# Leading Baryons at HERA 

Graziano Bruni
INFN - Bologna
On behalf of H1 and ZEUS Collaborations

## Outline

- Physics case
- Recent and new results on:
- Leading protons (new)
- Leading neutrons
- Comparison with models
- Leading neutron +2 jets in $\gamma p$
- Summary


## Semi-inclusive reaction

$$
e D \rightarrow e \cap X \quad \begin{aligned}
& h=\mathrm{LB}=\mathrm{p}, \mathrm{n} \\
& x=\text { hadronic state }
\end{aligned}
$$

## DIS regime

Scale for secondary particle production
 decreases from Q ${ }^{2}$ (current region) to a soft hadronic scale (proton fragmentation region)

## Photoproduction ( yp ) regime

Hadronic component of the photon.
Can re-introduce hard scale (e.g. requiring high- $p_{T}$ jets)

## Some approaches

$\square$ Fracture Functions (L.Tenenadue, G.Venerzeno Phys. Lett. Be3z, 201 (1994))

- Not discussed here
$\square$ MC fragmentation models
$\square$ Dynamical particle-exchange models


## Production models



## - Hadronisation of proton remnant

- Herwig (cluster model)
- MEPS (parton shower,SCI)
- Ariadne (CDM)
- Exchange of virtual particles
- leading protons: $\pi^{0}$, IR, IP (isoscalar + isovector)
- leading neutrons: $\pi^{+}, \rho^{+}, \ldots$ (isovector)


## Rescattering and absorption

e.g. LN production via $\pi$-exchange

Neutron absorption through rescattering D'Alesio and Pirner
(EPJ A7(2000) 109)
$\square$ Neutron rescatters on $\gamma$ hadronic component.
Absorption enhanced when $\pi$-n system size $r_{\pi n}$ small w.r.to the $\gamma$ : $r_{m n} \sim 1 / p_{T}$.

Dependence on the pion-flux from $x_{L:}<r_{r n}>$ increases with $\mathrm{X}_{\mathrm{L}} \rightarrow$ more absorption at low $\mathrm{X}_{\mathrm{L}}$.

- $n$ kicked to lower $x_{L}$ \& higer $p_{T}$ (migration)
- may escape detection (absorption loss)
$\square$ more absorption in photoproduction than DIS ( $\gamma$ "size" larger)


Nikolaev, Speth and Zakharov (NSZ) (hep-ph/9708290)
Re-scattering processes via additional pomeron exchanges + Optical Theorem

( $\rightarrow$ Uncertainties in $\pi$ structure function extraction )
(Kaidalov, Khoze, Martin, Ryskin (KKMR) (hep-ph/0602215, hep-ph/0606213)
Enhanced absorptive corrections, calculation of migrations, include also $\rho$ and $a_{2}$ exchange
(different $\mathrm{x}_{\mathrm{L}} \& \mathrm{p}_{\mathrm{T}}$ dependences)

$>$ Measure $\mathrm{x}_{\mathrm{L}}$ and $\mathrm{p}_{\mathrm{T}}{ }^{2}$ distributions
$>$ Study dependence on Q $^{2}$
> Compare $\gamma p$ and DIS
$>$ Look for effects due to absorption

# Experimental tools 



## ZEUS Leading Proton Spectrometer (LPS)

- 6 stations each made by 6 Silicon-detector planes
- Stations inserted at $10 \sigma_{\text {beam }}$ from the proton beam during data taking
- $\sigma_{\mathrm{X}_{\mathrm{L}}}<1 \%, \sigma_{\mathrm{p}_{\mathrm{T}}{ }^{2}} \sim$ few $\mathrm{MeV}^{2}$ (better than p-beam spread $\sim 50-100 \mathrm{MeV}$ )


## ZEUS Forward Neutron Calorimeter (FNC) + Forward Neutron Tracker (FNT)

- $10 \lambda$ lead-scintillator sandwich
- $\sigma / E=0.65 / \sqrt{ } E$, Energy scale 2\%
- Acceptance $\theta_{\mathrm{n}}<0.8 \mathrm{mrad}$, azimuthal coverage $30 \%$
- FNT: Scint. hodoscope @ $1 \lambda_{\text {int }}, \sigma_{\mathrm{x}, \mathrm{y}}=0.23 \mathrm{~cm}, \sigma_{\theta}=22 \mu \mathrm{rad}$

H1 Forward Proton Spectrometer (FPS)

- 2 stations each made by 4 planes of sci-fiber hodoscopes + trigger scintillators
- $\sigma_{x}=\sigma_{y}=100 \mu \mathrm{~m}, \sigma(E)<8 \mathrm{GeV},\left|E_{\text {scale }}\right|=10 \mathrm{GeV},<\varepsilon_{\text {track }}>\sim 50 \%$

H1 Forward Neutron Calorimeter (FNC)

- Lead-scintillator calorimeter @ 107m from I.P. + veto hodoscopes
- $\sigma(E) / E \approx 20 \%$, neutron detection eff. $93 \pm 5 \%$


## Leading Protons (DIS-regime)

$$
\frac{d^{2} \sigma}{d x d Q^{2}} \square K\left(x, Q^{2}\right) \times F_{2}\left(x, Q^{2}\right)
$$

$$
\text { Fully inclusive: ep } \rightarrow \text { eX }
$$

$$
\frac{d^{4} \sigma}{d x d Q^{2} d x_{L} d p_{T}^{2}} \square K\left(x, Q^{2}\right) \times F_{2}^{L P(4)}\left(x, Q^{2}, x_{L}, p_{T}^{2}\right)
$$

$$
\text { Semi-inclusive: ep } \rightarrow \text { epX }
$$

$$
\longrightarrow F_{2}^{L P(3)}\left(x, Q^{2}, x_{L}\right), \quad F_{2}^{L P(2)}\left(x, Q^{2}\right)
$$

## $\mathrm{X}_{\mathrm{L}}$ cross-section

## NEW ZEUS Results

$12.8 \mathrm{pb}^{-1}$

## $\mathrm{P}_{\mathrm{T}}{ }^{2}$ cross-section in bins of $\mathrm{X}_{\mathrm{L}}$



## Exponential behaviour

Fit to $\sim \exp \left(-b p_{T}{ }^{2}\right)$
No strong dependence of $b$ on $x_{L}$


Rates to inclusive DIS

Structure function ratio
$r^{L P(3)}\left(x, Q^{2}, x_{L}\right)=\frac{F_{2}^{L P(3)}\left(x, Q^{2}, x_{L}\right)}{F_{2}\left(x, Q^{2}\right)}$

Measurement for $0.32<x_{L}<0.92$ (diffractive peak excluded)
$\mathrm{r}^{\mathrm{LP}(3)}$ almost independent of $x$ and $Q^{2}$ with average value $\sim 0.4$


## Leading neutrons


$\square$ One Pion Exchange is the leading contribution at large $\mathrm{X}_{\mathrm{L}}$
$\square$ (Regge)-factorization of the cross section $\gamma^{*} \mathrm{p} \rightarrow \mathrm{nX}$
$\frac{d \sigma}{d x_{L} d t}=f_{\pi / p}\left(x_{L}, t\right) \cdot \sigma_{\gamma^{*} \pi}\left(\left(1-x_{L}\right) W^{2}, Q^{2}\right)$
Flux-factor

Elementary cross-section (notice $\mathrm{X}_{\mathrm{L}}$ dependence)

Limiting Fragmentation (in the proton target region the production of particles is independent on the incident particle) $\rightarrow$ Vertex Factorization

$$
\frac{d \sigma}{d x_{L} d p_{T}^{2}}=g\left(x_{L}, p_{T}^{2}\right) \cdot G\left(W^{2}, Q^{2}\right)
$$

## x spectrum



- LN yield decreases for $\mathrm{x}_{\mathrm{L}} \rightarrow 1$ due to kinematic limit
- Below $X_{L} \sim 0.7$ the yield drops due to decreasing $\mathrm{p}_{\mathrm{T}}{ }^{2}$ range: $\mathrm{p}_{\mathrm{T}}{ }^{2}<0.476 \mathrm{X}_{\mathrm{L}}{ }^{2}$



## DIS cross section vs $\mathrm{p}_{\mathrm{T}}{ }^{2}$ in bins of $X_{L}$



Good description through a single exponential in each
$x_{\mathrm{L}}$ bin
$\frac{1}{\sigma_{\text {inc }}} \frac{d^{2} \sigma_{L N}}{d x_{L} d p_{T}^{2}}=a\left(x_{L}\right) \cdot e^{-b\left(x_{L}\right) p_{T}^{2}}$



## Q² - dependence: $x_{L}$ spectra


> Yield increases with $\mathrm{Q}^{2}$ : large increase from $\gamma p$ to DIS
> Smaller $\mathrm{Q}^{2}$ dependence at intermediate values
$>$ Violation of vertex factorization

## Q2 - dependence: $x_{L}$ spectra Comparison $\gamma$ p vs DIS


$>$ Ratio $\gamma \mathrm{p} /$ DIS
$>\sim 70 \%$ intermediate $\mathrm{x}_{\mathrm{L}}$
$>$ Rises above 1 as $x_{L} \rightarrow 1$
$>$ Consistent with absorption:

- separation $\pi$-n decreases at low $X_{L}$
- smaller separation $\rightarrow$ more absorption at low $\mathrm{X}_{\mathrm{L}}$


## $\mathrm{Q}^{2}$ - dependence: $\mathrm{p}^{2}$ slopes

## ZEUS


> DIS - slopes:
~ no Q² dependence
> $\gamma p$ - slopes:
higher in $0.6<x_{L}<0.9$

## $\mathrm{Q}^{2}$ - dependence: $\mathrm{p}^{2}$ slopes. Comparison $\gamma \mathrm{p}$ vs DIS


$\mathrm{b}_{\gamma \mathrm{p}}>\mathrm{b}_{\text {DIS }}$ for $0.6<\mathrm{x}_{\mathrm{L}}<0.9$

Depletion at large $\mathrm{p}_{\mathrm{T}}$


Consistent with vertex factorization violation from absorption:

- more absorption at small $r_{\pi n} \rightarrow$ large $p_{T}$
- loss of LN at high $\mathrm{p}_{\mathrm{T}} \rightarrow$ larger slope


## Comparison LP - LN



Pure isovector exchange: LP = $1 / 2 \mathrm{LN}$
$\Rightarrow$ Other IR contributions in LP


Similar slopes in $0.7<x_{\mathrm{L}}<0.85$

## Comparison to models

## Leading Protons



Reasonable description by $\pi$, IR, IP exchange model



Standard fragmentation MC models fail to describe the data

DJANGOH+SCI+MEPS ~ ok b( $\mathrm{x}_{\mathrm{L}}$ ) shape

## Leading neutrons



MC models generally fail to fully reproduce the data
$\square$ Shapes ~ ok by RAPGAP with standard fragmentation $+\pi$-exch.
$\square$ LEPTO+SCI: $x_{\text {L }}$ shapes $\sim$ ok, not slopes

## One-Pion Exchange Models



## ZEUS



## Slopes - DIS

## KKMR model

Absorption \& migration included in the predictions: not sufficient to describe the slopes

## Good description of data

 when additional IR included$$
X_{L}-\gamma p
$$



Pure $\pi$-xch. + inclusion migrations in $X_{L}$ and $p^{2}{ }_{T}$ after rescattering

Reasonable description of shape and normaliz.

Add ( $\rho, \mathrm{a}_{2}$ )-xch: again reasonable agreement in $\gamma p$

## Comparison DIS - $\gamma$ p

## ZEUS



Models: OPE + absorption

$$
\begin{gathered}
\sigma_{\gamma \pi} \propto{S_{\gamma \pi}}^{\lambda}=\left[\left(1-\boldsymbol{x}_{L}\right) \times W_{\gamma p}{ }^{2}\right]^{\lambda} \\
\frac{\sigma_{\gamma \pi}}{\sigma_{\gamma^{*} \pi}}=\left(1-\boldsymbol{x}_{L}\right)^{\Delta \lambda}=\left(1-\boldsymbol{x}_{L}\right)^{-0.13} \\
\quad \text { different cms energy dependence) }
\end{gathered}
$$

Good agreement with the data

## Leading n in $\gamma \mathrm{p}+$ dijets

Absorption effects seen going
 from hard $\rightarrow$ soft scale

High $\mathrm{Q}^{2} \rightarrow$ Low $\mathrm{Q}^{2} \rightarrow \gamma \mathrm{p}$
Photon momentum fraction that enters in the hard scattering
$\mathrm{x}_{\mathrm{y}}=1$ direct PHP, DIS $x_{\gamma}<1$ resolved PHP ( hadron-like photon )

$$
x_{\gamma}^{o b s}=\frac{\sum_{j e 11,2} E_{T} e^{-\eta}}{\left(E-p_{z}\right)_{h a d}}
$$

## Factorization tests <br> $\sigma_{2 j+n}$

H1-DATA - (Eur. Phys. J. C41 (2005) 273-286 )

RAPGAP/PYTHIA-M
ZEUS-DATA - Nucl.Phys.B596,3(2001)


RAPGAP / HERWIG MI

> Ratio almost independent on $\mathrm{E}_{\mathrm{T}}$ jet: factorization
$>$ Strong dependence on $x_{r}$ : breaking of factorization
$>$ Fewer neutrons in the resolved region
Not yet conclusions on factorization breaking in resolved $\gamma p\left(x_{V}<1\right)$

## LN +jj ( $\gamma \mathrm{p}$ ) vs LN (DIS)


b-slopes similar in magnitude and shape in DIS and $\gamma p+d i j e t$
$\rightarrow$ Same production mechanism

$\square \gamma \mathrm{w}$ without jets: suppression at low $\mathrm{X}_{\mathrm{L}}$
$\square \gamma p$ with jets: suppression at high $\mathrm{X}_{\mathrm{L}}$
Not yet firm conclusions on absorption

## Summary

- New data from HERA on LB production and properties, new ZEUS LP results
- Observation of factorization breaking effects going from hard to soft scales, mostly in LN
- $\gamma p$ + hard jets: more work needed
- LP needs isoscalar-IR contributions to explain the data
- Standard fragmentation MC-models fail to describe the data
- Improvement in MC models with particle-exchange implemented
- LN: pure $\pi$ exchange not sufficient (slopes not described)
- Recent calculations with $\pi$ exchange and absorption/migration effects improve the agreement in magnitude and shape of the $x_{L}$ spectra
- Additional exchanges $\left(\rho, a_{2}\right)$ improve further


## Additional slides

## Fracture Functions approach

L.Trentadue, G.Veneziano

Phys. Lett. B323, 201 (1994)
Current jet


Dynamical models allow to link them to concepts like structurefunctions of exchanged objects (e.g. IR trajectories)

Production in the current region
$\sigma_{\text {current }} \sum_{q} C_{q} f_{q / p}(X) D_{h / q}\left(X_{L}\right)$
Production in the target region: F.F.

$$
\begin{gathered}
\sigma_{\text {target }} \square \sum_{q} c_{q}(1-x) M_{q, h / p}\left[x,(1-x) x_{L}\right] \\
\left.\sigma=\sigma_{\text {Fracture function }}\right] \\
+\sigma_{\text {target }}
\end{gathered}
$$

- F.F. are non-perturbative universal functions
- Q ${ }^{2}$ dependence governed by evolution equations

