General Boundary Formulation of Quantum Theory and its relevance for the problem of Quantum Gravity

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#### Contents

- Motivations for a new formulation of quantum theory
   The problem of quantum gravity
- 2 The General Boundary Formulation of quantum theory
  - Basic structures
  - Core axioms
  - Generalized probability interpretation and transition probability
  - Recovering of standard results
- 3 GBF and quantum field theory
  - Schrödinger-Feynman quantization
  - Holomorphic quantization
  - General Boundary QFT in Minkowski spacetime
  - Standard setting
  - Hypercylinder: Spatially asymptotic S-matrix

4 Conclusions

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### Main difficulties

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No quantum gravitational effect has been detected

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- In GR, spacetime is a physical and dynamical system

#### Conceptual difficulties

- The problem of time
- Lack of a manifest local description of dynamics

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# Locality

Locality is not manifest in QT and arises dynamically:

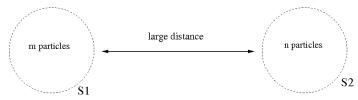
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• In quantum field theory in Minkowski spacetime, causally separated systems are independent; cluster decomposition of the *S*-matrix:  $S = S_1 S_2$ 

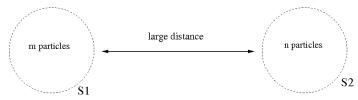


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• The notion of causal separation relies on the background metric. In a background independent context there is no way to isolate the system from the rest of the universe.

#### Conclusion

The standard formulation of QT has limitations that obstruct its application in a general relativistic context.

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#### Question

Can we sufficiently extend the standard formulation of QT in order to render it compatible with the symmetries of GR?

- no explicit reference to a background (space)time
- description of physics in a manifestly local way
- ability to reproduce known physics

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YES, using:

- The mathematical framework of topological quantum field theory.
- A generalization of the Born rule.

### Outline

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- Basic structures
- Core axioms
- Generalized probability interpretation and transition probability
- Recovering of standard results

#### **3** GBF and quantum field theory

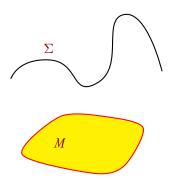
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Geometric structures (representing pieces of spacetime):

- hypersurfaces: oriented manifolds of dimension d-1
- regions: oriented manifolds of dimension *d* with boundary



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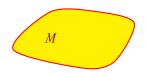
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• To  $\Sigma$  a Hilbert space  $\mathscr{H}_{\Sigma}$ 



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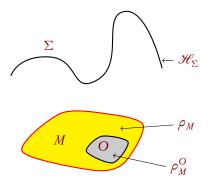
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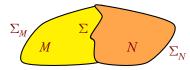
Algebraic structures:

- To  $\Sigma$  a Hilbert space  $\mathscr{H}_{\Sigma}$
- To *M* a linear amplitude map  $\rho_M : \mathscr{H}_{\partial M} \to \mathbb{C}$
- As in AQFT, observables are associated to spacetime regions: An observable O in a region M is a linear map  $\rho_M^O : \mathcal{H}_{\partial M} \to \mathbb{C}$ , called observable map.

#### Core axioms

These algebraic structures are subject to a number of axioms, in the spirit of TQFT.

- If  $\overline{\Sigma}$  denote  $\Sigma$  with opposite orientation, then  $\mathscr{H}_{\overline{\Sigma}} = \mathscr{H}_{\Sigma}^*$ .
- (Decomposition rule) If  $\Sigma = \Sigma_1 \cup \Sigma_2$ , then  $\mathscr{H}_{\Sigma} = \mathscr{H}_{\Sigma_1} \otimes \mathscr{H}_{\Sigma_2}$ .
- (Gluing rule) If *M* and *N* are adjacent regions, then  $\rho_{M\cup N} = \rho_M \circ \rho_N$ . The composition  $\circ$  involves a sum over a complete basis on the boundary hypersurface  $\Sigma$  shared by *M* and *N*.



$$\rho_{M\cup N}(\psi_M \otimes \psi_N) = \rho_M \circ \rho_N(\psi_M \otimes \psi_N) = \sum_i \rho_M(\psi_M \otimes \xi_i) \rho_N(\xi_i^* \otimes \psi_N)$$

where  $\psi_M \in \mathcal{H}_M, \psi_N \in \mathcal{H}_N$  and  $\{\xi_i\}$  is an ON-basis of  $\mathcal{H}_{\Sigma}$ .

# Generalized probability interpretation

In quantum theory, probabilities are generally conditional probabilities: probability to observe a specific state given that some other specific state was prepared. Then probability depends on two type of data: *preparation* and *observation*.

In the GBF, both type of data encoded through closed subspaces of the state space  $\mathscr{H}_{\partial M}$ :

- $\mathscr{S} \subset \mathscr{H}_{\partial M}$  representing *preparation*
- $\mathscr{A} \subset \mathscr{H}_{\partial M}$  representing observation

The probability that the system is described by  $\mathscr{A}$  given that it is described by  $\mathscr{S}$  is:

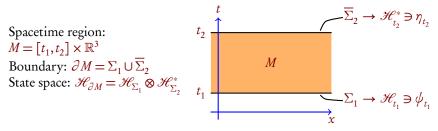
$$P(\mathscr{A}|\mathscr{S}) = \frac{|\rho_{M} \circ P_{\mathscr{S}} \circ P_{\mathscr{A}}|^{2}}{|\rho_{M} \circ P_{\mathscr{S}}|^{2}} = \frac{\sum_{i \in J} |\rho_{M}(\xi_{i})|^{2}}{\sum_{i \in J} |\rho_{M}(\xi_{i})|^{2}}$$

P<sub>S</sub> and P<sub>S</sub> are the orthogonal projectors onto the subspaces.
{ξ<sub>i</sub>}<sub>i∈I</sub> is an ON-basis of S, {ξ<sub>i</sub>}<sub>i∈J</sub> is an ON-basis of A.

### Recovering of standard results (I)

A consistent probability interpretation exists and standard probabilities and expectation values can be recovered from the maps.

• Standard transition amplitudes of QFT can be recover from the GBF:



$$\rho_{[t_1,t_2]}(\psi_{t_1} \otimes \eta_{t_2}) = \langle \eta | U(t_1,t_2) | \psi \rangle$$

### Recovering of standard results (II)

• The generalized probability reduces to a standard transition probability for a standard transition amplitude. The preparation corresponds to the subspace  $\mathscr{S} = \psi \otimes \mathscr{H}_{t_2} \subset \mathscr{H}_{\partial M}$ .

The observation corresponds to the subspace  $\mathcal{A} = \mathcal{H}_{t_1} \otimes \eta \subset \mathcal{H}_{\partial M}$ .

$$P(\mathscr{A}|\mathscr{S}) = \frac{|\rho_{\mathcal{M}} \circ P_{\mathscr{S}} \circ P_{\mathscr{A}}|^{2}}{|\rho_{\mathcal{M}} \circ P_{\mathscr{S}}|^{2}} = \frac{|\rho_{\mathcal{M}}(\psi \otimes \eta)|^{2}}{1} = |\langle \eta | U(t_{1}, t_{2}) | \psi \rangle|^{2}$$

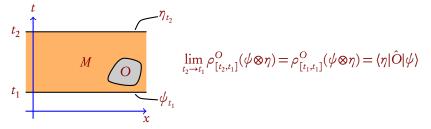
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• Conventional expectation values of observable can be recovered



## Advantages of the GBF

The general boundary formulation appears to be interesting for several reasons,

- it is development of standard quantum theory compatible with known physics;
- the versatility of the GBF, where general spacetime regions are considered, offers a new perspective on QT and its foundations and a better understanding of its geometrical aspects (holographic principle, boundaries, horizons);
- it can treat situations where standard QT fails:
  - ► QFT in presence of a static black hole (rigorous treatment implementable with the hypercylinder geometry)
  - S-matrix in Anti-de Sitter spacetime;
- it may solve some of the conceptual problems of background independent QFT (problem of time, local description of dynamics).
- it is compatible with other approaches to quantum gravity (Spin Foam models, Group Field Theory)

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### GBF and QFT

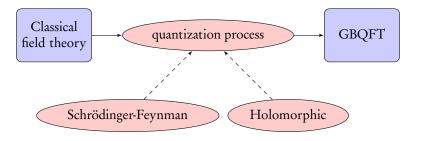
#### Standard QFT can be formulated within the GBF

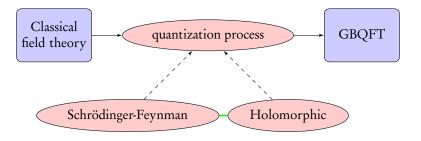
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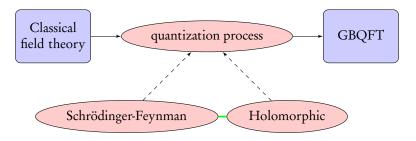


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**Results:** 

- An isomorphism can be constructed between the Hilbert spaces in the two representations
- The GBF axioms are satisfied by these quantization prescriptions
- Standard QFT fits into the GBF.

# Schrödinger-Feynman quantization

- Schrödinger representation + Feynman path integral quantization The state space  $\mathscr{H}_{\Sigma}$  for a hypersurface  $\Sigma$  is the space of functions on field configurations  $K_{\Sigma}$  on  $\Sigma$ .
- Inner product,

$$\langle \psi_2 | \psi_1 \rangle = \int_{K_{\Sigma}} \mathscr{D} \varphi \, \psi_1(\varphi) \overline{\psi_2(\varphi)}.$$

• Amplitude for a region  $M, \psi \in \mathscr{H}_{\partial M}$ ,

$$\rho_{M}(\psi) = \int_{K_{\partial M}} \mathscr{D}\varphi \,\psi(\varphi) \int_{K_{M},\phi|_{\partial M}=\varphi} \mathscr{D}\phi \,e^{\mathrm{i}S_{M}(\phi)}.$$

• A classical observable F in M is modeled as a function on  $K_M$ . The quantization of F is the linear map  $\rho_M^F : \mathscr{H}_{\partial M} \to \mathbb{C}$  defined as

$$\rho_M^F(\psi) = \int_{K_{\partial M}} \mathscr{D}\varphi \,\psi(\varphi) \int_{K_M, \phi|_{\partial M} = \varphi} \mathscr{D}\phi F(\phi) e^{\mathrm{i}S_M(\phi)}.$$

# Holomorphic quantization (I)

- Linear field theory:  $L_{\Sigma}$  is the vector space of solutions near the hypersurface  $\Sigma$ .
- $L_{\Sigma}$  carries a non-degenerate symplectic structure  $\omega_{\Sigma}$  and a complex structure  $J_{\Sigma}: L_{\Sigma} \rightarrow L_{\Sigma}$  compatible with the symplectic structure:

$$J_{\Sigma}^2 = -\mathrm{id}_{\Sigma}$$
 and  $\omega_{\Sigma}(J_{\Sigma}(\cdot), J_{\Sigma}(\cdot)) = \omega_{\Sigma}(\cdot, \cdot).$ 

- J<sub>Σ</sub> and ω<sub>Σ</sub> combine to a real inner product g<sub>Σ</sub>(·,·) = 2ω<sub>Σ</sub>(·,J<sub>Σ</sub>·) and to a complex inner product {·,·}<sub>Σ</sub> = g<sub>Σ</sub>(·,·) + 2iω<sub>Σ</sub>(·,·) which makes L<sub>Σ</sub> into a complex Hilbert space.
- The Hilbert space ℋ<sub>Σ</sub> associated with Σ is the space of holomorphic functions on L<sub>Σ</sub> with the inner product

$$\langle \psi, \psi' \rangle_{\Sigma} = \int_{L_{\Sigma}} \overline{\psi(\phi)} \psi'(\phi) \exp\left(-\frac{1}{2}g_{\Sigma}(\phi, \phi)\right) d\mu(\phi),$$

where  $\mu$  is a (fictitious) translation-invariant measure on  $L_{\Sigma}$ .

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# Holomorphic quantization (II)

• The amplitude map  $\rho_M : \mathscr{H}_{\partial M} \to \mathbb{C}$  associated with the spacetime region *M* for a state  $\psi \in \mathscr{H}_{\partial M}$  is given by

$$\rho_M(\psi) = \int_{L_{\Sigma}} \psi(\phi) \exp\left(-\frac{1}{4}g_{\partial M}(\phi,\phi)\right) d\mu_{\tilde{M}}(\phi).$$

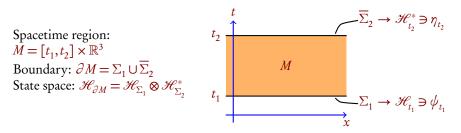
• The observable map associated to a classical observable F in a region M is

$$\rho_M^F(\phi) = \int_{L_{\Sigma}} \psi(\phi) F(\phi) \exp\left(-\frac{1}{4} g_{\partial M}(\phi, \phi)\right) d\mu_{\tilde{M}}(\phi).$$

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# Klein-Gordon theory in Minkowski

The S-matrix technique is used to describe interacting QFT:



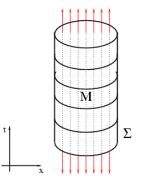
Assume interaction is relevant only between the initial time  $t_1$  and the final time  $t_2$ . The S-matrix is the asymptotic limit of the amplitude between free states at early and at late time:

$$\langle \psi_2 | \mathscr{S} | \psi_1 \rangle = \lim_{\substack{t_1 \to \infty \\ t_2 \to +\infty}} \langle \psi_2 | U_{int}(t_1, t_2) | \psi_1 \rangle = \lim_{\substack{t_1 \to \infty \\ t_2 \to +\infty}} \rho^U_{[t_1, t_2] \times \mathbb{R}^3}(\psi_1 \otimes \psi_2^*)$$

# Spatially asymptotic S-matrix

Similarly, we can describe interacting QFT via a spatially asymptotic amplitude. Assume interaction is relevant only within a radius R from the origin in space (but at all times). Consider then the asymptotic limit of the amplitude of a free state on the hypercylinder when the radius goes to infinity:

$$\mathscr{S}(\psi) = \lim_{R \to \infty} \rho_R(\psi)$$



#### Result

The S-matrices are equivalent when both are valid.

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#### Conclusions

- The general boundary formulation is a new powerful formulation of quantum theory.
- Many results have been obtained:
  - quantisation prescriptions (description of quantum states on timelike hypersurfaces) [Oeckl 2008, Oeckl 2012]
  - ▶ unitarity of evolution for QFT in curved space [DC, Oeckl 2011]
  - new S-matrices type asymptotic amplitudes [DC, Oeckl 2008; DC 2009; Dohse 2011; 2012]
  - ► GBQFT in Euclidean space [DC, Oeckl 2009]
  - de Sitter (derivation of the Polyakov propagator) [DC 2010; 2015]
  - Anti de Sitter (S-matrix for the hyper cylinder) [DC, Dohse, Oeckl 2012]
  - Rindler [DC, Rätzel 2014]
  - Unruh effect [DC, Rätzel 2012]
- It is a work in progress...

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