

# MAGNETIC CATALYSIS IN QCD IN A SUPERSTRONG MAGNETIC FIELD

XIV International Workshop  
on Hadron Physics

Lecture #1

Igor Shovkovy

Arizona State University

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# MAGNETIC CATALYSIS: PLAN OF LECTURES

- Dirac fermions in magnetic field
- Dimensional reduction
- Magnetic catalysis: basics
- Magnetic catalysis in toy model
- Magnetic catalysis in QED
- Magnetic catalysis in QCD
- Anisotropic confinement
- Inverse catalysis
- Phase diagram

# QCD IN MAGNETIC FIELDS

- Relativistic collisions of *heavy ions* produce quark-gluon plasma & strong magnetic fields

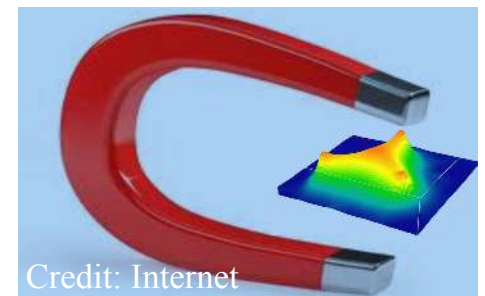
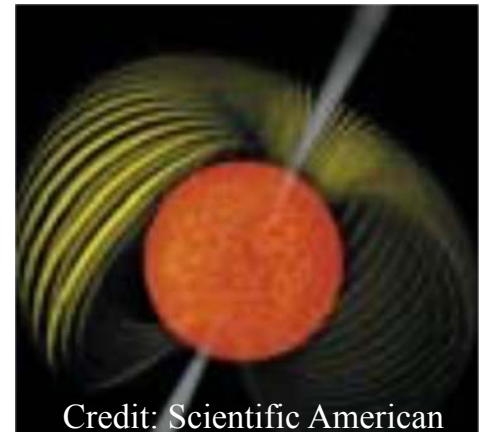
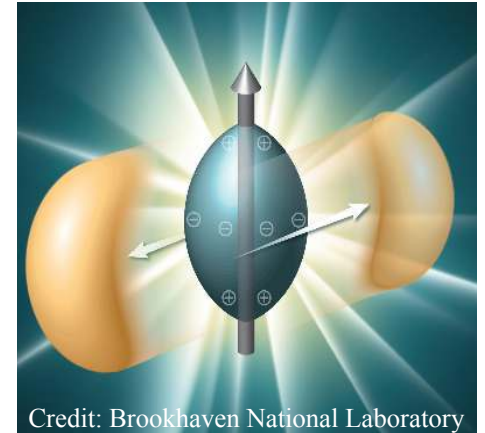
$10^{18} - 10^{19}$  Gauss ( $\sqrt{|eB|} \sim 100$  MeV)

- Quark matter may form inside *magnetars*

$10^{14} - 10^{16}$  Gauss ( $\sqrt{|eB|} \sim 1$  MeV to 10 MeV)

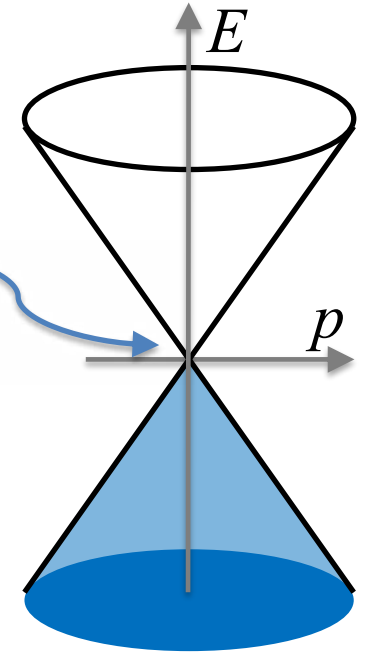
- Strong magnetic field is an instructive *theoretical tool* to study confined gauge theories such as QCD

$\gtrsim 10^{19}$  Gauss ( $\sqrt{|eB|} \gtrsim 100$  MeV to 10 MeV)

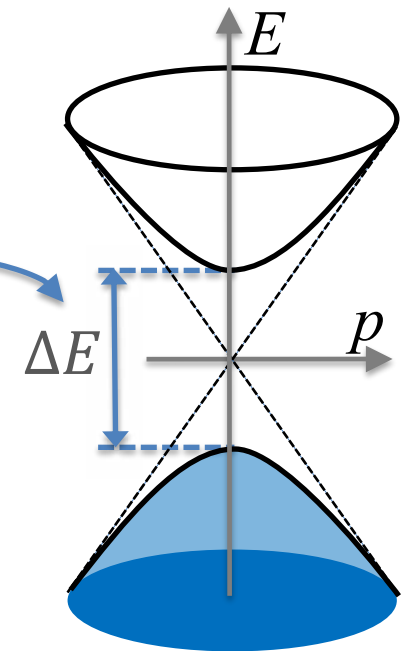


# DIRAC VACUUM

- At  $m = 0$ , the Dirac vacuum is a **semimetal**
  - No energy gap between the filled Dirac sea states and the empty positive-energy states ( $E = \pm p$ )
  - However, the density of states *vanishes* at  $E=0$
  - A nonzero electric current could be produced by an arbitrarily small electric field



- At  $m \neq 0$ , the Dirac vacuum is an **insulator**
  - Energy gap  $\Delta E = 2m$  between the antiparticle and particle states ( $E = \pm\sqrt{p^2 + m^2}$ )
  - the density of states @  $E=0$  *vanishes* (no states)
  - electric current is exponentially small, i.e.,  
 $e^{-\pi m^2/|eE|}$  (due to Schwinger pair creation)



# DIRAC FERMIONS

- Lagrangian density for charged Dirac fermions (units with  $c = 1$ ):

$$\mathcal{L} = \bar{\psi} (i\gamma^\mu D_\mu - m)\psi$$

where  $D_\mu = \partial_\mu + ieA_\mu$ ,  $\gamma^\mu\gamma^\nu + \gamma^\nu\gamma^\mu = 2g^{\mu\nu}$  and  $g^{\mu\nu} = (1, -1, -1, -1)$

- Consider the following two types of global transformations:

*Electric charge conservation*

$$\psi \rightarrow e^{i\alpha}\psi$$

and

$$\psi \rightarrow e^{i\alpha\gamma^5}\psi$$

*Chiral charge "conservation" at  $m=0$*

where  $\gamma^5 = i\gamma^0\gamma^1\gamma^2\gamma^3$

The corresponding Noether's currents are

$$j^\mu = \bar{\psi}\gamma^\mu\psi$$

and

$$j_5^\mu = \bar{\psi}\gamma^\mu\gamma^5\psi$$

They satisfy the relations:

$$\partial_\mu j^\mu = 0$$

and

$$\partial_\mu j_5^\mu = 2i m \bar{\psi}\gamma^5\psi$$

Both transformations are **symmetries** when  $m = 0$ , but chiral symmetry is broken when  $m \neq 0$ . *[The chiral anomaly may complicate the situation]*



# DIRAC FERMIONS AT $B \neq 0$

- Dirac equation for charged fermions:

$$(i\gamma^\mu D_\mu - m)\psi = 0$$

where  $A_\mu = (A_0, -\vec{A})$  and the Landau gauge  $\vec{A} = (-By, 0, 0)$  is used.

- Look for a solution in the form:  $\psi = (i\gamma^\mu D_\mu + m)\phi$ . Then,

$$[-\partial_0^2 + (\partial_x + ieBy)^2 + \partial_y^2 + \partial_z^2 + i\gamma^1\gamma^2 eB - m^2]\phi = 0$$

- Normalized solutions for  $\phi$  have the form

$$\phi_{k,\pm} \propto \frac{1 \pm i\text{sgn}(eB)\gamma^1\gamma^2}{2} \varphi_k(y) e^{-i\omega t + ip_x x + ip_z z}$$

where  $\varphi_k$  are harmonic oscillator wave functions, i.e.,

$$\varphi_k \propto H_k(\xi) e^{-\frac{\xi^2}{2}}, \quad \xi = \frac{y}{l} + p_x l \text{sgn}(eB) \quad \text{and} \quad l = \frac{1}{\sqrt{|eB|}}$$

- The dispersion relation is given by

$$\omega = E_n^\pm = \pm \sqrt{2n|eB| + p_z^2 + m^2}$$

where  $n = \underbrace{k + \frac{1}{2}}_{\text{orbital}} + \underbrace{\text{sgn}(eB)s_z}_{\text{spin}}$  and  $s_z = \pm \frac{1}{2}$  is an eigenvalue of  $\frac{i}{2}\gamma^1\gamma^2$

# DEGENERACY OF LANDAU LEVELS

- The Landau level energies are independent of  $p_x$

$$E_n^\pm = \pm \sqrt{2n|eB| + p_z^2 + m^2}$$

- This means that each level is highly degenerate

- Let's calculate the degeneracy by confining the

system in a finite box of size  $L_x \times L_y$  with periodic boundary conditions

- The wave function is a plane wave in the  $x$  direction:  $\psi(x) \propto e^{ip_x x}$

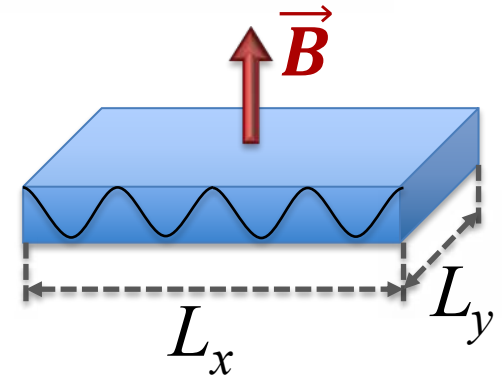
$$\psi(0) = \psi(L_x) \quad \Rightarrow \quad e^{ip_x L_x} = 1 \quad \Rightarrow \quad p_x = \frac{2\pi n}{L_x}, \quad n = 1, 2, \dots, N_{\max}$$

- The value of  $p_x$  sets the center of the Landau orbit in  $y$ -direction:

$$y_c \approx p_x l^2 \quad \Rightarrow \quad p_{x,\max} l^2 \lesssim L_y \quad \Rightarrow \quad \frac{2\pi N_{\max}}{L_x} \frac{1}{|eB|} \approx L_y \quad \Rightarrow \quad \frac{N_{\max}}{L_x L_y} \approx \frac{|eB|}{2\pi}$$

- The degeneracy is proportional to the field strength and the size (area) of the system in the spatial directions perpendicular to  $\vec{B}$

$$N_{\max} \approx \frac{|eB|}{2\pi} L_x L_y$$



# LANDAU ENERGY SPECTRUM

- Landau energy levels at  $m = 0$

$$E_n^\pm = \pm \sqrt{2n|eB| + p_z^2}$$

where  $n = \underbrace{k + \frac{1}{2}}_{\text{orbital}} + \underbrace{\text{sgn}(eB)s_z}_{\text{spin}}$

- Lowest Landau level is *spin polarized*

$$E_0^\pm = \pm p_z \quad (k = 0, s_z = -\frac{1}{2})$$

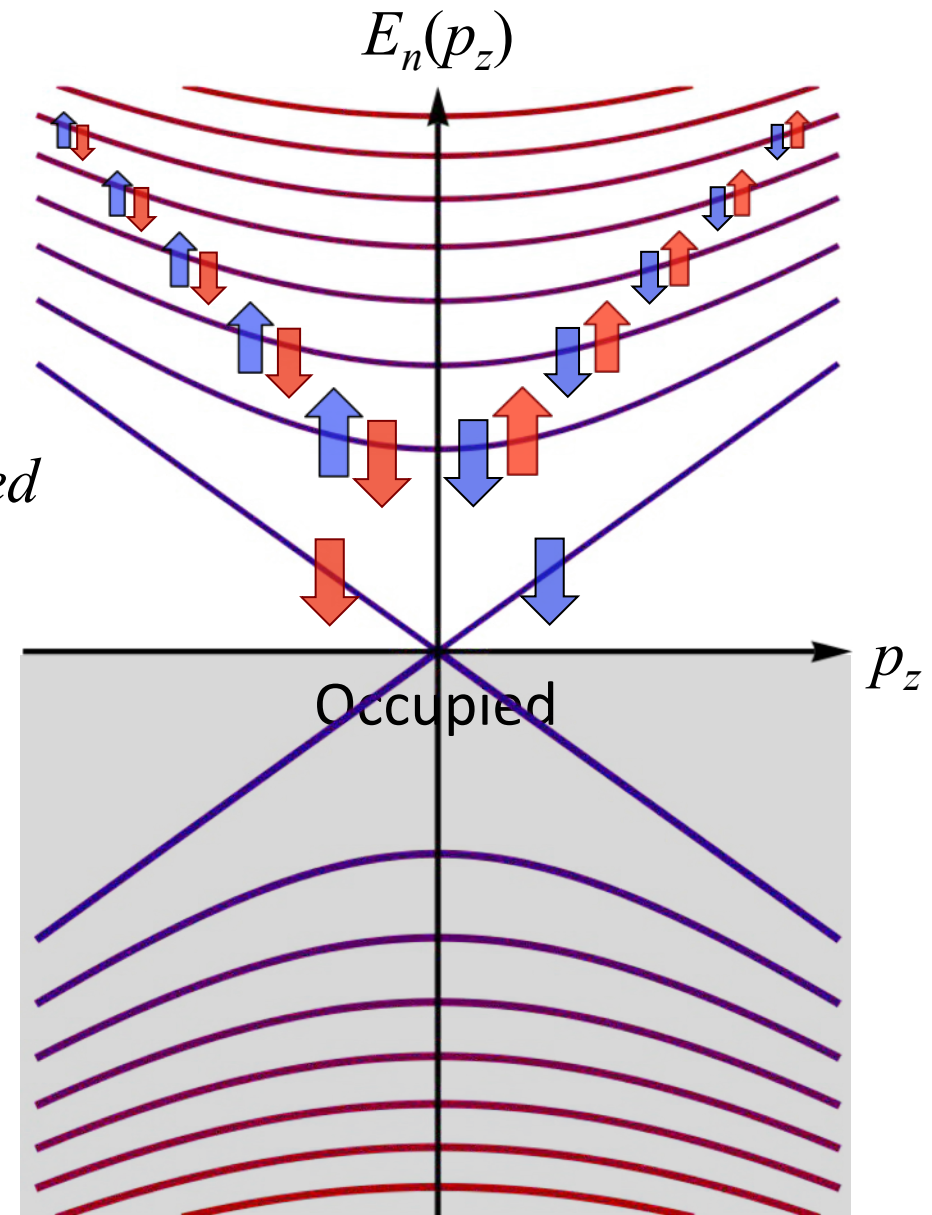
- Density of states at  $E=0$ :

$$\left. \frac{dn}{dE} \right|_{E=0} = \frac{|eB|}{2\pi} \frac{1}{2\pi} = \frac{|eB|}{4\pi^2}$$

- Higher Landau levels ( $n \geq 1$ ) are twice as degenerate:

$$(i) \quad k = n \quad \& \quad s = -\frac{1}{2}$$

$$(ii) \quad k = n - 1 \quad \& \quad s = +\frac{1}{2}$$





# DIRAC PROPAGATOR AT $B \neq 0$

- By definition,

$$G(r, r') = i \langle r | (i\gamma^\mu D_\mu - m)^{-1} | r' \rangle$$

$$= i(i\gamma^\mu D_\mu + m)_r \langle r | [(i\gamma^\mu D_\mu - m)(i\gamma^\nu D_\nu + m)]^{-1} | r' \rangle$$

$$= i(i\gamma^\mu D_\mu + m)_r \langle r | [-D^\mu D_\mu + i\gamma^1 \gamma^2 eB - m^2]^{-1} | r' \rangle$$

$$= i(i\gamma^\mu D_\mu + m)_r \sum \langle r | k, p_z, s_z \rangle (\omega^2 - E_n^2)^{-1} \langle k, p_z, s_z | r' \rangle$$

$$\mathbb{I} = \sum |k, p_z, s_z\rangle \langle k, p_z, s_z|$$

- Note that the explicit form of the wave functions is the same as before

$$\psi_{k, p_z, s_z}(r) = \langle r | k, p_z, s_z \rangle \propto H_k(\xi) e^{-\frac{\xi^2}{2}} e^{-i\omega t + i p_z z} U_{s_z}, \quad \text{where } \xi = \frac{y}{l} + p_x l$$

- The final expression for the propagator has the form

$$G(\omega, p_z; \vec{r}_\perp, \vec{r}'_\perp) = e^{i\Phi(\vec{r}_\perp, \vec{r}'_\perp)} \tilde{G}(\omega, p_z; \vec{r}_\perp - \vec{r}'_\perp)$$

where  $\Phi(\vec{r}_\perp, \vec{r}'_\perp) = -e \int_{\vec{r}'_\perp}^{\vec{r}_\perp} A_\nu dr^\nu$  is the *Schwinger phase* (!), and

$$\tilde{G}(\omega, p_z; \vec{r}_\perp - \vec{r}'_\perp) = \int \frac{d^2 \vec{p}_\perp}{(2\pi)^2} e^{i\vec{p}_\perp \cdot (\vec{r}_\perp - \vec{r}'_\perp)} \tilde{G}(\omega, \vec{p})$$

# DIRAC PROPAGATOR AT $B \neq 0$

- The Fourier transform of the *translation invariant* part reads

$$\tilde{G}(\omega, \vec{p}) = ie^{-\vec{p}_\perp^2 l^2} \sum_{n=0}^{\infty} \frac{(-1)^n D_n(\omega, \vec{p})}{\omega^2 - E_n^2}$$

Laguerre  
polynomials

where

$$D_n(\omega, \vec{p}) = 2(\omega\gamma^0 - p_z\gamma^3 + m)[\mathcal{P}_+ L_n(2\vec{p}_\perp^2 l^2) - \mathcal{P}_- L_{n-1}(2\vec{p}_\perp^2 l^2)] \\ + 4(\vec{p}_\perp \cdot \vec{\gamma}_\perp) L_{n-1}^1(2\vec{p}_\perp^2 l^2)$$

and the following notation for the spin projectors is used

$$\mathcal{P}_\pm = \frac{1 \pm i \text{sgn}(eB) \gamma^1 \gamma^2}{2}$$

- Similarly, in momentum-coordinate space representation:

$$\tilde{G}(\omega, p_z; \vec{r}_\perp) = i \frac{e^{-\vec{r}_\perp^2/(4l^2)}}{2\pi l^2} \sum_{n=0}^{\infty} \frac{F_n(\omega, p_z; \vec{r}_\perp)}{\omega^2 - E_n^2}$$

Laguerre  
polynomials

where

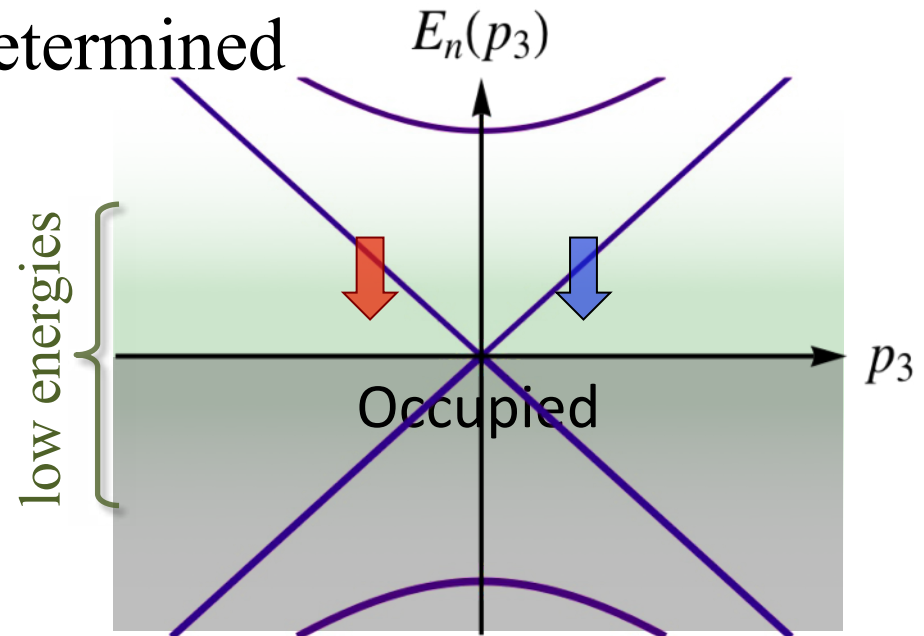
$$F_n(\omega, p_z; \vec{r}_\perp) = 2(\omega\gamma^0 - p_z\gamma^3 + m) \left[ \mathcal{P}_+ L_n\left(\frac{\vec{r}_\perp^2}{2l^2}\right) - \mathcal{P}_- L_{n-1}\left(\frac{\vec{r}_\perp^2}{2l^2}\right) \right] \\ - \frac{i}{l^2} (\vec{r}_\perp \cdot \vec{\gamma}_\perp) L_{n-1}^1\left(\frac{\vec{r}_\perp^2}{2l^2}\right)$$

# DIMENSIONAL REDUCTION

- The low-energy dynamics is determined by the lowest Landau level ( $n=0$ )

$$E_0^\pm = \pm p_z$$

- This is a (1+1)D spectrum!
- Propagator is also (1+1)D:



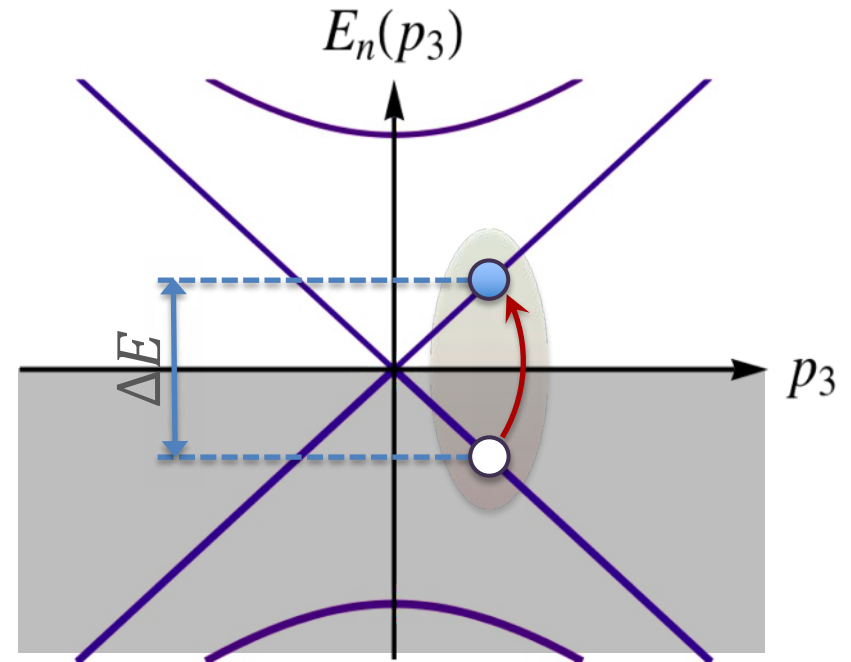
$$\tilde{G}_{LLL}(\omega, \vec{p}) = 2ie^{-\vec{p}_\perp^2 l^2} \frac{\omega\gamma^0 - p_z\gamma^3}{\omega^2 - p_z^2} \frac{1 + i\text{sgn}(eB)\gamma^1\gamma^2}{2}$$

- In addition, there is a nonzero density of states at  $E=0$ :

$$\left. \frac{dn}{dE} \right|_{E=0} = \frac{1}{\delta E} \left( \frac{N_{\text{max}}}{L_x L_y} \right) \left( \int_0^{\delta E} \frac{dp_z}{2\pi} \right) = \frac{|eB|}{4\pi^2}$$

# PAIRING INSTABILITY

- Thought experiment:
  - Create a particle-antiparticle pair (energy price:  $\Delta E$ )
  - The pair can form a bosonic bound state (energy gain:  $-\epsilon_b$ )
  - If  $\epsilon_b > \Delta E$ , copious formation of bound states is beneficial
  - Note,  $\Delta E$  can be arbitrarily small when  $m = 0$  (!)
  - The bound states of fermions are bosons
  - Bosons can (and will) occupy the lowest energy state ( $\vec{P} = 0$ ), and thus form a Bose condensate  $\langle \bar{\psi}\psi \rangle \neq 0$
  - Ground state (vacuum) changes its properties (e.g., chiral symmetry breaks down, an energy gap opens in spectrum)



# DO BOUND STATES ALWAYS FORM IN 3D?

- Consider a 3D potential well in quantum mechanics  
[Landau-Lifshitz, Quantum Mechanics]

$$U(r) = \begin{cases} -g \frac{\pi^2 \hbar^2}{8m_* a^2} & \text{for } r \leq a \\ 0 & \text{for } r > a \end{cases}$$



- Bound states form only when the well is deep enough (namely,  $g > 1$ ):

$$|E_{3D}| \approx \frac{\pi^4 \hbar^2}{2^7 a^2 m_*} (g - 1)^2, \quad \text{assuming } 0 < g - 1 \ll 1$$

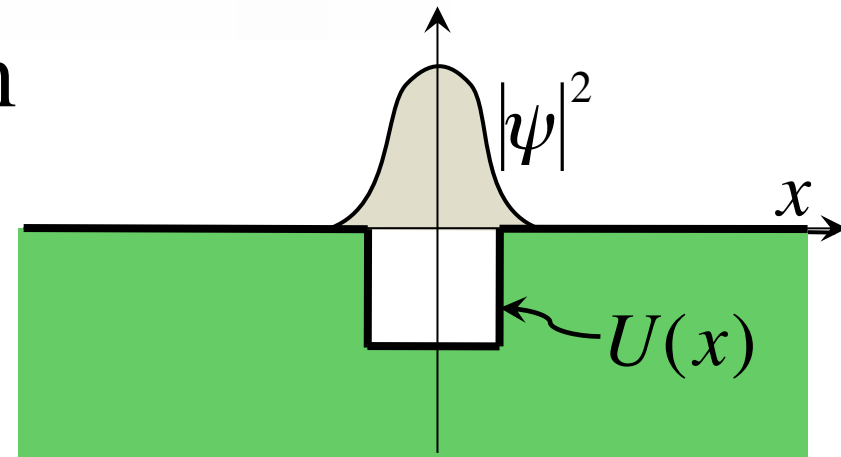
- There are no bound states when  $g < 1$ , i.e., when the well is not deep enough (in other words, when the coupling constant is not strong enough)



# COMPARE: BOUND STATES IN 1D

- Bound states always form

$$|E_{1D}| \approx \frac{m_*}{2\hbar^2} \left( -\int_{-\infty}^{+\infty} U(x) dx \right)^2$$



- This is a perturbative result (!)

$$|E_{1D}| \propto g^2, \quad \text{when } U(x) \rightarrow gU(x)$$

- Rigorous statement: at least one bound state exists if

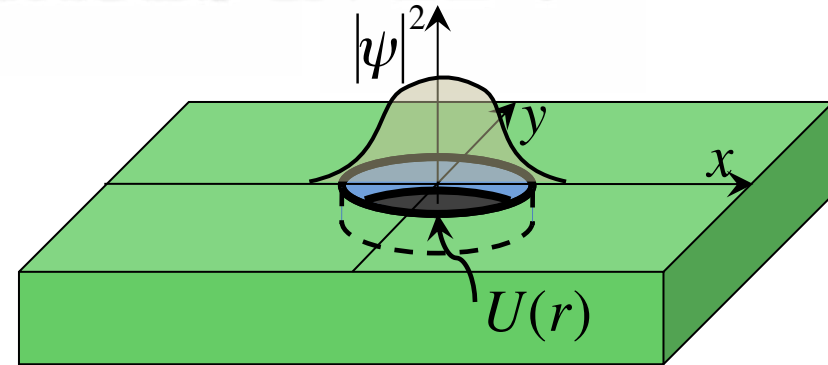
$$\int (1 + |x|) |U(x)| dx < \infty \quad \& \quad \int U(x) dx \leq 0$$

[B. Simon, Annals Phys. 97 (1976) 279]

# HOW ABOUT BOUND STATES IN 2D?

- Bound states always form

$$|E_{2D}| \approx \frac{\hbar^2}{a^2 m_*} \exp\left(-\frac{\hbar^2}{m_*} \left| \int_0^\infty r U(r) dr \right|^{-1}\right)$$



- This is a non-perturbative result

$$|E_{2D}| \propto \exp\left(-\frac{C}{g}\right), \quad \text{when } U(x) \rightarrow gU(x)$$

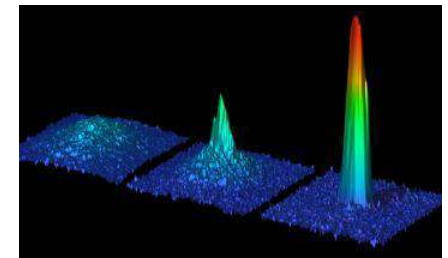
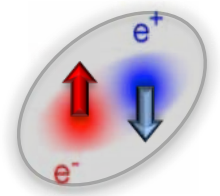
- Rigorous statement: at least one bound state exists if

$$\int |U(x)|^{1+\varepsilon} d^2x < \infty, \quad \int (1+x^2)^\varepsilon |U(x)| d^2x < \infty \quad \& \quad \int U(x) d^2x \leq 0$$

[B. Simon, Annals Phys. 97 (1976) 279]

# UNIVERSAL MAGNETIC CATALYSIS

- Quantum field theory of charged fermions ( $m=0$ ) at  $\vec{B} \neq 0$ 
  - Dimensional reduction (caused by a nonzero  $\vec{B}$ )
  - Nonzero density of states ( $\propto |eB|$ ) at  $E=0$
  - Attraction between particles and antiparticles
- Universal outcome:
  - Copious particle-antiparticle pairing at low energies
  - Condensation of boson pairs that destabilizes the trivial Dirac vacuum
  - Spontaneous rearrangement of the ground state
  - Breakdown of chiral symmetry
  - Opening a nonzero gap in the Dirac spectrum



[Gusynin, Miransky, Shovkovy, Phys. Rev. Lett. **73**, 3499 (1994)]  
[Miransky & Shovkovy, Physics Reports **576**, 1 (2015)]

- The mechanism is similar to superconductivity in metals due to Cooper pairing of electrons