



Results on Inclusive Diffraction from HERA I (H1 and ZEUS)



Presented by B.Loehr on behalf of H1 and ZEUS

Data from the running period 1999-2000.

The (almost) 'last word' on inclusive diffraction from HERA I.

In the HERA II setup the ZEUS detector lost components for diffractive physics, namely the Leading Proton Spectrometer (LPS), and the Forward Plug Calorimeter (FPC).

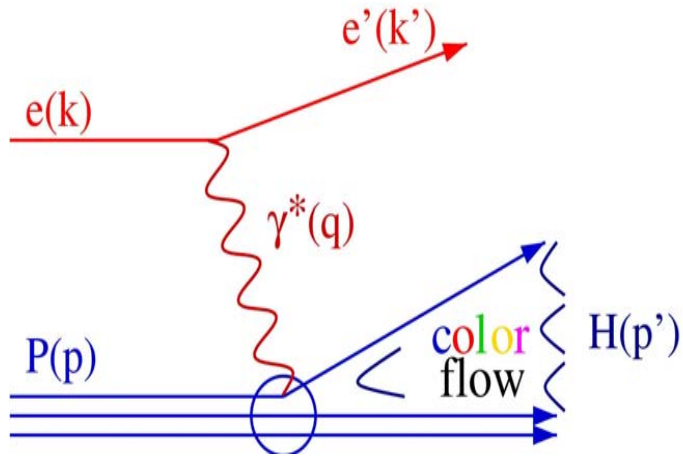
The H1 detector lost the Proton Remnant Tagger (PRT) but kept the Forward Proton Spectrometer (FPS) and even added a Very Forward Proton Spectrometer (VFPS) .

Both detectors have silicon vertex detectors which cover part of the 'forward direction'.

Superconducting machine magnets inserted into the detectors.

We attempt to get a consistent picture of inclusive diffraction from both experiments and from all different methods for this running period.

Inclusive nondiffr. DIS events :



$$s = (k+p)^2$$

center of mass energy squared

$$Q^2 = -q^2 = -(k-k')^2$$

virtuality, size of the probe

$$W^2 = M_H^2 = (p+q)^2$$

γ^* - proton cms energy squared

$$x = \frac{Q^2}{2p \cdot q}$$

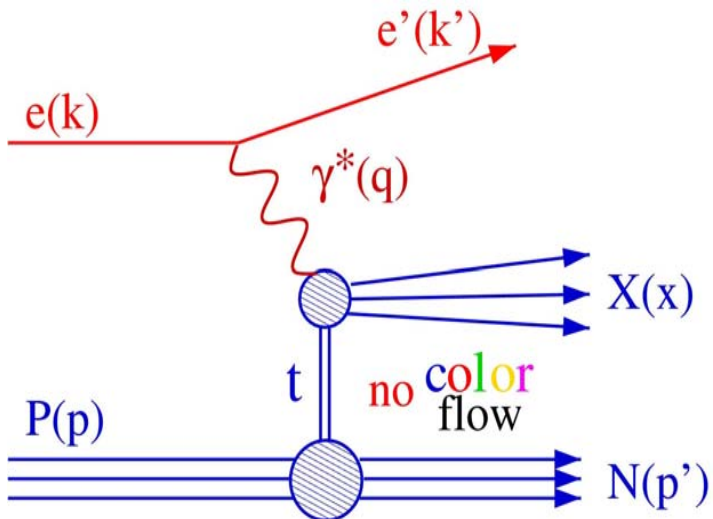
$$y = \frac{p \cdot q}{p \cdot k}$$

x: fraction of the proton carried by the struck parton

y: inelasticity, fraction of the electron momentum carried by the virtual photon

$$Q^2 = x \cdot y \cdot s$$

Diffractive DIS events :



For diffractive events in addition 2 variables

$$M_x$$

mass of the diffractive system x

$$t = (p-p')^2$$

four-momentum transfer squared at the proton vertex

$$x_{IP} = \frac{(p-p') \cdot q}{p \cdot q} = \frac{M_x^2 + Q^2}{W^2 + Q^2}$$

momentum fraction of the proton carried by the Pomeron

$$\beta = \frac{Q^2}{2(p-p') \cdot q} = \frac{x}{x_{IP}} = \frac{Q^2}{M_x^2 + Q^2}$$

fraction of the Pomeron momentum which enters the hard scattering

1.) Detection of the scattered proton:

- diffractive peak at x_L
- no contribution from proton dissociation events
- contribution from Reggeon exchanges
- only method to measure t -distribution
- **small acceptance -> limited statistics**

$$x_{IP} = 1 - x_L$$

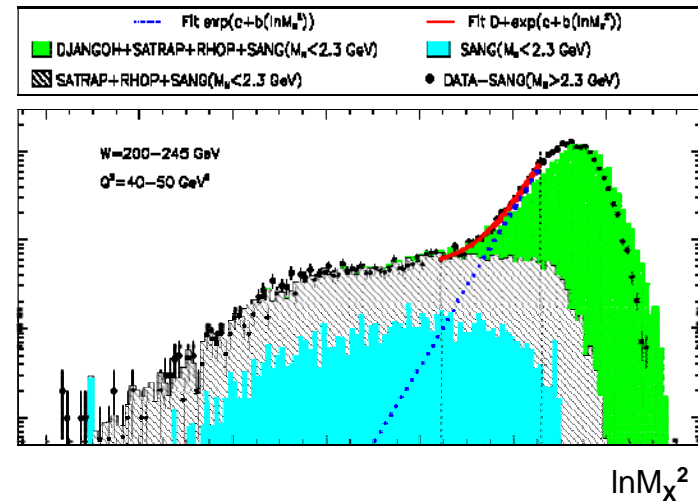
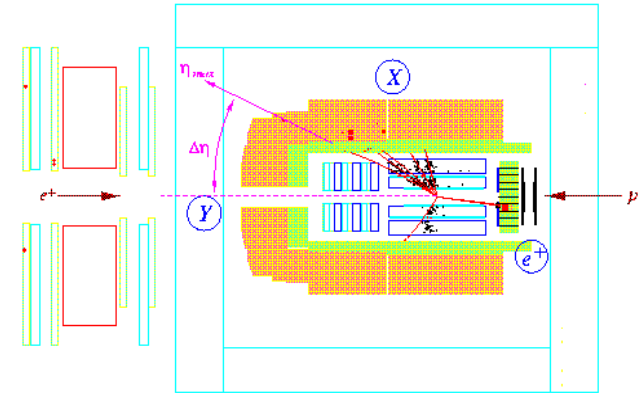
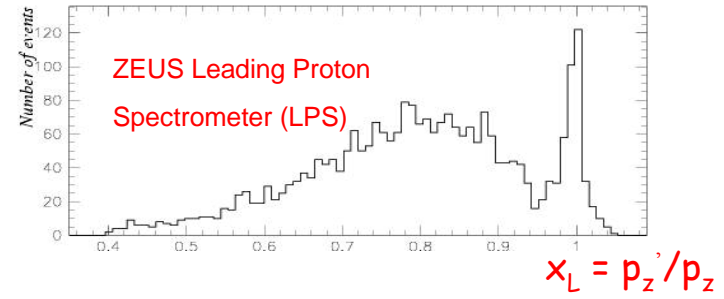
2.) Rapidity gap between incoming proton direction and first particle seen in the detector:

- contributions from proton dissociation events
- contributions from Reggeon exchanges
- **large acceptance**

3.) The M_x -method: exploits the mass distribution of the diffractive system

- contributions from proton dissociation events
- no contributions from Reggeon exchanges
- **large acceptance**

All three methods initially measure different mixtures of different processes.



$\ln M_x^2$

H1:

FPS	28.4 pb ⁻¹	Q ² = 2.7 - 24 GeV ²	Eur.Phys.J. C48(2006) 749	no p-dissociation
LRG	74.2 pb ⁻¹	Q ² = 3.5 - 1600 GeV ²	Eur.Phys.J. C48(2006) 715	corr. to M _N < 1.6 GeV

ZEUS:

LPS	32.6 pb ⁻¹	Q ² =2.5 - 40 GeV ²		no p-dissociation
LRG	62.2 pb ⁻¹	Q ² =2.5 - 255 GeV ²		corr. to M _N = m _p
FPC I	4.2 pb ⁻¹	Q ² =2.2 - 80 GeV ²	Nucl.Phys. B 713 (2005) 3	corr. to M _N < 2.3 GeV
FPC II	11.0 pb ⁻¹ 52.4 pb ⁻¹	Q ² = 20 - 40 GeV ² Q ² = 40 - 450 GeV ²	} hep-ex 0802.3017, accepted by Nucl.Phys. B	corr. to M _N < 2.3 GeV corr. to M _N < 2.3 GeV

$$\frac{d^4 \sigma_{\gamma^* p}}{dQ^2 dt dx_{IP} d\beta} = \frac{2\pi\alpha_{em}}{\beta \cdot Q^2} [1 - (1-y)^2] \cdot \sigma_r^{D(4)}(Q^2, t, x_{IP}, \beta)$$

$$\sigma_r^{D(4)}(Q^2, t, x_{IP}, \beta) = F_2^{D(4)}(Q^2, t, x_{IP}, \beta) - \underbrace{\frac{y^2}{1 + (1-y)^2} F_L^{D(4)}(Q^2, t, x_{IP}, \beta)}_{\text{sizeable only at high } y, \text{ if neglected } F_2 = \sigma_R}$$

$x F_3$ can safely be neglected

If t is not measured, i.e. integrated over: $\sigma_r^{D(3)}(\beta, Q^2, x_{IP}) = \int \sigma_r^{D(4)}(\beta, Q^2, x_{IP}, t) dt$

$$\frac{d^3 \sigma_{\gamma^* p}}{dQ^2 dx_{IP} d\beta} = \frac{2\pi\alpha_{em}}{\beta Q^2} [1 - (1-y)^2] \cdot \sigma_r^{D(3)}(Q^2, x_{IP}, \beta)$$

and analogously

$$F_2^{D(3)}(Q^2, x_{IP}, \beta)$$

H1 use $\sigma_r^{D(3)}(Q^2, x_{IP}, \beta)$

ZEUS use $F_2^{D(3)}(Q^2, x_{IP}, \beta)$ for the M_x results and neglect longitudinal contribution.



Diffractive DIS factorisation: proven theorem

$$d\sigma^{ep \rightarrow eXY}(x, Q^2, x_{\mathbf{P}}, t) = \sum_i \underbrace{f_i^D(x, Q^2, x_{\mathbf{P}}, t)}_{\text{universal diffractive parton distribution function (dpdf)}} \otimes \underbrace{d\hat{\sigma}^{ei}(x, Q^2)}_{\text{hard universal DIS cross section}}$$

universal diffractive parton distribution function (dpdf) hard universal DIS cross section

Regge factorisation: not proven hypothesis

$$f_i^D(x, Q^2, x_{\mathbf{P}}, t) = f_{\mathbb{P}/p}(x_{\mathbf{P}}, t) \cdot f_i(\beta = x/x_{\mathbf{P}}, Q^2) \quad \text{with} \quad f_{\mathbb{P}/p}(x_{\mathbf{P}}, t) = A_{\mathbb{P}} \cdot \frac{e^{B_{\mathbb{P}}t}}{x_{\mathbf{P}}^{2\alpha_{\mathbb{P}}(t)-1}}$$

This is the basis of the Regge fits used for the LPS/FPS data and LRG data to separate the diffractive (Pomeron) contribution from the Reggeon exchange contributions and to perform NLO DGLAP fits to its (Q^2, β) -dependence (see later).

Diffractive cross sections obtained with the FPS/LPS or LRG method may contain in some kinematical regions sizeable contributions from Reggeon exchanges.

Simultaneous fit and separation of the contributions by:

$$f_i^D(x, Q^2, x_P, t) = f_{\mathbb{P}/p}(x_P, t) \cdot f_i(\beta, Q^2) + n_{\mathbb{R}} \cdot f_{\mathbb{R}/p}(x_P, t) \cdot f_i^{\mathbb{R}}(\beta, Q^2)$$

Pomeron contribution
relative normalisation
Reggeon contribution

$$F_2^{\mathbb{P}}(\beta, Q^2) = \sum_i f_i(\beta, Q^2) \quad \text{diffractive (Pomeron) structure function}$$

$$f_i(\beta, Q^2) \quad \text{obey DGLAP evolution}$$

Regge fits and DGLAP fits are performed simultaneously by H1 (see later).

Fit with BEKW model

(Bartels, Ellis, Kowalski and Wüsthoff, 1998)

- $$x_{IP} F_2^{D(3)} = c_T \cdot F_{q\bar{q}}^T + c_L \cdot F_{q\bar{q}}^L + c_g \cdot F_{q\bar{q}g}^T$$

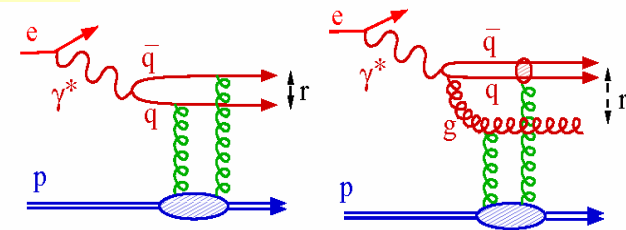
$$F_{q\bar{q}}^T = \left(\frac{x_0}{x_{IP}}\right)^{n_T(Q^2)} \cdot \beta(1 - \beta),$$

$$F_{q\bar{q}}^L = \left(\frac{x_0}{x_{IP}}\right)^{n_L(Q^2)} \cdot \frac{Q_0^2}{Q^2 + Q_0^2} \cdot \left[\ln\left(\frac{7}{4} + \frac{Q^2}{4\beta Q_0^2}\right)\right]^2 \cdot \beta^3(1 - 2\beta)^2,$$

$$F_{q\bar{q}g}^T = \left(\frac{x_0}{x_{IP}}\right)^{n_g(Q^2)} \cdot \ln\left(1 + \frac{Q^2}{Q_0^2}\right) \cdot (1 - \beta)^\gamma$$

assume $n_T(Q^2) = c_4 + c_7 \ln\left(1 + \frac{Q^2}{Q_0^2}\right)$, $n_L(Q^2) = c_5 + c_8 \ln\left(1 + \frac{Q^2}{Q_0^2}\right)$,

$$n_g(Q^2) = c_6 + c_9 \ln\left(1 + \frac{Q^2}{Q_0^2}\right)$$



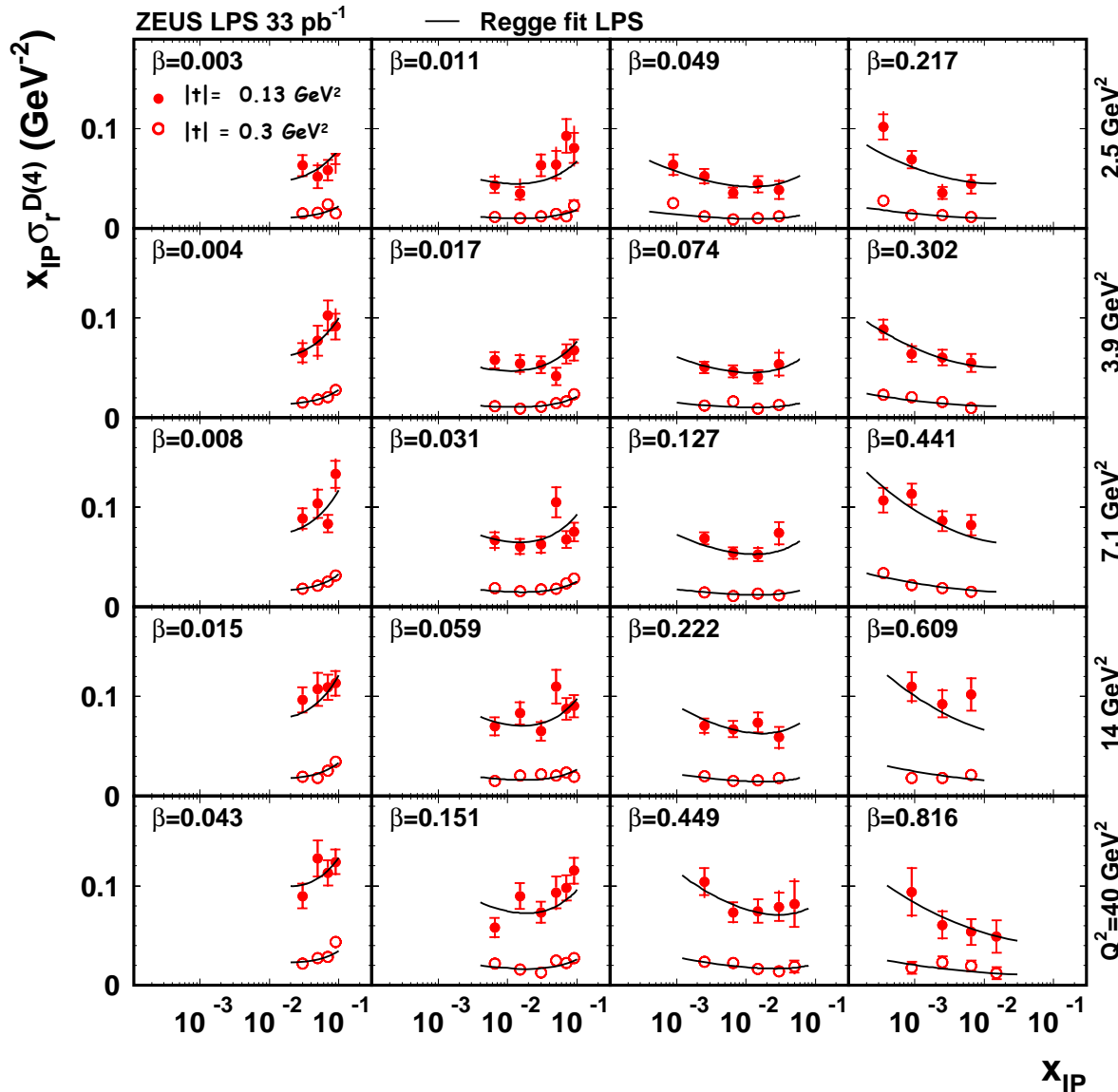
Dipole Model

The ZEUS data support taking $n_T(Q^2) = n_g(Q^2) = n_L(Q^2) = n_1 \ln(1 + Q^2/Q_0^2)$

Taking $x_0 = 0.01$ and $Q_0^2 = 0.4 \text{ GeV}^2$ results in the **modified BEKW model** with the 5 free parameters :

$$c_T, c_L, c_g, n_1^{T,L,g}, \gamma$$

New results from ZEUS: ZEUS



Measurements at two different t -bins

$|t| = 0.13 \text{ GeV}^2$ and

$|t| = 0.30 \text{ GeV}^2$

$2.5 \text{ GeV}^2 \leq Q^2 \leq 40 \text{ GeV}^2$

Large β :

$x_{IP} \sigma_r^{D(4)}$ falls with x_{IP}

Medium β :

at small x_{IP} , $x_{IP} \sigma_r^{D(4)}$ falls with x_{IP}
 at large x_{IP} , $x_{IP} \sigma_r^{D(4)}$ rises with x_{IP}

→ Reggeon exchanges contribute

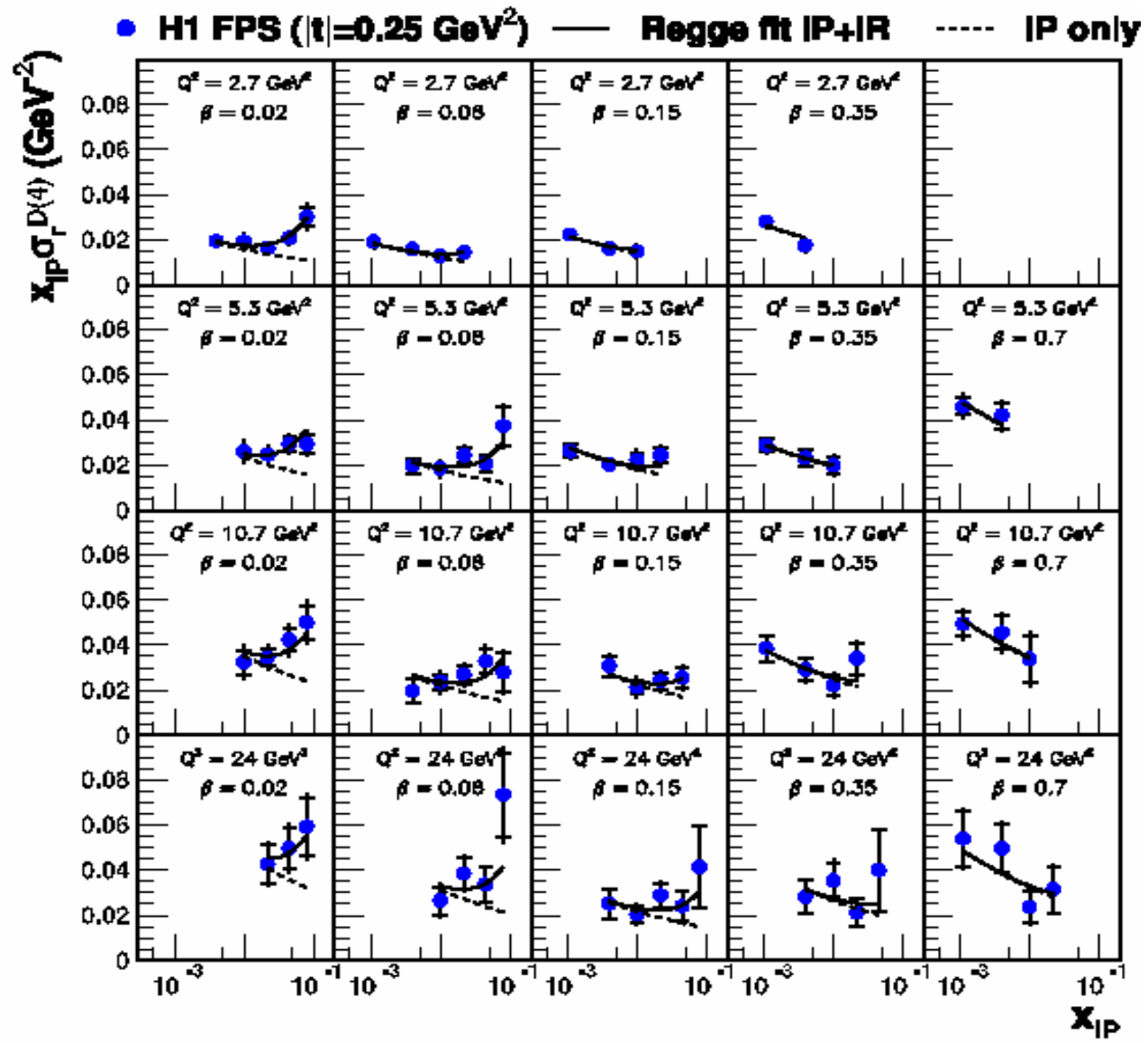
Small β :

only high x_{IP}

→ Reggeon exchanges dominate

Behaviour is similar for the 2 t -bins

Published H1 results:



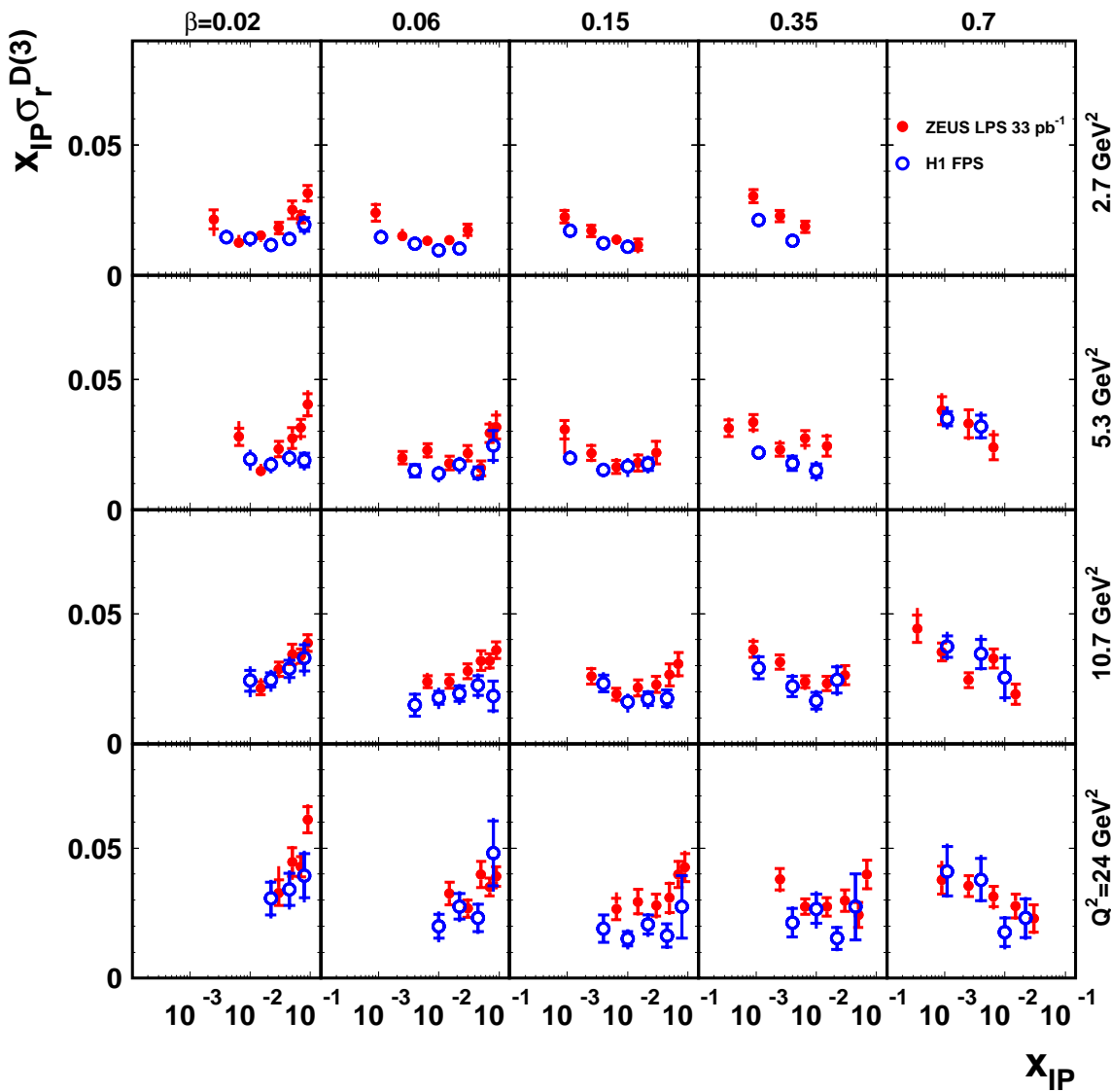
$2 \text{ GeV}^2 < Q^2 < 50 \text{ GeV}^2$

$|t|=0.25 \text{ GeV}^2$

— Regge fit (IP + IR)

----- Pomeron contribution

ZEUS



H1 FPS results:
Eur.Phys.J. C48(2006) 749

ZEUS LPS results:
M.Ruspa, XVI International Workshop
On Deep Inelastic Scattering,
UCL, 7-11 April, 2008

Not shown:
normalization uncertainties
LPS: +11% -7%
FPS: +10% -10%

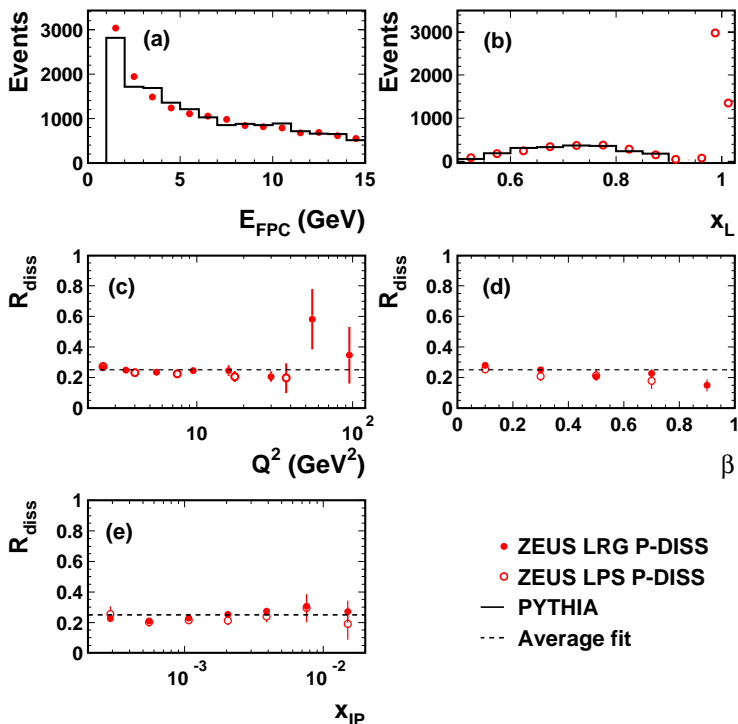


Good agreement between
LPS and FPS data in shape
and magnitude within the
statistical errors and
normalization uncertainties.

ZEUS LRG data corrected for proton dissociation to $M_N=m_p$

PYTHIA-MC tuned with LPS($x_L < 0.9$) data and Forward Plug Calorimeter (FPC) energy spectrum requiring a rapidity gap.

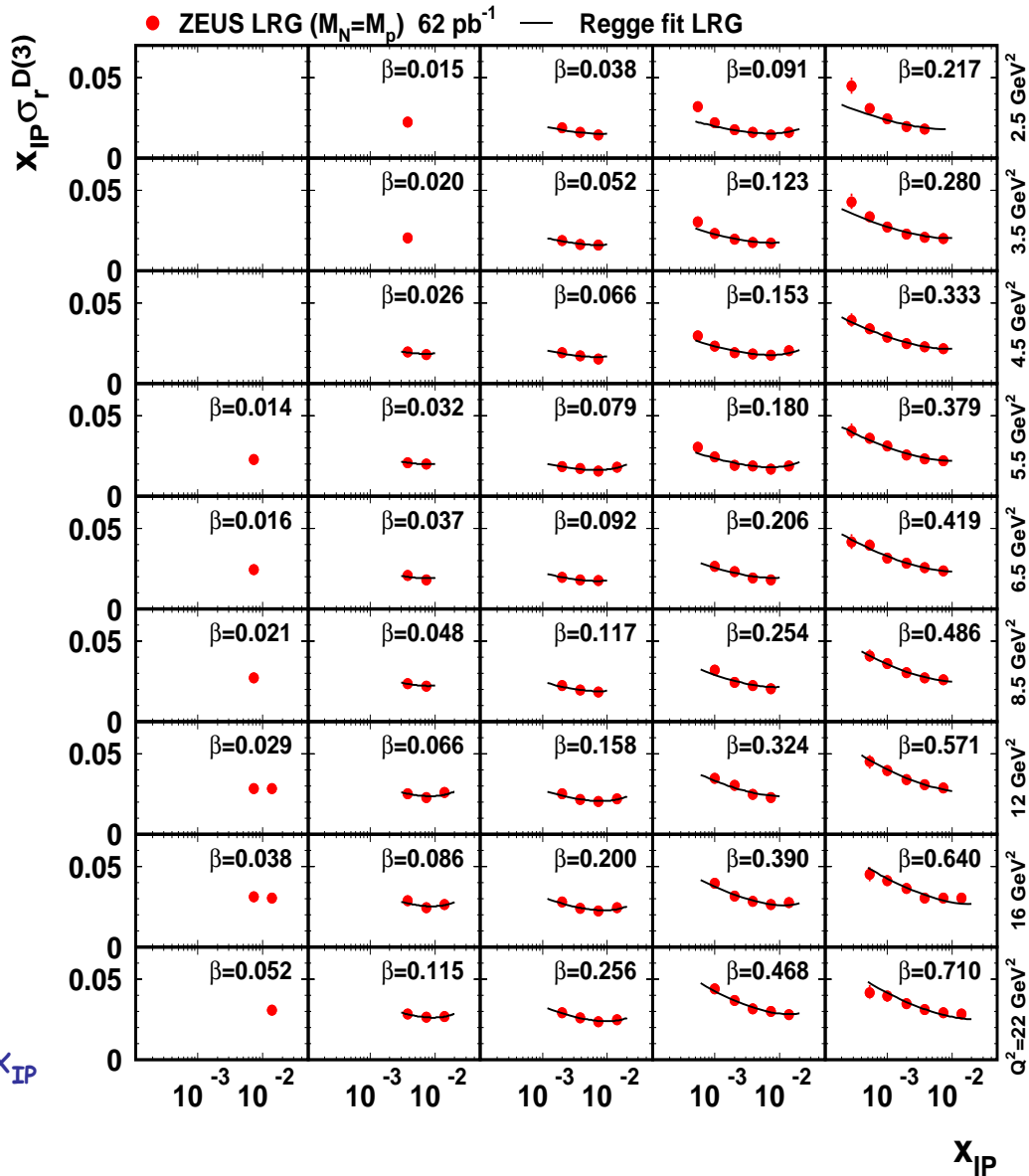
ZEUS



P-diss. contribution is independent Q^2 , β , x_{IP}

$$R_{p-diss} = 25 \pm 1(\text{stat}) \pm 3(\text{sys}) \%$$

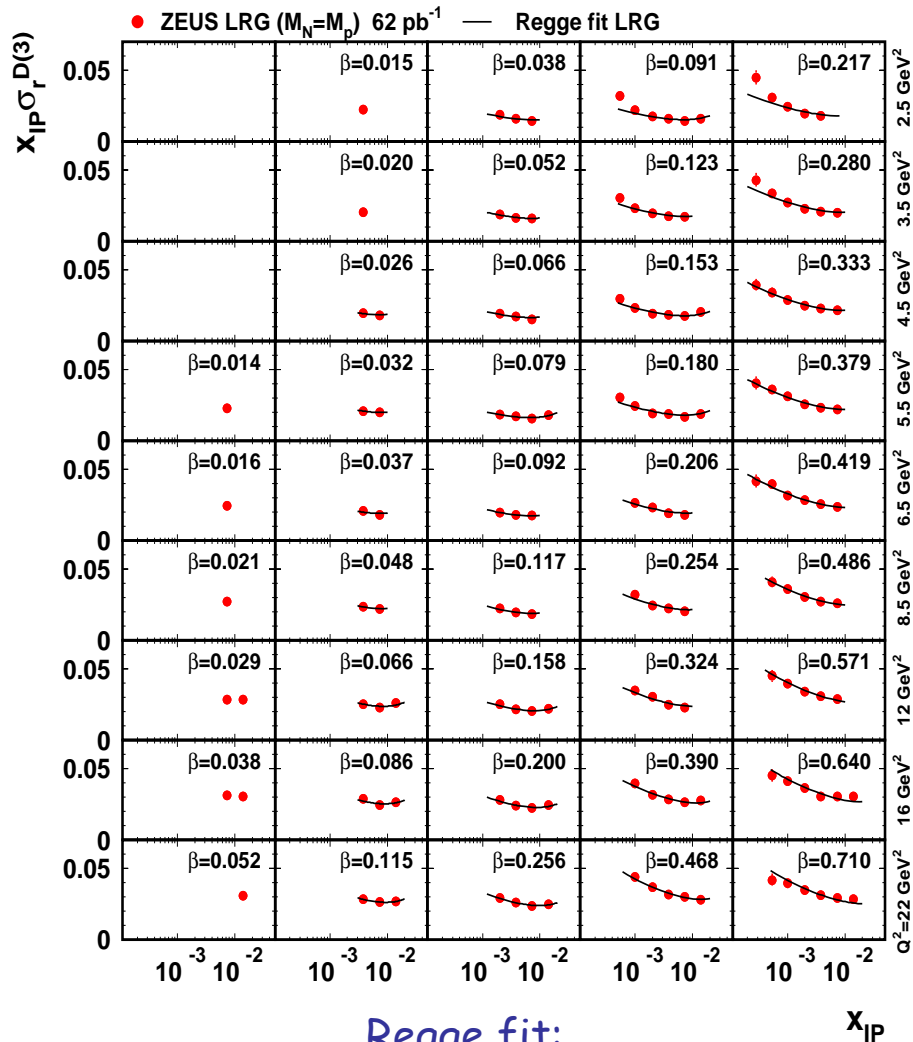
ZEUS



ZEUS

ZEUS LRG data:

$$2.5 \text{ GeV}^2 \leq Q^2 \leq 255 \text{ GeV}^2$$



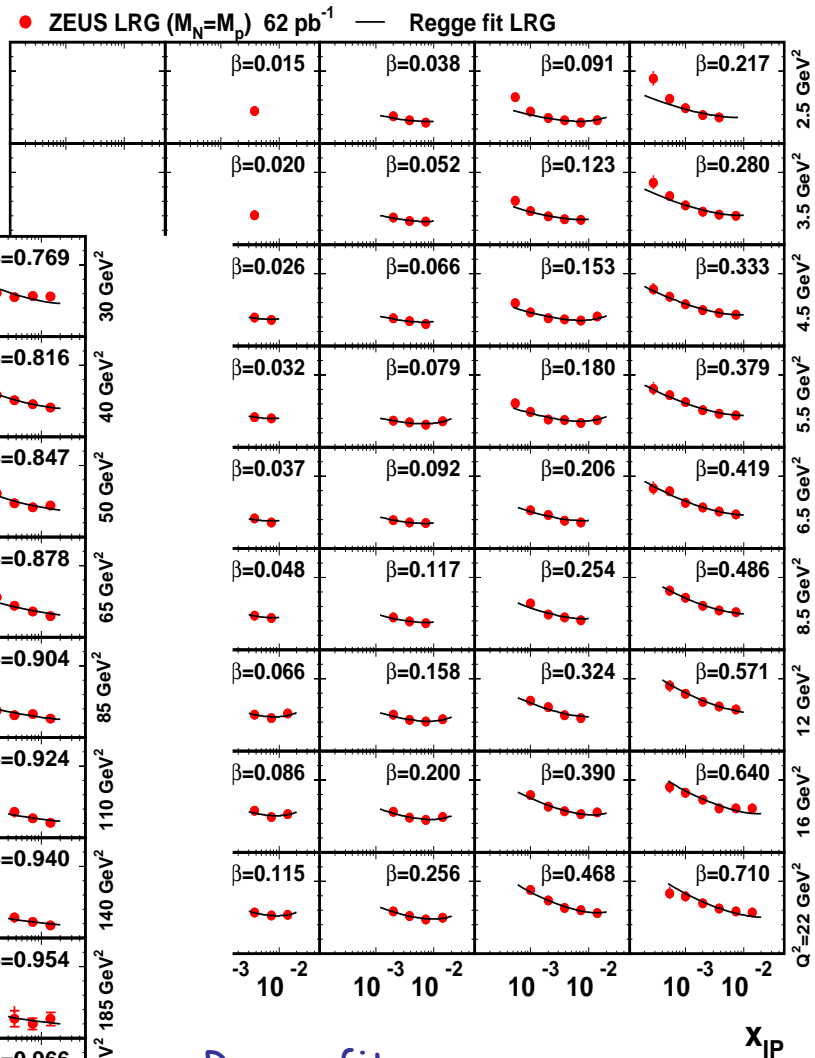
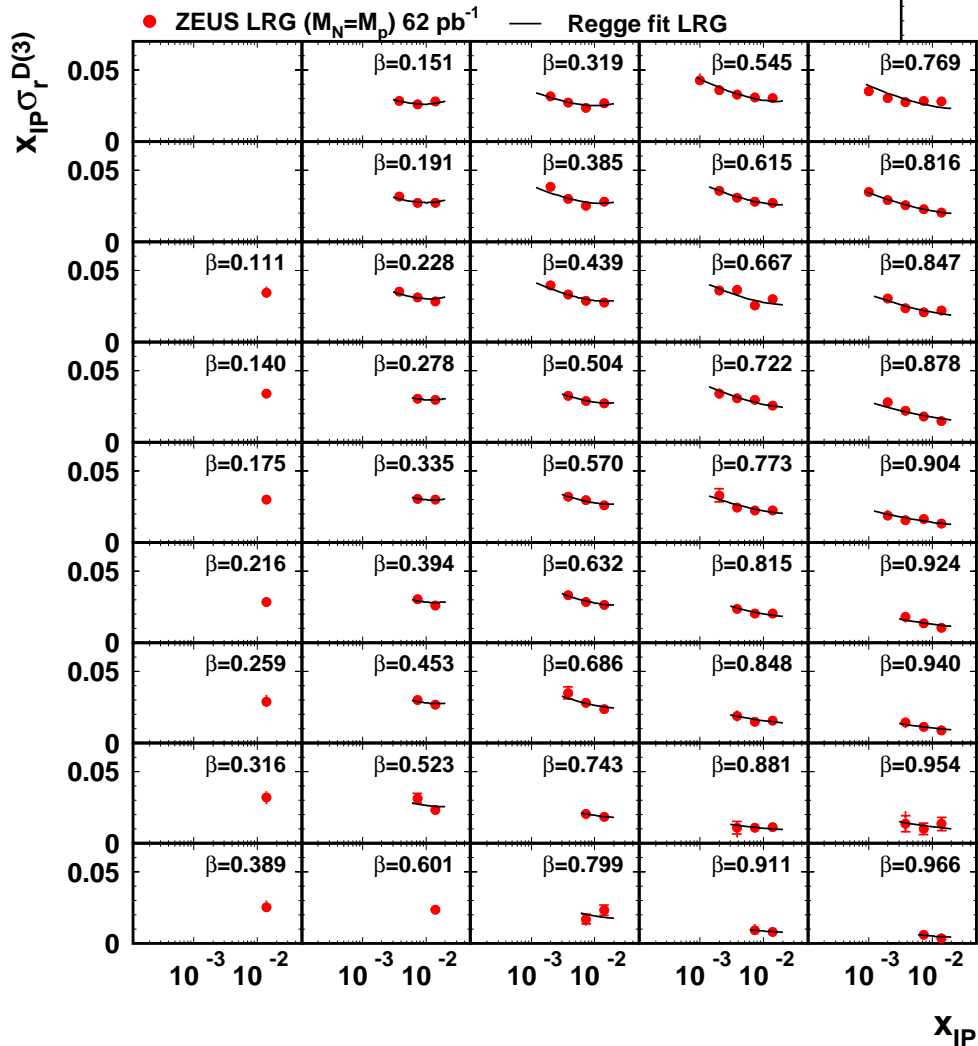
Regge fit:

$$\alpha_{IP}(0) = 1.108 \pm 0.008 \text{ (stat + sys)} \\ + 0.008 / - 0.007 \text{ (model)}$$

ZEUS LRG data:

$$2.5 \text{ GeV}^2 \leq Q^2 \leq 255 \text{ GeV}^2$$

ZEUS

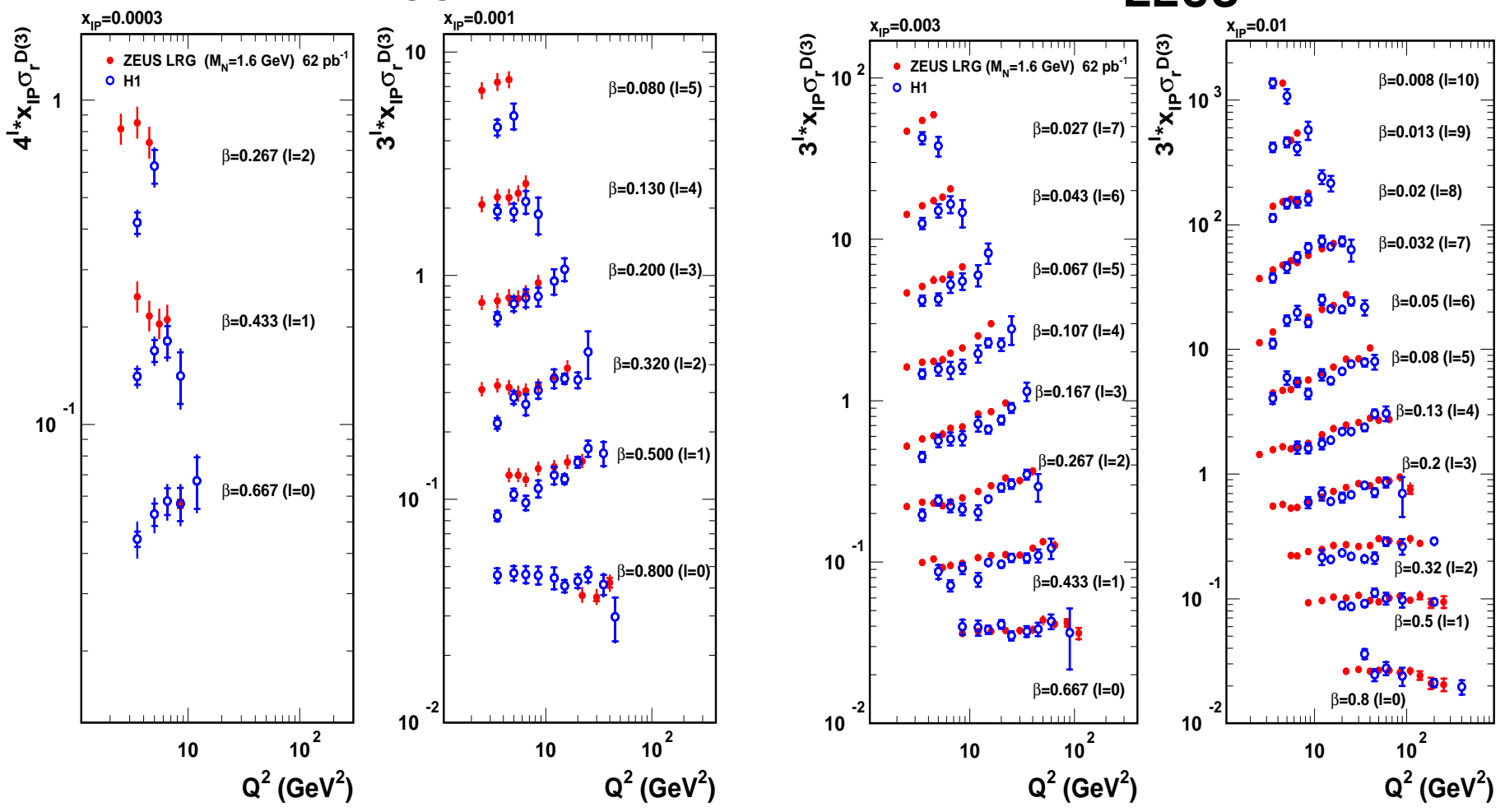


Regge fit:
 $\alpha_{IP}(0) = 1.108 \pm 0.008$ (stat + sys)
 $+ 0.008 / - 0.007$ (model)

ZEUS data corrected with PYTHIA to $M_N=1.6$ GeV for comparison with H1 data

ZEUS

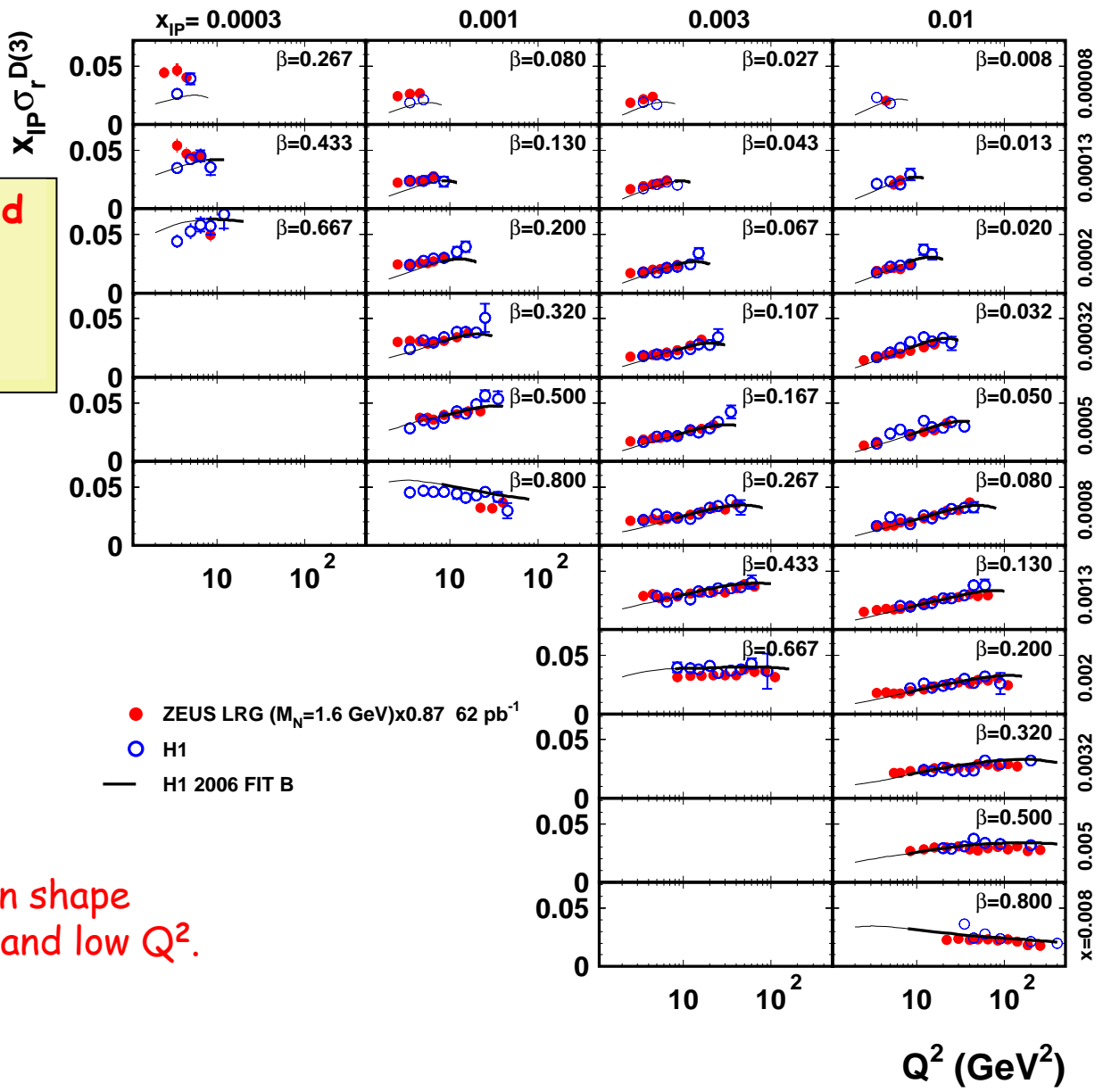
ZEUS



- Fair agreement in shape except at low Q^2 , some slight differences in b-dependence.
- Overall normalisation difference of 13%, covered by uncertainty of p-diss. correction (8%) and relative normalisation uncertainty (7%).

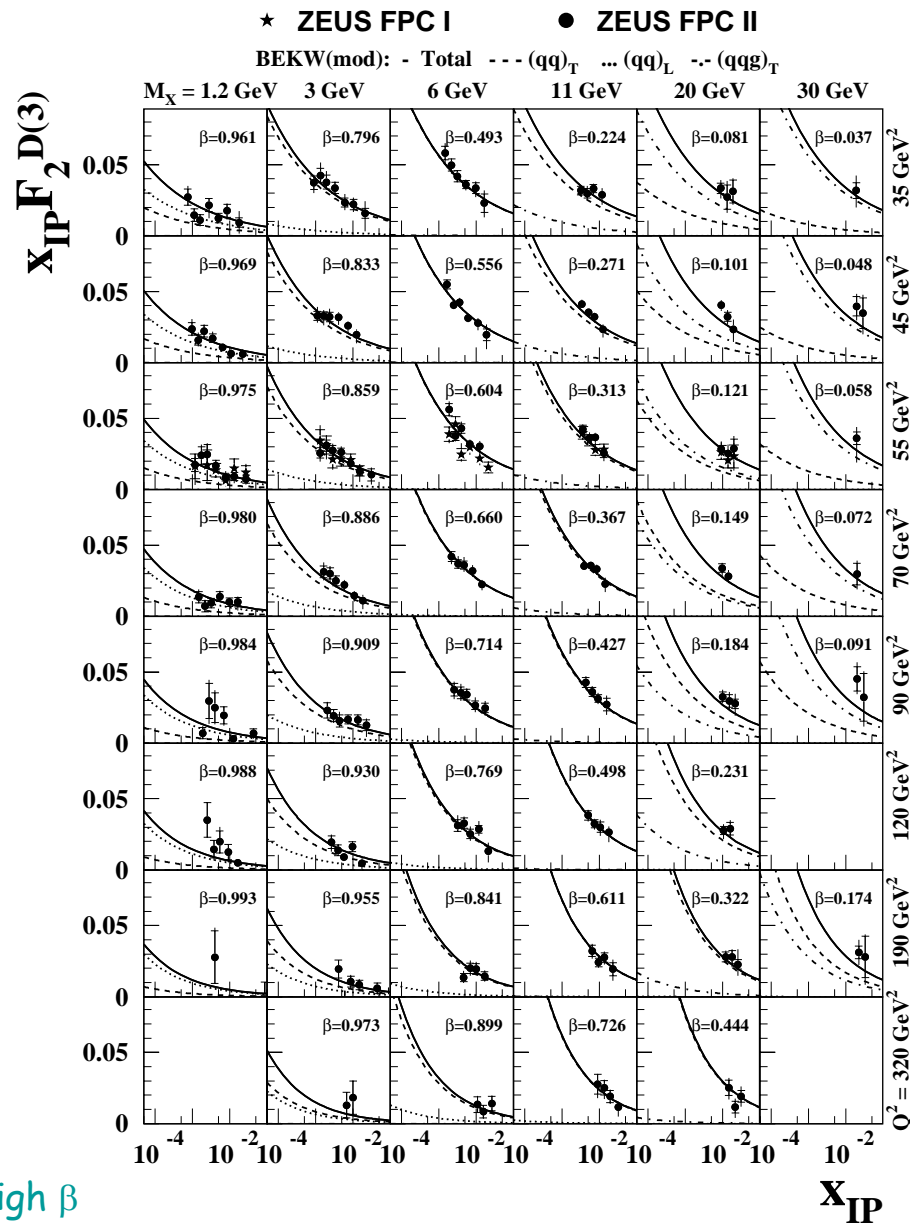
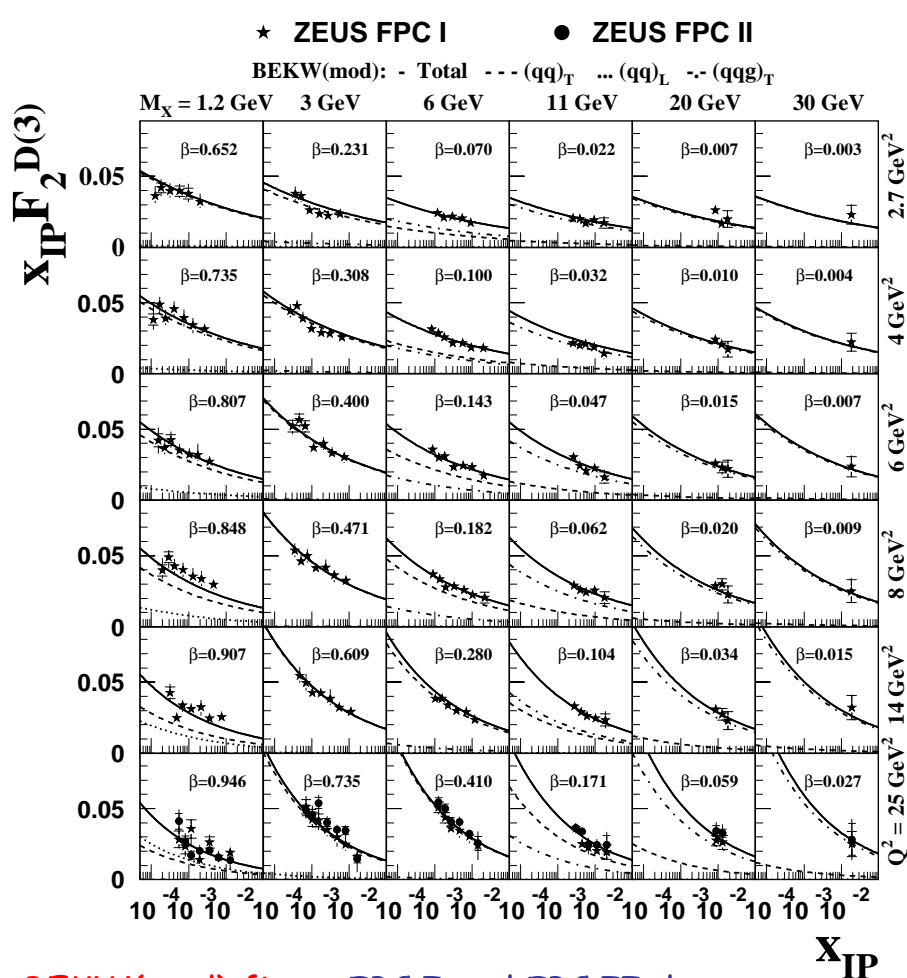
ZEUS

ZEUS data normalised to H1 data in this plot.



Solid line is the H1 2000 Fit B (see later).

Good agreement in shape except at low x_{IP} and low Q^2 .

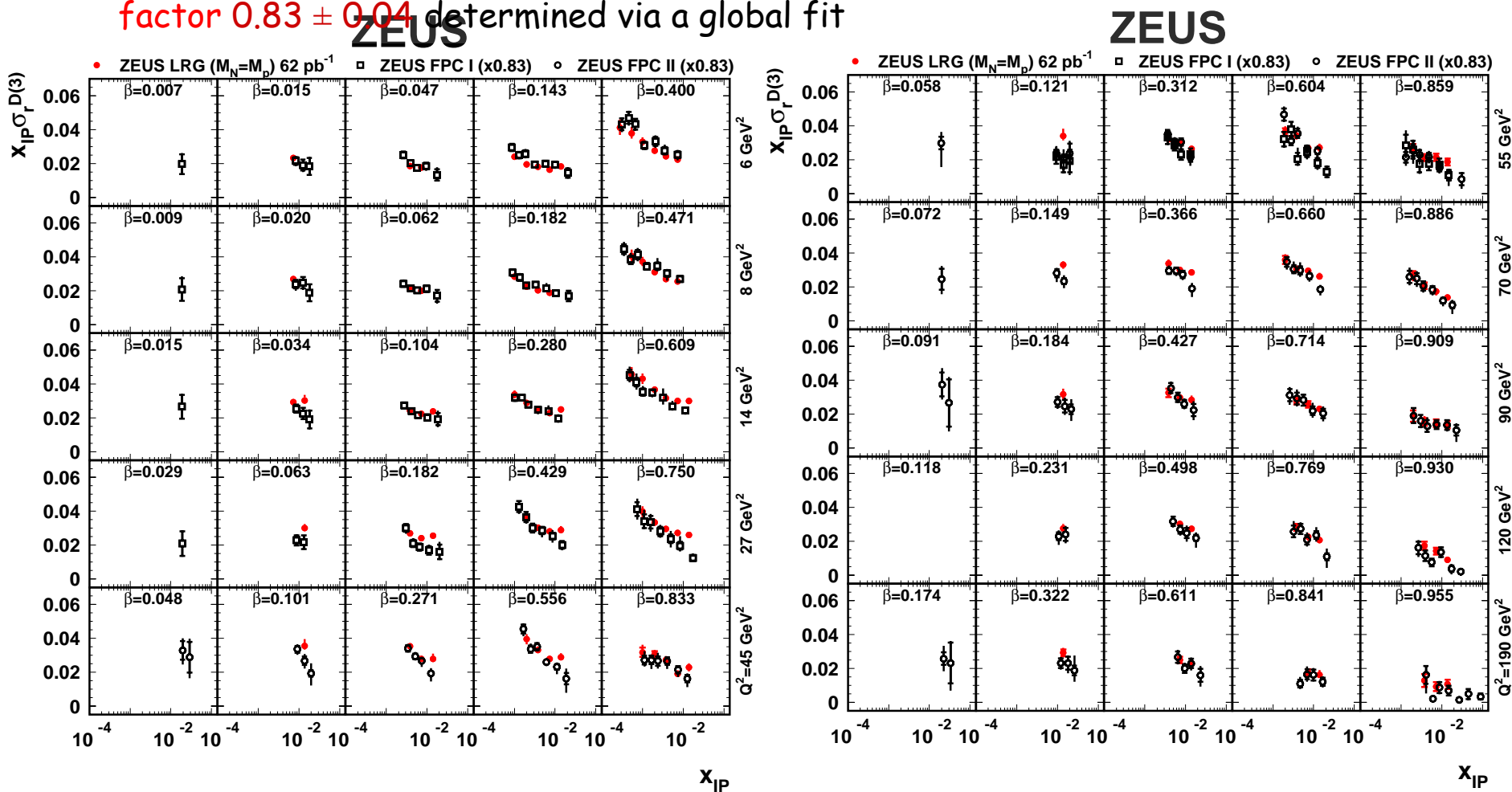


BEKW(mod) fit to FPC I and FPC II data:
 > 400 points, 5 parameters, $\chi^2/n = 0.71$.

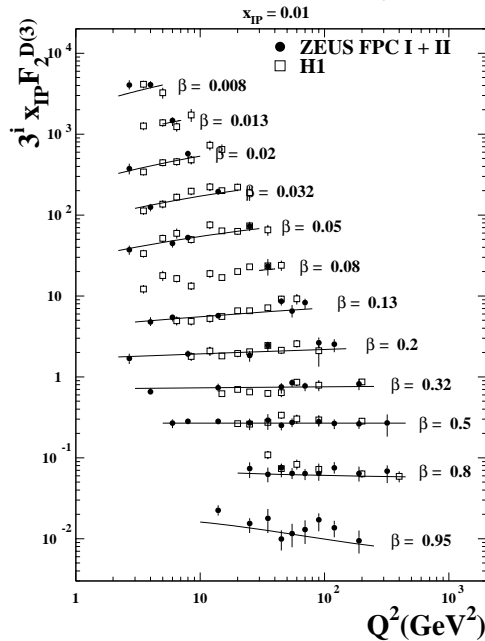
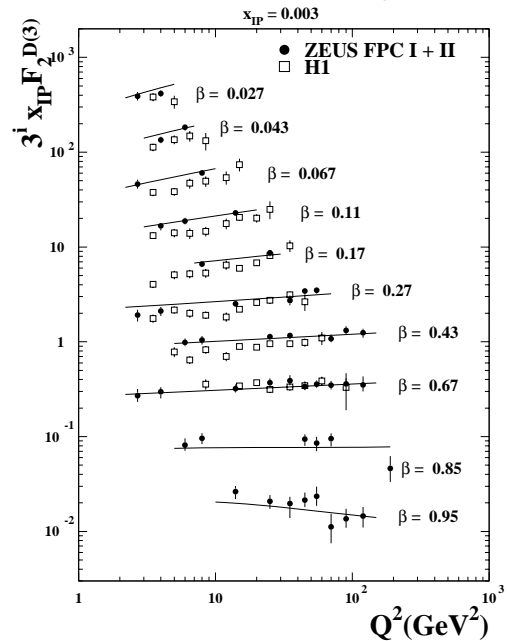
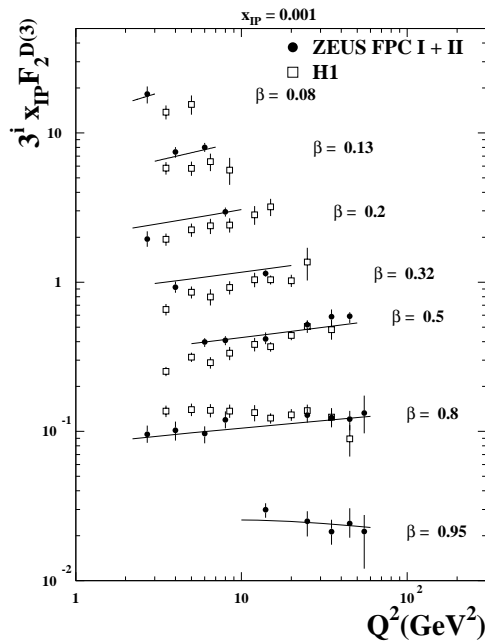
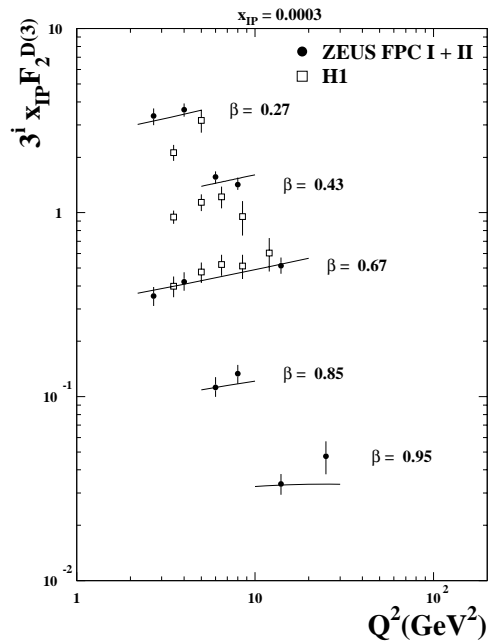
At all Q^2 :
 (qq)_T dominates at medium β
 (qqg)_T dominates at low β
 (qq)_L contributes significantly at very high β

For comparison, M_x data ($M_N < 2.3 \text{ GeV}$) normalised to LRG ($M_N = m_p$):

factor 0.83 ± 0.04 determined via a global fit



Overall satisfactory agreement for $x_{IP} < 0.01$ after multiplying M_x data by factor 0.83, for higher x_{IP} Reggeon contributions are possible in the LRG data.



— ZEUS BEKW(mod) fit
 ZEUS M_x data for $M_N > 2.3 \text{ GeV}$
 H1 LRG data for $M_N > 1.6 \text{ GeV}$

Qualitative agreement except overall normalisation.

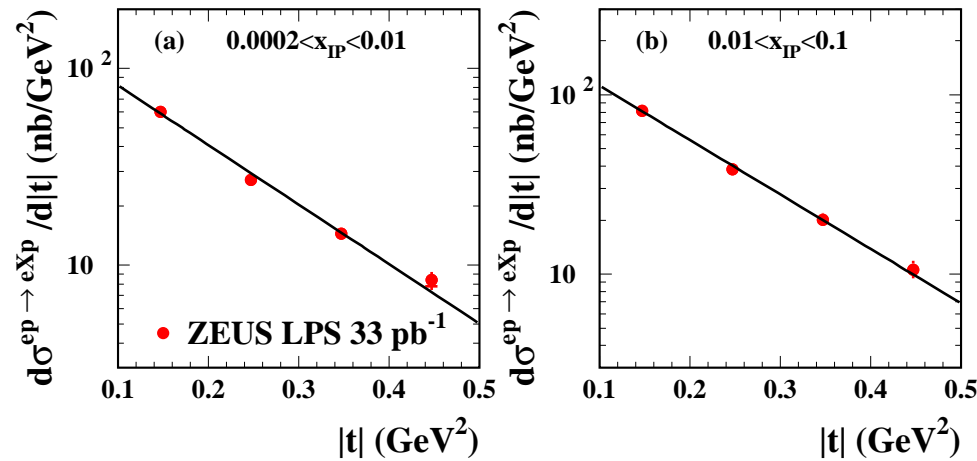
Different Q^2 -dependence seen in some β -bins.

There are indications for a slightly different β -dependence.

From ZEUS LPS data:

Measurements in two different t intervals
at $2 < Q^2 < 120 \text{ GeV}^2$

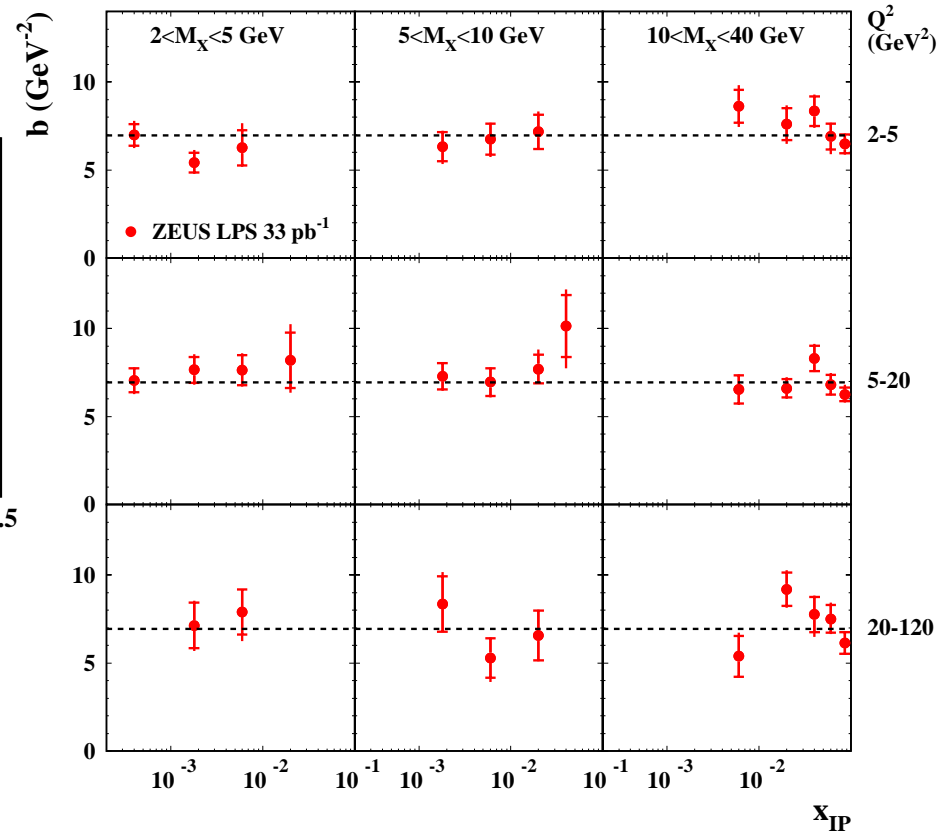
ZEUS



Fit to $e^{-b|t|} \rightarrow b = 7.0 \pm 0.4 \text{ GeV}^{-2}$

This is lower than for soft vector-meson production ($b \sim 10\text{-}12 \text{ GeV}^{-2}$) but considerably higher than for hard vector-meson production ($b \sim 4 \text{ GeV}^{-2}$).

ZEUS



The t slope does not depend on Q^2 , x_{IP} or β .

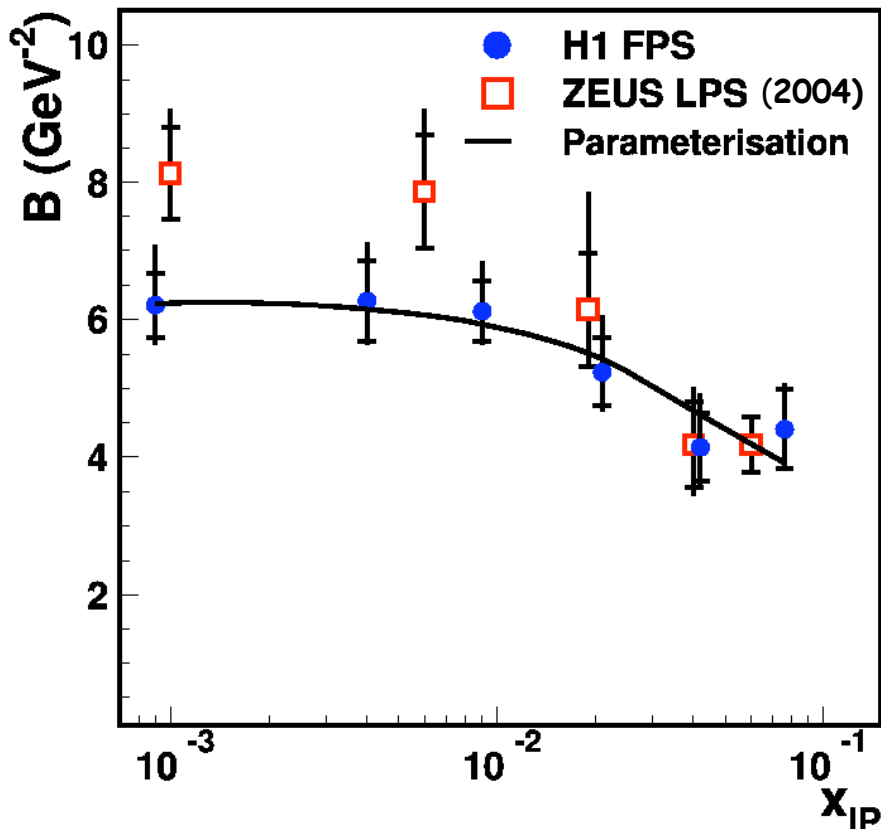
Inclusive diffraction is more a soft process.

From H1 FPS data:

Measurements in 3 different t -intervals at $2 < Q$.

In the Regge framework the effective slope is

$$B = B_{IP} - 2\alpha'_{IP} \cdot \ln x_{IP}$$



Range of Fit	α'_{IP} (GeV $^{-2}$)	B_{IP} (GeV $^{-2}$)
$0.0009 \leq x_{IP} \leq 0.0094$	$0.02 \pm 0.014^{+0.21}_{-0.09}$	$6.0 \pm 1.6^{+2.4}_{-1.0}$
$0.0009 \leq x_{IP} \leq 0.021$	$0.10 \pm 0.010^{+0.16}_{-0.07}$	$4.9 \pm 1.2^{+1.6}_{-0.7}$

The value of B_{IP} from H1 is in agreement with the ZEUS values within the errors for $x_{IP} < 10^{-2}$.
For higher x_{IP} , Reggeon contributions can become important.

From the ZEUS FPC I+II data:

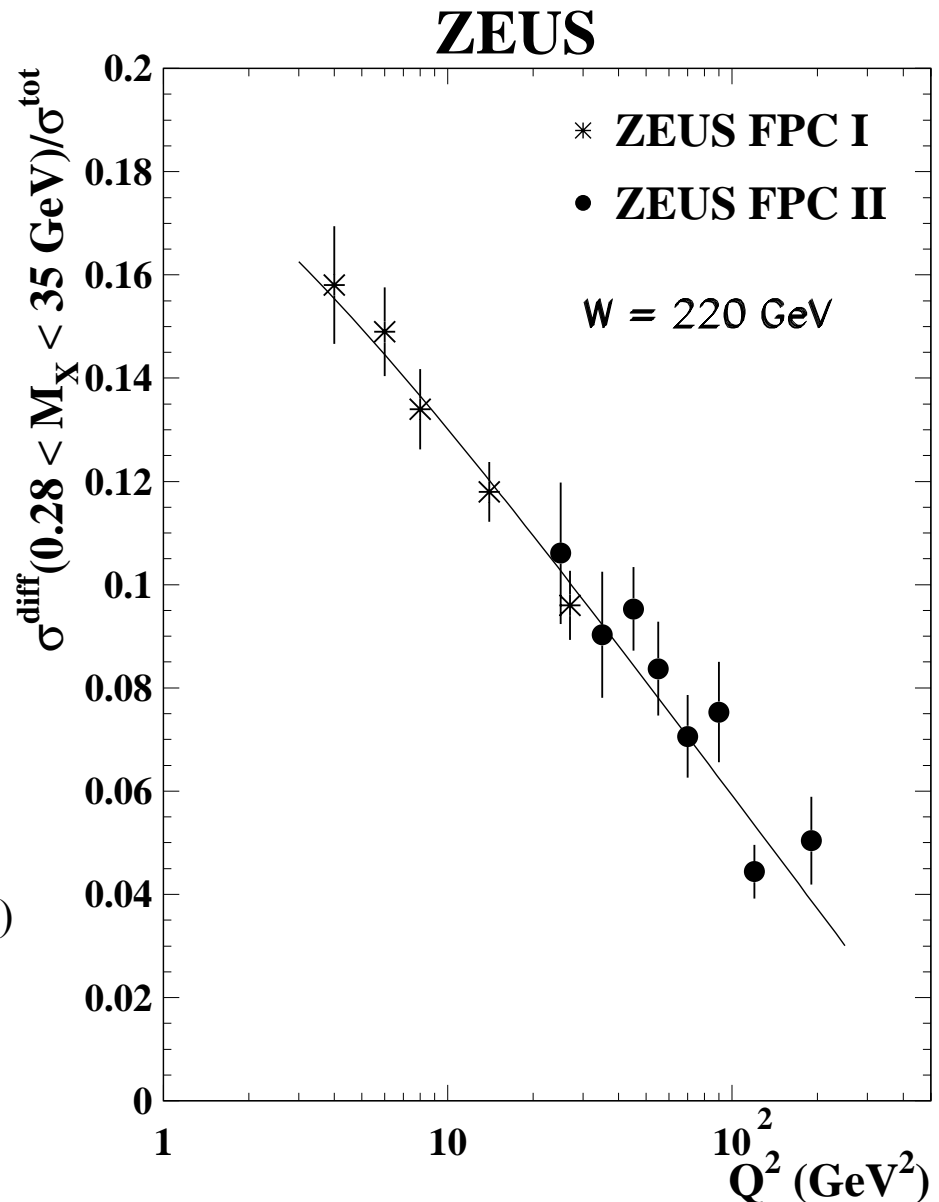
$$R^{\text{diff}} \equiv \frac{\sigma^{\text{diff}}(0.28 < M_X < 35 \text{ GeV})}{\sigma^{\text{tot}}}$$

Diffractive is a sizable fraction of the total DIS cross-section.

The ratio of diffraction to total DIS falls only logarithmically with Q^2 .

Fit gives:

$$R_{\text{fit}}^{\text{diff}} = (0.207 \pm 0.008) - (0.032 \pm 0.002) \cdot \ln(1 + Q^2)$$





From H1 LRG data:

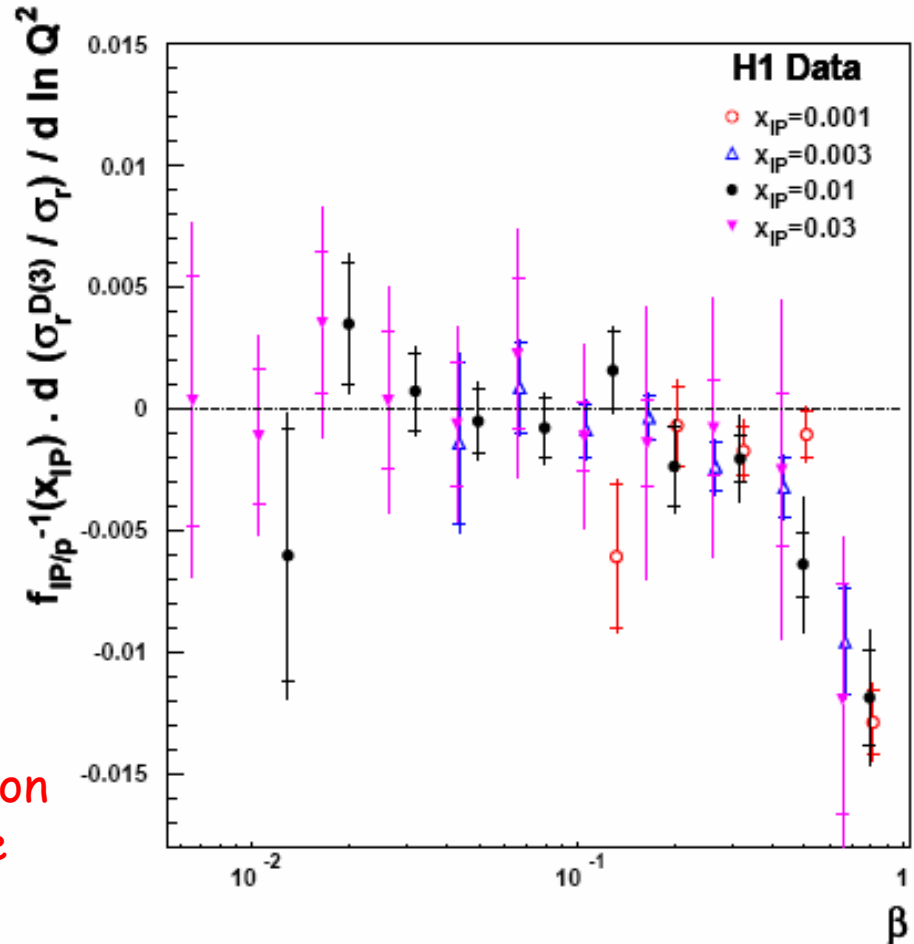
Logarithmic Q^2 -derivative of ratio $\sigma_r^{D(3)}/\sigma_r$ at fixed x_{IP} .

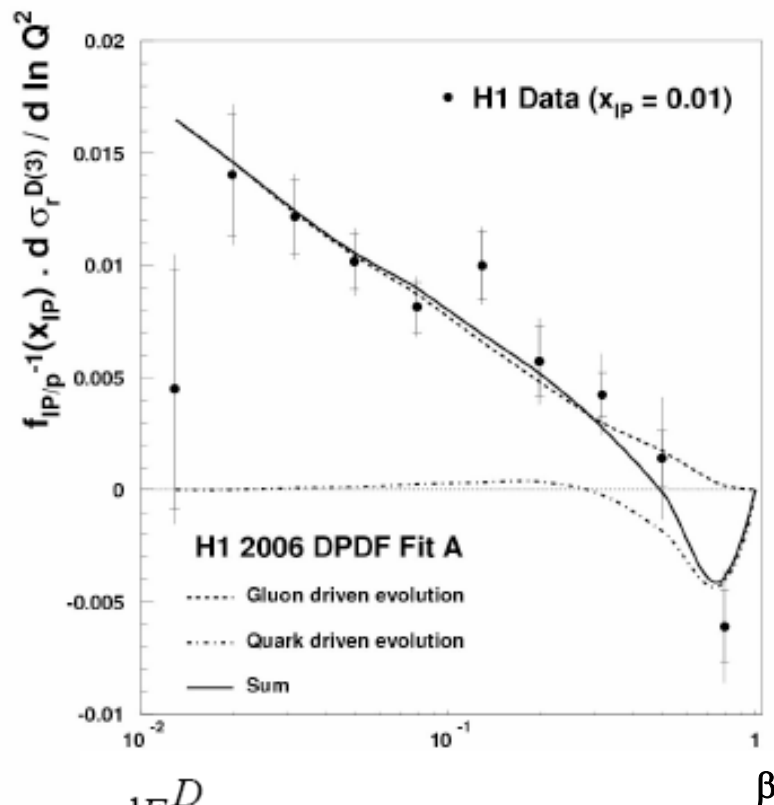
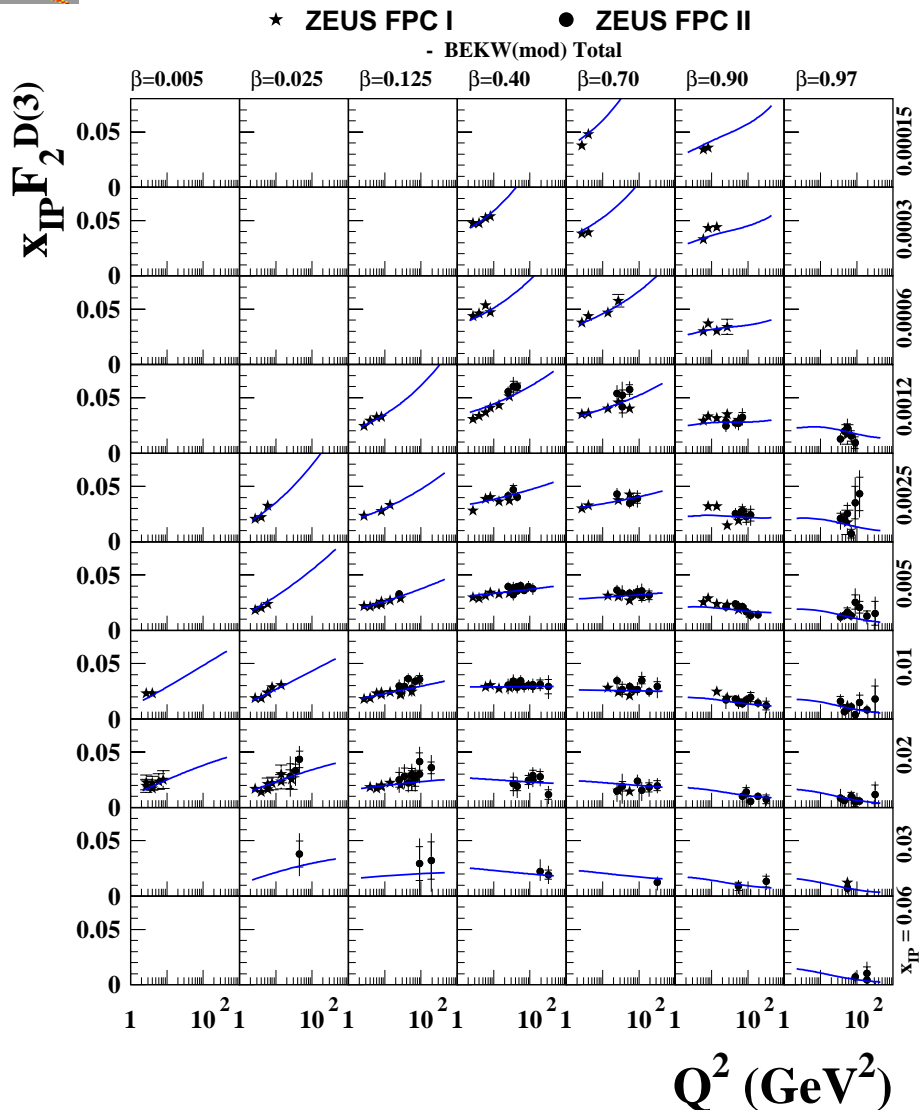
Divide by flux factor $f_{IP/p}(x_{IP})$ to compare values at different x_{IP} .



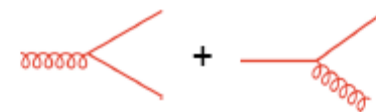
Results at different x_{IP} as a function of β fall approximately on the same curve.

Logarithmic derivatives are compatible with zero up to β values of about 0.01 and become negative for larger β values.





$$\frac{dF_2^D}{d \ln Q^2} \sim \frac{\alpha_s}{2\pi} \left[P_{qg} \otimes g + P_{qq} \otimes \Sigma \right]$$



dominates
at low β

dominates
high β

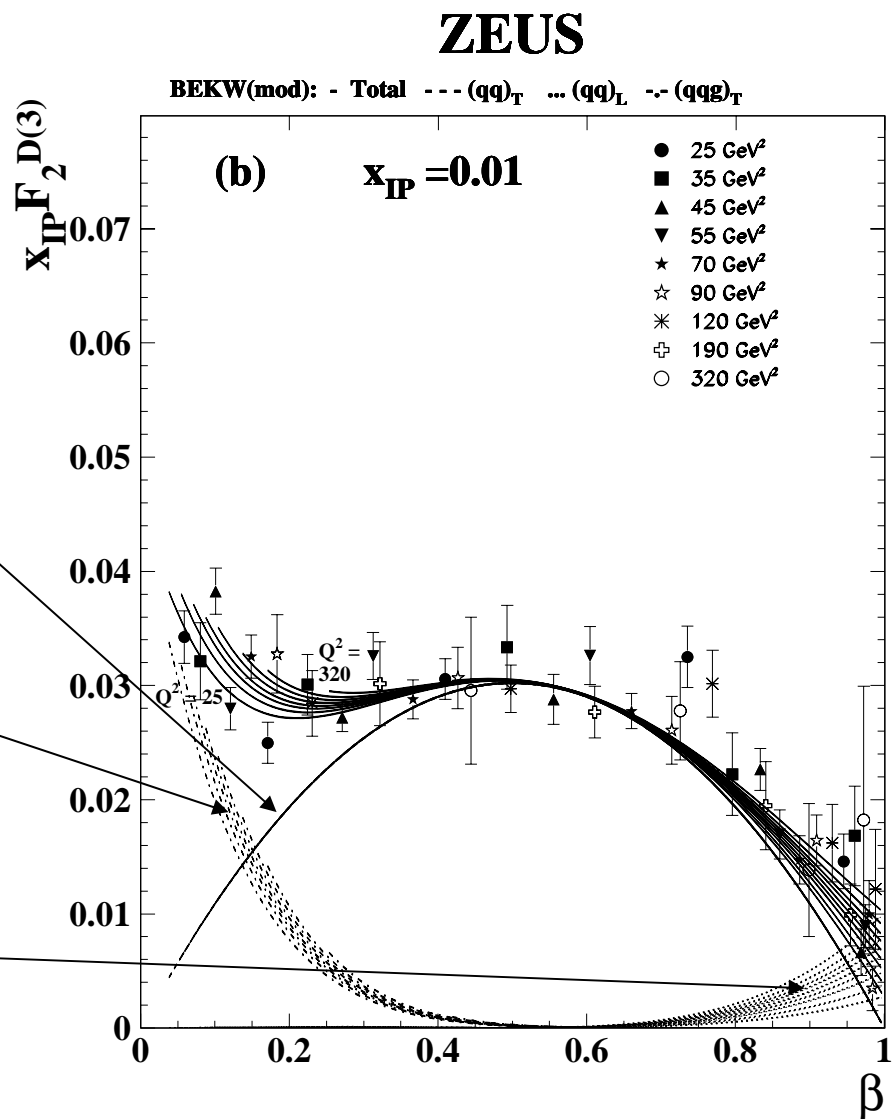
Sizable scaling violations in inclusive diffraction.

$x_{IP}F_2^{D(3)}$ as a function of β for
 $25 \text{ GeV}^2 \leq Q^2 \leq 320 \text{ GeV}^2$

Medium β :
 dominated by $(qq)_T$ contribution $\sim \beta(1-\beta)$.

Small β :
 $(qqq)_T$ contribution rises and dominates.

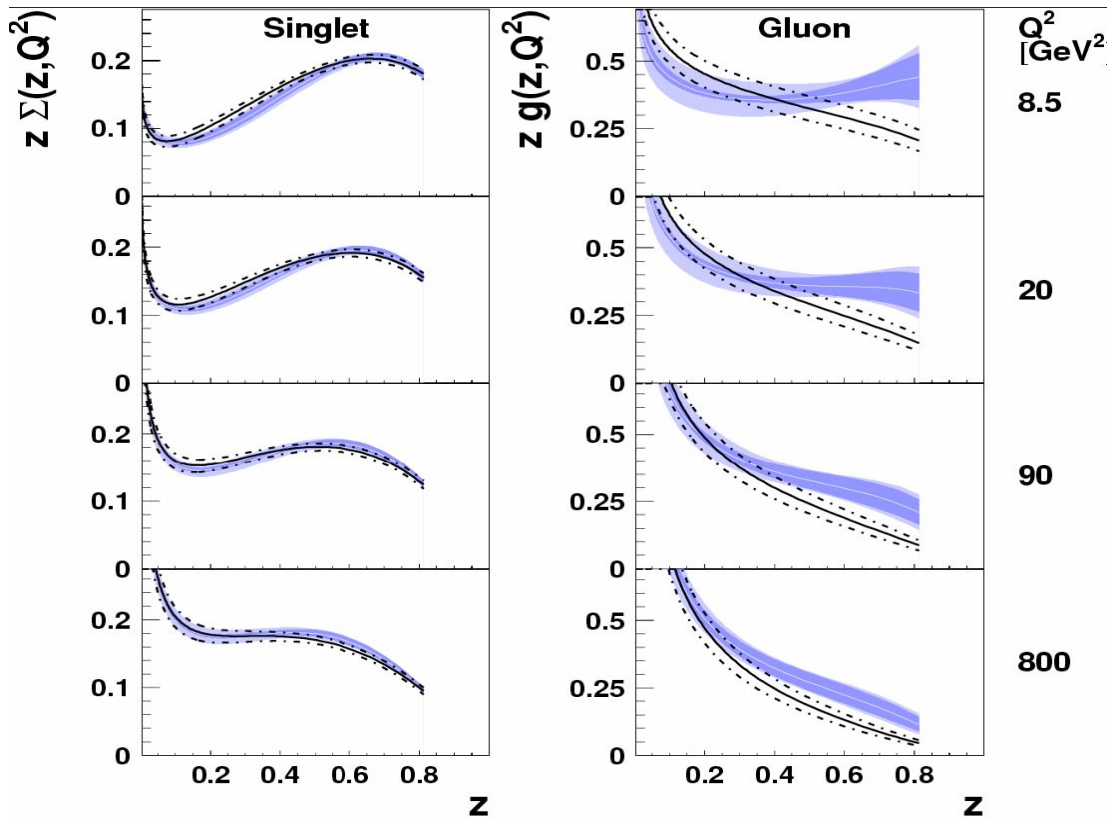
Very high β :
 $(qq)_L$ contribution becomes significant.



Assuming Regge factorisation:

$$f_i^D(x, Q^2, x_{\mathbb{P}}, t) = f_{\mathbb{P}/p}(x_{\mathbb{P}}, t) \cdot f_i^{\mathbb{P}}(\beta = \frac{x}{x_{\mathbb{P}}}, Q^2) \quad f_{\mathbb{P}/p}(x_{\mathbb{P}}, t) = A_{\mathbb{P}} \cdot \frac{e^{B_{\mathbb{P}}t}}{x_{\mathbb{P}}^{2\alpha_{\mathbb{P}}(t)-1}}$$

Parametrize: quark singlet density $z\Sigma(z, Q_0^2) = A_q z^{B_q} (1-z)^{C_q}$ and gluon density $zg(z, Q_0^2) = A_g (1-z)^{C_g}$



H1 2006 DPDF Fit A
■ (exp. error)
■ (exp.+theor. error)

H1 2006 DPDF Fit B
 — (exp.)
 - - - (exp.+theor. error)

Fit data with:

$$Q^2 \geq 8.5 \text{ GeV}^2, M_X > 2 \text{ GeV}, \beta \leq 0.8$$

Fit A:

$$Q_0^2 = 1.75 \text{ GeV}^2$$

$$\chi^2 \sim 158 / 183 \text{ d.o.f.}$$

Fit B:

$$\chi^2 \sim 164 / 184 \text{ d.o.f.}$$

$$Q_0^2 = 2.5 \text{ GeV}^2$$



- Three different experimental methods to measure inclusive diffraction:
 - proton tagging
 - large rapidity gap
 - M_x -method.
- Results from these 3 methods contain different contributions from Reggeon exchanges and from proton dissociation.
- Contributions from Reggeon exchanges are small for $x_{IP} < 10^{-2}$.
- There is no unique way to correct the measurements for proton dissociation.
- Apart from differences in the overall normalisation due to proton dissociation contributions, there is fair agreement between the different measurements for Q^2 values above 10 GeV^2 .
- More results expected from HERA II running period.
- It may be possible in the future to perform a common fit for diffractive PDFs with suitable normalisations of the different data sets.