

# Geometric scaling from DGLAP evolution

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# Outline

## Geometric scaling from DGLAP evolution: theory

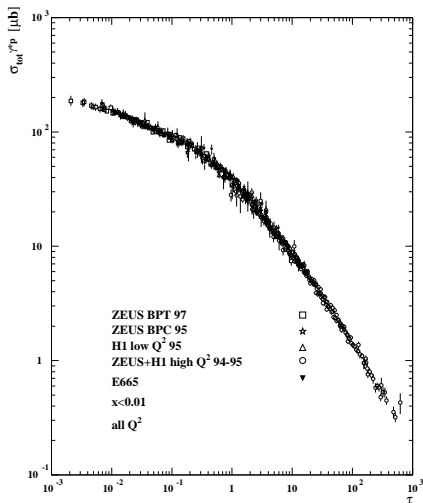
- Geometric scaling, saturation and DGLAP evolution
- Can geometric scaling be produced by DGLAP evolution?
- A simple fixed coupling analysis
- Introducing running coupling
- G.S. can in fact be produced by DGLAP evolution

## Phenomenology: is the HERA scaling a DGLAP-based scaling?

- The geometric scaling kinematic window
- Theoretical vs. phenomenological scaling

[Based on STEFANO FORTE & F.C., 0802.1878 (HEP-PH),  
accepted by Phys. Rev. Lett.]

# Geometric scaling



STASTO, GOLEC-BIERNAT, KWIECINSKI, hep-ph/0007192

## Geometric scaling

$$\sigma(x, Q^2) = F_2/Q^2 = \sigma(\tau),$$

with  $\tau = Q^2 x^\lambda$  or

$$\tau = Q^2 \exp \left[ -\lambda \sqrt{\log(1/x)} \right]$$

# How can we explain geometric scaling?

## Three possible scenarios:

- 1 Geometric scaling is a saturation-based phenomenon. What we are seeing at HERA are saturation effects. If so, big problems with our PDFs!
- 2 Geometric scaling is generated by saturation physics at some low scale and then it is preserved by DGLAP evolution [see e.g. KWIECINSKI, STASTO, PRD 66:014013,2002]
- 3 Geometric scaling is generated by DGLAP evolution. There exists a region where geometric scaling can be explained by pure DGLAP evolution, without need of saturation

# A toy model without saturation: the LO DGLAP evolution at small $x$

- At small  $x$  the evolution is dominated by the large eigenvalue of the a.d. matrix in the singlet sector
- Consider only the singlet parton density

$$G(x, t) = x [g(x, Q^2) + k_q \otimes q(x, Q^2)]$$

with as usual  $t \equiv \log Q^2/Q_0^2$

The LO DGLAP equation for  $G$  in Mellin space

$$\frac{d}{dt} G(N, t) = \alpha_s \gamma_0(N) G(N, t)$$

# GS from DGLAP evolution: the fixed coupling case

## The DGLAP solution

$$G(\xi, t) = \int \frac{dN}{2\pi i} G_0(N) \exp[\alpha_s \gamma_0(N) t + N \log(1/x)]$$

## In the saddle point approximation

$G \approx e^{\alpha_s \gamma_0(N_s) t + N_s \log(1/x)}$ , leading to the double log result

$$\sigma = \exp \left[ 2\sqrt{\bar{\alpha}_s t \log(1/x)} - (1 + \bar{\alpha}_s)t \right],$$

with  $\bar{\alpha}_s \equiv N_c/\pi \alpha_s$  and  $t \equiv \log Q^2/Q_0^2$

Apparently no geometric scaling!

# A closer look at the saddle point approximation

The saddle condition reads

$$\alpha_s \left. \frac{d}{dN} \gamma_0(N) \right|_{N=N_s} = -\frac{\xi}{t} \longrightarrow N_s(t, \xi) = N_s(\xi/t),$$

where  $\xi \equiv \log(1/x)$

Hence

$$\sigma \sim \exp [\alpha_s \gamma_0(N_s) t + N_s \xi - t] = \exp [f(t/\xi) \xi],$$

with

$$f(z) = (\alpha_s \gamma_0(N_s) - 1) z + N_s.$$

# Geometric scaling from the saddle point approximation

Now expand  $f(z)$  around  $t/\xi = z_0 = \lambda$  such that  $f(z_0) = 0$ :

$$\sigma \sim \exp [f'(\lambda)(z - z_0)\xi + O((z - z_0)^2)]$$

As long as we can neglect higher terms in this expansion

$$\sigma \sim \exp \left[ f'(\lambda) \left( \frac{t}{\xi} - \lambda \right) \xi \right] = \exp [f'(\lambda)(t - \lambda\xi)]$$

**Geometric scaling!**

$$\sigma(t, \xi) = \sigma(t - \lambda\xi) = \sigma(Q^2 x^\lambda)$$



## A few comments

- Analytically, this is the same argument proposed by Iancu et al. in a BFKL context, [NPA 708:327-352,2002]
- However: Iancu et al. impose the condition  $\sigma(t = \lambda\xi) = \text{const}$  as a consequence of parton saturation. At the DGLAP level, this condition is automatically fulfilled with the LO anomalous dimension  $\gamma_0$  (and more in general with any reasonable anomalous dimension)
- Note that  $G_0$  does not enter in our equations. We have implicitly assumed that the boundary condition is washed out by the perturbative evolution

# Running coupling

## DGLAP–BFKL duality in the leading twist sector

Write the DGLAP solution in the "dual" form

$$G(\xi, t) \approx \int \frac{dM}{2\pi i} \exp \left( Mt + \sqrt{\xi} \frac{-2 \int_{M_0}^M \chi(\alpha_s, M') dM'}{\beta_0 \alpha_s} \right)$$

where  $\chi$  is a suitable kernel "dual" to  $\gamma$ .

We can repeat the previous saddle point argument, with the only replacement

$$\xi \rightarrow \sqrt{\xi}$$

**A new scaling variable!**

$$\log \tau = t - \lambda \sqrt{\xi} \rightarrow \tau = Q^2 \exp \left[ -\lambda \sqrt{1/x} \right]$$

## Summarizing our results so far...

G.S. is an approximation to the full DGLAP solution!

- Fixed coupling G.S. variable:  $\log \tau = t - \lambda \log(1/x)$
- Running coupling G.S. variable:  $\log \tau = t - \lambda \sqrt{\log(1/x)}$

The third scenario is possible!

Geometric scaling can be generated by perturbative DGLAP evolution

# How good our approximations are?

The arguments so far involved several approximations:

- Saddle point evaluation of the integral
- Truncated Taylor expansion
- Fixed coupling analysis

To assess their accuracy:

- 1 Introduce the variable  $\zeta = t + \lambda\xi$
- 2 Search for  $\lambda = \lambda(t, \xi)$  such that

$$\frac{d\sigma}{d\zeta} = 0$$

- 3 If  $\lambda(t, \xi) = \text{const}$ , then we have exact geometric scaling

# An analytical argument: running coupling scaling

## The derivative argument

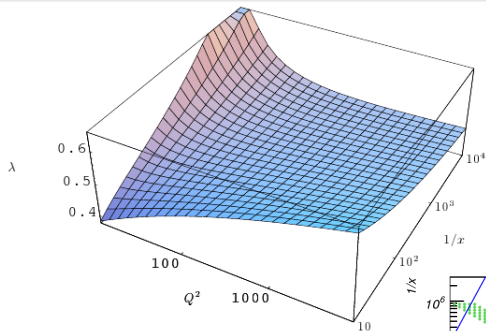
Determine  $\lambda$  from the condition  $\frac{d}{d\zeta}\sigma = 0$ . The leading term:

$$\lambda = \frac{2\gamma t \log(t/t_0)}{(t + \gamma^2)\sqrt{\log(t/t_0)} - \gamma\sqrt{\xi}}$$

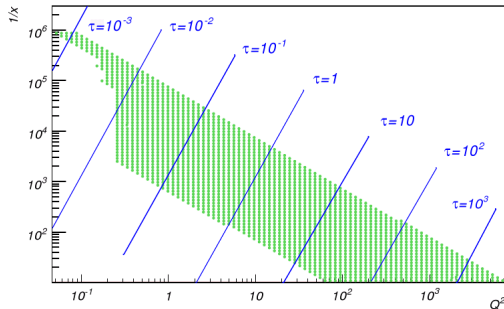
- If  $(t + \gamma^2)^2 \log(t/t_0) \gg \gamma^2 \xi$ , then  $\lambda$  does not depend on  $x$
- As  $t$  increases  $\lambda$  becomes more and more a constant

**This geometric scaling is a large  $Q^2$  – "large"  $x$  phenomenon!**

# A numerical argument, fixed coupling scaling



The HERA small  $x$  region  $\rightarrow$



# How to extract $\lambda$ : the quality factor method

[GELIS ET AL., PLB 647:376-379,2007]

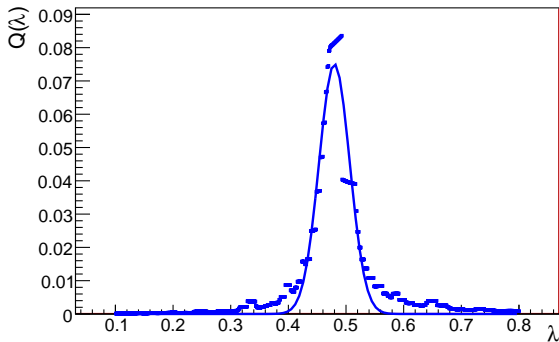
How can we extract the best value for  $\lambda$ ?

Define  $Q(\lambda)^{-1} \equiv \sum_i \left[ \frac{([\sigma_{tot}^{\gamma^* p}]_{i+1} - [\sigma_{tot}^{\gamma^* p}]_i)^2}{((\tau_{i+1} - \tau_i)^2 + \epsilon)} \right]$

From a gaussian fit:

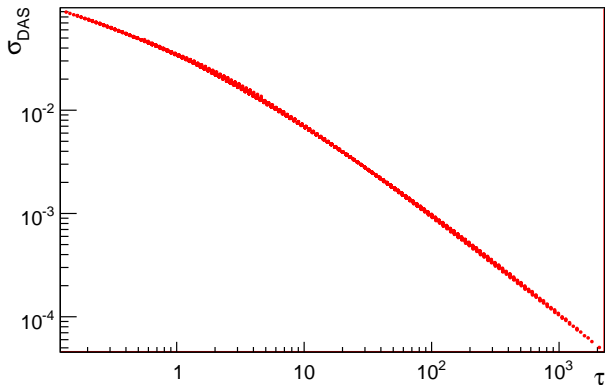
$$\lambda_{fix} = 0.48 \pm 0.02$$

$$\lambda_{run} = 2.18 \pm 0.22$$



# Scaling plot – fixed coupling scaling

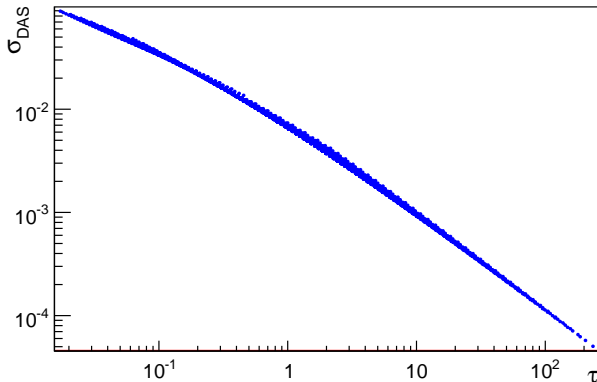
The LO DGLAP form for  $\sigma$  in the HERA region,  
 $x < 0.1$ ,  $Q^2 > 10 \text{ GeV}^2$  and  $\log \tau = t - \lambda \xi$ ,  $\lambda = 0.48$





# Scaling plot – running coupling scaling

Same as before, but with  $\log \tau = t - \lambda\sqrt{\xi}$ ,  $\lambda = 2.18$



The DGLAP solution exhibits geometric scaling!

# What we have seen so far

## The LO DGLAP solution exhibits geometric scaling

- Spectacular scaling behaviour both in the fixed and in the running coupling variables
- This scaling is generated by the DGLAP evolution
- The scaling behaviour persists in a wide kinematic window
- In particular GS persists at large  $Q^2$  and "large"  $x \longrightarrow$

*Different from saturation-based scaling!*

# What about the real world?

Can we use our theoretical results to explain the phenomenological geometric scaling observation?

Yes, as long as the DGLAP evolution is a good approximation to the full QCD evolution. This is true if

- $x$  should be small, but not so small ✓
- $Q^2$  should be large enough to justify a f.o. calculation ✓
- Boundary condition effects should be small enough ✓
- The "small" eigenvector of the a.d. matrix should be really suppressed ✗

✓: OK in the small  $x$  HERA region for  $Q^2 > 10 \text{ GeV}^2$

# DGLAP evolution at the quark–gluon coupled level

Only the largest eigenvector:

$$F_2 = \frac{\gamma}{\rho} G$$

Only a trivial overall constant  $K$  must be fitted to the data

Both the contributions:

$$F_2 = \frac{\gamma}{\rho} G + \bar{G}$$

with

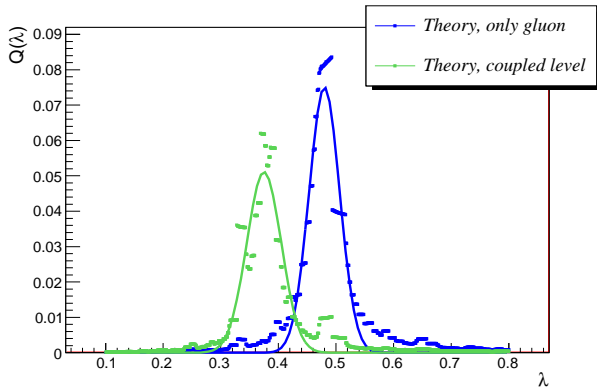
$$\bar{G} = k \exp \left[ -16 \frac{n_f}{27\beta_0} \log(t/t_0) \right]$$

$k$  must be fitted to the data. From a global fit we obtain  $k = 0.18$   
(only large  $Q^2$  – “large”  $x$  data fitted)

# The small eigenvector and geometric scaling

The new term  $\bar{G}$  violates G.S., hence we expect that the scaling behaviour of the full solution deteriorates slightly.

Indeed, this is just the case:



$$Q^2 > 25 \text{ GeV}^2$$

(so  $n_f = 5$ )

# The effects of the small eigenvector

$\bar{G}$  deteriorates slightly geometric scaling, but we are forced to consider it if we want to explain data!

Considering all data with  $Q^2 > 10 \text{ GeV}^2$

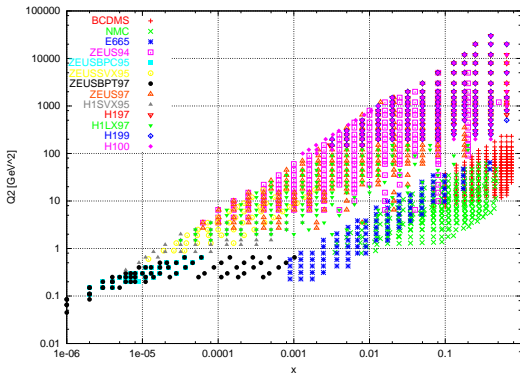
- $\lambda_{fix} = 0.34 \pm 0.02$
- $\lambda_{run} = 1.68 \pm 0.26$

These are our final predictions for  $\lambda$

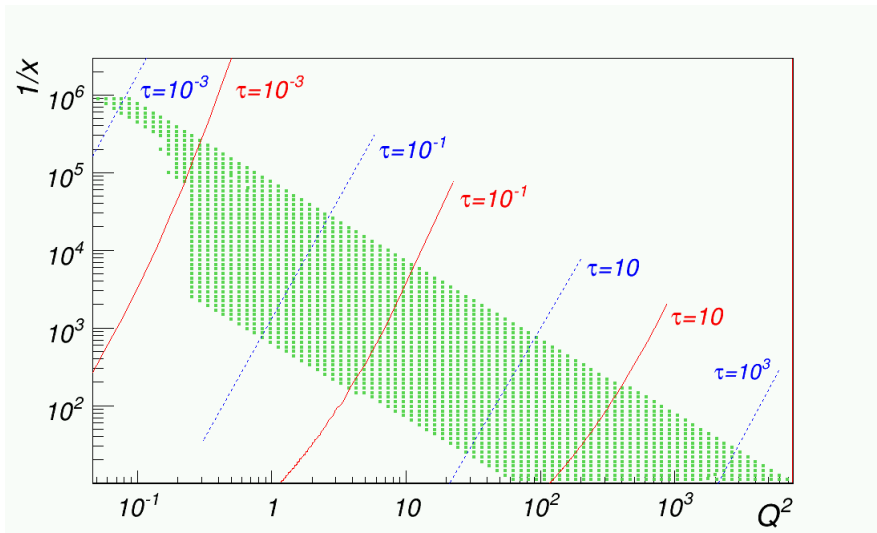
# Phenomenology I: The neural network approach

The neural network parametrization of  $F_2$   
[NNPDF COLLABORATION, JHEP 0503(2005) 080]

- More flexible analysis
- Reliable results as long as we stay in the "populated" region



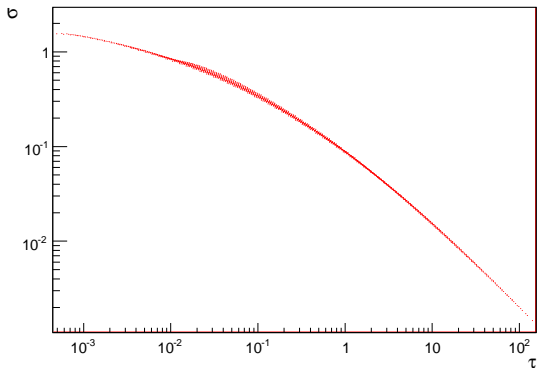
## Phenomenology II: Our sample





# Geometric scaling in the original kinematic window

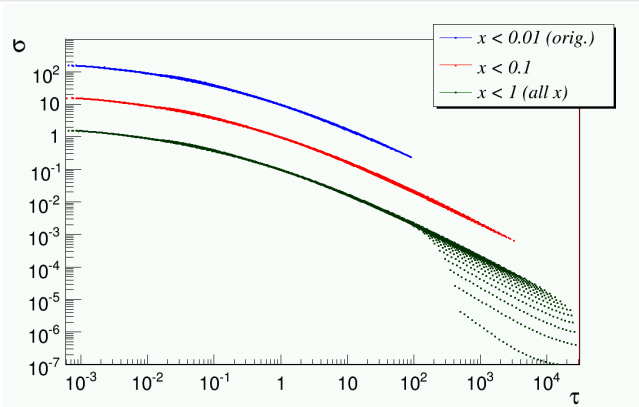
- $x < 0.01$ ,  $Q^2 < 450 \text{ GeV}^2$
- $\lambda = \lambda_{fix} = 0.34$        $\lambda_{exp} = 0.32 \pm 0.06$



# Geometric scaling in an extended window

Is this scaling a DGLAP like scaling?

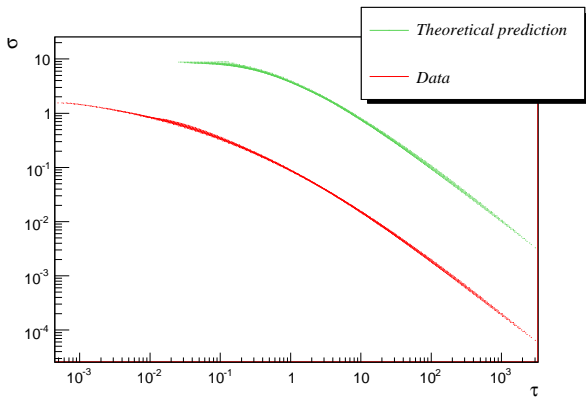
If so, it should be valid in a wider kinematic region, say  $x < 0.1$



# Our final results:

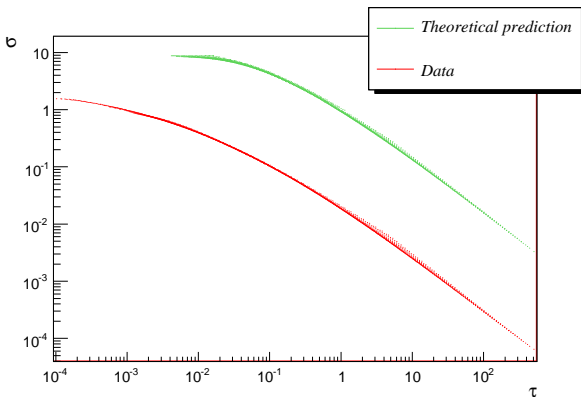
## Fixed-coupling scaling

$\lambda = \lambda_{fix} = 0.32$ ,  $x < 0.1$ ,  $Q^2 > 1 \text{ GeV}^2$  for the theoretical curve



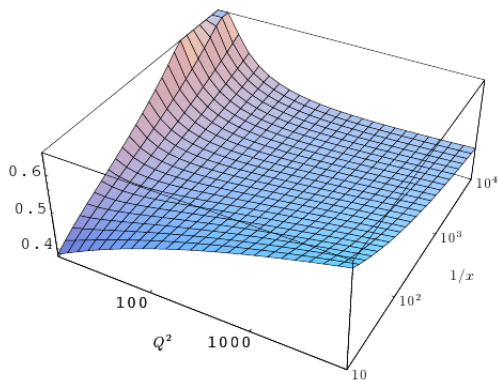
# The same with running-coupling scaling

$$\lambda = \lambda_{run} = 1.66 \quad \lambda_{exp} = 1.62 \pm 0.25$$



The DGLAP evolution can explain GS in a wide kinematic window!

# What about the small $x$ region?



At small  $x$   
Perturbative  
resummations!

By far more involved

At HERA: small  $x \rightarrow$  small  $Q^2$ , hence higher order and higher twist effects.

## What can we learn from perturbative resummation

- $\alpha_s \log 1/x$  resummation effects are smaller than one could naively expect
- Seizable effects only for  $x < 10^{-4}$
- A quadratic expansion of the BFKL kernel near its minimum is a very good approximation
- The BFKL equation must be considered at the running coupling level

see e.g. Altarelli, Ball, Forte, NPB 742 (2006); Ciafaloni, Colferai, Salam Stasto, JHEP 0708 (2007) and Chris White's talk

# Resummations and geometric scaling

## Resummation of a quadratic BFKL kernel at running coupling

- First approximation: the a.d. has a simple pole located at  $N_0 \sim 0.1 - 0.3$  leading to a fixed coupling GS with  $\lambda = N_0$
- If we consider the leading  $Q^2$  dependence of the pole: approximate running coupling GS with  $\lambda \sim 1.2 - 1.7$  (Airy resummation)
- Running coupling II scaling with  $\lambda_{RCII} = \lambda_{RC}^2$

Still compatible with the phenomenological observation!

This way a DGLAP-based GS could extend down to  $Q^2 \approx 5 \text{ GeV}^2$

# Conclusions and outlook

## So...

- In a wide kinematic region, say  $Q^2 > 10 \text{ GeV}^2$  the geometric scaling seen at HERA seems indeed a DGLAP-based scaling
- $5 \text{ GeV}^2 \lesssim Q^2 \lesssim 10 \text{ GeV}^2$ : perturbative resummations may provide an explanation for GS (Handle with care!)
- For yet lower  $Q^2$  G.S. may provide genuine evidence for parton saturation

## How can we improve these results?

- Focus on the small  $Q^2$  region
- Subasymptotic corrections in order to disentangle DGLAP and saturation-based scaling



# *Backup slides*

# A note on the running coupling derivation

Consider again the DGLAP solution in the dual form

$$G(\xi, t) \approx \int \frac{dM}{2\pi i} \exp \left( Mt + \sqrt{\xi} \frac{-2 \int_{M_0}^M \chi(\alpha_s, M') dM'}{\beta_0 \alpha_s} \right)$$

- The running coupling solution in the dual form is valid only if the kernel  $\chi$  is linear in  $\alpha_s$
- OK in the collinear approximation
- OK if  $\chi$  is a generic LO BFKL kernel
- Not OK with a generic LO DGLAP kernel! Less general than the fixed coupling case

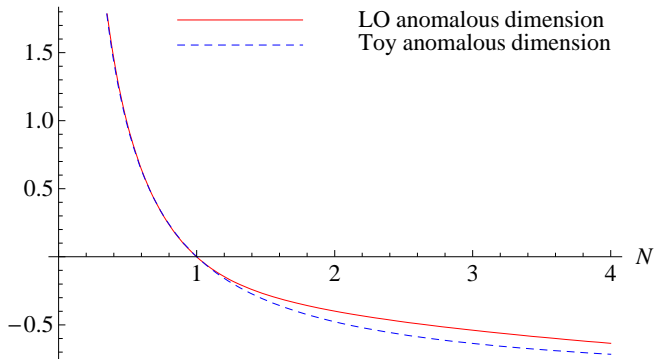
# The toy model

Consider a LO DGLAP evolution with anomalous dimension  $\gamma$  given by

$$\gamma(\alpha_s, N) = \alpha_s \frac{N_c}{\pi} \left( \frac{1}{N} - 1 \right)$$

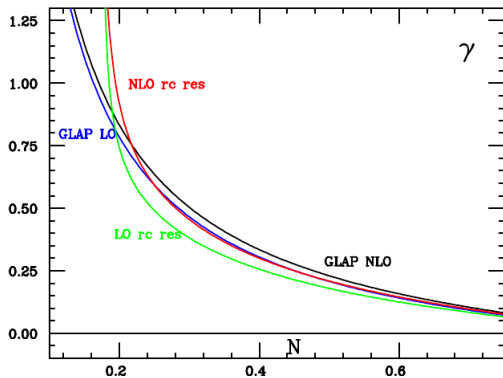
- Simple pole at  $N = 0 \rightarrow$  OK for not so small  $x$  (see e.g. Guido Altarelli's talk)
- $\gamma(\alpha_s, 1) = 0 \rightarrow$  OK with momentum conservation
- No saturation at all
- Can be solved analytically

# Not so bad for a toy model!



Quite accurate in a wide kinematic region  
(say  $x \lesssim 0.1$ ,  $Q^2 \gtrsim 10 \text{ GeV}^2$ )

# The toy model and resummations



[ALTARELLI, BALL, FORTE, NPB 742:1-40,2006.]

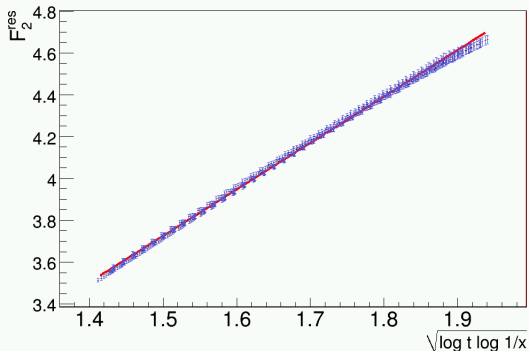
OK down to  $x \sim 10^{-4}$

# LO DGLAP evolution: a comparison with data

## Only one eigenvector

QCD prediction:  $F_2 \approx f(t, \log(1/x)) \exp \left[ 2\gamma \sqrt{\log t \log(1/x)} \right]$

Define  $F_2^{res} \equiv \log(F_2/f)$  and plot the experimental  $F_2^{res}$

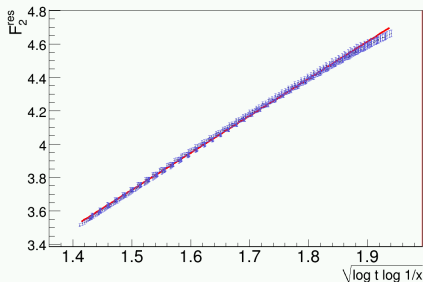


$$\gamma_{fit} = 2.22 \pm 0.004$$

$$\gamma_{th} = 2.4 \quad (n_f = 4)$$

# Both eigenvectors

This time  $F_2^{res} \equiv \log [(F_2 - \bar{G})/f]$ .

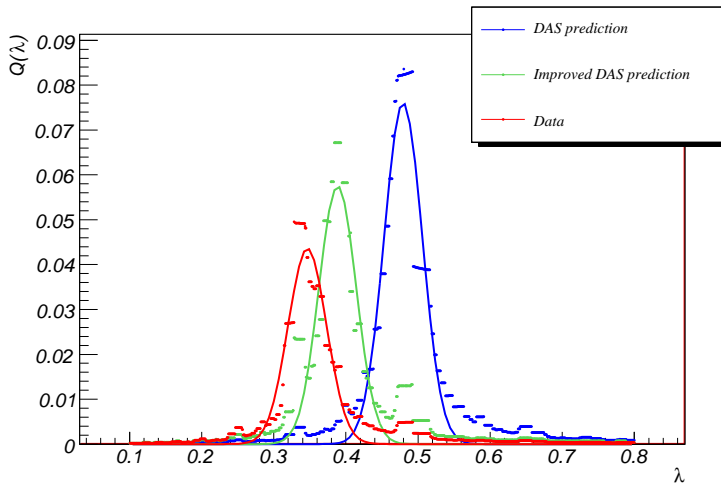


$$\begin{aligned}\gamma_{fit} &= 2.42 \pm 0.004 \\ \gamma_{th} &= 2.4 \quad (n_f = 4)\end{aligned}$$

**Good agreement theory/phenomenology**

Up to our level of accuracy, the (improved) toy model is in good agreement with data

# The quality factor: Comparison with data





## GS and resummations: the Airy case

Consider a quadratic BFKL kernel

$$\chi(\alpha_s, M) = \alpha_s \left[ c + k/2 (M - M_0)^2 \right]$$

then the r.c. resummed anomalous dimension reads

$$\gamma_A = \frac{3\beta_0 N_0^2 \alpha_s(t)}{4\pi\beta_0 + 8\pi c \alpha_s(t)} \frac{1}{N - N_0} + O[(N - N_0)^0]$$

Leading behaviour of the solution

$$\mathcal{M}^{-1} [\exp(A/(N - N_0))] \approx \exp \left[ N_0 \xi + 2\sqrt{A\xi} \right]$$

Approximate GS (modulo logarithmic deviations)

$$\sigma \approx \exp(-t + N_0 \xi)$$

## Taking into account the (leading) $Q^2$ dependence of $N_0$

$$N_0 : \left( \frac{2\beta_0 N_0}{4\pi k} \right)^{1/3} \frac{4\pi}{\beta_0} \left[ \frac{1}{\alpha_s(t)} - \frac{c}{N_0} \right] = z_0,$$

with  $z_0 = -2.338$  the first zero of the Airy Function. At large  $t$ :

$$N_0(t) = c\alpha_s(t) \left[ 1 + z_0 \left( \frac{\beta_0^2 k}{32\pi^2 c} \right)^{1/3} \alpha_s(t)^{2/3} + \dots \right]$$

Search for the "geometric line"  $N_0(t_s)\xi - t_s = 0$ :

$$t_s(\xi) = \sqrt{4\pi c/\beta_0} \sqrt{\xi} + O(\xi^{1/6})$$

R.c. geometric scaling with  $\lambda = \sqrt{4\pi c/\beta_0}$

# DGLAP evolution: subleading contributions

Low  $x$  – moderate  $Q^2$  data for  $F_2$  rescaled by the theoretical DGLAP prediction

