

# From DeepCore to PINGU

## Measuring atmospheric neutrino oscillations at the South Pole

Juan Pablo Yáñez<sup>‡</sup>

for the IceCube-Gen2 Collaboration

DESY

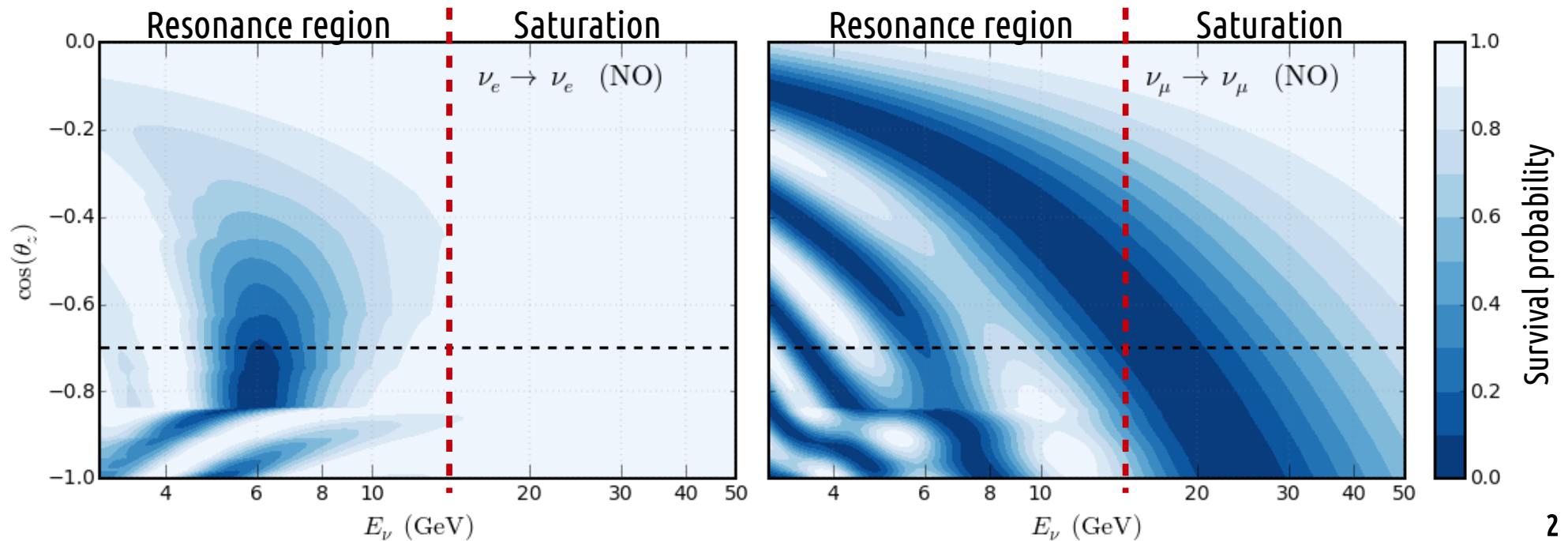
VLVNT 2015  
Rome



<sup>‡</sup>juan.pablo.yanez@desy.de

# Atmospheric neutrino oscillations

- » Neutrinos change flavor as they travel  $P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2(2\theta) \sin^2(1.27\Delta m^2 L/E)$
- » Earth's matter profile modifies expectation from vacuum oscillations
  - » Between  $E_\nu = 2\text{--}15\text{ GeV} \rightarrow$  resonances, transitions  $\nu_e \leftrightarrow \nu_\mu$  take place
  - » For  $E_\nu > 15\text{ GeV} \rightarrow$  saturation ( $\theta_{13} \rightarrow \pi/2$ ), dominated by  $\nu_\mu \leftrightarrow \nu_\tau$  transitions



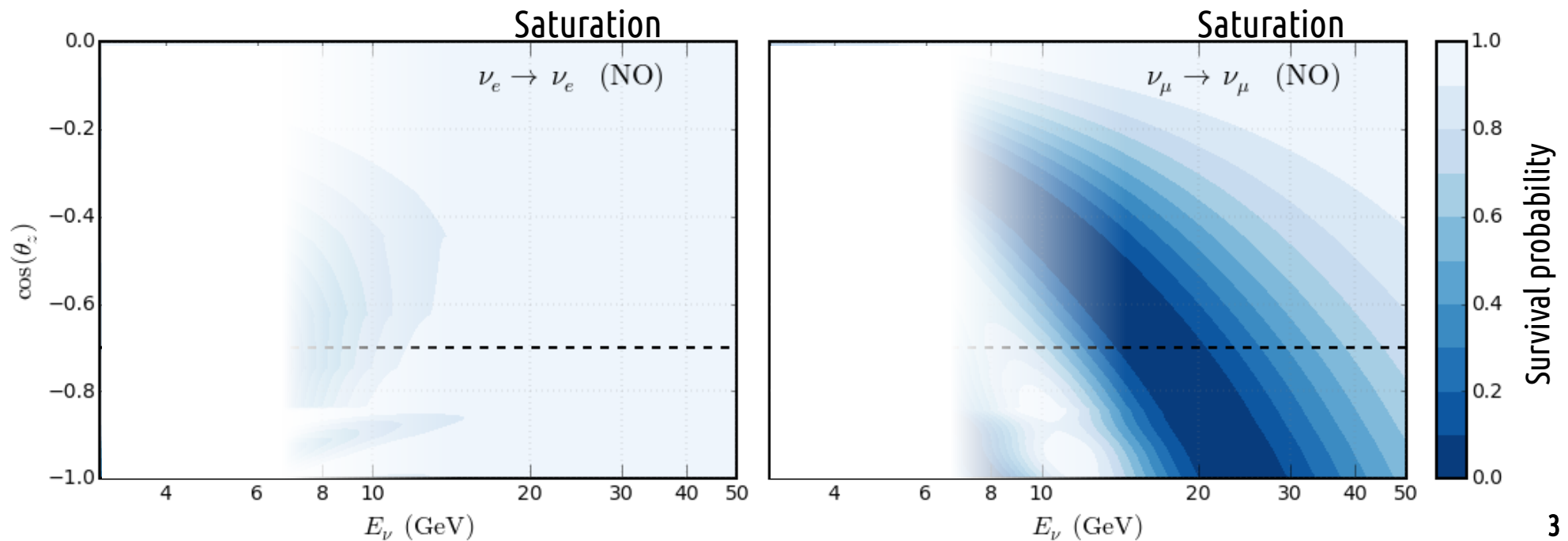
# Atmospheric neutrino oscillations

» Saturation region

» Simple disappearance depends on  $\theta_{23}$  and  $|\Delta m_{32}^2| \simeq |\Delta m_{31}^2|$

» Largely insensitive to  $\theta_{13}$

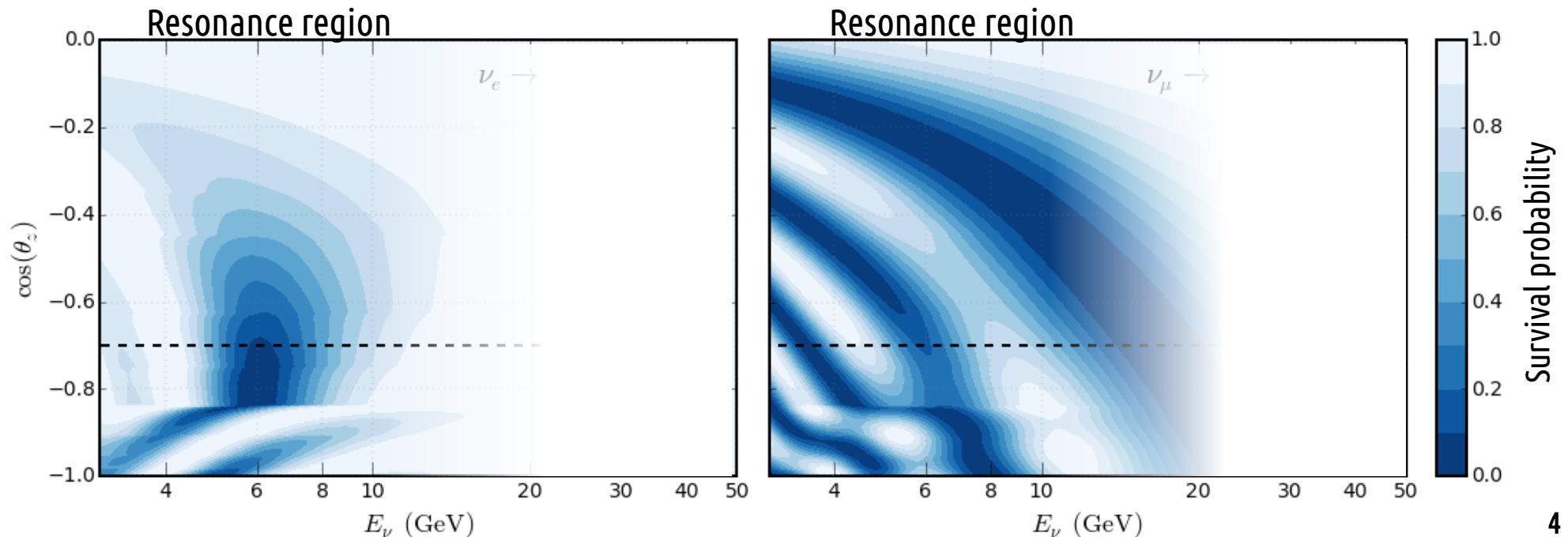
» Accessible with IceCube DeepCore



# Atmospheric neutrino oscillations

## » Resonance region

- » Complicated disappearance pattern, different for neutrinos/antineutrinos
- » Oscillations depend on  $\theta_{13}$ ,  $\theta_{23}$  and  $\Delta m_{32}^2, \Delta m_{31}^2$  **including their sign**
- » At/Below the threshold of IceCube DeepCore → PINGU



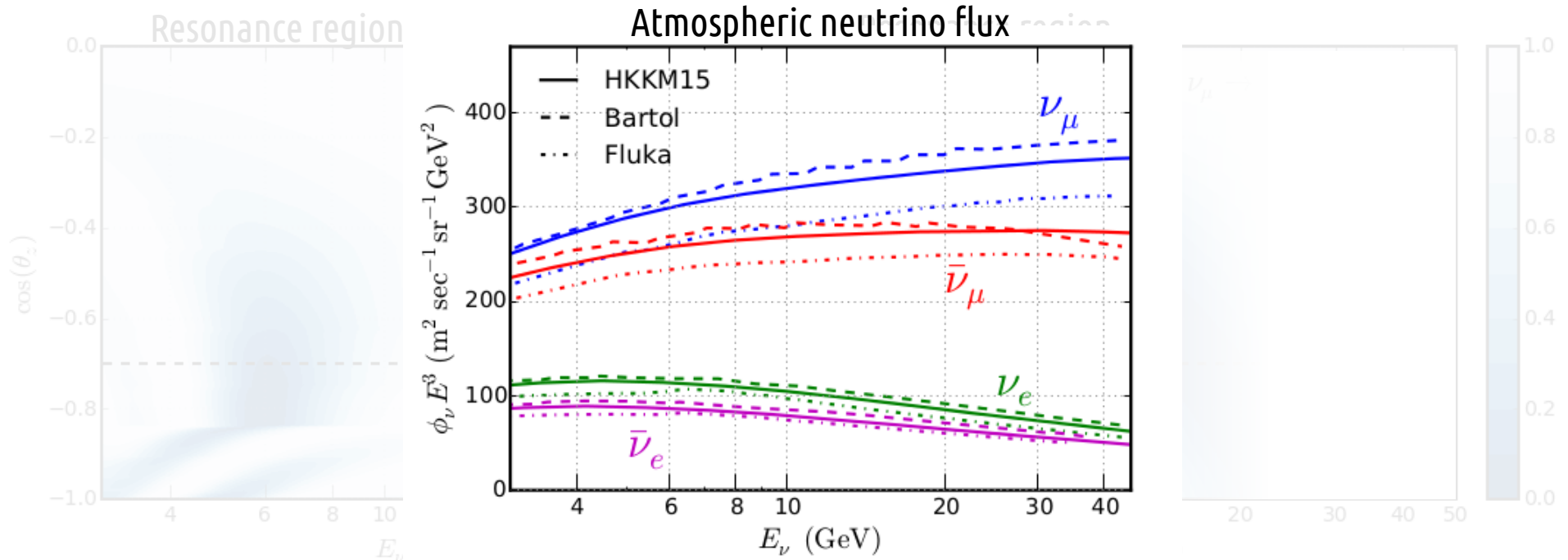
# Atmospheric neutrino oscillations

» Resonance region

» Complicated disappearance pattern, different for neutrinos/antineutrinos

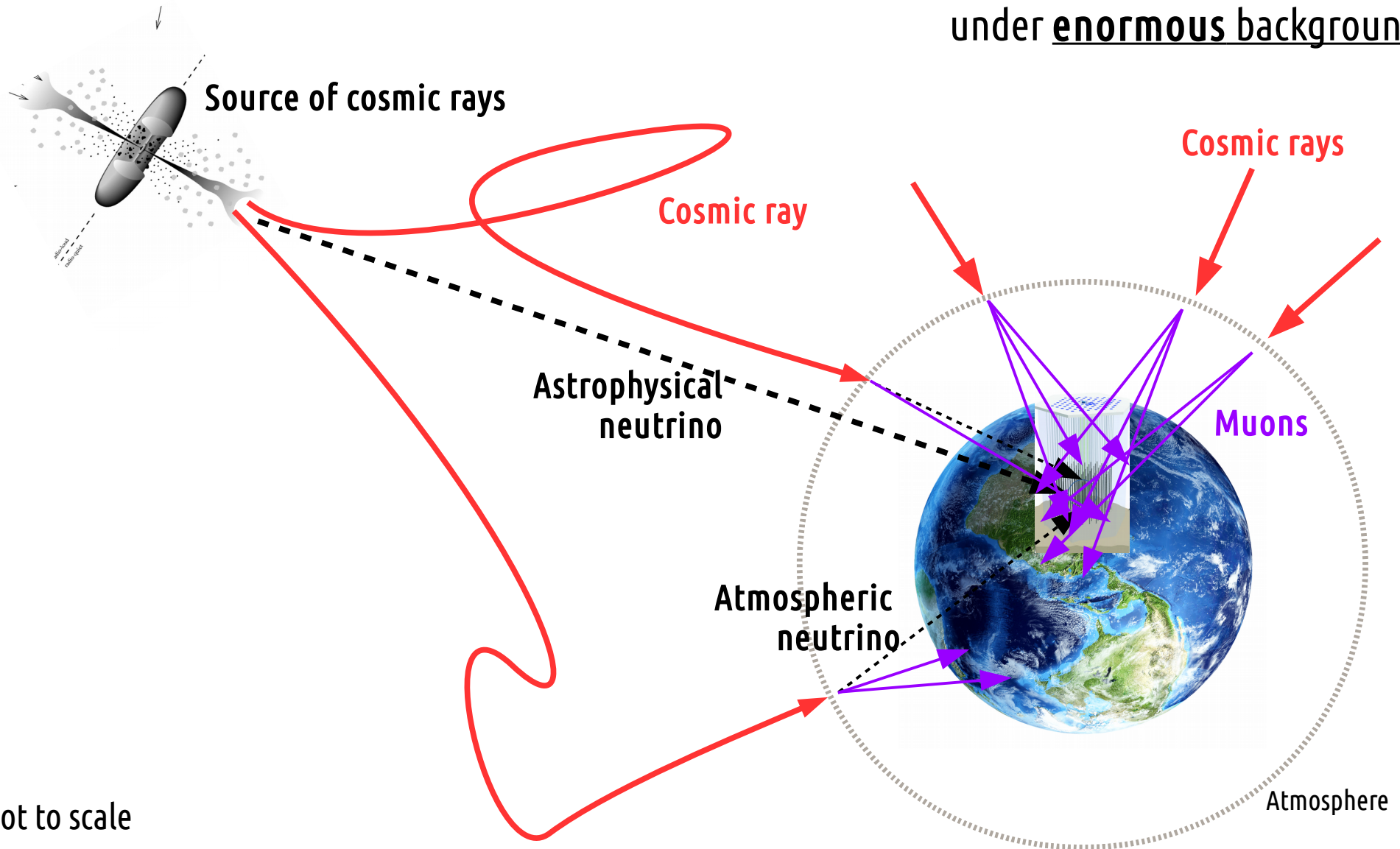
» Oscillations depend on  $\theta_{13}$ ,  $\theta_{23}$  and  $\Delta m_{32}^2, \Delta m_{31}^2$  **including their sign**

» At/Below the threshold of IceCube DeepCore → PINGU



# Measuring atmospheric neutrino oscillations

where the signal is buried under enormous background



\*Not to scale

Image: <http://globe-views.com/dreams/earth.html>

# Measuring atmospheric neutrino oscillations

where the signal is buried under enormous background

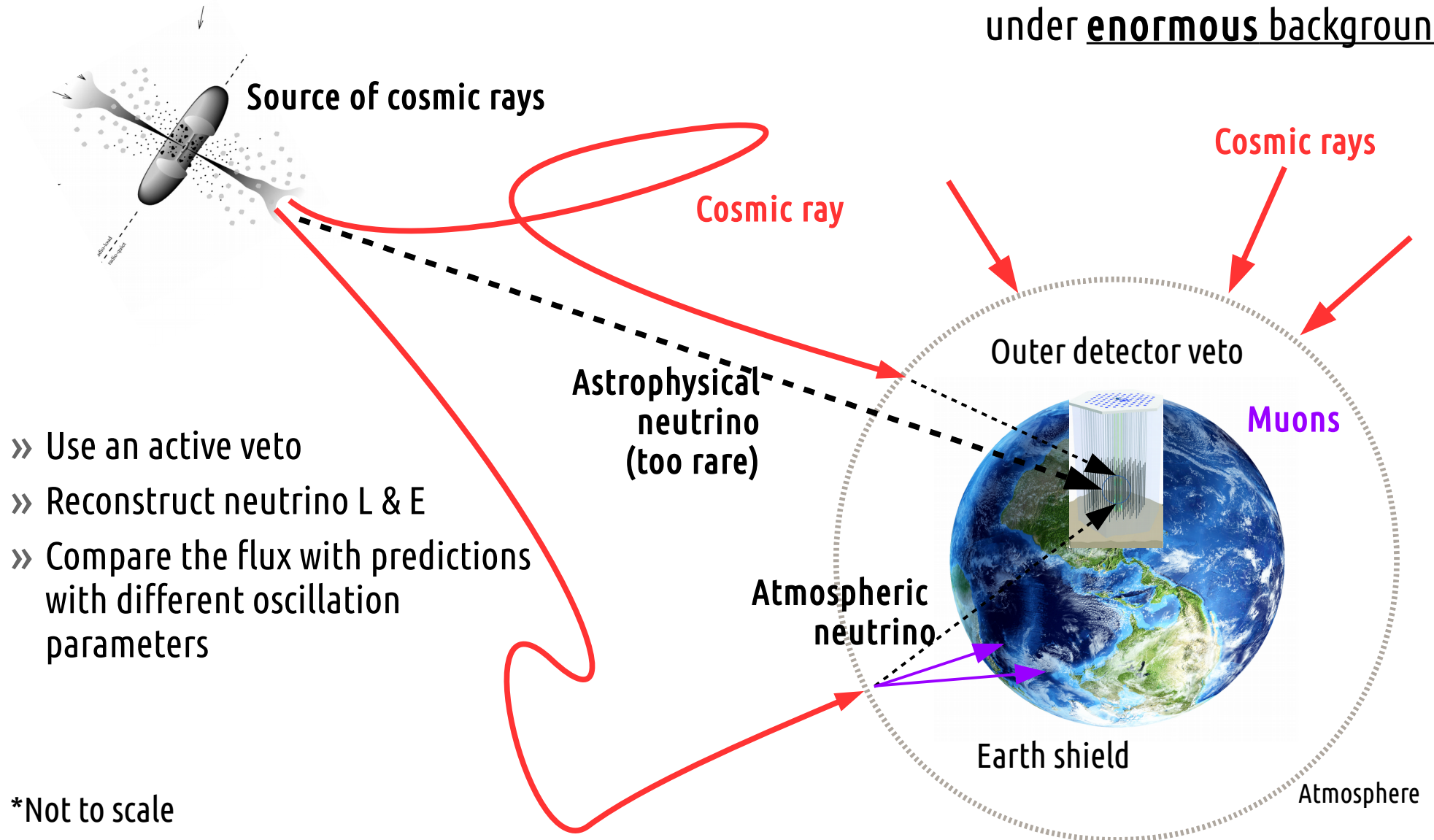
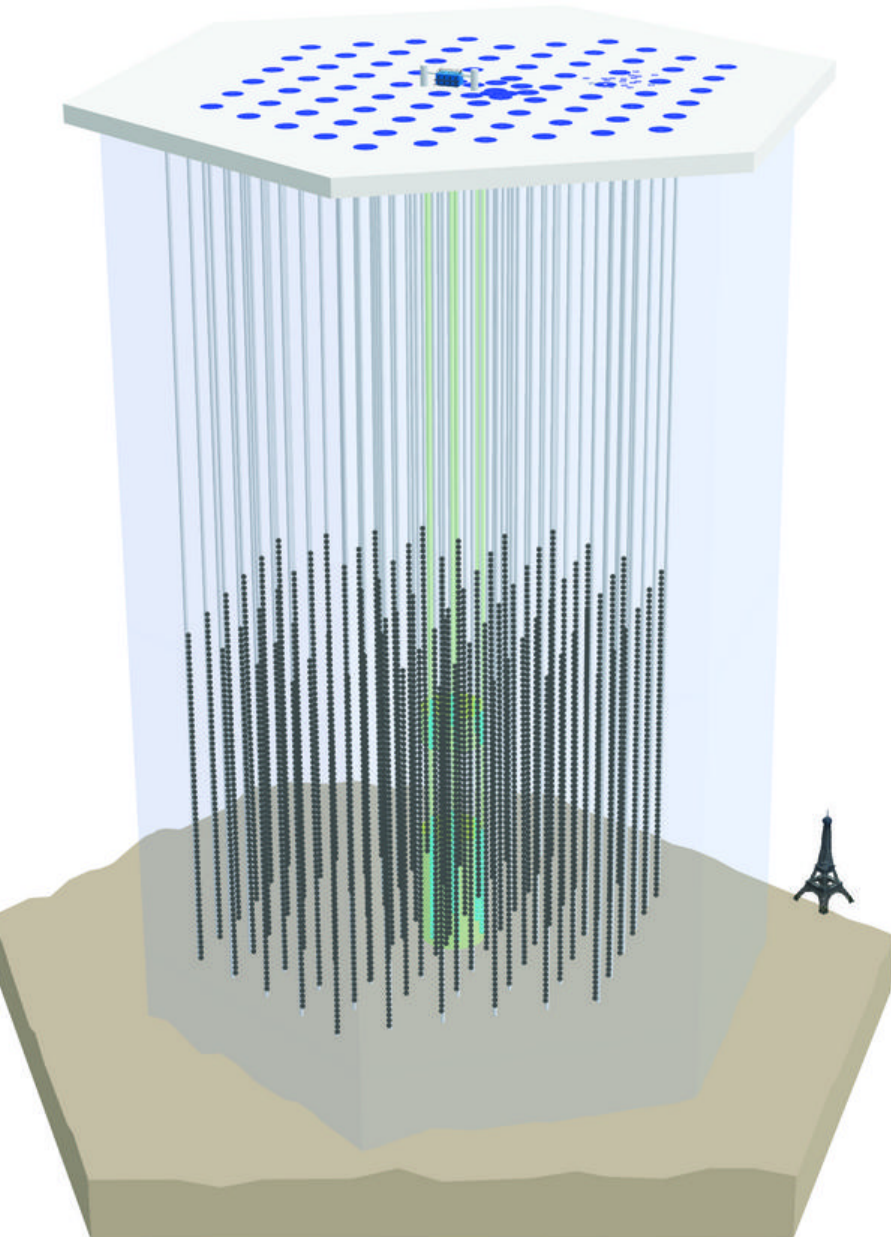


Image: <http://globe-views.com/dreams/earth.html>



# The detectors in IceCube



» IceCube –  $E_\nu > 100 \text{ GeV}$

» 78 strings w/60 DOMs

» 17m DOM spacing, 125m string spacing

» DeepCore –  $E_\nu > 10 \text{ GeV}$

» +8 strings w/60 HQE DOMs

» 7m DOM spacing, 40-70m string spacing

» PINGU (proposal) –  $E_\nu > 4 \text{ GeV}$

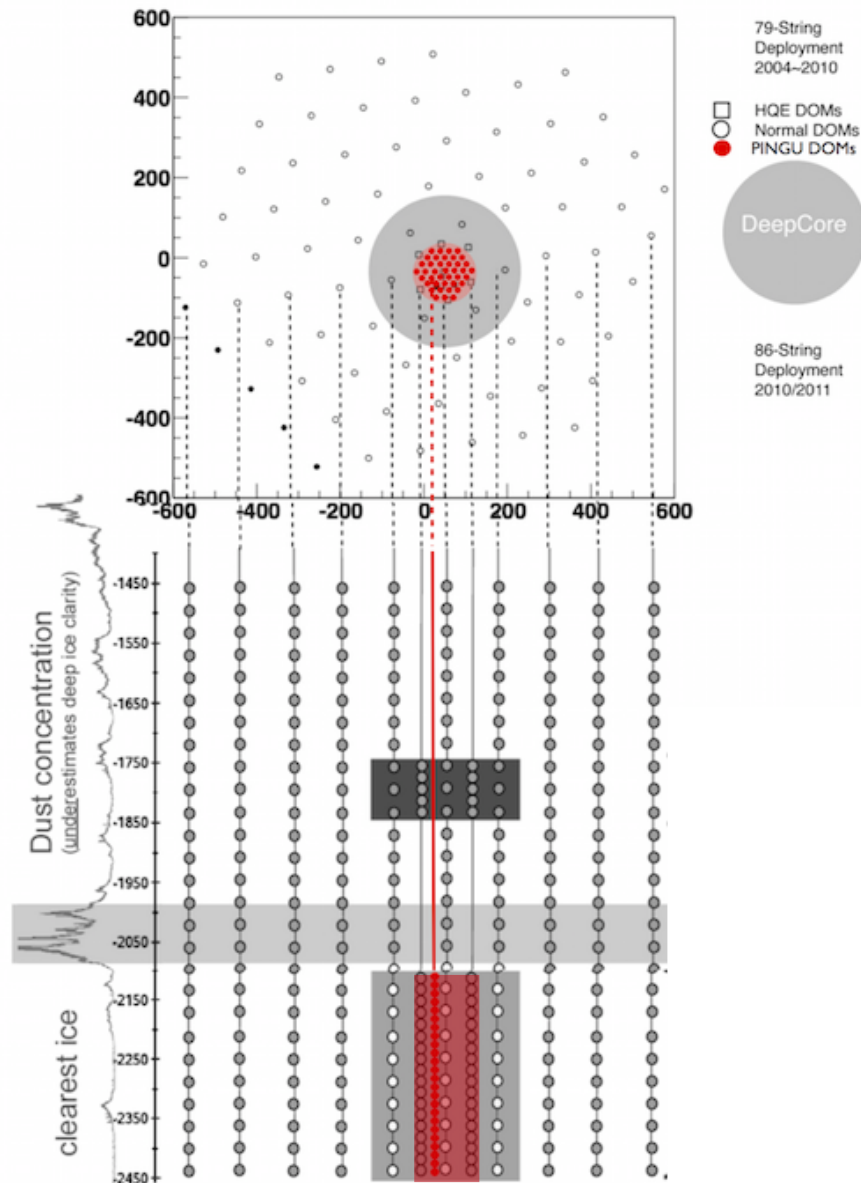
» +40 strings w/96 HQE DOMs

» 3m DOM spacing, 20m string spacing

» ~20x photocathode density



# The detectors in IceCube



» IceCube –  $E_\nu > 100$  GeV

» 78 strings w/60 DOMs

» 17m DOM spacing, 125m string spacing

» DeepCore –  $E_\nu > 10$  GeV

» +8 strings w/60 HQE DOMs

» 7m DOM spacing, 40-70m string spacing

» PINGU (proposal) –  $E_\nu > 4$  GeV

» +40 strings w/96 HQE DOMs

» 3m DOM spacing, 20m string spacing

» ~20x photocathode density

# Increasing precision

How to move down in energy to make more precise measurements of neutrino oscillations?

- Background
- Reconstruction
- Analysis

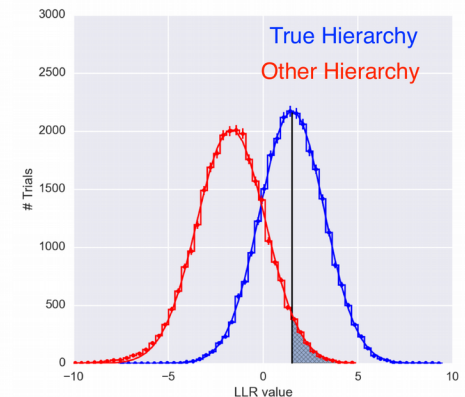
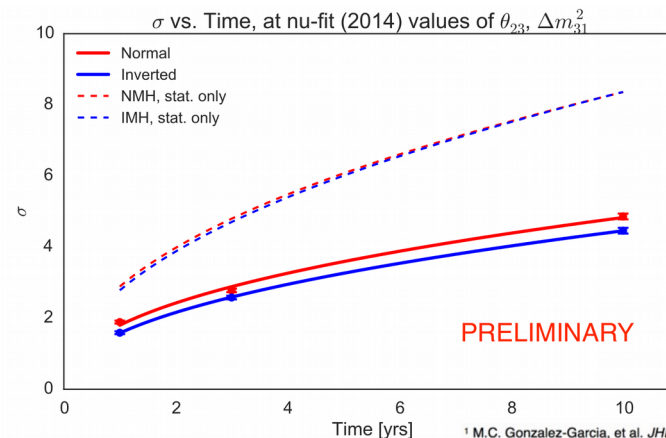
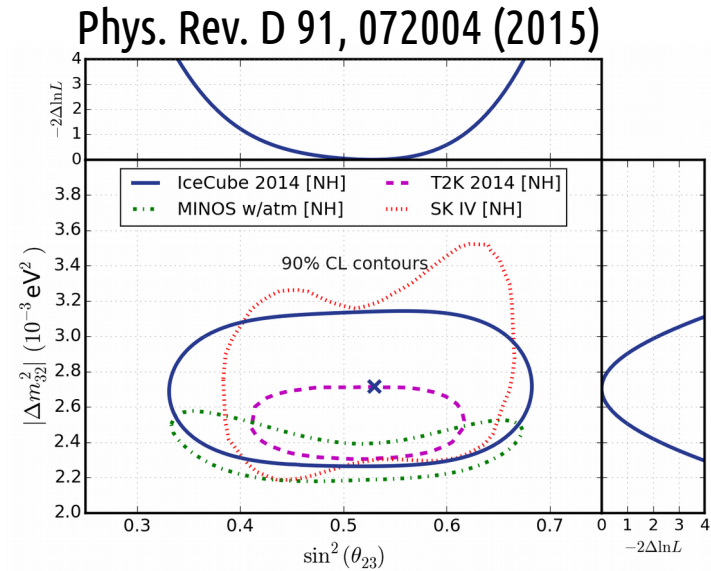


- » IceCube –  $E_\nu > 100 \text{ GeV}$ 
  - » 78 strings w/60 DOMs
  - » 17m DOM spacing, 125m string spacing
- » DeepCore –  $E_\nu > 10 \text{ GeV}$ 
  - » +8 strings w/60 HQE DOMs
  - » 7m DOM spacing, 40-70m string spacing
- » PINGU (proposal) –  $E_\nu > 4 \text{ GeV}$ 
  - » +40 strings w/96 HQE DOMs
  - » 3m DOM spacing, 20m string spacing
  - » ~20x photocathode density

# Increasing precision

How to move down in energy to make more precise measurements of neutrino oscillations?

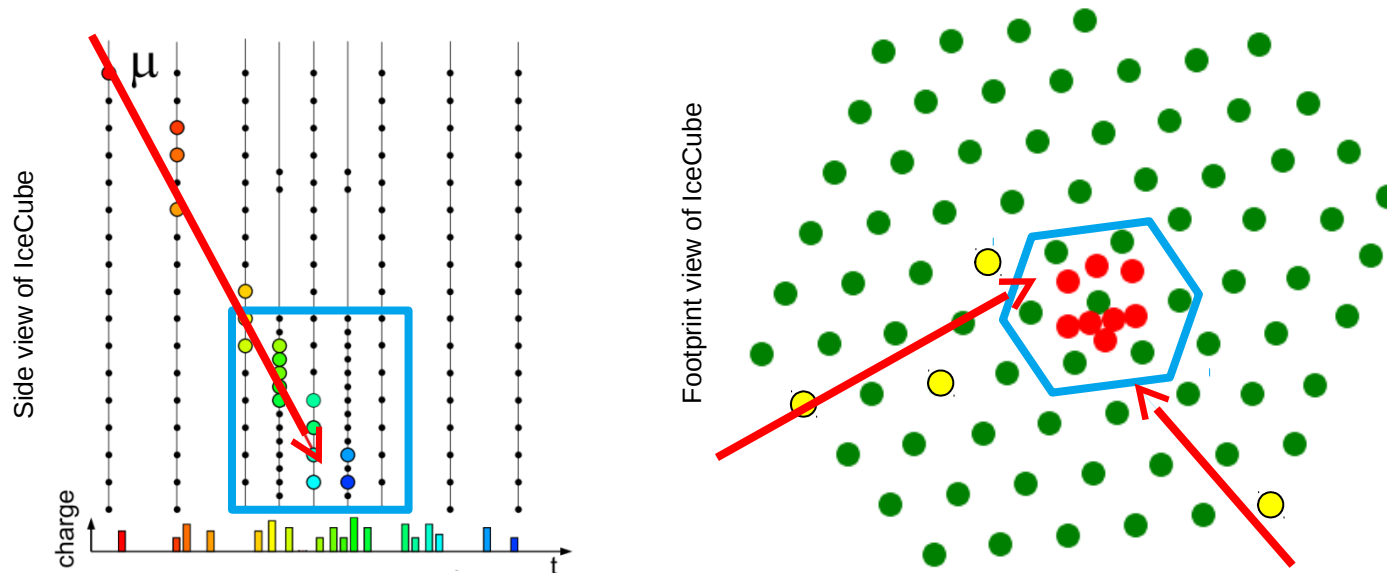
- Background
- Reconstruction
- Analysis



<sup>1</sup> M.C. Gonzalez-Garcia, et al. JHEP 11 052, 2014

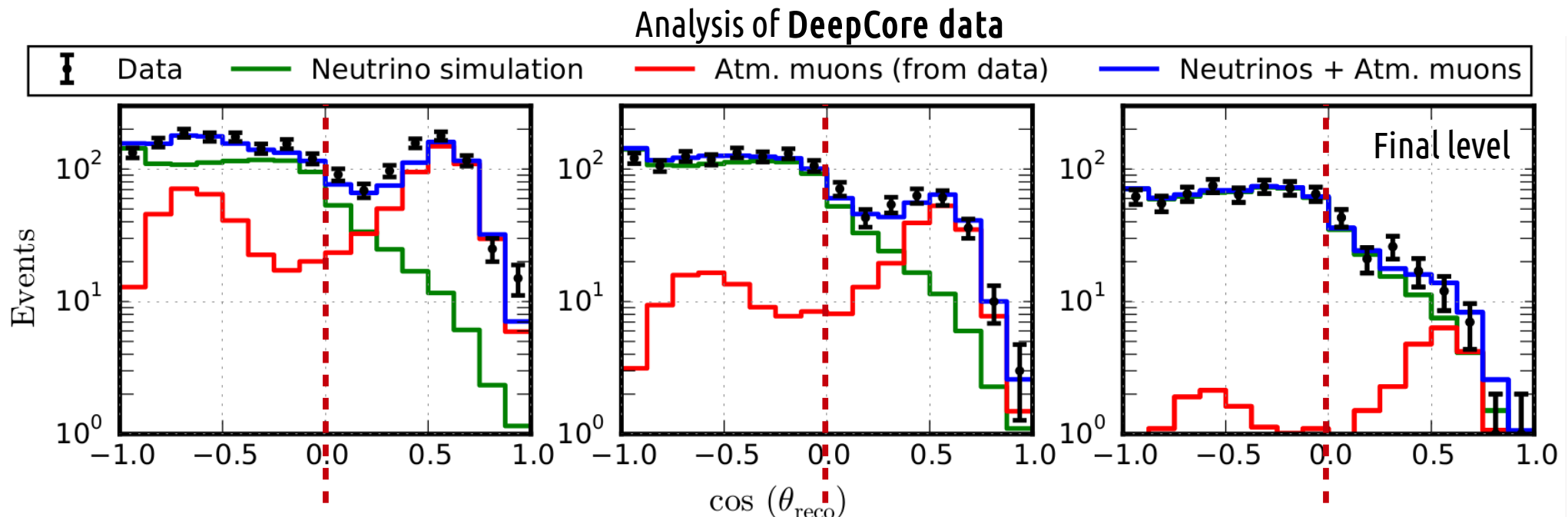
# I. Background

- » Muons from air showers
- » Starting events → IceCube as veto for DeepCore & PINGU
- » Tag muons directly from data
- » Use “event quality” to remove misreconstructions



# I. Background

- » Muons from air showers
- » Starting events → IceCube as veto for DeepCore & PINGU
- » Tag muons directly from data
- » Use “event quality” to remove misreconstructions



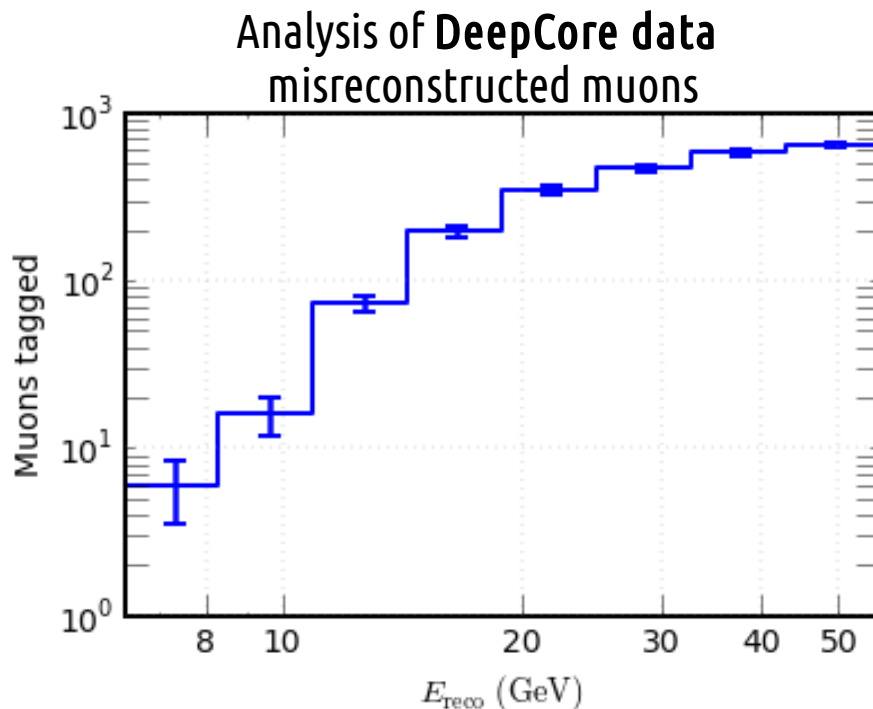
# I. Background

- » Muons from air showers

- » Starting events → IceCube as veto for **DeepCore & PINGU**

- » Tag muons directly from data

- » Use “event quality” to remove misreconstructions



- » IceCube veto useful for DC & PINGU

- » Background muon rate  $\propto E_{\text{reco}}$

- » Less relevant for PINGU than DC

- » **DC results used only up-going events**

- » Down-going region under study in DC

- » PINGU plans to (eventually) use all-sky

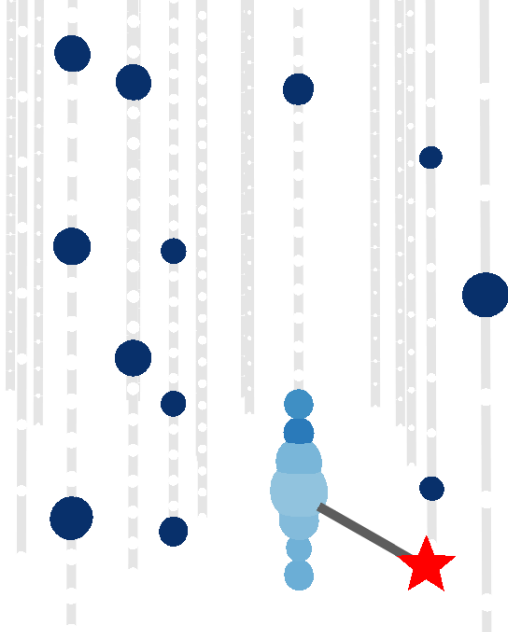
# I. Background

- » Individual DOM noise rates studied
  - » 400-700 Hz individual noise rates
  - » Simulation parameters varied
  - » Models updated with calibration campaigns
  - » **DeepCore**
    - » Negligible impact on reconstructed observables and results
  - » **PINGU**
    - » Negligible impact on reconstructions, small impact on eff. area



# II. Neutrino reconstruction

MC interaction in DC analysis



» Typical LE neutrino in **DeepCore**

» 7 DOMs with signal hits

»  $E_{\text{nu}} = 12 \text{ GeV}$

» 8 GeV muon (42 m)

» 4 GeV hadronic shower

» Neutrino identification by daughters

» CC  $\nu_{\mu} \rightarrow$  Muons (long-range particles, taggable)

» CC  $\nu_{\tau}$  &  $\nu_e \rightarrow$  Short lived leptons

» Every interaction  $\rightarrow$  Hadrons

» Main ID power: muons  $\rightarrow$  muon neutrinos

» Neutrino reconstruction

» Based on # of photons & arrival times

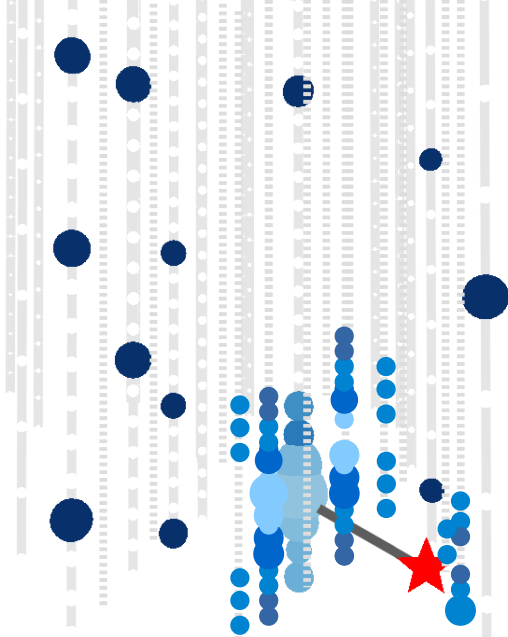
» Mostly noise-free signals at DOMs

» Delayed by scattering, reduced by absorption

» **DeepCore**: few photons in interesting events

# II. Neutrino reconstruction

\*Illustrative sketch, not from MC



» Typical LE neutrino in PINGU\*

» ~70 DOMs with signal hits

»  $E_{\nu} = 12 \text{ GeV}$

» 8 GeV muon (42 m)

» 4 GeV hadronic shower

» Neutrino identification by daughters

» CC  $\nu_{\mu} \rightarrow$  Muons (long-range particles, taggable)

» CC  $\nu_{\tau}$  &  $\nu_e \rightarrow$  Short lived leptons

» Every interaction  $\rightarrow$  Hadrons

» Main ID power: muons  $\rightarrow$  muon neutrinos

» Neutrino reconstruction

» Based on # of photons & arrival times

» Mostly noise-free signals at DOMs

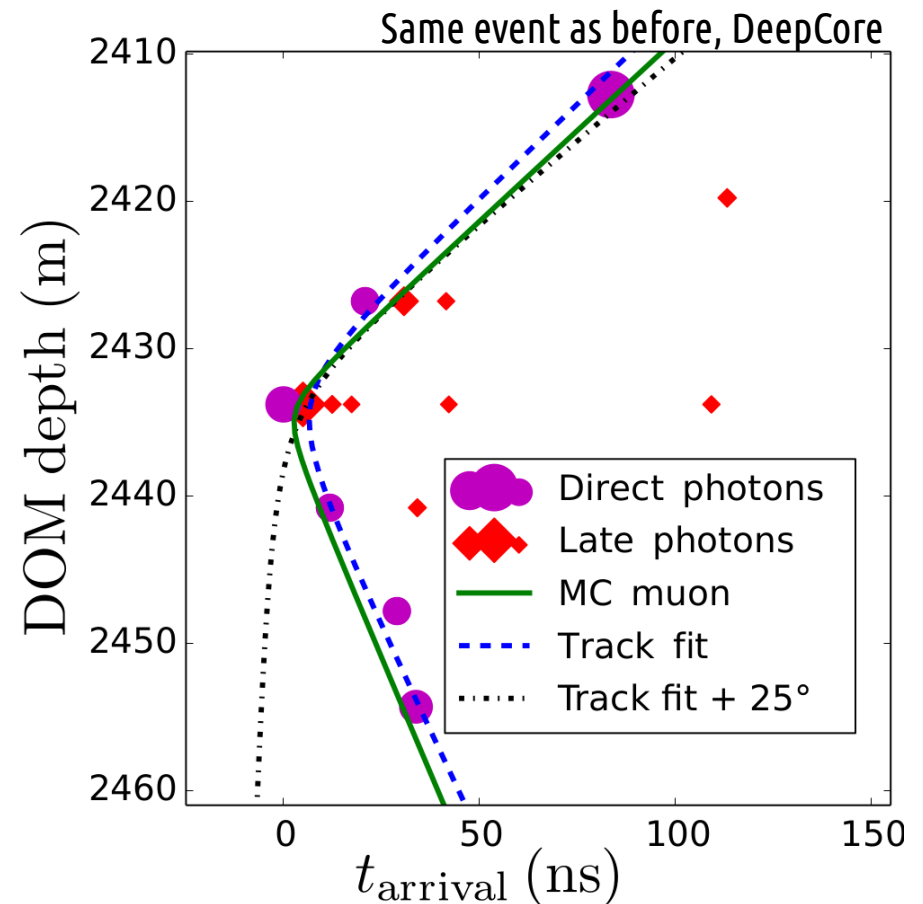
» Delayed by scattering, reduced by absorption

» **PINGU**: ~10x more photons per event

# II. Neutrino reconstruction

## Latest published DeepCore results

- » Zenith: Require a core of *direct* (unscattered) photons
  - » Minimize impact of ice properties
  - » 30% efficiency
  - » Fit zenith angle with direct photons (assume no scattering)
- » Energy: track+cascade hypothesis
  - » Fit track length and vertex position/E
  - » Keep direction fixed
  - » Assume track and cascade are collinear

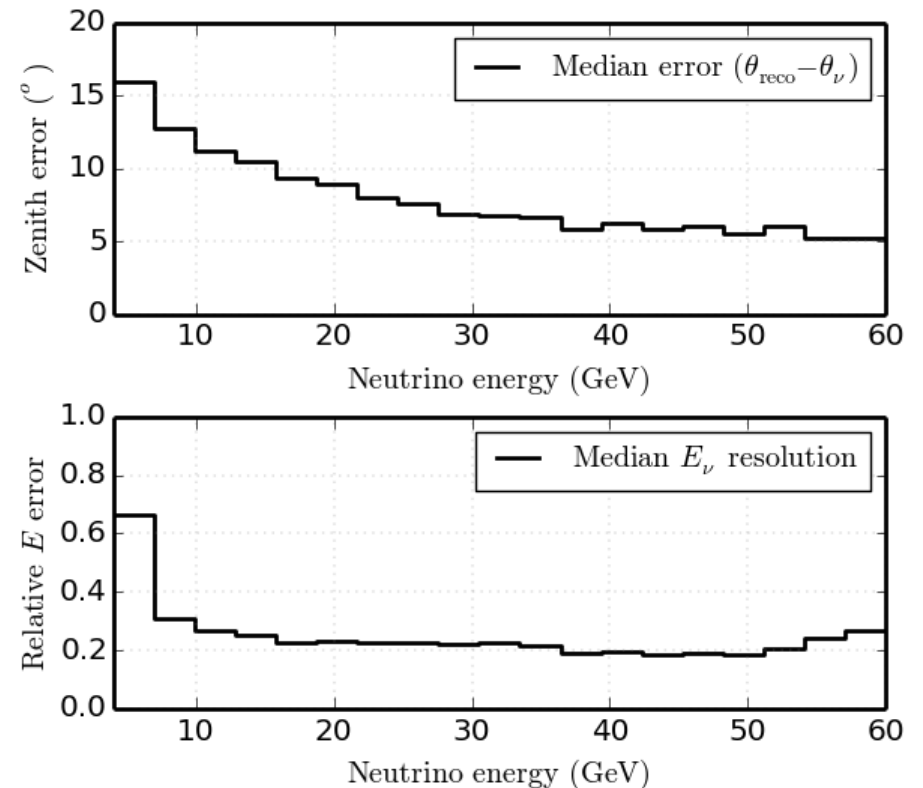


# II. Neutrino reconstruction

## Latest published DeepCore results

- » Zenith: Require a core of *direct* (unscattered) photons
  - » Minimize impact of ice properties
  - » 30% efficiency
  - » Fit zenith angle with direct photons (assume no scattering)
- » Energy: track+cascade hypothesis
  - » Fit track length and vertex position/E
  - » Keep direction fixed
  - » Assume track and cascade are collinear

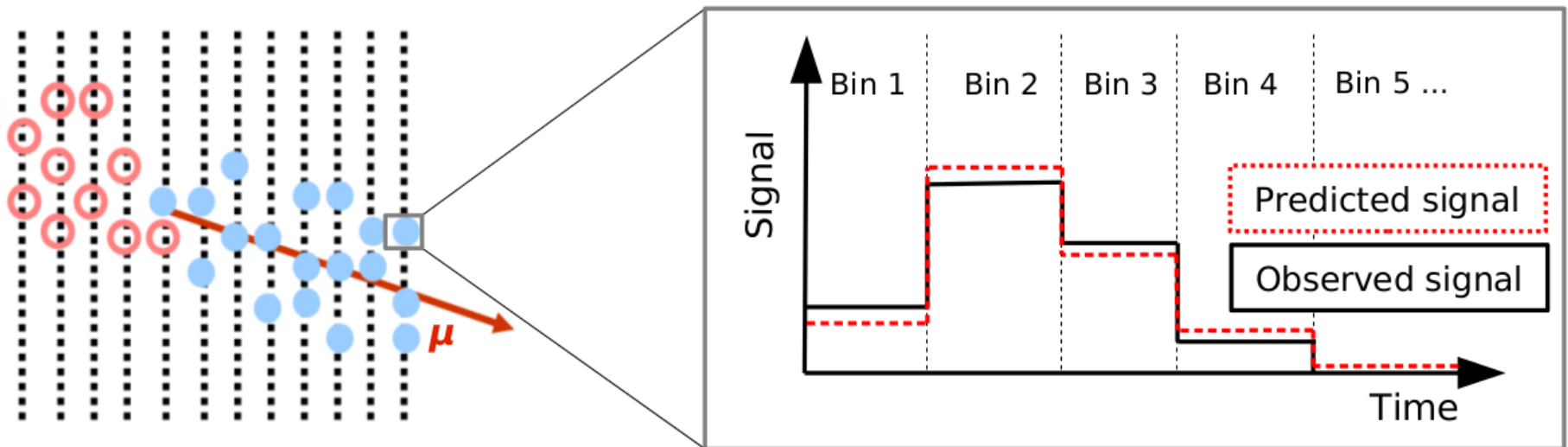
Resolutions for DeepCore (published result)



# II. Neutrino reconstruction

## More sophisticated reconstruction

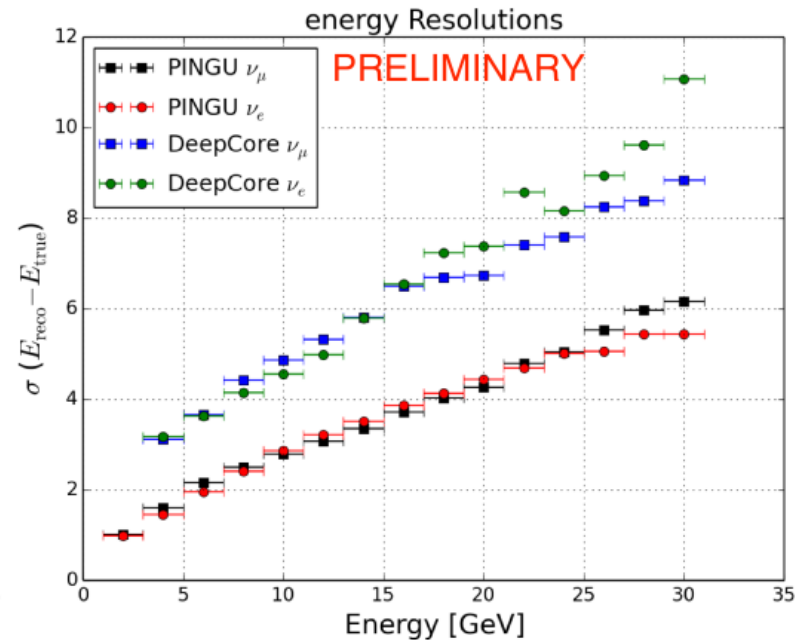
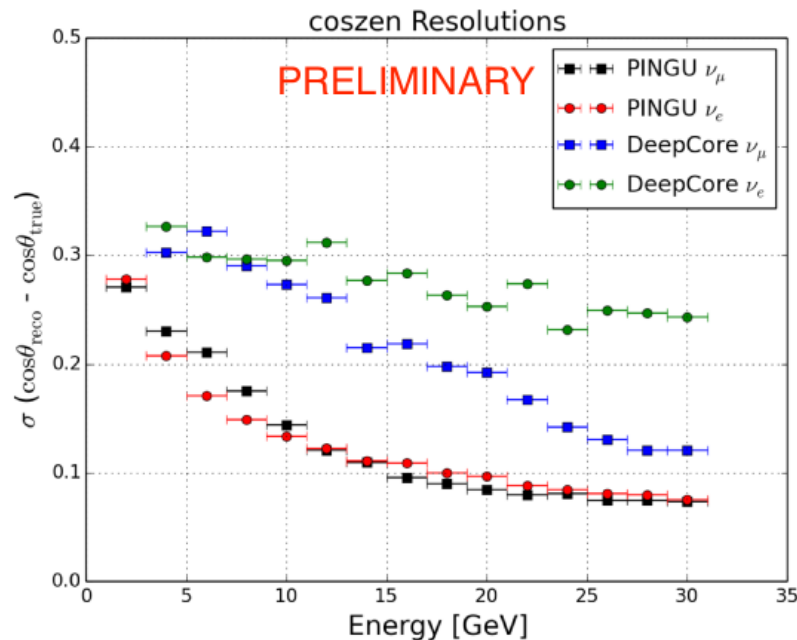
- » Use arrival time of individual photons
- » Fit energy + direction simultaneously
- » No need for direct photons, use all events
- » Include ice properties (from ice models)
- » Assume track and cascade are collinear
- » Similar resolutions in DeepCore
- » Higher efficiency
- » Working in **DeepCore**, testing vs data
- » Used in **PINGU** analyses



# II. Neutrino reconstruction

## More sophisticated reconstruction

- » Use arrival time of individual photons
- » Fit energy + direction simultaneously
- » No need for direct photons, use all events
- » Include ice properties (from ice model)
- » Similar resolutions in DeepCore
- » Higher efficiency
- » Working in DeepCore, testing vs data



# II. Neutrino identification

- » In **DeepCore** → ratio of 2 fits
  - » Assume track+cascade vs only cascade
  - » Current results:  $\chi^2$  ratio in directional fit →
  - » Studying  $\Delta LLH$  in “sophisticated” reco

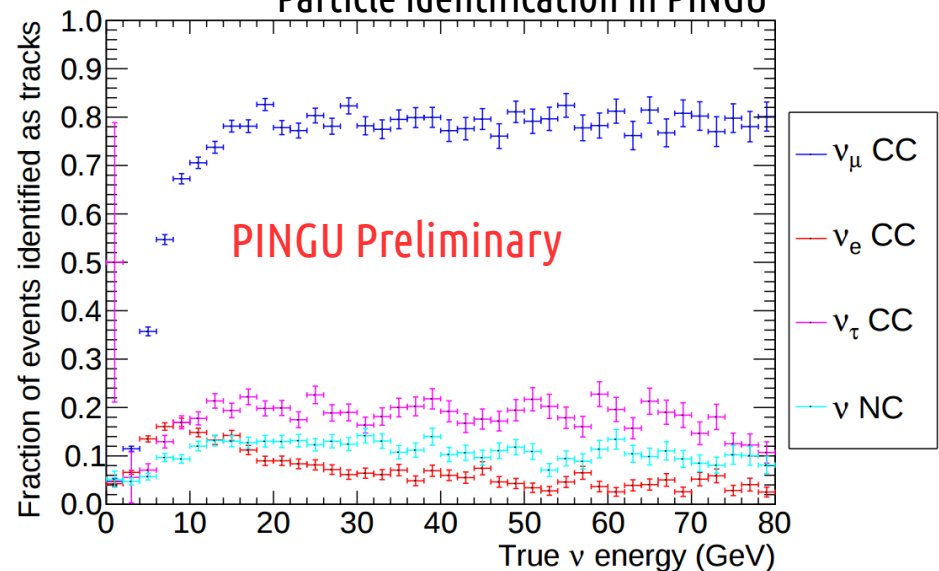
Particle identification in DeepCore

Stabilizes for  $E > 30$  GeV  
60% of muon tracks classified correctly  
30% of cascades misclassified as tracks

Difference in probabilities of 30%  
Reduced to 20% at 10 GeV

- » In **PINGU** → multivariate method
  - » Exploit topological variables
  - » Combine discrimination power
  - » Can be optimized for sensitivity
  - » Better performance than DeepCore

Particle identification in PINGU





# III. Analysis – syst. uncertainties

Implemented in **DeepCore** latest result

Source of error		Nominal value from	Uncertainty
Neutrino interactions	Total cross-section scaling	GENIE model	Free
	Linear energy dependence		$E^{+/-0.03}$
	Axial mass of non-DIS events		$\sim +/ - 20\%^*$
Atmospheric neutrino flux	Overall normalization	Honda 2015	Free
	Spectral index		$E^{+/-0.04}$
	NuE relative normalization		$+/- 20\%$
Detection	Hadronic energy scaling	Geant4 (model)	$+/- 5\%$
	DOM overall efficiency	Muons, flashers	$+/- 10\%$
	DOM angular acceptance (scattering in hole-ice)	Fit to flasher data	As large as $50\%^{\dagger}$
	Bulk-ice model		Two models

+neutrino oscillation parameters

\* Exact value depends on the individual process

$\dagger$  Largest deviation for photons perpendicular to PMT direction

# III. Analysis – syst. uncertainties

Being studied in DeepCore data analyses

Source of error		Nominal value from	Uncertainty
Neutrino interactions	Total cross-section scaling	GENIE model	Free
	Linear energy dependence		$E^{+/-0.03}$
	<u>DIS cross section</u>		<u>From models</u>
	Axial mass of non-DIS events		$\sim +/ - 20\%^*$
Atmospheric neutrino flux	Overall normalization	Honda 2015	Free
	Spectral index		$E^{+/-0.04}$
	<u>Neutrino/Antineutrino ratio</u>		<u>E dependent</u>
	<u>NuE relative normalization</u>		<u><math>+/- 3\%</math></u>
Detection	Hadronic energy scaling	Geant4 (model)	$+/- 5\%$
	<u>Hadronization/propagation</u>		<u>From models</u>
	DOM overall efficiency	Muons, flashers	$+/- 10\%$
	DOM angular acceptance* (scattering in hole-ice)	Fit to flasher data	As large as $50\%^{\dagger}$
	Bulk-ice model		Two models

\* Exact value depends on the individual process

+neutrino oscillation parameters

$\dagger$  Largest deviation for photons perpendicular to PMT direction

# III. Analysis – syst. uncertainties

Being studied in PINGU simulation/analyses

- » Uncertainties on oscillation parameters included (atmospheric parameters dominant)
  - » Using priors from nu-fit.org on solar parameters and  $\theta_{13}$  (delta-cp fixed at 0)
- » Detailed studies of **cross sections (6 parameters)** and **flux uncertainties (18 parameters)**
- » The most relevant (non-oscillation) uncertainties are listed

	Source of error	Nominal value	Uncertainty
Neutrino interactions and effective area (7+ parameters)	Total cross-section scaling	GENIE model	Free
	DIS cross section (4 parameters)		From models
	Axial mass of non-DIS events (2 parameters)		$\sim \pm 20\%^*$
Atmospheric neutrino flux (18+ parameters)	Overall normalization (Aeff scaling)	Honda 2015	Free
	Spectral index		$E^{(\pm 0.05)}$
	$\pi$ & $K$ production and decays		From models
	Neutrino/Antineutrino ratio		10%
	NuE relative normalization		$\pm 3\%$
Detection	Energy scale	Muons, flashers	$\pm 10\%$

# III. Analysis – methods

## » DeepCore

Likelihood ratio with high stats. MC

- » Data and full MC sets selected, reconstructed
- » Detector systematics simulated in full → parameterized

## » PINGU

- » Detector response from MC (created, selected, reconstructed and parameterized)

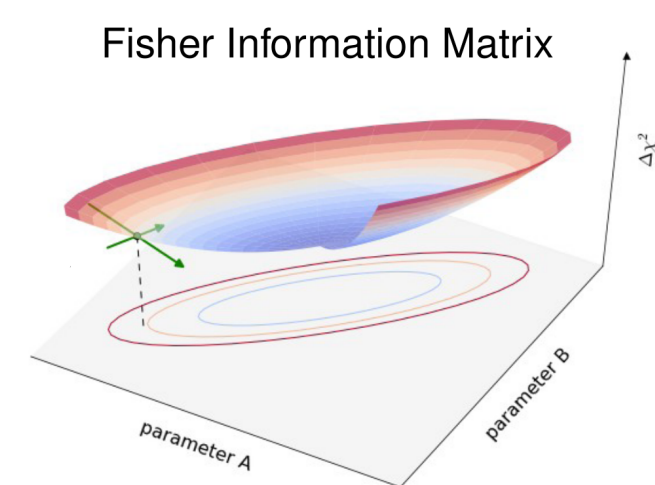
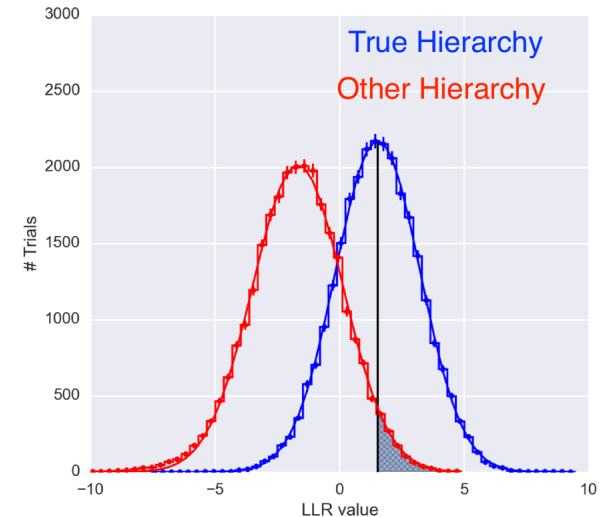
a) Likelihood ratio

- » Draw and fit pseudo-experiments

b)  $\Delta\chi^2$  based analysis

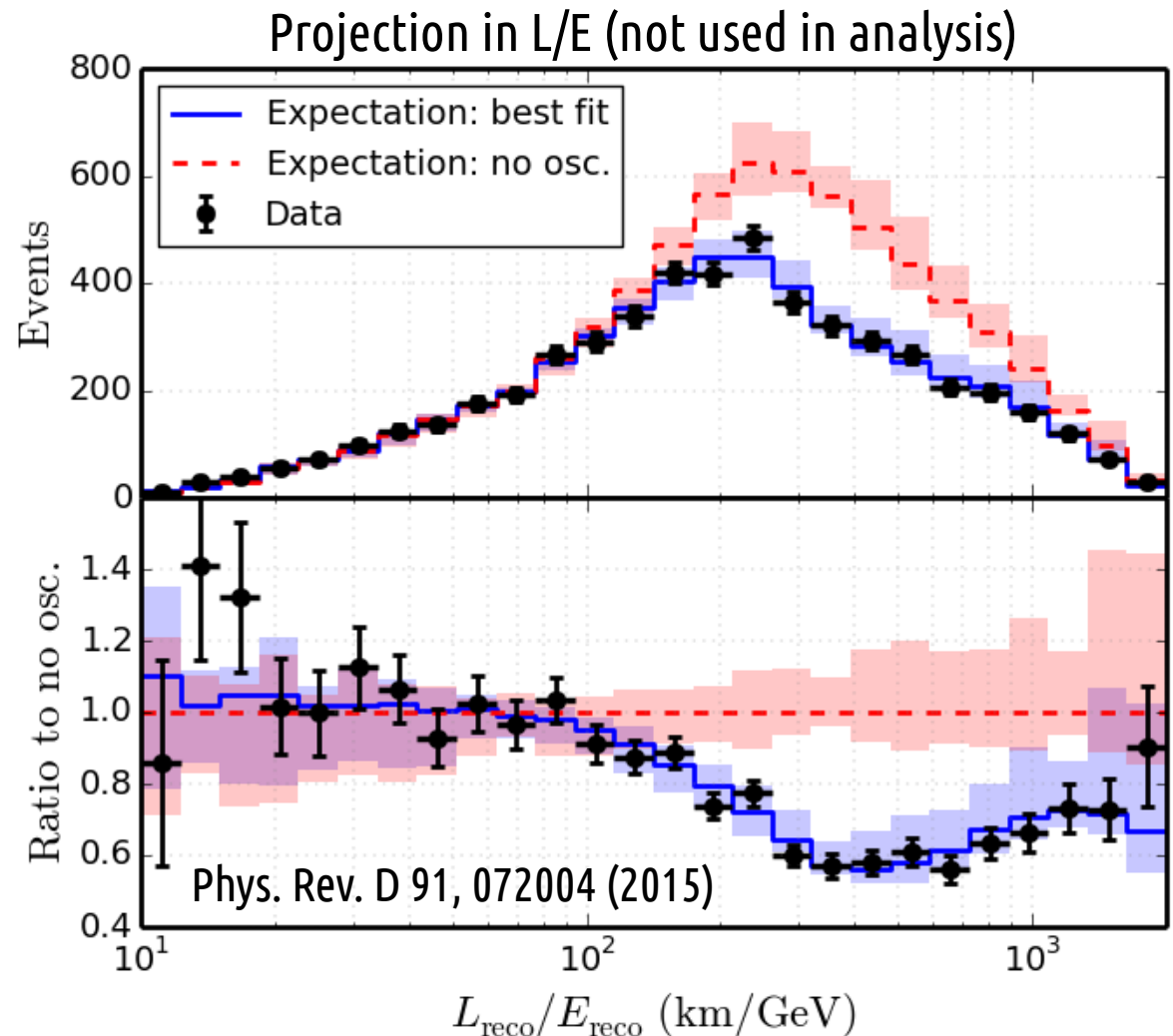
- » Gradients in parameter space to get covariance matrix
- » Angle  $\theta_{23}$  covariance matrix calculated directly (no gradients)
- » Fast, well suited for optimization

Good agreement  
between methods

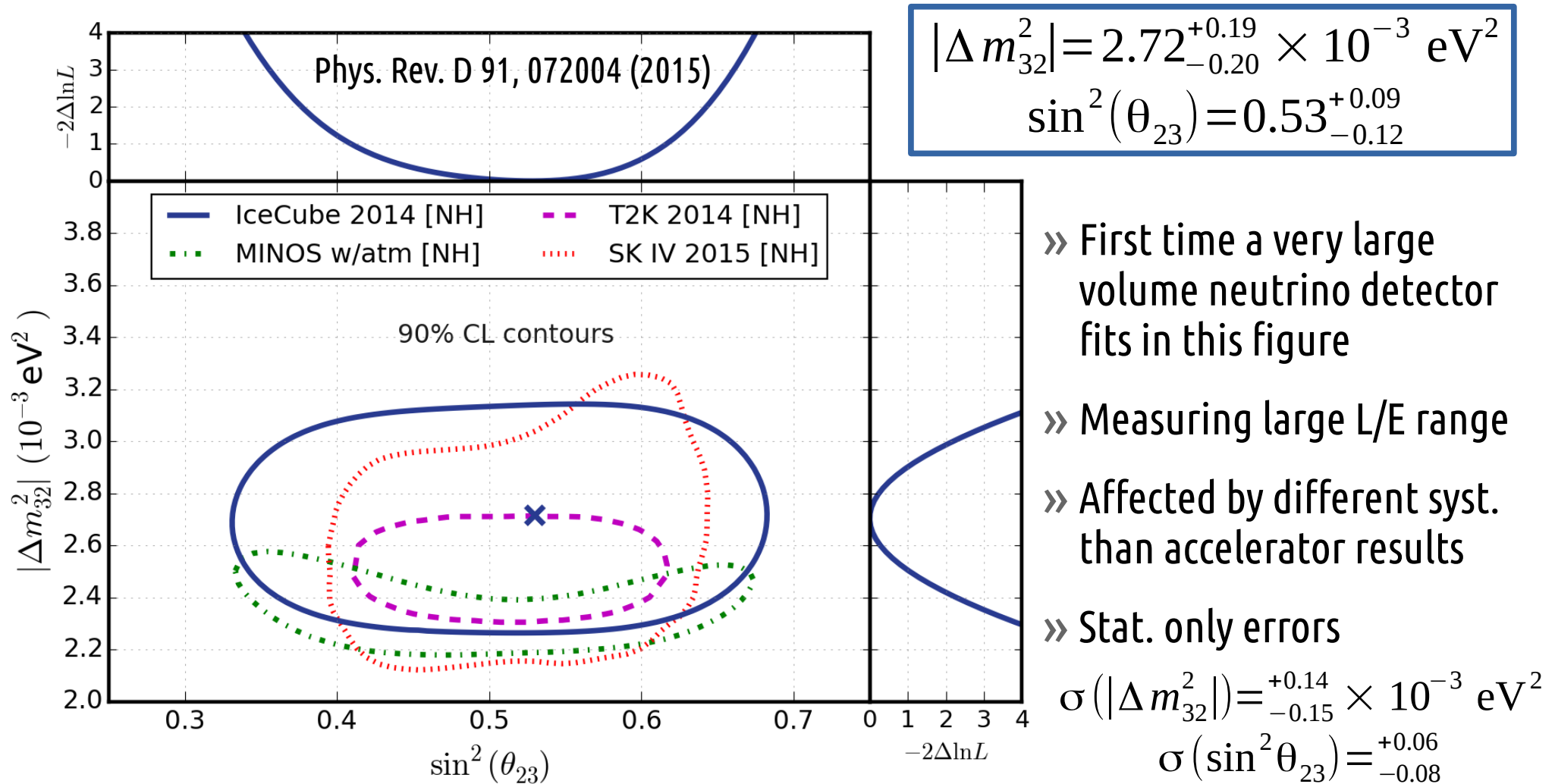


# DeepCore results

- » Using **muon tracks only**
- » Best fit to the data from a 2D analysis ( $E, \theta$ )
- » Up-going events
- » Using  $E < 56$  GeV
- » 5174 events in 3 years
- » In 2D fit histogram
  - »  $\chi^2 = 54.9 / 56$  d.o.f.



# DeepCore results



# DeepCore – projected sensitivity

Projected MC sensitivity from re-analysis of 3 years of DeepCore data\*

» Classify interactions:

» Between track- and cascade-like

» Inclusive selection:

» Direct hits required (5 → 3)

» Sophisticated reconstruction

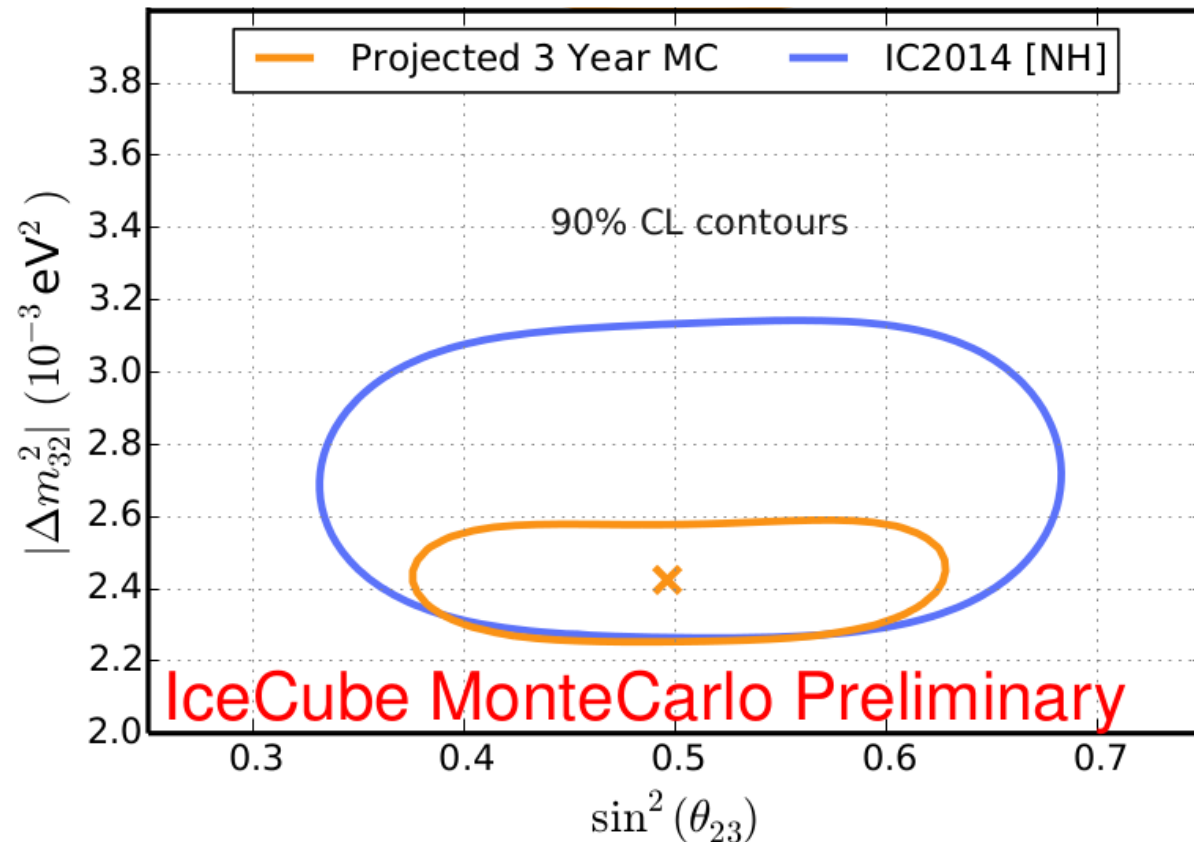
» Global fit of all parameters

» Including events from all directions

» Also down-going (atm. Muons)

» Renewed calibration efforts

» Noise modeling, angular acceptance, individual DOM behavior

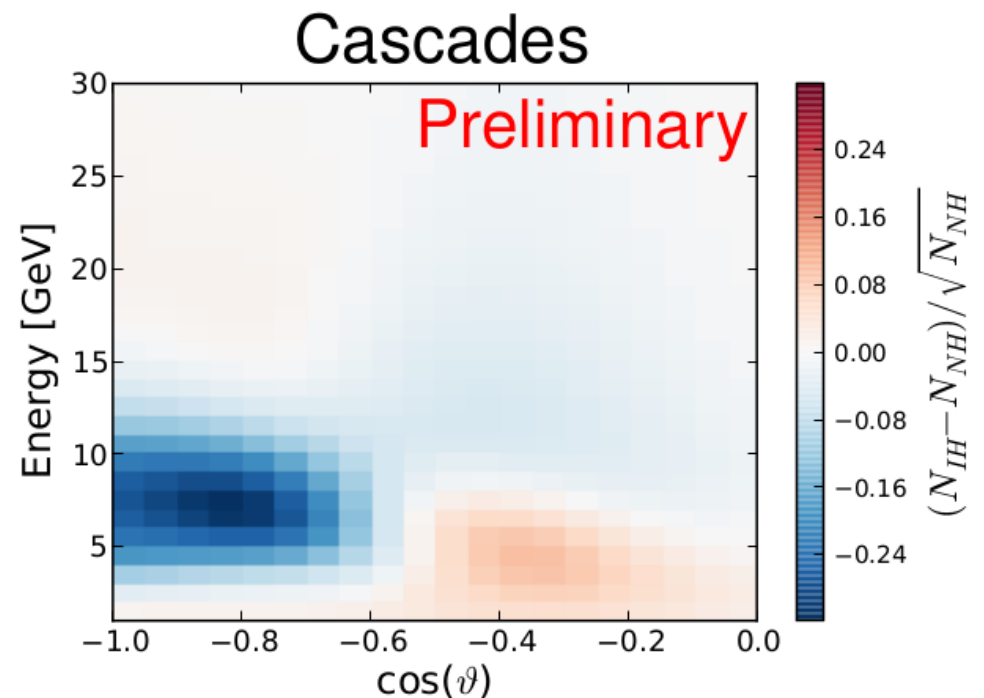
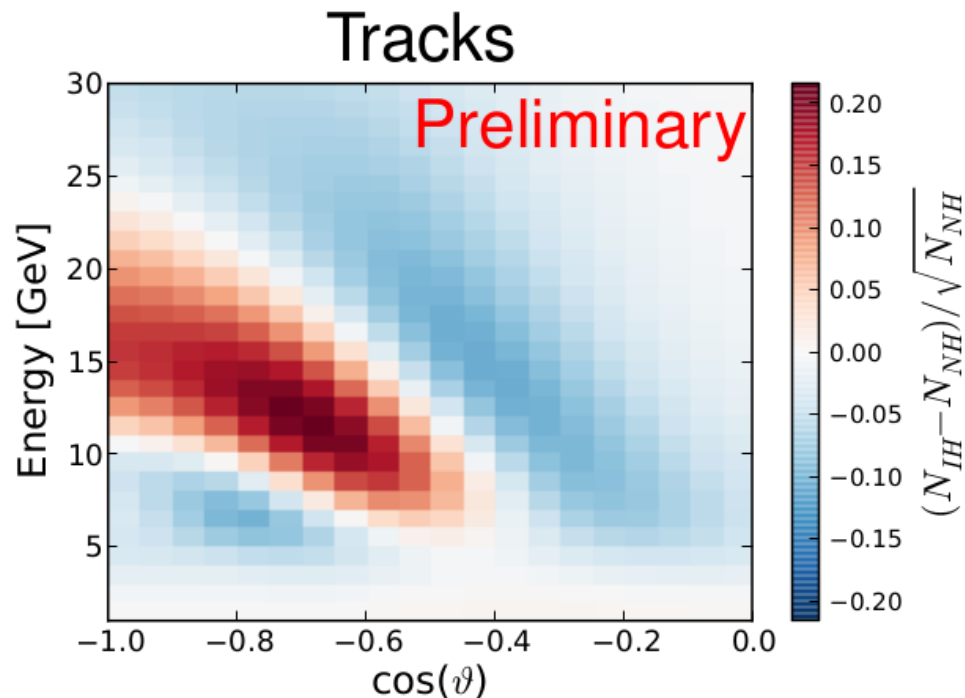


\*Projections produced assuming current knowledge. Can change if newer information is available.



# PINGU – mass ordering signature

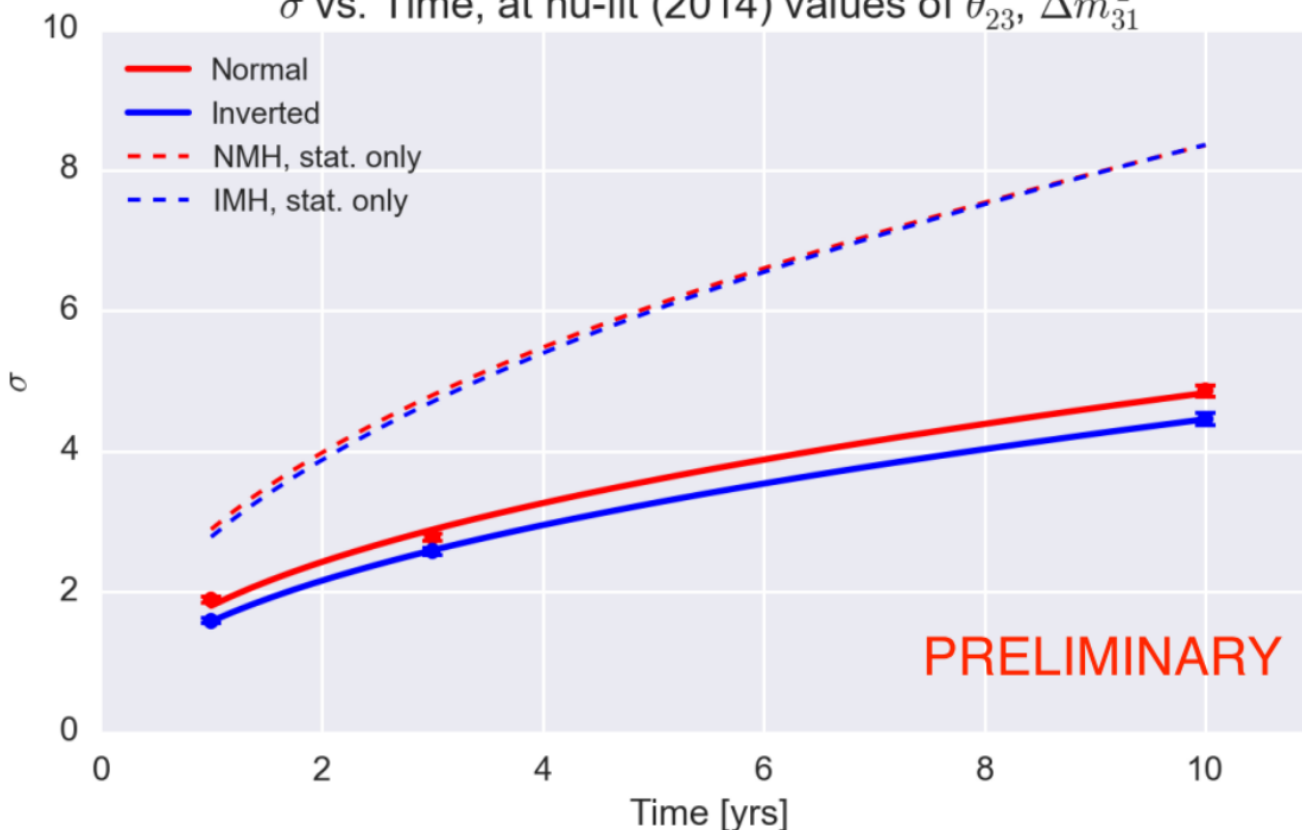
- » Bin-wise significance for one year of data
- » Tracks are mostly muon neutrinos
- » Cascades are mostly electron neutrinos



# PINGU – sensitivity vs time

- »  $3\sigma$  identification with 3-4 years of data
- » Oscillation parameters are most important source of error
- » Slightly better sensitivity to normal hierarchy

$\sigma$  vs. Time, at nu-fit (2014)<sup>1</sup> values of  $\theta_{23}$ ,  $\Delta m_{31}^2$



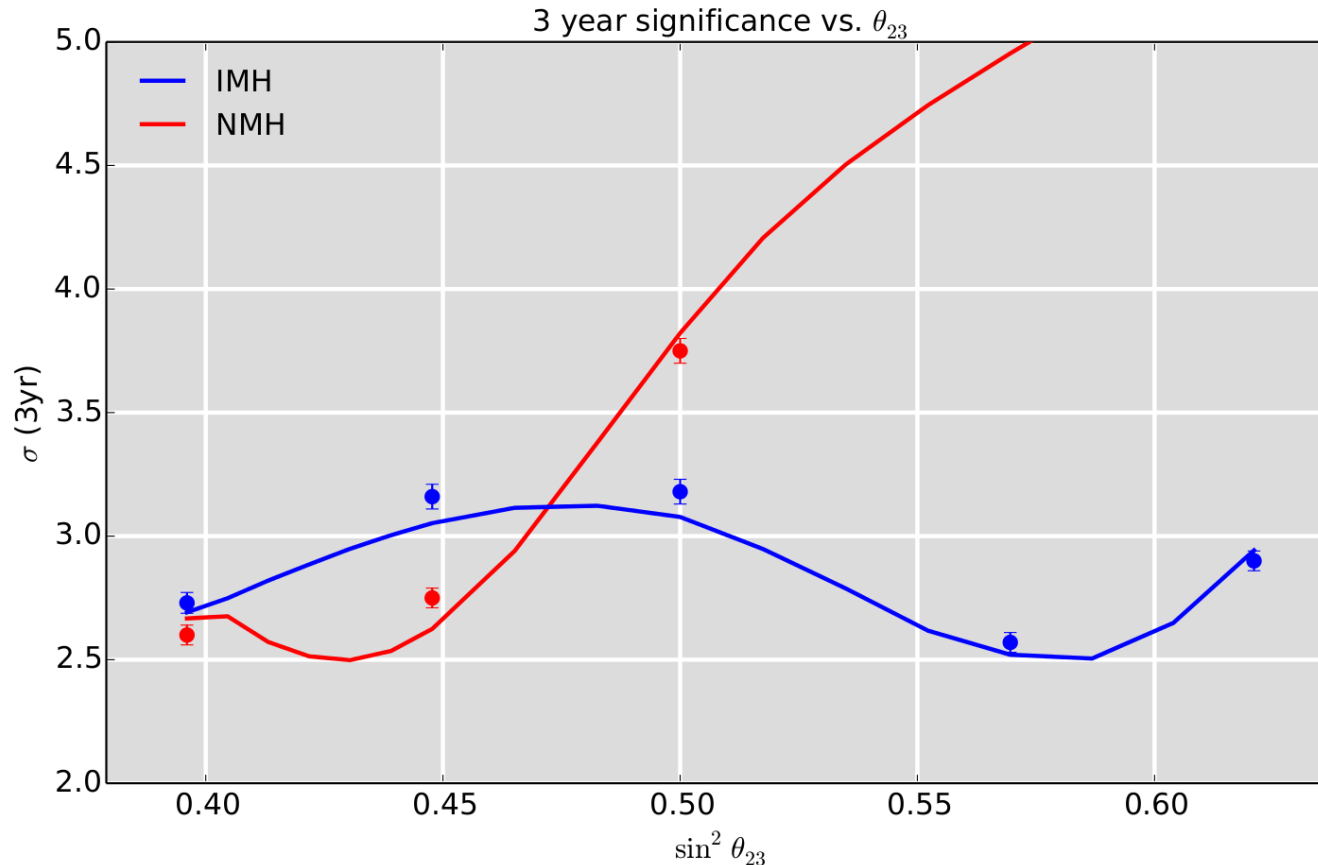
Significance including only one set of uncertainties

Type	3yr $\sigma$ (NMH)	3yr $\sigma$ (IMH)
stat. only	4.84	4.82
flux only	4.55	4.56
det. only	4.06	3.99
$\theta_{23}$ only	3.52	3.26
osc. only	2.96	2.53
All	2.90	2.51

\*delta-cp kept fixed at 0 (injected)

# PINGU – sensitivity vs $\theta_{23}$

- » Mass ordering sensitivity dependence on  $\theta_{23}$
- » Lines from  $\Delta\chi^2$  based analysis
- » Points from likelihood ratio studies

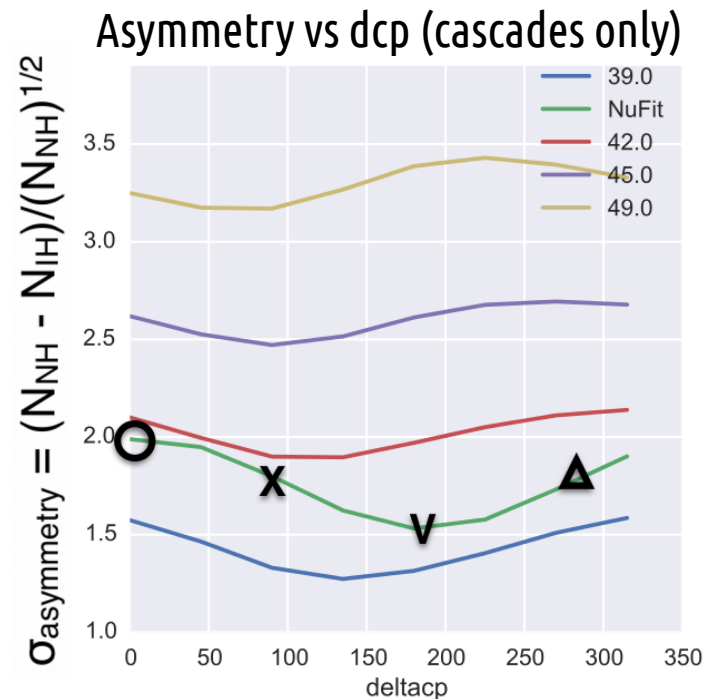


# PINGU – sensitivity vs $\delta_{CP}$

- » Impact of the imaginary phase on sensitivity
  - » Projections shown for the 3-year benchmark
  - » Sensitivity changes by  $1/2\sigma$  depending on true  $\delta_{CP}$  value

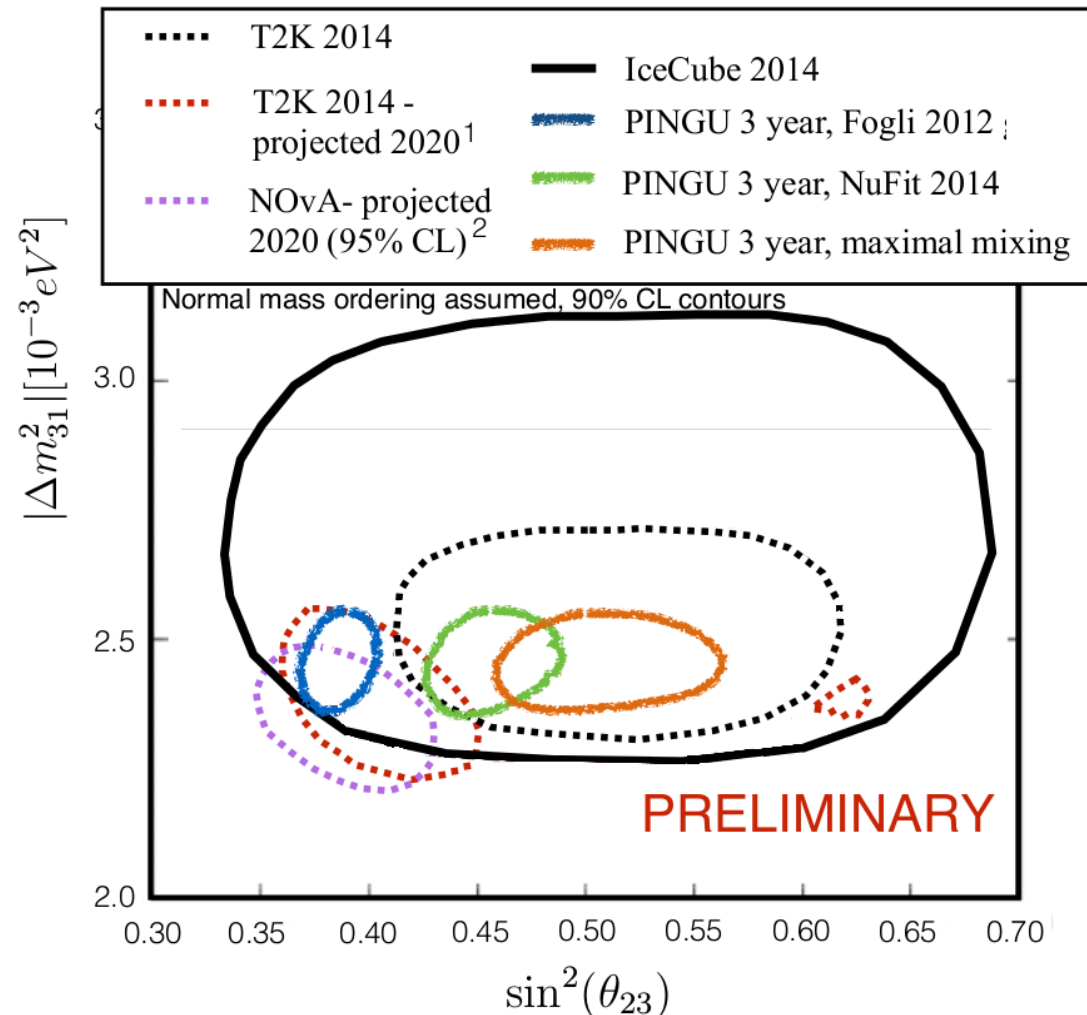
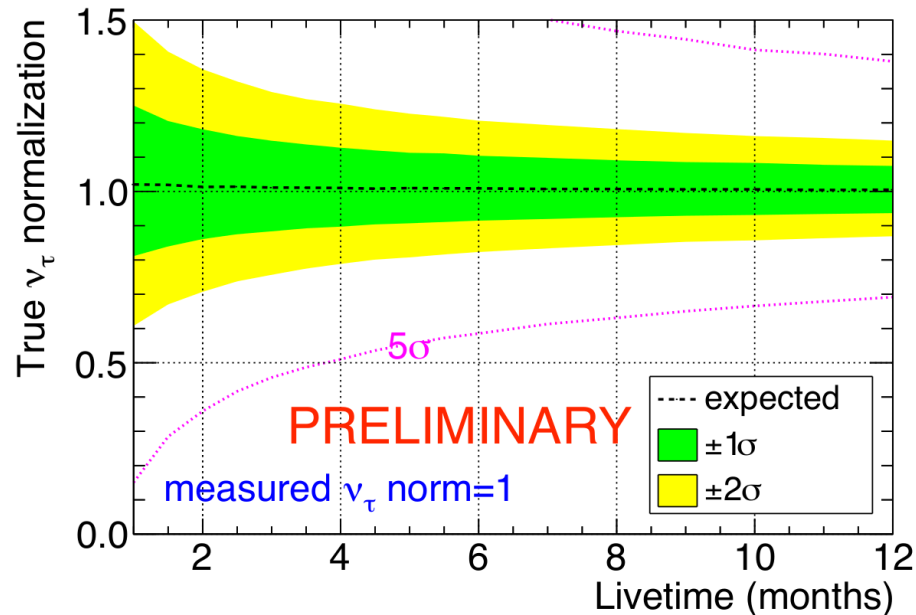
Full LLR analysis

	$\delta_{CP}(\text{deg})$	$\sigma_{NH}$	$\sigma_{IH}$
○	0	2.80	2.53
X	90	2.49	2.32
V	180	2.32	2.01
△	270	2.40	2.21



# PINGU – atm. params. sensitivity

- » Competitive sensitivity to oscillation parameters expected
- » Appearance of tau neutrinos at  $5\sigma$  within a month of operation



# Summary and outlook

- » IceCube DeepCore has demonstrated it can measure atmospheric neutrino oscillations
  - » Current results in the same scale as dedicated experiments
  - » Still far from its full potential, significant improvement expected
- » PINGU can greatly enhance these measurements
  - » Large statistics, reconstruction errors halved
  - » Capable of identifying the mass ordering with  $3\sigma$  in 3-4 years
  - » Improve precision of oscillation parameters



# The IceCube-*Gen2* Collaboration



## International Funding Agencies

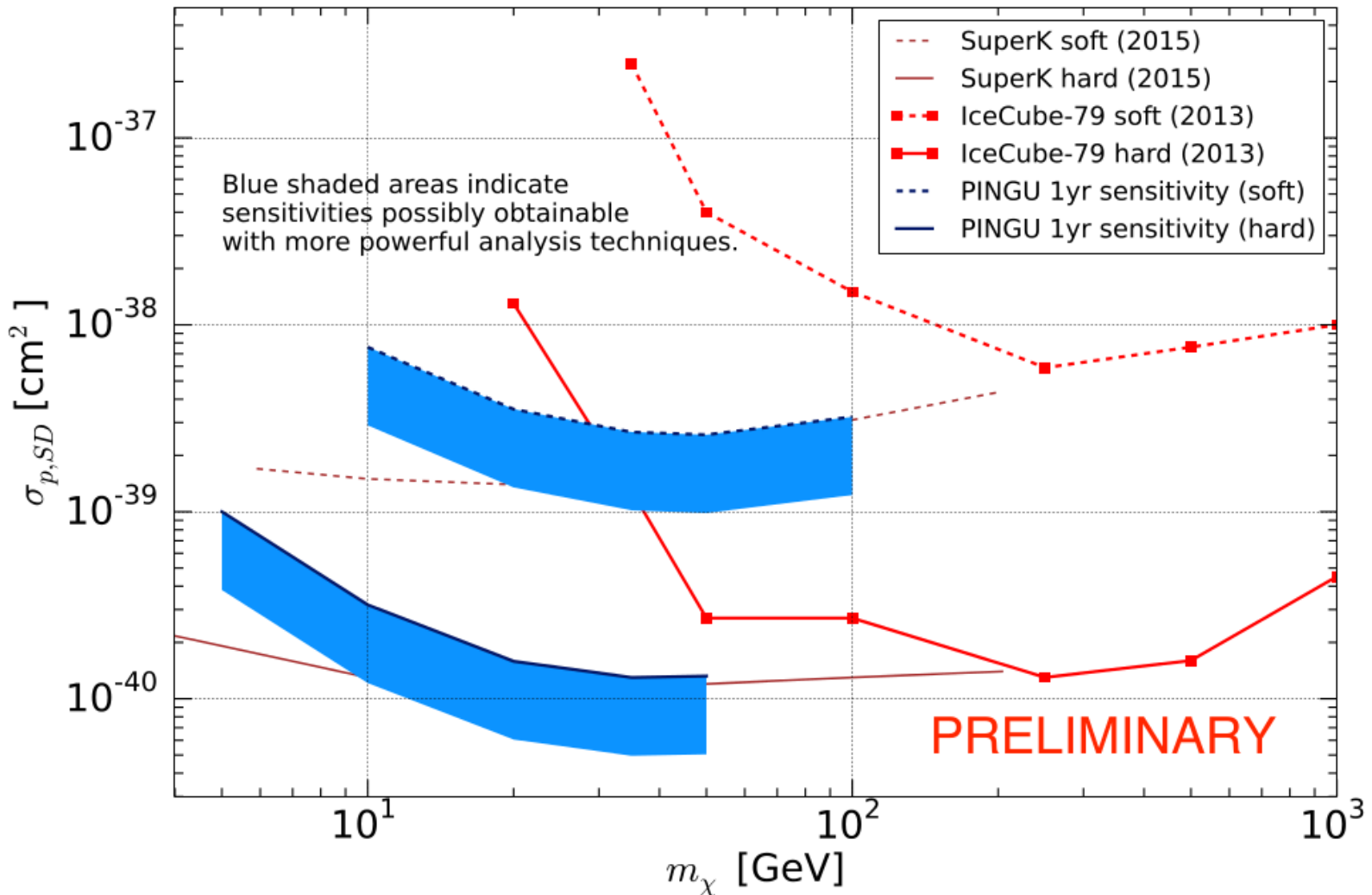
Fonds de la Recherche Scientifique (FRS-FNRS)  
Fonds Wetenschappelijk Onderzoek-Vlaanderen (FWO-Vlaanderen)  
Federal Ministry of Education & Research (BMBF)  
German Research Foundation (DFG)

Deutsches Elektronen-Synchrotron (DESY)  
Inoue Foundation for Science, Japan  
Knut and Alice Wallenberg Foundation  
NSF-Office of Polar Programs  
NSF-Physics Division

Swedish Polar Research Secretariat  
The Swedish Research Council (VR)  
University of Wisconsin Alumni Research Foundation (WARF)  
US National Science Foundation (NSF)



# PINGU – Dark matter searches



# PINGU - Atmospheric mixing

