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# Leptogenesis

Yuval Grossman

Cornell

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# What is the problem?

# Open questions

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- Why there is basically only matter in the universe?

$$n(\bar{B}) \ll n(B)$$

- Quantitatively, can we explain

$$\eta \equiv \frac{n(B) - n(\bar{B})}{N(\gamma)} \approx 6 \times 10^{-10}$$

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$B$  stands for Baryons.  $\gamma$  stands for CMB photons

# Remarks

$$n(\bar{B}) \ll n(B) \quad \& \quad \eta \equiv \frac{n(B) - n(\bar{B})}{N(\gamma)} \approx 6 \times 10^{-10}$$

- Rather amazing that we can even ask this question
- The SM predicts an hierarchy, but it falls short in getting the numerical value of  $\eta$
- Many explanations beyond the SM. Nothing confirmed
- Not easy to probe any of these ideas as there is only one number to explain
- The solution must involve interplay of particle physics and cosmology
- Maybe neutrinos are the key to the solution

# Some vocabulary

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- Baryogenesis: The creation of net baryon number in the universe
- Leptogenesis: A nickname for “baryogenesis via leptogenesis” where lepton number is generated and this what drives baryogenesis

What about the lepton number of the universe?

# Outline

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- Very basic cosmology
- The Sakharov's conditions
- CPV
- GUT Baryogenesis
- SM Baryogenesis
- Leptogenesis

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# Very basic cosmology

# The very basic of early cosmology

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- Recall statistical mechanics
- The early universe was very hot
- While the universe expands it cools down
- While cooling down, when  $T < M$  the equilibrium density of particles reduce exponentially

$$n \propto \exp(-T/M)$$

- The actual density does not follow the equilibrium one
- How close it does? It depends on the mass and strength of interactions
- The weaker the interaction, it deviates more from its equilibrium density

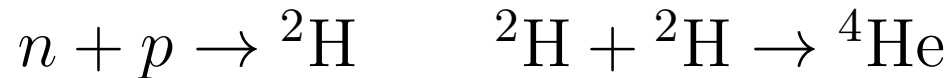


# How the baryon density is measured?

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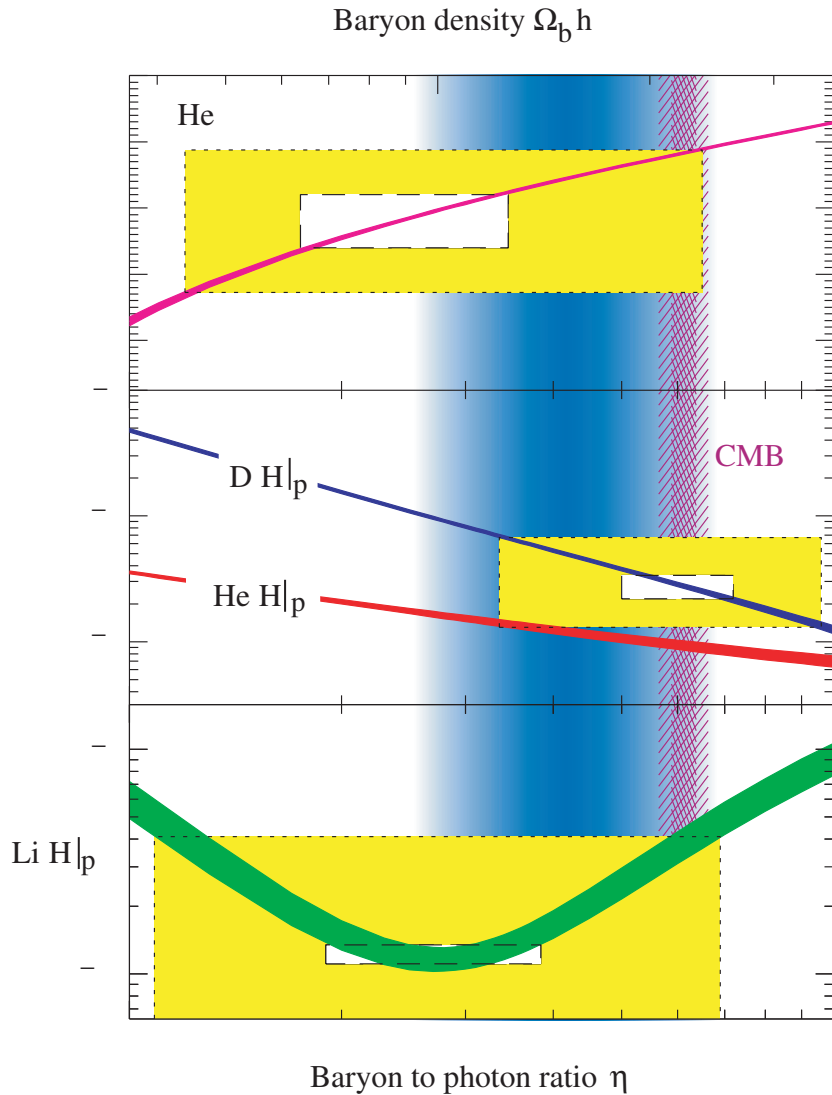
Two ways: CMB and BBN. I will only talk about BBN

- At high temperature baryons are not binded
- When the universe cooled below about 1 MeV



- The ratio of  ${}^4\text{He}$  to H depends on
  - Neutron life time (Which we can measure)
  - The baryon to photon ratio (What we are after)
  - The rate of expansion (Depend on the number of fields)
- Few other elements are also produced, like  ${}^3\text{He}$ ,  ${}^7\text{Li}$
- More predictions than parameters! It can be tested

# Data



- BBN and CMB combined

$$\eta = \frac{n(B)}{n(\gamma)} \sim 6 \times 10^{-10}$$

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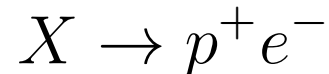
# The Sakharov's conditions

# Sakharov's conditions

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Sakharov's conditions for dynamically generated baryon asymmetry

- Baryon number violating process



- C and CP violation

$$\Gamma(X \rightarrow p^+ e^-) \neq \Gamma(\bar{X} \rightarrow p^- e^+)$$

- Deviation from thermal equilibrium

$$\Gamma(X \rightarrow p^+ e^-) \neq \Gamma(p^+ e^- \rightarrow X)$$

# Sakharov's conditions: Remarks

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- B violation requires for microscopic baryogenesis
  - C and CP violation and out of equilibrium require for macroscopic baryogenesis
  - Out of equilibrium condition: Make sure nothing can bring it back to equilibrium
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Eugene Wigner on Baryon conservation : “I can feel it in my bones”

- It is a proof that Baryon number is a very good symmetry today
  - In a way, it is also a proof that Baryon number must be broken
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# Total asymmetry

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Consider decays of particle  $X$  that generate baryons

$$\eta = N_I \epsilon \eta_a$$

- $N_I$  is the initial density of  $X$  at  $T \gg m_X$
- $\epsilon < 1$  is the CP violation asymmetry. This factor is from particle physics
- $\eta_a < 1$  is an efficiency factor due to “washout” effects. This factor is from cosmology

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# CPV

# What is CP

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- A symmetry between a particle and its anti-particle
- CP is violated if we have

$$\Gamma(A \rightarrow B) \neq \Gamma(\bar{A} \rightarrow \bar{B})$$

- It is not easy to detect CPV
  - Always need interference of two (or more) diagrams
  - These two amplitudes must differ in both their weak and strong phases



# All these phases

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- Weak phase (CP-odd phase) changes sign under CP. It is a phase in  $\mathcal{L}$

$$CP(Ae^{i\phi}) = Ae^{-i\phi}$$

- Strong phase (CP-even phase) is invariant under CP

$$CP(Ae^{i\delta}) = Ae^{i\delta}$$

- Due to time evolution  $\psi(t) = e^{-iHt}\psi(0)$  and thus needs intermediate real states
- Check for yourself that to get CPV we need both weak and strong phases

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# GUT Baryogenesis

# GUTs

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An example of a way to get Baryogenesis

- I will not get into the full story of GUTs
- For our “story” we need to know that the  $SU(3) \times SU(2) \times U(1)$  group of the SM is part of a bigger group, say  $SU(5)$
- Baryons and leptons sit in the same representation. In the  $SU(5)$  case, 5 and 10
- Breaking of the GUT group results in heavy particles that “break” baryon and lepton numbers

# $X$ and $Y$

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- Heavy spin one doublet

$$q(X) = 4/3 \quad q(Y) = 1/3$$

- The couplings give rise to

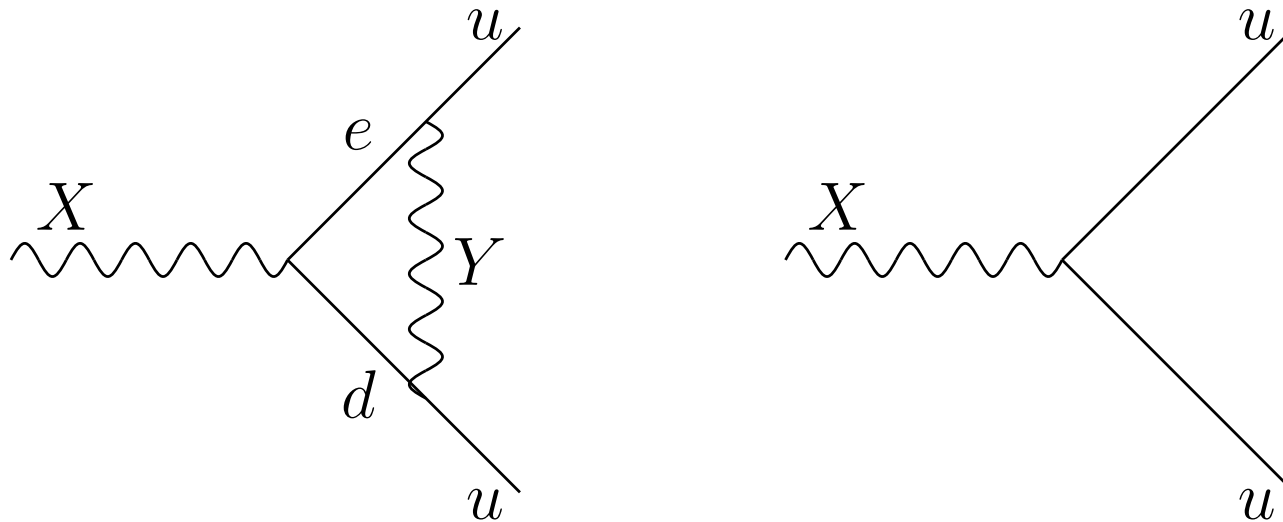
$$X \rightarrow u + u \quad X \rightarrow e^+ + \bar{d}$$

and

$$Y \rightarrow e^+ + \bar{u} \quad Y \rightarrow d + u \quad Y \rightarrow \bar{d} + \bar{\nu}_e$$

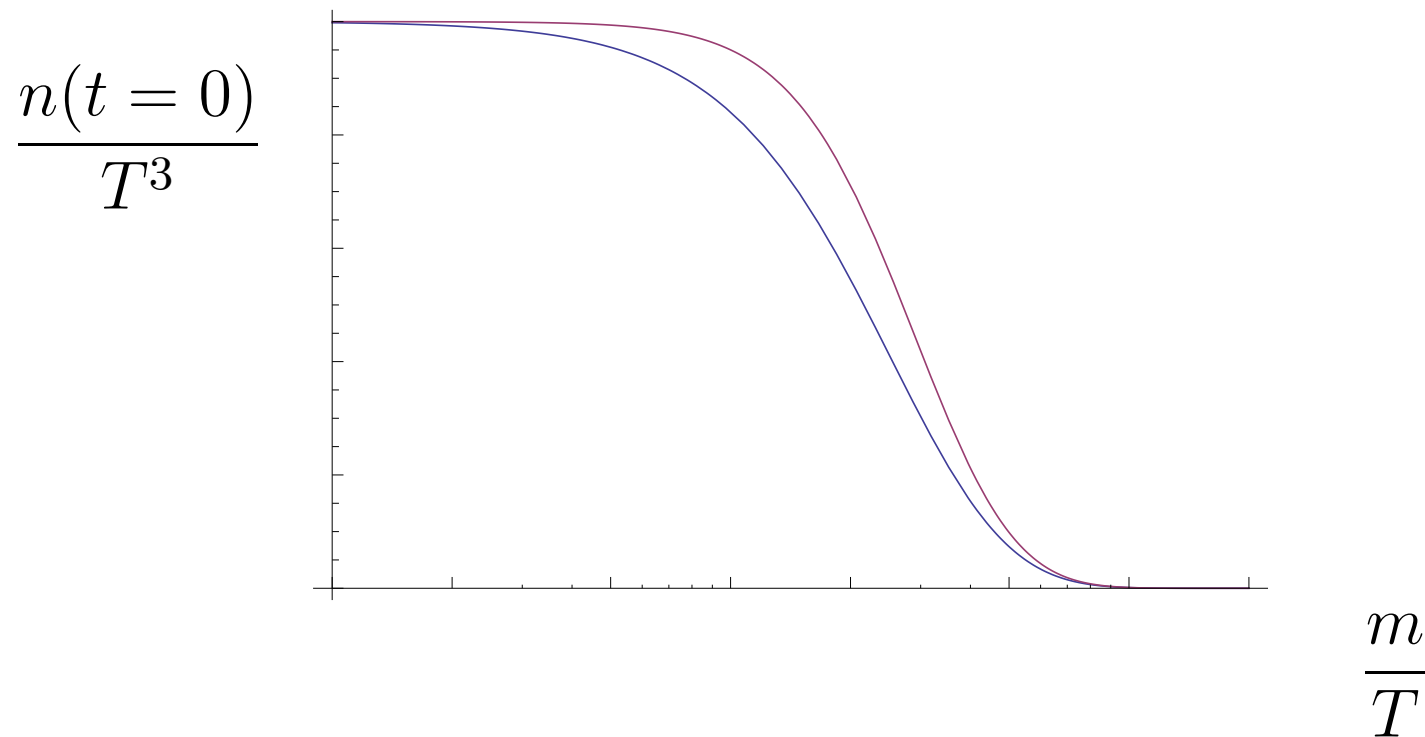
- Decays with different final state baryon number  $\Rightarrow$   
 $X$  and  $Y$  violate baryon number
- B-L is conserved.  $X$  and  $Y$  have charge  $2/3$  under it

# GUT diagrams



- $e$  and  $d$  can be on shell  $\Rightarrow$  strong phase
- Weak phase from the product of the four vertexes
- Similar diagrams for  $Y$  decay

# Out of equilibrium



- Blue: equilibrium, red: actual
- Baryogenesis by decays out of equilibrium

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# SM Baryogenesis

# SM baryogenesis

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The three Sakharov's conditions are satisfied in the SM

- Baryon number violating process: sphalerons
- The weak interaction violates C and CP
- Out of equilibrium from the electroweak phase transition

The SM, however, is not enough

$$\eta_{\text{SM}} \sim 10^{-25} \ll 10^{-10}$$



# Baryon number violation in the SM

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- At the classical renormalizable level, baryon and lepton numbers are conserved in the SM
- Non perturbative operators, however, breaks it in a very interesting way
- The processes associate with this breaking are called sphalerons
- Sphalerons conserve  $B-L$  and break  $B+L$

# The very basic of sphalerons

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Just the very basic...

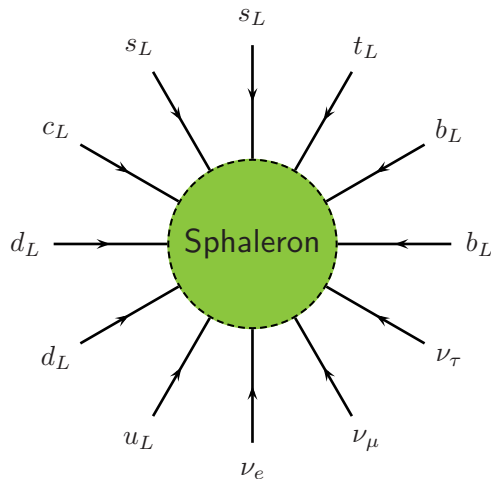
- Related to the chiral anomaly of a non abelian gauge group
- In the SM it is the SU(2)
- Non-perturbative effect. Similar to tunneling between different vacua of the theory
- At  $T = 0$ , because it is tunneling, it is exponential suppressed and negligible
- At  $T \sim v$  no need to tunnel and sphalerons are important
- At  $10^2 \lesssim T \lesssim 10^{12}$  GeV the sphalerons are in equilibrium

# Sphalerons

Non-perturbative, “tunneling”, effect which involve 3 leptons and 9 quarks

- Can lead to

$$p^+ p^+ \rightarrow p^- e^+ e^+ e^+$$



- The rate depend exponentially on the temperature,  $T$
- Too small to see it today
- Very important in the early universe
- Conserve B–L

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# Leptogenesis

# SM+N leptogenesis

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Leptogenesis in decays of heavy singlet neutrino

- Similar to GUT, here  $N$  generate lepton asymmetry
- Sakharov's conditions for generating lepton asymmetry
  - Lepton number violating process:  $N \rightarrow H^+ e^-$
  - C and CP violation

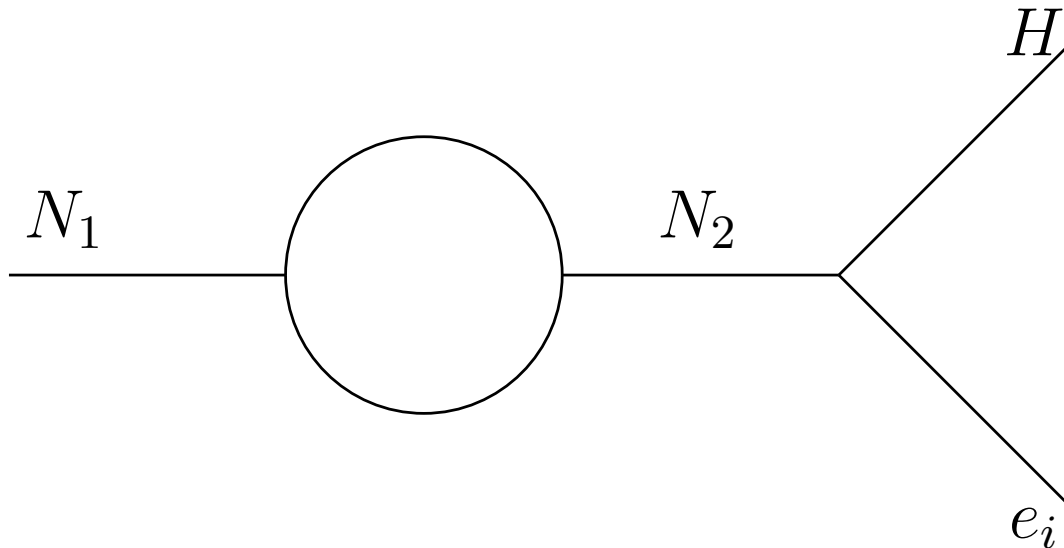
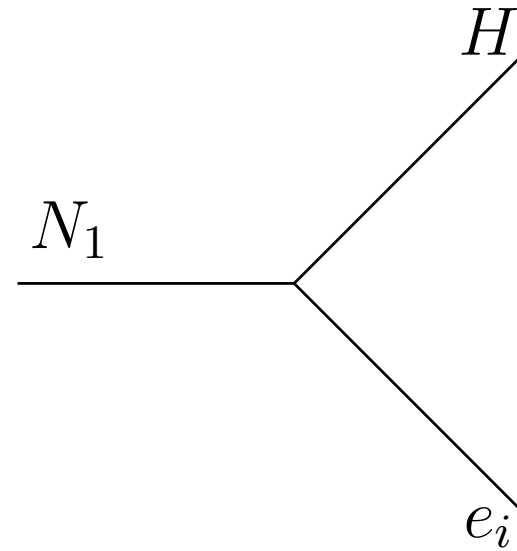
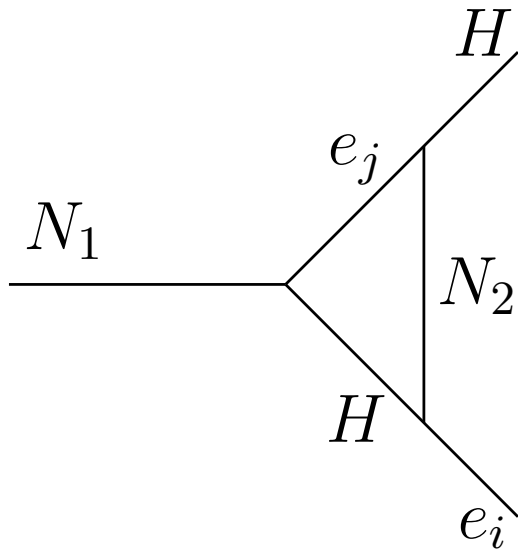
$$\Gamma(N \rightarrow H^+ e^-) \neq \Gamma(N \rightarrow H^- e^+)$$

- Deviation from thermal equilibrium.  $N$  decays when it is no more in contact with the thermal bath

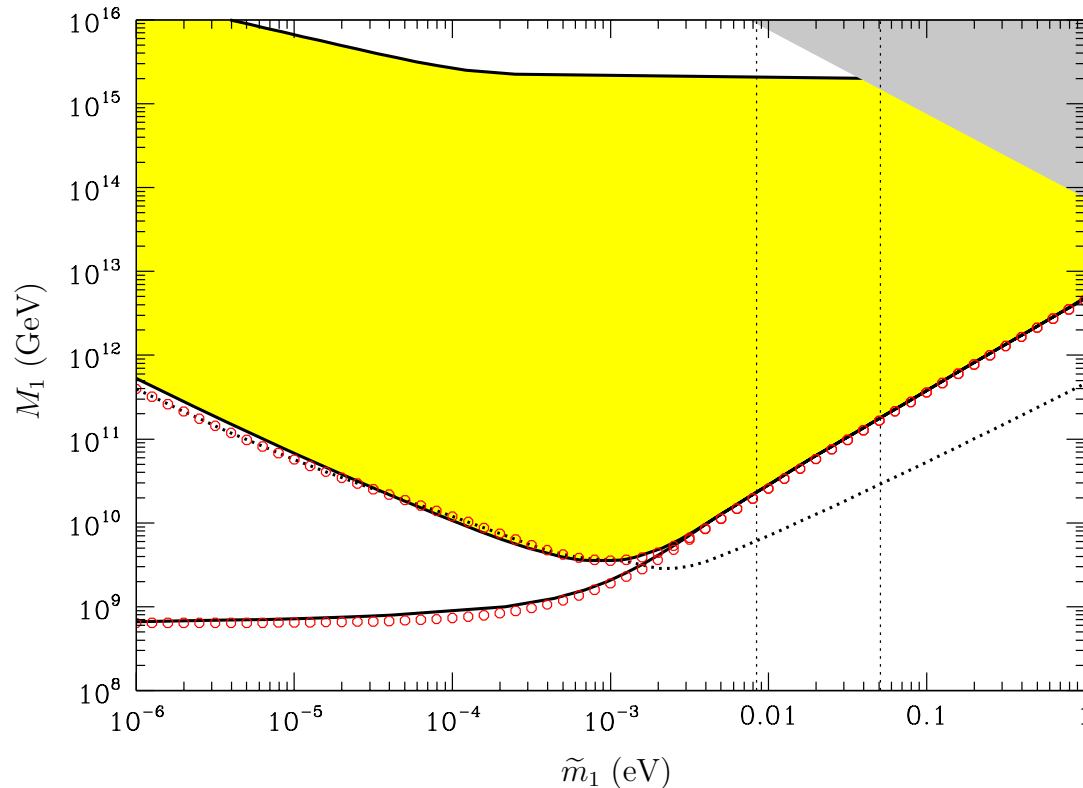
$$\Gamma(N \rightarrow H^+ e^-) \neq \Gamma(H^+ e^- \rightarrow N)$$

- Violates B–L. Sphalerons convert some L into B

# Diagrams



# Numerical prediction



- The right side of the plot is “theoretically” motivated
- The fact that both leptogenesis and oscillation data are consistent is not a trivial test

# Tests of leptogenesis

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- It is not easy to directly test leptogenesis as the heavy neutrino is too heavy,  $m_N > 10^{11}$  GeV
- Leptogenesis predicts very small lepton asymmetry in the universe. Very hard to even detect the neutrino background
- Since leptogenesis requires CP violation, we like to find CP violation also in neutrino oscillation
- Majorana mass for the neutrinos can be probed with neutrinoless double beta decay
- The big “issue” with LG is that it is not easy to test
- Yet it passed one non trivial test!



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# Conclusions

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- Leptogenesis is one possibility to explain baryogenesis
- The fact that it passed one non-trivial test is far from trivial
- It will be so nice if we find other ways to probe this idea

