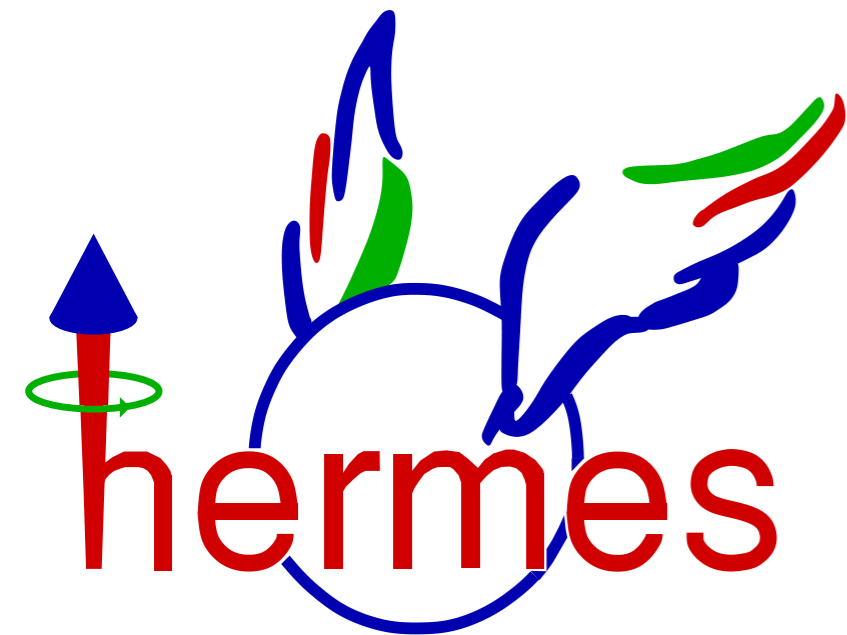


# Overview of HERMES results

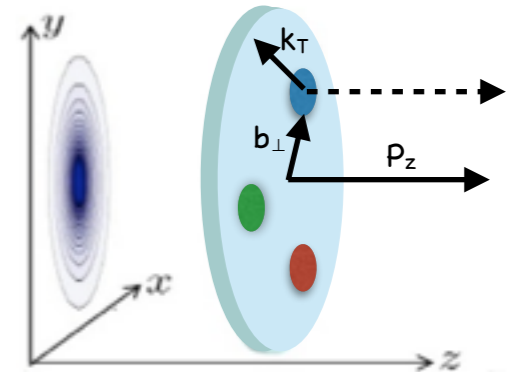
Charlotte Van Hulse, on behalf of the HERMES collaboration  
University of the Basque Country UPV/EHU - Spain



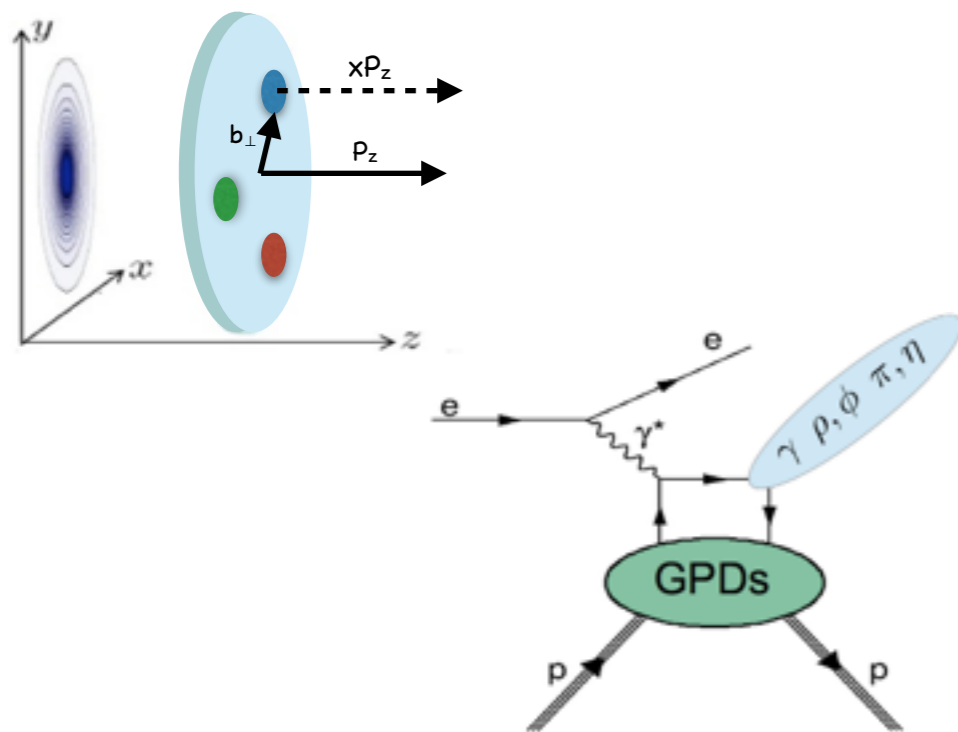
POETIC VI  
7-11 September 2015  
Palaiseau, France

# Outline

- 3D picture of the nucleon:
  - exclusive  $\omega$  production: SDMEs and  $A_{UT}$
  - $A_{UT}$  and  $A_{LT}$  in semi-inclusive DIS
- Bose-Einstein correlations in DIS
- $\Lambda$  polarization in photoproduction

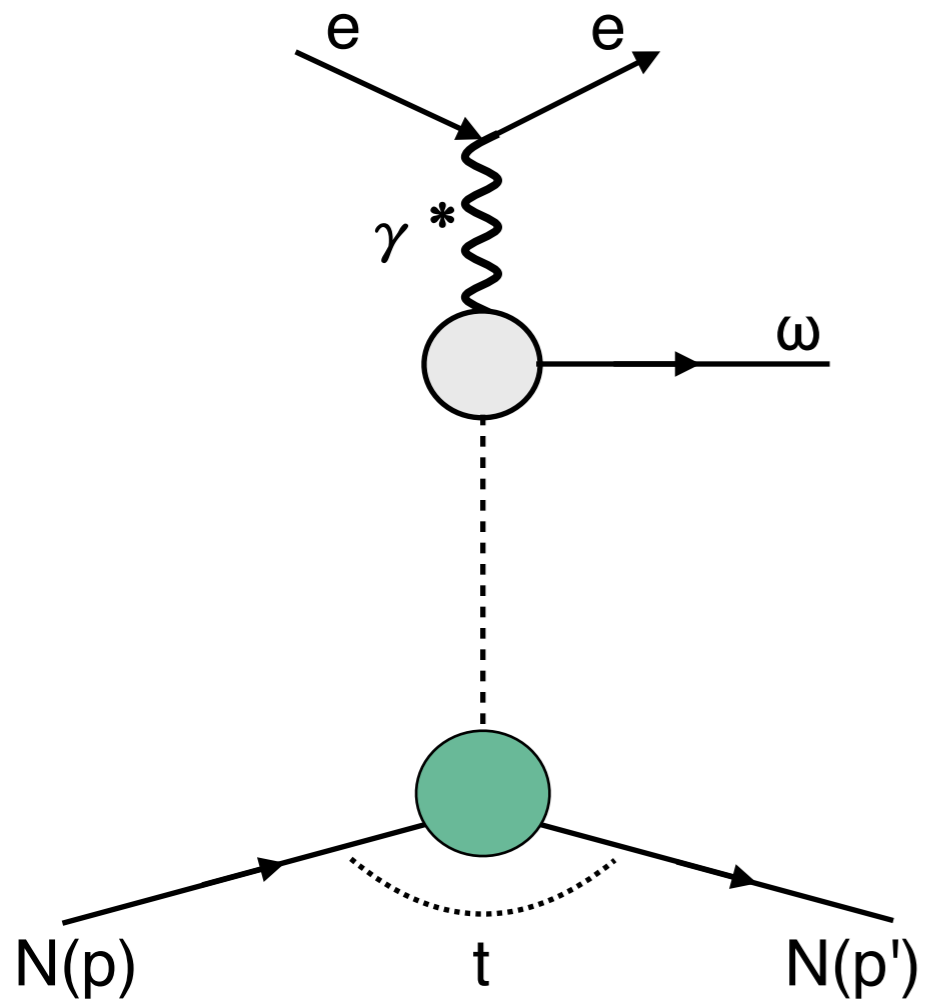


# Exclusive $\omega$ production

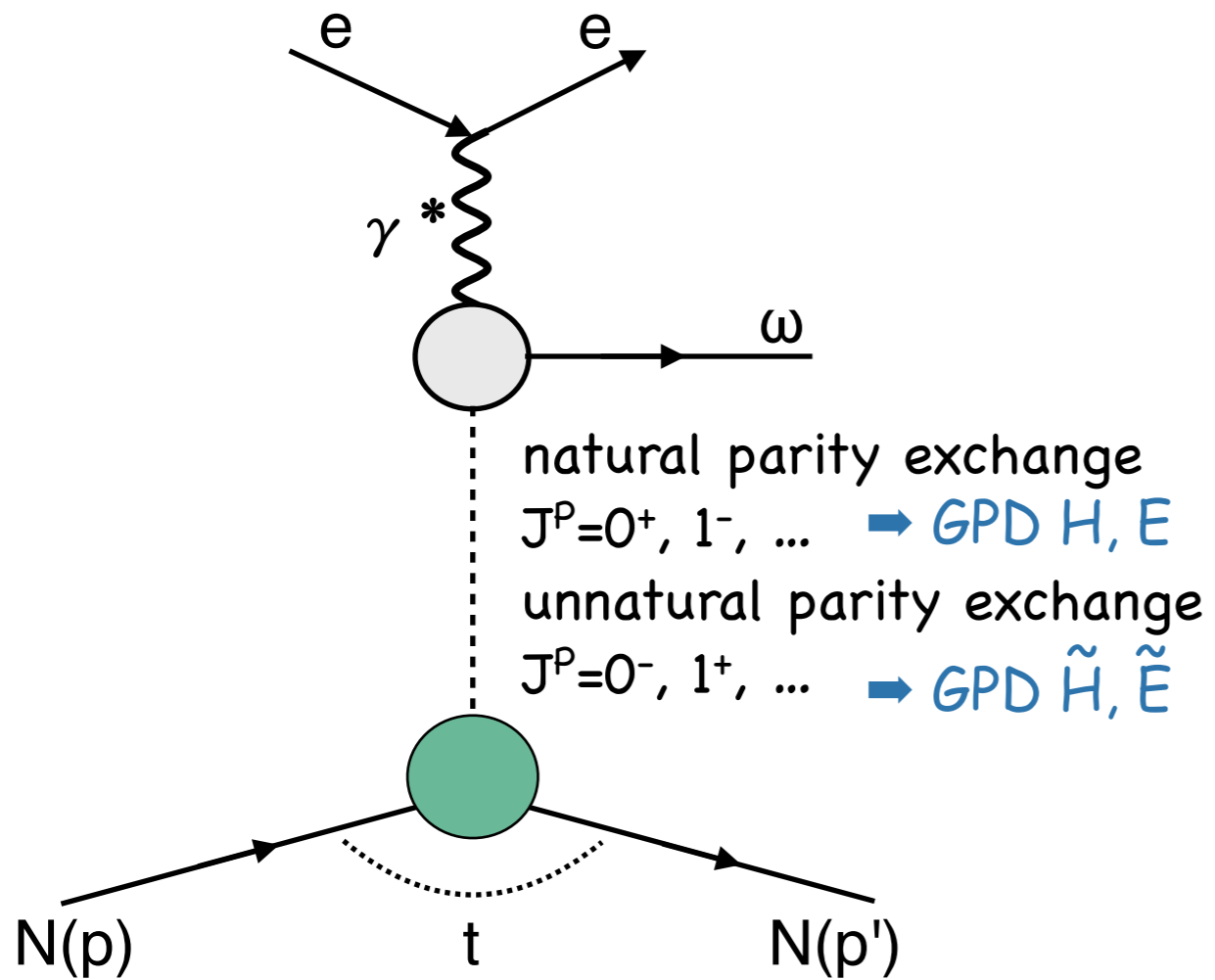


- SDMEs:
  - unpolarized & longitudinally polarized  $e^+/e^-$  beam
  - unpolarized H & D target
- $A_{UT}$ :
  - unpolarized  $e^+/e^-$  beam
  - transversely polarized H target

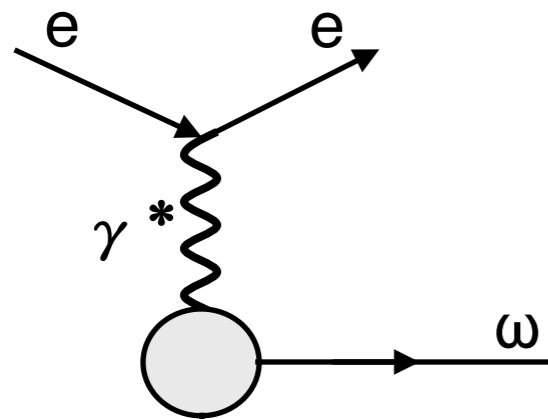
# Exclusive $\omega$ production



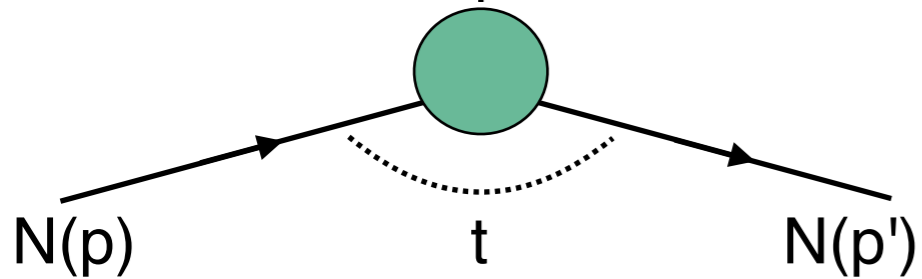
# Exclusive $\omega$ production



# Exclusive $\omega$ production

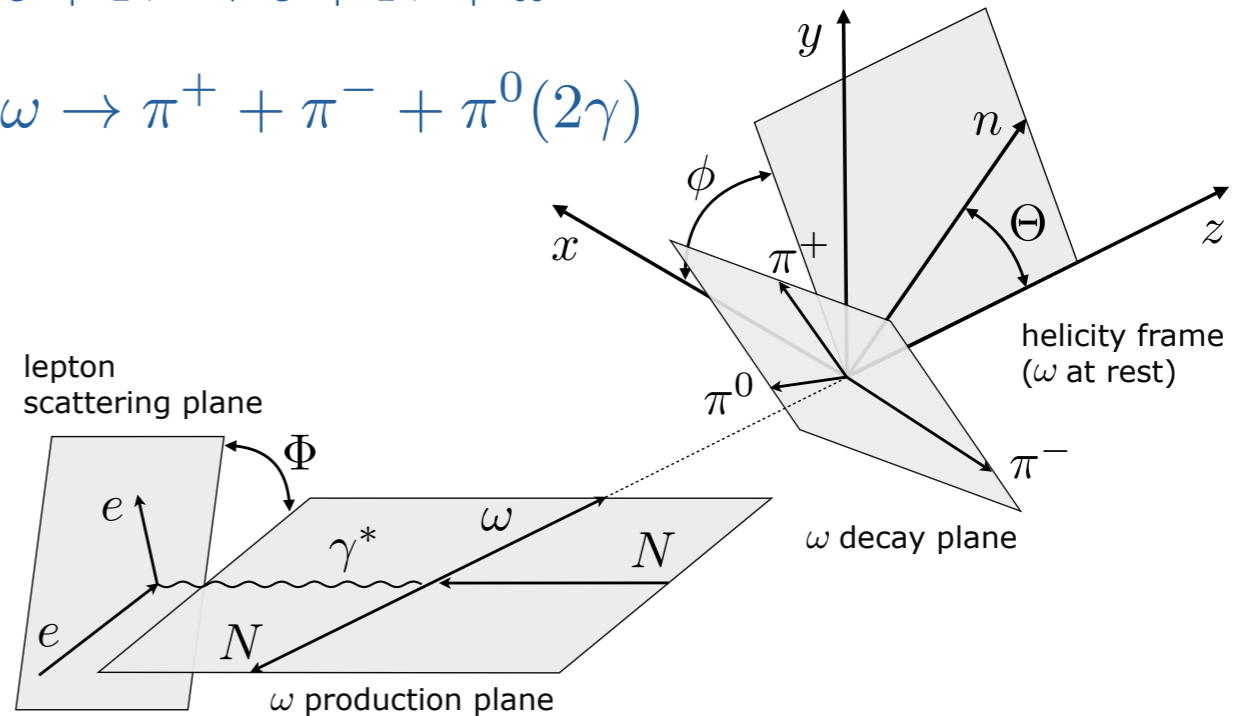


natural parity exchange  
 $J^P=0^+, 1^-, \dots \rightarrow \text{GPD } H, E$   
 unnatural parity exchange  
 $J^P=0^-, 1^+, \dots \rightarrow \text{GPD } \tilde{H}, \tilde{E}$



$$e + N \rightarrow e + N + \omega$$

$$\omega \rightarrow \pi^+ + \pi^- + \pi^0 (2\gamma)$$

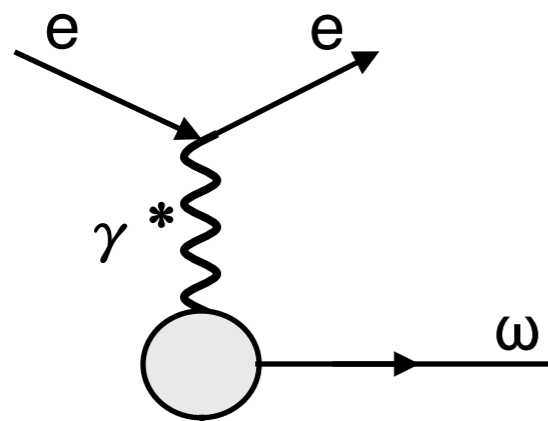


Fit angular distribution  $\mathcal{W}(\Phi, \phi, \Theta)$  of  $\omega$  decay pions

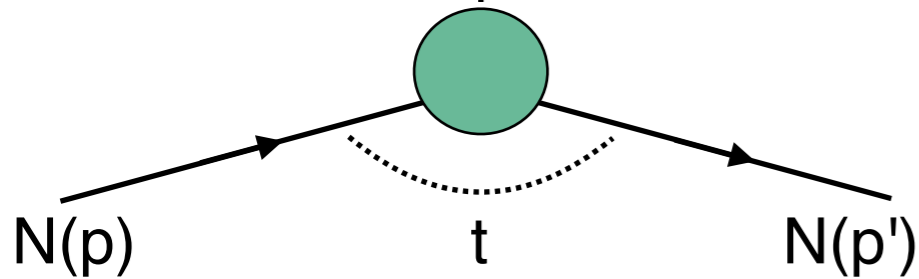


Spin density matrix elements (SDMEs)  
 describing final spin state of  $\omega$   
 and transverse target-spin asymmetries ( $A_{UT}$ )

# Exclusive $\omega$ production

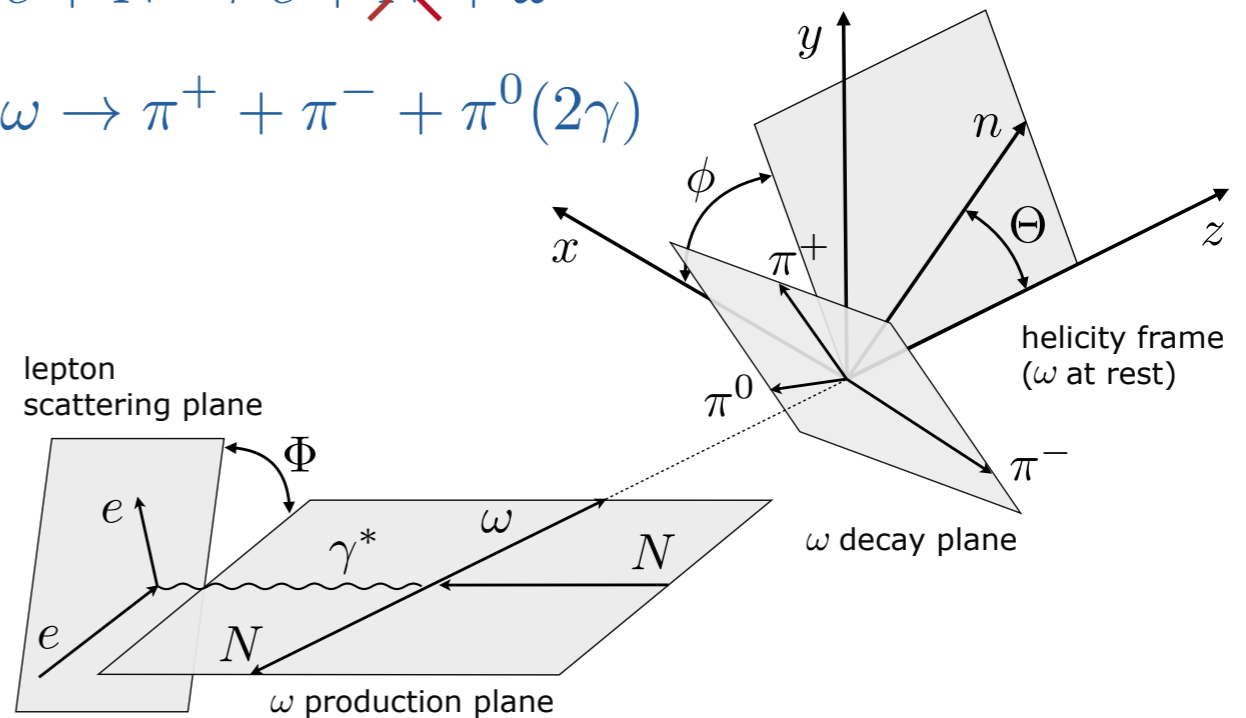


natural parity exchange  
 $J^P=0^+, 1^-, \dots \rightarrow \text{GPD } H, E$   
 unnatural parity exchange  
 $J^P=0^-, 1^+, \dots \rightarrow \text{GPD } \tilde{H}, \tilde{E}$



$$e + N \rightarrow e + \cancel{N} + \omega$$

$$\omega \rightarrow \pi^+ + \pi^- + \pi^0 (2\gamma)$$



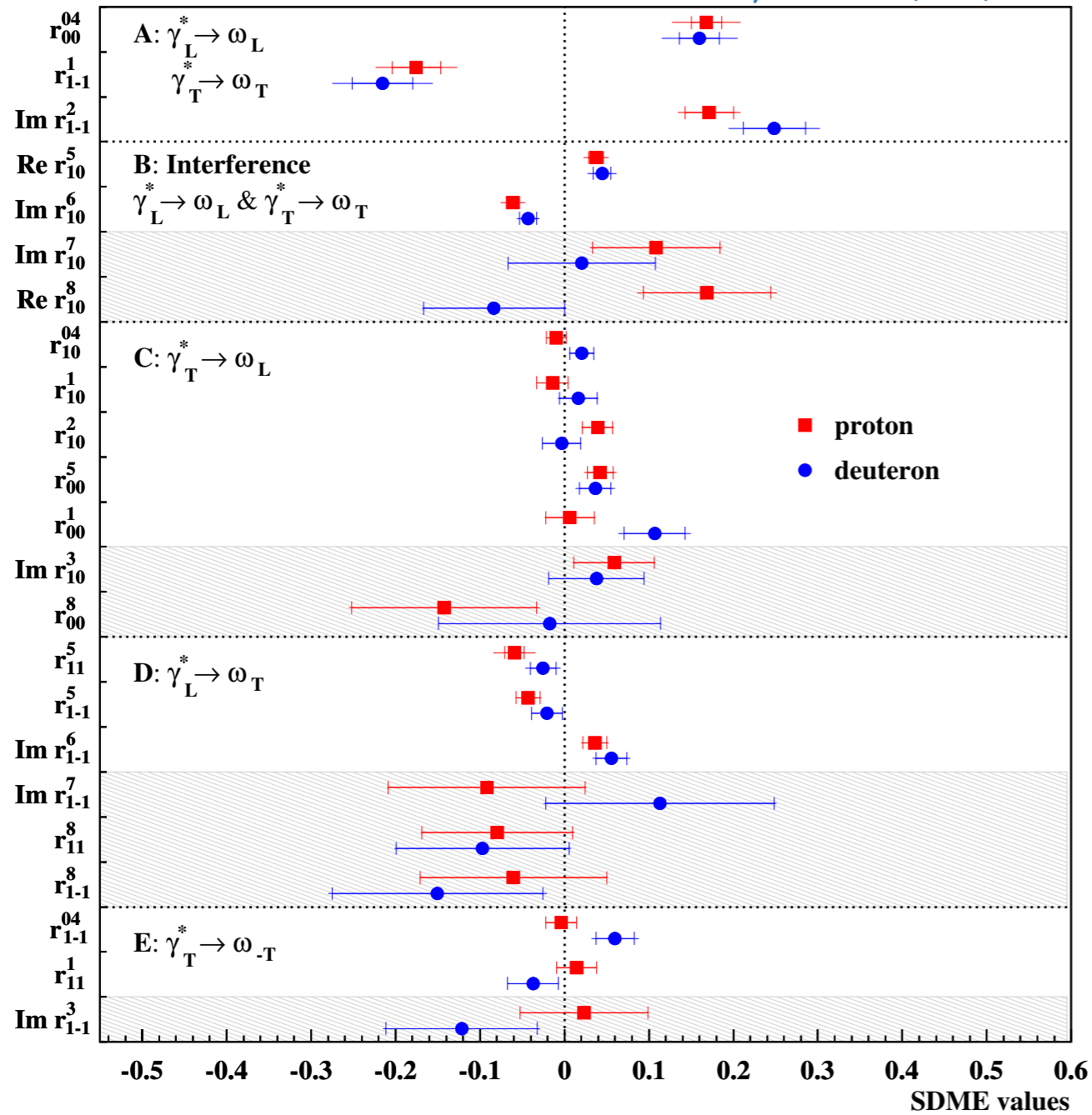
Fit angular distribution  $\mathcal{W}(\Phi, \phi, \Theta)$  of  $\omega$  decay pions



Spin density matrix elements (SDMEs)  
 describing final spin state of  $\omega$   
 and transverse target-spin asymmetries ( $A_{UT}$ )

# Results $\omega$ SDMEs

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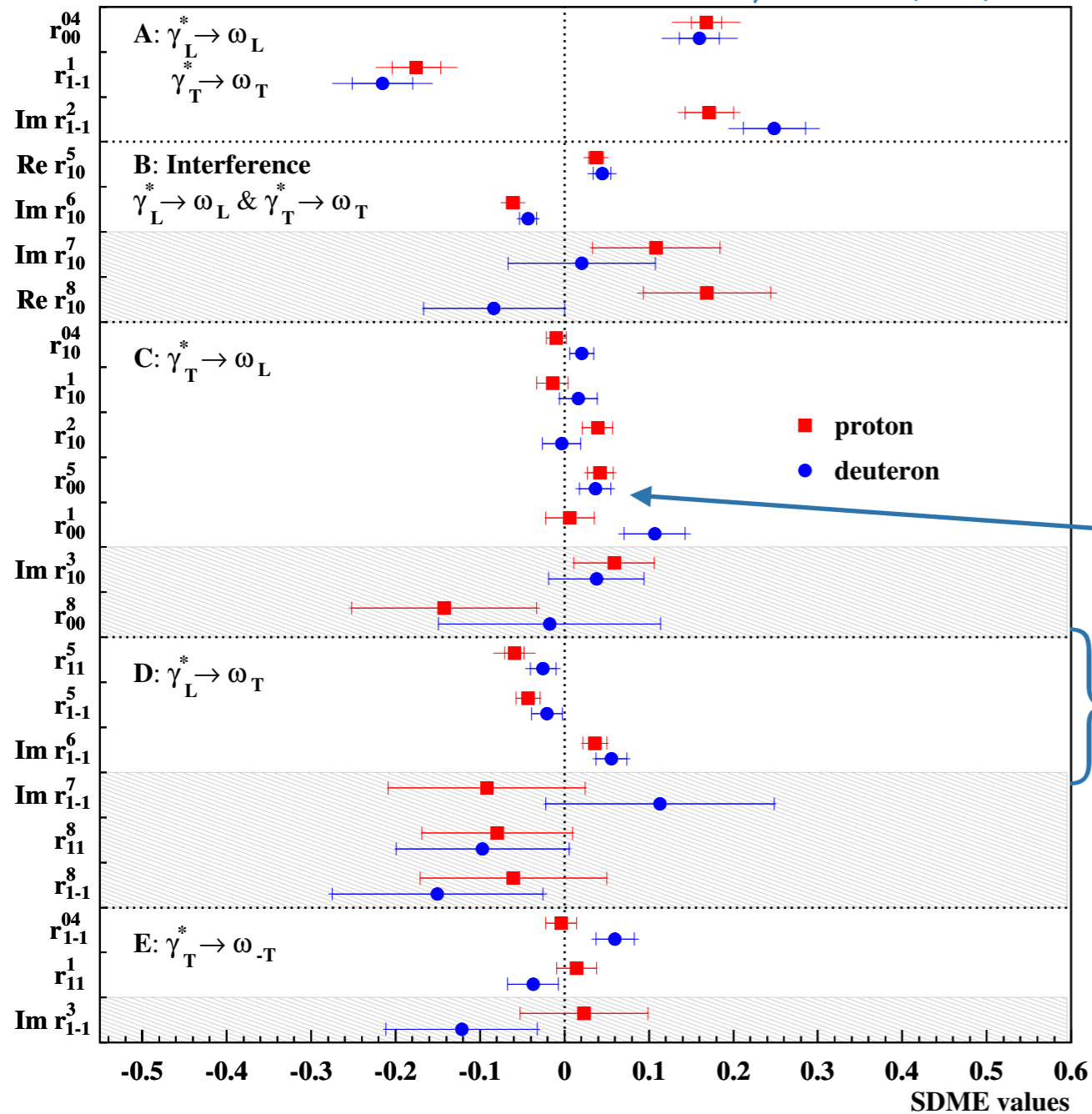


- 5 classes of SDMEs
- unpolarized and polarized SDMEs
- proton & deuteron similar



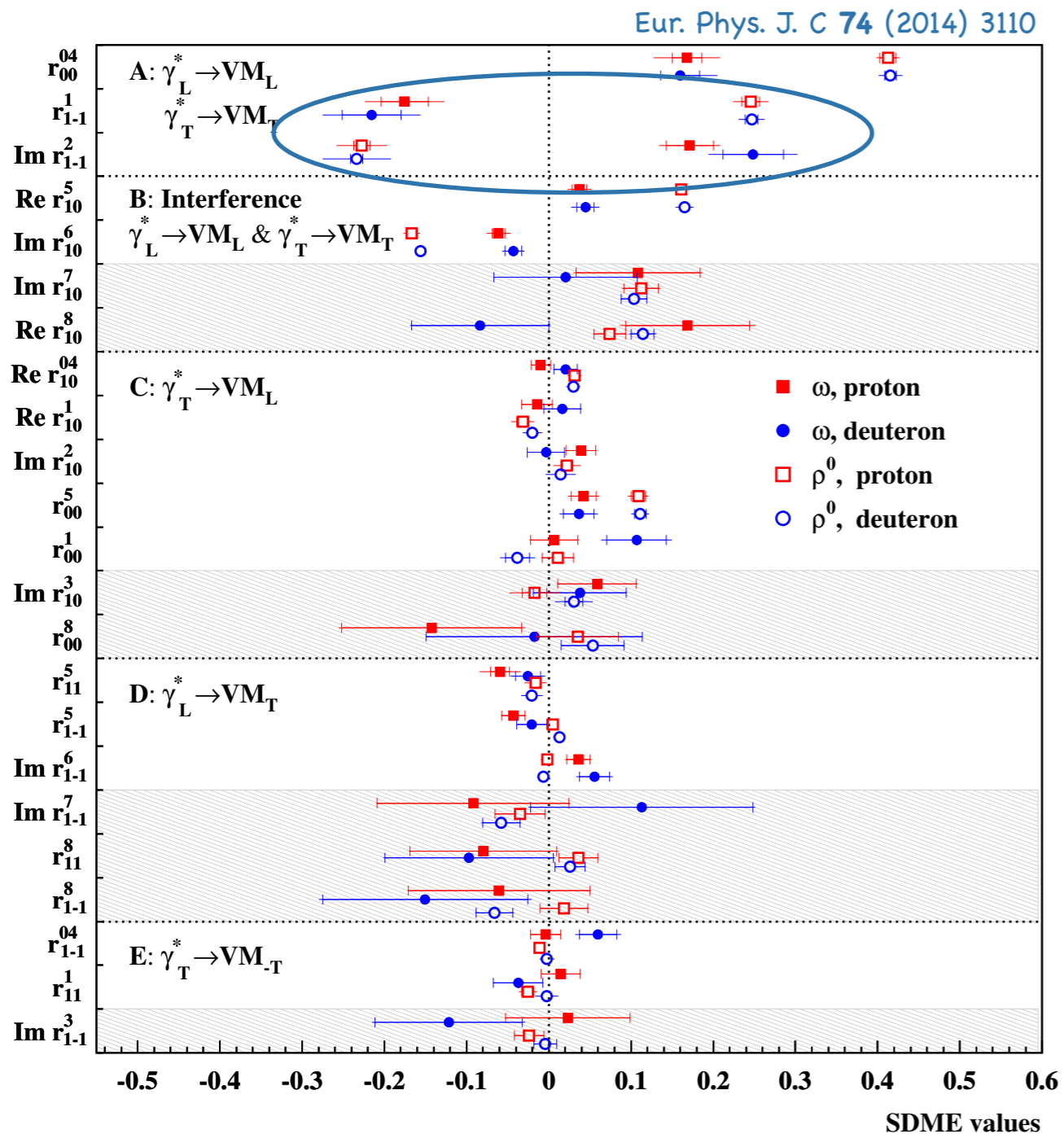
# Results $\omega$ SDMEs

Eur. Phys. J. C 74 (2014) 3110



- 5 classes of SDMEs
- unpolarized and polarized SDMEs
- proton & deuteron similar
- s-channel helicity conservation ( $\lambda_{\gamma^*} = \lambda_{\omega}$ ):
  - fulfilled for class A & B
  - class C - slight violation:
    - $r_{00}^5 \neq 0$  by 3(2)  $\sigma$  for p(d)
  - class D - slight violation:
    - $r_{11}^5 + r_{1-1}^5 - \Im r_{1-1}^6 \neq 0$  by 3(2.5)  $\sigma$  for p(d)

# Results $\omega$ and $\rho$ SDMEs



- $\omega$ :  $r_{1-1}^1 < 0$  and  $\Im r_{1-1}^2 > 0$
- $\rho$ :  $r_{1-1}^1 > 0$  and  $\Im r_{1-1}^2 < 0$

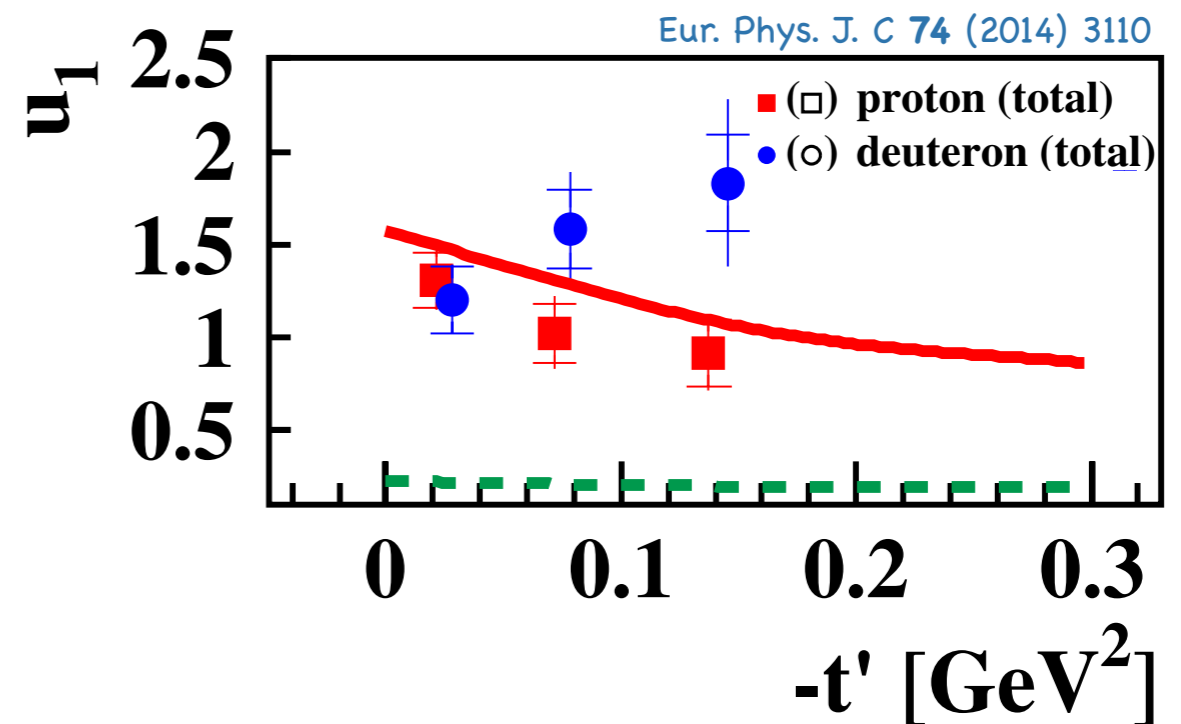
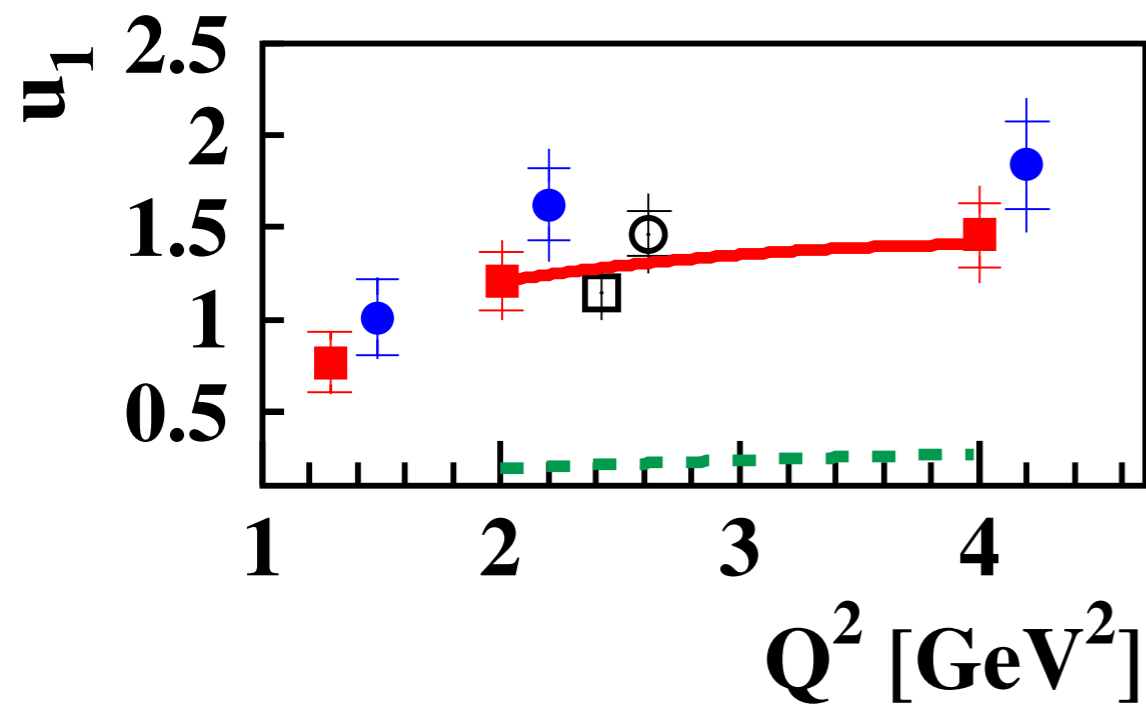


- $\omega$ : large unnatural parity exchange
- $\rho$ : large natural parity exchange

# Test of unnatural-parity exchange

$$u_1 = 1 - r_{00}^{04} + 2r_{1-1}^{04} - 2r_{11}^1 - 2r_{1-1}^1$$

$$\propto 2\epsilon|U_{10}|^2 + |U_{11} + U_{-11}|^2 \quad (U=\text{unnatural-parity amplitude})$$

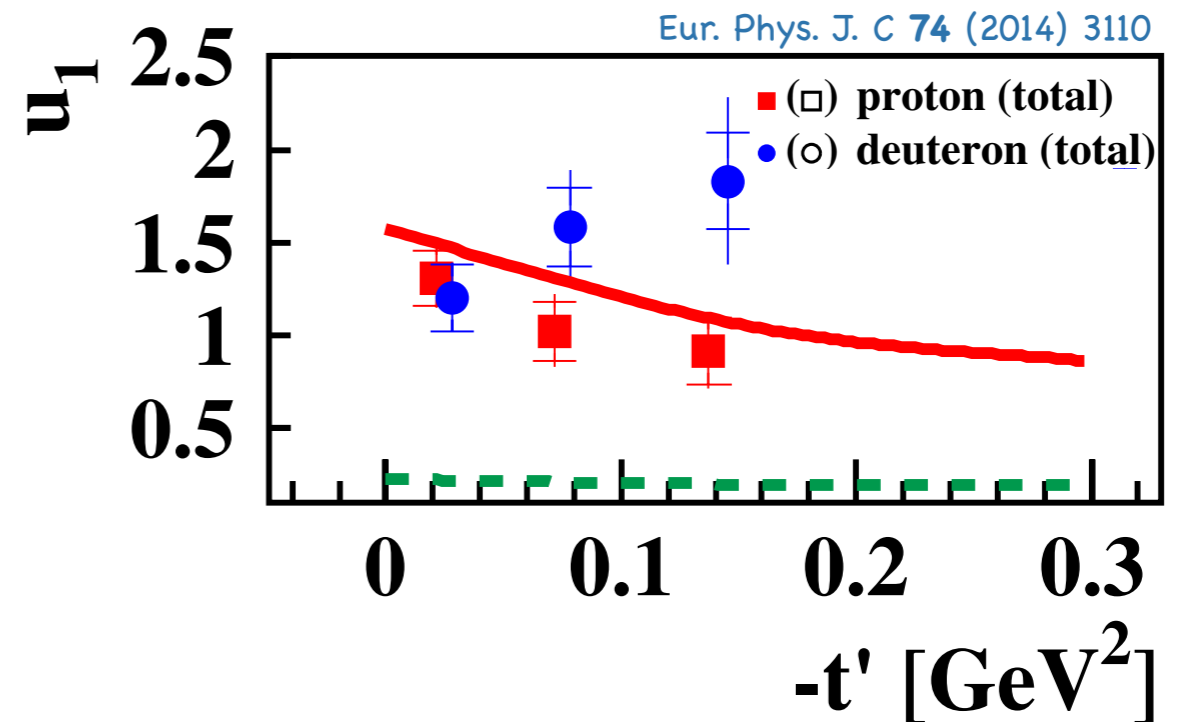
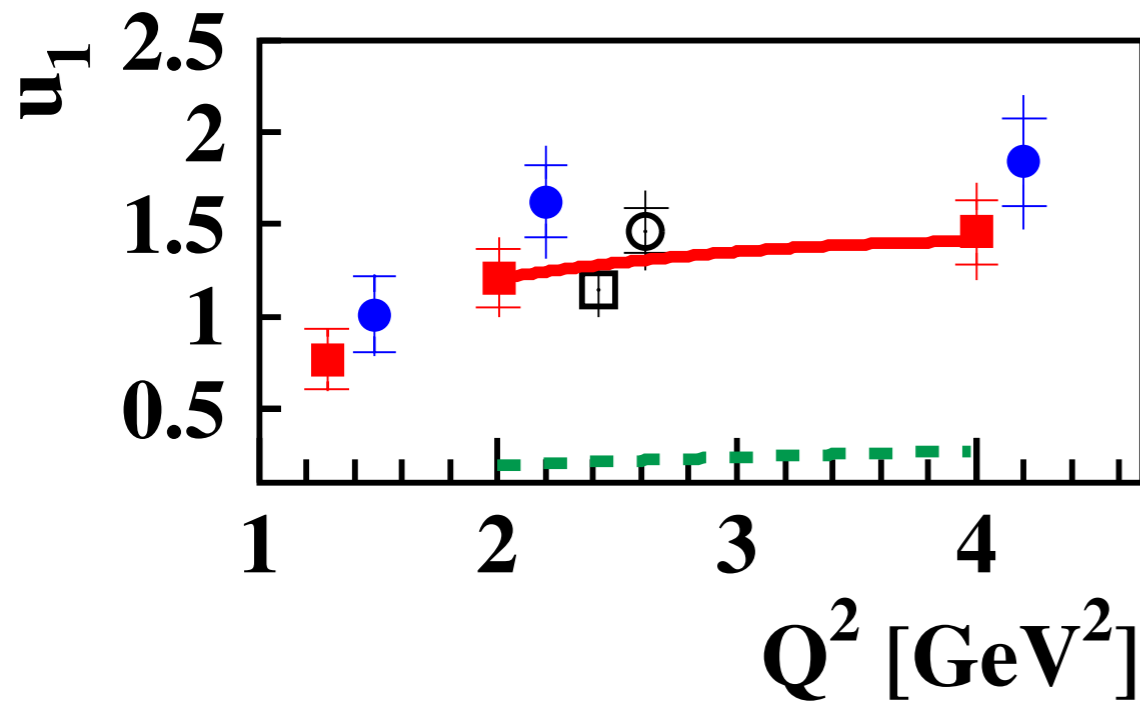


- large unnatural parity exchange seen

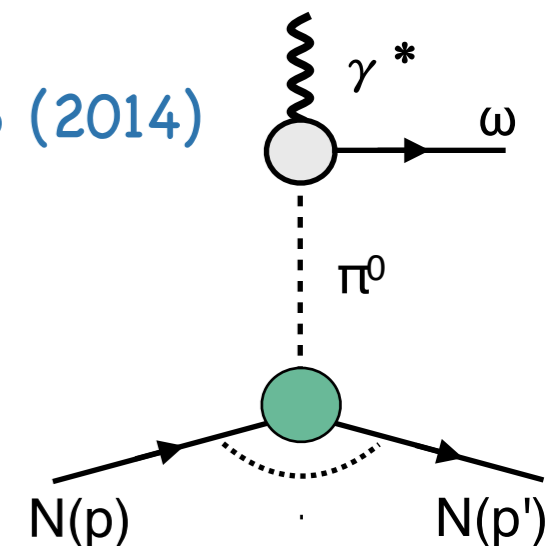
# Test of unnatural-parity exchange

$$u_1 = 1 - r_{00}^{04} + 2 r_{1-1}^{04} - 2 r_{11}^1 - 2 r_{1-1}^1$$

$$\propto 2 \epsilon |U_{10}|^2 + |U_{11} + U_{-11}|^2 \quad (U=\text{unnatural-parity amplitude})$$

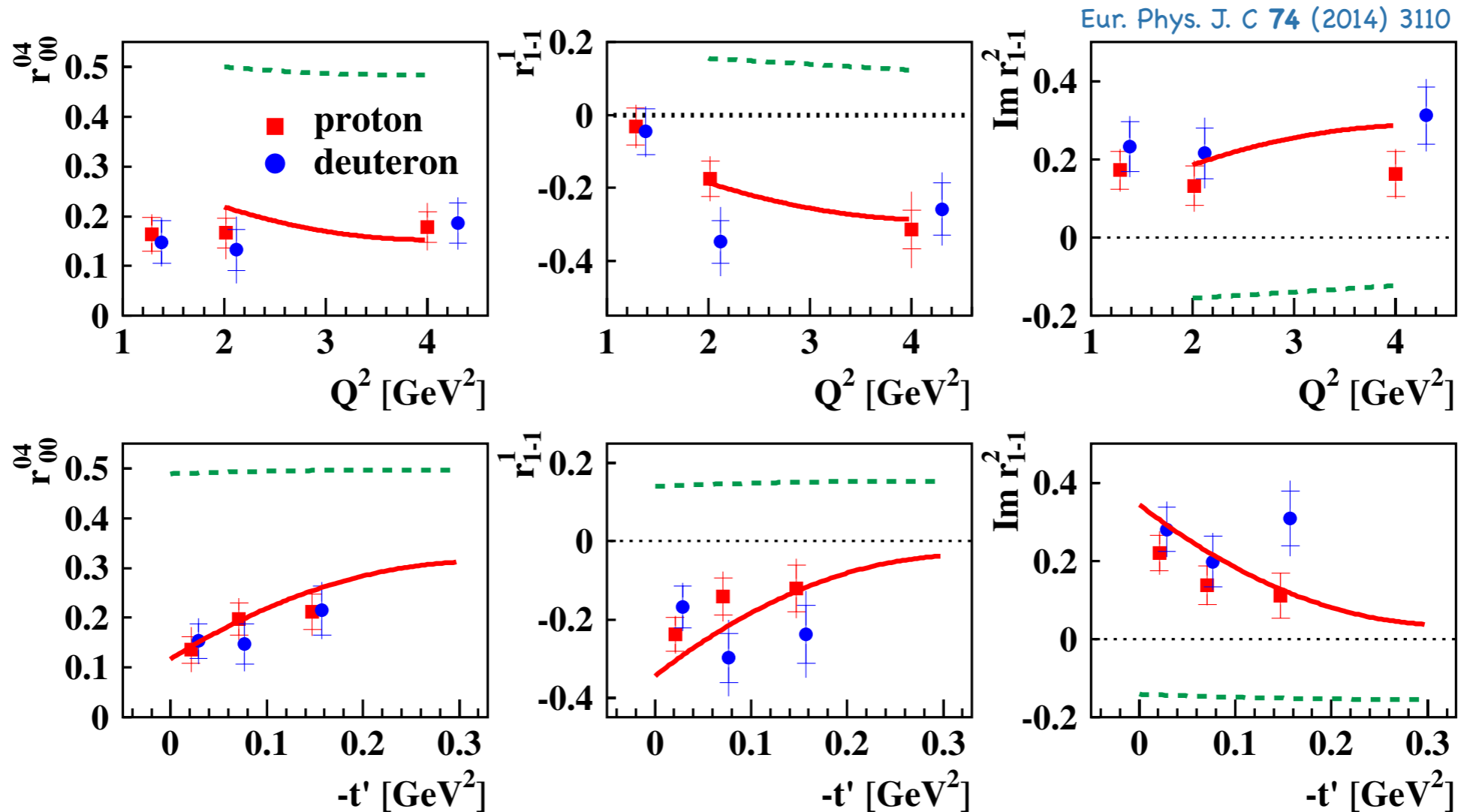


- large unnatural parity exchange seen
- model for protons – S. Goloskokov and P. Kroll, Eur. Phys. J A 50 146 (2014)  
without pion-pole contribution  
with pion-pole contribution  
pion-pole contribution seems to account completely  
for unnatural-parity exchange



# Kinematic dependencies

class A:  $\gamma_L^* \rightarrow \omega_L$  and  $\gamma_T^* \rightarrow \omega_T$

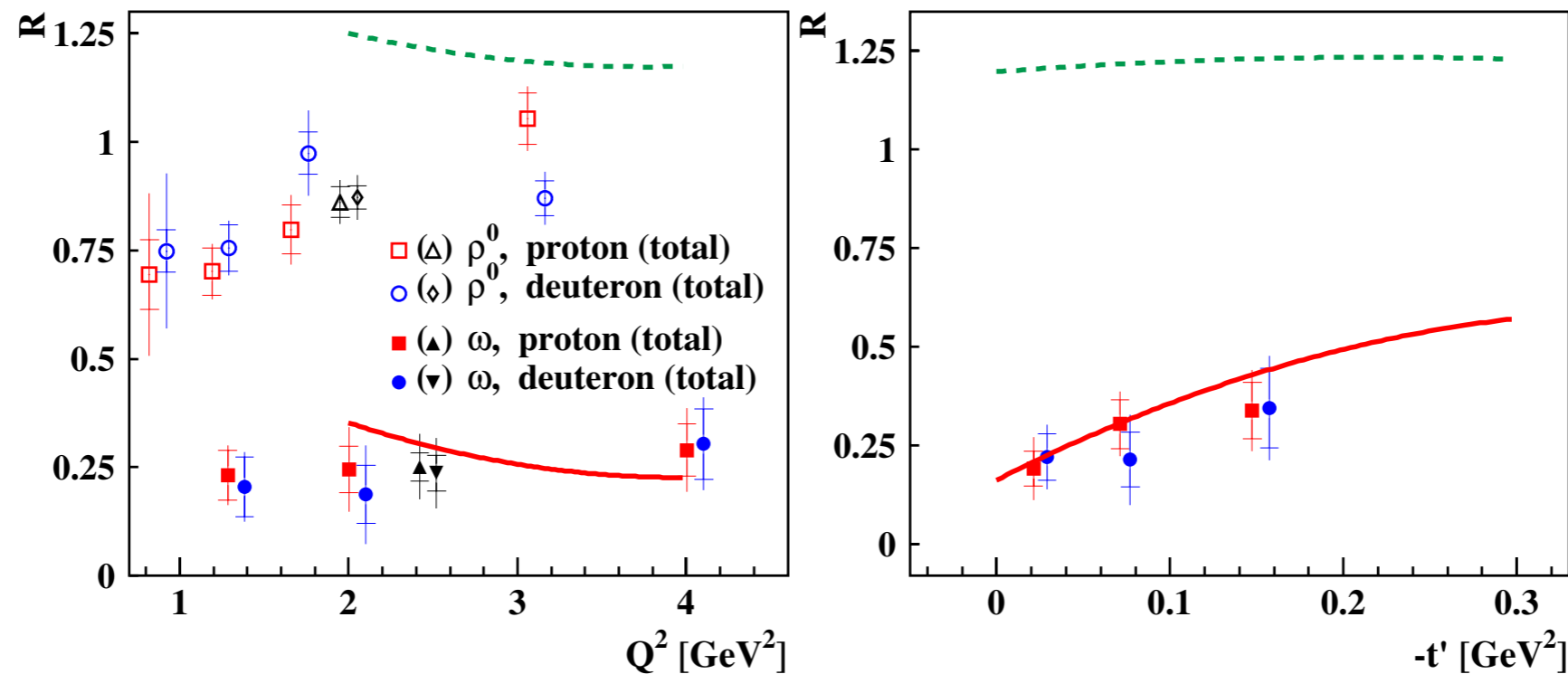


- no pronounced kinematic dependence observed
- again, need for pion-pole contribution observed

# Longitudinal-to-transverse cross-section ratio

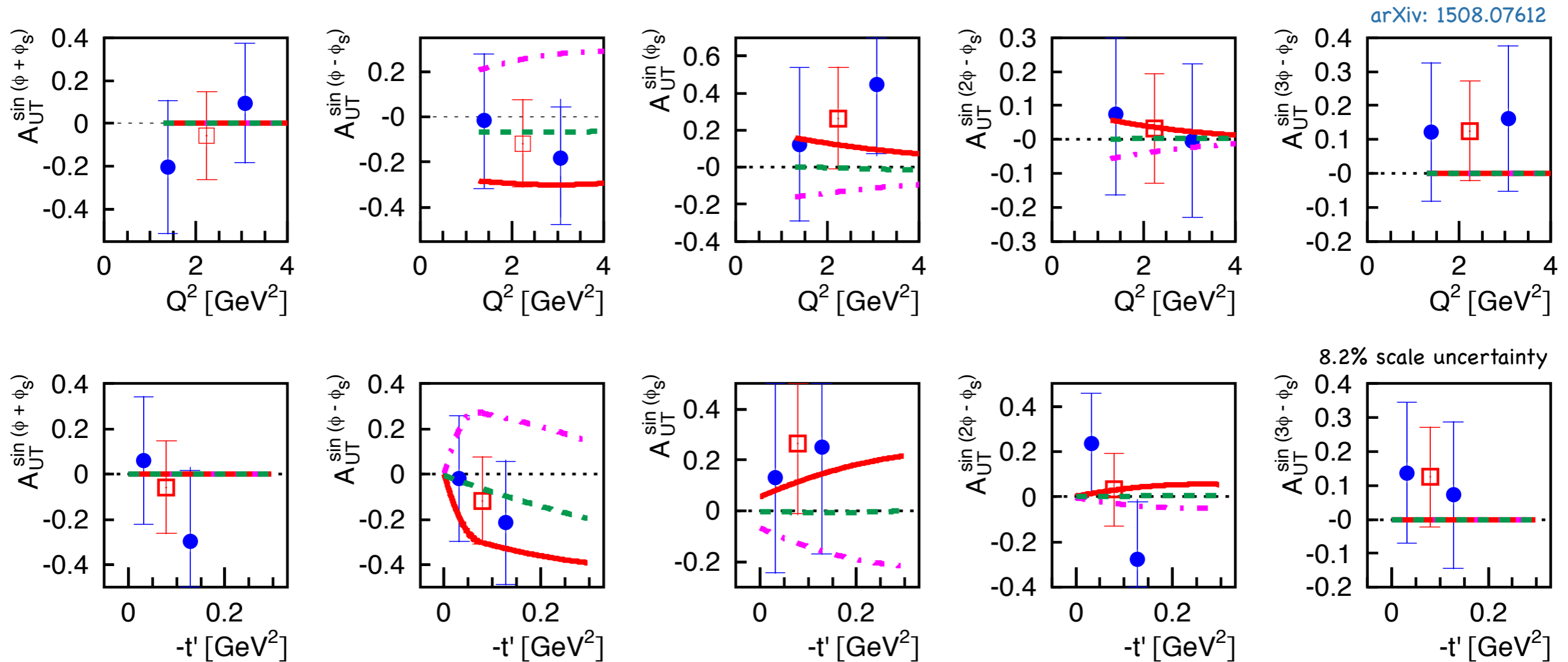
$$R = \frac{d\sigma(\gamma_L^* \rightarrow \omega)}{d\sigma(\gamma_T^* \rightarrow \omega)} \approx \frac{1}{\epsilon} \frac{r_{00}^{04}}{1 - r_{00}^{04}}$$

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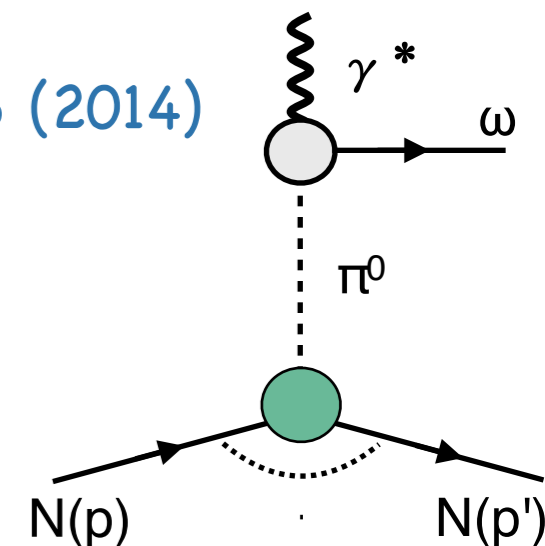


- $R(\omega)$  4 times smaller than  $R(\rho)$
- no pronounced kinematic dependence observed
- need for pion-pole contribution

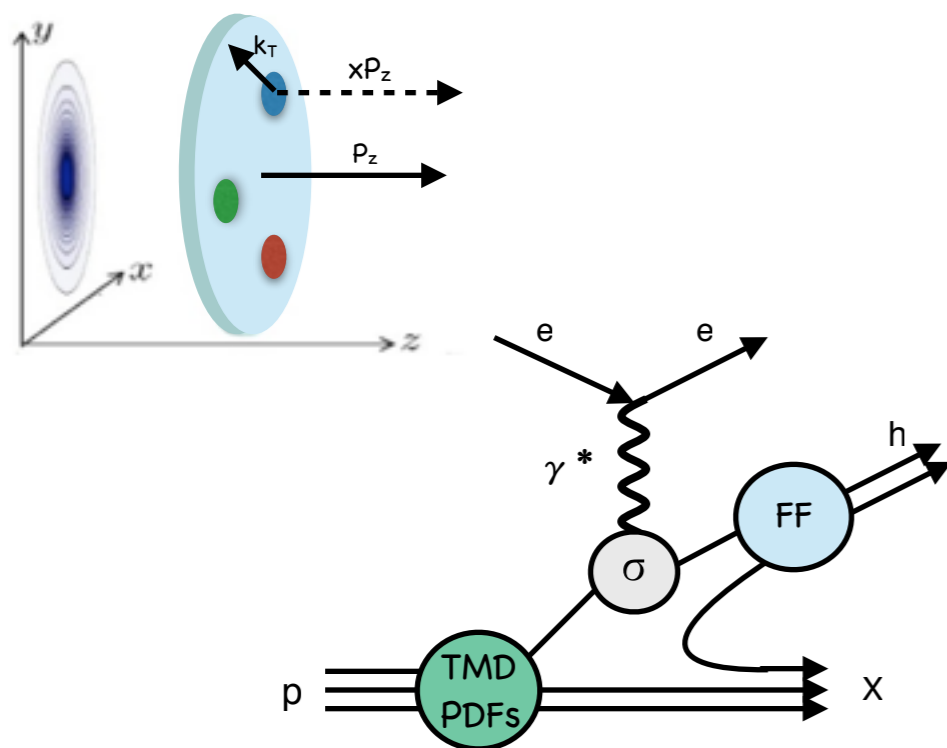
# Results $\omega$ $A_{UT}$



- large unnatural parity exchange seen
- model for protons – S. Goloskokov and P. Kroll, Eur. Phys. J A 50 146 (2014)  
 without pion-pole contribution  
 with pion-pole contribution:  $\pi\omega$  transition FF > 0  
 with pion-pole contribution:  $\pi\omega$  transition FF < 0  
 Positive  $\pi\omega$  transition FF favoured



# Asymmetries in semi-inclusive DIS



- $A_{UT}$  and  $A_{LT}$ 
  - unpolarized & longitudinally polarized  $e^+/e^-$  beam
  - transversely polarized H target
- $A_{LU}$ :
  - longitudinally polarized  $e^+/e^-$  beam
  - unpolarized H and D target



# Semi-inclusive DIS cross section

$$\frac{d\sigma}{dx dy dz d\phi_h dP_{h\perp}^2 d\phi_S} = \frac{\alpha^2}{xyQ^2} \frac{y^2}{2(1-\epsilon)} \left( 1 + \frac{\gamma^2}{2x} \right)$$

$$\left\{ F_{UU,T} + \epsilon F_{UU,L} + \sqrt{2\epsilon(1+\epsilon)} \cos(\phi_h) F_{UU}^{\cos(\phi_h)} + \epsilon \cos(2\phi_h) F_{UU}^{\cos(2\phi_h)} \right.$$

beam polarization

$$+ \lambda_e \sqrt{2\epsilon(1-\epsilon)} \sin(\phi_h) F_{LU}^{\sin(\phi_h)}$$

longitudinal target polarization

$$+ S_L \left[ \sqrt{2\epsilon(1+\epsilon)} \sin(\phi_h) F_{UL}^{\sin(\phi_h)} + \epsilon \sin(2\phi_h) F_{UL}^{\sin(2\phi_h)} \right]$$

$$+ S_L \lambda_e \left[ \sqrt{1-\epsilon^2} F_{LL} + \sqrt{2\epsilon(1-\epsilon)} \cos(\phi_h) F_{LL}^{\cos(\phi_h)} \right]$$

transverse target polarization

$$+ S_T \left[ \sin(\phi_h - \phi_S) \left( F_{UT,T}^{\sin(\phi_h - \phi_S)} + \epsilon F_{UT,L}^{\sin(\phi_h - \phi_S)} \right) \right.$$

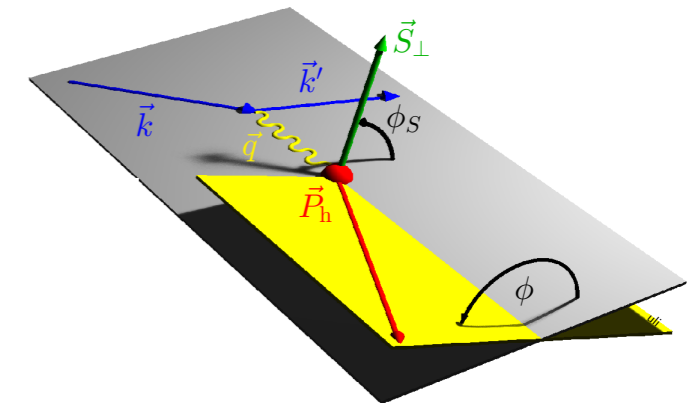
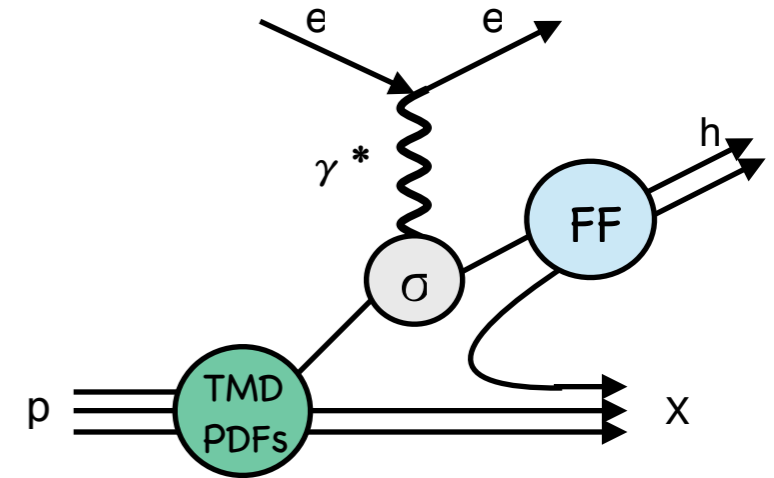
$$+ \epsilon \sin(\phi_h + \phi_S) F_{UT}^{\sin(\phi_h + \phi_S)} + \epsilon \sin(3\phi_h - \phi_S) F_{UT}^{\sin(3\phi_h - \phi_S)}$$

$$+ \left. \sqrt{2\epsilon(1+\epsilon)} \sin(\phi_S) F_{UT}^{\sin(\phi_S)} + \sqrt{2\epsilon(1+\epsilon)} \sin(2\phi_h - \phi_S) F_{UT}^{\sin(2\phi_h - \phi_S)} \right]$$

$$+ S_T \lambda_e \left[ \sqrt{1-\epsilon^2} \cos(\phi_h - \phi_S) F_{LT}^{\cos(\phi_h - \phi_S)} + \sqrt{2\epsilon(1-\epsilon)} \cos(\phi_S) F_{LT}^{\cos(\phi_S)} \right.$$

$$+ \left. \sqrt{2\epsilon(1-\epsilon)} \cos(2\phi_h - \phi_S) F_{LT}^{\cos(2\phi_h - \phi_S)} \right]$$

- structure function  $F_{XY(Z)}$   
X=beam, Y=target, Z= $\gamma^*$  polarization
- $\propto$  TMD PDF  $\otimes$  FF



# Semi-inclusive DIS cross section

$$\frac{d\sigma}{dx dy dz d\phi_h dP_{h\perp}^2 d\phi_S} = \frac{\alpha^2}{xyQ^2} \frac{y^2}{2(1-\epsilon)} \left( 1 + \frac{\gamma^2}{2x} \right)$$

$$\left\{ \boxed{F_{UU,T}} + \epsilon F_{UU,L} + \sqrt{2\epsilon(1+\epsilon)} \cos(\phi_h) F_{UU}^{\cos(\phi_h)} + \epsilon \cos(2\phi_h) \boxed{F_{UU}^{\cos(2\phi_h)}} \right.$$

beam polarization

$$+ \lambda_e \sqrt{2\epsilon(1-\epsilon)} \sin(\phi_h) F_{LU}^{\sin(\phi_h)}$$

longitudinal target polarization

$$+ S_L \left[ \sqrt{2\epsilon(1+\epsilon)} \sin(\phi_h) F_{UL}^{\sin(\phi_h)} + \epsilon \sin(2\phi_h) \boxed{F_{UL}^{\sin(2\phi_h)}} \right]$$

$$+ S_L \lambda_e \left[ \sqrt{1-\epsilon^2} \boxed{F_{LL}} + \sqrt{2\epsilon(1-\epsilon)} \cos(\phi_h) F_{LL}^{\cos(\phi_h)} \right]$$

transverse target polarization

$$+ S_T \left[ \sin(\phi_h - \phi_S) \left( \boxed{F_{UT,T}^{\sin(\phi_h - \phi_S)}} + \epsilon F_{UT,L}^{\sin(\phi_h - \phi_S)} \right) \right.$$

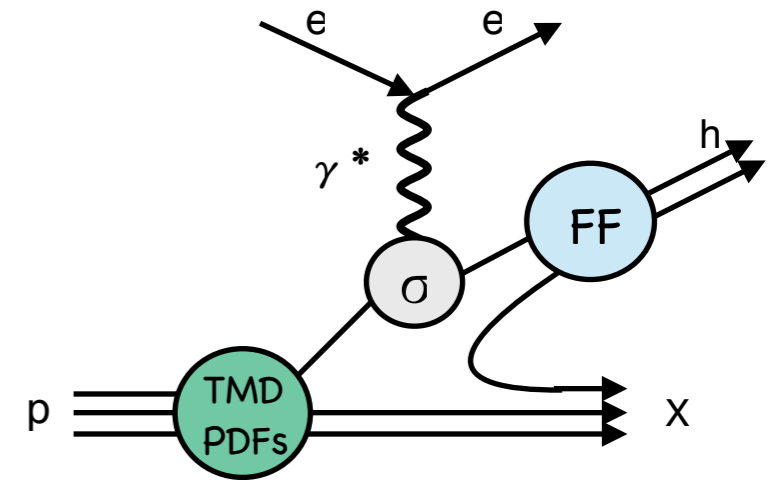
$$+ \epsilon \sin(\phi_h + \phi_S) \boxed{F_{UT}^{\sin(\phi_h + \phi_S)}} + \epsilon \sin(3\phi_h - \phi_S) \boxed{F_{UT}^{\sin(3\phi_h - \phi_S)}} \left. \right]$$

$$+ \left[ \sqrt{2\epsilon(1+\epsilon)} \sin(\phi_S) F_{UT}^{\sin(\phi_S)} + \sqrt{2\epsilon(1+\epsilon)} \sin(2\phi_h - \phi_S) F_{UT}^{\sin(2\phi_h - \phi_S)} \right]$$

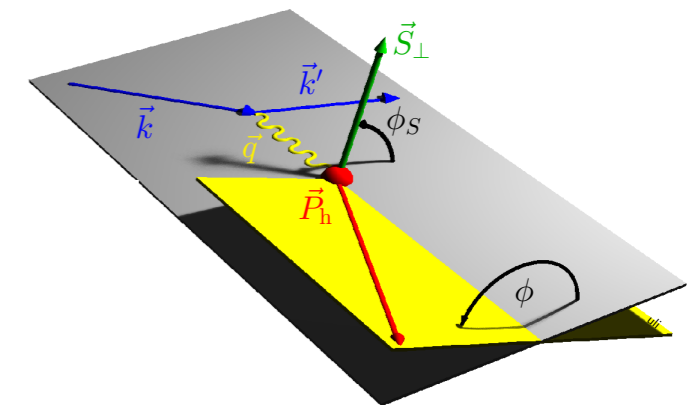
$$+ S_T \lambda_e \left[ \sqrt{1-\epsilon^2} \cos(\phi_h - \phi_S) \boxed{F_{LT}^{\cos(\phi_h - \phi_S)}} + \sqrt{2\epsilon(1-\epsilon)} \cos(\phi_S) F_{LT}^{\cos(\phi_S)} \right.$$

$$\left. + \sqrt{2\epsilon(1-\epsilon)} \cos(2\phi_h - \phi_S) F_{LT}^{\cos(2\phi_h - \phi_S)} \right]$$

- structure function  $F_{XY(Z)}$   
X=beam, Y=target, Z= $\gamma^*$  polarization
- $\propto$  TMD PDF  $\otimes$  FF



- leading twist



# Semi-inclusive DIS cross section

$$\frac{d\sigma}{dx dy dz d\phi_h dP_{h\perp}^2 d\phi_S} = \frac{\alpha^2}{xyQ^2} \frac{y^2}{2(1-\epsilon)} \left(1 + \frac{\gamma^2}{2x}\right)$$

$$\left\{ \boxed{F_{UU,T}} + \epsilon F_{UU,L} + \sqrt{2\epsilon(1+\epsilon)} \cos(\phi_h) F_{UU}^{\cos(\phi_h)} + \epsilon \cos(2\phi_h) \boxed{F_{UU}^{\cos(2\phi_h)}} \right.$$

beam polarization

$$+ \lambda_e \sqrt{2\epsilon(1-\epsilon)} \sin(\phi_h) F_{LU}^{\sin(\phi_h)}$$

longitudinal target polarization

$$+ S_L \left[ \sqrt{2\epsilon(1+\epsilon)} \sin(\phi_h) F_{UL}^{\sin(\phi_h)} + \epsilon \sin(2\phi_h) \boxed{F_{UL}^{\sin(2\phi_h)}} \right]$$

$$+ S_L \lambda_e \left[ \sqrt{1-\epsilon^2} \boxed{F_{LL}} + \sqrt{2\epsilon(1-\epsilon)} \boxed{\text{This talk}} \right]$$

transverse target polarization

$$+ S_T \left[ \sin(\phi_h - \phi_S) \left( \boxed{F_{UT,T}^{\sin(\phi_h - \phi_S)}} + \epsilon F_{UT,L}^{\sin(\phi_h - \phi_S)} \right) \right.$$

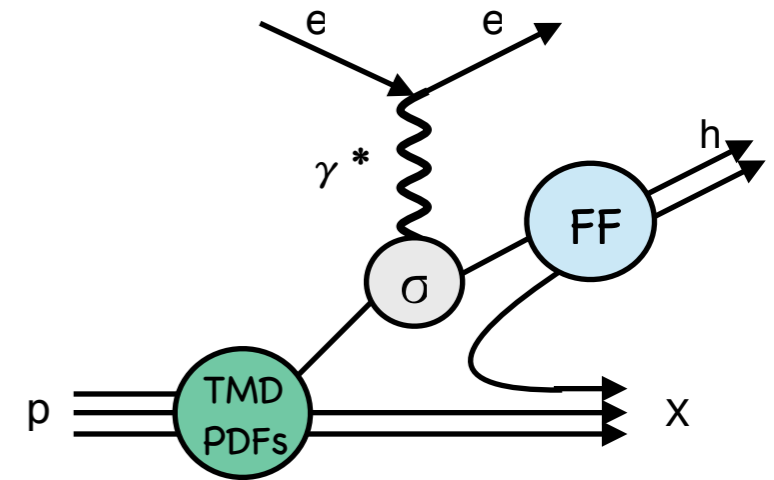
$$+ \epsilon \sin(\phi_h + \phi_S) \boxed{F_{UT}^{\sin(\phi_h + \phi_S)}} + \epsilon \sin(3\phi_h - \phi_S) \boxed{F_{UT}^{\sin(3\phi_h - \phi_S)}} \left. \right]$$

$$+ \left[ \sqrt{2\epsilon(1+\epsilon)} \sin(\phi_S) F_{UT}^{\sin(\phi_S)} + \sqrt{2\epsilon(1+\epsilon)} \sin(2\phi_h - \phi_S) F_{UT}^{\sin(2\phi_h - \phi_S)} \right]$$

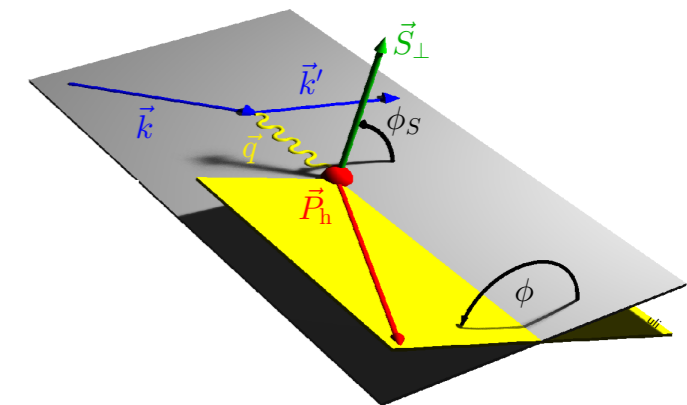
$$+ S_T \lambda_e \left[ \sqrt{1-\epsilon^2} \cos(\phi_h - \phi_S) \boxed{F_{LT}^{\cos(\phi_h - \phi_S)}} + \sqrt{2\epsilon(1-\epsilon)} \cos(\phi_S) F_{LT}^{\cos(\phi_S)} \right.$$

$$\left. + \sqrt{2\epsilon(1-\epsilon)} \cos(2\phi_h - \phi_S) F_{LT}^{\cos(2\phi_h - \phi_S)} \right]$$

- structure function  $F_{XY(Z)}$   
X=beam, Y=target, Z= $\gamma^*$  polarization
- $\propto$  TMD PDF  $\otimes$  FF

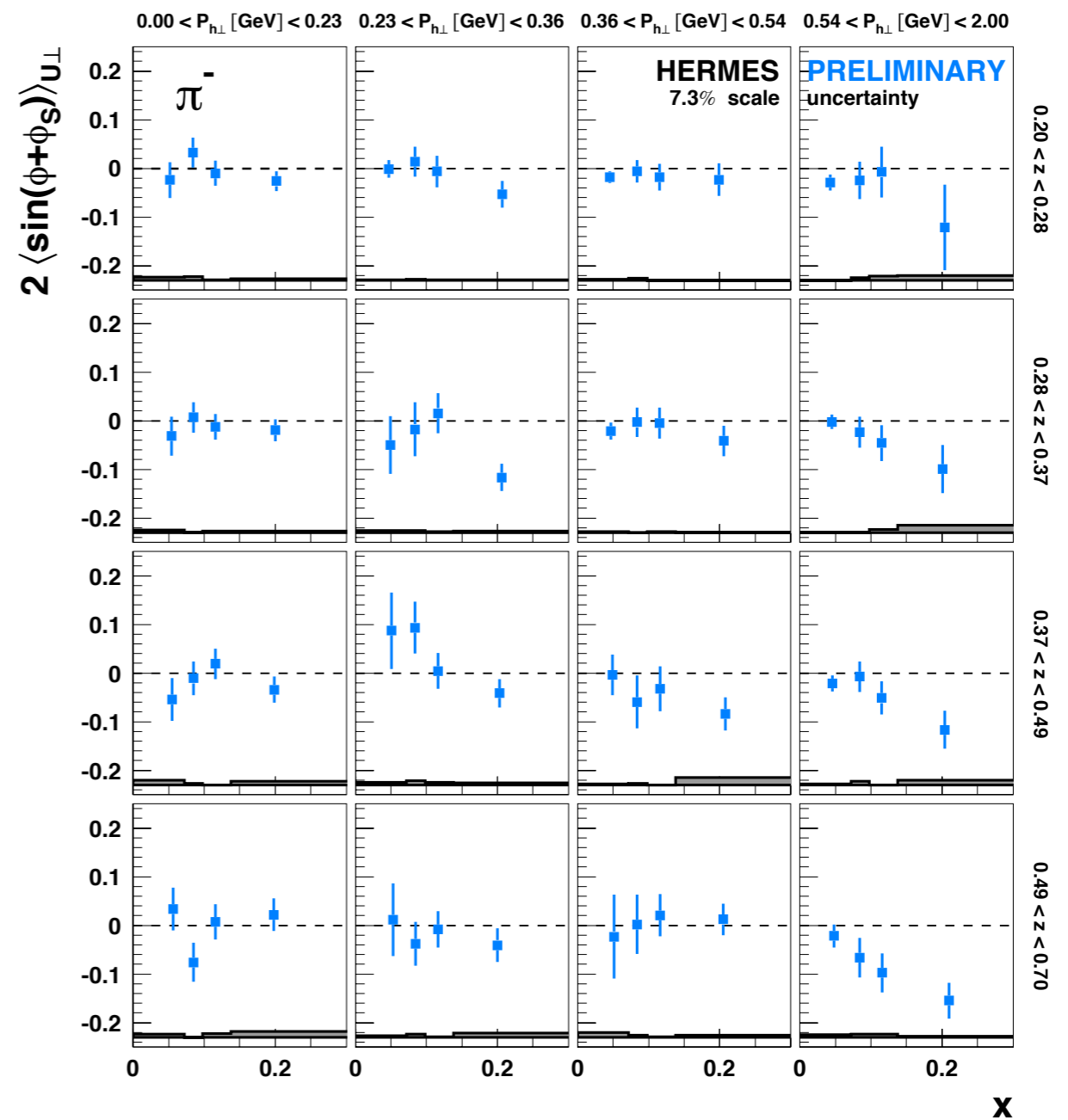
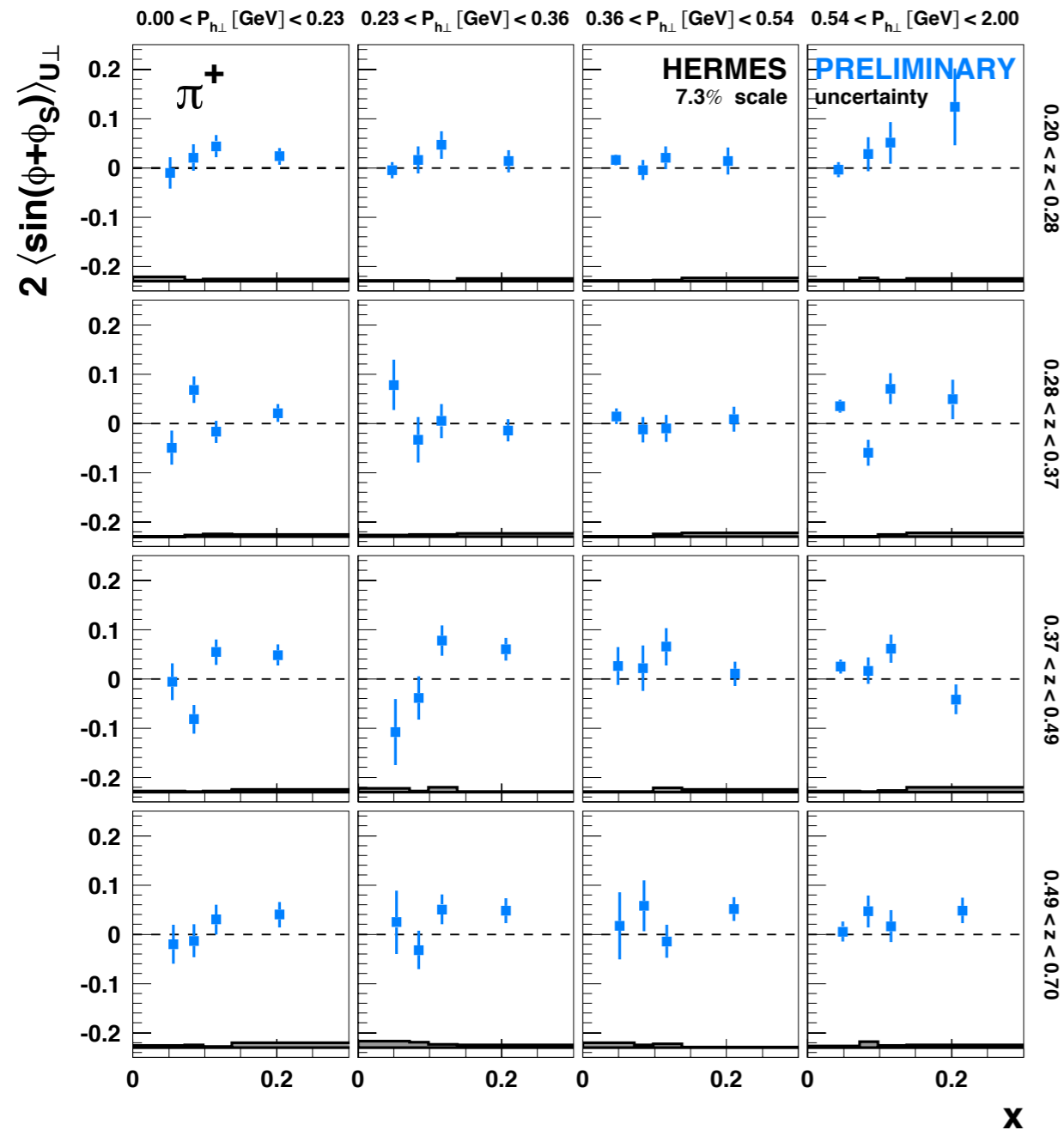


- leading twist



# Collins amplitudes

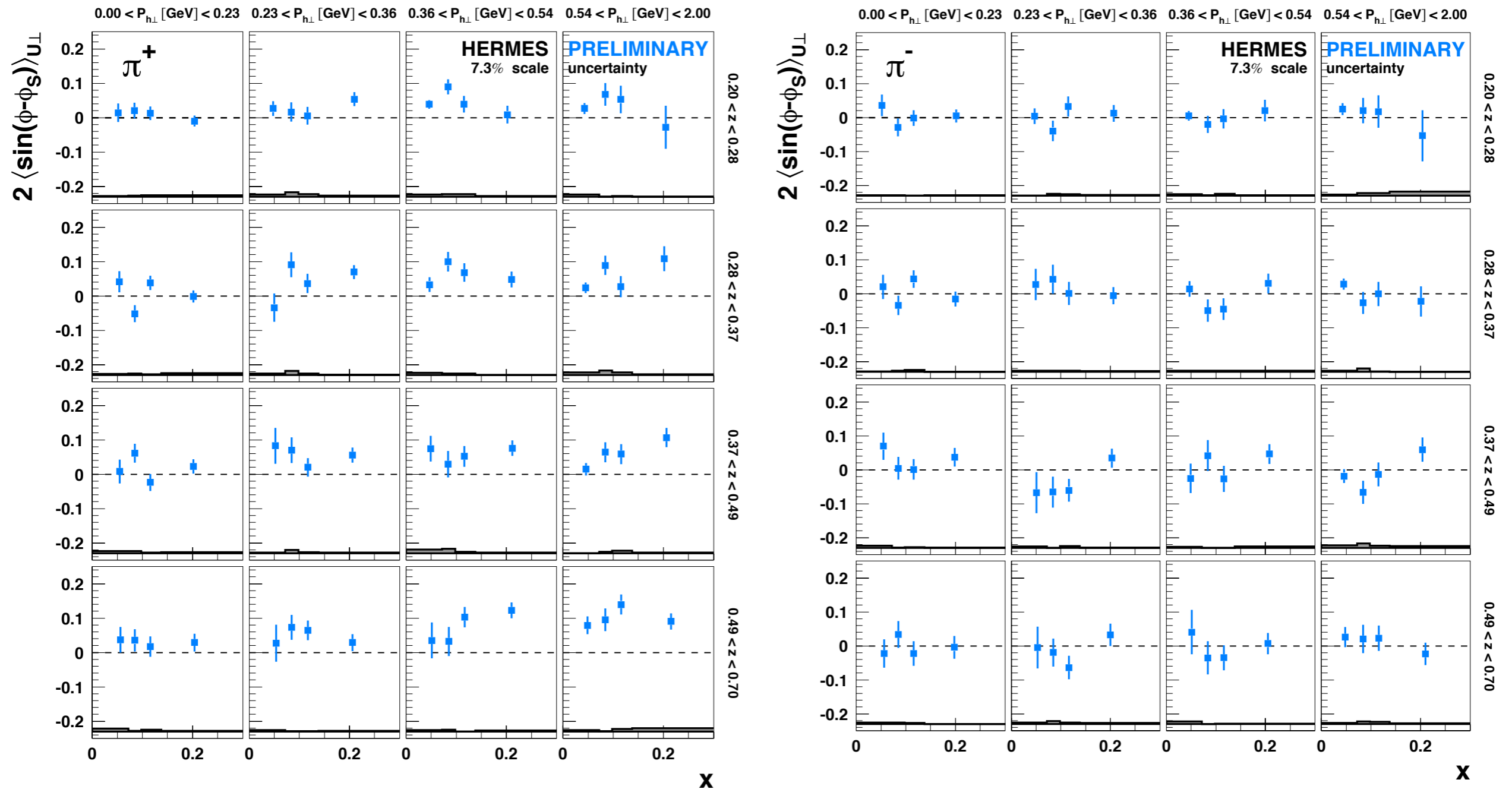
$$F_{UT}^{\sin(\phi_h + \phi_s)} \propto h_{1T} \otimes H_1^\perp$$



- $\pi^+$  amplitudes positive;  $\pi^-$  amplitudes negative
- $\pi^-$  amplitudes increasing with  $x$  at large  $P_{h^\perp}$

# Sivers amplitudes

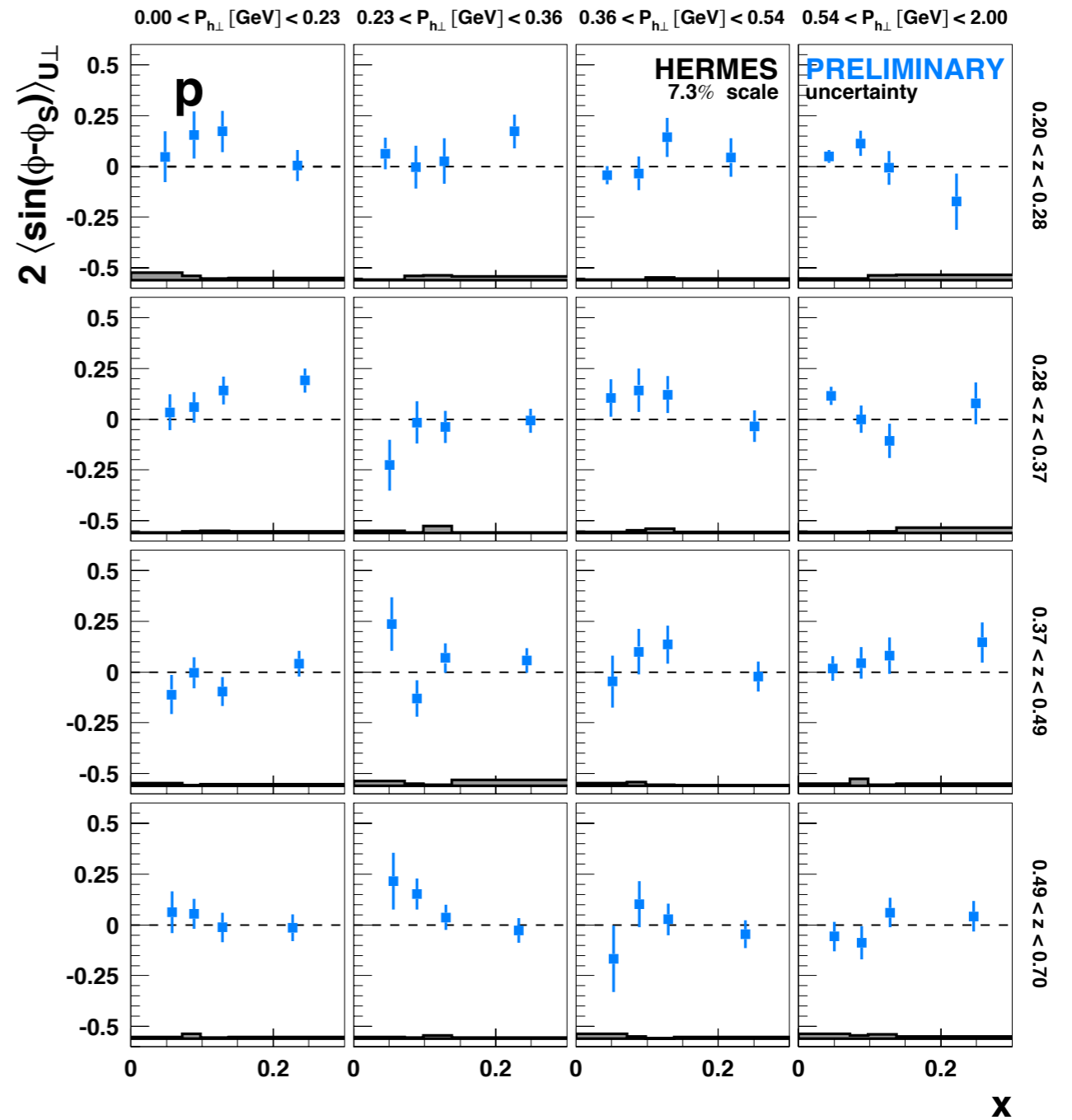
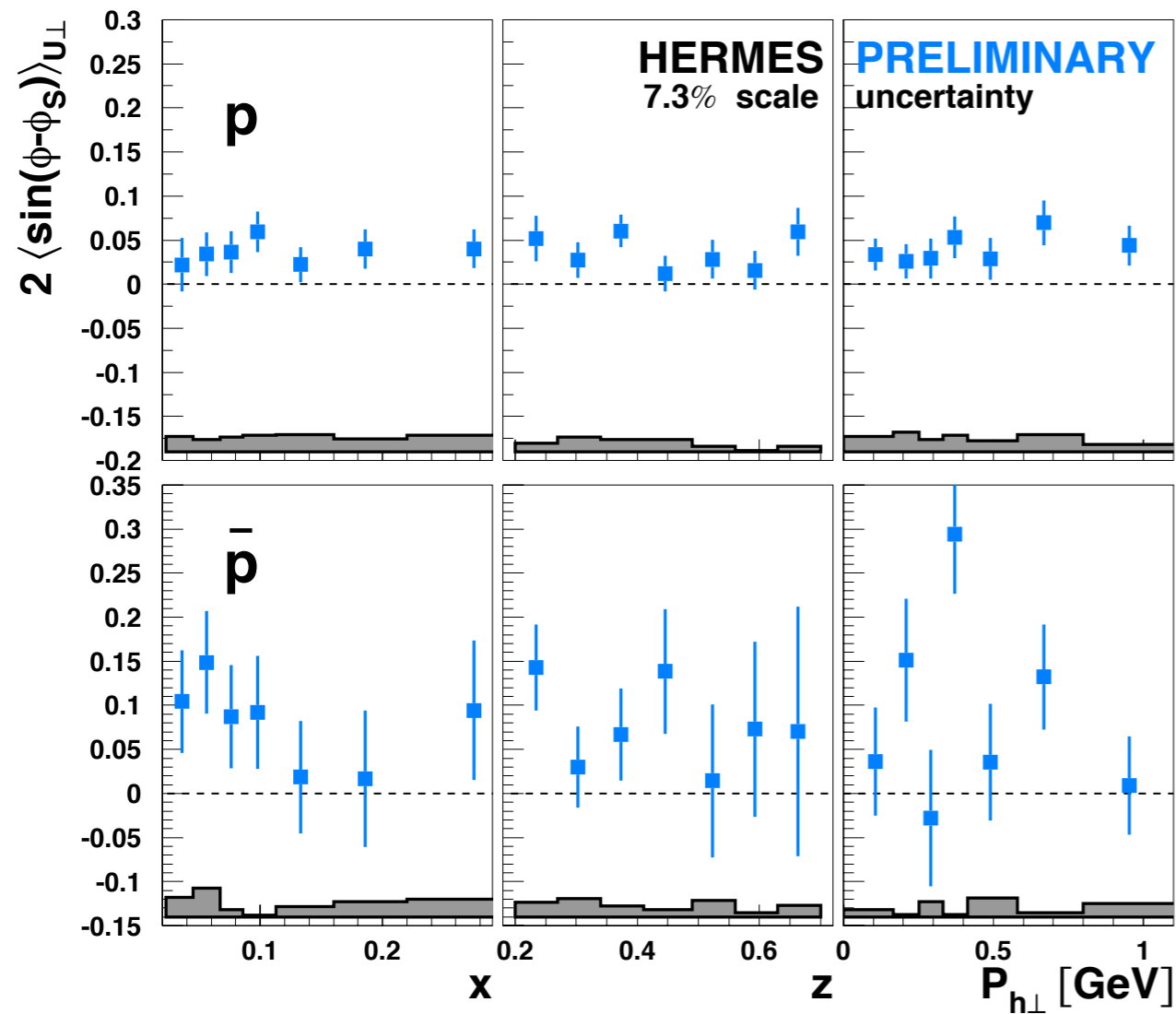
$$F_{UT}^{\sin(\phi_h - \phi_s)} \propto f_{1T}^\perp \otimes D_1$$



- $\pi^+$  amplitudes positive;  $\pi^-$  amplitudes  $\approx 0$
- $\pi^+$  amplitudes increasing with  $x$  at large  $P_{h\perp}$

# Sivers amplitudes

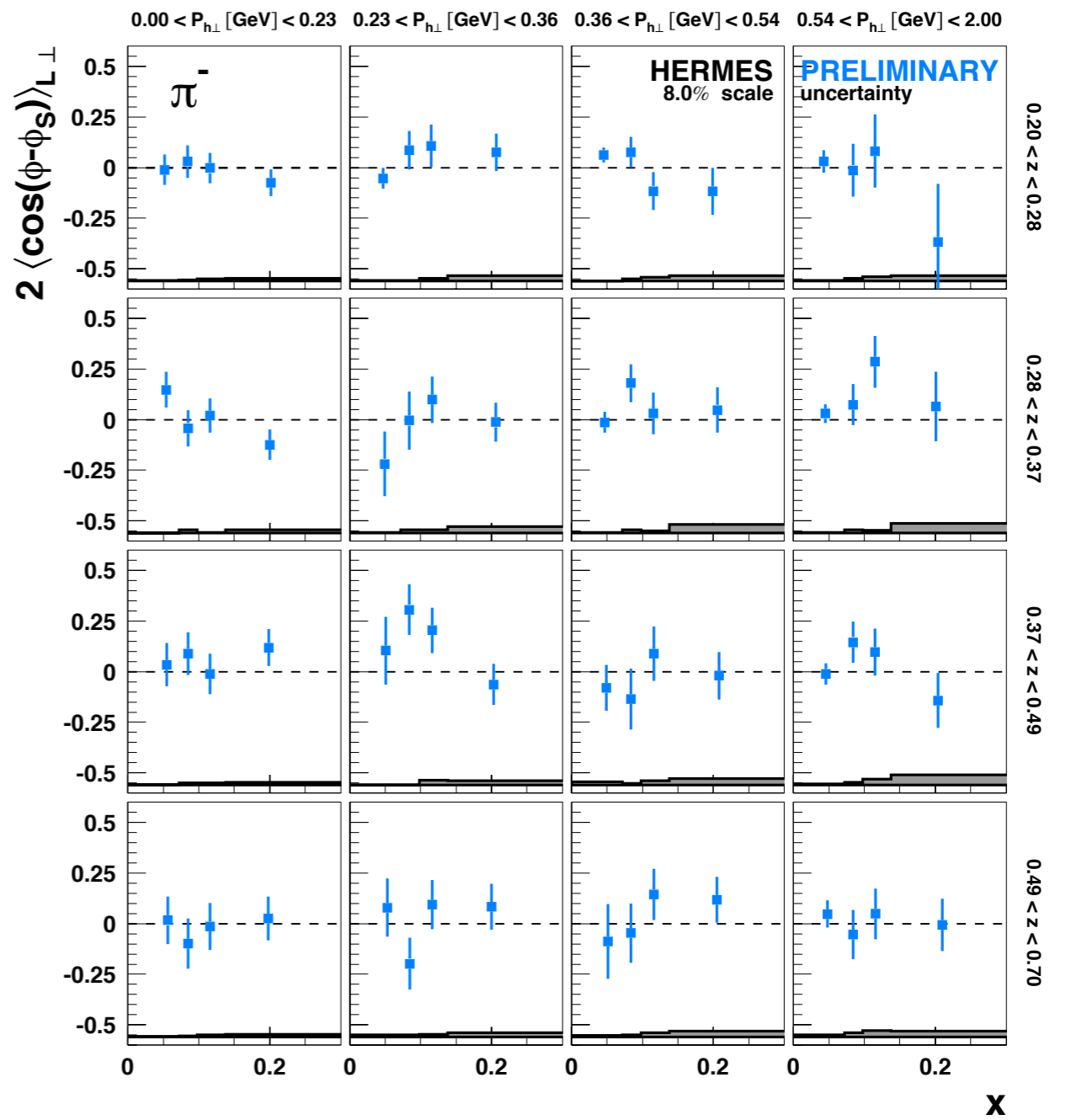
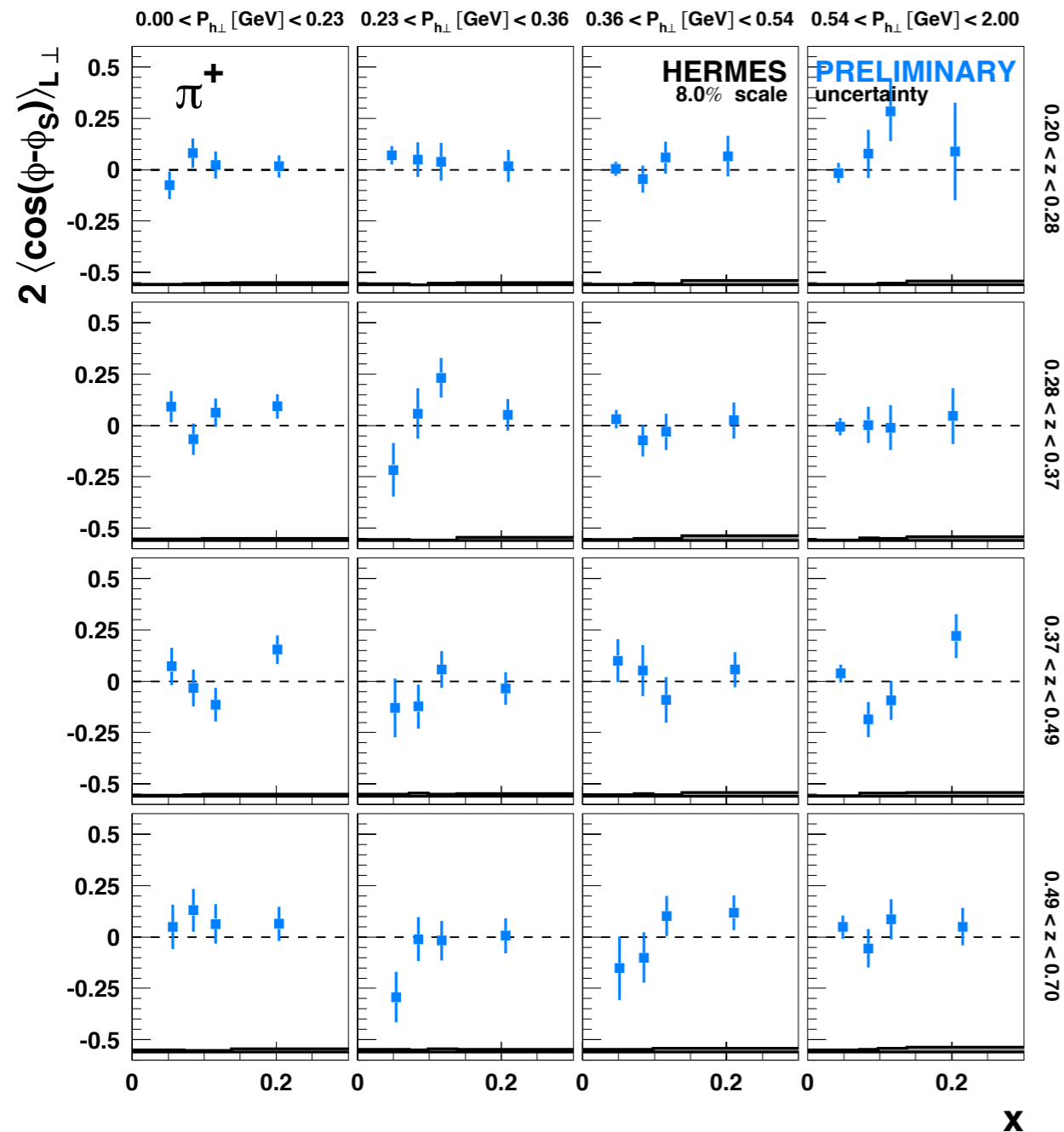
$$F_{UT}^{\sin(\phi_h - \phi_s)} \propto f_{1T}^\perp \otimes D_1$$



- positive proton amplitudes

# Worm-gear amplitudes

$$F_{LT}^{\cos(\phi_h - \phi_S)} \propto g_{1T}^{\perp} \times D_1$$

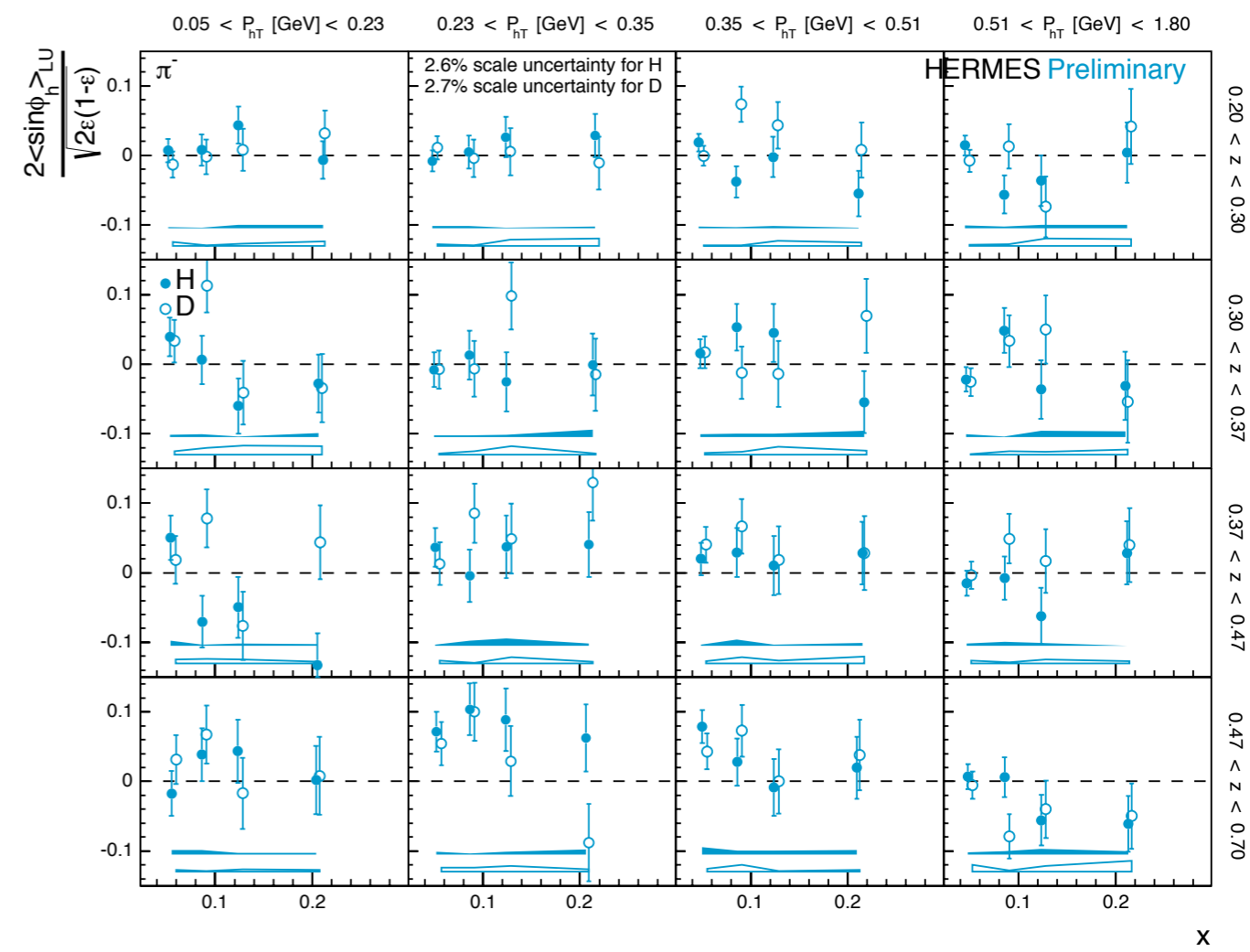
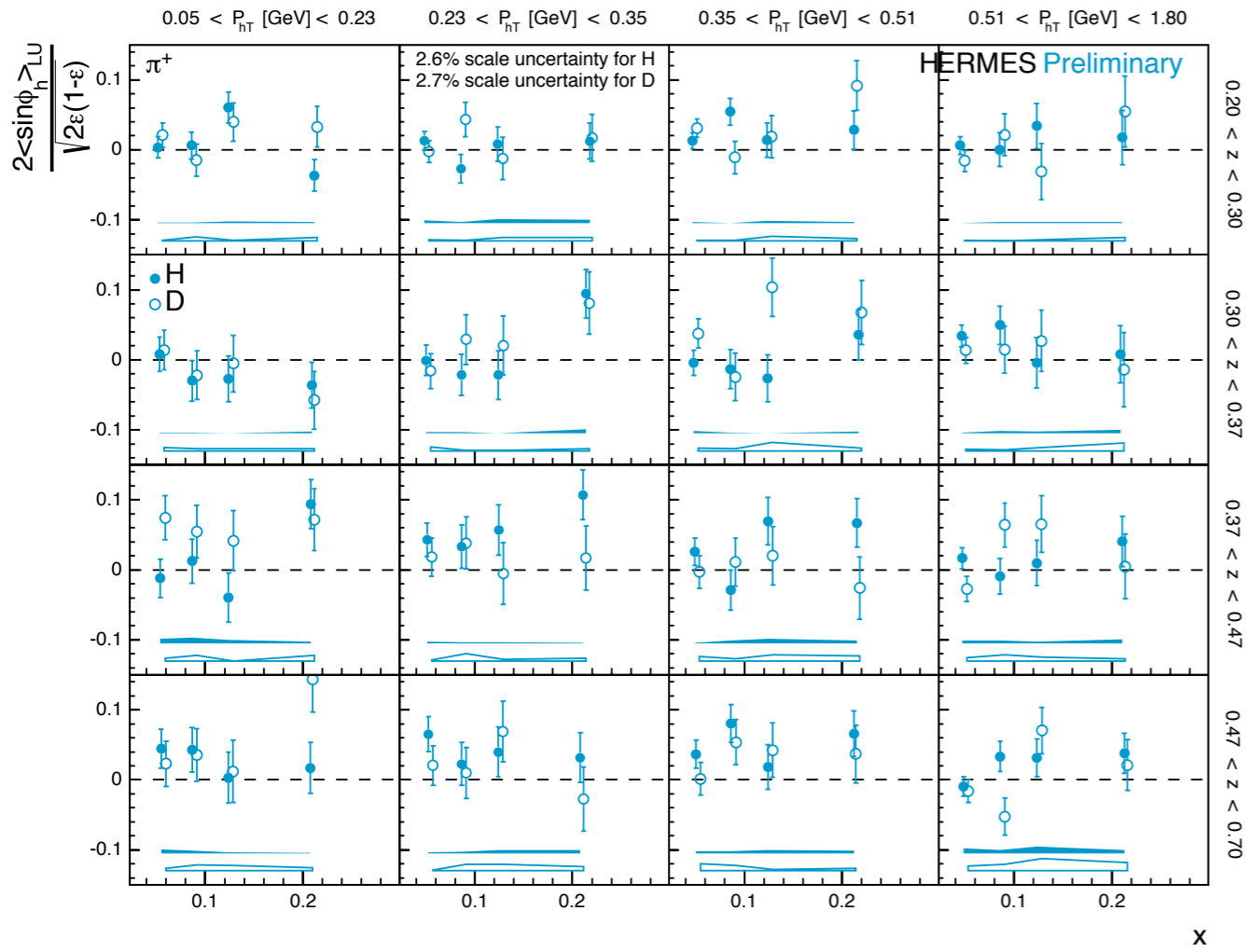


- $\pi^+$  and  $\pi^-$  amplitudes  $\approx 0$

# $F_{LU}^{\sin \phi_h}$

higher twist!

$$F_{LU}^{\sin \phi_h} \propto (eH_1^\perp; f_1\tilde{G}^\perp; g^\perp D_1; h_1^\perp \tilde{E})$$





# Bose-Einstein correlations in DIS

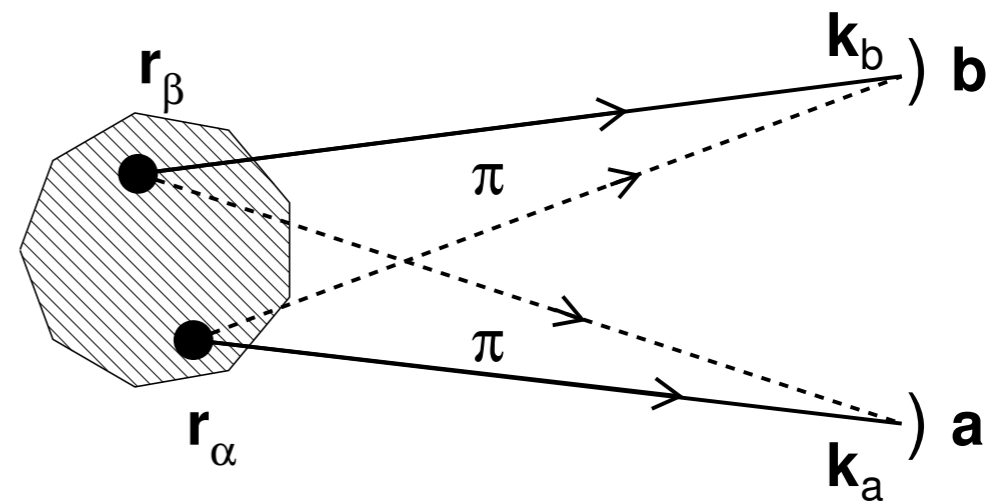
- unpolarized  $e^+/e^-$  beam
- H, D,  $^3\text{He}$ ,  $^4\text{He}$ , N, Ne, Kr, Xe target

# Bose-Einstein correlations

- incoherent source of identical bosons
- symmetry of wave function under exchange of identical bosons



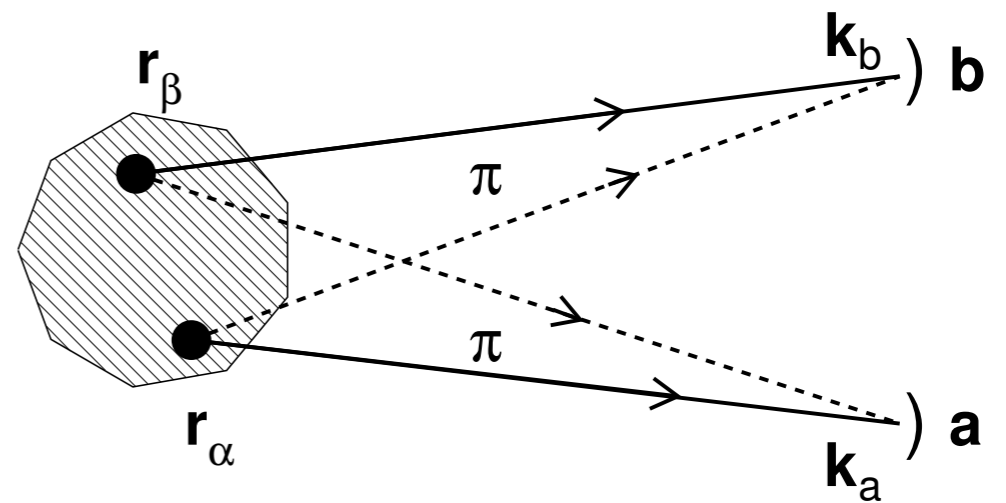
constructive interference



## Measurement of source distribution

- measurements of stellar radii by Hanbury Brown and Twiss
- first in particle physics:  $p\bar{p}$  collisions
- heavy-ion collisions, study of fireball source distribution
- $e^+e^-$  annihilation
- measurements in DIS are far less abundant

# Bose-Einstein correlations



Two-point sources:

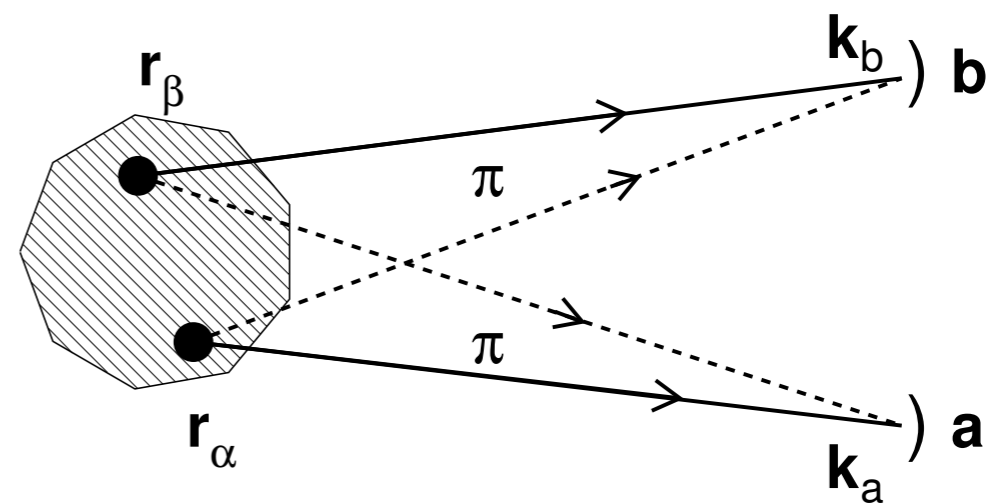
$$R(\mathbf{k}_\alpha, \mathbf{k}_\beta) \propto 1 + \cos(\delta\mathbf{k} \cdot \delta\mathbf{r})$$

# Bose-Einstein correlations

Goldhaber parametrisation of continuous space-time distribution of sources

$$R(T) = 1 + \lambda \exp(-T^2 r_G^2)$$

- Gaussian shape of source
- $r_G$ : size of source
- $T^2 = -(p_1 - p_2)^2$
- $\lambda = 0$   $\rightarrow$  coherent sources; no correlation
- $\lambda = 1$   $\rightarrow$  completely incoherent sources



Two-point sources:

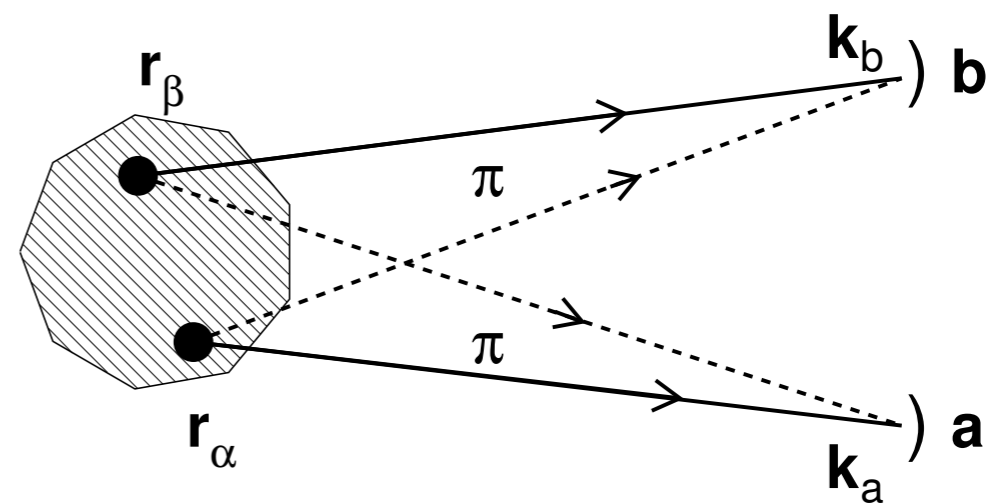
$$R(\mathbf{k}_\alpha, \mathbf{k}_\beta) \propto 1 + \cos(\delta\mathbf{k} \cdot \delta\mathbf{r})$$

# Bose-Einstein correlations

Goldhaber parametrisation of continuous space-time distribution of sources

$$R(T) = 1 + \lambda \exp(-T^2 r_G^2)$$

- Gaussian shape of source
- $r_G$ : size of source
- $T^2 = -(p_1 - p_2)^2$
- $\lambda = 0 \rightarrow$  coherent sources; no correlation
- $\lambda = 1 \rightarrow$  completely incoherent sources



Two-point sources:

$$R(\mathbf{k}_\alpha, \mathbf{k}_\beta) \propto 1 + \cos(\delta\mathbf{k} \cdot \delta\mathbf{r})$$

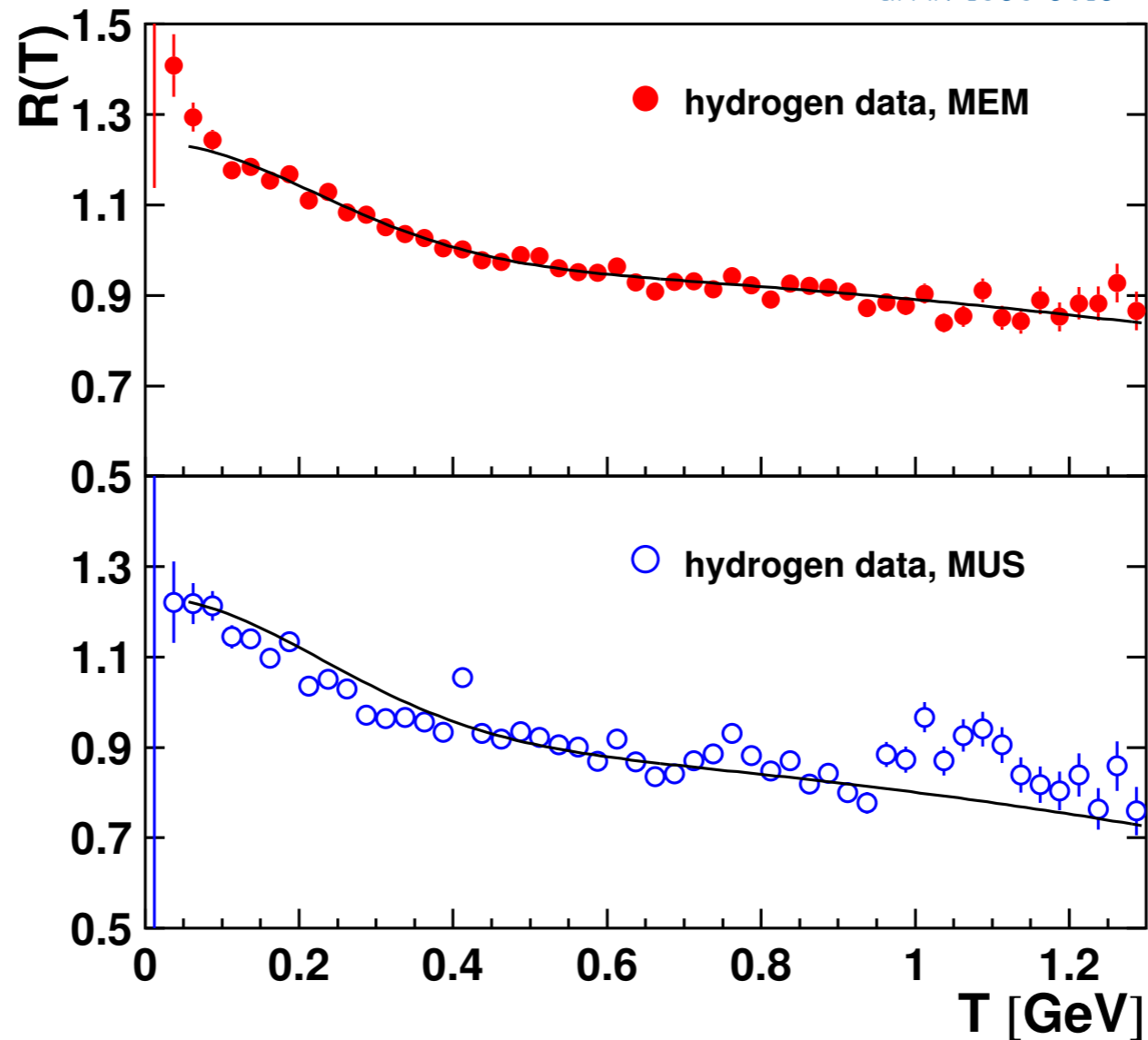
Extraction from experimental correlation function from like-sign unidentified hadrons

$$R(p_1, p_2) = D(p_1, p_2) / D_r(p_1, p_2)$$

- reference sample free from BEC, built from
  - unlike-sign pairs (MUS)
  - event mixing (MEM)

# Results

arXiv:1505.03102



MEM

MUS

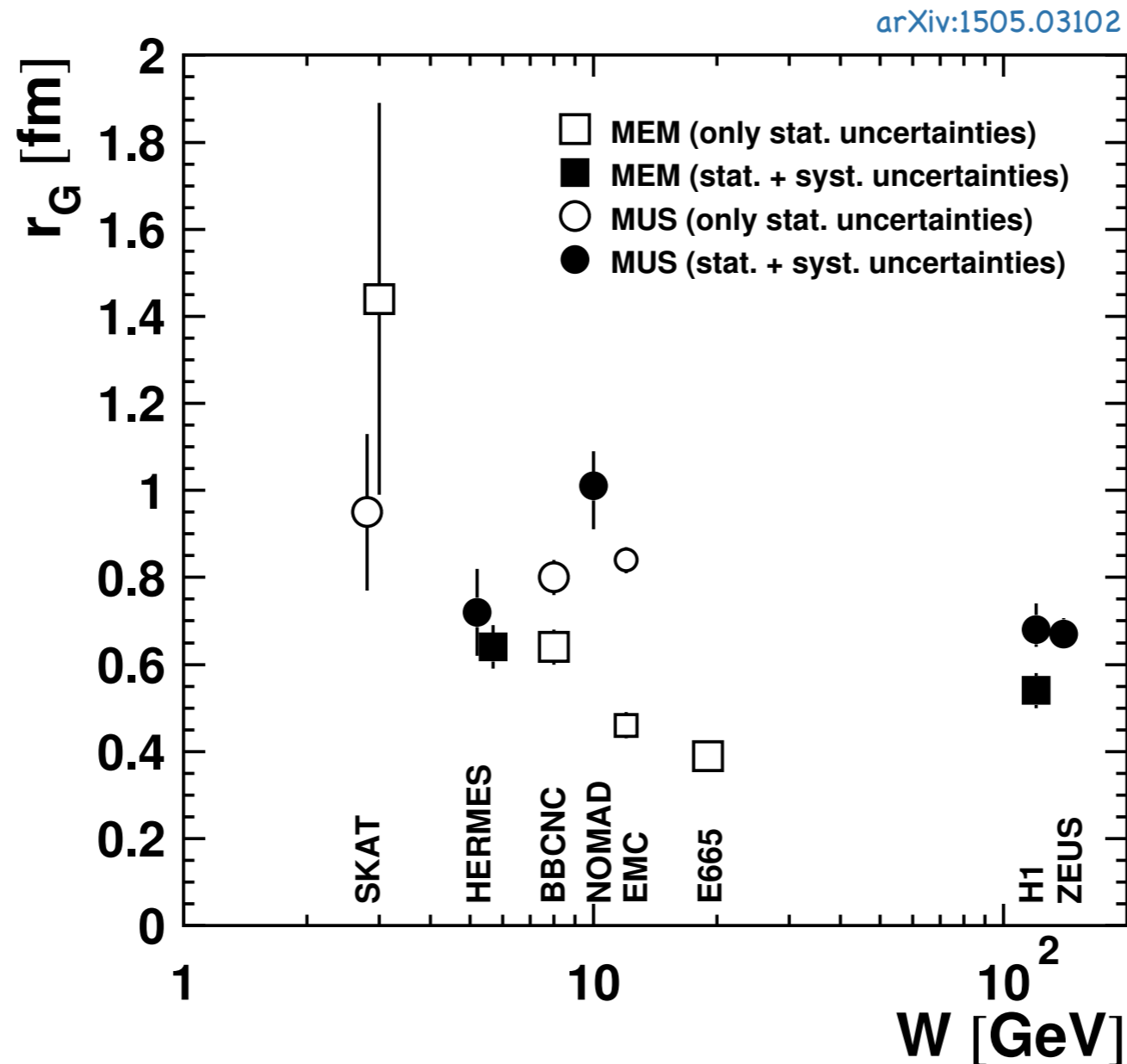
$$r_G = 0.64 \pm 0.03(\text{stat})_{-0.04}^{+0.04}(\text{sys}) \text{ fm}$$

$$r_G = 0.72 \pm 0.04(\text{stat})_{-0.09}^{+0.09}(\text{sys}) \text{ fm}$$

$$\lambda = 0.28 \pm 0.01(\text{stat})_{-0.05}^{+0.00}(\text{sys}) \text{ fm}$$

$$\lambda = 0.28 \pm 0.02(\text{stat})_{-0.04}^{+0.02}(\text{sys}) \text{ fm}$$

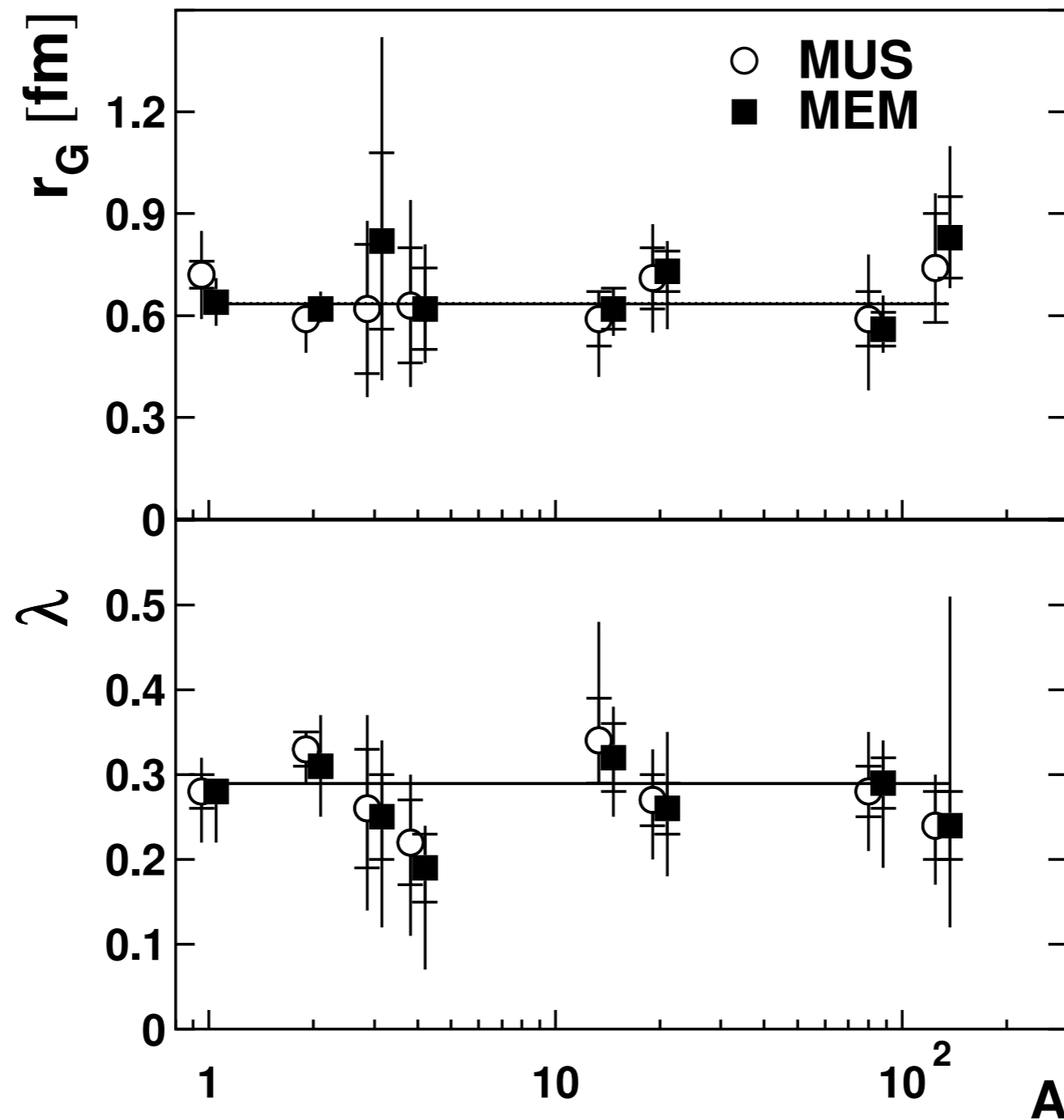
# Comparison to other experiments



- general agreement between experiments, with  $0.4 \text{ fm} < r_G < 1.0 \text{ fm}$
- HERMES and BBCNC agree well
- MUS values higher than MEM values

# Nuclear-mass dependence

arXiv:1505.03102

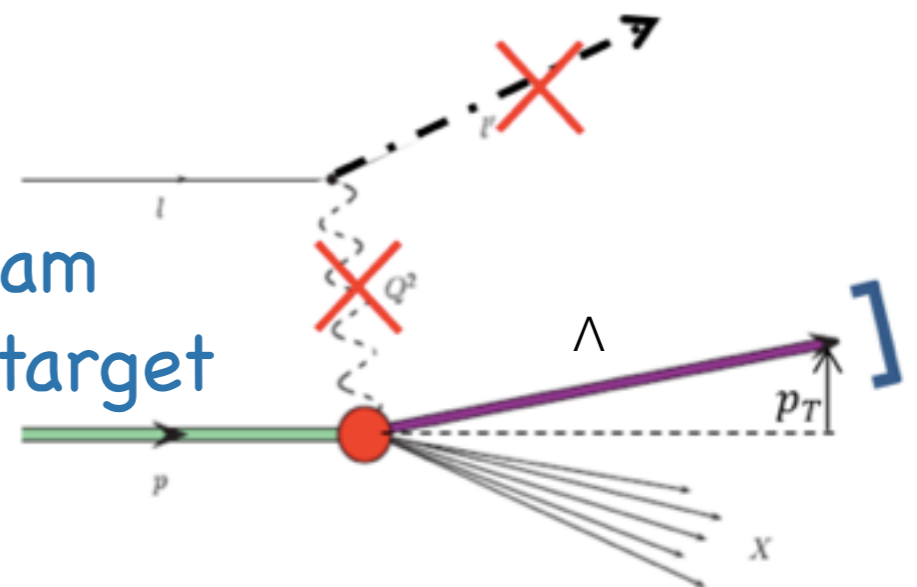


- no dependence on nuclear mass  $A$  observed



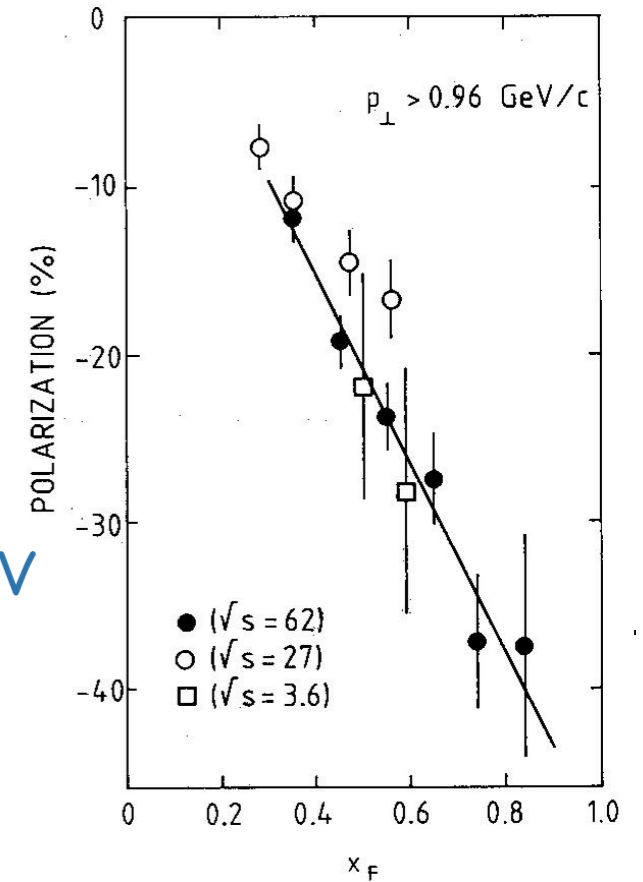
# $\Lambda$ polarization in quasi-real photo-production

- unpolarized  $e^+/e^-$  beam
- H, D, He, Ne, Kr, Xe target



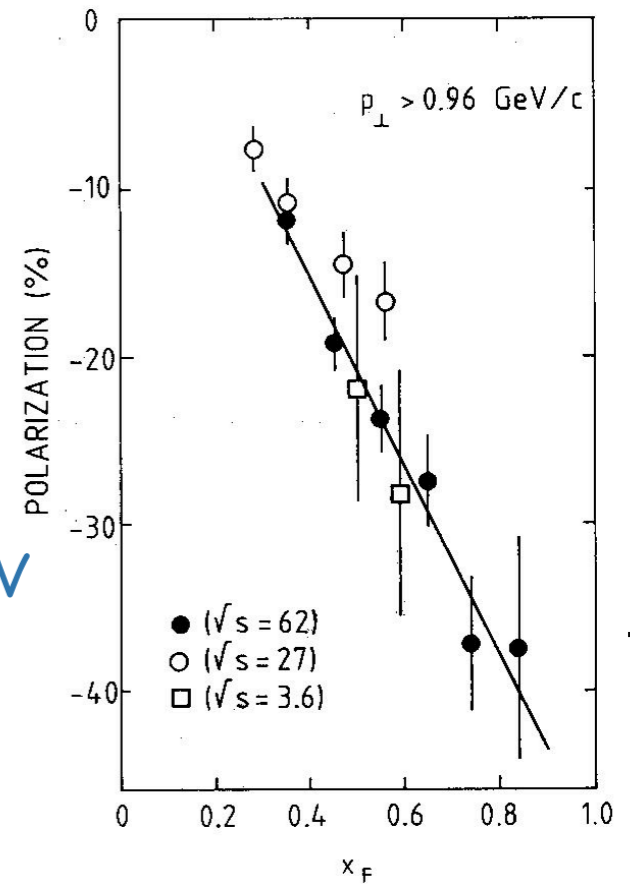
# Motivation

- Large transverse  $\Lambda$  polarization  $P^\Lambda$  observed in unpolarized hadron scattering experiments
- Vast majority: negative polarization values observed, except positive for  $K^-p$  and  $\Sigma^-N$
- Magnitude increases with  $x_F$  and  $p_T$ , reaching plateau for  $p_T=1$  GeV

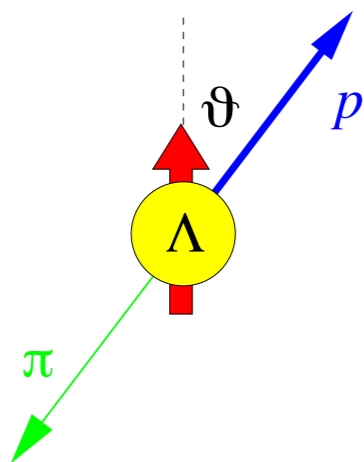


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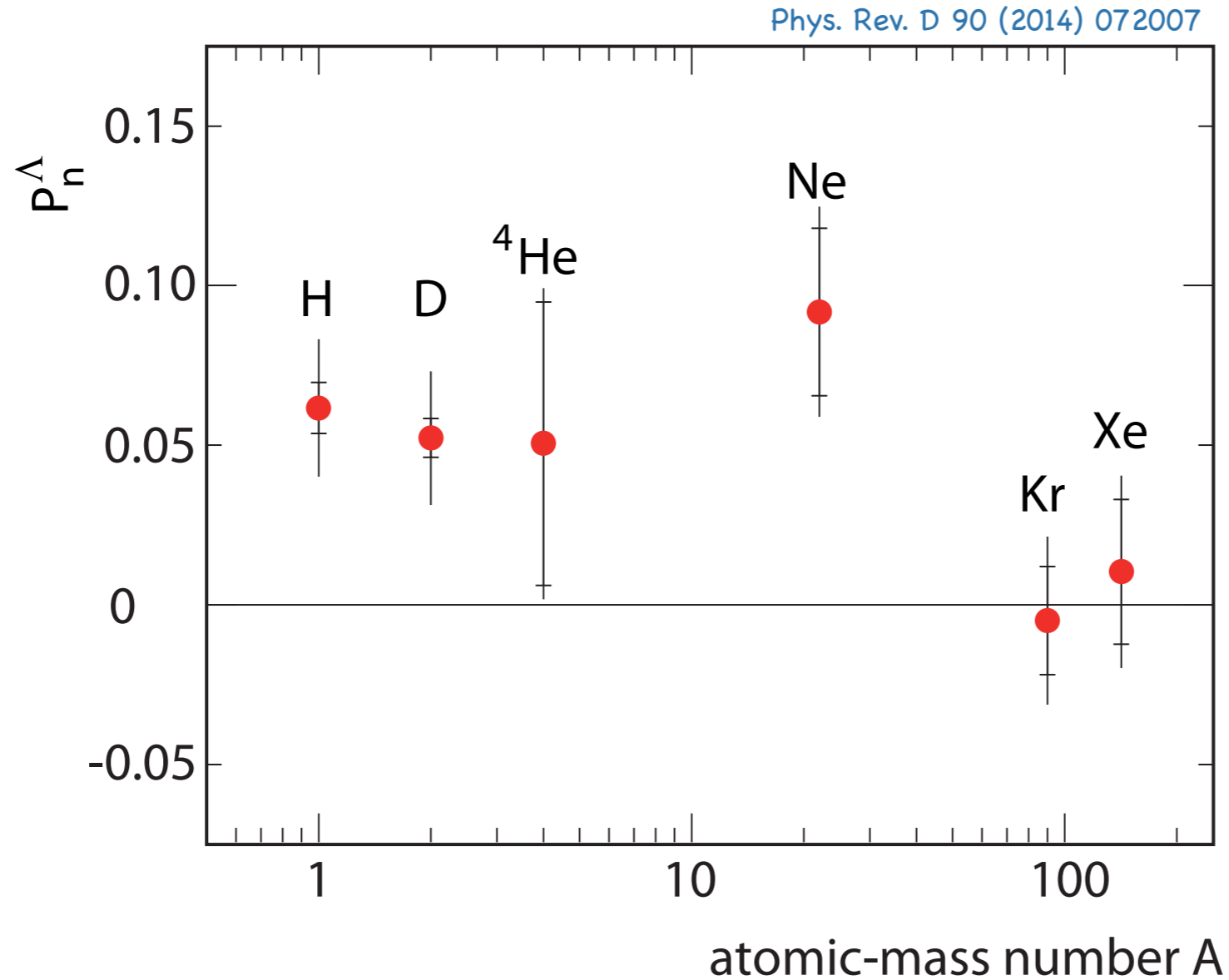
- $ep \rightarrow \Lambda^\uparrow X$  scattering?
- SIDIS (high  $Q^2$ )  $P^\Lambda \propto D_{1T}^\perp$ , polarising FF
- current measurement: inclusive ( $Q^2 \approx 0$ )



parity-violating weak decay of  $\Lambda$ : in  $\Lambda$  rest frame, proton preferably emitted along  $\Lambda$  spin direction

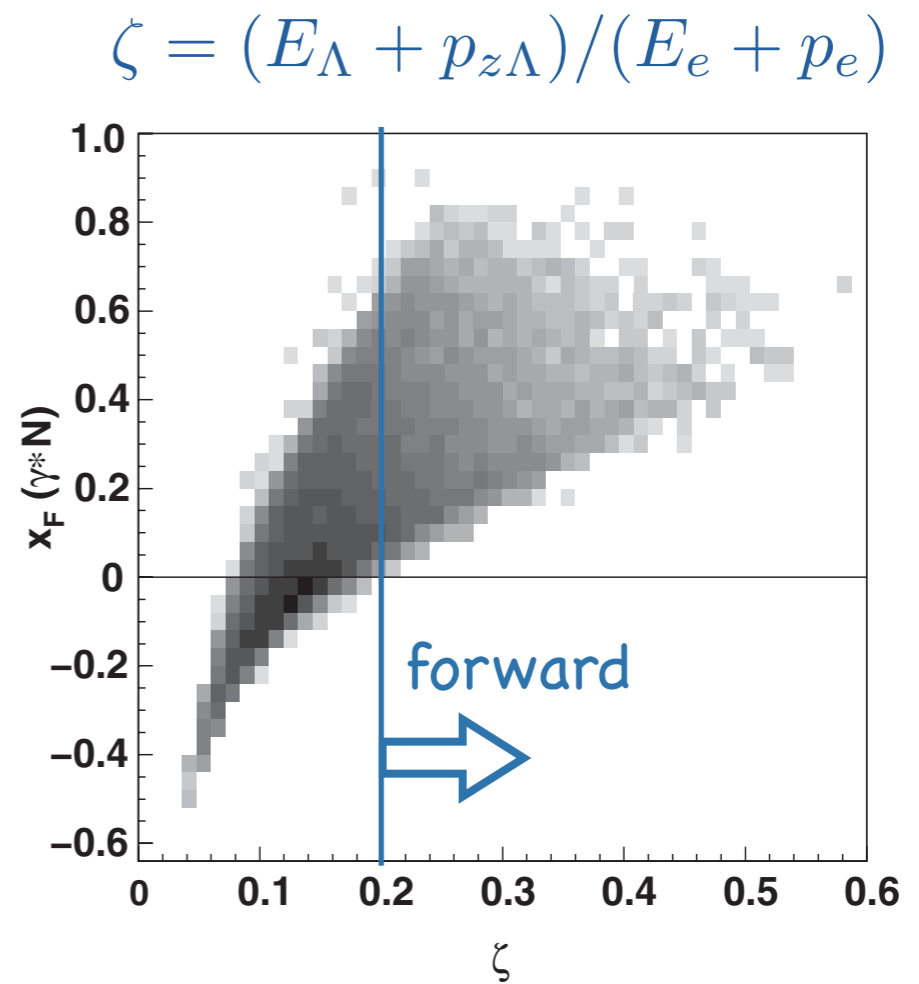
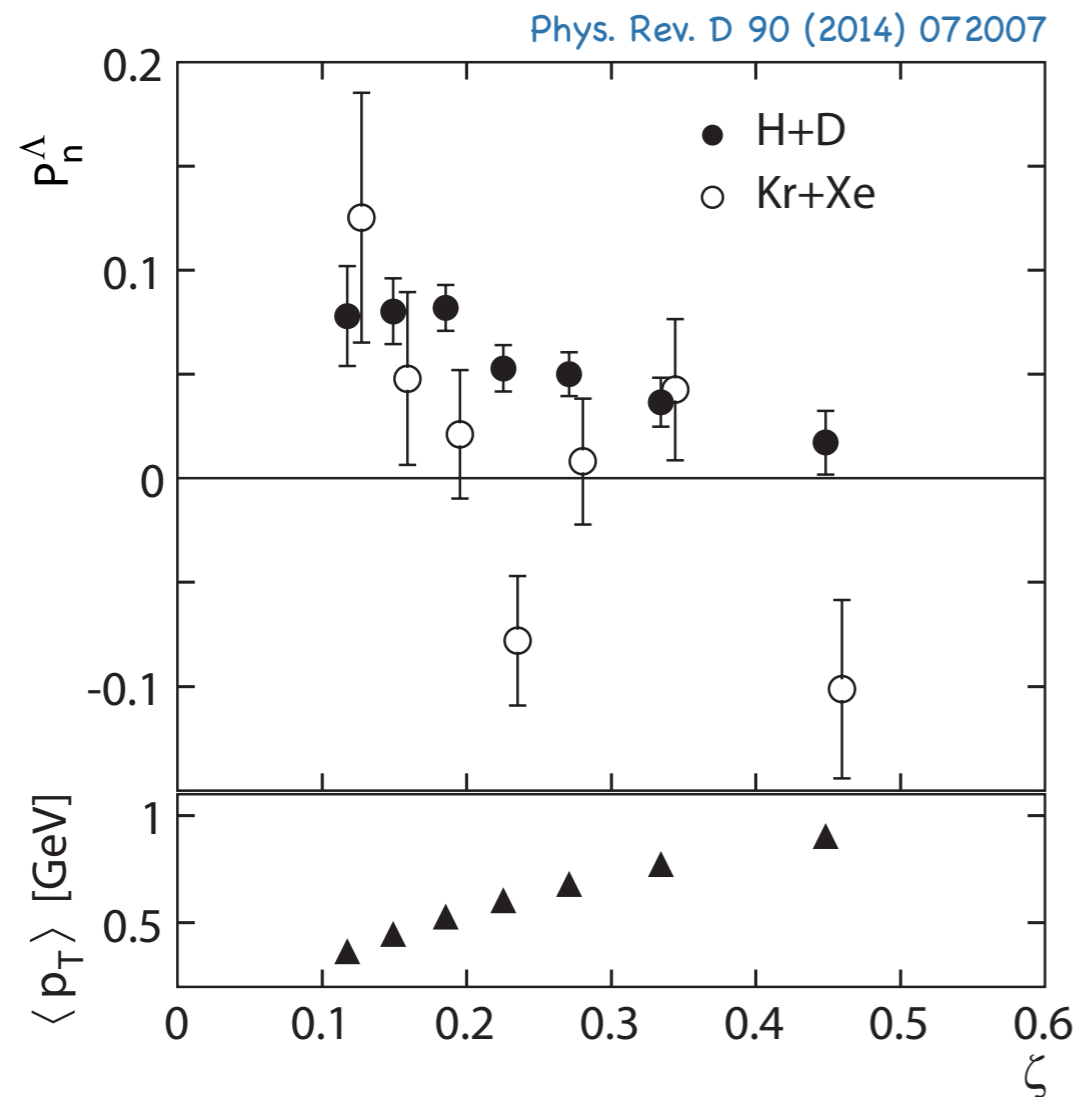
$$\frac{dN}{d\Omega_p} = \frac{dN_0}{d\Omega_p} (1 + \alpha P^\Lambda \cos \theta_p)$$

# Atomic-mass dependence



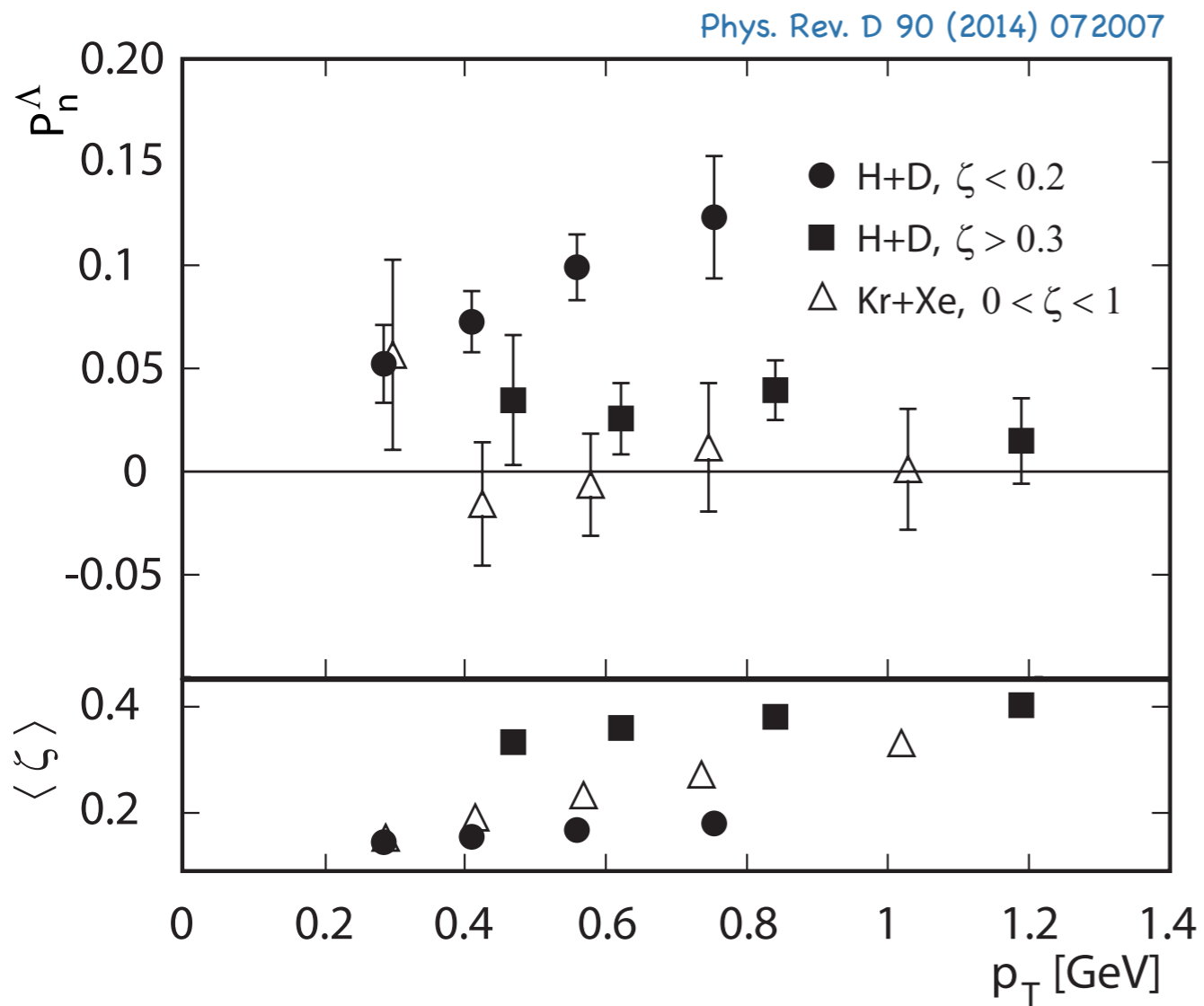
- positive  $P_n^\Lambda$  for light nuclei
- $P_n^\Lambda$  consistent with zero for heavier nuclei

# Kinematic dependence



- H+D:  $P_n^\Lambda$  larger in backward region  $\longrightarrow$  possibly influence of current and target fragmentation

# Kinematic dependence



- H+D:  $P_n^\Delta$  increases with  $p_T$  in backward region, while constant in forward region

# Summary

- 3D picture of the nucleon:
  - $\omega$  SDMEs and  $A_{UT}$  from exclusive DIS: good model description with inclusion of pion pole.
  - Asymmetries in semi-inclusive DIS: 3D extraction: contribute to understanding of various TMD PDFs @ twist 2 and twist 3.
- Bose-Einstein correlations in DIS: clear signals observed, without evidence for target-mass dependence.
- $\Lambda$  polarization in quasi-real photoproduction: positive for light nuclei; compatible with zero for Kr and Xe.

Thank you