

Non-global logarithms and BFKL beyond the leading order

Simon Caron-Huot

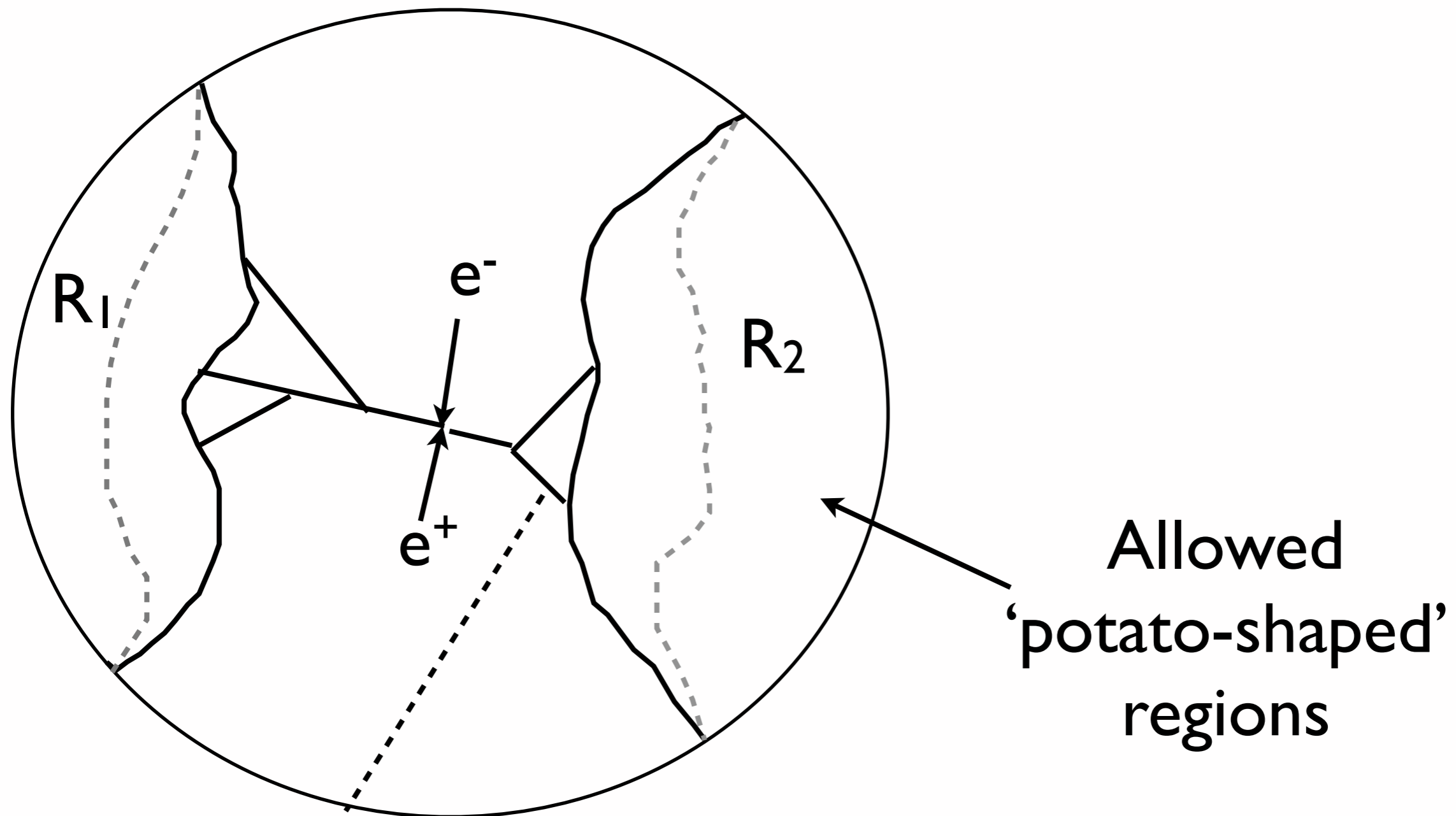
(Niels Bohr International Academy, Copenhagen)

(based on: [ph/1501.03754](https://arxiv.org/abs/1501.03754))

[‘Parton Showers and Resummation 2015’, Kraków, May 28th, 2015]

Setup: a simple question

What is the cross-section for $e^+e^- \rightarrow X$,
with energy $< Q_0$ outside some region R ($R=R_1 \cup R_2$)



Motivation

- Study how **exclusion boundaries** affect soft gluons
- Same physics affects many ‘non-global’ event shapes:
 - ‘Away from jet energy flow’/ ‘Gaps between jets’
 - Hemisphere mass function
 - Possibly: Cone jets (see: T.~ Becher’s talk)
- Learn to deal with color coherence at finite N_c
- Soft gluons are interesting

Simplifying features:

- IR and collinear safe observable
- Only **two** scales (\rightarrow one log: Q/Q_0)
- No collinear logs, only **soft** (wide angle) logs
- e^+e^- : No ISR [avoids nonabelian Coulomb phases]

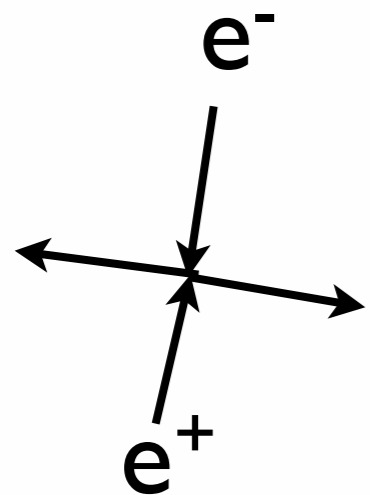
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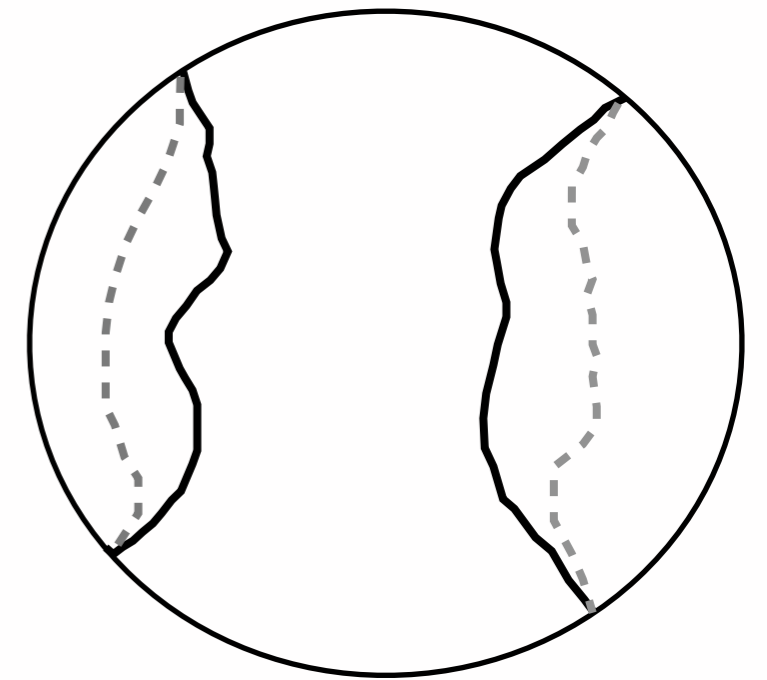
Complicating feature(s):

- Will work exactly in $1/N_c$ [and beyond LL]

What we're looking for:



$$\otimes \left[\mathcal{P} e^{-\int_{Q_0}^Q \frac{d\lambda}{\lambda} K(\lambda)} \right] \otimes$$

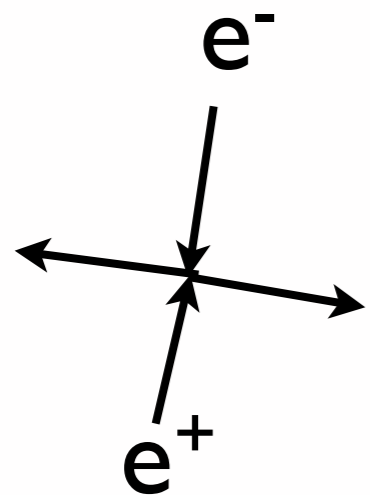


Hard process
@Q

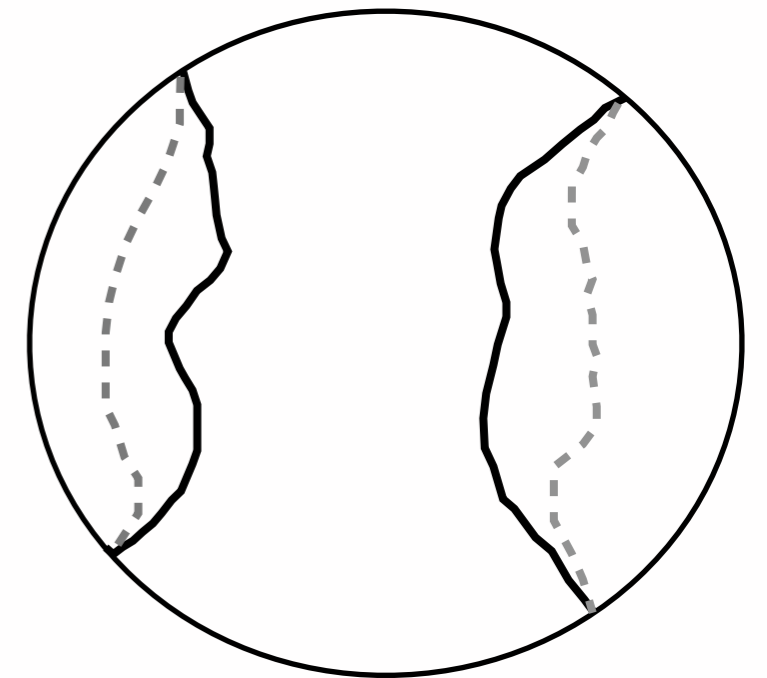
Universal (RG)
evolution

Veto
@ IR scale Q_0
[cf Z. Nagy's talk]

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Hard process
@ Q

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What is K ? What data must it act on?

Key tools:

- **Factorization** of soft emissions (@amplitude level):

$$\lim_{k \rightarrow 0} H_{n+1}(k, \{p_1\}) = \mathcal{S}(k) H_n(\{p_1\})$$

$$\mathcal{S}(k) = g \epsilon_\mu \sum_i \frac{p_i^\mu T_i^a}{p_i \cdot k} + O(g^3)$$

- Bookkeeping device for multicolor states:

‘color density matrix;’
depends on $SU(N_c)$ matrices

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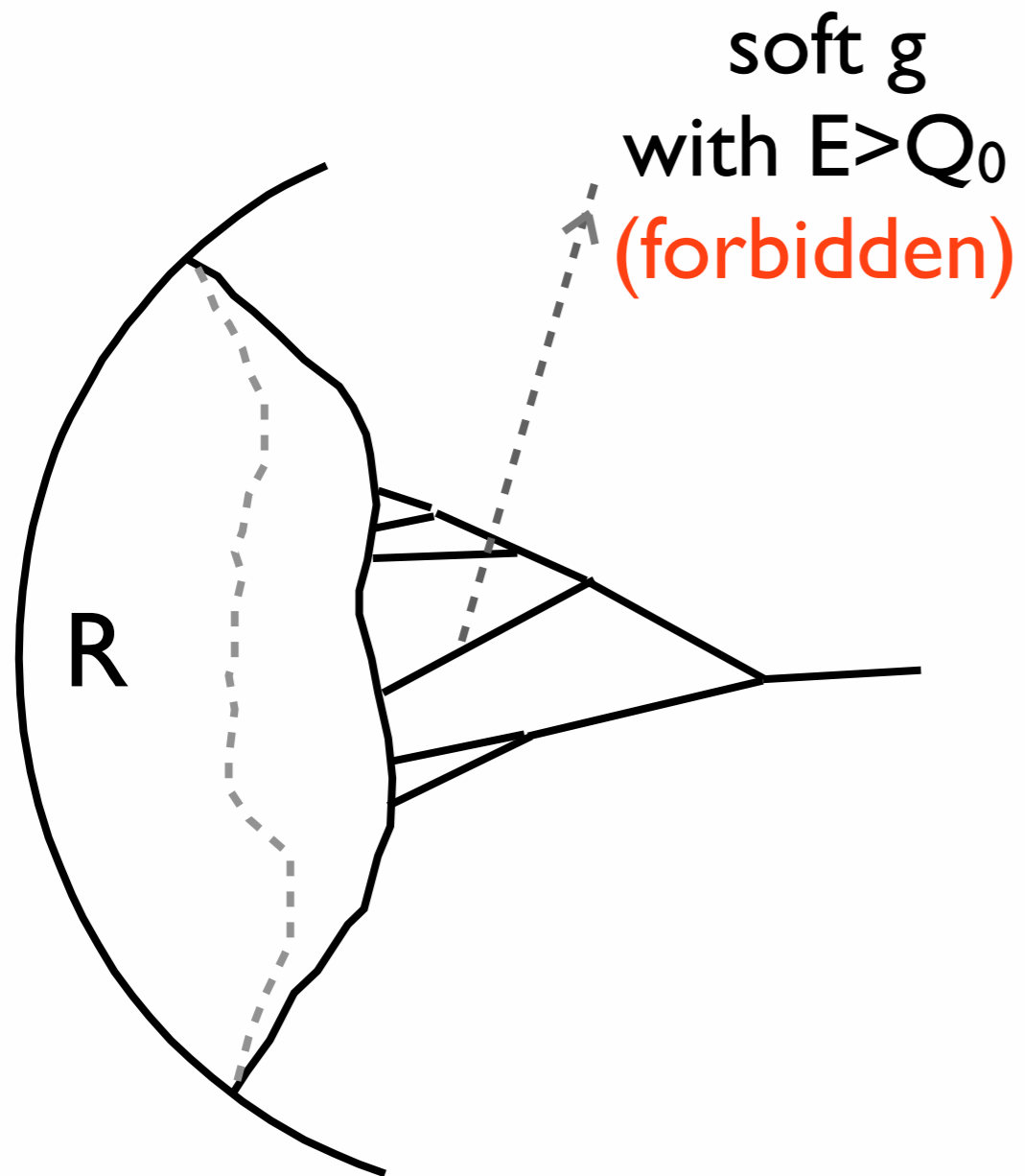
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- **Bookkeeping device** for multicolor states:

‘color **density matrix**;’

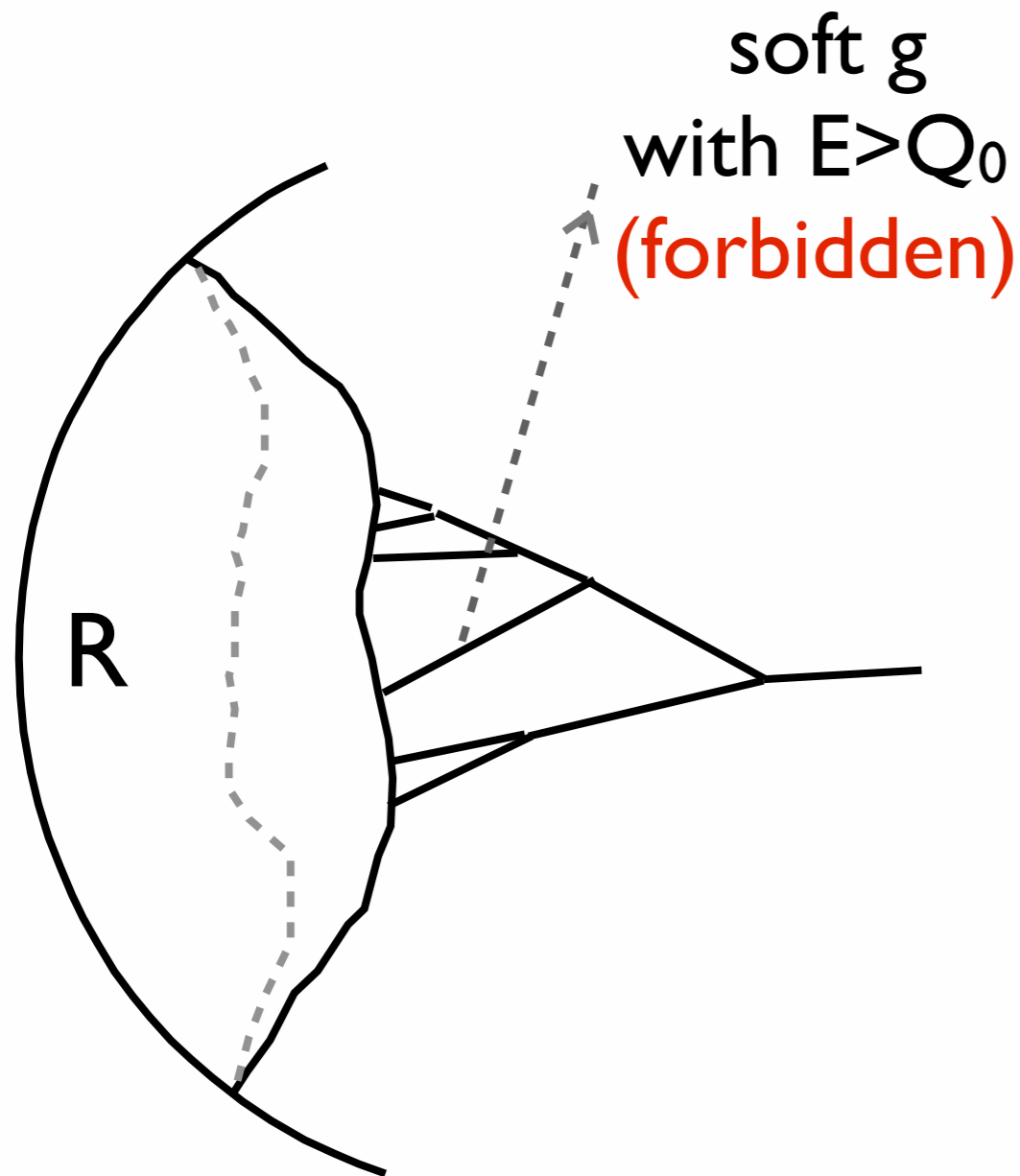
depends on $SU(N_c)$ matrices $U(\theta)$

The physics



Probability of
not radiating g outside R,
depends on
all gluons inside R!

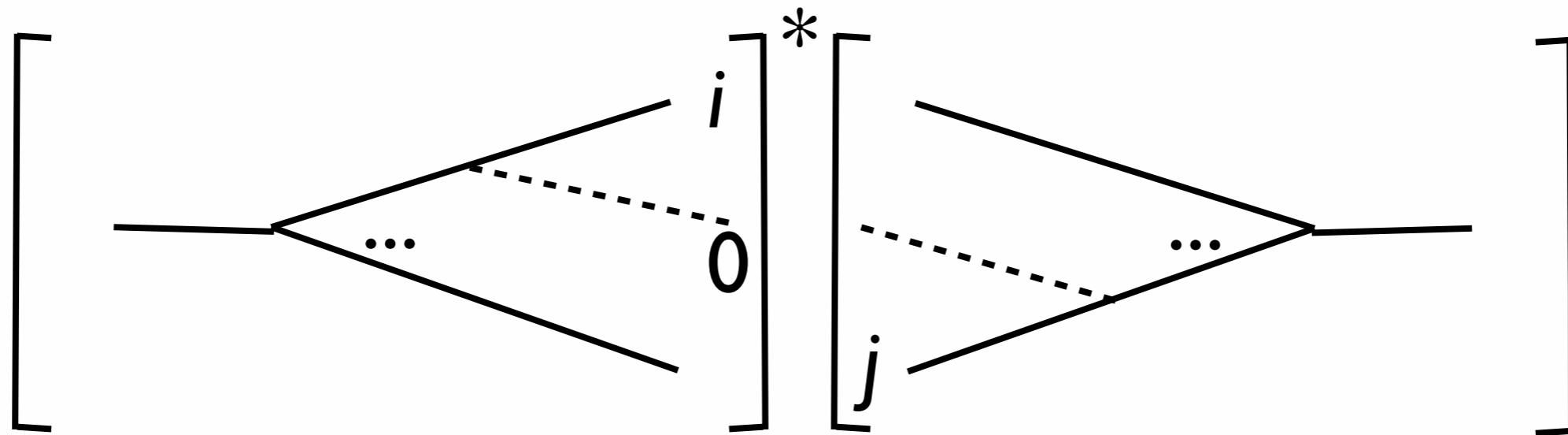
The physics



Probability of **not radiating** g outside R, depends on **all gluons** inside R!

Need to keep track of **angle** and **color** of all harder gluons (that's a minimum!)

U's: a bookkeeping device

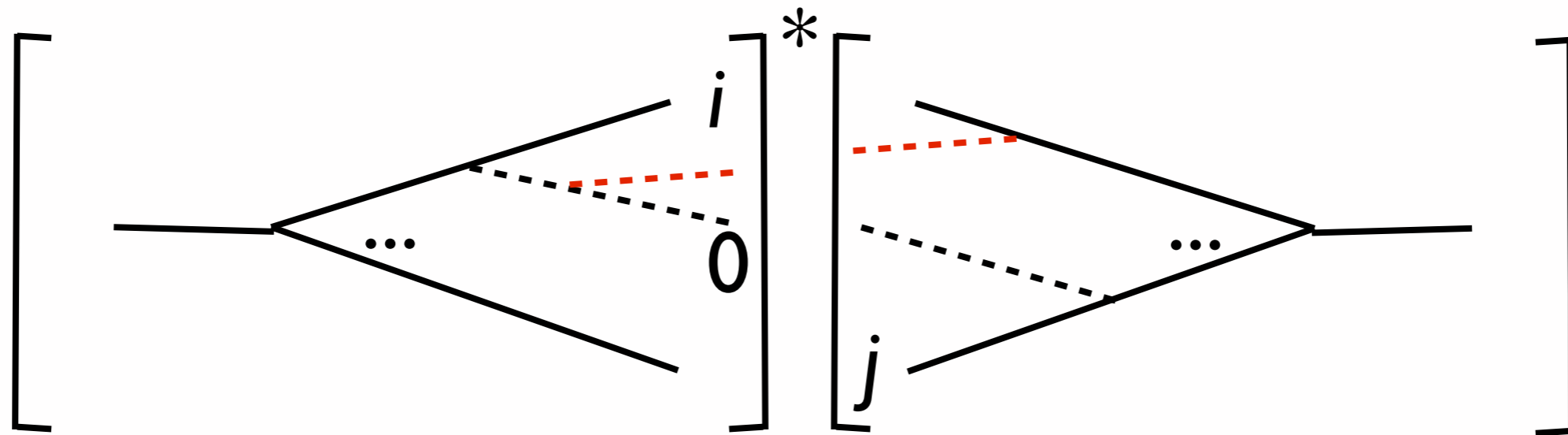


Squared amplitude for soft gluon '0' is proportional to:

$$\langle \text{left} | T_i^a T_j^a | \text{right} \rangle$$

Color rotation of harder partons i and j

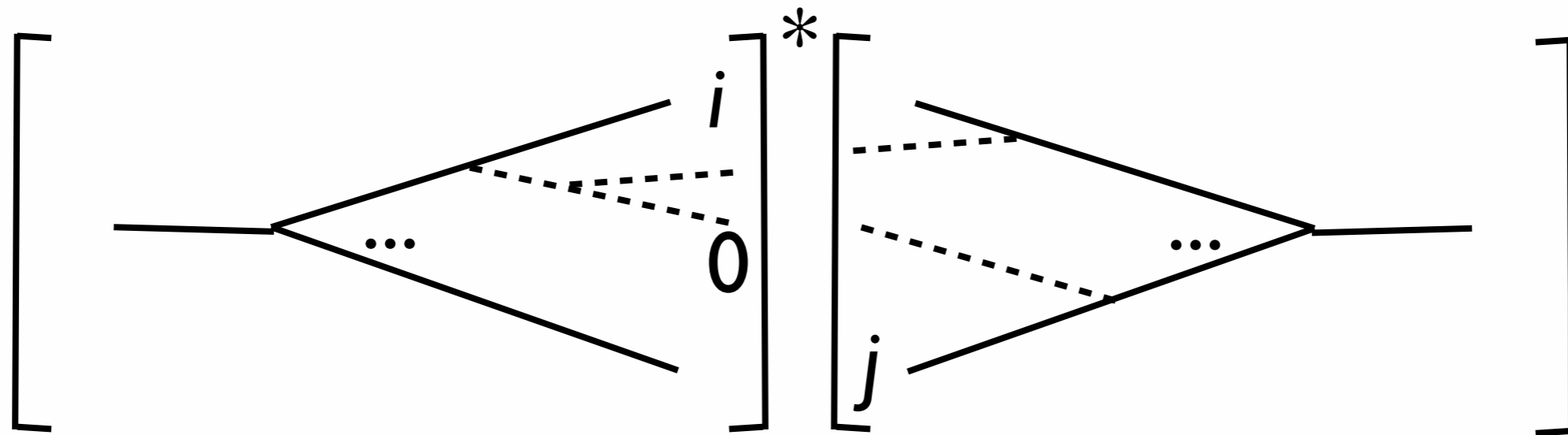
U's: a bookkeeping device



Subsequent emissions can rotate '0' itself:

$$\langle \text{left} | T_i^a T_0^b \quad T_i^b T_j^a | \text{right} \rangle$$

U's: a bookkeeping device

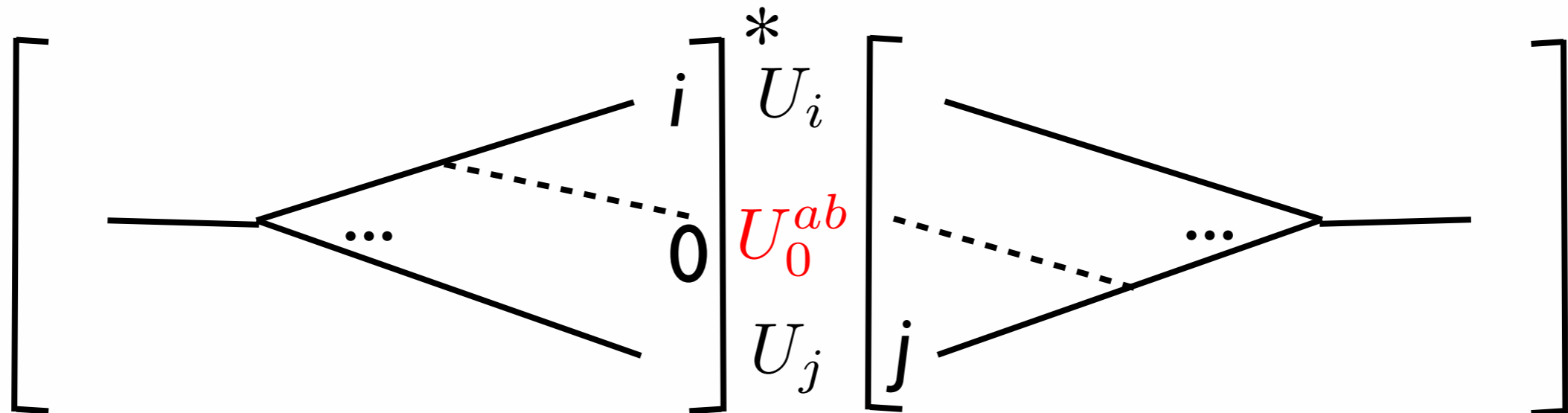


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$$\langle \text{left} | T_i^a T_0^b \quad T_i^b T_j^a | \text{right} \rangle$$

Let: $U =$ Cumulative rotation from all subsequent emissions

U's: a bookkeeping device



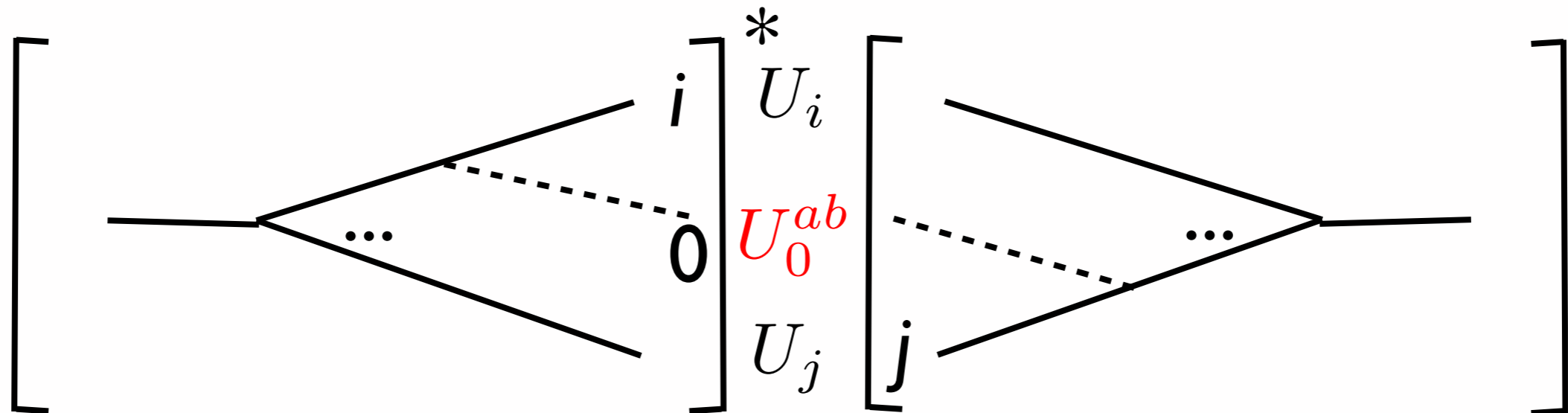
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Emission of '0': $U_i U_j \rightarrow (T_i^a U_i) U_0^{ab} (U_j T_j^b)$

U's: a bookkeeping device



Subsequent emissions can rotate '0' itself:

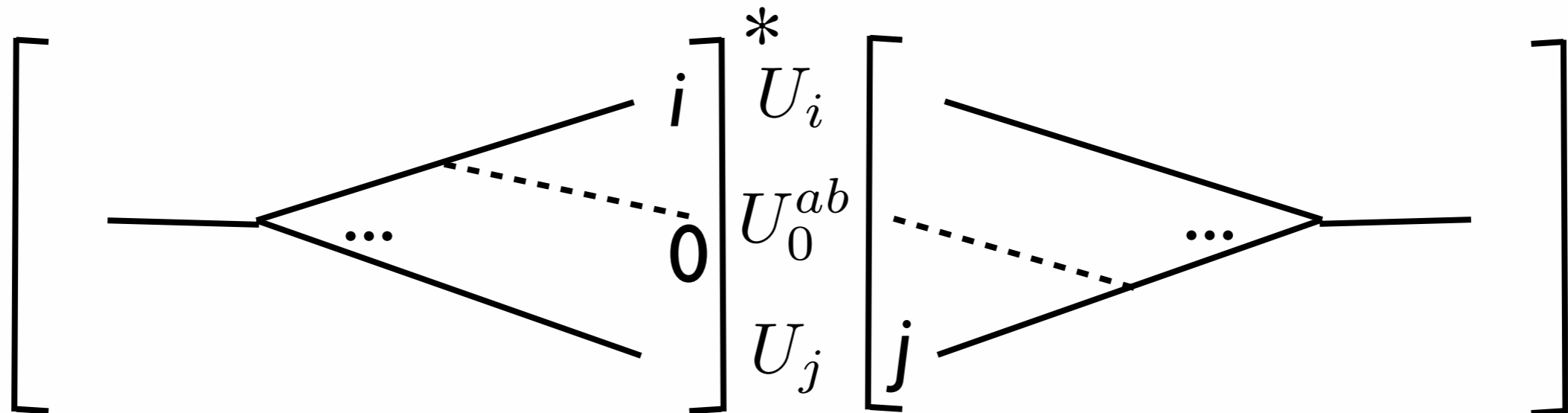
$$\langle \text{left} | T_i^a T_0^b \quad T_i^b T_j^a | \text{right} \rangle$$

Let: U = Cumulative rotation from all subsequent emissions

Emission of '0': $U_i U_j \rightarrow (T_i^a U_i) U_0^{ab} (U_j T_j^b)$

Now subsequent emissions can act on U_0 !

U's: a bookkeeping device



Subsequent emissions can rotate '0' itself:

$$\langle \text{left} | L_i^a U_0^{ab} R_j^a | \text{right} \rangle$$

Let: U = Cumulative rotation from all subsequent emissions

Emission of '0': $U_i U_j \rightarrow (U_i T^a) U_0^{ab} (U_j T^b) \equiv L_i^a U_0^{ab} R_j^b (U_i U_j)$

Efficient notation:

R acts on matrix element, L on conjugate

Formal definition

- Physically, U_i should represent color rotation from all **softer, but resolved** partons with $E > \mu$
- Cutoffs make life hard. Standard technique: take cutoff to zero and **renormalize** with $\overline{\text{MS}}$.
- **Bare** $\sigma[U]$: dress each final state with a color rotation:

$$\sigma[U] \equiv \sum \left[\begin{array}{c} \text{Diagram 1: } A_n^{a_1 \dots a_n} \text{ with } n \text{ outgoing lines} \\ \dots \\ \text{Diagram 2: } A_n^{b_1 \dots b_n} \text{ with } n \text{ outgoing lines} \end{array} \right]^* \begin{array}{c} U^{a_1 b_1}(\theta_1) \\ U^{a_2 b_2}(\theta_2) \\ \dots \\ U^{a_n b_n}(\theta_n) \end{array} \left[\begin{array}{c} \text{Diagram 1} \\ \dots \\ \text{Diagram 2} \end{array} \right]$$

$$\sigma[U] \equiv \sum_n \int d\Pi_n \left[A_n^{a_1 \dots a_n}(\{p_i\}) \right]^* U^{a_1 b_1}(\theta_1) \dots U^{a_n b_n}(\theta_n) \left[A_n^{b_1 \dots b_n}(\{p_i\}) \right] u(\{p_i\}) .$$

- Bare color density matrix IR divergent. Assuming RG eq., can renormalize:

$$\sigma^{\text{ren}}[U; \mu] = \mathcal{P} \exp \left[\int_0^\mu \frac{d\lambda}{\lambda} K(\lambda, \alpha_s(\lambda)) \right] \sigma[U]$$

Then σ^{ren} will be finite.

- Precisely, $U[\Theta_i]$ is the color rotation experienced by a resolved parton at Θ_i if all radiation below μ is unresolved (so only modes $> \mu$ contribute)
- RG equation is expected physically, due to decoupling of radiation at vastly different scales (direct combinatorial proof in paper)

LL example:

- 1. Start from a dijet at scale Q

$$\sigma[U; Q] = \text{Tr} [U^\dagger(\theta_1)U(\theta_2)] + O(\alpha_s)$$

- 2. Evolve down to scale Q_0 using RG:

$$[\mu\partial_\mu + \beta\partial_{\alpha_s}] \sigma[U; \mu] = K \sigma[U; \mu]$$

With each action of K one new resolved gluon U can be added

- 3. Kill all resolved gluons outside R by averaging procedure:

$$\langle U^{ab}(\theta) \rangle = \delta^{ab} \chi_{\theta \in R}$$

Evolution operator

- Extract from $1/\epsilon$ pole in one-loop fixed-order

- Real:
$$\sigma^{(1)}[U] \supset \sum_{i,j} \int \frac{d^3 p_0}{2E_0} \frac{p_i \cdot p_j}{p_0 \cdot p_i p_0 \cdot p_j} \times L_i^a U_0^{ab} R_j^b$$
$$\rightarrow \frac{1}{\epsilon_{\text{IR}}} \int d^2 \Omega_0 \frac{\alpha_{ij}}{\alpha_{0i} \alpha_{0j}}$$

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- Virtual:
$$\frac{1}{\epsilon_{\text{IR}}} \sum_{i,j} R_i^a R_i^b [\log(2p_i \cdot p_j - i0) + c.c.]$$

+ (collinear div.) - (collinear subtraction)

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Determined by KLN theorem

Evolution operator

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$$\rightarrow \frac{1}{\epsilon_{\text{IR}}} \int d^2 \Omega_0 \frac{\alpha_{ij}}{\alpha_{0i} \alpha_{0j}}$$

- Real+Virtual:

$$\alpha_{ij} = \frac{1 - \cos(\theta_{ij})}{2}$$

$$K^{(1)} = \sum_{i,j} \int \frac{d^2 \Omega_0}{4\pi} \frac{\alpha_{ij}}{\alpha_{0i} \alpha_{0j}} \left(U_0^{aa'} (L_i^a R_j^{a'} + R_i^{a'} L_j^a) - L_i^a L_j^a - R_i^a R_j^a \right)$$

Combination is collinear safe

Finite N_c one-loop evolution equation for non-global logs!

[Weigert '02]

- Planar limit: products in terms of traces

$$K U_{12} = \frac{\lambda}{8\pi^2} \int \frac{d^2\Omega_0}{4\pi} \frac{\alpha_{12}}{\alpha_{01}\alpha_{02}} (U_{12} - U_{10}U_{02})$$

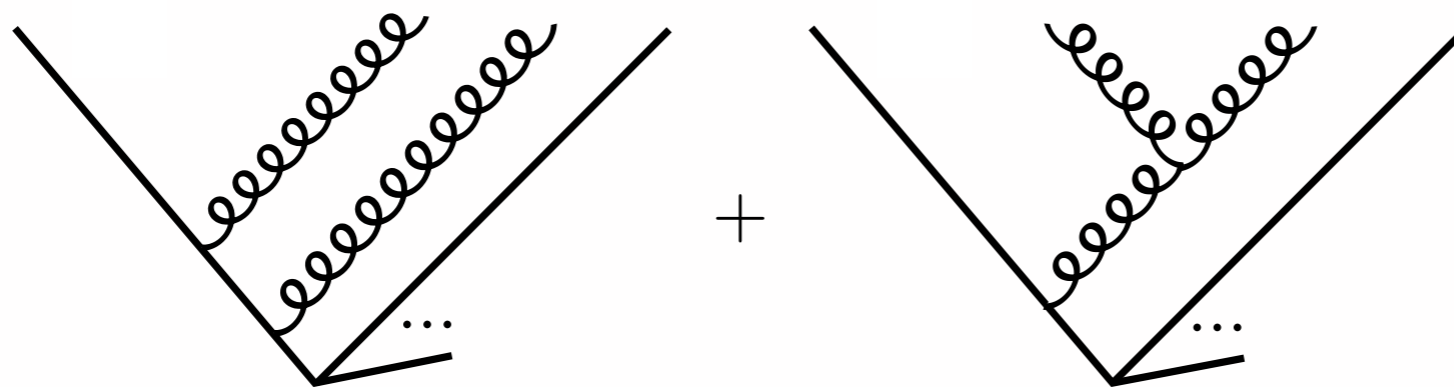
- **Closed** nonlinear equation for U_{ij}
[Banfi, Marchesini, Smye '02]
- $1/N_c^2$ expansion can be **automated**
(next order involves quadrupoles, etc.)
- Lattice Monte-Carlo techniques allow to solve
finite N_c equation
[Weigert '02,
Hatta&Ueda '13]

- Other strategy:
'dressed gluon expansion'

$$K^{(1)} = \sum_{i,j} \int \frac{d^2 \Omega_0}{4\pi} \frac{\alpha_{ij}}{\alpha_{0i} \alpha_{0j}} \left(\underbrace{U_0^{aa'} (L_i^a R_j^{a'} + R_i^{a'} L_j^a)}_{\delta K} - \underbrace{L_i^a L_j^a - R_i^a R_j^a}_{K_0} \right)$$

- Integrate exactly $K_0 \rightarrow$ Sudakov exponents
- Work perturbatively in δK : inserts 'dressed' gluon
- Since collinear safety of K , not preserved, must include: collinear cutoff, 'zero-bin subtraction', etc. For details (and a nice derivation), see their paper!
- U-matrix calculus: an efficient way to generate and organize the terms

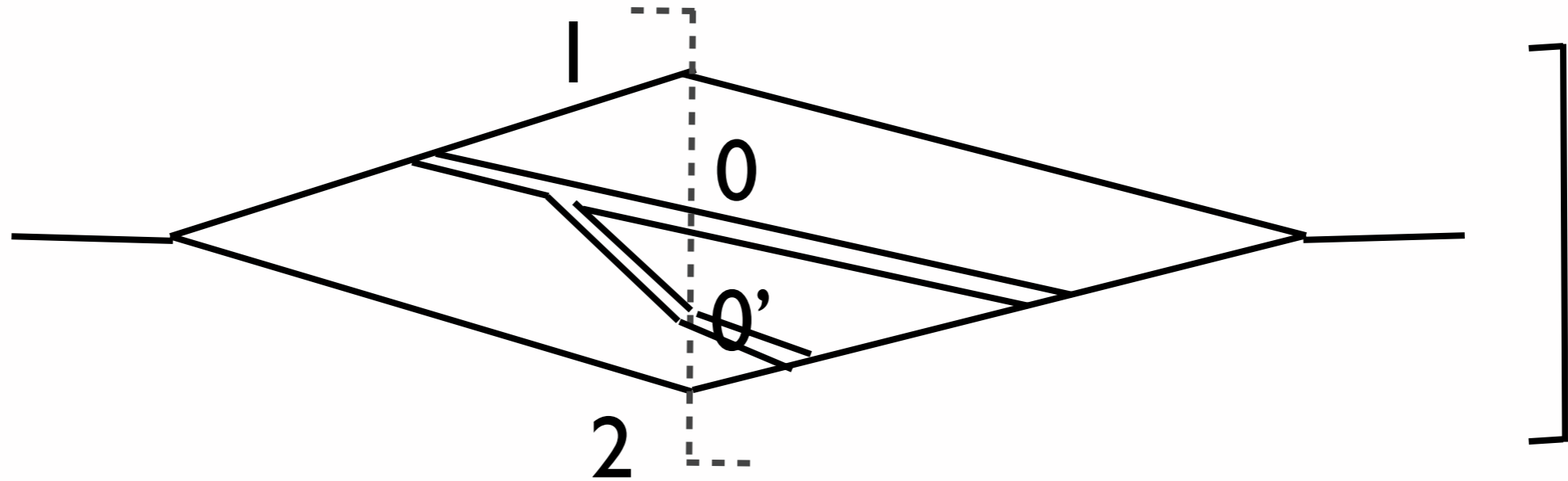
- To understand RG structure, let's now look at NLL
- Main ingredient: soft current for two soft partons



$$\mathcal{S}(k_1, k_2) = \sum_{i,j} \frac{1}{2} \{R_i^a, R_j^b\} S_i(k_1) S_j(k_2) + i f^{abc} \sum_i R_i^c S_i(k_1, k_2)$$

[...,Catani&Grazzini,...]

- For this talk, let just take planar limit.
- Get only **strings of dipoles**:



$$KU_{12} \supset \int \frac{d^2\Omega_0}{4\pi} \frac{d^2\Omega_{0'}}{4\pi} K_{[1\ 00'\ 2]} U_{10} U_{00'} U_{0'2}$$

Naively:

$$K_{[1\ 00'\ 2]} = \int_0^\infty a da \left[|\mathcal{S}(a\beta_0, \beta_{0'})|^2 - \left|_{a \rightarrow 0} \theta(1-a) - \right|_{a \rightarrow \infty} \theta(a-1) \right]$$

a =relative energy

subtraction of 1-loop

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a =relative energy
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planar soft current squared is relatively simple:

$$|\mathcal{S}|^2 = \frac{s_{10'}s_{20} + 2s_{10}s_{20} + 3s_{10}s_{10'} + 2s_{10'}s_{20'} + s_{12}s_{00'}}{s_{10}s_{00'}s_{0'2}(s_{10}+s_{10'})(s_{20}+s_{20'})}$$

$$+ (n_F - 4) \frac{s_{12}}{s_{00'}(s_{10}+s_{10'})(s_{20}+s_{20'})}$$

$$+ \left(\frac{1}{2}n_s - n_F + 1\right) \frac{(s_{10}s_{20'} - s_{10'}s_{20})^2}{s_{00'}^2(s_{10}+s_{10'})^2(s_{20}+s_{20'})^2}$$

← N=4SYM
 ← general gauge theory

Lorentz invariance and ordering variable

- One-loop was **not** written in Lorentz-invariant form

$$\int d^{2-2\epsilon}\Omega_0 \frac{p_i \cdot p_j}{p_0 \cdot p_i p_0 \cdot p_j} (\dots)$$

Lorentz invariance and ordering variable

- One-loop was **not** written in Lorentz-invariant form

$$\int d^{2-2\epsilon} \Omega_0 \left(\frac{p_i \cdot p_j}{p_0 \cdot p_i p_0 \cdot p_j} \right)^{1-\epsilon} (\dots) \quad [\text{Homogeneity in } p_0 \text{ required}]$$

- Equivalent to changing ordering variable:

$$E^2 \rightarrow \frac{p_1 \cdot p_0 p_0 \cdot p_2}{p_1 \cdot p_2} = 'p_t^2'$$

Less naive two-loop kernel (Lorentz-invariant scheme):

$$K_{[1\ 00'\ 2]} = \int_0^\infty da \left[|\mathcal{S}(a\beta_0, \beta_{0'})|^2 - \Big|_{a \rightarrow 0} \theta(1 - ar_1) - \Big|_{a \rightarrow \infty} \theta(ar_2 - 1) \right]$$


with: $\frac{r_2}{r_1} = \frac{\alpha_{12}\alpha_{00'}}{\alpha_{0'1}\alpha_{02}}$ from mismatching E scales in (1-loop)²

Less naive two-loop kernel (Lorentz-invariant scheme):

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Full two-loop planar:

$$K^{(2)} U_{12} = \int_{0,0'} \frac{-2U_{10}U_{00'}U_{0'2}}{\alpha_{10}\alpha_{00'}\alpha_{0'2}} \times \left\{ 2 \log \frac{\alpha_{12}\alpha_{00'}}{\alpha_{10'}\alpha_{02}} + \left[1 + \frac{\alpha_{12}\alpha_{00'}}{\alpha_{10}\alpha_{20'} - \alpha_{10'}\alpha_{20}} \right] \log \frac{\alpha_{10}\alpha_{20'}}{\alpha_{10}\alpha_{20'}} \right. \\ \left. + (n_F - 4)(\dots) + \left(\frac{1}{2}n_s - n_F + 1 \right)(\dots) \right\}$$

+ ...


One-loop single-real (w/ hard-collinear subtraction); double-virtual

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Full two-loop planar:

make it collinear-finite

$$K^{(2)} U_{12} = \int_{0,0'} \frac{-2U_{10}U_{00'}U_{0'2} + U_{10}U_{02} + U_{10'}U_{0'2}}{\alpha_{10}\alpha_{00'}\alpha_{0'2}} \times \left\{ 2 \log \frac{\alpha_{12}\alpha_{00'}}{\alpha_{10'}\alpha_{02}} + \left[1 + \frac{\alpha_{12}\alpha_{00'}}{\alpha_{10}\alpha_{20'} - \alpha_{10'}\alpha_{20}} \right] \log \frac{\alpha_{10}\alpha_{20'}}{\alpha_{10}\alpha_{20'}} + (n_F - 4)(\dots) + \left(\frac{1}{2}n_s - n_F + 1 \right)(\dots) \right\}$$

#K⁽¹⁾

only possible structure

by Lorentz invariance and KLN theorem

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$$\gamma_K^{(2)} K^{(1)} .$$



Sudakov double logs for narrow cones

Check: two-loop BFKL/BK

- Conformal transformation maps 2-sphere to transverse plane in BFKL: [Hofman&Maldacena; Hatta '08]

$$\int \frac{d^2\Omega_i}{4\pi} \rightarrow \frac{d^2z}{\pi}, \quad \alpha_{ij} \rightarrow (z_i - z_j)^2$$

- Fact: pQCD is **close** to a CFT. In a CFT there is nothing special about 'infinity'.
- Broken by QCD β -function. Check:

$$K^{(2)} - H_{\text{BFKL}}^{(2)} \propto b_0 = \frac{11C_A - 4n_F T_F - n_S T_S}{3}$$

Highly nontrivial!

Upshots

- Agreement with BFKL: **evidence** result is correct
- Calculations readily **automated**
(products of U's; large N_c expansion; squares of Catani-Grazzini soft currents)
- **Finite N_c** case worked out in paper and matched with (recent!) Balitsky-JIMWLK.
- Universal two-loop
- **Three-loops** will require only **3- and 2-real**
(+ Lorentz + KLN+ Υ_K (+Hermiticity of H_{BFKL}))

[Herranen+SCH, in progress]

Proof of RG equation

- Input: soft **factorization** of (loop) amplitudes

$$\lim_{\{k_i\} \rightarrow 0} H(\{k_i\}, \{p_j\}) = \mathcal{S}(\{k_i\}) H(\{p_j\})$$

- Output:

$$\mathcal{P}e \int_0^\mu \frac{d\lambda}{\lambda} (K^{(1)} + \dots + K^{(L)}) \sigma[U]^{(L+1)}$$

has **no subdivergences** at $(L+1)$ loops.

→ $\mathcal{P}e \int_0^\mu \frac{d\lambda}{\lambda} K \sigma[U]$ is finite!

- Proof **constructive**: explicit formal expressions for K :

$$K = \int_{\text{energies}} [|\mathcal{S}|^2 - (\text{subtractions})] \quad \text{at all loops}$$

Conclusion

- Two-scale problems involving soft wide-angle gluons can be **solved**: **color density matrix**
- Efficient **U-matrix calculus**: can be used by others!
- **Proven**: all logs generated by RG equation in e^+e^- ; exponent now **known to NLL**
- Next: **collinear logs** when R becomes small
 - Compare with existing 2-loop fixed-order NGL's
 - Make contact with Soper&Nagy's density matrix?
- Next: Coulomb phases in hadron collisions
[Superleading logs: $K_{40}^{(2)} \sim \log[\mu]$; Martinez'talk]