



# Results on Inclusive Diffraction from HERA I (H1 and ZEUS)

Presented by B.Loehr on behalf of H1 and ZEUS



Data from the running period 1999-2000.

The (almost) 'last word' on inclusive diffraction from HERA I.

In the HERA II setup the ZEUS detector lost components for diffractive physics, namely the Leading Proton Spectrometer (LPS), and the Forward Plug Calorimeter (FPC).

The H1 detector lost the Proton Remnant Tagger (PRT) but kept the Forward Proton Spectrometer (FPS) and even added a Very Forward Proton Spectrometer (VFPS) .

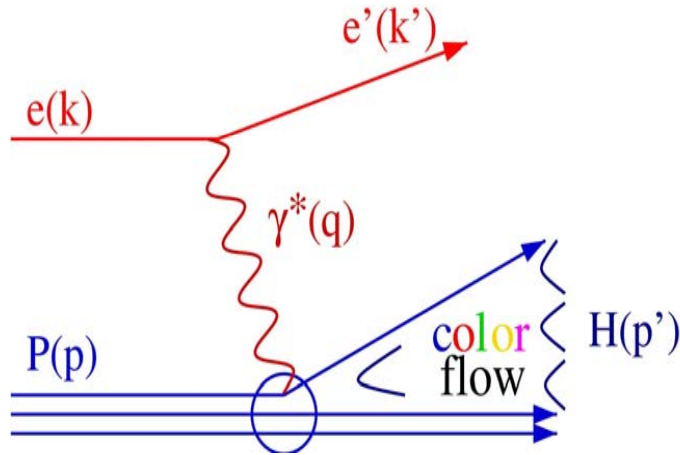
Both detectors have silicon vertex detectors which cover part of the 'forward direction'.

Superconducting machine magnets inserted into the detectors.

We attempt to get a consistent picture of inclusive diffraction from both experiments and from all different methods for this running period.



**Inclusive nondiffr. DIS events :**



$$s = (k+p)^2$$

center of mass energy squared

$$Q^2 = -q^2 = -(k-k')^2$$

virtuality, size of the probe

$$W^2 = M_H^2 = (p+q)^2$$

$\gamma^*$  - proton cms energy squared

$$x = \frac{Q^2}{2p \cdot q}$$

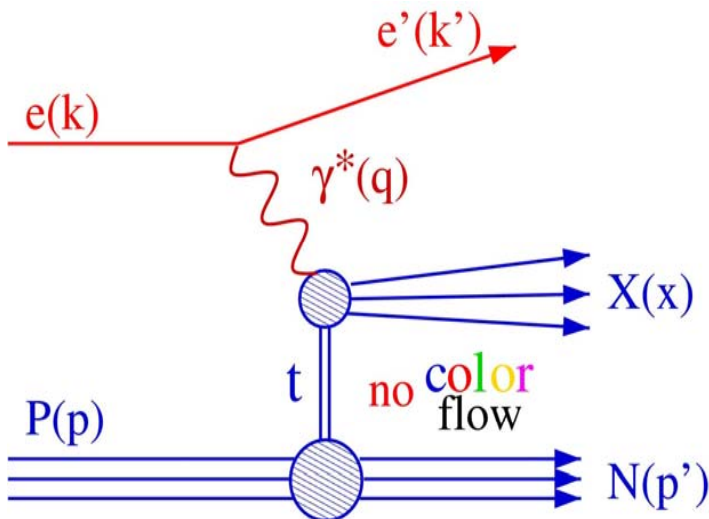
$$y = \frac{p \cdot q}{p \cdot k}$$

x: fraction of the proton carried by the struck parton

y: inelasticity, fraction of the electron momentum carried by the virtual photon

$$Q^2 = x \cdot y \cdot s$$

**Diffractive DIS events :**



For diffractive events in addition 2 variables

$$M_x$$

mass of the diffractive system x

$$t = (p-p')^2$$

four-momentum transfer squared at the proton vertex

$$x_{IP} = \frac{(p-p') \cdot q}{p \cdot q} = \frac{M_x^2 + Q^2}{W^2 + Q^2}$$

momentum fraction of the proton carried by the Pomeron

$$\beta = \frac{Q^2}{2(p-p') \cdot q} = \frac{x}{x_{IP}} = \frac{Q^2}{M_x^2 + Q^2}$$

fraction of the Pomeron momentum which enters the hard scattering



# Methods to measure Inclusive Diffraction



## 1.) Detection of the scattered proton:

- diffractive peak at  $x_L$
- no contribution from proton dissociation events
- contribution from Reggeon exchanges
- only method to measure  $t$ -distribution
- small acceptance -> limited statistics

$$x_{IP} = 1 - x_L$$

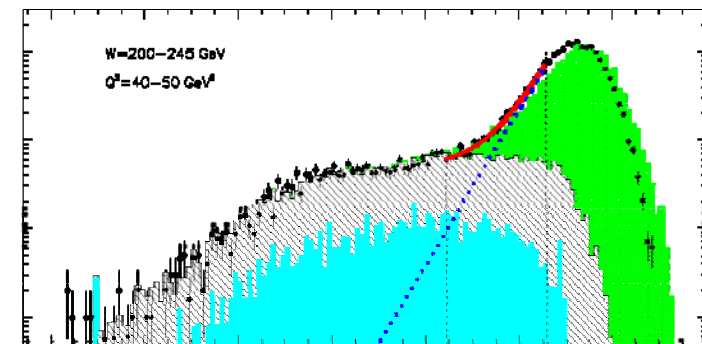
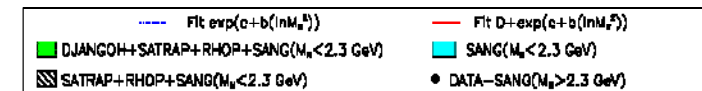
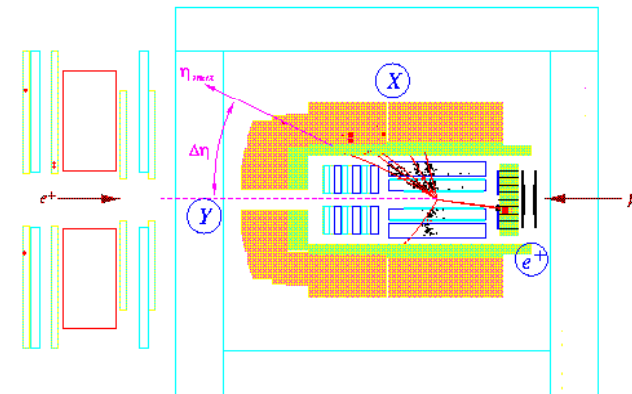
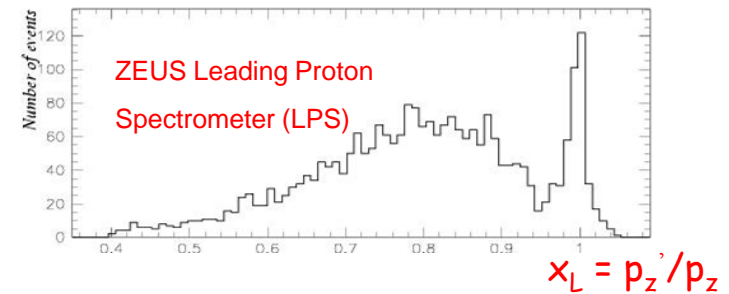
## 2.) Rapidity gap between incoming proton direction and first particle seen in the detector:

- contributions from proton dissociation events
- contributions from Reggeon exchanges
- large acceptance

## 3.) The $M_x$ -method: exploits the mass distribution of the diffractive system

- contributions from proton dissociation events
- no contributions from Reggeon exchanges
- large acceptance

All three methods initially measure different mixtures of different processes.



$\ln M_x^2$

H1:

FPS 28.4 pb<sup>-1</sup> Q<sup>2</sup>= 2.7 - 24 GeV<sup>2</sup> Eur.Phys.J. C48(2006) 749 no p-dissociation

LRG 74.2 pb<sup>-1</sup> Q<sup>2</sup>= 3.5 - 1600 GeV<sup>2</sup> Eur.Phys.J. C48(2006) 715 corr. to M<sub>N</sub> < 1.6 GeV

ZEUS:

LPS 32.6 pb<sup>-1</sup> Q<sup>2</sup>=2.5 - 40 GeV<sup>2</sup> no p-dissociation

LRG 62.2 pb<sup>-1</sup> Q<sup>2</sup>=2.5 - 255 GeV<sup>2</sup> corr. to M<sub>N</sub>= m<sub>p</sub>

FPC I 4.2 pb<sup>-1</sup> Q<sup>2</sup>=2.2 - 80 GeV<sup>2</sup> Nucl.Phys. B 713 (2005) 3 corr. to M<sub>N</sub> < 2.3 GeV

FPC II 11.0 pb<sup>-1</sup> Q<sup>2</sup> = 20 - 40 GeV<sup>2</sup> } hep-ex 0802.3017, corr. to M<sub>N</sub> < 2.3 GeV  
52.4 pb<sup>-1</sup> Q<sup>2</sup> = 40 - 450 GeV<sup>2</sup> } accepted by Nucl.Phys. B corr. to M<sub>N</sub> < 2.3 GeV

$$\frac{d^4 \sigma_{\gamma^* p}}{dQ^2 dt dx_{IP} d\beta} = \frac{2\pi\alpha_{em}}{\beta \cdot Q^2} [1 - (1-y)^2] \cdot \sigma_r^{D(4)}(Q^2, t, x_{IP}, \beta)$$

$$\sigma_r^{D(4)}(Q^2, t, x_{IP}, \beta) = \underbrace{F_2^{D(4)}(Q^2, t, x_{IP}, \beta)}_{\text{sizeable only at high } y, \text{ if neglected } F_2 = \sigma_R} - \frac{y^2}{1 + (1-y)^2} F_L^{D(4)}(Q^2, t, x_{IP}, \beta)$$

$x F_3$  can safely be neglected

sizeable only at high  $y$ , if neglected  $F_2 = \sigma_R$

If  $t$  is not measured, i.e. integrated over:  $\sigma_r^{D(3)}(\beta, Q^2, x_{IP}) = \int \sigma_r^{D(4)}(\beta, Q^2, x_{IP}, t) dt$

$$\frac{d^3 \sigma_{\gamma^* p}}{dQ^2 dx_{IP} d\beta} = \frac{2\pi\alpha_{em}}{\beta Q^2} [1 - (1-y)^2] \cdot \sigma_r^{D(3)}(Q^2, x_{IP}, \beta)$$

and analogously

$$F_2^{D(3)}(Q^2, x_{IP}, \beta)$$

H1 use  $\sigma_r^{D(3)}(Q^2, x_{IP}, \beta)$

ZEUS use  $F_2^{D(3)}(Q^2, x_{IP}, \beta)$  for the  $M_x$  results and neglect longitudinal contribution.



Diffractive DIS factorisation:      proven theorem

$$d\sigma^{ep \rightarrow eXY}(x, Q^2, x_{\mathbf{P}}, t) = \sum_i \underbrace{f_i^D(x, Q^2, x_{\mathbf{P}}, t)}_{\text{universal diffractive parton distribution function (dpdf)}} \otimes \underbrace{d\hat{\sigma}^{ei}(x, Q^2)}_{\text{hard universal DIS cross section}}$$

Regge factorisation:      not proven hypothesis

$$f_i^D(x, Q^2, x_{\mathbf{P}}, t) = f_{\mathbf{P}/p}(x_{\mathbf{P}}, t) \cdot f_i(\beta = x/x_{\mathbf{P}}, Q^2) \quad \text{with} \quad f_{\mathbf{P}/p}(x_{\mathbf{P}}, t) = A_{\mathbf{P}} \cdot \frac{e^{B_{\mathbf{P}}t}}{x_{\mathbf{P}}^{2\alpha_{\mathbf{P}}(t)-1}}$$

This is the basis of the Regge fits used for the LPS/FPS data and LRG data to separate the diffractive (Pomeron) contribution from the Reggeon exchange contributions and to perform NLO DGLAP fits to its  $(Q^2, \beta)$ -dependence ( see later).



Diffractive cross sections obtained with the FPS/LPS or LRG method may contain in some kinematical regions sizeable contributions from Reggeon exchanges.

Simultaneous fit and separation of the contributions by:

$$f_i^D(x, Q^2, x_P, t) = f_{\mathbb{P}/p}(x_P, t) \cdot f_i(\beta, Q^2) + n_{\mathbb{R}} \cdot f_{\mathbb{R}/p}(x_P, t) \cdot f_i^{\mathbb{R}}(\beta, Q^2)$$

Pomeron contribution                      relative                      Reggeon contribution  
normalisation

$$F_2^{\mathbb{P}}(\beta, Q^2) = \sum_i f_i(\beta, Q^2) \quad \text{diffractive (Pomeron) structure function}$$

$$f_i(\beta, Q^2) \quad \text{obey DGLAP evolution}$$

Regge fits and DGLAP fits are performed simultaneously by H1 (see later).



# ZEUS BEKW(mod) Fit

## Fit with BEKW model

(Bartels, Ellis, Kowalski and Wüsthoff, 1998)

- $x_{IP} F_2^{D(3)} = c_T \cdot F_{q\bar{q}}^T + c_L \cdot F_{q\bar{q}}^L + c_g \cdot F_{q\bar{q}g}^T$

$$F_{q\bar{q}}^T = \left(\frac{x_0}{x_{IP}}\right)^{n_T(Q^2)} \cdot \beta(1 - \beta),$$

$$F_{q\bar{q}}^L = \left(\frac{x_0}{x_{IP}}\right)^{n_L(Q^2)} \cdot \frac{Q_0^2}{Q^2 + Q_0^2} \cdot \left[\ln\left(\frac{7}{4} + \frac{Q^2}{4\beta Q_0^2}\right)\right]^2 \cdot \beta^3(1 - 2\beta)^2,$$

$$F_{q\bar{q}g}^T = \left(\frac{x_0}{x_{IP}}\right)^{n_g(Q^2)} \cdot \ln\left(1 + \frac{Q^2}{Q_0^2}\right) \cdot (1 - \beta)^\gamma$$

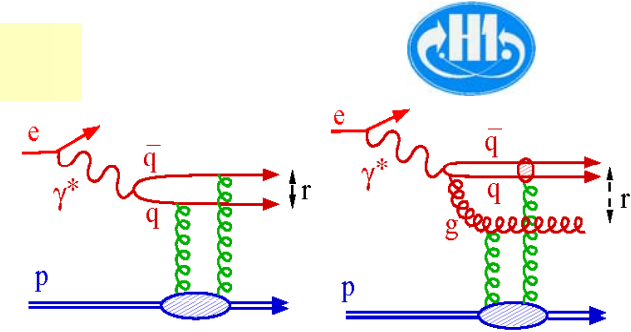
assume  $n_T(Q^2) = c_4 + c_7 \ln\left(1 + \frac{Q^2}{Q_0^2}\right)$ ,  $n_L(Q^2) = c_5 + c_8 \ln\left(1 + \frac{Q^2}{Q_0^2}\right)$ ,

$$n_g(Q^2) = c_6 + c_9 \ln\left(1 + \frac{Q^2}{Q_0^2}\right)$$

The ZEUS data support taking  $n_T(Q^2)=n_g(Q^2)=n_L(Q^2)=n_1 \cdot \ln(1+Q^2/Q_0^2)$

Taking  $x_0=0.01$  and  $Q_0^2=0.4 \text{ GeV}^2$  results in the **modified BEKW model** with the 5 free parameters :

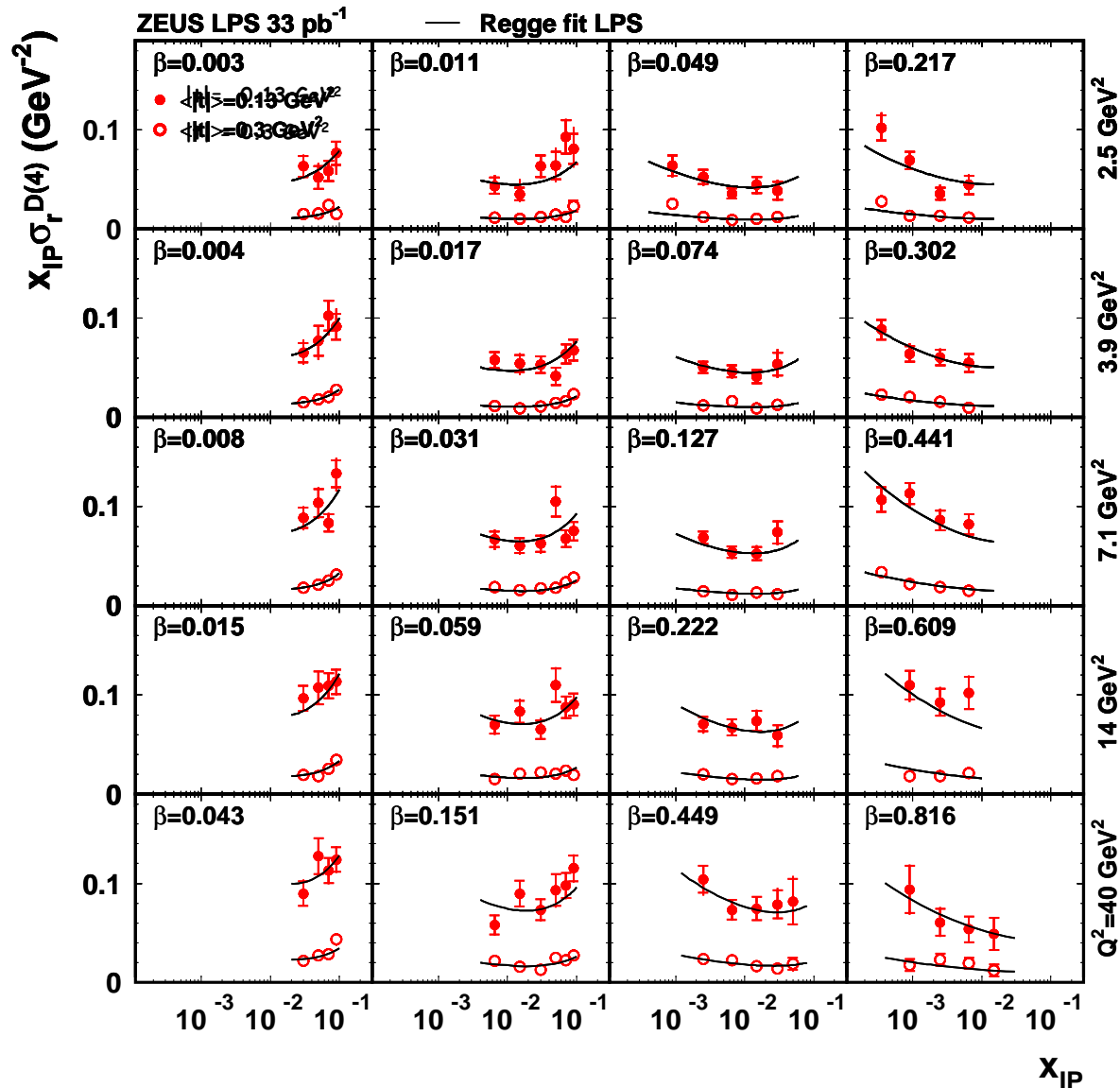
$$c_T, c_L, c_g, n_1^{T,L,g}, \gamma$$



Dipole Model



## New results from **ZEUS**



Measurements at two different  $t$ -bins

$|t| = 0.13 \text{ GeV}^2$  and

$|t| = 0.30 \text{ GeV}^2$

$2.5 \text{ GeV}^2 \leq Q^2 \leq 40 \text{ GeV}^2$

**Large  $\beta$ :**

$x_{IP} \sigma_r^{D(4)}$  falls with  $x_{IP}$

**Medium  $\beta$ :**

at small  $x_{IP}$ ,  $x_{IP} \sigma_r^{D(4)}$  falls with  $x_{IP}$

at large  $x_{IP}$ ,  $x_{IP} \sigma_r^{D(4)}$  rises with  $x_{IP}$

→ Reggeon exchanges contribute

**Small  $\beta$ :**

only high  $x_{IP}$

→ Reggeon exchanges dominate

**Behaviour is similar for the 2  $t$ -bins**

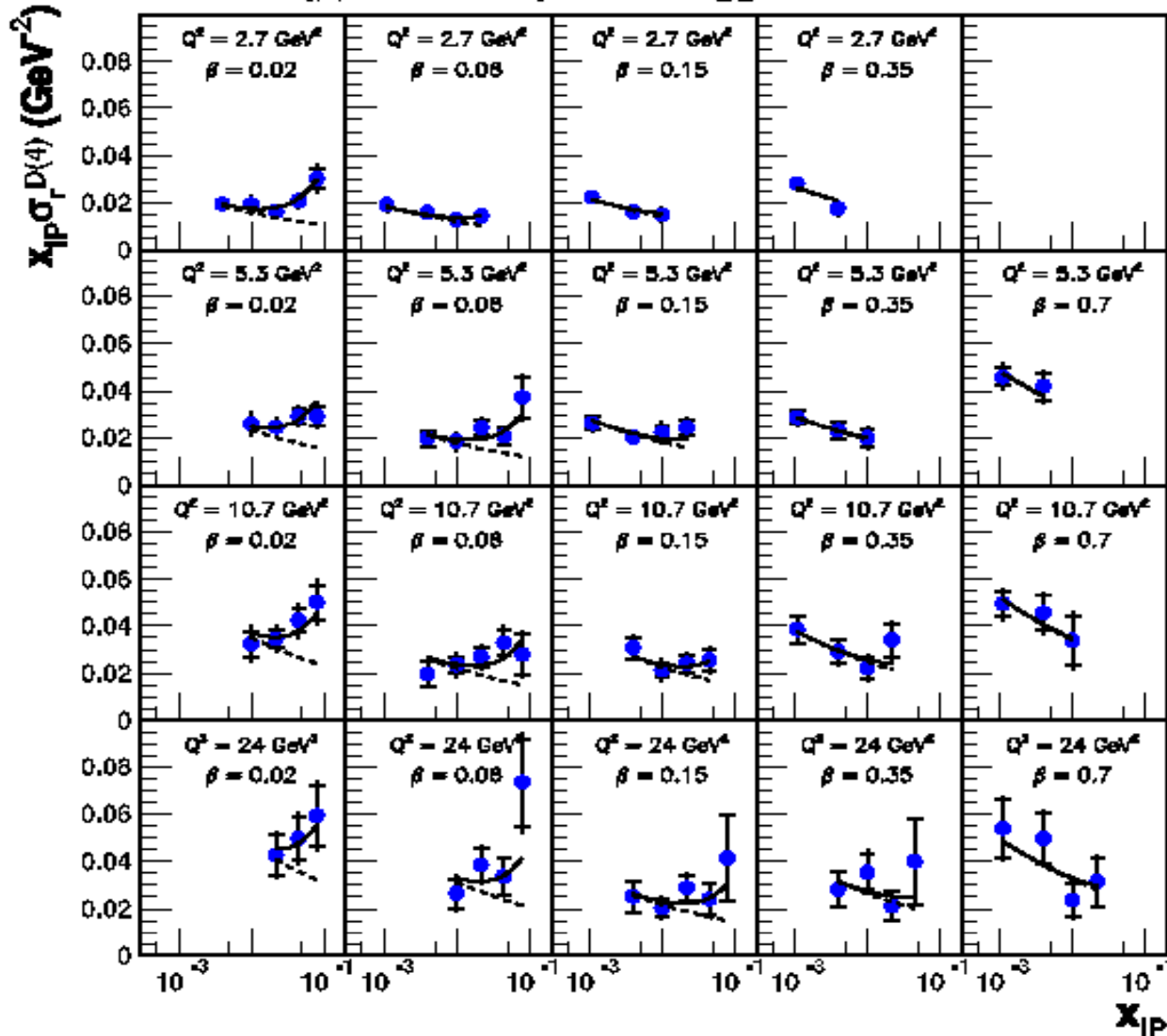


Published **H1** results:

● H1 FPS ( $|t|=0.25 \text{ GeV}^2$ ) — Regge fit IP+IR ----- IP only

$2 \text{ GeV}^2 < Q^2 < 50 \text{ GeV}^2$

$|t|=0.25 \text{ GeV}^2$

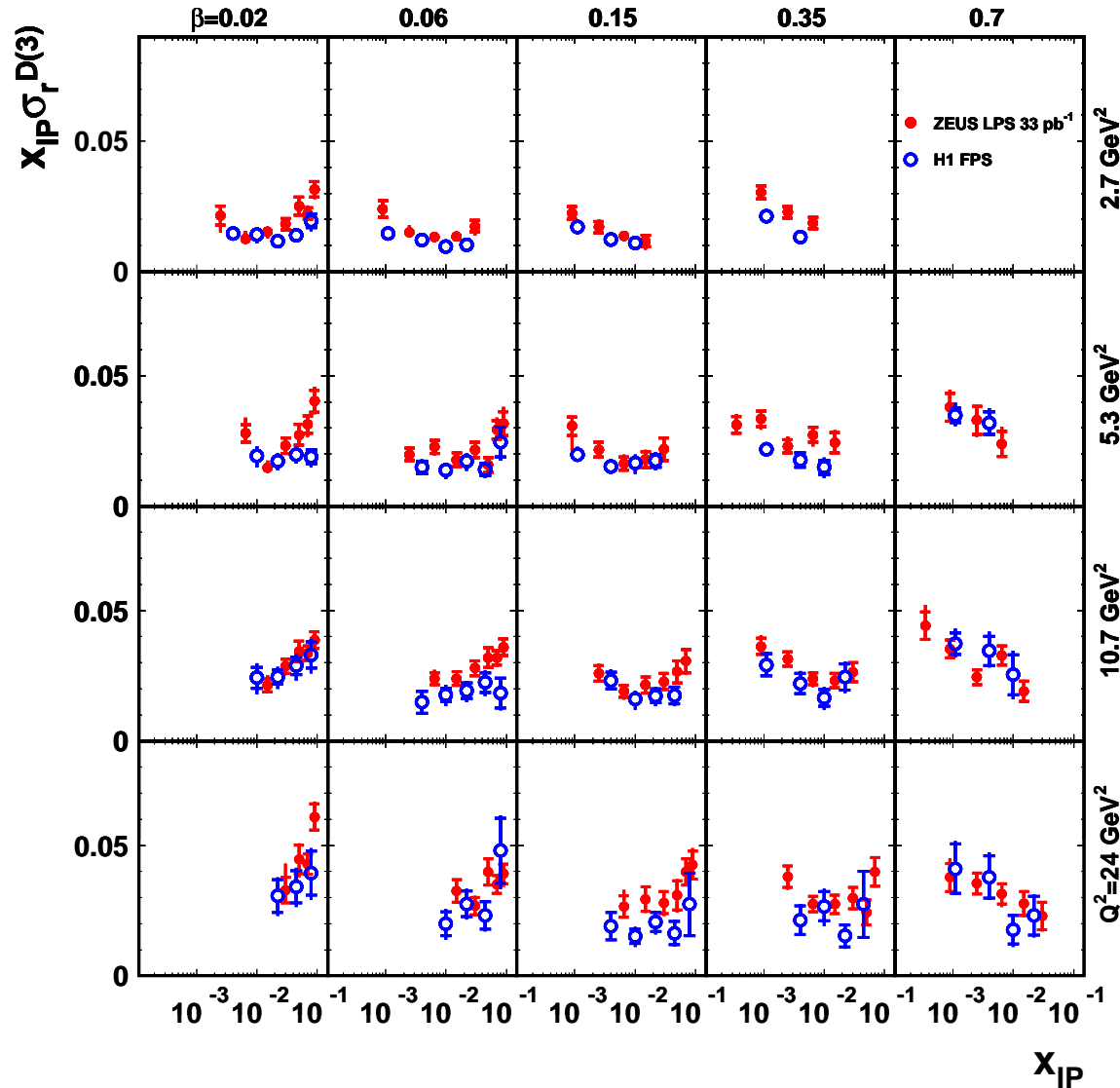


— Regge fit (IP + IR)

----- Pomeron contribution



# ZEUS



H1 FPS results:  
Eur.Phys.J. C48(2006) 749

ZEUS LPS results:  
M.Ruspa, XVI International Workshop  
On Deep Inelastic Scattering,  
UCL, 7-11 April, 2008

Not shown:  
normalization uncertainties  
LPS: +11% -7%  
FPS: +10% -10%



Good agreement between  
LPS and FPS data in shape  
and magnitude within the  
statistical errors and  
normalization uncertainties.



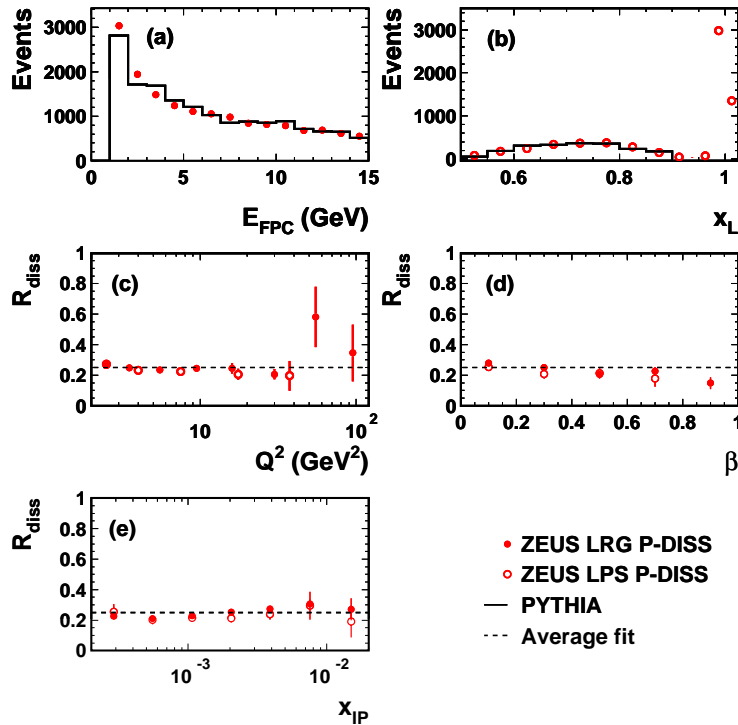
# ZEUS Results from the Large Rapidity Gap Method I



ZEUS LRG data corrected for proton dissociation to  $M_N=m_p$

PYTHIA-MC tuned with LPS( $x_L < 0.9$ ) data and Forward Plug Calorimeter (FPC) energy spectrum requiring a rapidity gap.

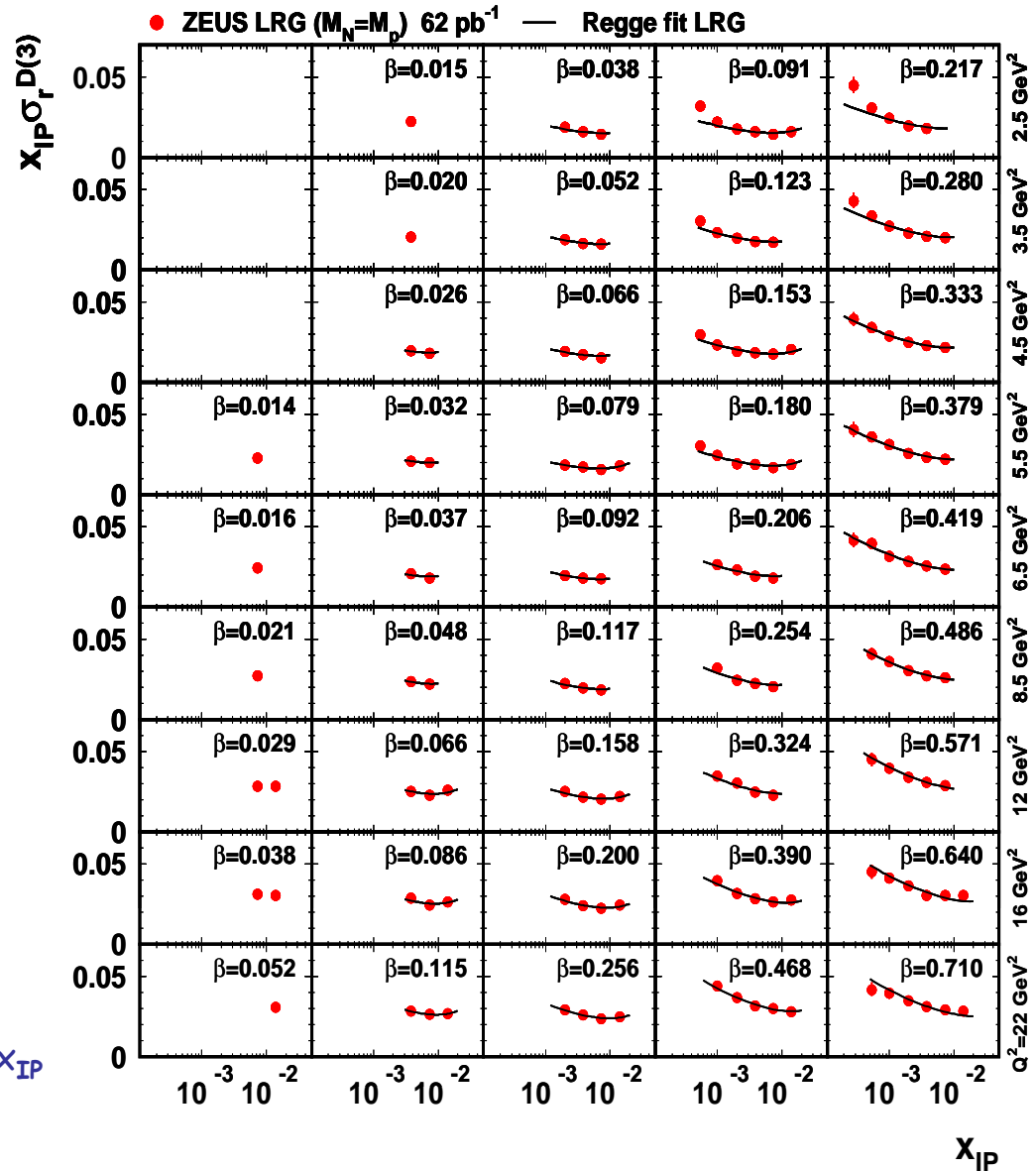
## ZEUS



P-diss. contribution is independent  $Q^2$ ,  $\beta$ ,  $x_{IP}$

$$R_{p-diss} = 25 \pm 1(\text{stat}) \pm 3(\text{sys}) \%$$

# ZEUS



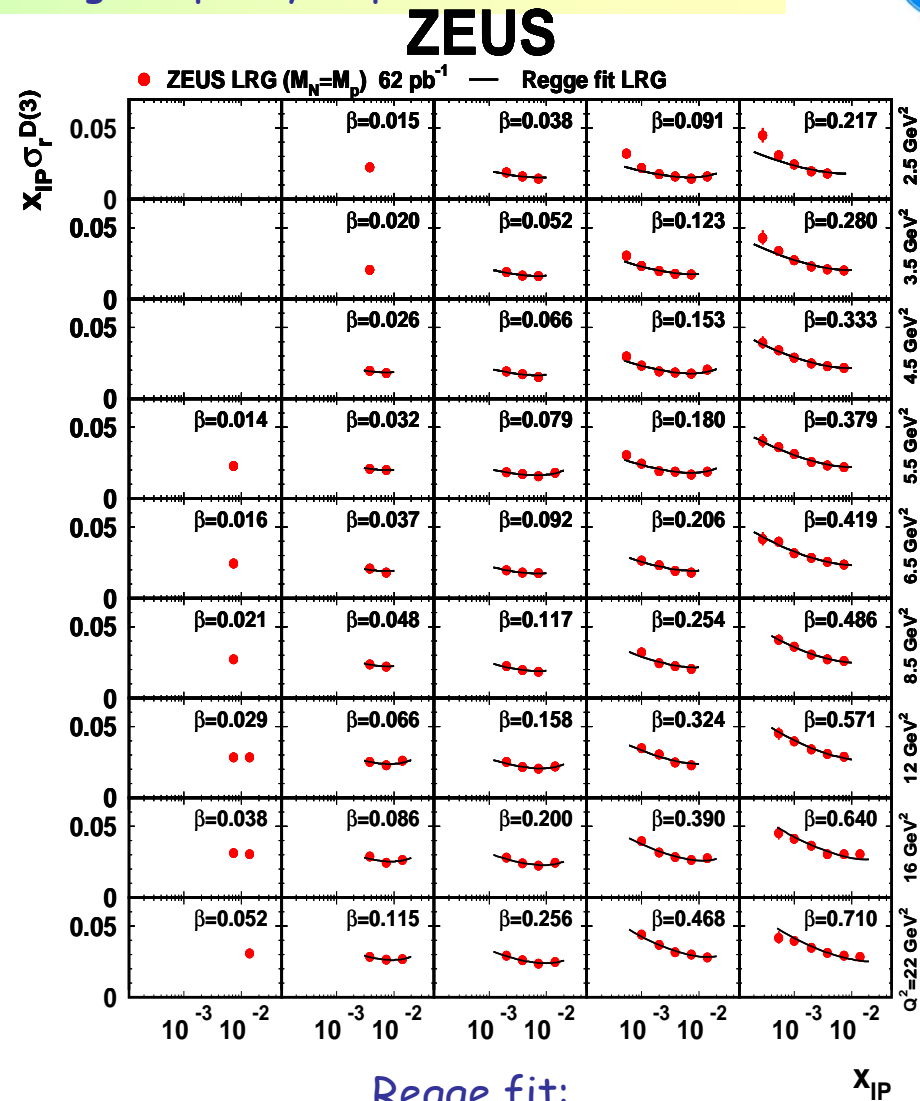


# ZEUS Results from the Large Rapidity Gap Method II



ZEUS LRG data:

$$2.5\text{GeV}^2 \leq Q^2 \leq 255\text{GeV}^2$$



$$\alpha_{IP}(0) = 1.108 \pm 0.008 \text{ (stat + sys)}$$

$$+ 0.008 / - 0.007 \text{ (model)}$$

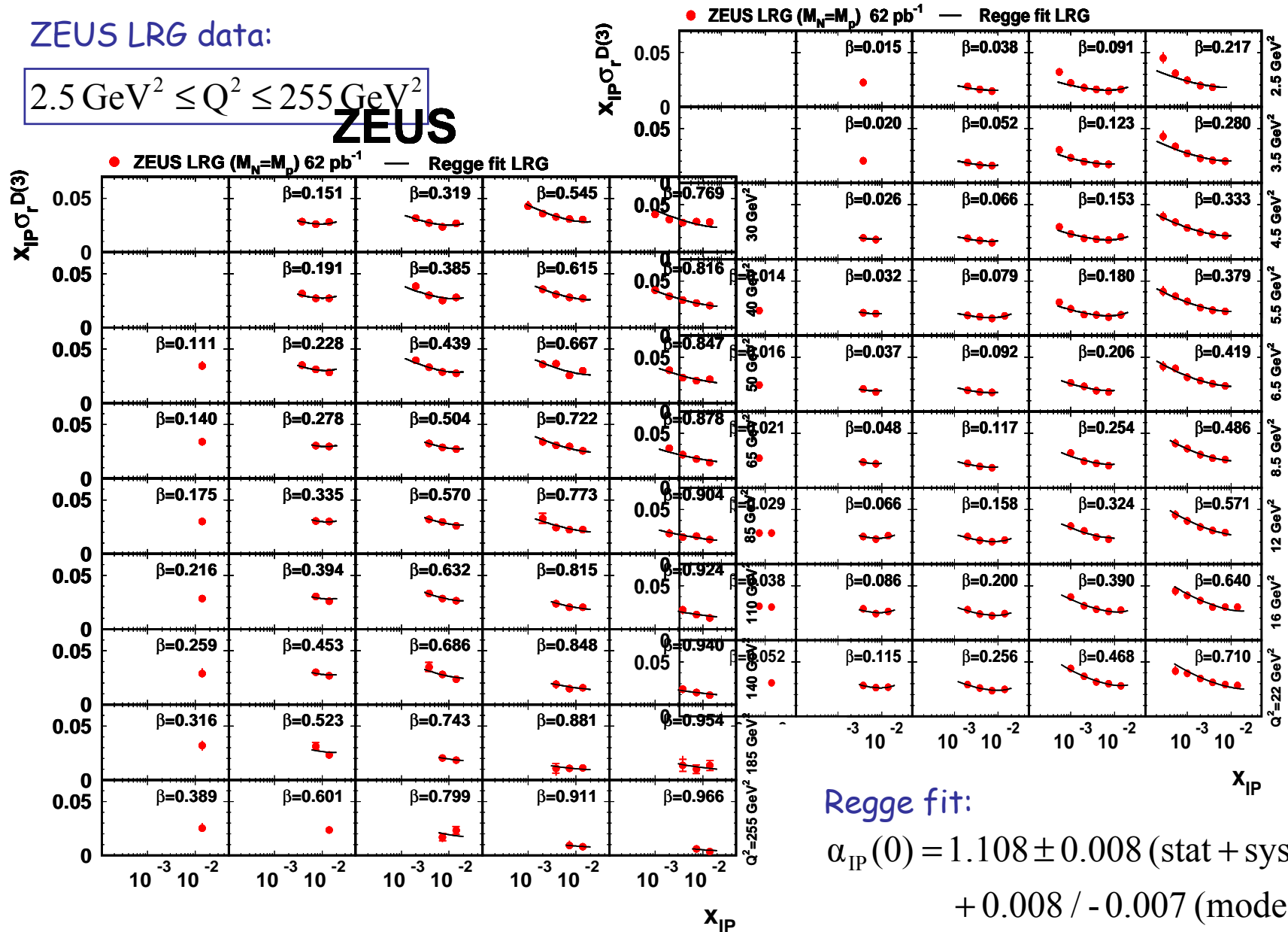


# ZEUS Results from the Large Rapidity Gap Method II



ZEUS LRG data:

$$2.5 \text{ GeV}^2 \leq Q^2 \leq 255 \text{ GeV}^2$$





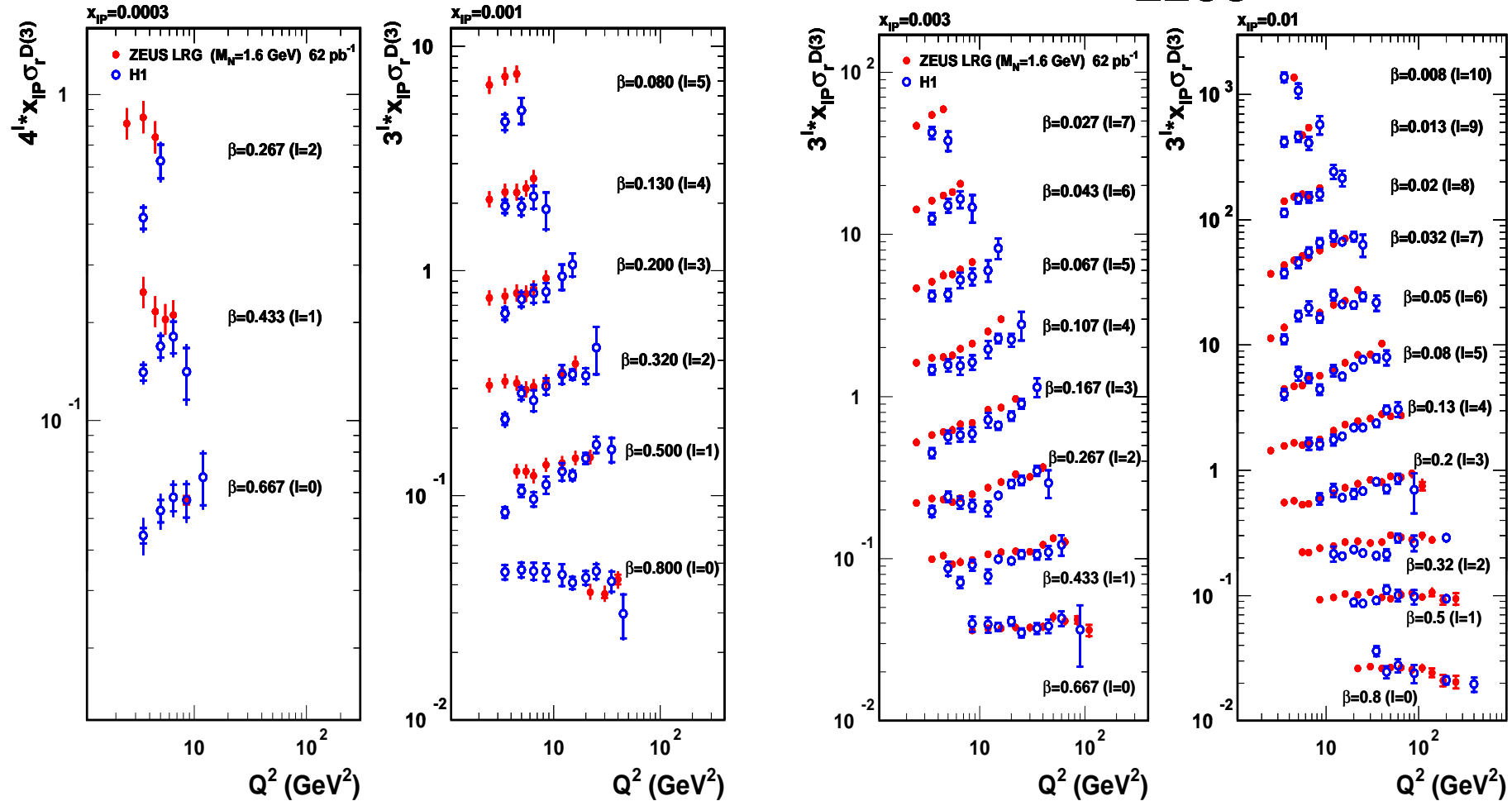
# Comparison of Preliminary ZEUS LRG Results with H1 LRG Results I



ZEUS data corrected with PYTHIA to  $M_N=1.6$  GeV for comparison with H1 data

ZEUS

ZEUS



- Fair agreement in shape except at low  $Q^2$ , some slight differences in  $b$ -dependence.
- Overall normalisation difference of 13%, covered by uncertainty of p-diss. correction (8%) and relative normalisation uncertainty (7%).



# Comparison of Preliminary ZEUS LRG Results with H1 LRG Results II

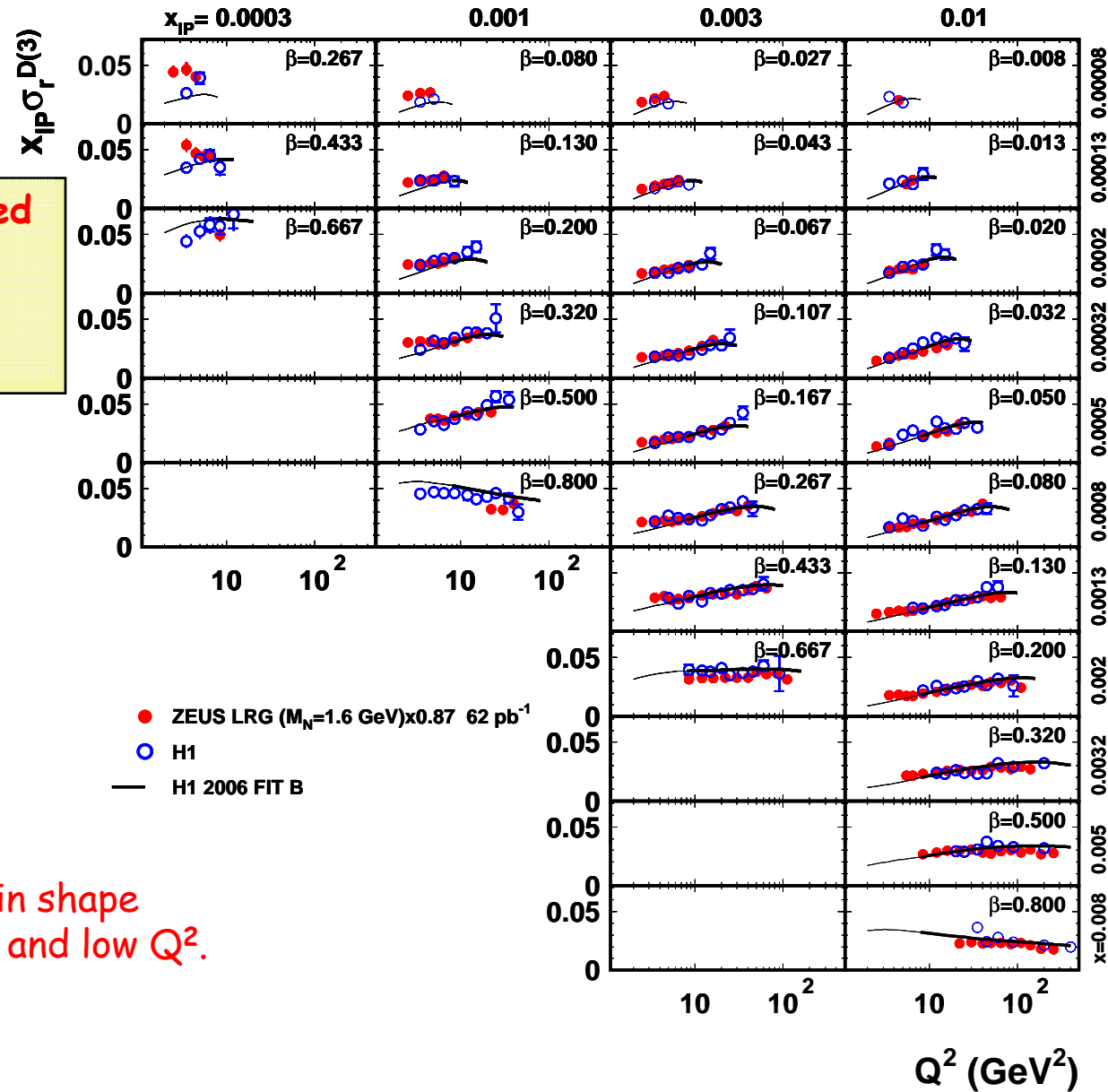


# ZEUS

ZEUS data normalised to H1 data in this plot.

Solid line is the H1 2000 Fit B (see later).

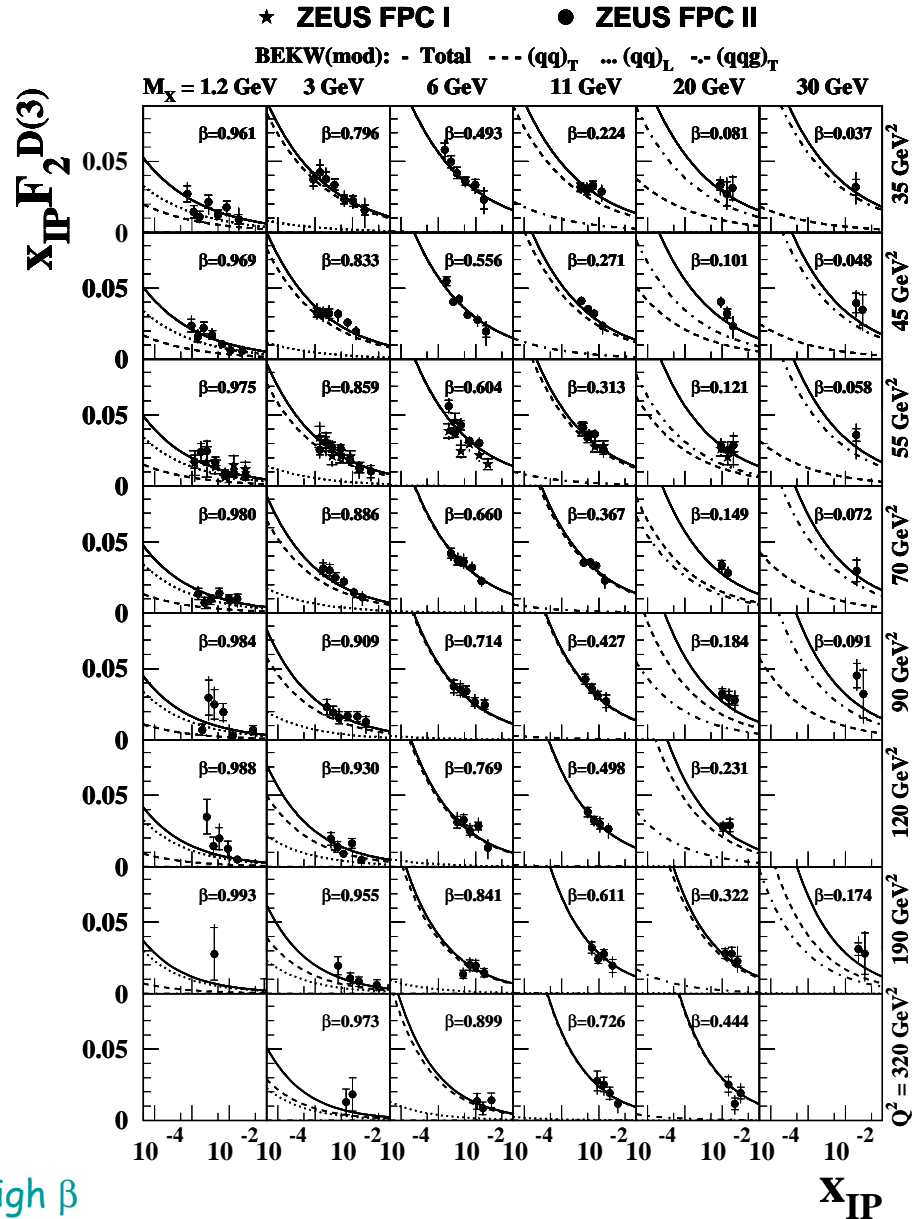
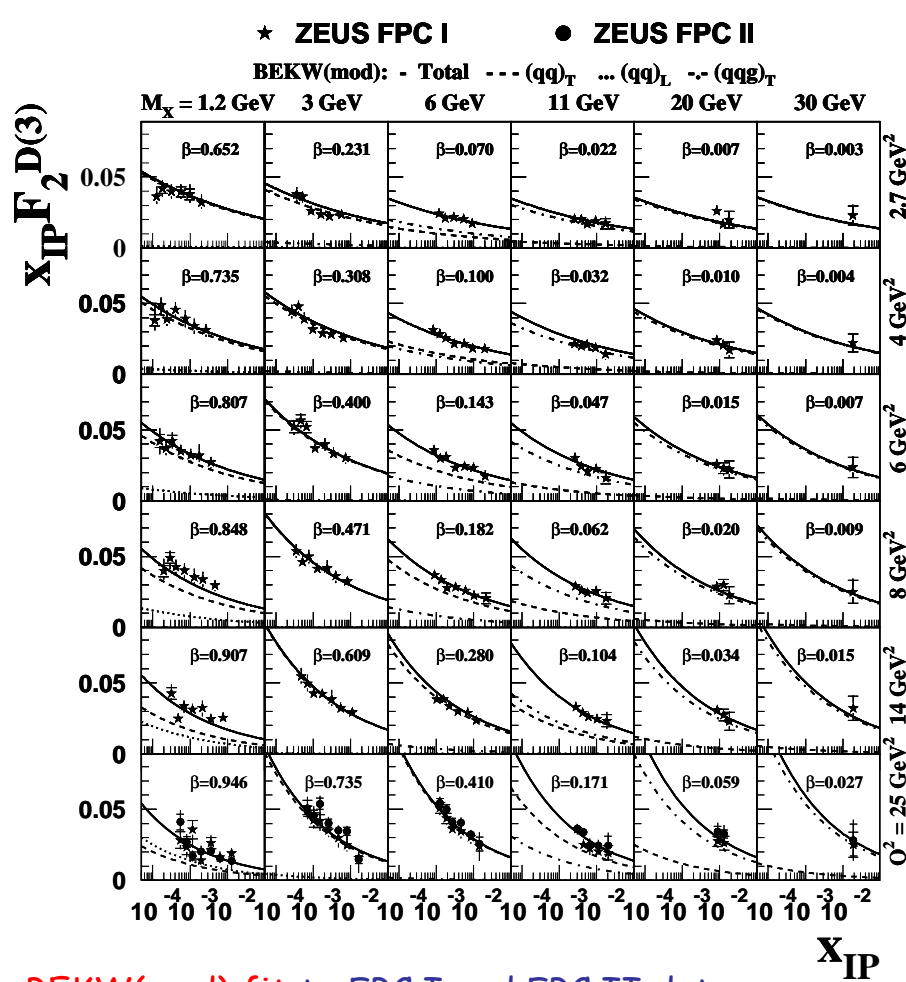
Good agreement in shape except at low  $x_{IP}$  and low  $Q^2$ .







# Results from ZEUS with the $M_x$ -Method and the BEKW(mod) fit



BEKW(mod) fit to FPC I and FPC II data:  
 > 400 points, 5 parameters,  $\chi^2/n = 0.71$ .

At all  $Q^2$ :  $(qq)_T$  dominates at medium  $\beta$   
 $(qqg)_T$  dominates at low  $\beta$   
 $(qq)_L$  contributes significantly at very high  $\beta$

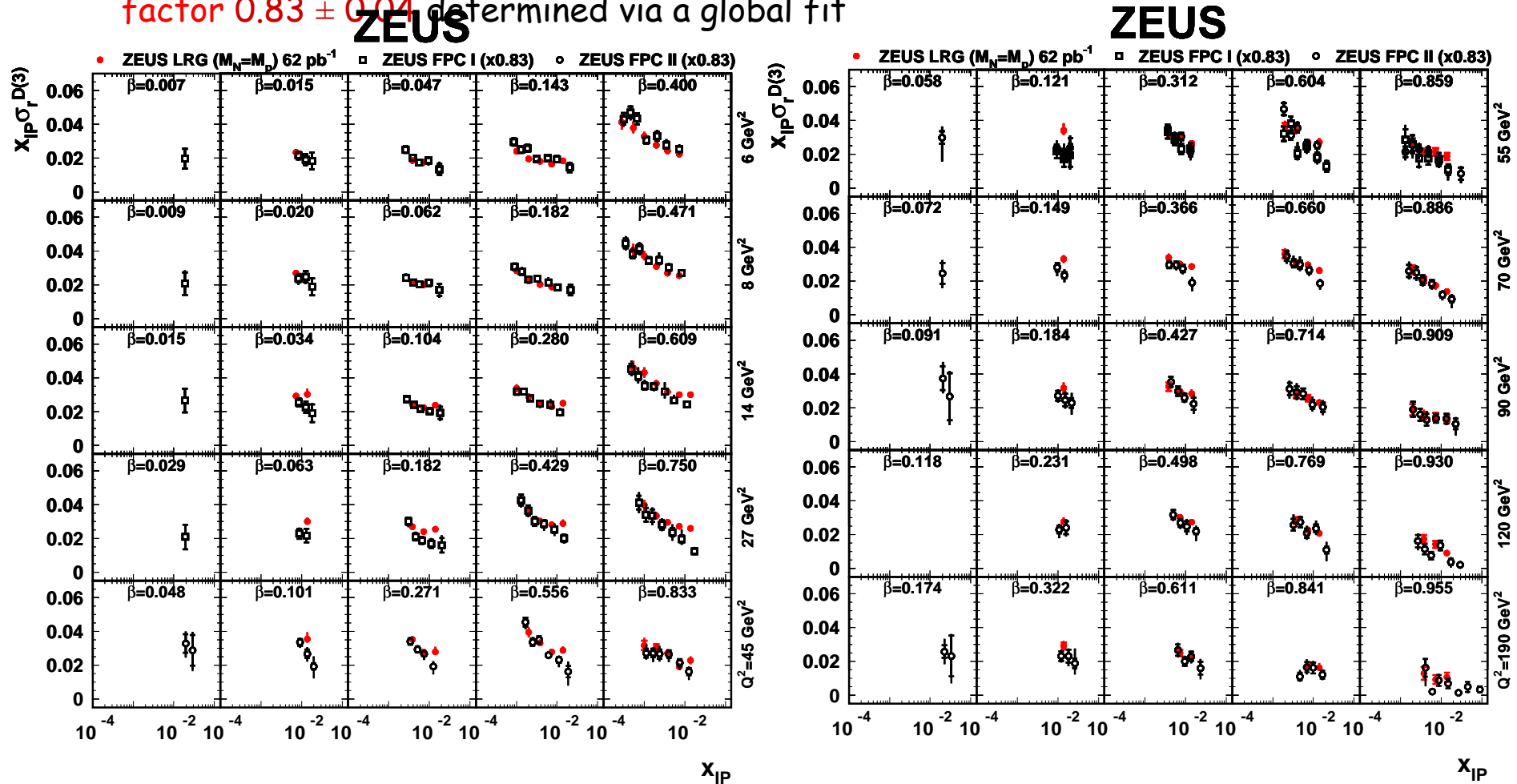


# Comparison ZEUS $M_x$ Results with ZEUS LRG Results



For comparison,  $M_x$  data ( $M_N < 2.3$  GeV) normalised to LRG ( $M_N = m_p$ ):

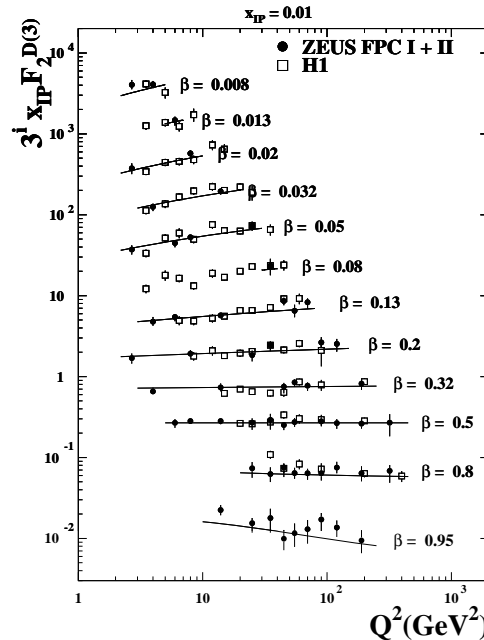
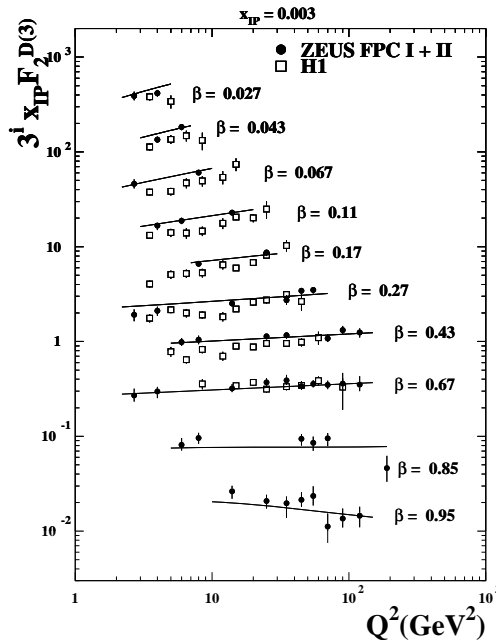
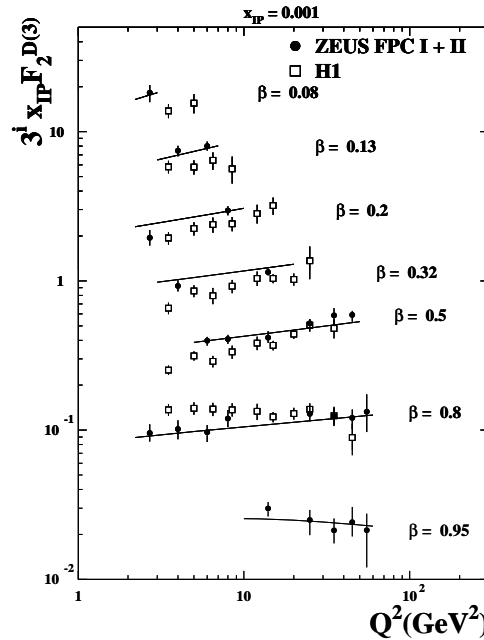
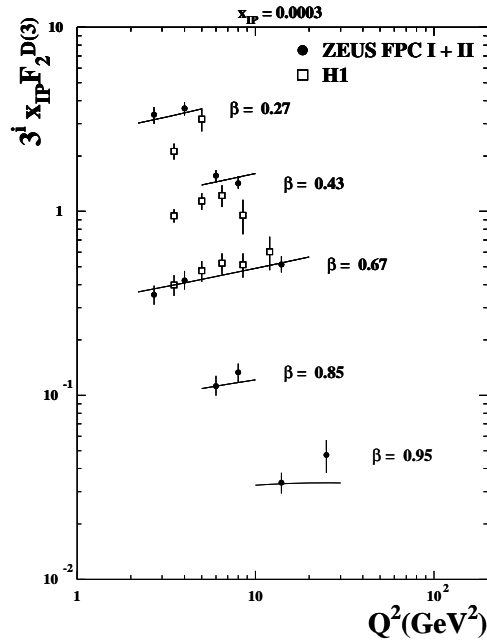
factor  $0.83 \pm 0.04$  determined via a global fit



Overall satisfactory agreement for  $x_{IP} < 0.01$  after multiplying  $M_x$  data by factor 0.83, for higher  $x_{IP}$  Reggeon contributions are possible in the LRG data.



# Comparison ZEUS $M_x$ Results with H1 LRG Results



— ZEUS BEKW(mod) fit

ZEUS  $M_x$  data for  $M_N > 2.3$  GeV

H1 LRG data for  $M_N > 1.6$  GeV

Qualitative agreement except overall normalisation.

Different  $Q^2$ -dependence seen in some  $\beta$ -bins.

There are indications for a slightly different  $\beta$ -dependence.



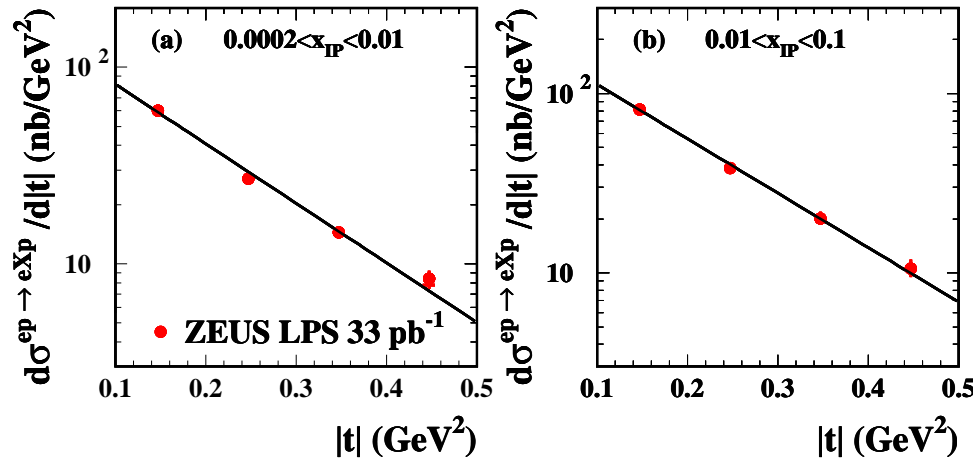
# The $t$ dependence of the Diffractive Cross-Section I



From ZEUS LPS data:

Measurements in two different  $t$  intervals at  $2 < Q^2 < 120 \text{ GeV}^2$

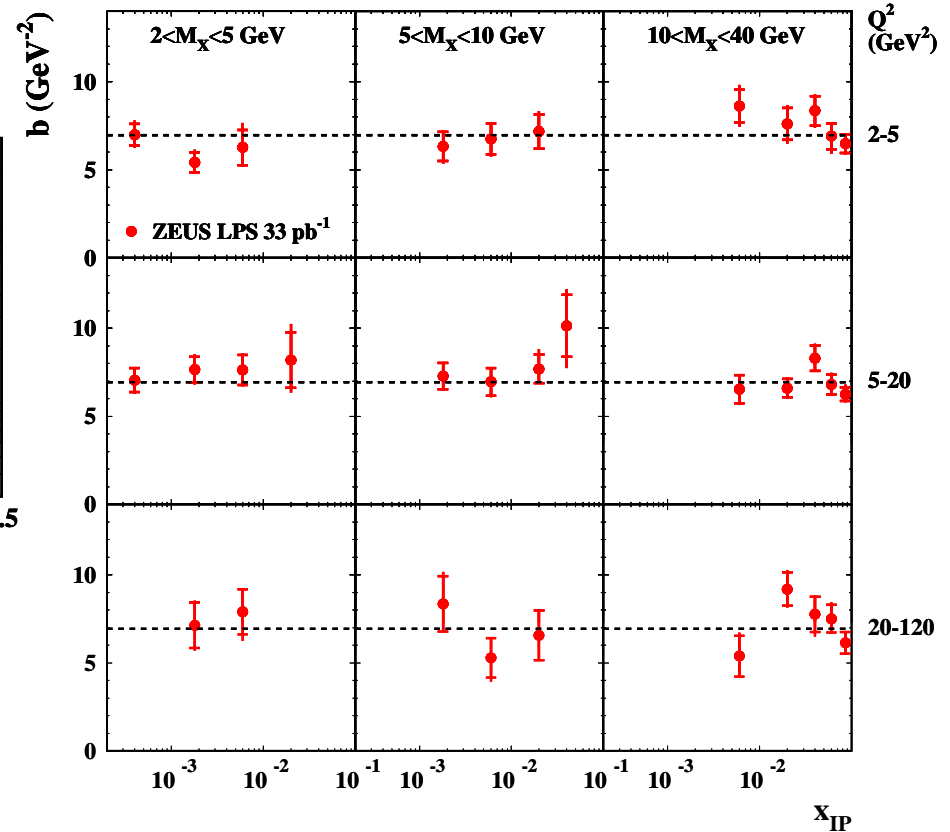
## ZEUS



Fit to  $e^{-b|t|} \rightarrow b = 7.0 \pm 0.4 \text{ GeV}^{-2}$

This is lower than for soft vector-meson production ( $b \sim 10\text{-}12 \text{ GeV}^{-2}$ ) but considerably higher than for hard vector-meson production ( $b \sim 4 \text{ GeV}^{-2}$ ).

## ZEUS



The  $t$  slope does not depend on  $Q^2$ ,  $x_{IP}$  or  $\beta$ .

Inclusive diffraction is more a soft process.

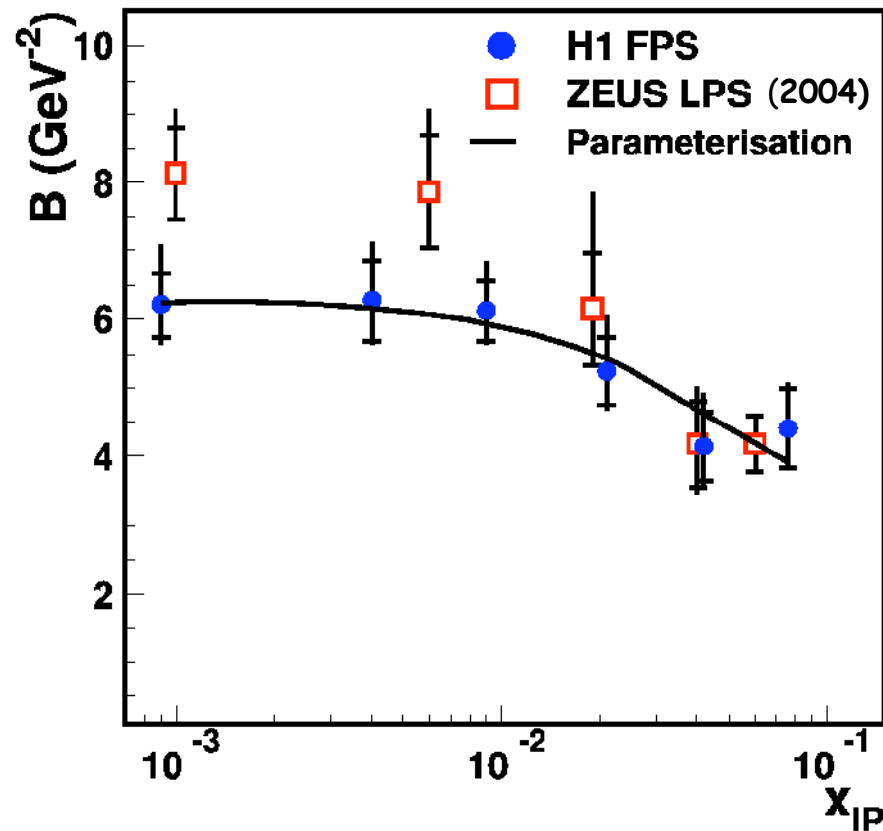


## The $t$ dependence of the Diffractive Cross-Section II



From H1 FPS data:

Measurements in 3 different  $t$ -intervals at  $2 < Q$ .



In the Regge framework the effective slope is

$$B = B_{IP} - 2\alpha'_{IP} \cdot \ln x_{IP}$$

Range of Fit	$\alpha'_{IP}$ (GeV $^{-2}$ )	$B_{IP}$ (GeV $^{-2}$ )
$0.0009 \leq x_{IP} \leq 0.0094$	$0.02 \pm 0.014^{+0.21}_{-0.09}$	$6.0 \pm 1.6^{+2.4}_{-1.0}$
$0.0009 \leq x_{IP} \leq 0.021$	$0.10 \pm 0.010^{+0.16}_{-0.07}$	$4.9 \pm 1.2^{+1.6}_{-0.7}$

The value of  $B_{IP}$  from H1 is in agreement with the ZEUS values within the errors for  $x_{IP} < 10^{-2}$ . For higher  $x_{IP}$ , Reggeon contributions can become important.



From the ZEUS FPC I+II data:

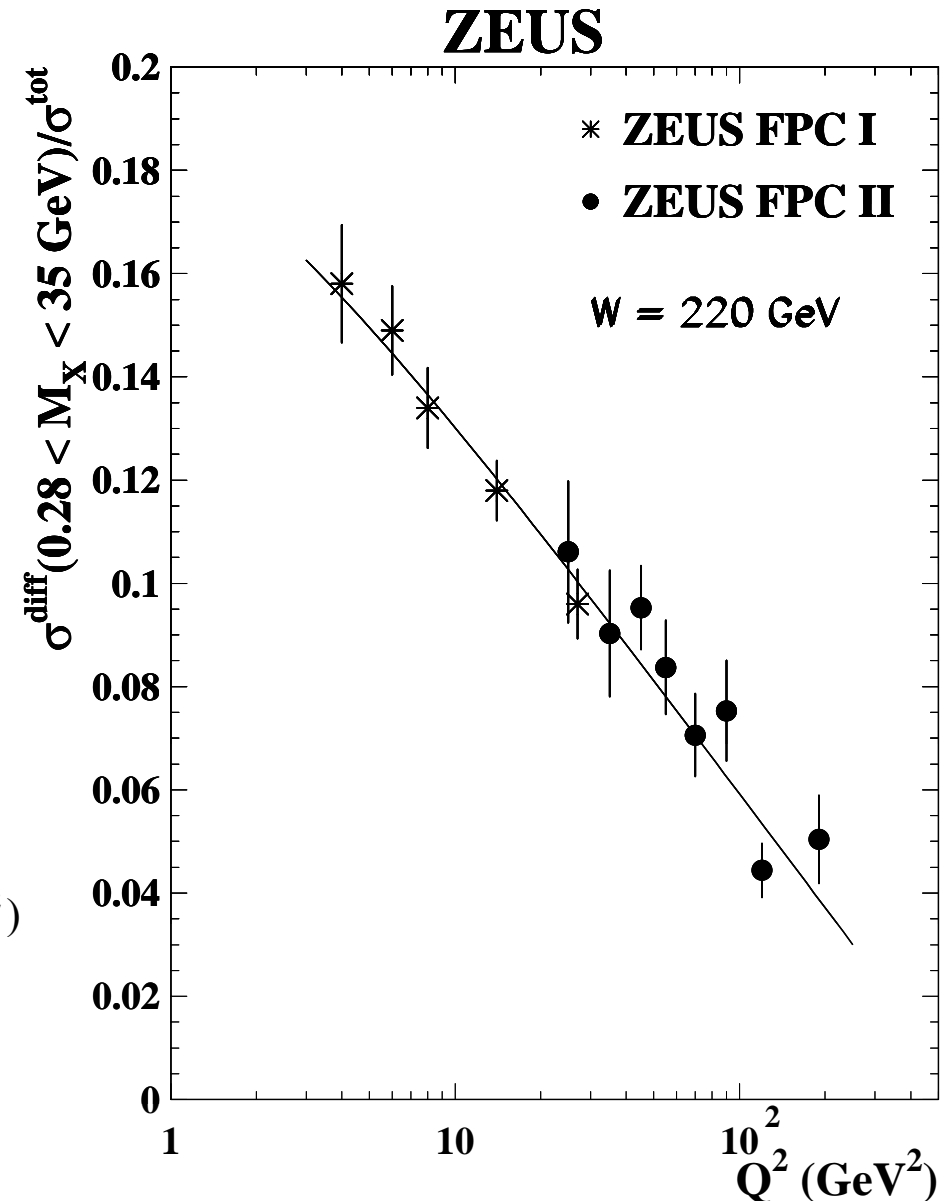
$$R^{\text{diff}} \equiv \frac{\sigma^{\text{diff}}(0.28 < M_X < 35 \text{ GeV})}{\sigma^{\text{tot}}}$$

Diffraction is a sizable fraction of the total DIS cross-section.

The ratio of diffraction to total DIS falls only logarithmically with  $Q^2$ .

Fit gives:

$$R_{\text{fit}}^{\text{diff}} = (0.207 \pm 0.008) - (0.032 \pm 0.002) \cdot \ln(1 + Q^2)$$





From H1 LRG data:

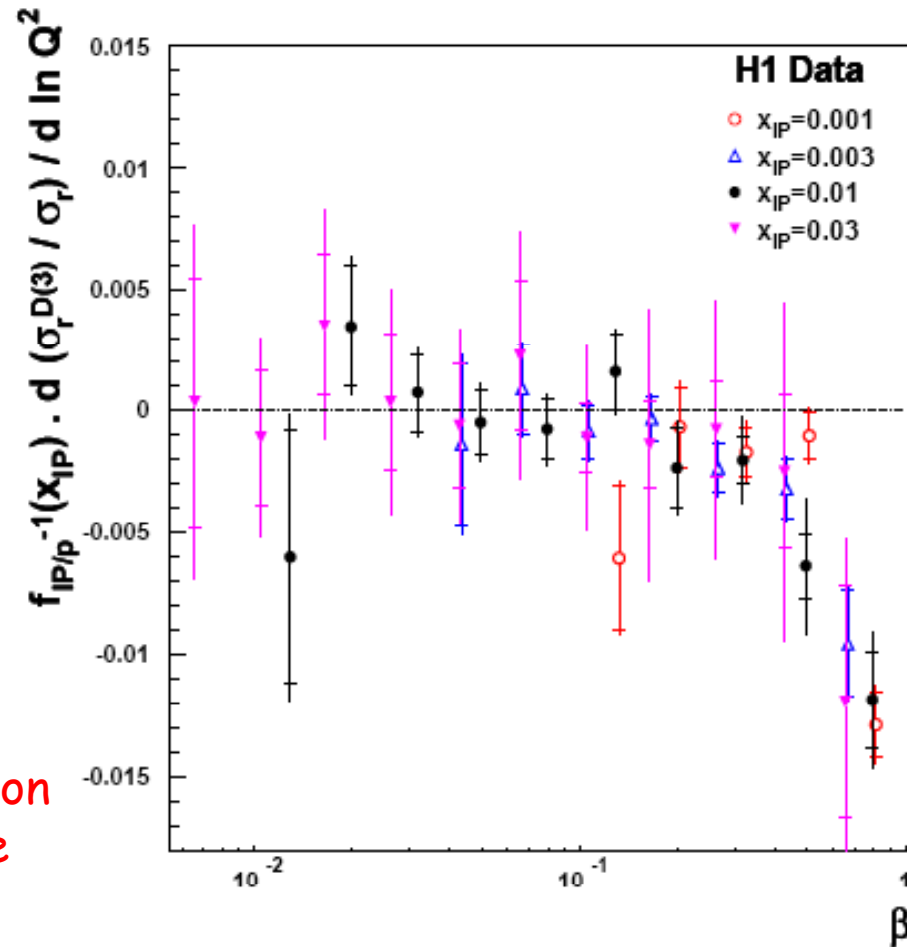
Logarithmic  $Q^2$ -derivative of ratio  $\sigma_r^{D(3)}/\sigma_r$  at fixed  $x_{IP}$ .

Divide by flux factor  $f_{IP/p}(x_{IP})$  to compare values at different  $x_{IP}$ .



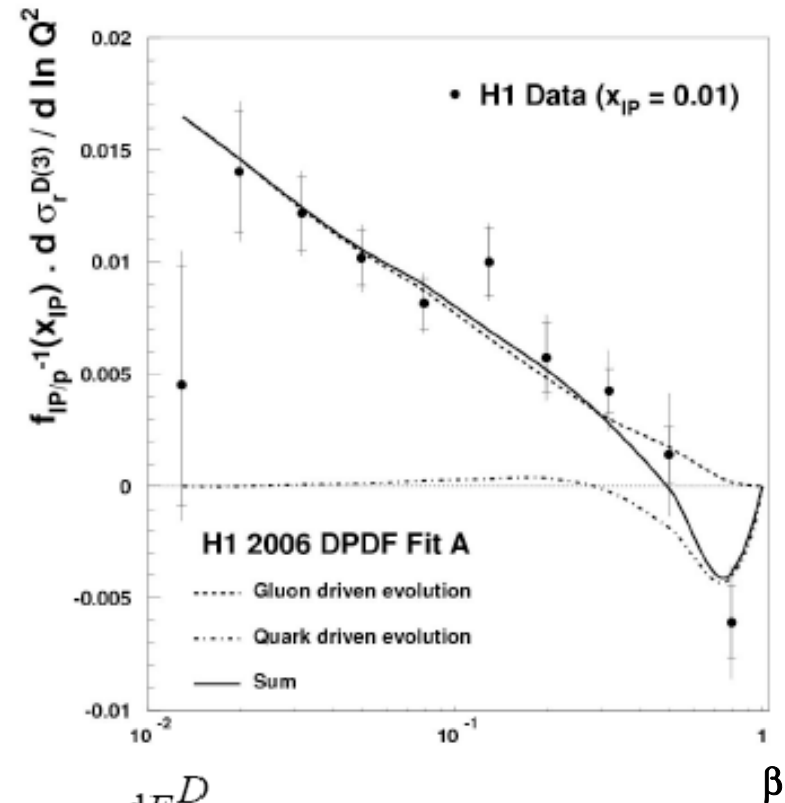
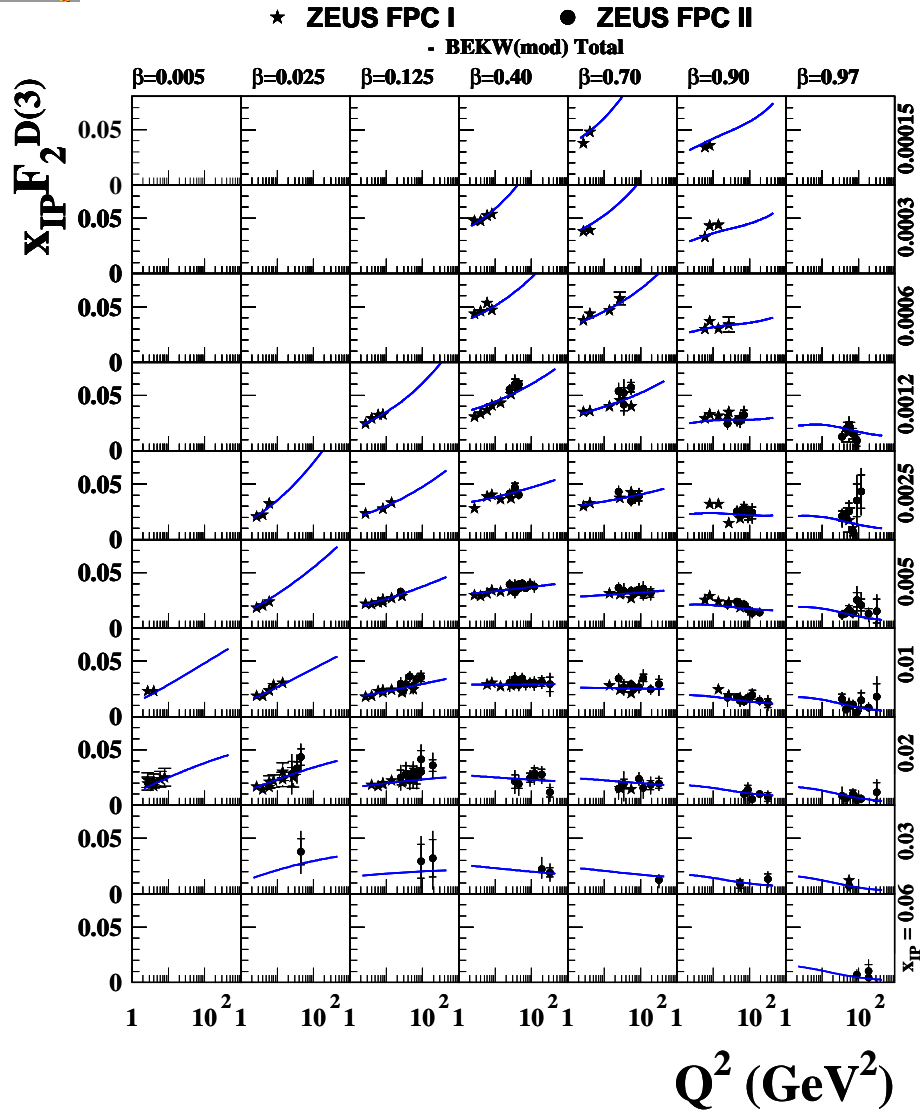
Results at different  $x_{IP}$  as a function of  $\beta$  fall approximately on the same curve.

Logarithmic derivatives are compatible with zero up to  $\beta$  values of about 0.01 and become negative for larger  $\beta$  values.

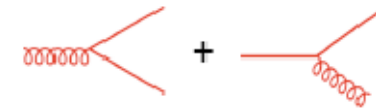




# Scaling Violations in Inclusive Diffraction



$$\frac{dF_2^D}{d \ln Q^2} \sim \frac{\alpha_s}{2\pi} \left[ P_{qg} \otimes g + P_{qq} \otimes \Sigma \right]$$



dominates  
at low  $\beta$

dominates  
high  $\beta$

Sizable scaling violations in inclusive diffraction.





$x_{IP}F_2^{D(3)}$  as a function of  $\beta$  for  
 $25 \text{ GeV}^2 \leq Q^2 \leq 320 \text{ GeV}^2$

Medium  $\beta$ :

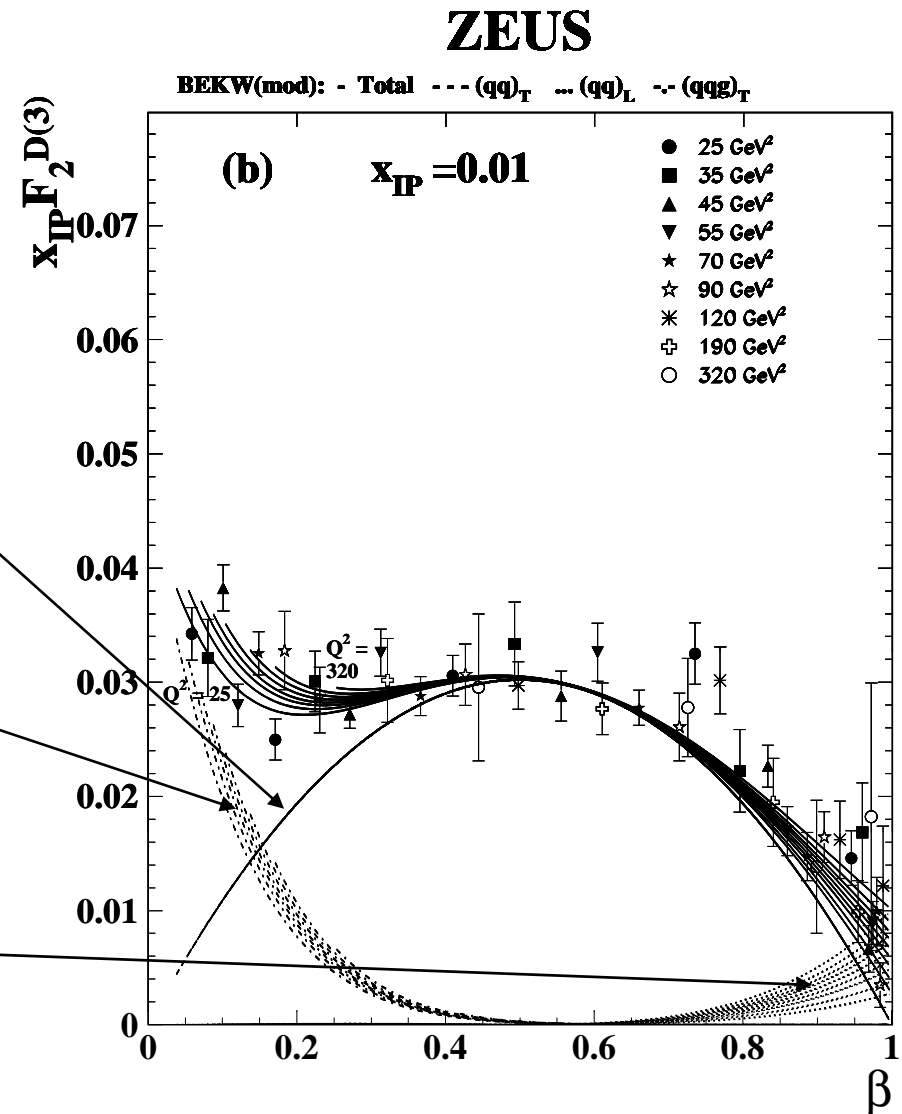
dominated by  $(qq)_T$  contribution  $\sim \beta(1-\beta)$ .

Small  $\beta$ :

$(qqg)_T$  contribution rises and dominates.

Very high  $\beta$ :

$(qq)_L$  contribution becomes significant.





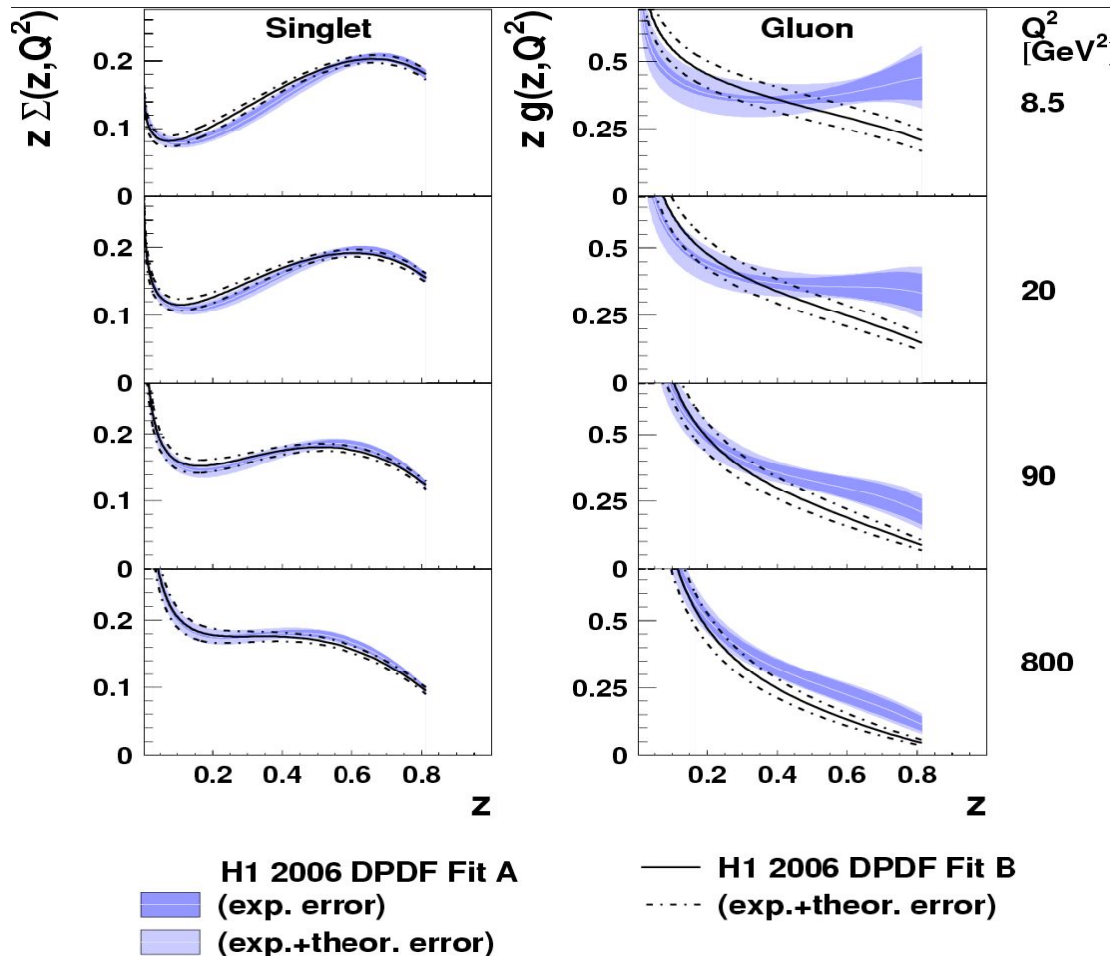
# Diffraction Parton Distribution Functions (DPDF)



Assuming Regge factorisation:

$$f_i^D(x, Q^2, x_P, t) = f_{IP/p}(x_P, t) \cdot f_i^{IP}(\beta = \frac{x}{x_P}, Q^2) \quad f_{IP/p}(x_P, t) = A_{IP} \cdot \frac{e^{B_{IP}t}}{x_P^{2\alpha_{IP}(t)-1}}$$

Parametrize: quark singlet density  $z\Sigma(z, Q_0^2) = A_q z^{B_q} (1-z)^{C_q}$  and gluon density  $zg(z, Q_0^2) = A_g (1-z)^{C_g}$



Fit data with:

$$Q^2 \geq 8.5 \text{ GeV}^2, M_X > 2 \text{ GeV}, \beta \leq 0.8$$

Fit A:

$$Q_0^2 = 1.75 \text{ GeV}^2$$

$$\chi^2 \sim 158 / 183 \text{ d.o.f.}$$

Fit B:

$$\chi^2 \sim 164 / 184 \text{ d.o.f.}$$

$$Q_0^2 = 2.5 \text{ GeV}^2$$



## Summary and Conclusions



- Three different experimental methods to measure inclusive diffraction:
  - proton tagging
  - large rapidity gap
  - $M_X$ -method.
- Results from these 3 methods contain different contributions from Reggeon exchanges and from proton dissociation.
- Contributions from Reggeon exchanges are small for  $x_{IP} < 10^{-2}$ .
- There is no unique way to correct the measurements for proton dissociation.
- Apart from differences in the overall normalisation due to proton dissociation contributions, there is fair agreement between the different measurements for  $Q^2$  values above  $10 \text{ GeV}^2$ .
- More results expected from HERA II running period.
- It may be possible in the future to perform a common fit for diffractive PDFs with suitable normalisations of the different data sets.