# Moduli spaces of curves with non-special divisors

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## **Outline**

- 1. Moduli of curves with nonspecial divisors and the Krichever map.
- 2. The case  $q = 1$ .
- 3. The case  $n = g$ .
- 4. Relation to moduli of *A*∞-structures.

## Krichever map

Let *C* be a smooth projective curve, *p* a point, *t* a formal parameter at *p*. Then Laurent series expansion in *t* defines an embedding

$$
H^0(C\setminus\{p\},\mathcal{O})\hookrightarrow \mathbb{C}((t)).
$$

Let *W* be the image of this embedding. Then we have identifications

*W* ∩ ℂ[[*t*]] = *H*<sup>0</sup>(*C*, *O*) = ℂ, ℂ((*t*))/(*W* + ℂ[[*t*]]) = *H*<sup>1</sup>(*C*, *O*).

Thus, we get a point of the Sato Grassmannian *SG* parametrizing subspaces of  $\mathbb{C}((t))$  such that  $W \cap \mathbb{C}[[t]] = \mathbb{C}$  and  $\mathbb{C}((t))/(W + \mathbb{C}[[t]])$  is finite dimensional. Furthermore, one can recover the data (*C*, *p*, *t*) from *W*.

Remark. This construction is related to the action of the Virasoro algebra on some natural line bundles over the moduli spaces of curves, studied by Kontsevich, Beilinson-Schechtman, and Arbarello-De Concini-Kac-Procesi. <sup>3</sup>

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## Generalized Krichever map

Slight generalization: consider a curve *C* with *n* marked points  $p_1, \ldots, p_n$  with formal parameters  $t_1, \ldots, t_n$ . Get an embedding

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H^0(C\setminus\{p_1,\ldots,p_n\},\mathcal{O})\hookrightarrow \mathcal{H}:=\bigoplus_{i=1}^n\mathbb{C}((t_i)).
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#### This is still a point of the appropriate Sato Grassmannian *SG*(H).

We would like to use this construction to obtain compactifications of the moduli space of curves M*g*,*n*. Note that the above construction works for a singular projective curve (reduced and connected), provided  $\mathcal{O}(p_1 + \ldots + p_n)$  is ample, i.e., there is at least one marked point on each irreducible component of *C*.

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Consider the moduli stack  $\mathcal{U}^{ns}_{g,n}$  of  $(C, p_1, \ldots, p_n)$ , where  $C$  has arithmetic genus  $g, p_1, \ldots, p_n$  are smooth and distinct, such that  $\mathcal{O}(p_1 + \ldots + p_n)$  is ample and nonspecial, i.e.,  $H^1(C, \mathcal{O}(p_1 + \ldots + p_n)) = 0.$  Consider enhanced spaces

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\widetilde{\mathcal{U}}^{ns,(\infty)} \xrightarrow{\mathfrak{G}} \widetilde{\mathcal{U}}^{ns}_{g,n} \xrightarrow{(\mathbb{C}^*)^n} \mathcal{U}^{ns}_{g,n}
$$

corresponding to choices of formal parameters or nonzero tangent vectors at each marked point. Here  $\mathfrak G$  is the group of formal changes

$$
t_i\mapsto t_i+c_{2,i}t_i^2+c_{3,i}t_i^3+\ldots
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Note that we necessarily have  $n > q$ . Example. For  $q = 0$  the restriction on marked points is that  $\mathcal{O}(\rho_1 + \ldots + \rho_n)$  is ample. The moduli stack  $\mathcal{U}^{ns}_{0,n}$  is related to Boggi-Kontsevich compactification of  $\mathcal{M}_{0,n}$ 

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There is a natural map from  $\mathcal{U}_{g,n}^{ns}$  to the Grassmannian<br> $G(x_1, x_2)$  defined as following. The short meaths are not  $G(n-g, n)$  defined as follows. The short exact sequence

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0\to \mathcal{O}_C \to \mathcal{O}_C(p_1+\ldots+p_n) \to \bigoplus_{i=1}^n T_{p_i}C \to 0
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gives rise to an exact sequence

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\bigoplus_{i=1}^n T_{p_i}C \to H^1(\mathcal{O}_C) \to H^1(\mathcal{O}_C(p_1 + \ldots + p_n)) = 0,
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The kernel of the first arrow is an  $(n - g)$ -dimensional subspace in C *n* .

For each subset of indices  $S \subset [1, n]$  with  $|S| = q$ , the preimage of the corresponding standard cell in  $G(n-g, n)$  is the open  $\mathsf{subset}\ \mathcal{U}(\mathcal{S})\subset \widetilde{\mathcal{U}}_{g,n}^{ns}$  consisting of  $(C,\rho_1+\ldots+\rho_n)$  such that  $H^1(C,\mathcal{O}(\sum_{i\in S}\rho_i))=0.$ 

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Theorem. The Krichever map defines a locally closed  $\mathsf{embedding} \ \mathcal{U}^{\mathsf{ns},(\infty)} \hookrightarrow \mathsf{SG}(\mathcal{H}).$ 

Its image is the closed subset of the locus  $SG^{ns}(\mathcal{H})$  consisting of *W* such that  $H = W + H_{\geq -1}$ . The image consists of *W* such that  $W \cdot W \subset W$ .

The action of  $\mathfrak G$  on  $SG^{ns}(\mathcal{H})$  is free, and the quotient has an open covering by infinite-dimensional affine spaces.

The moduli space  $\mathcal{U}_{g,n}^{ns}$  is a scheme of finite type, affine over the Grassmannian *G*(*n* − *g*, *n*).

There is a natural  $(\mathbb{C}^*)^n$ -action on  $\widetilde{\mathcal{U}}^{ns}_{g,n}$  (rescaling the tangent vectors at the marked points), compatible with the standard  $({\mathbb C}^*)^n$ -action on *G*(*n* − *g*, *n*).

The invariant subscheme of the diagonal  $C^* \subset (C^*)^n$  in  $\widetilde{\mathcal{U}}_{g,n}^{ns}$  is a section of a map to  $G(n-g, n)$ .  $\mathbb{C}^*$ -action has positive weights. Remark. Taking GIT quotients of  $\mathcal{U}_{g,n}^{ns}$  one gets birational projective models of *Mg*,*n*. 7

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Example.  $g = n = 1$ . The algebra  $\mathcal{O}(C \setminus p)$  is generated by x and *y* such that

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x = \frac{1}{t^2} + \dots
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Using the ambiguity  $x \mapsto x + a$ ,  $y \mapsto y + bx + c$ , we can choose *x* and *y* uniquely so that

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y^2 = x^3 + px + q.
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Thus,  $\widetilde{\mathcal{U}}_{1,1}^{\mathsf{ns}} = \mathbb{A}^2$ .

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x_i x_j = \alpha_{ij} x_j + \alpha_{ji} x_i + c_{ij}, \text{ for } i \neq j, \text{ with}
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(\star) \; c_{ij} = \alpha_{ik}\alpha_{jk} - \alpha_{ij}\alpha_{jk} - \alpha_{ji}\alpha_{ik}.
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Normalization:  $\alpha_{i,i+1} = 0$ . Then  $x_i$  are unique.

The relation  $(\star)$  gives the defining equations of  $\mathcal{U}_{0,n}^{ns}$ , same as the miniversal deformation of the coordinate cross in C *n* .

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 $({\mathbb C}^*)^n$ -action:  $(\lambda^{-1})^* \alpha_{ij} = \lambda_i \alpha_{ij}$ . For each character  $\chi(\lambda) = \lambda_1^{a_1} \dots \lambda_n^{a_n}$  of  $(\mathbb{C}^*)^n$  can consider the GIT-quotient  $\widetilde{\mathcal{U}}_{0,n}^{\mathsf{ns}} \mathcal{U}_{\chi}(\mathbb{C}^*)^n.$ If  $a_1 > 0, \ldots, a_n > 0$  then stable (=semistable) points correspond to  $(C, p_1, \ldots, p_n)$  such that each irreducible component has  $\geq 3$  special points. This is exactly Boggi-Kontsevich moduli space.

We get a realization of this space by explicit equations in (P *n*−3 ) *n* . Namely, in equation (?) we should view α*ij* as homogeneous coordinates on the *i*th copy of P *n*−3 .

Remark. For  $n > 5$  it is not known whether the scheme  $\bar{\mathcal{U}}_{0,n}^{ns}$  (or its GIT-quotient) is normal or even reduced.

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## Case  $q = 1$ : fundamental decomposition

Proposition. A curve  $(C, p_1, \ldots, p_n)$  is in  $\mathcal{U}_{1,n}^{ns}$  if and only if it has a fundamental decomposition

$$
C = E \cup R_1 \cup \ldots \cup R_r,
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where *R<sup>i</sup>* are connected tails of arithmetic genus 0 attached to *E* transversally at distinct points, and *E* is of one of the following types:

- smooth elliptic curve;
- cycle of projective lines (standard *m*-gon);
- elliptic *m*-fold curve.

Furthermore, there should be at least one marked point on every irreducible component.

Elliptic *m*-fold curves.

- $m = 1$ : cuspidal cubic;
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- *m* ≥ 3: union of *m* generic lines through a point in  $\mathbb{P}^{m-1}$ .

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Fix a rational character  $\chi = (\boldsymbol{a}_1, \dots, \boldsymbol{a}_n)$  of  $(\mathbb{C}^*)^n$ . Let  $(C, p_1, \ldots, p_n)$  be in our moduli space, with fundamental  $\mathsf{decomposition}\; \mathcal{C} = E\cup R_1\cup \ldots \cup R_r.$  For a marked point  $\rho_i$ lying on an irreducible component  $C' \simeq \mathbb{P}^1,$  we denote by  $\mathcal{N}(\rho_i)$ the number of special points on  $C'$ . Define  $I_0 \subset I \subset [1,n]$  and *J* ⊂ [1, *n*] by

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\blacksquare J = \{j \mid p_j \notin E, N(p_j) \geq 3\};
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I=\{i\mid p_i\in E\};
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I_0 = \emptyset
$$
 if E is at most nodal; otherwise,

*I*<sub>0</sub> = {*i*  $\in$  *I* | *N*(*p*<sub>*i*</sub>)  $\le$  2}.

Theorem.  $(\textit{C},\textit{p}_1,\ldots,\textit{p}_n)$  is  $\pi^*\mathcal{O}(1)\otimes\chi$ -semistable if and only if

- *a*<sub>*i*</sub>  $≥$  0 for all *i*; *a*<sub>*i*</sub> = 0 for *i* ∉ *I* ∪ *J*;
- $\sum_{i\in I_0} a_i \leq 1$ ;<br> $\sum_{i\in I} a_i \geq 1$ .

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Example 1. All  $a_i > 1$ . Then stability (=semistability) means that there are  $\geq 3$  special points on the normalization of every rational component of *C*.

Equivalently, there exists a birational map  $f: C \to C$ , where  $(C, \widetilde{p}_1, \ldots, \widetilde{p}_n)$  is Deligne-Mumford stable of genus 1, *f* contracts the unmarked components in  $\tilde{C}$ .

Example 2.  $a_i = a$ ,  $a \in (\frac{1}{n})$  $\frac{1}{n}, \frac{1}{n-2}$ ). Then stability means that  $C = E$  (equivalently, *C* is Gorenstein with trivial  $\omega_C$ , equivalently,  $H^1(C, \mathcal{O}(p_i)) = 0$  for each *i*), and  $(C, p_1, \ldots, p_n)$ has no infinitesimal symmetries.

The moduli spaces in both Examples were first constructed by David Smyth. The moduli space in Example 2 was studied in [P-Lekili]. We showed that it is a normal Gorenstein projective scheme, given by explicit equations.

Example 1. All  $a_i > 1$ . Then stability (=semistability) means that there are  $\geq 3$  special points on the normalization of every rational component of *C*.

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### Case  $g = 1$ : Strongly non-special curves

 $\textsf{Consider}~ (C, p_1, \ldots, p_n)$  with  $H^1(C, \mathcal{O}(p_i)) = 0$  for each *i*, as in Example 2. Fix a nonzero global section  $\omega \in H^0(C,\omega_C).$ Assume  $n\geq 3.$  For  $i\neq j,$  there is  $h_{ij}\in H^0(\mathit{C},\mathcal{O}(p_i+p_j)),$ unique up to adding a constant, such that  $\mathsf{Res}_{\rho_i} \, h_{ij} \omega) = 1,$  $\mathsf{Res}_{\rho_j} \, h_{ij} \omega) = -1.$ Normalize  $h_{12}$  and  $h_{13}$  by  $h_{12}(p_3) = 0$ ,  $h_{13}(p_2) = 0$ . Then the algebra  $\mathcal{O}(C \setminus \{p_1, \ldots, p_n\})$  is generated by

 $x_2 = h_1, \ldots, x_n = h_{1n}$ , with defining relations

$$
x_i x_j = x_2 x_3 + c_{ij} x_j + c_{ji} x_i + d_{ij},
$$
  

$$
x_2 x_3^2 = x_2^2 x_3 + a x_2 x_3 + b x_2 + c x_3 + d,
$$

where  $c_{ii} = h_{1i}(x_i)$ .

The (normalized) coefficients become (weighted) projective coordinates on the moduli space. For *n* ≥ 5 all coordinates are expressed in terms of *cij* and *a* (which have weight 1). One can write explicitly defining equations between them.

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### Case  $n = g$

Set  $D = p_1 + \ldots + p_q$ . Then for each *i* there exist  $x_i \in H^0(C, \mathcal{O}(D + \rho_i)), \, y_i \in H^0(C, \mathcal{O}(D + 2 \rho_i))$  such that

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x_i = \frac{1}{t_i^2} + \dots
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Using the ambiguity  $x_i \mapsto x + a_i$ ,  $y_i \mapsto y_i + b_i x_i + c_i$ , we can choose *x<sup>i</sup>* and *y<sup>i</sup>* uniquely so that

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## Case  $n = g \geq 2$ : equations of the universal curve

$$
x_i x_j = \alpha_{jj} y_i + \alpha_{ij} y_j + \gamma_{ji} x_i + \gamma_{ij} x_j + \sum_{k \neq i,j} c_{ij}^k x_k + a_{ij},
$$
  
\n
$$
x_i y_j = \alpha_{ij} x_j^2 + \beta_{ji} y_i + \gamma_{ij} y_j + r_{ji} x_i + \delta_{ij} x_j + \sum_{k \neq i,j} e_{ij}^k x_k + b_{ij},
$$
  
\n
$$
y_i y_j = \beta_{ji} x_i^2 + \beta_{ij} x_j^2 + \varepsilon_{ji} y_j + \varepsilon_{ij} y_j + \psi_{ji} x_i + \psi_{ij} x_j + \sum_{k \neq i,j} l_{ij}^k x_k + u_{ij},
$$
  
\n
$$
y_i^2 = x_i^3 + p_i x_i + \sum_{j \neq i} g_j^j y_j + \sum_{j \neq i} k_i^j x_j + q_i,
$$

where *i* and *j* are distinct.

Using Gröbner basis technique find:

$$
c_{ij}^k = \alpha_{ik}\alpha_{jk},
$$
  
\n
$$
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$$
  
\n
$$
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GIT-stability condition depends on a character

 $\chi(\lambda) = (a_1, \ldots, a_n)$  of  $(\mathbb{C}^*)^n$ .

All GIT-quotients are projective, empty unless  $(a_1, \ldots, a_n)$ belongs to the cone generated by  $(2e_i - e_i)$ .

Wall structure in  $\mathbb{R}^n$ : the codim-1 walls are cones spanned by subsets of (2*e<sup>i</sup>* − *e<sup>j</sup>* , 3*e<sup>i</sup>* − *e<sup>j</sup>* , *ei*).

Main chamber  $C_0: a_1 > 0, ..., a_n > 0$ . For  $\chi \in \mathbf{C}_0$  every  $(C, p_1, \ldots, p_\alpha)$  with smooth *C* is  $\chi$ -stable.

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## Moduli of *A*∞-structures

Recall that an *A*∞-algebra is a graded vector space *A* with operations *m<sup>n</sup>* : *A* <sup>⊗</sup>*<sup>n</sup>* → *A* of degree 2 − *n*, for *n* ≥ 1, satisfying  $\mathcal{A}_\infty$ -identities  $\sum_{i+j=n}[m_i,m_j]=0$  (where  $[\cdot,\cdot]$  is the Gerstenhaber bracket).

For a given finite-dimensional associative algebra *A* can consider all  $A_{\infty}$ -structures  $(m_{\bullet})$  on *A* with  $m_1 = 0$  and  $m_2$  the given product on *A*. These are parametrized by an infinite-dimensional affine scheme  $A_{\infty}(A)$ . There is a natural action of an infinite-dimensional unipotent group  $\mathfrak G$  of gauge equivalences on  $A_{\infty}(A)$ . We consider the moduli space  $\mathcal{M}_{\infty}(\mathcal{A}) = \mathcal{A}_{\infty}(\mathcal{A})/\mathfrak{G}.$ 

Theorem. Assume  $HH^1(A)_j=0$  for  $j < 0.$  Then the action of  $\mathfrak G$ on  $\mathcal{A}_{\infty}(A)$  admits a section, so that  $\mathcal{M}_{\infty}(A)$  is an affine scheme. If in addition *HH*<sup>2</sup> (*A*)<<sup>0</sup> is finite-dimensional then  $\mathcal{M}_{\infty}(A)$  is of finite type. (Here *HH*<sup>•</sup>(A) is the Hochschild cohomology.)

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Given a curve  $(C, p_1, \ldots, p_g)$  such that  $H^1(\mathcal{O}(p_1 + \ldots + p_g)) = 0$  $\mathsf{consider}\ \mathsf{the}\ \mathsf{algebra}\ E=\mathsf{Ext}^*(G,G),$  where

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Generators:  $A_i \in \mathsf{Hom}(\mathcal{O}_C, \mathcal{O}_{\rho_i}),$   $B_i \in \mathsf{Ext}^1(\mathcal{O}_{\rho_i}, \mathcal{O}_C).$  Note that the classes  $B_1A_1, \ldots, B_qA_q$  form a basis of  $Ext^1(\mathcal{O}_C, \mathcal{O}_C) = H^1(C, \mathcal{O}).$ 

A choice of nonzero tangent vectors at *p*1, . . . , *p<sup>n</sup>* gives an isomorphism of *E* with the fixed algebra *Eg*. By homological perturbation, for each curve  $(C, p_1, \ldots, p_n)$  there is a canonical gauge equivalence class of *A*∞-structures on *Eg*. Theorem. This defines an isomorphism  $\widetilde{\mathcal{U}}_{g, g}^{ns} \simeq \mathcal{M}_{\infty}(\mathit{E}_{g}).$ Remark. There is a similar interpretation of  $\widetilde{\mathcal{U}}_{g,n}^{ns}$  for  $n > g$  as moduli of  $A_{\infty}$ -structures. In this case  $m_2$  is also allowed to vary.

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## **References**

arXiv:1312.4636 (case *n* = *g*)

[P-Lekili] arXiv:1408.0611 (case  $g = 1$ , strongly non-special curves)

arXiv:1511.03797 (general case)

arXiv:1603.01238 (case  $q = 1$ , GIT stabilities)