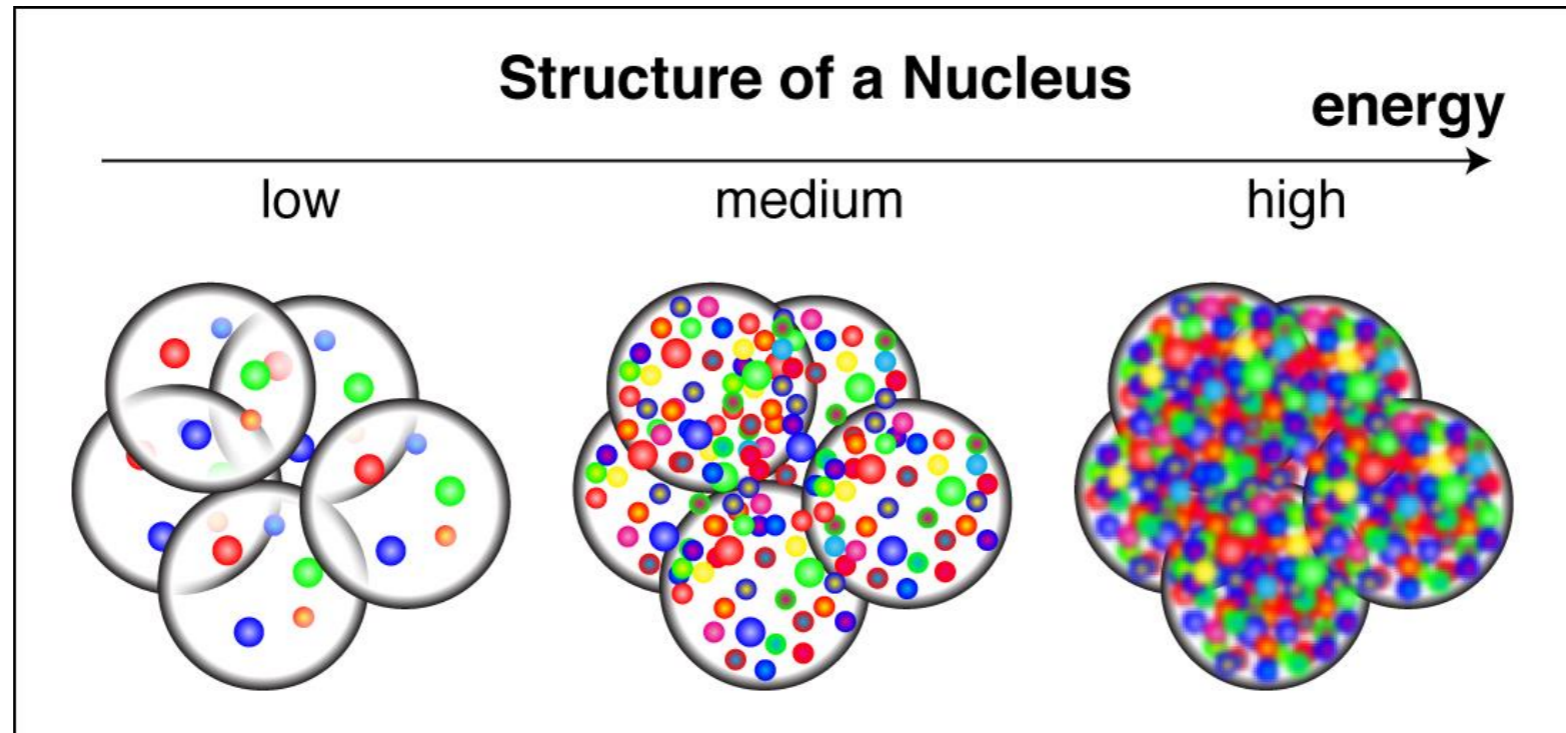


# Gluon saturation with forward photons at LHC: prospects for a high-granularity calorimeter upgrade for ALICE

*Marco van Leeuwen,  
Nikhef and Utrecht University*

For the ALICE-FOCAL collaboration

# Saturation/Color Glass Condensate



Low  $x$ : large gluon density

Low  $Q^2$ : large effective size of gluons



Strong fields, large occupation numbers

**Large theoretical interest:**

- Fundamentally new regime of QCD
- Theoretically calculable:  
Classical color fields; JIMWLK, etc

Experimental/phenomenological question:

**Where/when is CGC dynamics relevant/dominant?**

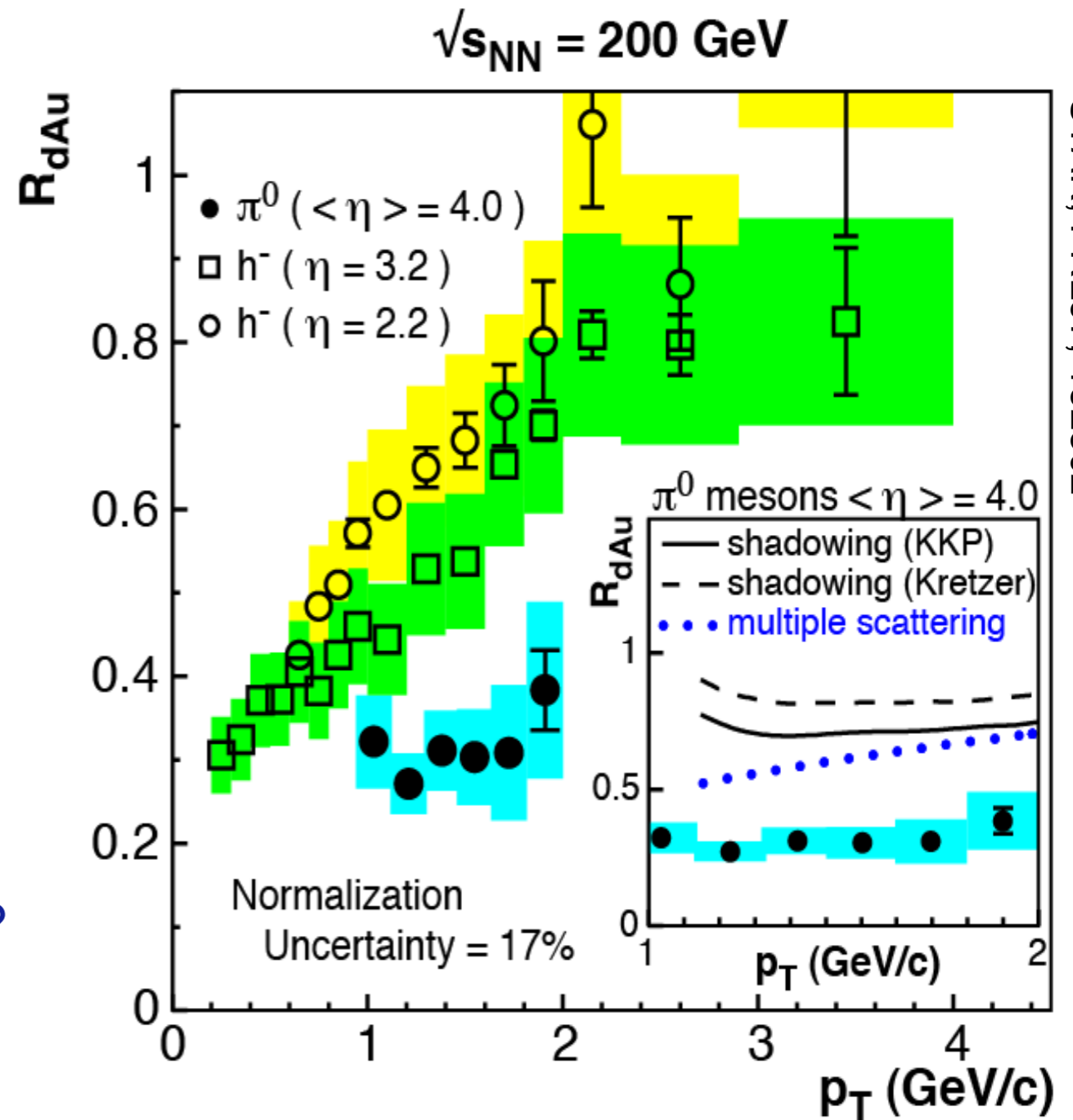
Non-linear evolution <sup>TM</sup>Reduced gluon density <sup>TM</sup>Suppression of yield  
 $1 + \text{many instead of } 2 \rightarrow 2$  <sup>TM</sup>Suppression of recoil jet (mono-jets?)

# Forward physics at RHIC

- Nuclear modification factor

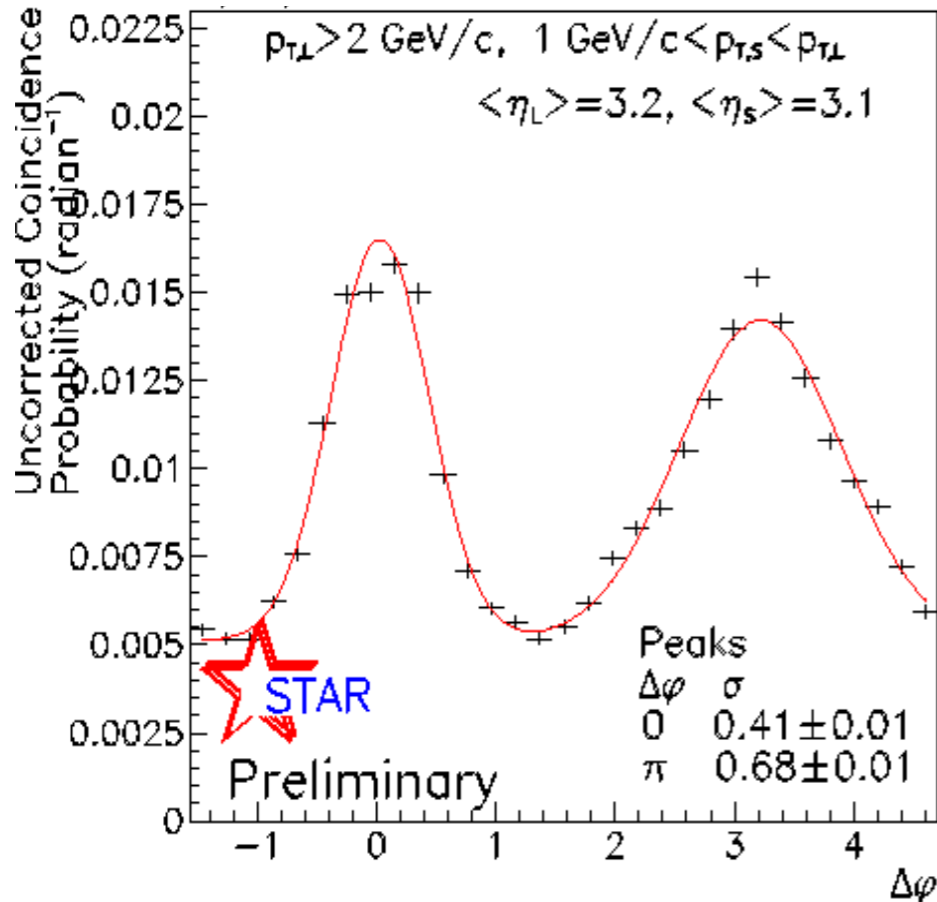
$$R_{dAu} = \frac{1/N_{ev} \cdot d^2 N / dp_T dy (d+Au)}{\langle N_{coll} \rangle \cdot 1/N_{ev} \cdot d^2 N / dp_T dy (p+p)}$$

- Shadowing (nPDF) gives smaller suppression
- Qualitatively consistent with saturation
  - Still low  $p_T$ ; other soft mechanisms?

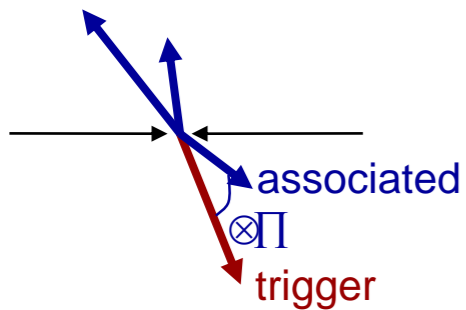
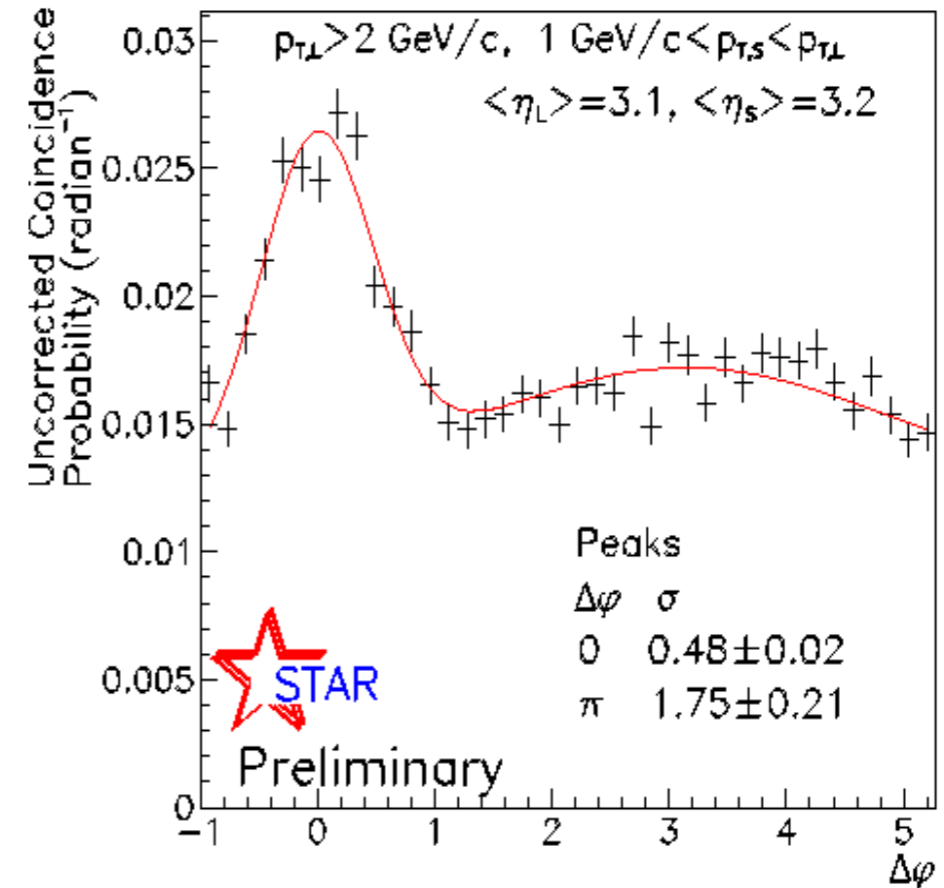


# Forward di-hadrons at RHIC

## Minimum Bias d+Au collisions



## Central d+Au collisions



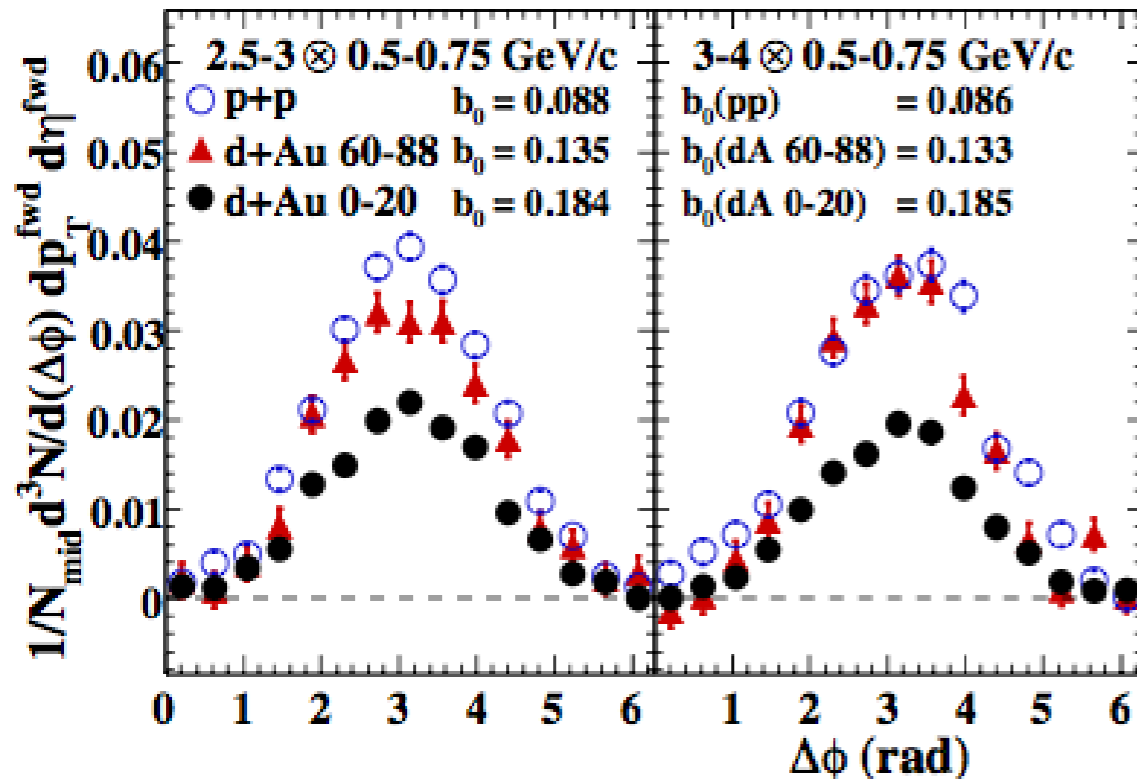
## De-correlation of recoil yield

- Consistent with CGC: coherent gluon field
- What about multiple parton interactions?

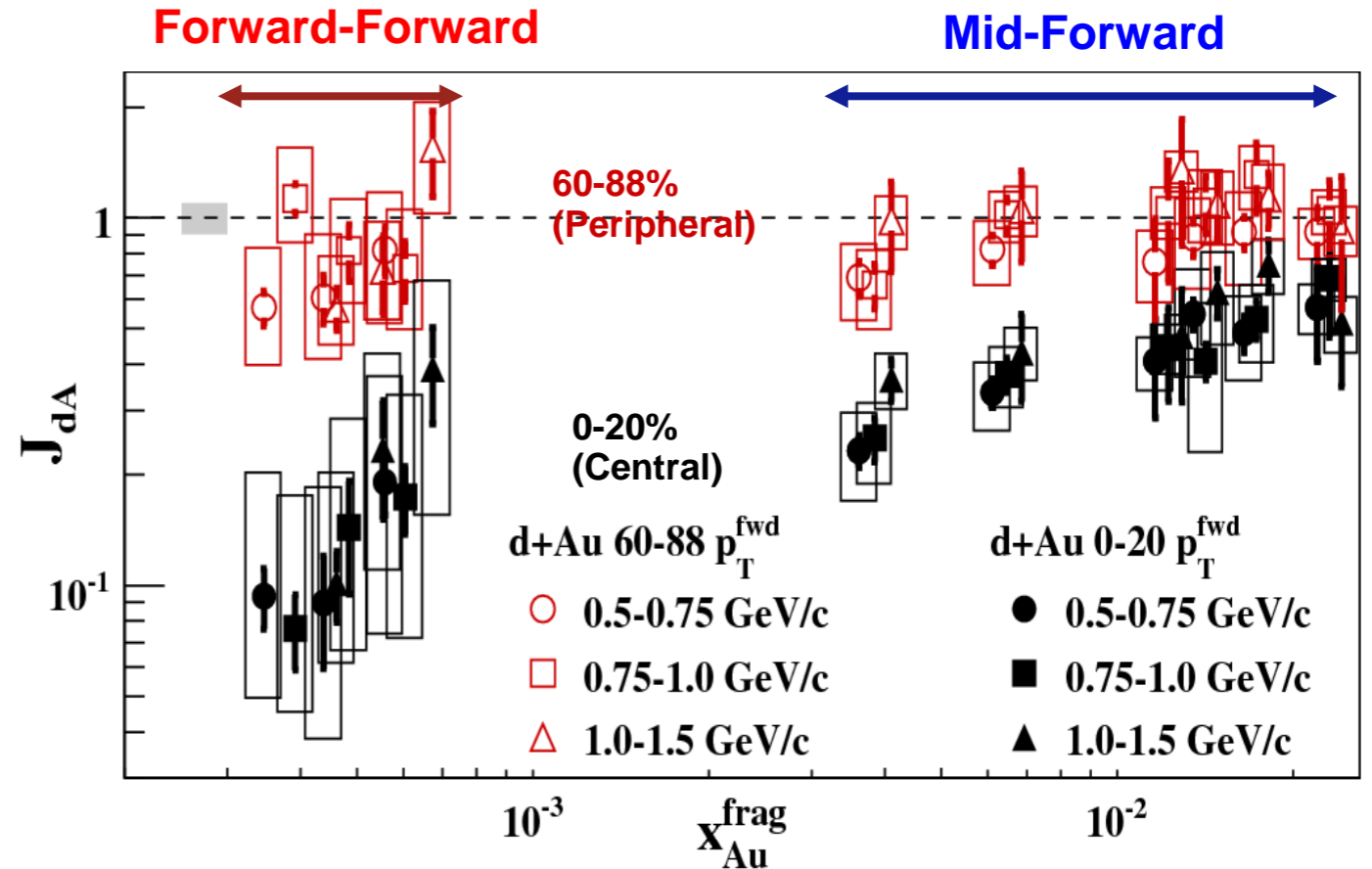
IMO: Much more compelling than inclusive suppression;  
could be 'smoking gun'

# Di-hadron correlations at RHIC II

$\pi^0$ - $\pi^0$  mid - forward



$|\eta| < 0.35$  and  $3.0 < \eta < 3.8$



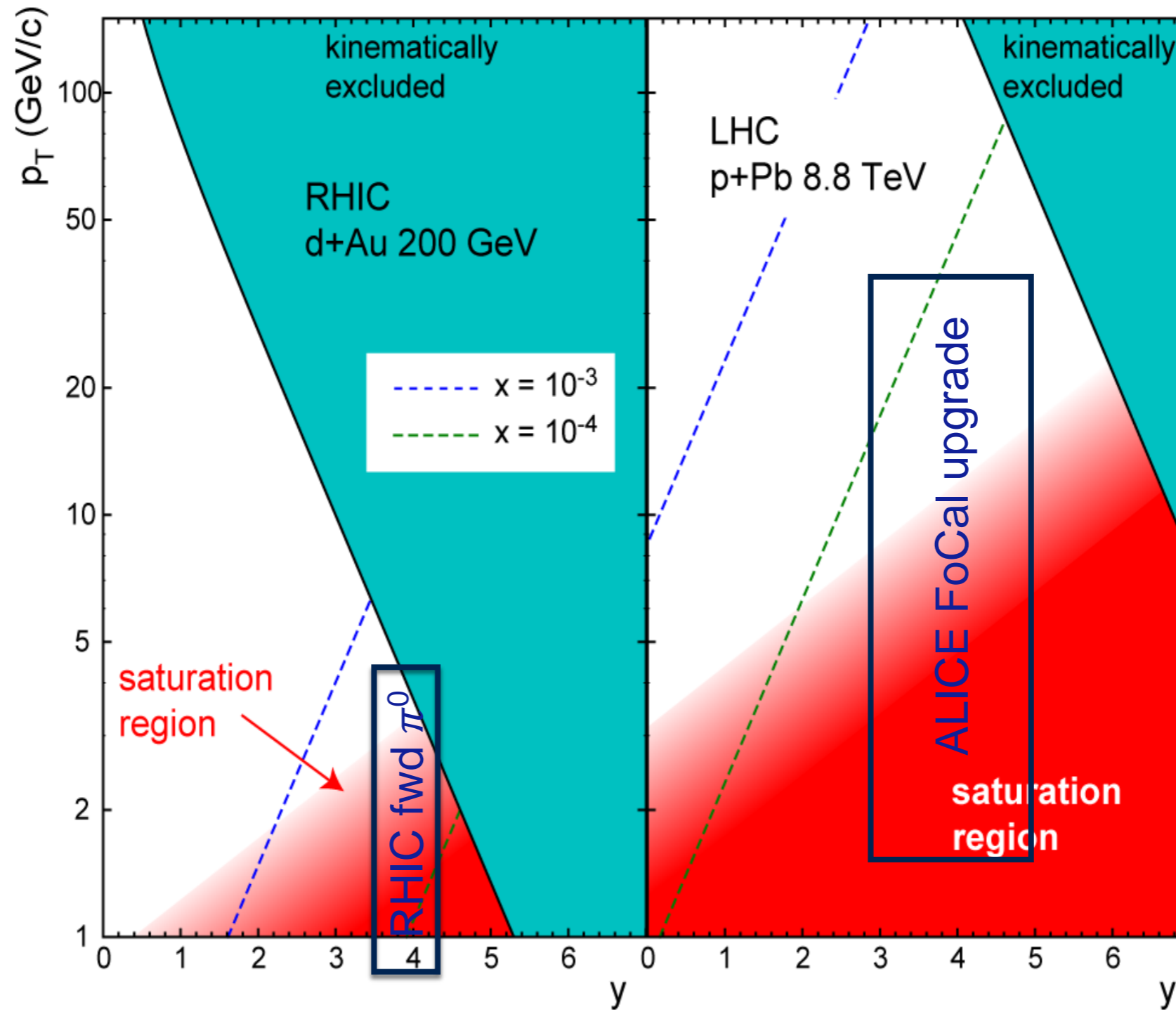
PHENIX, PRL107, 172301

Scan 'x' with  $p_{T1}$  and forward, mid rapidity

More systematic study shows similar effects, trends as a function of x

Large suppression at 'x'  $< 10^{-3}$  in central events

# LHC vs RHIC

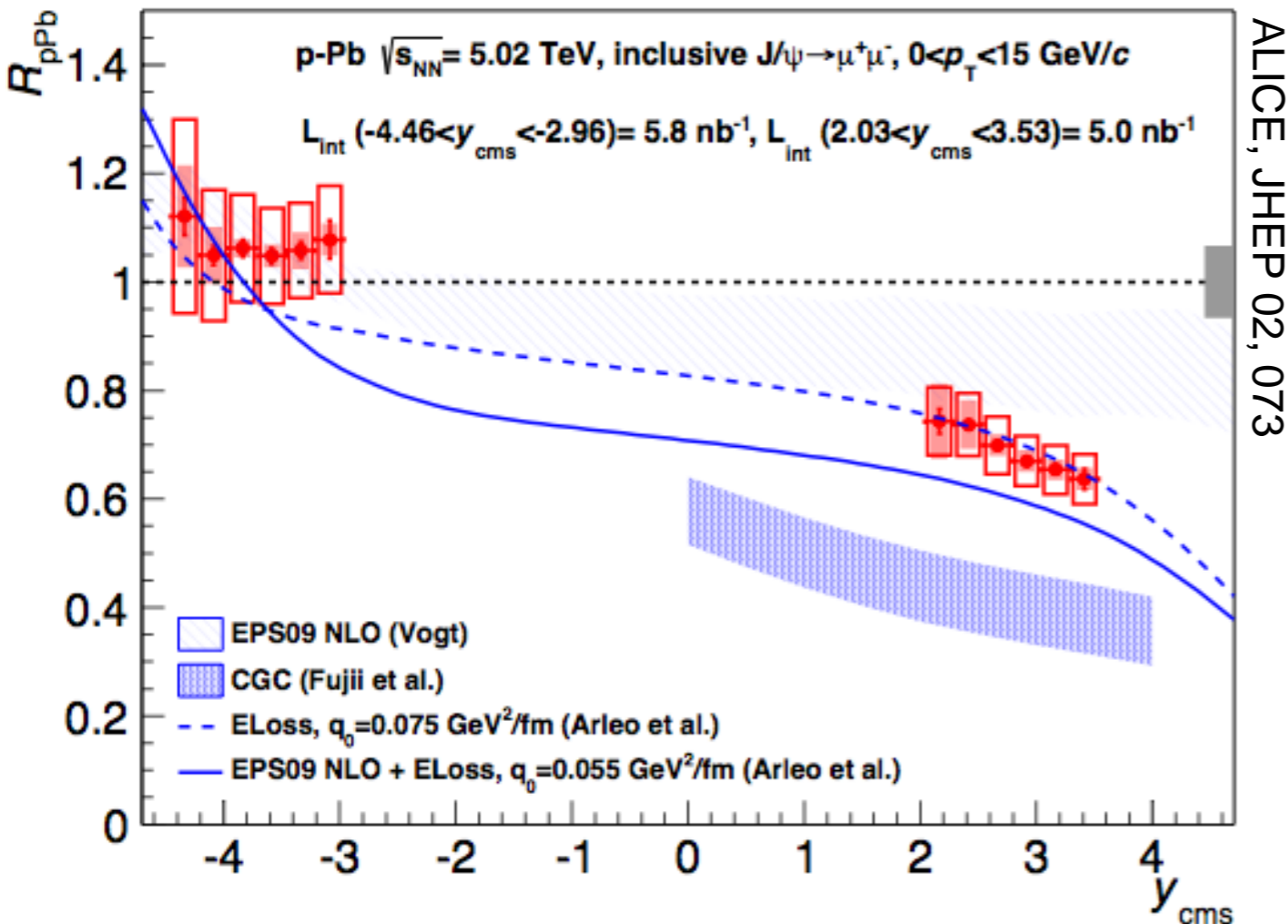


RHIC forward:  
kinematic limit at  $p_T \sim 5$  GeV

LHC:  $x \sim 10^{-4} - 10^{-5}$  accessible,  
with  $p_T \sim Q \sim 3-4$  GeV

# Recent results at forward rapidity

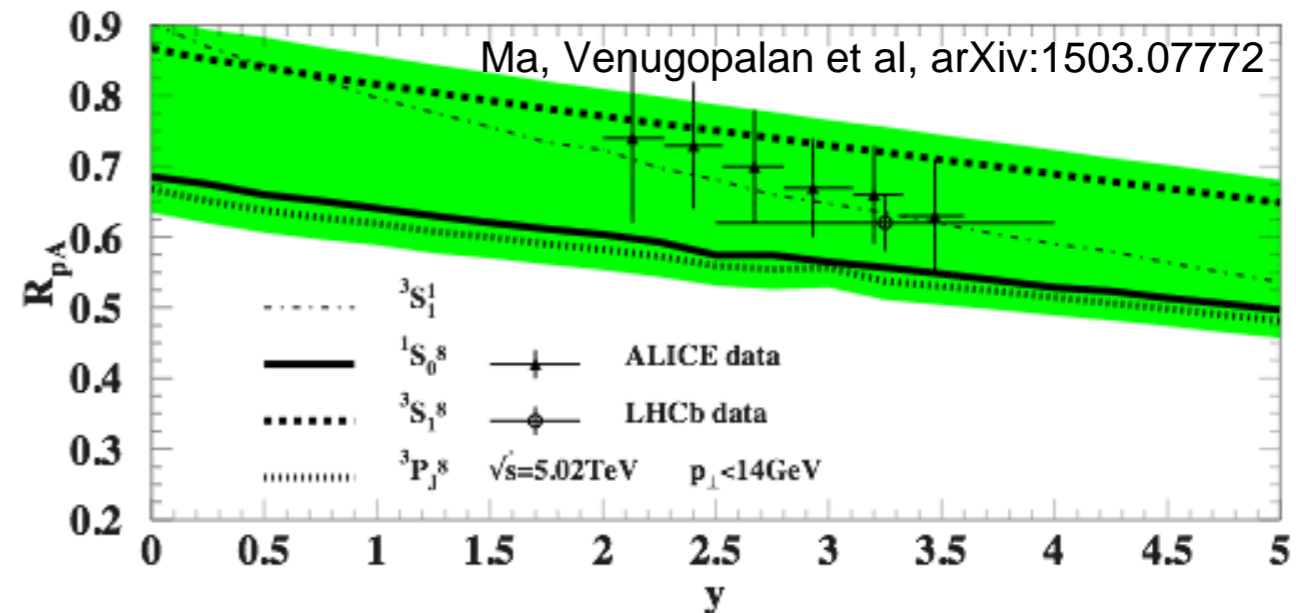
## ALICE J/Ψ measurement



Compatible with nPDFs + E-loss,  
but not CGC?

Not yet conclusive; J/Ψ has sizable hadronisation/CNM uncertainties

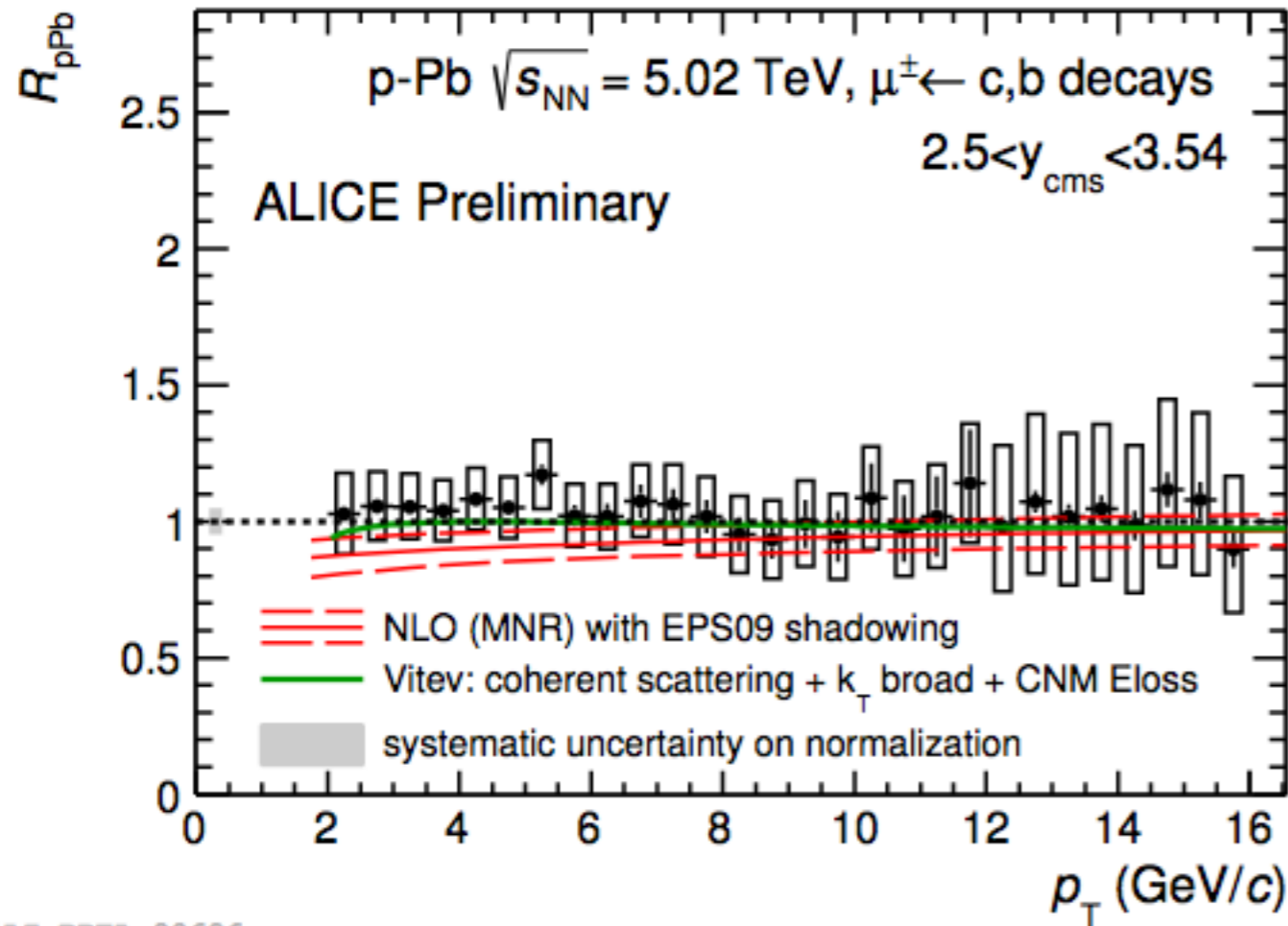
## New CGC calculation



More recent CGC calculation  
compatible with observed J/Ψ

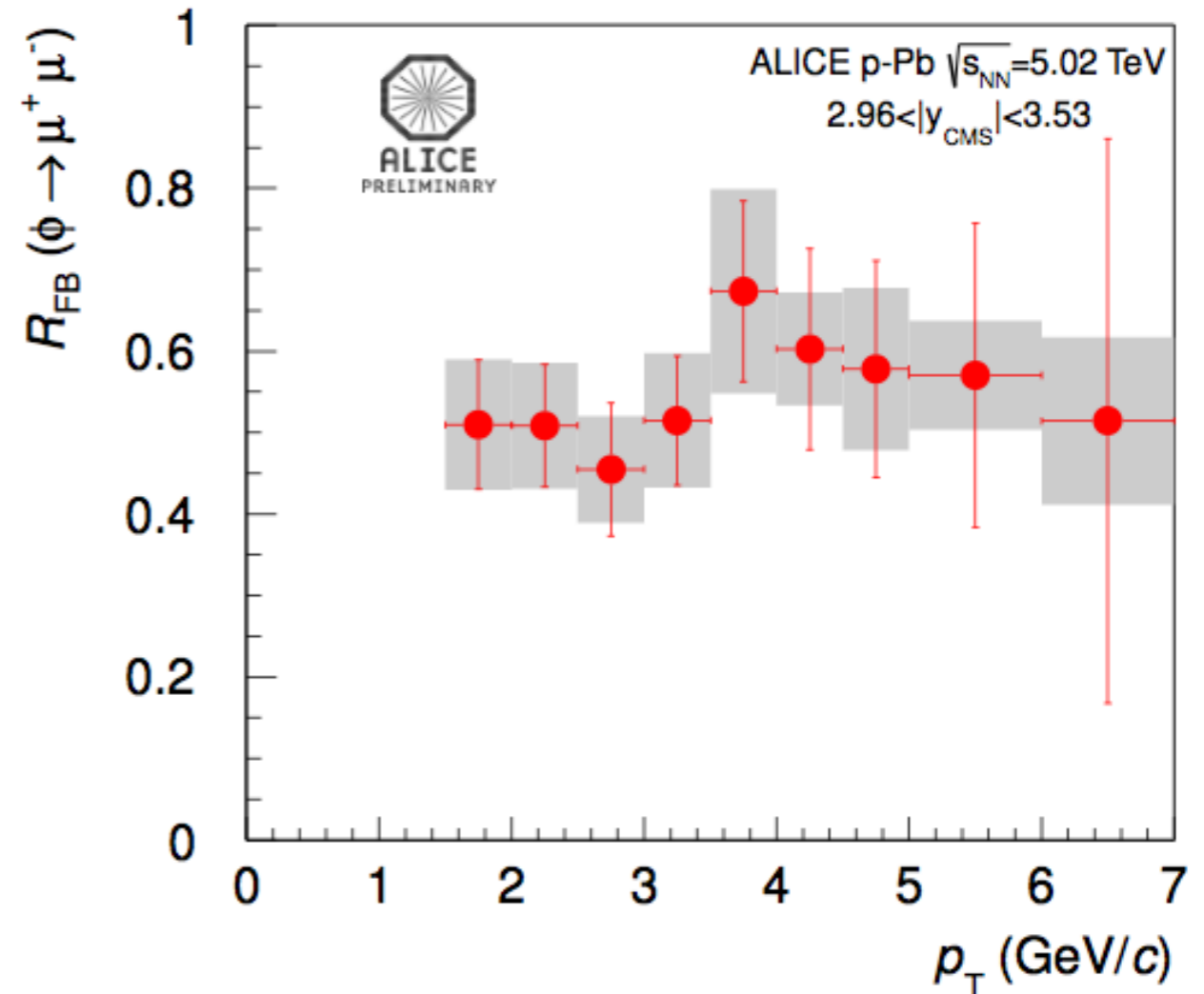
# Other recent forward results

## Muons from HF



$R_{pPb} \sim 1$ , compatible with nPDF

## $\phi$ meson



ALI-PREL-61845

$R_{FB} \neq 1$

No clear physics interpretation?



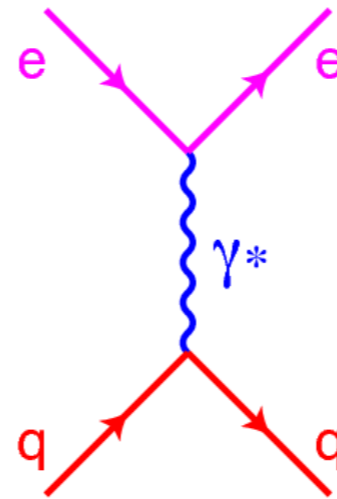
# How to probe the gluon density

## Deep-Inelastic Scattering (DIS)

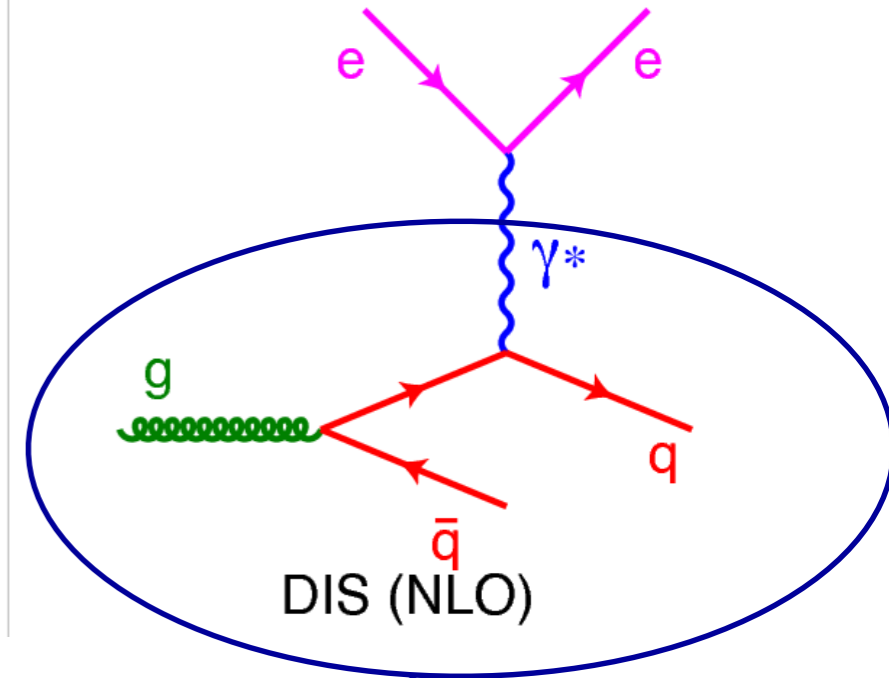
Classical PDF method

Not sensitive to gluons at LO

**Gluons from NLO/evolution**  
and/or  $F_L$



DIS (LO)

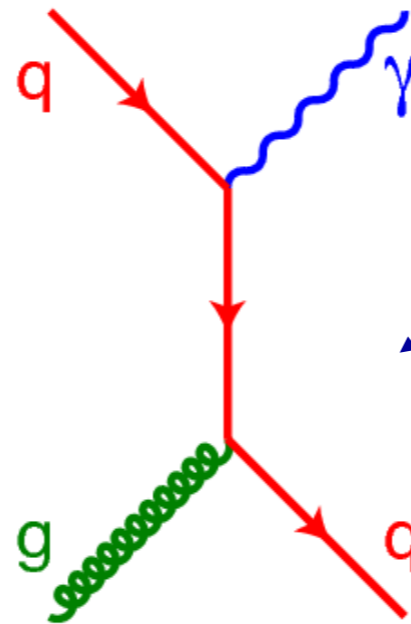


DIS (NLO)

## Photon production

in hadronic collisions:

Sensitive to **gluons at LO**

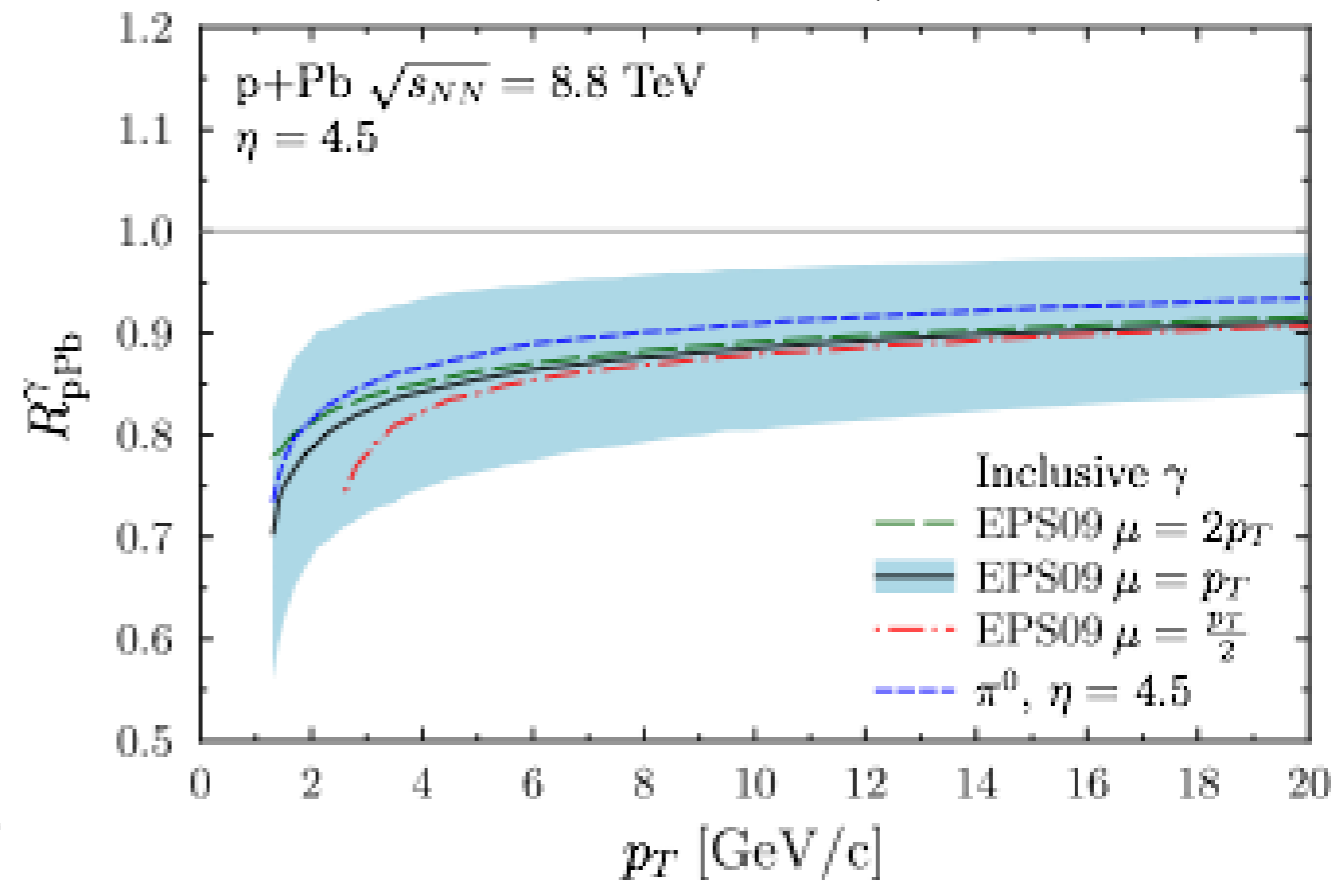
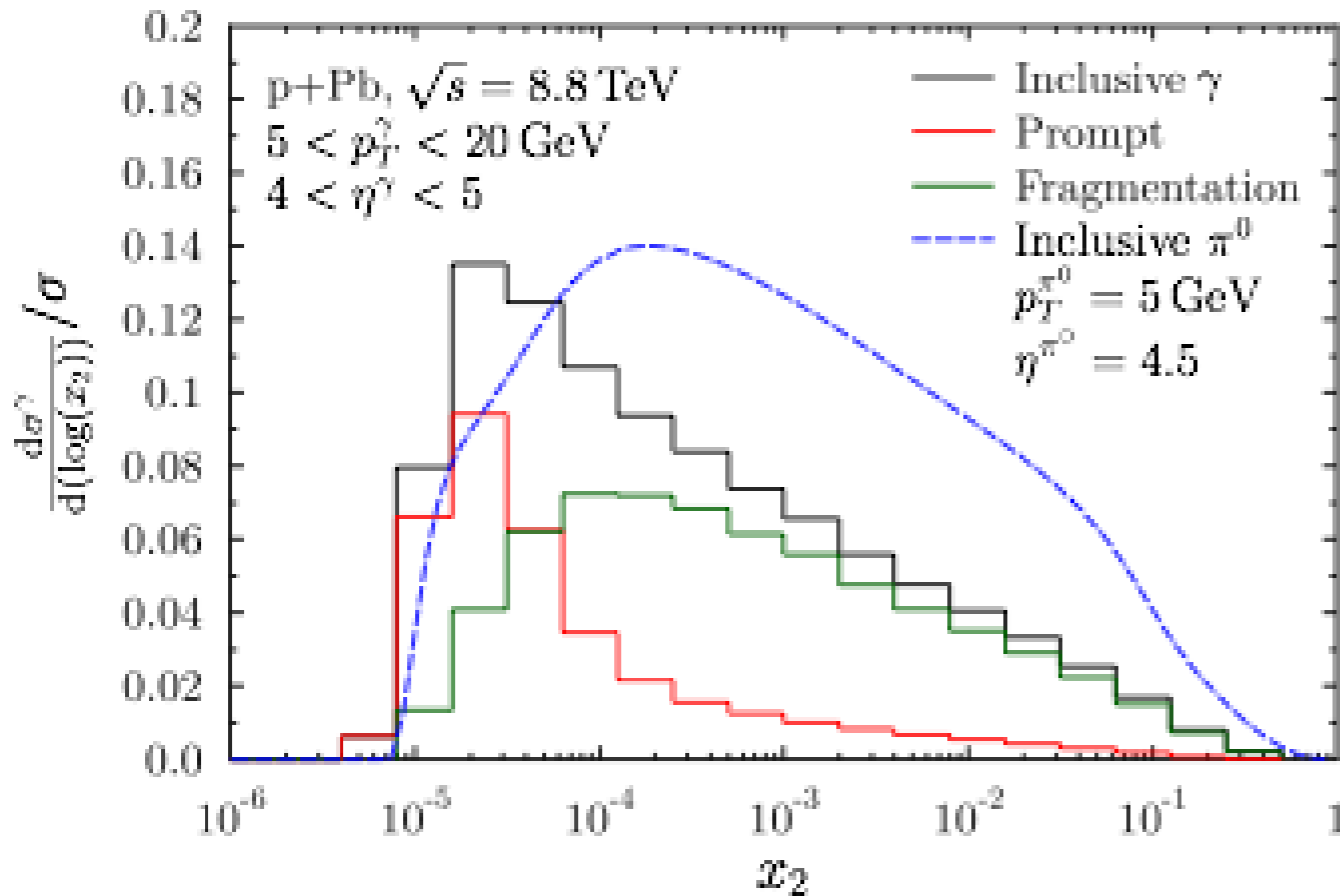


direct- $\gamma$ , Compton (LO)

Directly related to DIS:  
real instead of virtual photon

# NLO studies of $x$ sensitivity

Helenius et al, arXiv:1406.1689



$\gamma$  reach factor  $\sim 10$  lower  $x$   
(can be improved with isolation cuts)

$R_{pPb} \sim 0.85$  expected  
from gluon shadowing  
nPDFs

Still: sizeable tail to  $x$ -distribution: mean  $x$  not most probably  $x$   
how does this affect PDF constraining power?

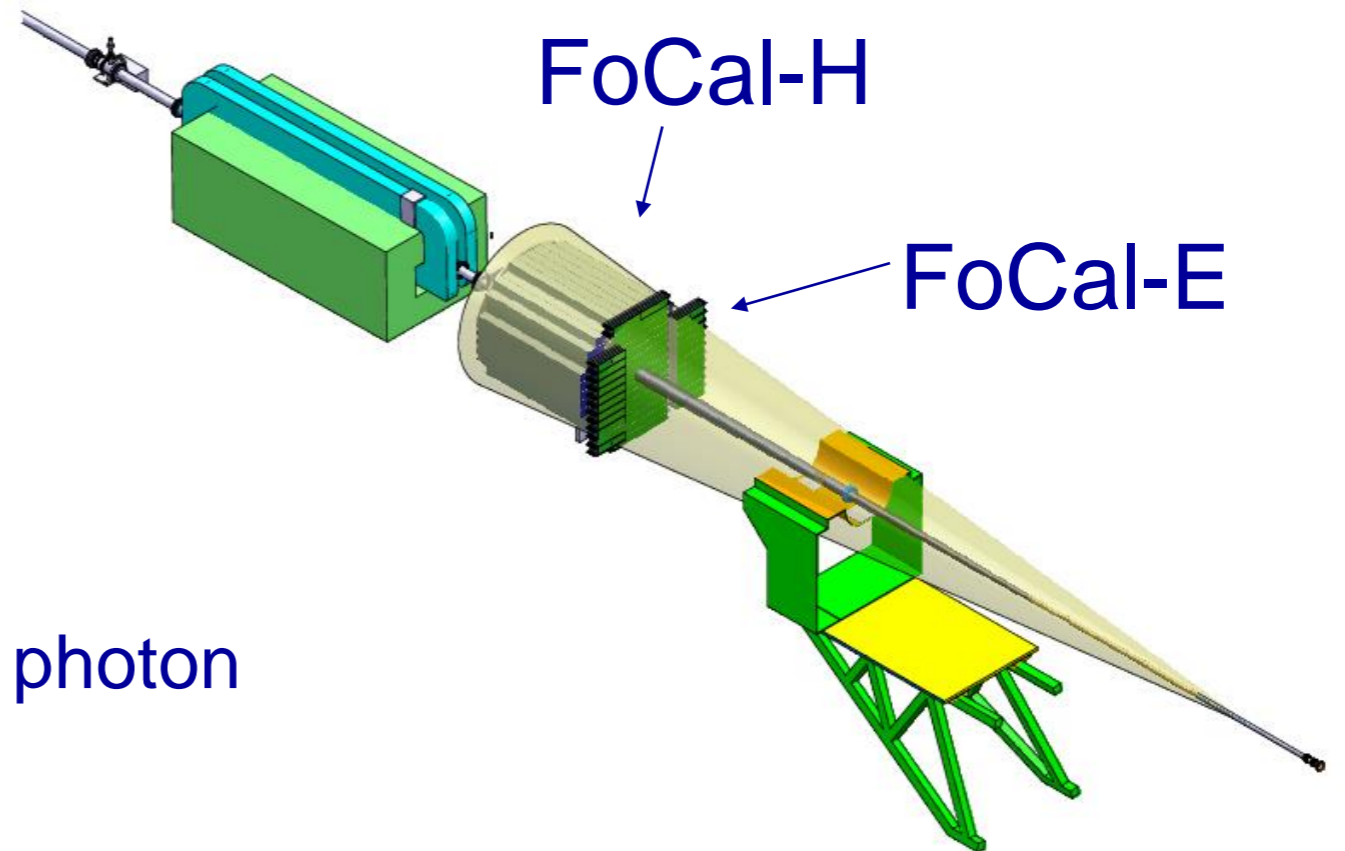
Could use theory guidance/help on this:

How well does photon production constrain the gluon density at low  $x$

# The FOCAL proposal

Under discussion within ALICE

$$3.2 < |\eta| < 5.3$$



**FoCal-E:** high-granularity Si-W calorimeter for photons and  $\pi^0$

**FoCal-H:** hadronic calorimeter for photon isolation and jets

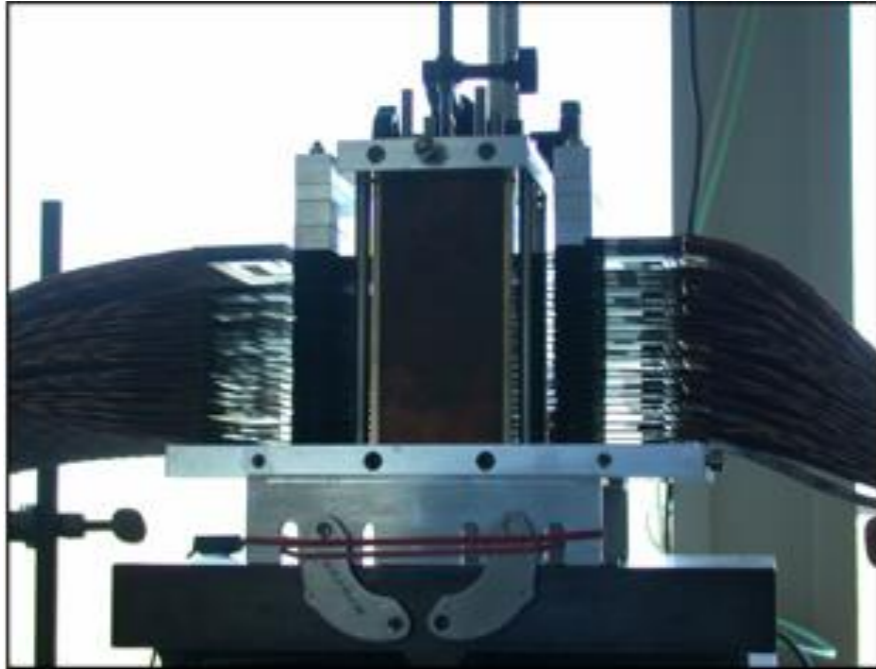
Observables:

- $\pi^0$
- Direct (isolated) photons
- $J/\psi$
- Jets

Advantage in ALICE:  
forward region not instrumented;  
'unobstructed' view of interaction point

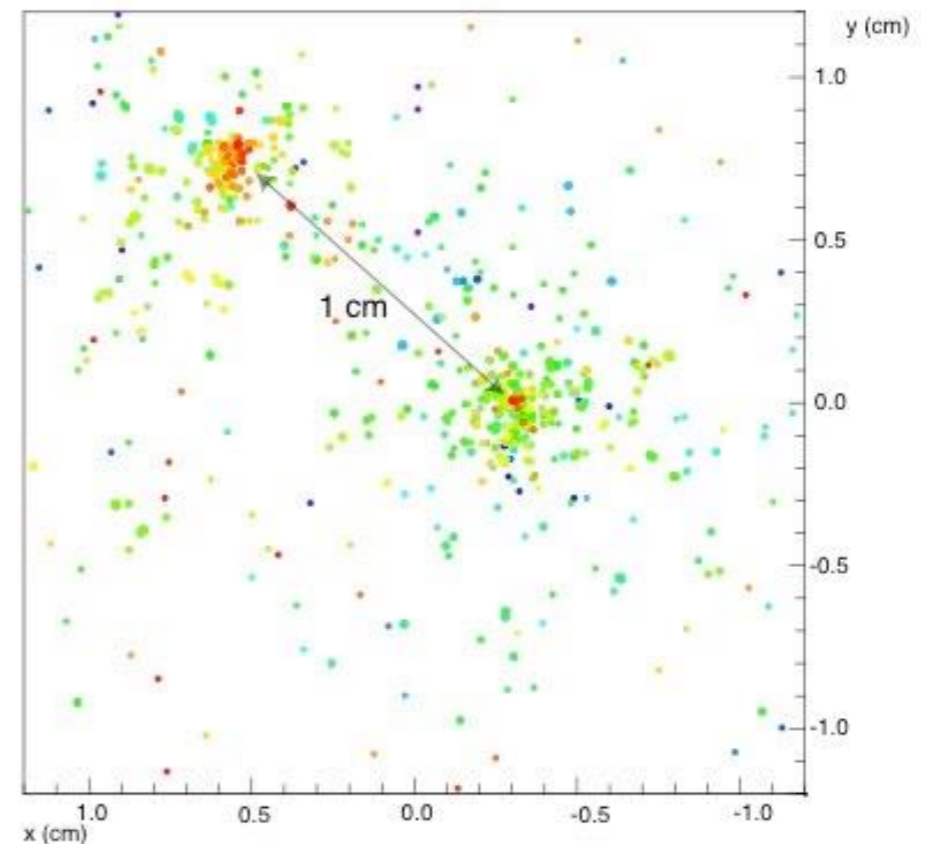
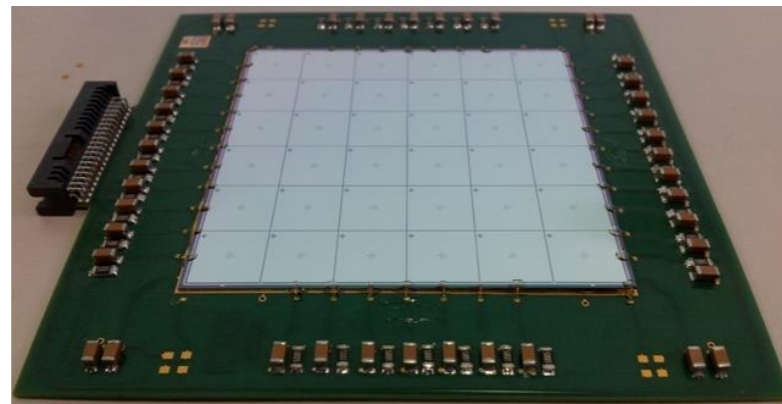
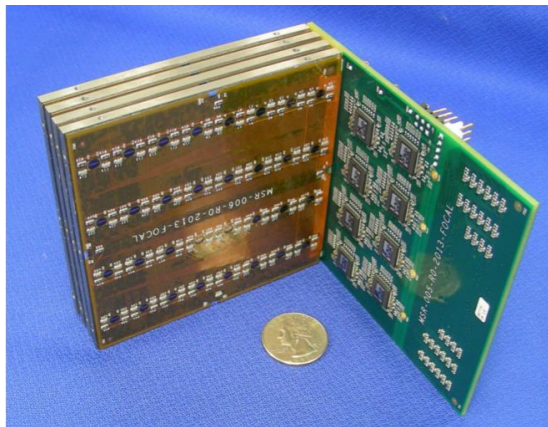
# FoCal R&D: Si-W pixel and pad readout

## 20 layer pixel detector



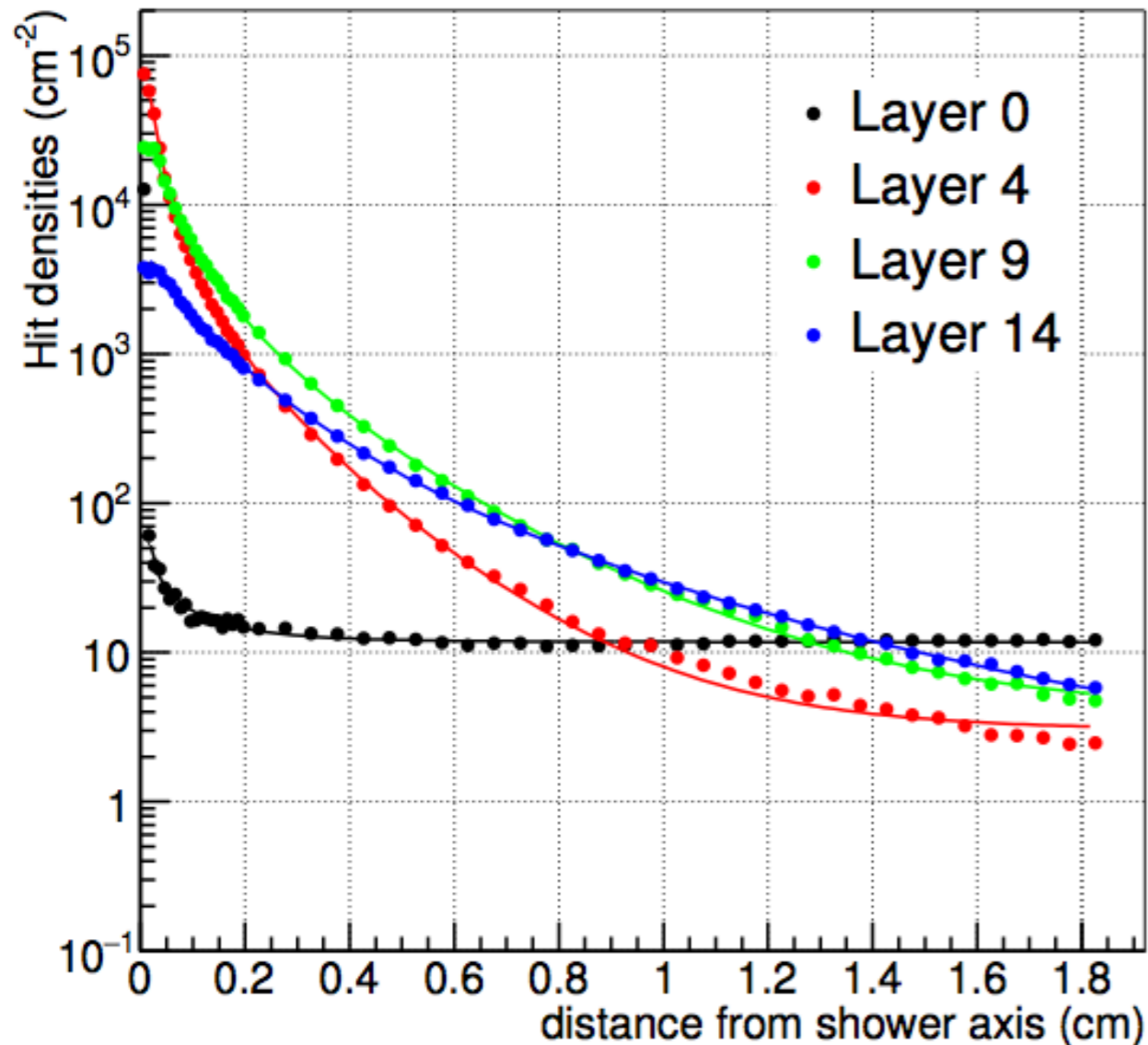
- Several groups involved:
  - Full prototype with pixel detectors CMOS (MIMOSA) 39Mpixels, 30  $\mu$ m pitch
  - Full prototype with pad readout
- Performed systematic tests:
  - Test beam data from 2 to 250 GeV (DESY, PS, SPS)
  - Cosmic muons

## Pad layer integration



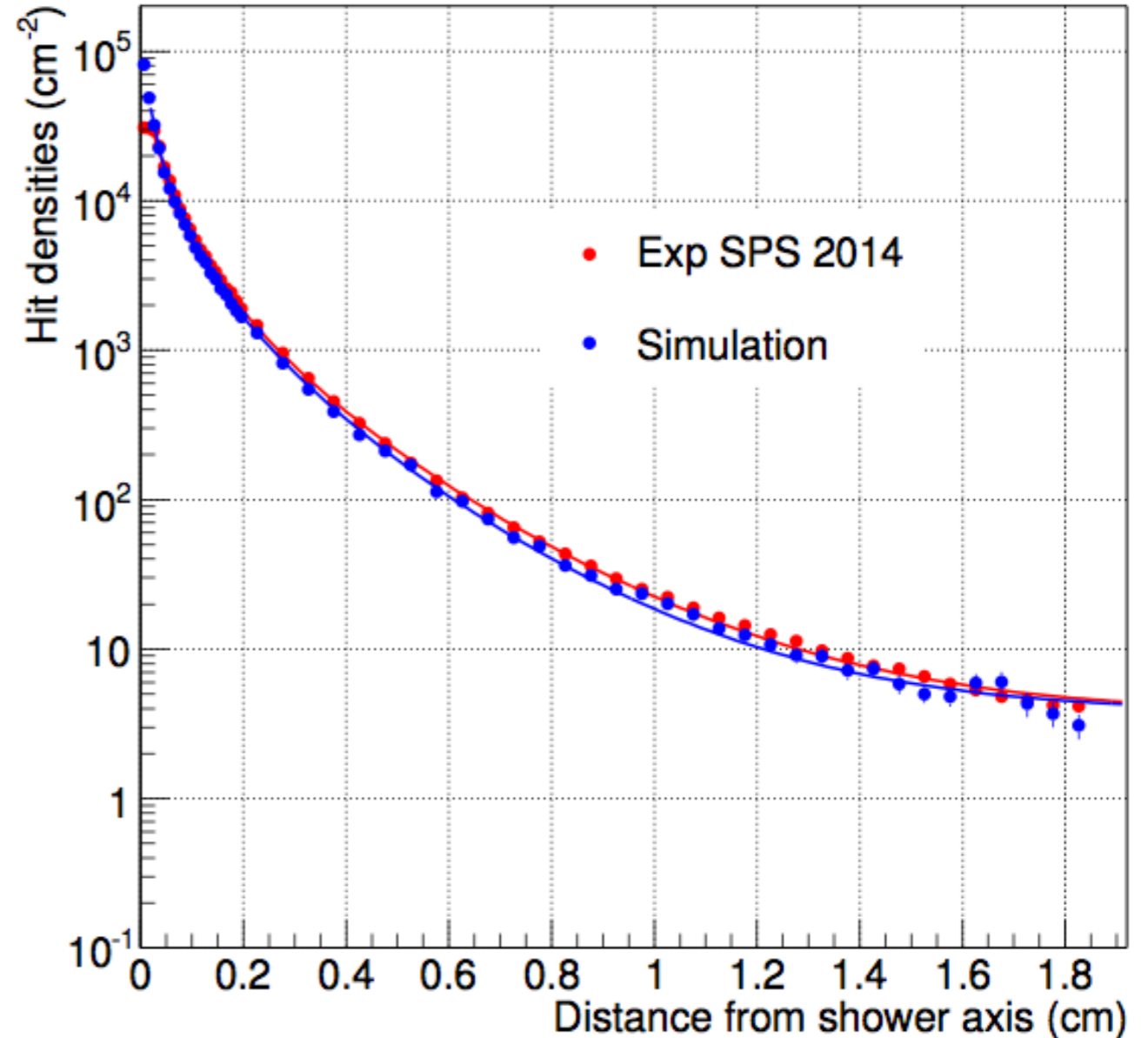
# Testbed results: Lateral shower profiles

50 GeV electrons



Extremely good spatial resolution  
 $R_M \sim 1 \text{ cm}$

Comparison to Geant4 simulations



Good agreement with simulations  
GEANT4 + charge diffusion

Two-photon separation at mm scale possible

# Photons nPDF and CGC

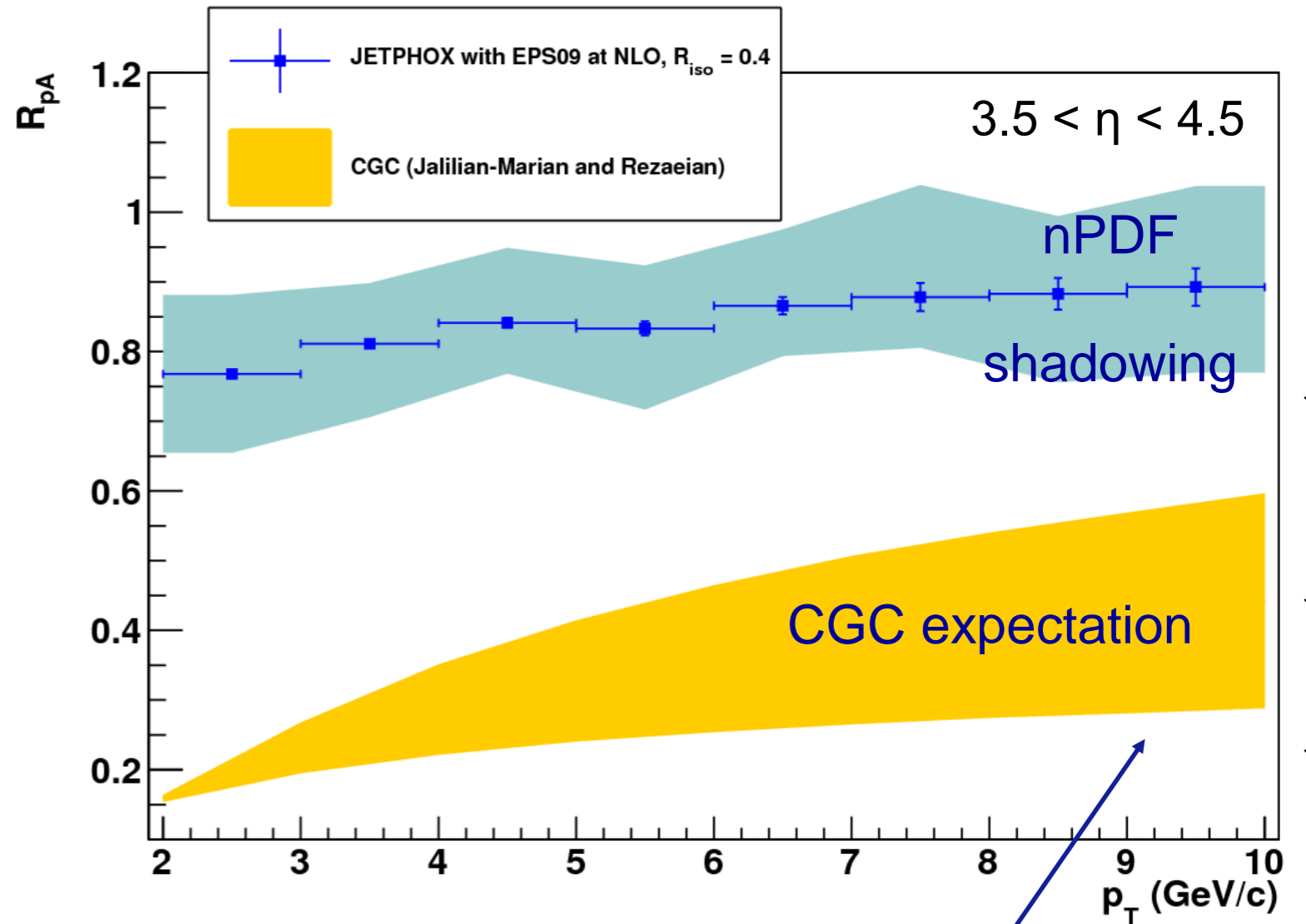
## Main physics motivation

Measure direct  $\odot R_{pA}$  to confirm or refute CGC effects

Direct  $\odot$  is the flagship case  
FoCal can measure

- $\square \square^0$  spectra
- $\square \square^0$  correlations
- $\odot \square^0$  correlations

which provide additional constraints



Should there be a return to  $R_{pPb} = 1$  for  $p_T \gg Q_{sat}$  ?

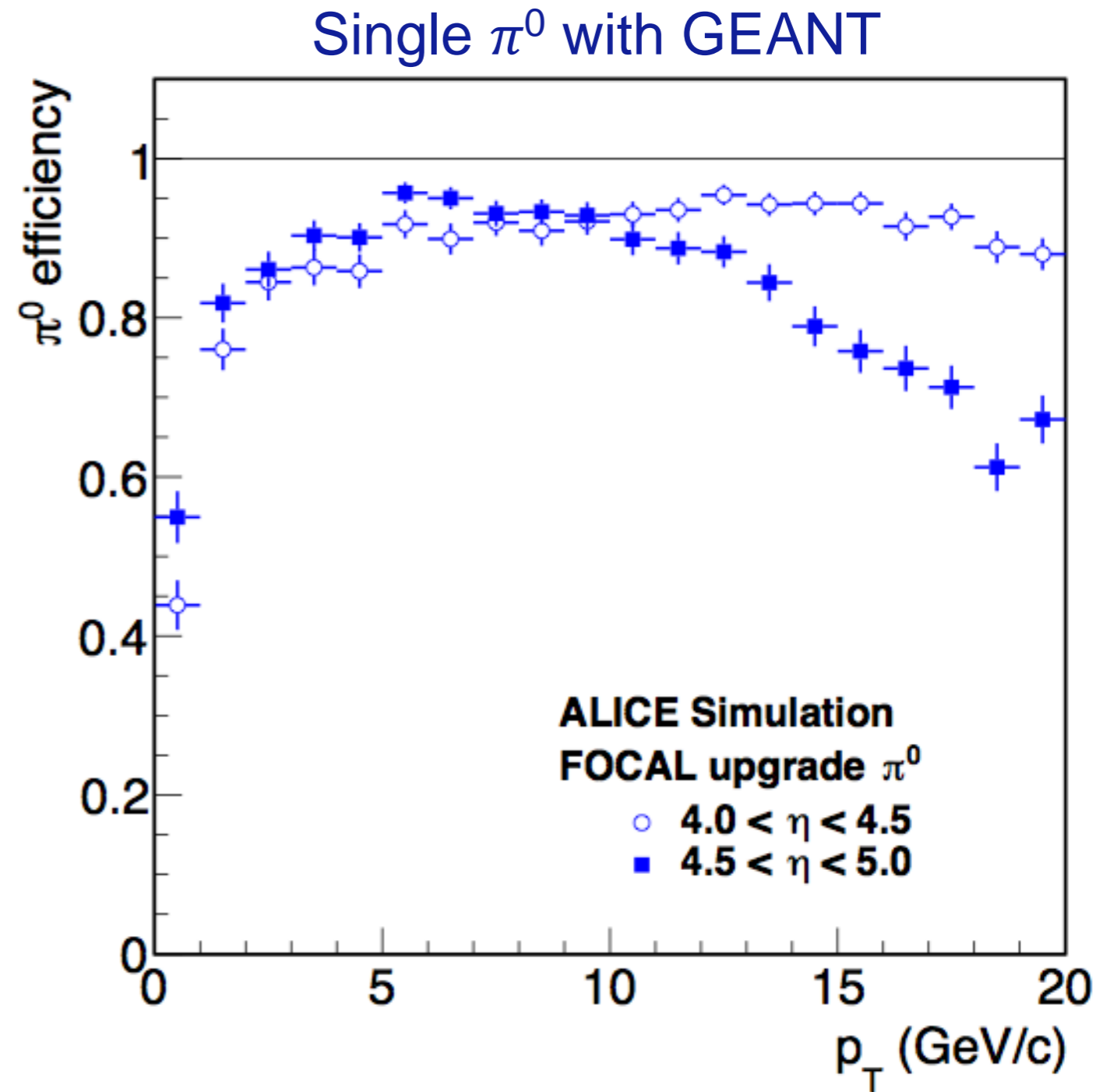
# Performance study: $\pi^0$ detection efficiency

Use  $\pi^0$  reconstruction  
to reject decay photons

**Very good efficiency > 90%**

$p_T \sim 2-18$  GeV at  $\eta = 4.0-4.5$

NB:  $\eta = 5$ ,  $p_T = 12$  GeV  $\Rightarrow E = 900$  GeV



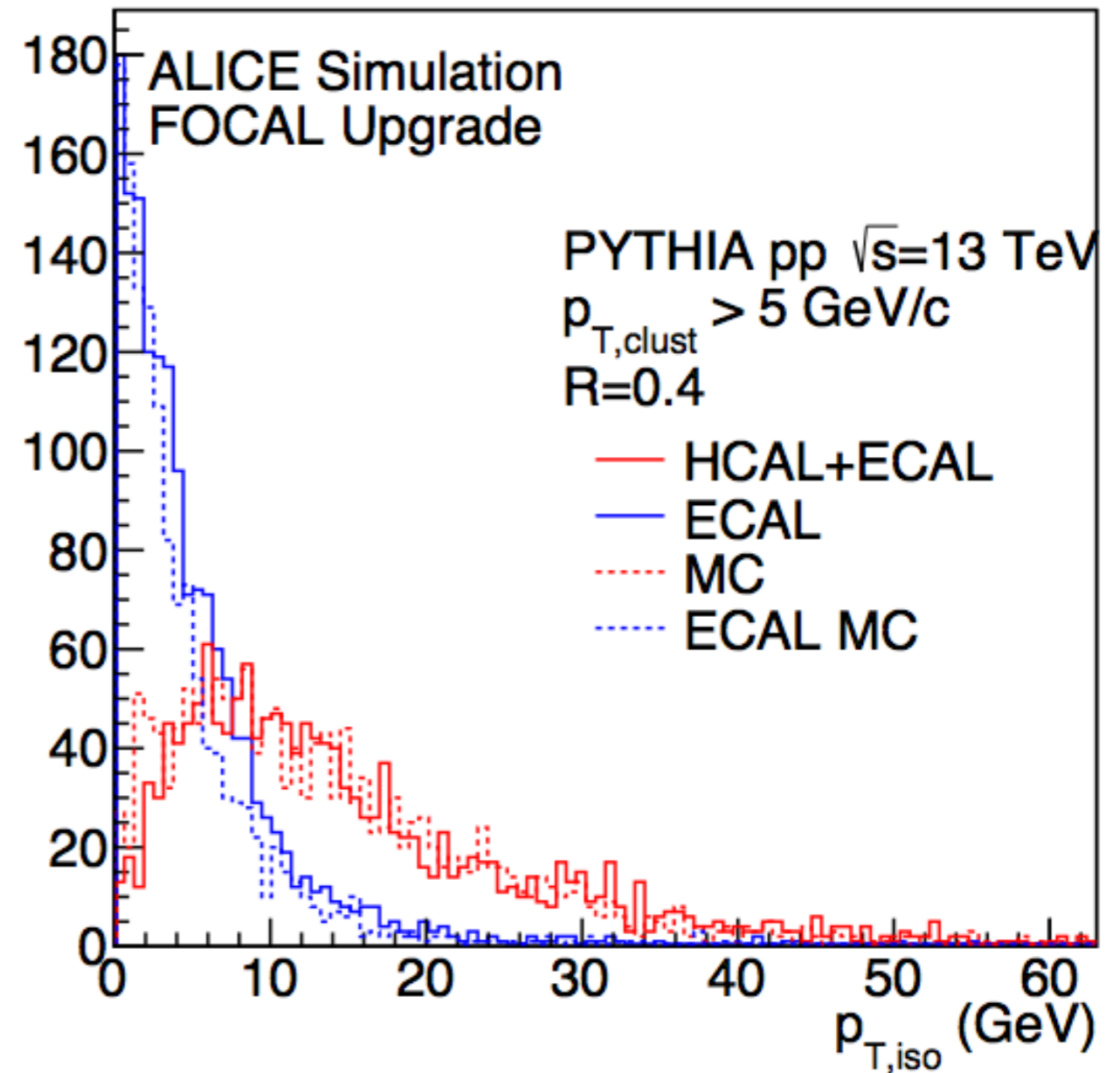
Covers the intended range for CGC measurements: low-intermediate  $Q^2$

# $\gamma$ isolation: HCAL contribution

$\gamma$  isolation is an important handle for  $\gamma$  identification

HCAL helps with isolation: HCAL+ECAL energy peaks at 6 GeV instead of 0 GeV

## Isolation energy distribution



Full Pythia + GEANT

MC: particle level (dashed curves)

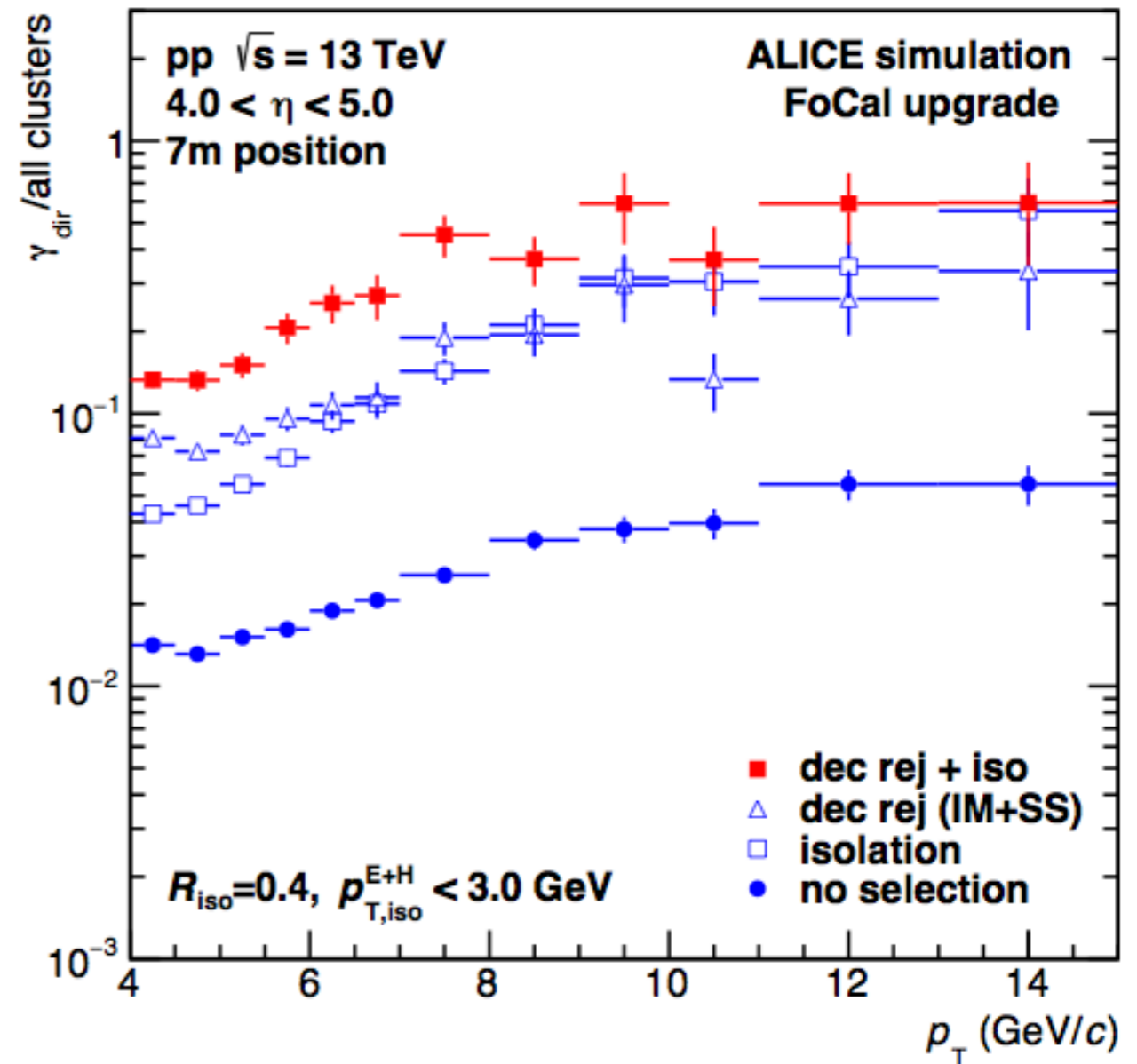


# Direct/decay separation

Two main handles for direct gamma identification:

- Reject decays by invariant mass reconstruction
- Isolation cuts (EMCal + HCal)

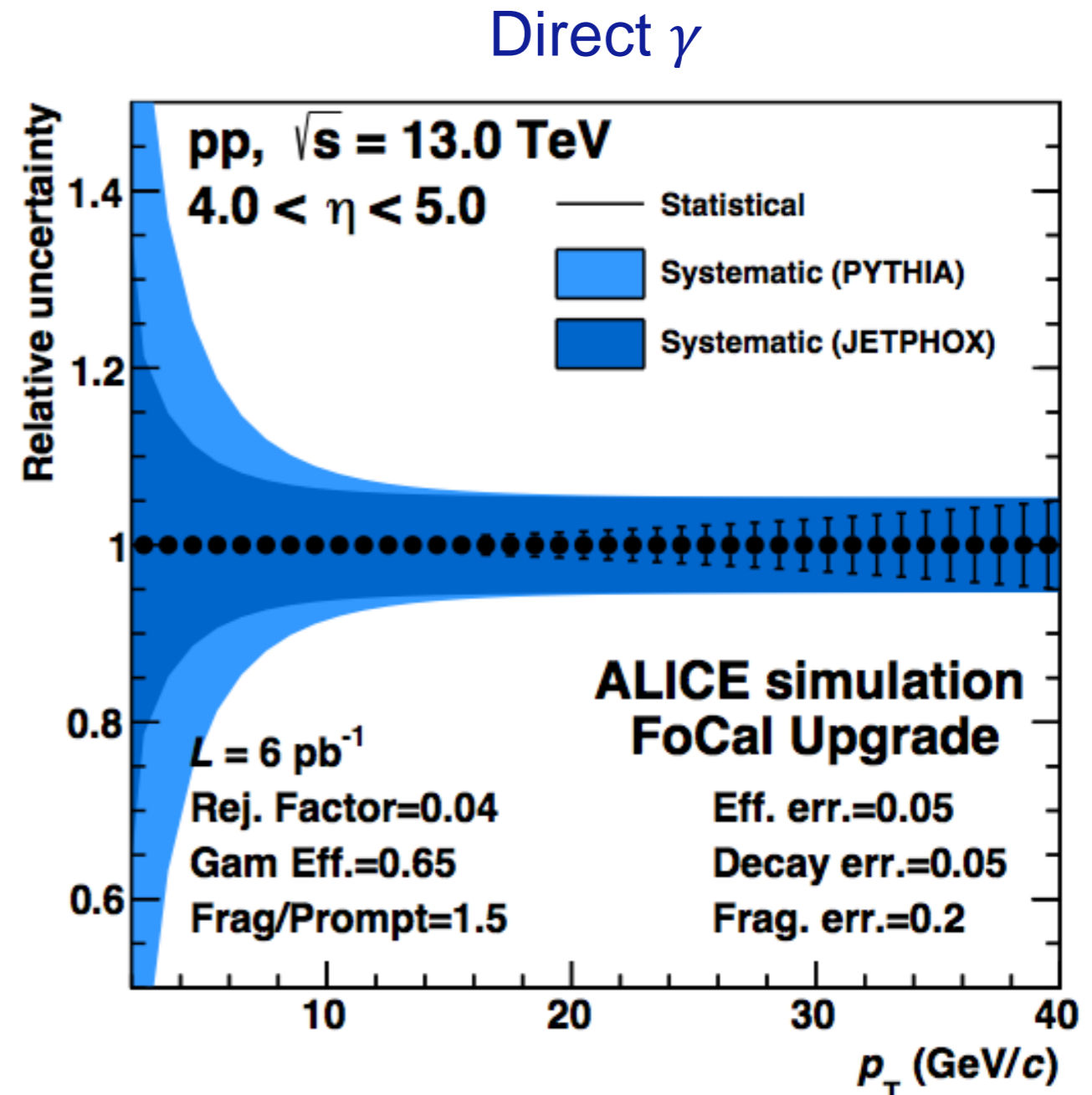
Direct  $\gamma$ /all cluster ratio



Improve signal fraction by factor  $\sim 10$ , from 0.01-0.06 to 0.1-0.6

# Projected direct photon uncertainties

- Large signal fraction at  $p_T > 10$  GeV
  - Uncertainties 5-7%
- Low  $p_T$ : decay photons important
  - Uncertainties depend on physical direct/decay fraction



Similar uncertainties expected for  $R_{pPb}$ : sensitive to CGC  $R_{pPb} \sim 0.4$

# FoCal physics program

- p+Pb physics program: gluon density (+ridge)
  - $R_{pPb}$  of direct  $\gamma$
  - $R_{pPb}$  of  $\pi^0$
  - Di-hadron measurements
    - Forward-forward: better constraints for low  $x$
    - Mid-forward: ridge/flow-like effects
- PbPb medium effects
  - $R_{PbPb}$  of  $\pi^0$  at forward rapidity
    - Complementary to forward HF coverage; measure density, light flavour E-loss to calibrate models
  - Mid-forward correlations — ridge effects, flow

Plus a number of more challenging ideas:  $J/\Psi$ , jets, direct  $\gamma$  in PbPb

# Summary/conclusion

- LHC forward physics provides unique opportunities for low- $x$  physics in the short to medium term
  - First results on  $J/\Psi$ ,  $\phi$ , HF decay muons available from ALICE — no strong suppression seen in minimum bias events
  - $R_{pPb}$  for hadrons could be explored now by LHCb, (CMS, ATLAS)
- Direct photons promise to be a very clean probe
  - No fragmentation: access to lower  $x$
  - No final state effects
- ALICE is considering a forward calorimeter upgrade focused on
  - $\pi^0$  at forward rapidity (including correlations)
  - $\gamma$  at forward rapidity

## Input/discussion welcome:

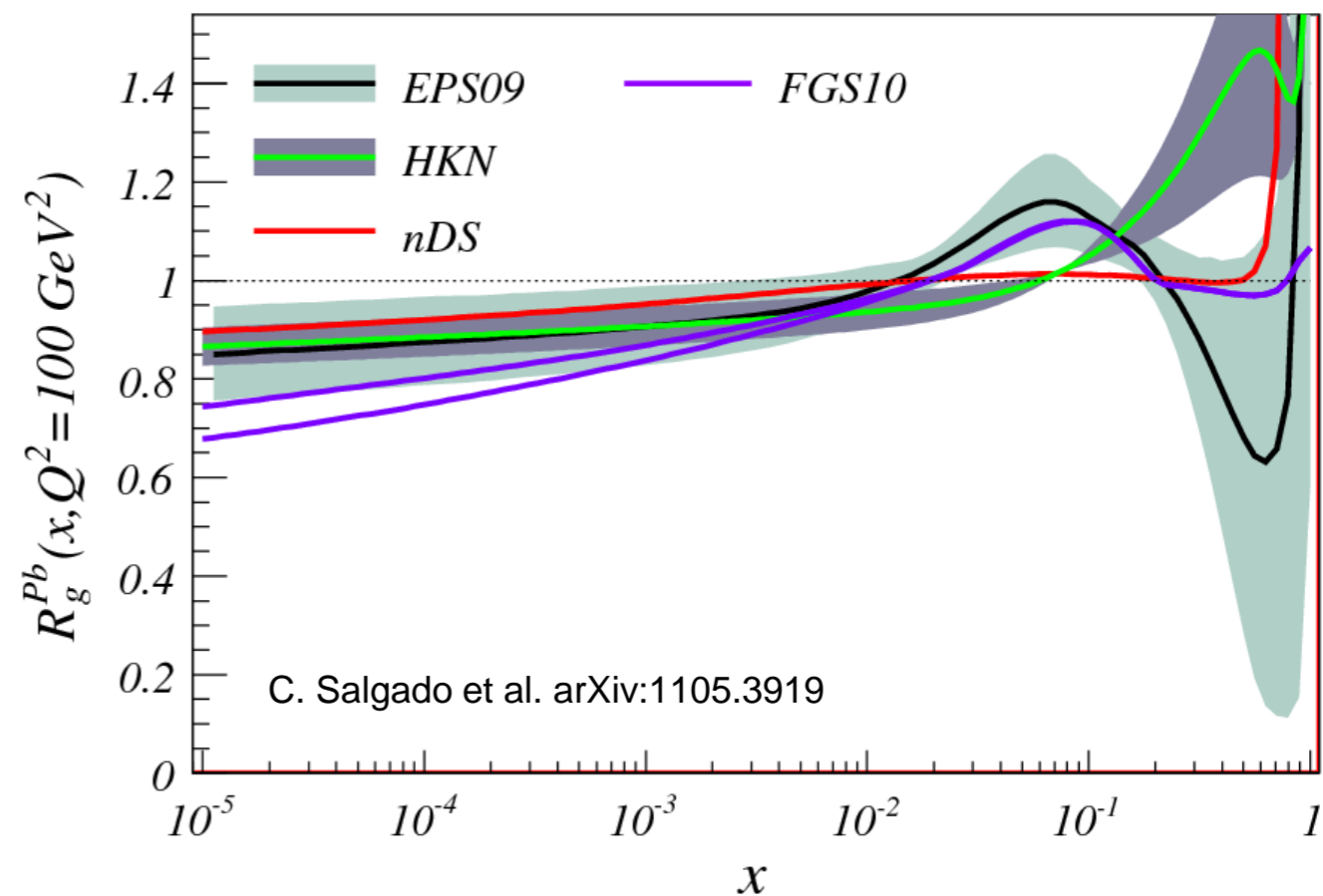
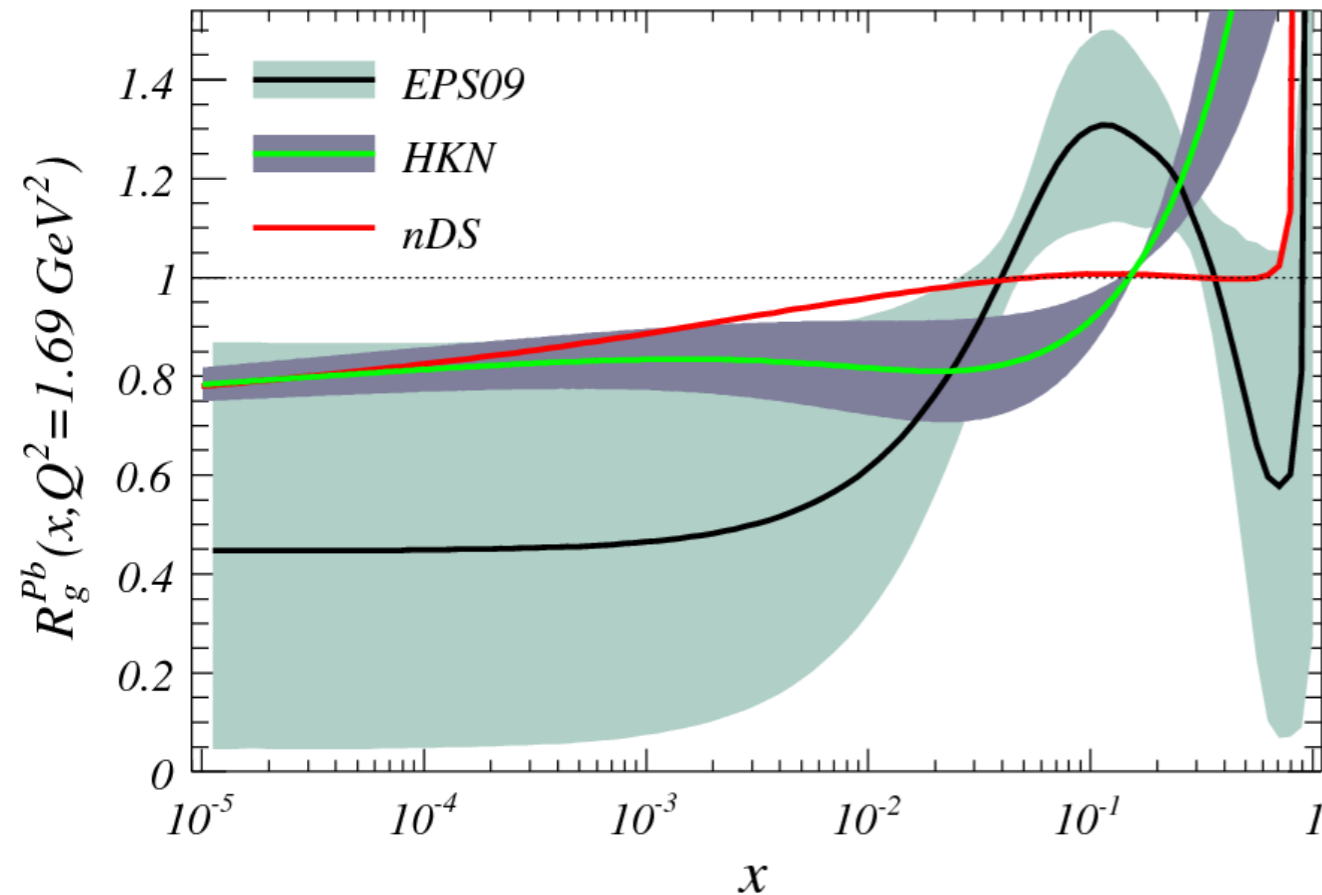
- Theory: explore sensitivity of direct photon production to low- $x$  gluons
- Experiment: new collaborators welcome!

**Extra slides**

# More 'known physics': nuclear PDFs

$Q^2 = 1.69 \text{ GeV}^2$

$Q^2 = 100 \text{ GeV}^2$



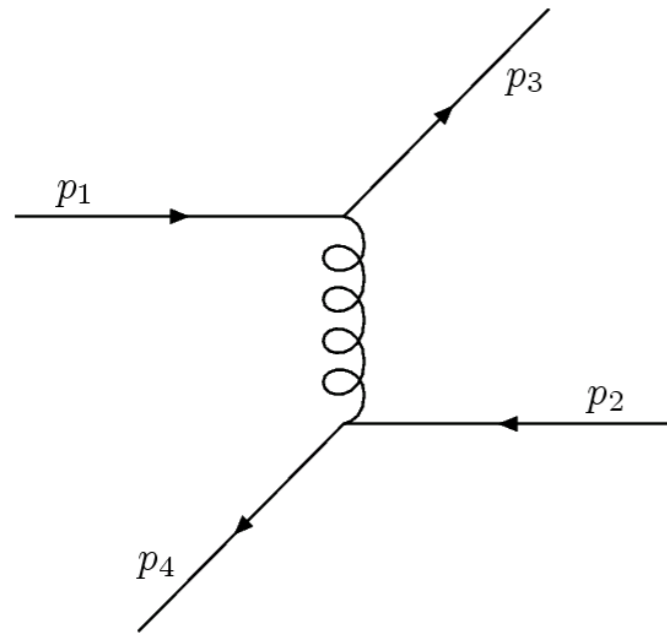
Nuclear modifications of PDF measured in DIS

Gluon shadowing potentially large at  $x < 10^{-3}$   
effect largest at low  $Q^2$

Related to saturation/CGC or an independent phenomenon?

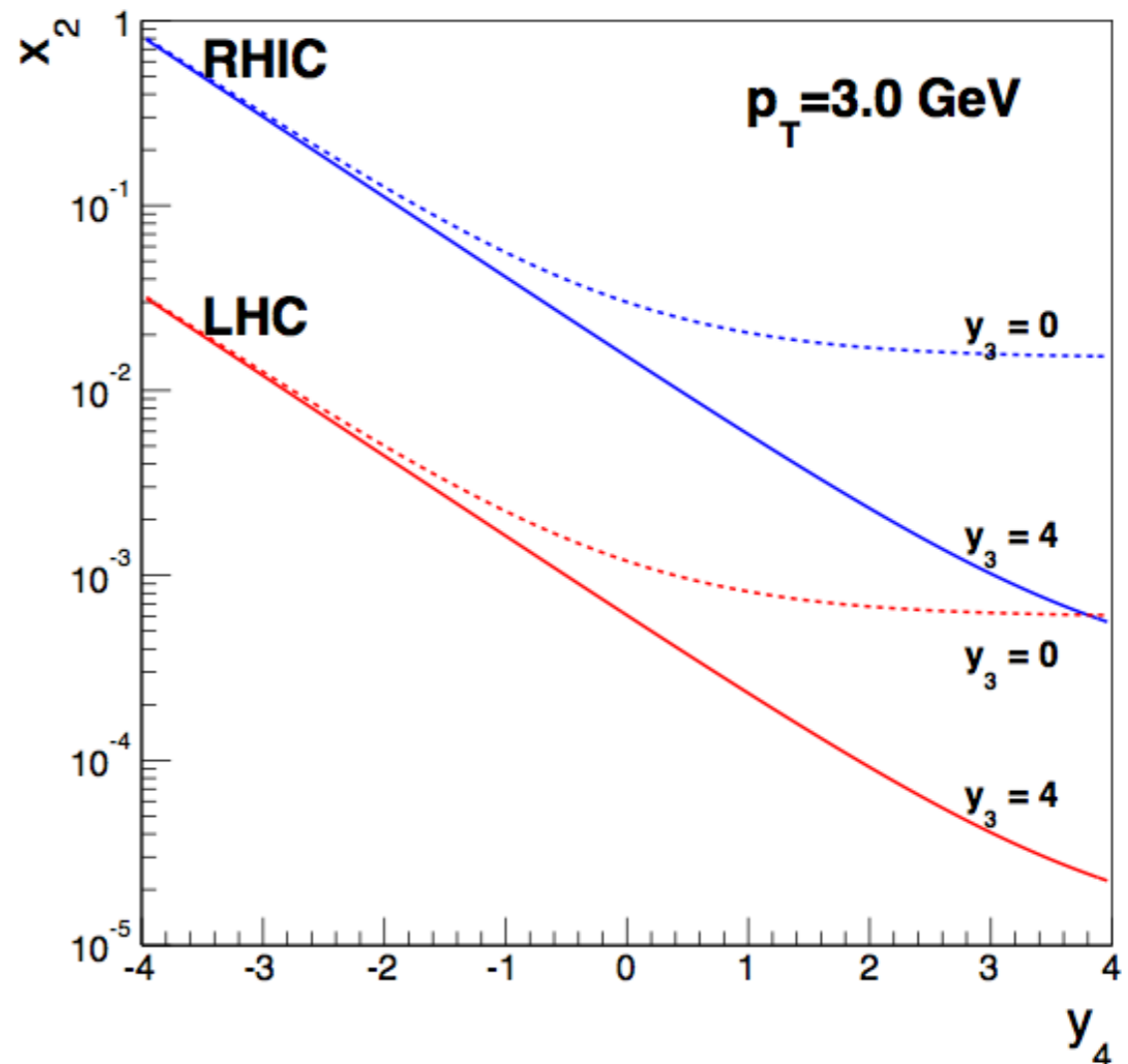
# Reminder: how to get $x$ and $Q^2$ in hadronic collisions

LO 2→2 kinematics:



$$x_2 = \frac{p_T}{\sqrt{s}} \left( e^{-\eta_3} + e^{-\eta_4} \right)$$

$$Q \sim p_T$$



Forward rapidity is small  $x$

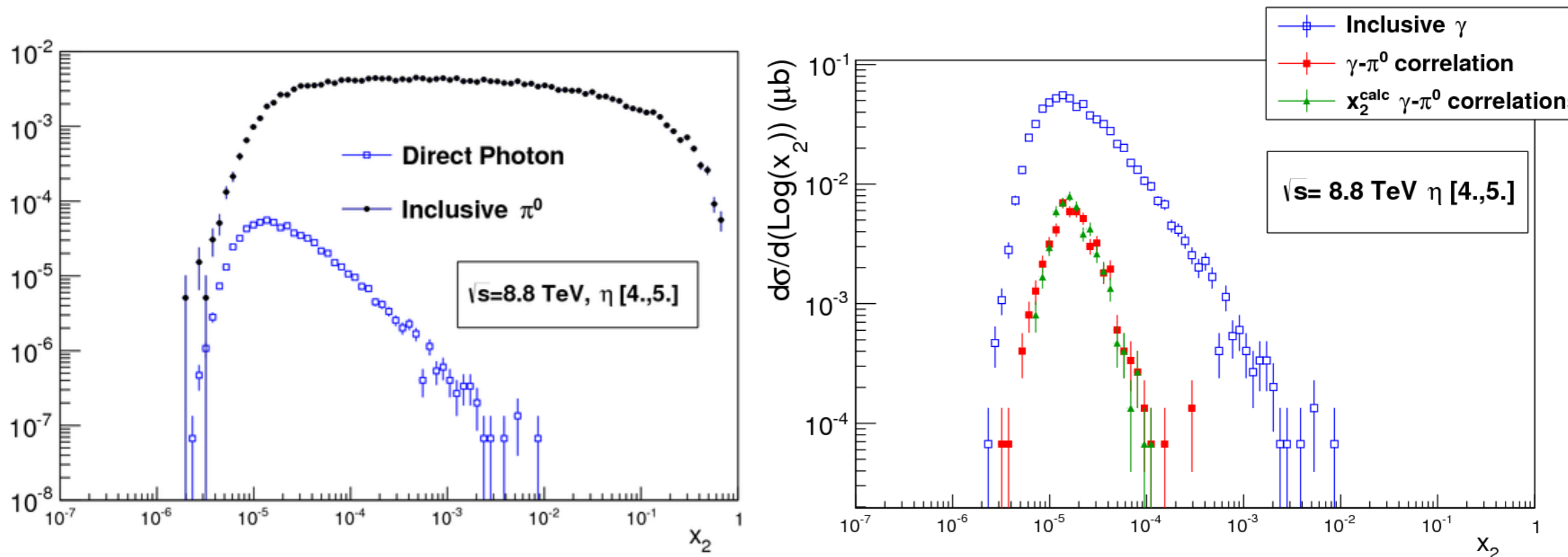
LHC probes lower  $x$  than RHIC

Mid-rapidity at LHC  $\approx$  forward rap at RHIC

(Need both final state partons to reduce spread in  $x$ )

# x sensitivity pion vs gamma

## PYTHIA simulations



Forward  $\gamma$  much more selective than  $\pi^0$

$\gamma$ - $\pi^0$  correlations provide additional constraints

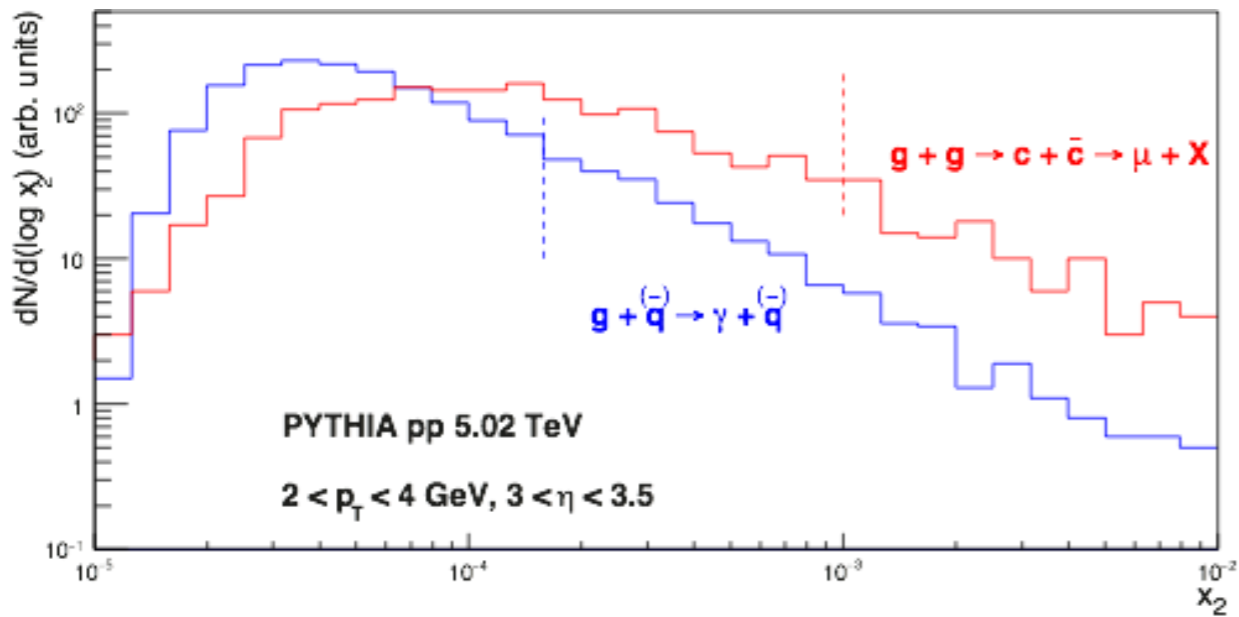
Pythia = LO + radiation

NLO effects under study – expect small effect for *isolated* photons



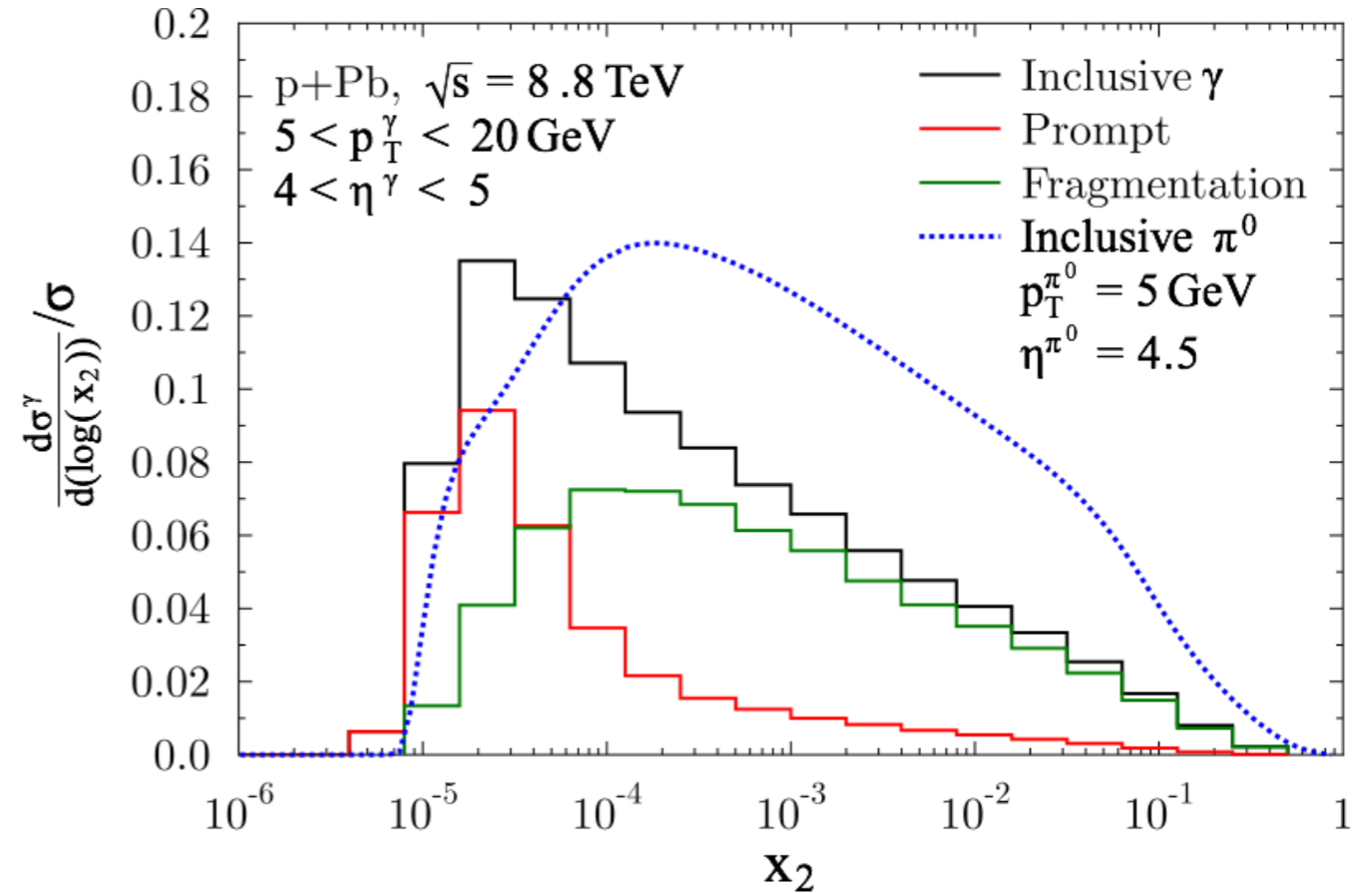
# x-ranges

## HF muons vs $\gamma$



HF sensitive to larger  $x$   $10^{-4} - 10^{-3}$

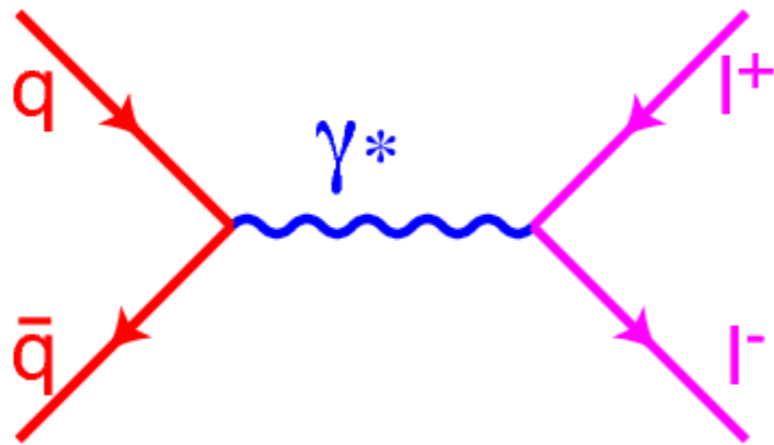
## Direct $\gamma$ , NLO contributions



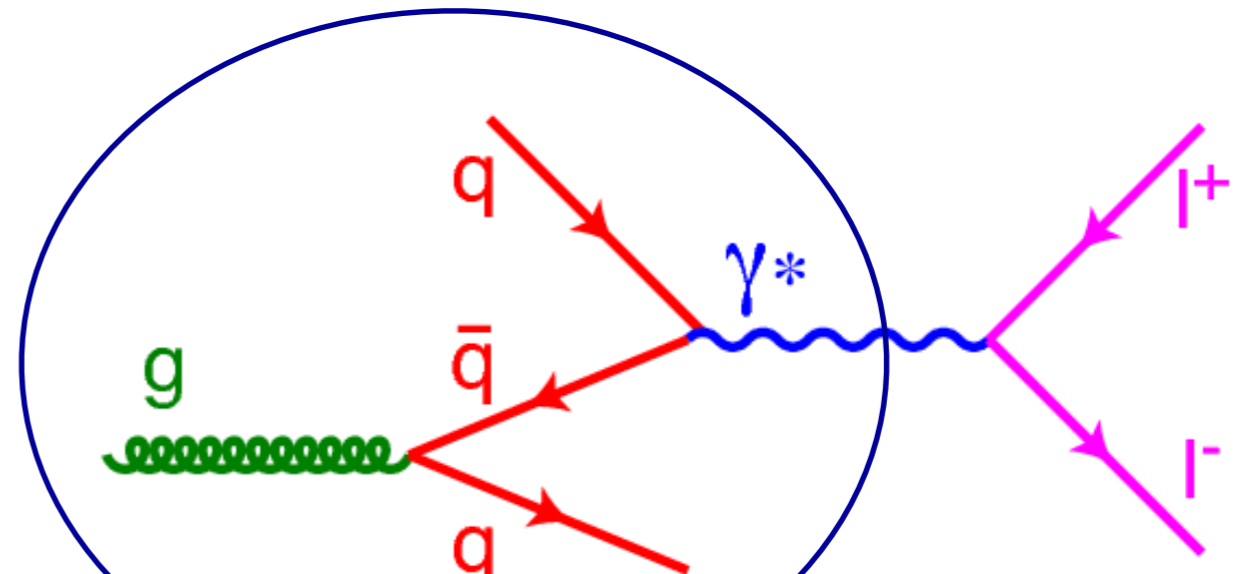
Isolation reduces higher- $x$  contributions

Direct/isolation  $\gamma$  give clean access to lowest  $x \sim 10^{-5} - 10^{-4}$

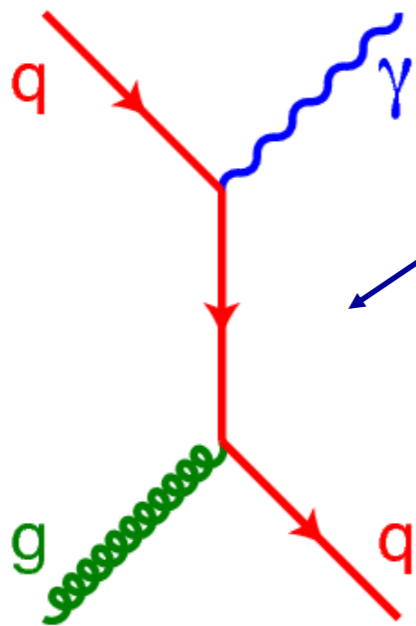
# Virtual photon production: Drell-Yan



DY (LO)



DY, virtual Compton (NLO)

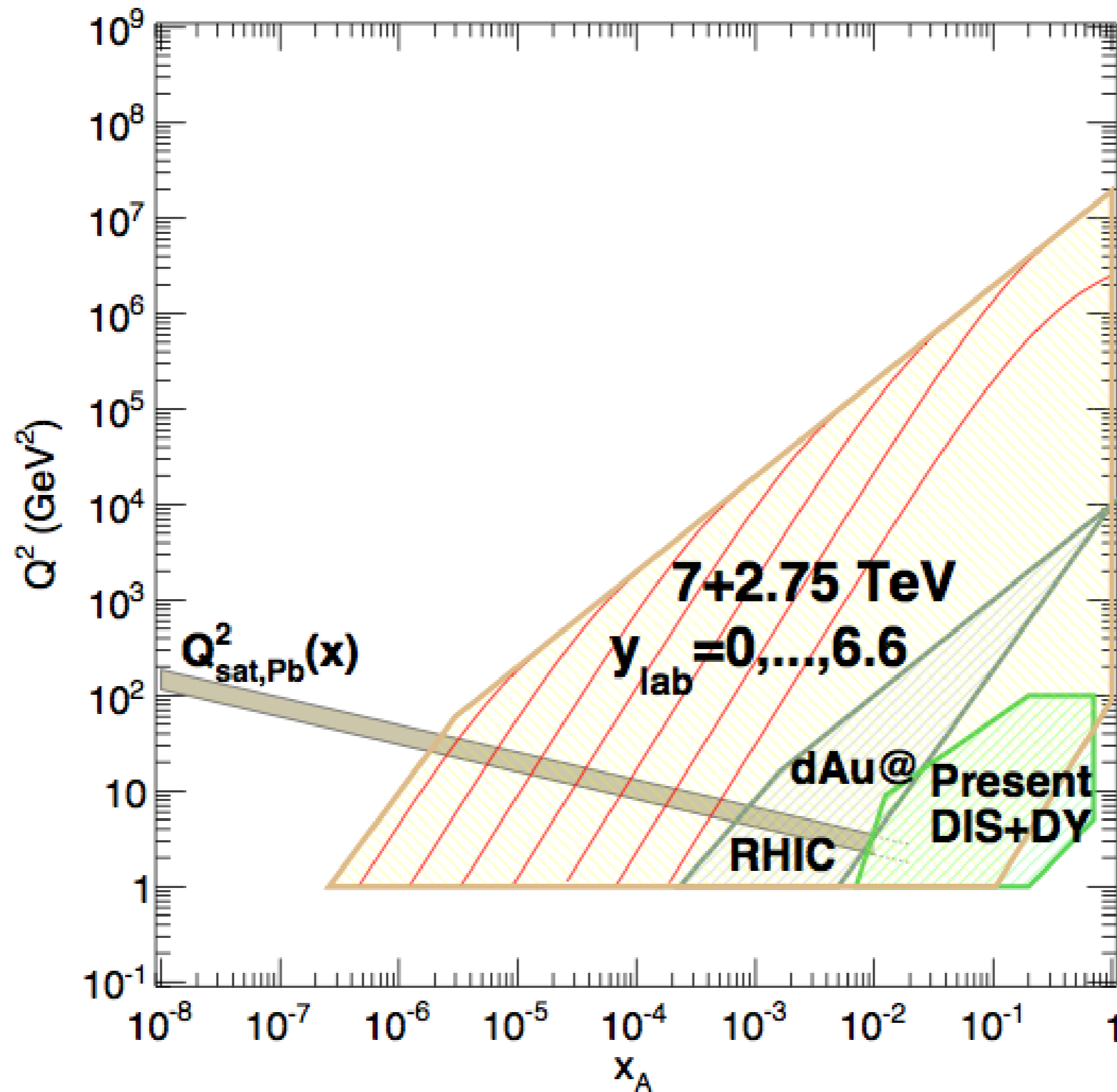


direct- $\gamma$ , Compton (LO)

Drell-Yan only sensitive to gluons at NLO

DY: small cross section, not practical at LHC p+Pb

# $x, Q^2$ coverage at LHC



# FoCal detector plan

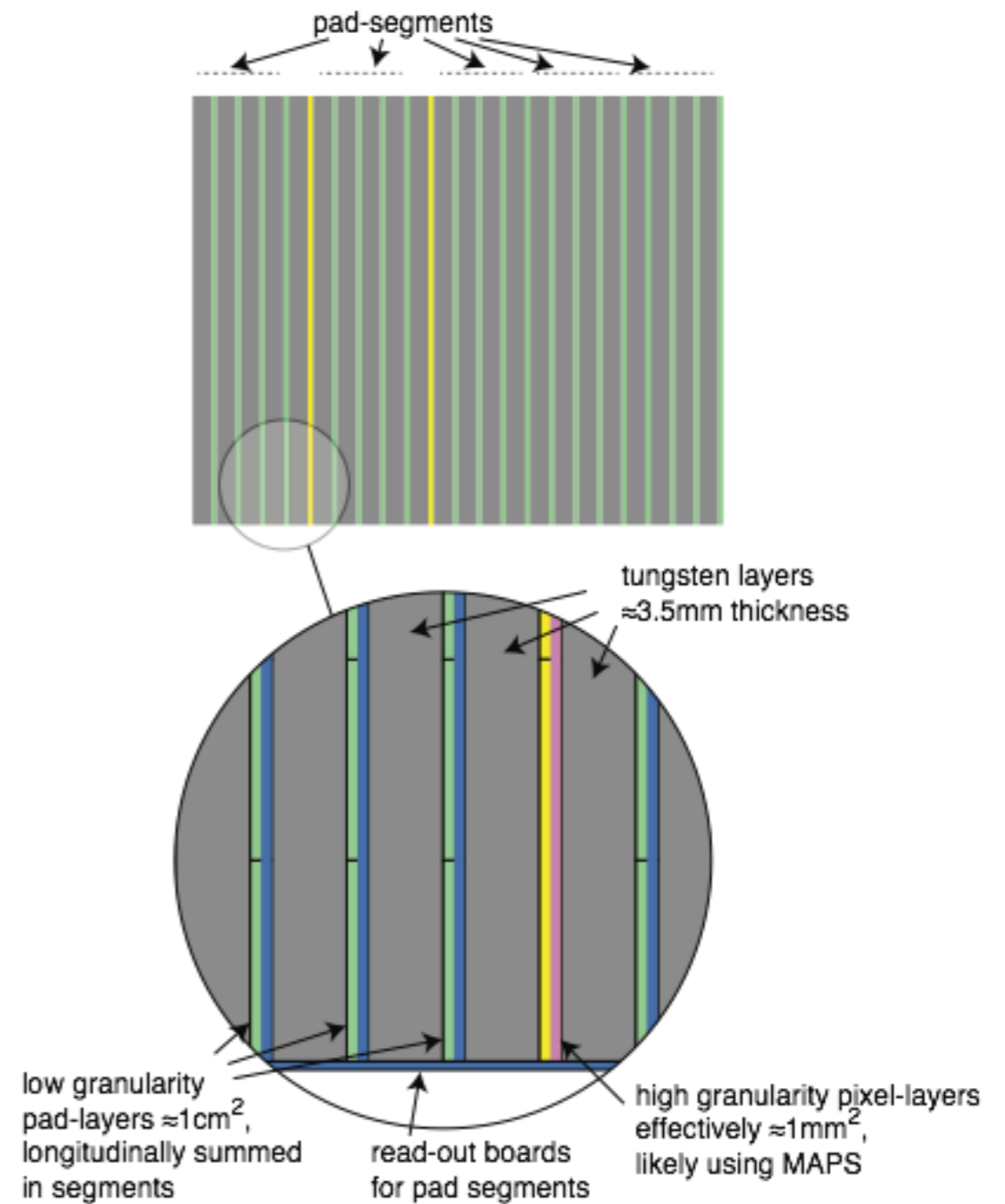
## ECAL: Si-W

Simulation uses current design

- 20 layers, 1  $X_0$  each
- Mostly pad layers 1x1 cm
- 2 pixel layers after 5 and 10  $X_0$

Pixel layers for 2-shower separation  
Pixel size in simulations: 50  $\mu\text{m}$

HCAL: Cu+Scintillator  
~ 70 cm deep

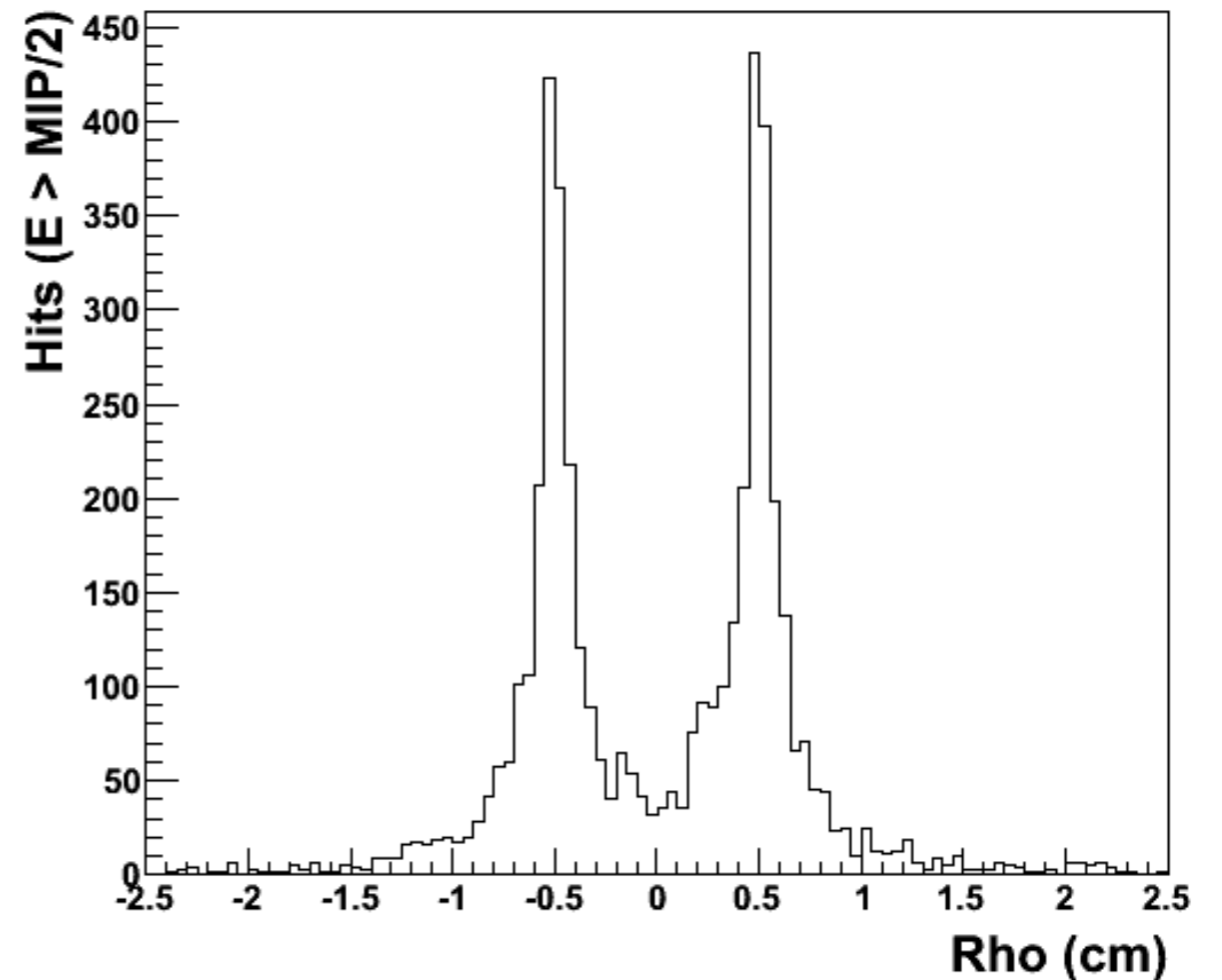
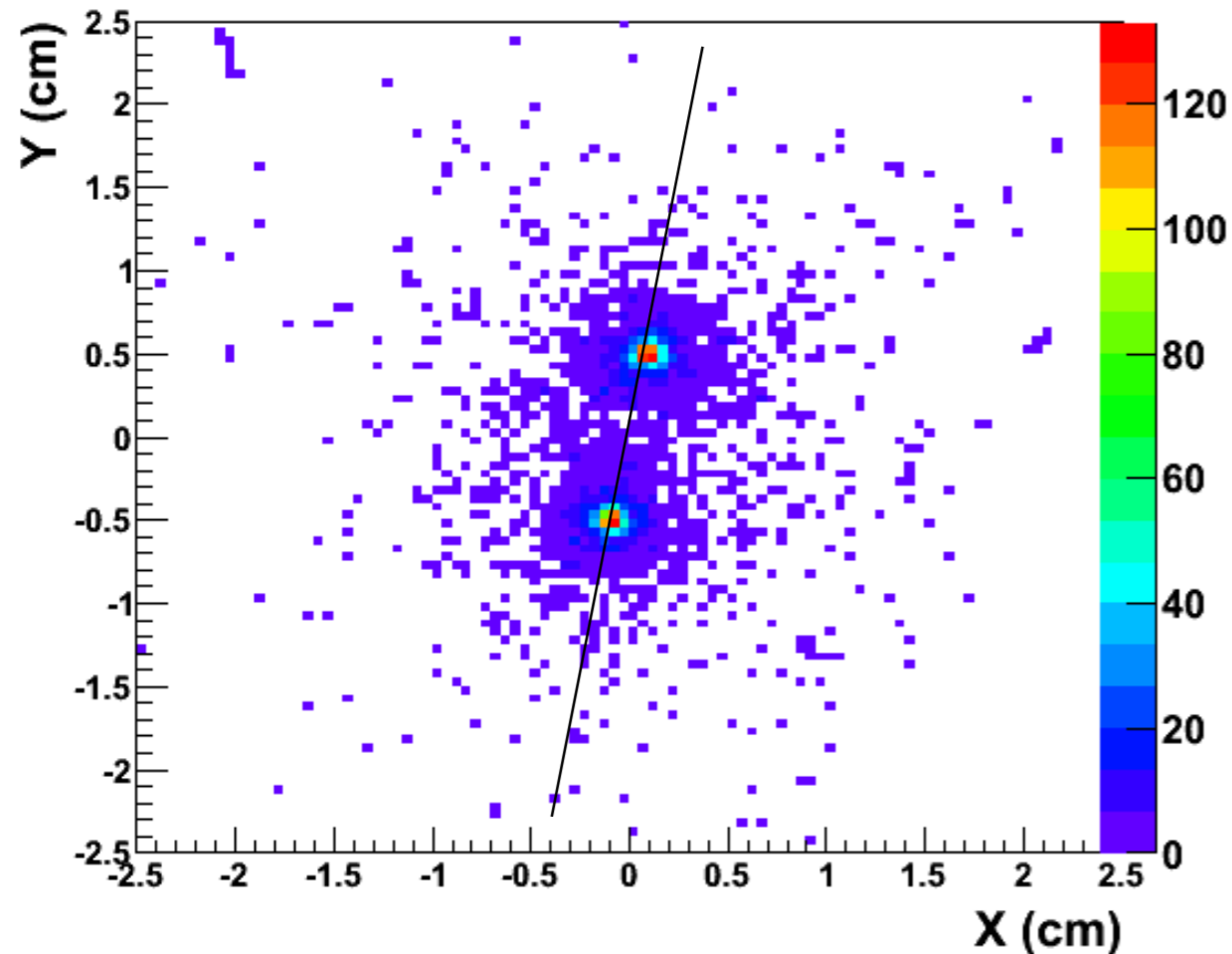


# Two-photon separation

Simulated  $\pi^0$  decay

Granularity:  $\sim 500$   $\mu\text{m}$

Projection on separation axis

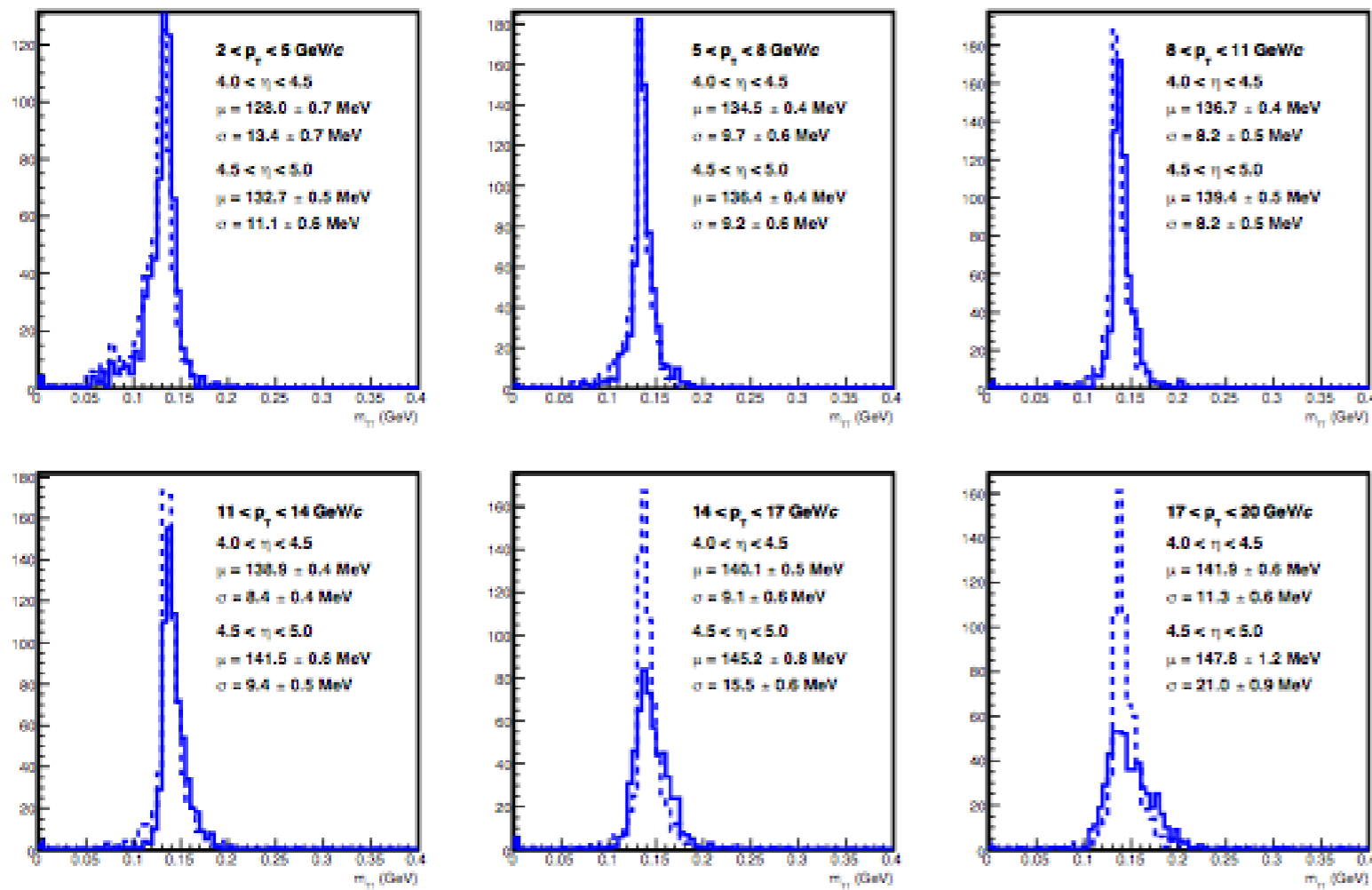


Position resolution of  $\sim 1$  mm achievable (2- $\sigma$  separation few mm)

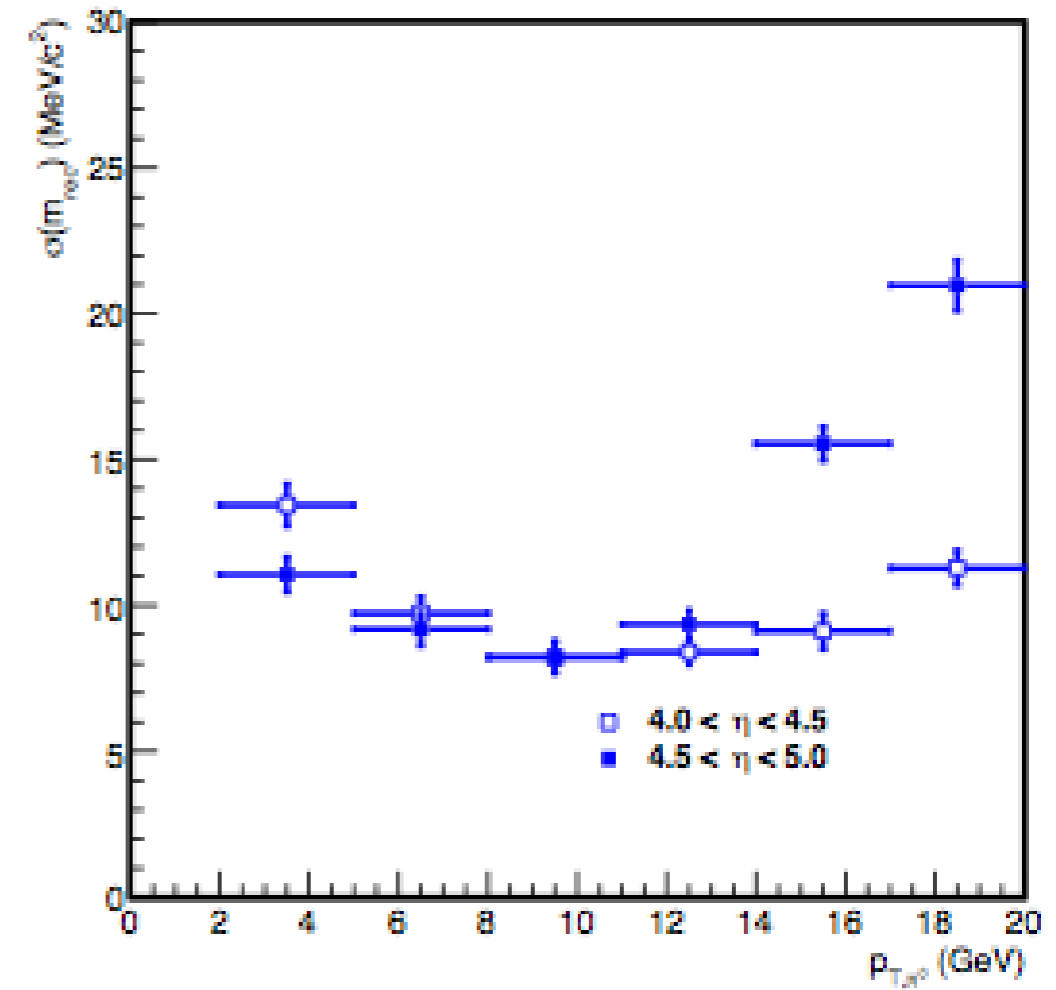
Energy resolution under study

Unexplored regime for calorimeters: verify in testbeam

# $\pi^0$ mass peaks, resolution



## $\pi^0$ peak width



High-granularity layers give excellent two-photon separation over large momentum range

Peak width  $\sim 10$  MeV over large range in  $p_T$