

Elliptically fibered Calabi-Yau threefolds: mirror symmetry and Jacobi forms

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SK, A. Klemm, and M. Huang [arXiv:1501.04891](https://arxiv.org/abs/1501.04891) and work in progress

Geometry of the Main Example

- $\pi : X \rightarrow \mathbf{P}^2$ elliptically fibered Calabi-Yau threefold
- $X = \widetilde{X}_{18} \rightarrow X_{18} \subset \mathbf{P}(1, 1, 1, 6, 9)$, blowup $x_1 = x_2 = x_3 = 0$,
Candelas, Font, K, Morrison hep-th/9403187
- $\pi(x_1, \dots, x_5) = (x_1, x_2, x_3)$
- $\ell \subset \mathbf{P}^2$ line, $L = \pi^*\ell$, $E \subset X$ exc. div., a section of π
- Kähler cone generated by $\{H = E + 3L, L\}$
- Dual generators of Mori cone $\{f, \ell\}$, where $\ell \subset E \simeq \mathbf{P}^2$ and f elliptic fiber

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The Mirror Geometry

- $X^\circ \subset \mathbf{P}(1, 1, 1, 6, 9)/G$,

$$x_1^{18} + x_2^{18} + x_3^{18} - 18\psi x_1 x_2 x_3 x_4 x_5 - 3\phi x_1^6 x_2^6 x_3^6 = 0$$

- Additional symmetries:
- $(\psi, \phi) \mapsto (\zeta\psi, \zeta^6\phi)$, $\zeta = \exp(2\pi i/18)$
- $I: (\rho, \phi) \mapsto (i\rho, \phi + \rho^6)$, $\rho := (2 \cdot 3^4)^{1/3}\psi$, $I^*(\Omega) = -\Omega$
- Invariant coordinates at large complex structure (maximal unipotent monodromy) $(s_1, s_2) = (\phi\psi^{-6}, \phi^{-3})$
- Become $(q, \tilde{Q}) = (\exp(2\pi i\tau), \exp(2\pi i\tilde{t}))$ in flat coordinates after mirror map

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- From periods observe an $SL(2, \mathbf{Z})$ action on a divisor with equation $Q = q^{3/2} \tilde{Q} = 0$, $S(Q) = -Q$, $T(Q) = -Q$
- So we shift coordinates to see modularity
- For later use, note that Q satisfies the same multiplier system as η^{12}

$$\eta^{12}(\tau + 1) = \eta^{12} \begin{pmatrix} 1 \\ -\frac{1}{\tau} \end{pmatrix} = -\eta^{12}(\tau)$$

Shifted Coordinates

- $T := H + (3/2)L$, work in basis $\{T, L\}$
- $\omega = \tau T + tL = \tau H + (t + (3/2)\tau)L$
- Will explain this shift directly using homological mirror symmetry
- Topological string partition function $Z = Z(t, \tau, \lambda)$ (GW, PT, DT)
- $q = \exp(2\pi i\tau)$, $Q = \exp(2\pi it)$, $\lambda = 2\pi z$

$$Z = Z_0(\tau, z) \left(1 + \sum_{d=1}^{\infty} Z_d(\tau, z) Q^d \right),$$

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The Main Claim

- $Z = Z_0(\tau, z) \left(1 + \sum_{d=1}^{\infty} Z_d(\tau, z) Q^d\right)$
- $Z_d(\tau, z)$ is a weak Jacobi form of weight zero and index $d(d-3)/2$ with multipliers



$$Z_d = \frac{\phi_d(\tau, z)}{\eta(\tau)^{36d} \prod_{k=1}^d \phi_{-2,1}(\tau, kz)},$$

where $\phi_d(\tau, z)$ is a weak Jacobi form of weight $16d$ and index $(1/3)d(d-1)(d+4)$

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- Appearance of Jacobi forms in related situations
 - Elliptic genus of E-strings [Haghighat, Lockhart, Vafa 1406.0850](#); [Kim, Kim, Lee, Park, Vafa 1411.2324](#); [Cai, Huang, Sun 1411.2801](#)
 - 6D SCFT [Haghighat, Klemm, Lockhart, Vafa, 1412.3152](#)
- $E_2 \mapsto \widehat{E}_2 = E_2 - 3/(\pi\tau_2)$ sends $Z \mapsto \mathfrak{z}$. Jacobi form ansatz implies \mathfrak{z} automatically satisfies modular anomaly equation from [Alim, Scheidegger, Yau, Zhou 1205.1784, 1306.0002](#)
- We can now *derive* the modular anomaly equation directly from the wave function version of the holomorphic anomaly equation [Bershadsky, Cecotti, Ooguri, Vafa hep-th/9302103](#), [Witten hep-th/9306122](#)

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$$\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}, \tau_\gamma = \frac{a\tau + b}{c\tau + d}, z_\gamma = \frac{z}{c\tau + d}$$

- **Definition** A *Jacobi form of weight k and index m* is a function $\phi(\tau, z)$ satisfying

$$\phi(\tau_\gamma, z_\gamma) = (c\tau + d)^k e^{\frac{2\pi imcz^2}{c\tau + d}} \phi(\tau, z)$$

$$\phi(\tau, z + \lambda\tau + \mu) = e^{-2\pi im(\lambda^2\tau + 2\lambda z)} \phi(\tau, z)$$

- A modular form of weight k is a weak Jacobi form of weight k and index 0 and conversely
- ϕ has Fourier expansion
$$\phi = \sum_{n,r} c(n, r) q^n y^r, \quad y = \exp(2\pi iz)$$
- A *weak Jacobi form* satisfies $c(n, r) = 0$ unless $n \geq 0$, i.e. holomorphic in q and z (but not necessarily in y)



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Structure of Weak Jacobi Forms

- Have a weak Jacobi form of weight -2 and index 1

$$\phi_{-2,1} = (y - 2 + y^{-1}) \prod_{n=1}^{\infty} \frac{(1 - yq^n)^2 (1 - y^{-1}q^n)^2}{(1 - q^n)^4}$$

- $\phi_{0,1} = (1/2)$ (elliptic genus of K3) is a weak Jacobi form of weight 0 and index 1
- **Proposition.** The weak Jacobi forms form a \mathbf{C} -algebra, bigraded by weight and index. This algebra is freely generated by $E_4, E_6, \phi_{0,1}$, and $\phi_{-2,1}$

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- $\phi_1(\tau, z)$ has weight $16d = 16$ and index $(1/3)d(d-1)(d+4) = 0$
- So ϕ_1 is an ordinary modular form of weight 16
- $\phi_1 = \alpha E_4^4 + \beta E_4 E_6^2$ for some α, β
- ϕ_1 , hence Z_1 is completely determined by 2 BPS (or PT) invariants!
- Curves in class $\beta = \ell$ are parametrized by lines in \mathbf{P}^2 , a \mathbf{P}^2 , so $n_\ell^0 = \chi(\mathbf{P}^2) = 3$
- Curves in class $\beta = \ell + f$ are the union of a line and a fiber, parametrized by a \mathbf{P}^1 -bundle over \mathbf{P}^2 , $n_{\ell+f}^1 = -\chi = -6$
- $\phi_1 = (1/48)(31E_4^4 + 113E_4E_6^2)$

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Table of $d = 1$ BPS Numbers

| $g \backslash e$ | 0 | 1 | 2 | 3 | 4 | 5 |
|------------------|---|-------|--------|-----------|-------------|---------------|
| 0 | 3 | -1080 | 143370 | 204071184 | 21772947555 | 1076518252152 |
| 1 | 0 | -6 | 2142 | -280284 | -408993990 | -44771454090 |
| 2 | 0 | 0 | 9 | -3192 | 412965 | 614459160 |
| 3 | 0 | 0 | 0 | -12 | 4230 | -541440 |
| 4 | 0 | 0 | 0 | 0 | 15 | -5256 |
| 5 | 0 | 0 | 0 | 0 | 0 | -18 |
| 6 | 0 | 0 | 0 | 0 | 0 | 0 |

Table: Some BPS invariants for base degree $d = 1$. Infinitely many BPS numbers have been verified geometrically

- $k = 32, m = 4$
- Have a 17-dimensional space of weak Jacobi forms of weight 32 and index 4
- Constraints: enumerative geometry (which suffices in this case), holomorphic anomaly, conifold gap condition, and invariance under I . Many more than 17 constraints, yet have a unique solution
- Following Zagier, put $A = \phi_{-2,1}, B = \phi_{0,1}, Q = E_4, R = E_6$
- $$\begin{aligned} \phi_2 = & (1/23887872)B^4Q^2(31Q^3 + 113R^2)^2 + \\ & (1/1146617856)[2507892B^3AQ^7R + 9070872B^3AQ^4R^3 + \\ & 2355828B^3AQR^5 + 36469B^2A^2Q^9 + 764613B^2A^2Q^6R^2 - \\ & 823017B^2A^2Q^3R^4 + 21935B^2A^2R^6 - 9004644BA^3Q^8R - \\ & 30250296BA^3Q^5R^3 - 6530148BA^3Q^2R^5 + 31A^4Q^{10} + \\ & 5986623A^4Q^7R^2 + 19960101A^4Q^4R^4 + 4908413A^4QR^6] \end{aligned}$$

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Table of $d = 2$ BPS Numbers

| $g \backslash e$ | 0 | 1 | 2 | 3 | 4 | 5 |
|------------------|----|------|---------|----------|--------------|---------------|
| 0 | -6 | 2700 | -574560 | 74810520 | -49933059660 | 7772494870800 |
| 1 | 0 | 15 | -8574 | 2126358 | 521856996 | 1122213103092 |
| 2 | 0 | 0 | -36 | 20826 | -5904756 | -47646003780 |
| 3 | 0 | 0 | 0 | 66 | -45729 | 627574428 |
| 4 | 0 | 0 | 0 | 0 | -132 | -453960 |
| 5 | 0 | 0 | 0 | 0 | 0 | -5031 |
| 6 | 0 | 0 | 0 | 0 | 0 | -18 |
| 7 | 0 | 0 | 0 | 0 | 0 | 0 |
| 8 | 0 | 0 | 0 | 0 | 0 | 0 |

Table: Some BPS invariants for $d = 2$. Infinitely many PT invariants have been verified geometrically.

Further Results

- Have found ϕ_d for $d \leq 7$
- We expect our methods to work in principle to determine ϕ_d for $d \leq 20$
- This gives all BPS invariants for $d \leq 20$ and all g and e
- We expect the ϕ_d for $d \leq 20$ to determine the F_g for $g \leq 189$ by solving the holomorphic anomaly equation
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$$p_a \leq p_a(d, e) = de - \frac{1}{2} (3d^2 - d - 2)$$

- Regularity of $P_d^g = \eta^{36d} F_d^g$ in B-model
- Invariance of F^g under I (studied previously in 1306.0002)
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Generalizations

- $\pi : X \rightarrow B$ elliptic fibration with a unique section E , fibers irreducible in codimension 1
- Curve class $\beta + df$, $\beta \in H_2(E, \mathbf{Z}) = H_2(B, \mathbf{Z})$
- Shift coordinates by $c_1(B)/2$
- $Z = Z_0(\tau, z) \left(1 + \sum_{\beta} Z_{\beta}(\tau, z) Q^{\beta} \right)$
- $Z_{\beta}(\tau, z)$ is a weak Jacobi form of weight zero and index $\beta \cdot (\beta - c_1(B))/2$ with multipliers

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$$Z_{\beta} = \frac{\phi_d(\tau, Z)}{\eta(\tau)^{12c_1(B) \cdot \beta} \prod_{j=1}^{b_2(B)} \prod_{k=1}^{\beta_j} \phi_{-2,1}(\tau, kZ)},$$

where $\phi_d(\tau, Z)$ is a weak Jacobi form of determined weight and index.

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- X is itself moduli of sheaves on X supported on fibers

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where $f_\rho = \pi^{-1}(\pi(\rho))$ is the fiber over ρ and $\rho_0 = f_\rho \cap E$

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- $S(F^\bullet) = R\pi_{2*} \left(L\pi_1^* F^\bullet \otimes^L \mathcal{P} \otimes \mathcal{O}_X(\pi^*c_1/2) \right)$
- Roughly, $S(\mathcal{F}^\bullet)_\rho = H^*(\mathcal{F}^\bullet \otimes \mathcal{P}_\rho \otimes \mathcal{O}_X(c_1/2))$
- $T(F^\bullet) = F^\bullet \otimes \mathcal{O}_X(E + \pi^*c_1(B)/2)$

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- Only nonzero BPS invariants in multiple of fiber class

$$n_0^0 = -\chi(X)/2, \quad n_{kf}^0 = -\chi(X) = 60c_1^2, \quad n_{kf}^1 = \chi(B), \quad (k > 0)$$

Y. Toda math/1103.4229

- Determines all Z_0 and F_0^g . In particular

$$F_0^g = \frac{15c_1^2 B_{2g} B_{2g-2}}{2g(g-1)(2g-2)!} E_{2g-2}(q), \quad g \geq 2$$

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- Only nonzero BPS invariants in multiple of fiber class

$$n_0^0 = -\chi(X)/2, \quad n_{kf}^0 = -\chi(X) = 60c_1^2, \quad n_{kf}^1 = \chi(B), \quad (k > 0)$$

Y. Toda math/1103.4229

- Determines all Z_0 and F_0^g . In particular

$$F_0^g = \frac{15c_1^2 B_{2g} B_{2g-2}}{2g(g-1)(2g-2)!} E_{2g-2}(q), \quad g \geq 2$$

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$$\left(\frac{\partial}{\partial \bar{z}^\alpha} + \frac{\lambda^2}{2} C_{\bar{\alpha}}^{\beta\gamma} D_{z^\beta} D_{z^\gamma} \right) \mathfrak{z} = 0$$

$C_{\bar{\alpha}}^{\beta\gamma} = e^{2K} \bar{C}_{\bar{\alpha}\bar{\beta}\bar{\gamma}} G^{\bar{\beta}\beta} G^{\bar{\gamma}\gamma}$, G metric on moduli space of X° ,
 C Yukawa coupling, D covariant derivative

- Calculate metric and Yukawa couplings at large complex structure to conclude from holomorphic anomaly in shifted coordinates

$$\left(\frac{1}{2\pi i} \frac{\partial}{\partial \bar{\tau}} - \frac{\lambda^2}{8\tau_2^2} \left(\beta - \frac{c_1(B)}{2} \right) \right)^2 \mathfrak{z}_\beta = 0$$



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Almost holomorphic modular anomaly

- Rewrite in terms of almost holomorphic modular forms and use \mathfrak{F}^2 result

$$\left(\frac{\partial}{\partial \widehat{E}_2} - \frac{z^2}{24} \beta (\beta - c_1(B)) \right) \mathfrak{Z}_d = 0$$

- Holomorphic limit

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Gopakumar-Vafa hep-th/9812127

- From $g = 0$ can have poles at torsion points of z
- Back to \mathbf{P}^2 to simplify notation, Q^d has a zero of order $3d/2$ at $q = 0$ due to shift, introducing a pole of order $3d/2$ in Z^d to compensate
- $\phi_{-2,1}(\tau, z)$ has a zero at $z = 0$

- $$Z_d(\tau, z) \eta(\tau)^{36d} \prod_{k=1}^d \phi_{-2,1}(\tau, kz)$$

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- Letting

$$\phi_d(\tau, z) = Z_d(\tau, z) \eta(\tau)^{36d} \prod_{k=1}^d \phi_{-2,1}(\tau, kz)$$

we conclude that

$$Z_d = \frac{\phi_d(\tau, z)}{\eta(\tau)^{36d} \prod_{k=1}^d \phi_{-2,1}(\tau, kz)}$$

- Refined $SL_2 \times SL_2$ BPS invariants
- More sections: relate to E-string calculation of BPS invariants of dP_n **Huang-Klemm-Poretschkin 1308.0619**
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Some refined invariants

$$(d, e) = (0, 1) : \quad \left[\frac{1}{2}, 1\right] + 546[0, 0],$$

$$(d, e) = (1, 1) : \quad \left[\frac{1}{2}, \frac{3}{2}\right] + \left[\frac{1}{2}, \frac{1}{2}\right] + 546\left[0, \frac{1}{2}\right],$$

$$(d, e) = (2, 1) : \quad \left[\frac{1}{2}, 3\right] + \left[\frac{1}{2}, 2\right] + \left[\frac{1}{2}, 1\right] + 546[0, 2].$$

Conclusions

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