheme.

## 1.2 From series $\{d_n\}$ to matrixes $\{D_{nl}\}$ Instead of Scalar Representation

$$D^{NS} - 1 = \sum_{n \geq 1} a_s^n(Q^2) d_n = (\bar{a}_s \bar{d}) \quad (3)$$

we use Matrix Representation to fix the  $\beta$ -structure

$$D^{NS} - 1 = \sum_{n \geq 1} \sum_l a_s^n(Q^2) D_{nl} B_l = (\bar{a}_s D \bar{d}) \quad (4)$$

$B_l$ -products of  $\beta$ -function coefficients,  $d_n = D_{nl} B_l$ , elements  $D_{nl}$  do not depend on the numbers of flavours  $n_f$ , they have the form

$$d_1 = d_1[0] = \frac{3}{4} C_F,$$

$$d_2 = \beta_0 d_2[1] + \mathbf{d}_2[0], \quad \text{-- the Basis of BLM procedure}$$

$$d_3 = \beta_0^2 d_3[2] + \beta_1 d_3[0, 1] + \beta_0 d_3[1] + \mathbf{d}_3[0],$$

$$d_4 = \beta_0^3 d_4[3] + \beta_1 \beta_0 d_4[1, 1] + \beta_2 d_4[0, 0, 1] + \beta_0^2 d_4[2] + \beta_1 d_4[0, 1] + \beta_0 d_4[1] + \mathbf{d}_4[0].$$

$\beta$ -expansions has been suggested in [Mikhailov, Quarks2004, JHEP(2007)];  
elaborated further in [Kataev&Mikhailov, TMP(2012), PRD(2015)];  
studied and used in [Brodsky&Wu et al (2012-2015)]

Such expansion should **to exist for any RGI quantity**,

it fixes the  $\beta$ -structure of RGI and provides New dynamical information,  
terms  $\mathbf{d}_n[0]$  survives at conformal symmetry limit  $\beta_i \rightarrow 0$ .

### 1.3 How do we identify the $\beta$ -terms $d_n[l]$ ?

For first glance Casimirs and  $n_f$  dependence of  $d_n$  do **not enough** to uniquely identify the  $\beta$ -terms. This is a **Separate and Nontrivial task** for order  $n \geq 3$ . For NNLO an **additional degrees of freedom** can be used, e.g., MSSM gluino

$$d_3 = \beta_0^2 d_3[2] + \beta_1 d_3[0, 1] + \beta_0 d_3[1] + d_3[0]$$

to disentangle  $\beta_0$  and  $\beta_1$ , we used the number  $n_{\tilde{g}}$  of MSSM gluino, together with the number of the flavours  $n_f$  of quark,

$$\beta_0 \rightarrow \beta_0(n_f, n_{\tilde{g}}), \beta_1 \rightarrow \beta_1(n_f, n_{\tilde{g}})$$

$$d_1 = 3C_F; \quad d_2[1] = \frac{11}{2} - 4\zeta_3; \quad d_2[0] = \frac{C_A}{3} - \frac{C_F}{2} = \frac{1}{3};$$

$$d_3[2] = \frac{302}{9} - \frac{76}{3}\zeta_3 \approx 3.10345; \quad d_3[0, 1] = \frac{101}{12} - 8\zeta_3 \approx -1.19979;$$

$$d_3[1] = C_A \left( -\frac{3}{4} + \frac{80}{3}\zeta_3 - \frac{40}{3}\zeta_5 \right) - C_F (18 + 52\zeta_3 - 80\zeta_5) \approx 55.7005;$$

$$d_3[0] = \left( \frac{523}{36} - 72\zeta_3 \right) C_A^2 + \frac{71}{3} C_A C_F - \frac{23}{2} C_F^2 \approx -573.9607 ,$$

That was obtained in [Mikhailov(2007)] using QCD +  $n_{\tilde{g}}$  multiplet of massless gluino, contributing to  $d_3(n_f, n_{\tilde{g}})$  from the result of [Chetyrkin(1997)], see also [Brodsy at el(2015)].

## 2.1 Explicit results in N<sup>2</sup>LO: The role of Crewther relation

The  $\beta$ -expansion for  $C_{Bjp}^{NS}$  was obtained (in  $\overline{MS}$ ) from the **generalized Crewther relation** (CR) for  $D^{NS}$  and  $C_{Bjp}^{NS}$  [K&M QFTHEP2010, TMP(2012)] that provides **additional constraint**

$$\text{Crewther relation: } D^{NS} \cdot C_{Bjp}^{NS} = \mathbf{1} + \sum_{n \geq 1} \left( \frac{\beta(a_s)}{a_s} \right)^n P_n(a_s) \quad (5)$$

$$C_{Bjp}^{NS} = 1 + \sum_{n \geq 1} \sum_l a_s^n(Q^2) C_{nl} B_l$$

$$D^{NS} = 1 + \sum_{n \geq 1} \sum_l a_s^n(Q^2) D_{nl} B_l$$

The “purely conformal” CR with  $\mathbf{1}$  in (5) relates  $\mathbf{c}_n[0]$  to  $\mathbf{d}_n[0]$

$$c_n[0] + d_n[0] = \sum_{l=1}^{n-1} d_l[0] c_{n-l}[0],$$

$$\text{e.g., } \mathbf{c}_3[0] = -d_3[0] + 2d_1 d_2[0] - (d_1)^3,$$

While the “breaking conformality”  $\beta$  terms generate the relations for other elements, e.g.,  $\mathbf{c}_3[0, \mathbf{1}] = d_3[0, \mathbf{1}] - d_2[1] + c_2[1]$

## 2.1 Explicit results in N<sup>2</sup>LO: Bjorken sum rules $S_{Bjp}^{NS}$

from the  $\beta$ -expansion for  $D^{NS}$  with the help of  $\overline{MS}$ -generalized Crewther relation we fix the  $\beta$ -expansion of  $C_{Bjp}^{NS}$  [Kataev&Mikhailov(2010-2012)]

$c_3$  elements Crewther relation

$D \rightarrow C$	1	$\sim \beta$
all $c_3 \leftarrow$ elements	$c_3[0]$	
all $c_3 \leftarrow$ elements		$c_3[0, 1]$

New prediction: we obtain

$C_{Bjp}^{NS}$  expression in QCD +  $n_{\tilde{g}}$ ,  
 $\beta_i \rightarrow \beta_i(n_f, n_{\tilde{g}})$

$$c_3 = \beta_0^2 c_3[2] + \beta_1 c_3[0, 1] + \beta_0 c_3[1] + c_3[0]$$

$$c_1^{NS} = -3 C_F; c_2[1] = 2; c_2[0] = \left( \frac{C_A}{3} - \frac{7}{2} C_F \right);$$

$$c_3[2] = \frac{115}{18}; c_3[0, 1] = \left( \frac{59}{12} - 4\zeta_3 \right);$$

$$c_3[1] = -\left( \frac{166}{9} - \frac{16}{3} \zeta_3 \right) C_F - \left( \frac{215}{36} - 32\zeta_3 + \frac{40}{3} \zeta_5 \right) C_A;$$

$$c_3[0] = \left( \frac{523}{36} - 72\zeta_3 \right) C_A^2 + \frac{65}{3} C_F C_A + \frac{C_F^2}{2} \approx -560.627.$$

These results can be checked by direct analytical calculations in the QCD +  $n_{\tilde{g}}$  multiplets of light gluinos in the  $\overline{MS}$ -scheme.



## 2.2 Discussion of $S_{Bjp}^{NS}$ structure from [Brodsky et al]

$$c_1 = c_1[0] = -\frac{3}{4}C_F, \quad c_2 = \beta_0^2 c_2[1] + c_2[0]$$

$$c_3 = \beta_0^3 c_3[2] + \beta_1 c_3[0, 1] + \boxed{\beta_0 c_3[1]} + c_3[0]$$

$$c_4 = \beta_0^3 c_4[3] + \beta_1 \beta_0 c_4[1, 1] + \beta_2 c_4[0, 0, 1] + \beta_0^2 c_4[2] + \boxed{\beta_1 c_4[0, 1]} + \boxed{\beta_0 c_4[1]} + c_4[0]$$

Note that the terms in **boxes** can not be eliminated.

Without them in [K&M(2010-2012)] results the powers of  $\beta$ -function will be spoiled. Indeed the polynomial  $P_n(a_s)$  at the powers of  $\beta$ -function contain these terms and they can not be neglected in the process of constructing Principle of Maximal Conformality by [Brodsky et al]

$$D^{NS} C_{Bjp}^{NS} = 1 + \sum_{n \geq 1} \left( \frac{\beta(a_s)}{a_s} \right)^n P_n(a_s)$$

$$P_1(a_s) = -a_s (c_2[1] + d_2[1]) - a_s^2 \left( \boxed{c_3[1]} + \boxed{d_3[1]} + d_1(c_2[1] - d_2[1]) \right) - a_s^3 \delta_1$$

$$\delta_1 = c_4[1] + d_4[1] + d_1 \left( \boxed{c_3[1]} - \boxed{d_3[1]} \right) + d_2[0]c_2[1] + d_2[1]c_2[0]$$

$$P_2(a_s) = a_s \left( c_3[2] + d_3[2] + a_s \left( \boxed{c_4[2]} + \boxed{d_4[2]} - d_1(c_3[2] - d_3[2]) \right) \right)$$

If we neglect them the results of  $\beta$  expansion will not agree with the values of the factorized terms, which follow from the exact analytic calculations in the  $\overline{MS}$ -scheme.

### 3. What is “Principle of maximum conformality”, PMC ?

PMC by **[Brodsky et al(2011-2015)]** in our realization: we consider first  $\beta$ -expansion for  $D^{NS}(a_s(t = \ln(Q^2/\Lambda^2)))$  and find  $a_s(t_1, t)$  to cancel a part of  $\beta$ -expansion. In each new order of PT we define the new scale  $Q_i^2$ , absorbing the  $\beta$ -function coefficients into the scale(s). Finally we have the sequence of shifts  $\{\Delta_0, \Delta_1, \dots\}$  from  $t$  to  $t_1$ ,  $(a_s(t), t) \rightarrow (a_s(t_1), t_1)$ . The general scheme to fix  $(a_1, t_1)$  looks like:

$$\begin{aligned} \ln(Q^2/\Lambda^2) - \ln(Q_1^2/\Lambda^2) &\equiv t - t_1 = \Delta, \\ &\text{Expanding } \Delta \text{ in } a_1 : \\ \Delta &= \Delta_0 + a_1 \beta_0 \cdot \Delta_1 + (a_1 \beta_0)^2 \cdot \Delta_2 + \dots, \\ \bar{a}(t) = \bar{a}(\Delta, a_1) &= a_1 - \beta(a_1)\Delta + \beta'(a_1)\beta(a_1)\frac{\Delta^2}{2} + \dots \quad (6) \end{aligned}$$

At first time an expansion of  $\Delta$  in  $a_s$  series was done **[Grunberg&Kataev(1992)]**

$$\begin{aligned} \bar{a}^1 d_1 &\rightarrow \bar{a}_1^1 \cdot 1; \\ \bar{a}^2 d_2 &\rightarrow \bar{a}_1^2 \cdot [d_2 - \beta_0 \Delta_0]; \\ \bar{a}^3 d_3 &\rightarrow \bar{a}_1^3 \cdot [d_3 - 2\beta_0^2 \Delta_0 \cdot d_2 - \beta_1 \Delta_0 + (\beta_0 \Delta_0)^2 - \beta_0^2 \Delta_1]; \\ \bar{a}^4 d_4 &\rightarrow \bar{a}_1^4 \cdot [d_4 - 3\beta_0^3 \Delta_0 \cdot d_3 + (3\beta_0^3 \Delta_0^2 - 2\beta_1 \beta_0 \Delta_0) d_2 \\ &\quad - \beta_2 \Delta_0 + \frac{5}{2} \beta_1 \beta_0 \Delta_0^2 - (\beta_0 \Delta_0)^3 + \dots - \beta_0^3 \Delta_2] \\ \dots &\quad \dots \end{aligned}$$

That allows to get expansion in terms of  $d_n[0]$

### 3. What is “Principle of maximum conformality”, PMC ?

The final PT series contains only  $d_k[0]$  terms

$$N^2L: D^{NS}(t_1) = 1 + \underline{d_1[0]a_s(t_1) + d_2[0]a_s^2(t_1)}, \text{ BLM result}$$

$$N^3L: D^{NS}(t_2) = 1 + d_1[0]a_s(t_2) + d_2[0]a_s^2(t_2) + d_3[0]a_s^3(t_2),$$

...

$$N^nL: D^{NS}(t_2) = 1 + d_1[0]a_s(t_{n-1}) + d_2[0]a_s^2(t_{n-1}) + \dots + d_n[0]a_s^n(t_{n-1})$$

Now we are able to fix all scales and coefficients in these approximation of PT for  $D^{NS}(a_s)$  and  $C^{NS}(a_s)$  at the  $O(a_s^3)$  – approximation. These coef. in opinion of **[Brodsky et al.]** respect conformal symmetry, this is the PMC. In higher-order level we have the expansion at the single scale  $t_3$

$$N^4L: D^{NS}(t_3) = 1 + d_1[0] \cdot a_s(t_3) + d_2[0] \cdot a_s^2(t_3) + d_3[0] \cdot a_s^3(t_3) + d_4[0] \cdot a_s^4(t_3) \quad (7)$$

**But the convergence of such series is not improved, by virtue of the alternating  $\beta$ -expansion of  $d_n$ .**

In reality the conformal symmetry exhibits itself here by the Crewther Relation for  $D^{NS}(a_s)$  and  $C^{NS}(a_s)$ .

In the works of **[Brodsky et al.]** the results have different scales  $Q_i^2$  in different orders,

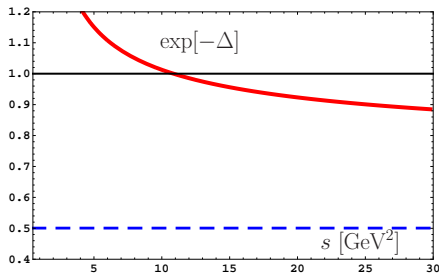
$$D^{NS}(Q_i) = 1 + d_1[0] \cdot a_s(\tilde{Q}_1^2) + \tilde{d}_2[0] \cdot a_s^2(\tilde{Q}_2^2) + \tilde{d}_3[0] \cdot a_s^3(\tilde{Q}_3^2) + \tilde{d}_4[0] \cdot a_s^4(\tilde{Q}_4^2)$$

#### 4. What is the optimization of PT series? Optimization for $R^{\text{NS}}$ -ratio

One should **not remove and absorb all the  $\beta$ -terms** for the optimization, but leave a part of them for complete cancelation with the  $d_n[0]$ -term.

There are different ways to “optimize” perturbation series, take first **BLM**:

$$\text{Example : } R^{\text{NS}} = 1 + 3C_F \left\{ \underbrace{a_s'' + \frac{1}{3} \cdot (a_s'')^2}_{\text{BLM --}} + 0 \cdot (a_s'')^3 + r_4'' \cdot (a_s'')^4 + \dots \right\}$$



$$a_s'' = a_s \left( s \cdot e^{-\Delta(Q^2)} \right)$$

$$-\Delta = -0.692 + 3.7\beta_0 a_s'(s)$$

$$a_s'' = a_s \left( s \cdot \frac{1}{2} e^{3.7\beta_0 a_s'(s)} \right)$$

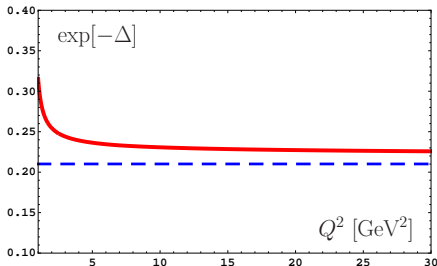
$$r_4'' \approx -4740.5 \text{ at BLM } r_4' \approx -8559.9$$

- ▶ The convergence **become better**,
- ▶ The domain of applicability **become wider**.

#### 4.4. What is the optimization of PT series? Optimization for $C^{NS}$

One should **not remove and absorb all the  $\beta$ -terms** for the optimization, but leave a part of them for complete cancellation with the  $d_n[0]$ -term. There are different ways to “optimize” perturbation series, **at 2nd step**:

**Example**:  $C_{NS}^{Bjp}(Q^2) = 1 - 3C_F \{ a_s'' + \mathbf{0} \cdot (a_s'')^2 + \mathbf{0} \cdot (a_s'')^3 + c_4'' \cdot (a_s'')^4 + \dots \}$



$$a_s'' = a_s \left( Q^2 \cdot e^{-\Delta(Q^2)} \right)$$

$$-\Delta = -1.56 + 0.396\beta_0 a_s'(s)$$

$$c_4'' \approx 4184.6 \text{ at BLM } c_4' \approx 6361$$

- ▶ The convergence **become better**,
- ▶ The domain of applicability **become wider**.

## 5. Conclusion

1. The  $\beta$ -expansion for RGI quantities exist and is useful in particular for perturbation series optimization.
2. The “optimal series” **are not reduced** to the series that followed to “Principle of maximum conformality” [Brotsky et al.].
3. **K. Chetyrkin** has confirmed by the direct calculation our prediction for  $C_{NS}^{BjP}$  with gluino at  $O(\alpha_s^3)$ .

**Many Thanks to Kostja from us!**

4. **A.L.K. special point of view on the uniqueness of  $\beta$ -expansion [Broadhurst&Kataev&Maxwell]**