Solar Modulation of Cosmic Rays in the Heliosphere A global view

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Outline of presentation



- The heliosphere; modulation boundaries
- New heliopause spectra (HPS)
- Solar modulation observations
- Transport and modulation theory
- Numerical models
- Modeling results and implications

The schematic heliosphere



Major heliospheric structures (HD simulated)

Meridional plane 600 0.00 a -0.40Proton density 500 -0.79-1.19og (n, [cm⁻³]) 400 -1.59AU -1.99300 -2.38-2.78200 -3.18100 -3.58-3.970 820.00 -100734.00 648.00 -200562.00 s-1 476.00 AU -300 V_P [km 390.00 304.00 -400218.00 Proton speed 132.00 -500b) 46.00 -600-40.00-600 -500 -400 -300 -200 -100 0 100 200 300

Fact sheet:

Heliosphere is highly asymmetric

TS was observed by V1 at 94 AU, by V2 at 84 AU...

V1 crossed the HP at 121.5 AU

V1 is now at 131.9 AU from the Sun

V2 is still inside the heliosheath at 108.4 AU

Roundtrip time for light: 29:49:03

http://voyager.jpl. nasa.gov/

Ferreira, S. E. S., & Scherer, K. 2004, ApJ, 616, 1215 Scherer, K., & Ferreira, S. E. S. 2005, ASTRA, 1, 17

The conceptual HCS (wavy current sheet)









Modulation of Galactic Cosmic Rays observed at the Earth & solar activity proxies



Global modulation of cosmic ray protons: mid-2006 to end of 2009



PAMELA proton observations at the Earth

PAMELA-SA bilateral cooperation: Mirko Boezio & colleagues

Proton spectra published Adriani et al. ApJ 2013 Potgieter et al. Solar Phys 2014

PhD's: Valeria Di Felice, Nico De Simone, Valerio Formato

MSc's: Riccardo Munini, Etienne Vos

The Heliopause Spectra: Voyager 1, PAMELA and AMS2 Observations and GALPROP computations

GALPROP; Plain Diffusion and with re-acceleration





Bisschoff & Potgieter, 2015

Vos & Potgieter, 2015

Transport equation for the transport, modulation and acceleration of cosmic rays in the heliosphere

$$\frac{\partial f}{\partial t} = \nabla \cdot \left[\mathbf{K} \cdot \nabla f \right] - \mathbf{V} \cdot \nabla f - \left\langle \mathbf{v}_D \right\rangle \cdot \nabla f + \frac{1}{3} \left(\nabla \cdot \mathbf{V} \right) \frac{\partial f}{\partial \ln p} + \mathbf{Q}(r, p, t)$$

Time-dependent, pitch-angle-averaged distribution function Diffusion Convection with solar wind Particle Drifts Adiabatic energy changes Any local source

... = ... +
$$\frac{1}{p^2} \frac{\partial}{\partial p} \left(p^2 D_{pp} \frac{\partial f}{\partial p} \right)$$

Second order Fermi acceleration

Parker (Planet. Space Science, 13, 9, 1965)

TPE in spherical coordinates; diffusion tensor based on a simple HMF geometry

$$\frac{\partial f}{\partial t} = \left[\frac{1}{r^2}\frac{\partial}{\partial r}(r^2K_{rr}) + \frac{1}{r\sin\theta}\frac{\partial}{\partial\theta}(K_{\theta r}\sin\theta) + \frac{1}{r\sin\theta}\frac{\partial K_{\phi r}}{\partial\phi} - V\right]\frac{\partial f}{\partial r} \\
+ \left[\frac{1}{r^2}\frac{\partial}{\partial r}(rK_{r\theta}) + \frac{1}{r^2\sin\theta}\frac{\partial}{\partial\theta}(K_{\theta\theta}\sin\theta) + \frac{1}{r^2\sin\theta}\frac{\partial K_{\phi\theta}}{\partial\phi}\right]\frac{\partial f}{\partial\theta} \\
+ \left[\frac{1}{r^2\sin\theta}\frac{\partial}{\partial r}(rK_{r\phi}) + \frac{1}{r^2\sin\theta}\frac{\partial K_{\theta\phi}}{\partial\theta} + \frac{1}{r^2\sin^2\theta}\frac{\partial K_{\phi\phi}}{\partial\phi}\right]\frac{\partial f}{\partial\phi} \\
+ K_{rr}\frac{\partial^2 f}{\partial r^2} + \frac{K_{\theta\theta}}{r^2}\frac{\partial^2 f}{\partial\theta^2} + \frac{K_{\phi\phi}}{r^2\sin^2\theta}\frac{\partial^2 f}{\partial\phi^2} + \frac{2K_{r\phi}}{r\sin\theta}\frac{\partial^2 f}{\partial r\partial\phi} \\
+ \frac{1}{3r^2}\frac{\partial}{\partial r}(r^2V)\frac{\partial f}{\partial\ln p} + Q_{source}(r,\theta,\phi,p,t),$$
(4)

The diffusion tensor can then be written as: (r, θ, ϕ) is:

$$\begin{bmatrix} K_{rr} & K_{r\theta} & K_{r\phi} \\ K_{\theta r} & K_{\theta \theta} & K_{\theta \phi} \\ K_{\phi r} & K_{\phi \theta} & K_{\phi \phi} \end{bmatrix} \begin{bmatrix} \kappa_{\parallel} & 0 & 0 \\ 0 & \kappa_{\perp \theta} & \kappa_{A} \\ 0 & -\kappa_{A} & \kappa_{\perp r} \end{bmatrix} \begin{bmatrix} \cos \psi & 0 & -\sin \psi \\ 0 & 1 & 0 \\ \sin \psi & 0 & \cos \psi \end{bmatrix} = \begin{bmatrix} \kappa_{\parallel} \cos^{2} \psi + \kappa_{\perp r} \sin^{2} \psi & -\kappa_{A} \sin \psi & (\kappa_{\perp r} - \kappa_{\parallel}) \cos \psi \sin \psi \\ \kappa_{A} \sin \psi & \kappa_{\perp \theta} & \kappa_{A} \cos \psi \\ (\kappa_{\perp r} - \kappa_{\parallel}) \cos \psi \sin \psi & -\kappa_{A} \cos \psi & \kappa_{\parallel} \sin^{2} \psi + \kappa_{\perp r} \cos^{2} \psi \end{bmatrix},$$
(5)

with ψ the spiral angle of the magnetic field with respect to the radial direction. The components of the gradient and curvature drift velocity are:

$$\langle \mathbf{v}_d \rangle_r = -\frac{\mathbf{A}}{r \sin \theta} \frac{\partial}{\partial \theta} (\sin \theta K_{\theta r}), \langle \mathbf{v}_d \rangle_\theta = -\frac{\mathbf{A}}{r} \left[\frac{1}{\sin \theta} \frac{\partial}{\partial \phi} (K_{\phi \theta}) + \frac{\partial}{\partial r} (r K_{r \theta}) \right], \langle \mathbf{v}_d \rangle_\phi = -\frac{\mathbf{A}}{r} \frac{\partial}{\partial \theta} (K_{\theta \phi}),$$
 (6)



$$B = B_0 \left[\frac{r_0}{r}\right]^2 \sqrt{1 + \left[\frac{\Omega(r - r_{\odot})\sin\theta}{V_{sw}}\right]^2 + \left[\frac{r\delta(\theta)}{r_{\odot}}\right]^2},$$

Then comes the generalized tensor...

$$\begin{array}{lll} \mathsf{K}_{rr} &=& \cos^2 \zeta \left(\kappa_{||} \cos^2 \psi + \kappa_{\perp,3} \sin^2 \psi \right) + \kappa_{\perp,2} \sin^2 \zeta, \\ \mathsf{K}_{r\theta} &=& \sin \zeta \cos \zeta \left(\kappa_{||} \cos^2 \psi + \kappa_{\perp,3} \sin^2 \psi - \kappa_{\perp,2} \right) - \kappa_A \sin \psi, \\ \mathsf{K}_{r\phi} &=& \sin \psi \cos \psi \cos \zeta \left(\kappa_{\perp,3} - \kappa_{||} \right) - \kappa_A \cos \psi \sin \zeta, \\ \mathsf{K}_{\theta r} &=& \sin \zeta \cos \zeta \left(\kappa_{||} \cos^2 \psi + \kappa_{\perp,3} \sin^2 \psi - \kappa_{\perp,2} \right) + \kappa_A \sin \psi, \\ \mathsf{K}_{\theta \theta} &=& \sin^2 \zeta \left(\kappa_{||} \cos^2 \psi + \kappa_{\perp,3} \sin^2 \psi \right) + \kappa_{\perp,2} \cos^2 \zeta, \\ \mathsf{K}_{\theta \phi} &=& \sin \psi \cos \psi \sin \zeta \left(\kappa_{\perp,3} - \kappa_{||} \right) + \kappa_A \cos \psi \cos \zeta, \\ \mathsf{K}_{\phi r} &=& \sin \psi \cos \psi \sin \zeta \left(\kappa_{\perp,3} - \kappa_{||} \right) + \kappa_A \cos \psi \sin \zeta, \\ \mathsf{K}_{\phi \theta} &=& \sin \psi \cos \psi \sin \zeta \left(\kappa_{\perp,3} - \kappa_{||} \right) - \kappa_A \cos \psi \cos \zeta, \\ \mathsf{K}_{\phi \phi} &=& \kappa_{||} \sin^2 \psi + \kappa_{\perp,3} \cos^2 \psi. \end{array}$$

Adiabatic energy loss mechanism

$$\frac{\partial f}{\partial t} + \mathbf{V} \cdot \nabla f - \nabla \cdot (\mathbf{K} \cdot \nabla f) \left[-\frac{1}{3} (\nabla \cdot \mathbf{V}) \frac{\partial f}{\partial \ln p} \right] = 0$$



Gradient, curvature and current sheet drifts: Basic Theory



Particle Paths in a Simplified Heliospheric Magnetic Field



$$\langle \vec{v}_D \rangle = \frac{pv}{3Q} \frac{(\omega \tau_d)^2}{1 + (\omega \tau_d)^2} \nabla \times \frac{\vec{B}}{B^2}$$

$$(\omega \tau_d)^{ws} \gg 1$$

$$\langle \vec{v}_D \rangle^{ws} = \nabla \times \frac{v}{3} r_L \mathbf{e}_B$$

= $\nabla \times \kappa_D \mathbf{e}_B,$

$$\mathbf{K_s} \equiv \begin{bmatrix} \kappa_{||} & 0 & 0 \\ 0 & \kappa_{\perp\theta} & 0 \\ 0 & 0 & \kappa_{\perp r} \end{bmatrix}$$

$$\mathbf{K}_D \equiv \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & \kappa_D \\ 0 & -\kappa_D & 0 \end{bmatrix},$$

Charge-sign dependent modulation

Drift direction of electrons in A > 0 cycle







Particle drifts in the heliospheric polar regions; SDE approach









Jan-Louis Raath's MSc thesis, Nov. 2014

Proton trajectories in the heliosphere along the HCS Impact of SDE models

Decreasing diffusion causes increasing drift effects



Decreasing HCS tilt angle



100 MeV Proton Trajectories to reach Voyager 1

SDE approach to numerical modeling



Strauss et al. (2013) ApJ

Major features of observed modulated cosmic rays near Earth: Required as validation for ALL numerical models



Observed and computed spectra crossings at Earth, for A > 0 and A < 0 solar minima polarity cycles... Computed latitudinal gradients compared to minimum to maximum...

Langner, Potgieter & Webber, JGR, 2003; ASR, 2004

Highest every recorded cosmic ray protons in 2009



Red data points: A < 0 cycles

Blue data points: A > 0 cycles

Strauss R.D., Potgieter M.S. Is the highest cosmic rays yet to come? Solar Physics, 289, 8, 3197-3205, 2014

Diffusion theory: Updated - Difference between electrons and protons





Astronomy Astrophysics

Analytic calculation of the parallel mean free path of heliospheric cosmic rays

711

I. Dynamical magnetic slab turbulence and random sweeping slab turbulence

A. Teufel and R. Schlickeiser

PAMELA Electron Observations and Modeling

Consequences for electron diffusion theory



Potgieter, Vos, Boezio et al. 2015

Computed modulation of galactic electrons and positrons at solar minimum for two polarity cycles



Langner & Potgieter, Solar wind termination shock and heliosheath effects on charge-sign dependent modulation for protons and antiprotons, JGR, 109, 2004; Potgieter & Langner, Heliospheric modulation of cosmic ray positrons and electrons: Effects of the heliosheath and solar wind termination shock, ApJ, 602, 2004.

PAMELA Observations and Charge-sign Dependence



New evidence of chargesign-dependent (drift) modulation for 2006-2009 from PAMELA...!

But, much smaller than anticipated from modelling of previous solar minimum activity periods....!

The 2009 minimum was different...



PAMELA Electrons and Positrons for 2009





Preliminary; see PhDs of Riccardo Munini and Etienne Vos

Total Modulation of Protons: Observations and Modeling



Vos & Potgieter, 2015

Proton modulation during the unusual 2009 minimum period





Potgieter, Vos, Boezio et al. 2014 Vos & Potgieter, 2015

Consequences for Diffusion & Drift Theory



At Earth

Protons





Proton Radial Profile Observations and Modeling



Proton Radial and Latitudinal Modeling and Observations



Predicted Galactic and Jovian electron modulation at 16 MeV HP at different positions; extending heliosheath



Conclusions:

The heliosheath was predicted to act as a strong modulation 'barrier'...

The closer the HP is to the TS, the less the effect of the TS is....

The 120 AU scenario seems to be the closest to recent observations.

Jovian electrons dominate over first ~25 AU.

Ferreira & Potgieter (JGR, 2002)

Computed radial profile of galactic and Jovian electrons at 12 MeV



Conclusions:

Extraordinary type of modulation in heliosheath (HS) ...

The HS indeed acts as a strong modulation 'barrier' for these low energy electrons ...

With the HP position and LIS known, we can attempt to predict intensity of 12 MeV galactic electrons at the Earth.

Potgieter & Nndanganeni, Astrophys. Space Sci. 2013

V1: 4-16 MeV; Webber (private comm.)

Recap: The galactic electron HPS = VLIS (= LIS = GS)

Computed electron spectra at different radial distances



Galactic electron spectrum at the HP seems to consist of two power laws...! $E < \sim 200$ MeV and $E > \sim 3$ GeV

Main features of total Electron Modulation

Modeling scenarios

Galactic Electrons; two intensity scenarios at Earth

LIS spectral index is preserved at low energies because diffusion dominates there, not adabatic cooling



HPS has a power-law 500 MeV.

At low energies this power-law is preserved up to Earth if....

Galactic electron intensity at Earth is not known because of

PAMELA data at lower energies reduce the predicted uncertainty ... below 200 MeV.

Total modulation (Modulation Factor: LIS/Earth)

Protons: Modulation factor (MF) as the ratio of the very LIS intensity to the computed intensity at the Earth in terms of kinetic energy for the periods 2006 to 2009.

E (GeV)	0.001	0.01	0.10	1.00	10.0	50.0	
2006	2174	270	29	3.5	1.15	1.01	
2007	1429	179	20	3.0	1.13	1.01	
2008	1163	143	17	2.8	1.12	1.01	
2009	714	89	12	2.4	1.11	1.01	

Electrons: Modulation factor (MF) as the ratio of the very LIS intensity to the computed intensity at the Earth in terms of kinetic energy *E* for 2009a and 2009b.

<i>E</i> (GeV)	0.05	0.10	0.20	0.50	0.80	1.0	3.0	5.0	8.0	10.0
2009a	1030	530	140	17.9	7.30	5.14	1.76	1.38	1.21	1.16
2009b	759	438	128	17.3	7.21	5.08	1.75	1.38	1.21	1.16

Concluding Remarks

- Electron, proton, helium and carbon HPS (very LIS) are established...
- Finally, we can study and determine the total modulation of GCRs...
- Comprehensive modeling gives significantly useful insights...
- In particular concerning drift effects (cannot be done with FF-approach).
- Combined with observations we have made good progress,
- Towards a general diffusion and drift theory... but
- We need to address the complications introduced by the heliosheath.
- We need more good observational data...
- The AMS2 era...

Solar wind velocity profiles in the heliosphere





Electrons 5-12 MeV: First 25 AU compared to the last 30 AU



Extraordinary decrease in low energy electrons in the inner heliosheath

Webber et al. 2013

Improvements for the HMF geometry - it can get ugly

$$B = B_0 \left[\frac{r_0}{r}\right]^2 \sqrt{1 + \left[\frac{\Omega(r - r_{\odot})\sin\theta}{V_{sw}}\right]^2 + \left[\frac{r\delta(\theta)}{r_{\odot}}\right]^2},$$

Modified Parker type HMF

Smith & Bieber 1991

 $\tan \psi = \frac{\Omega(r-b)\sin\theta}{V_{\rm sw}(r,\theta)} - \frac{r}{b} \frac{V_{\rm sw}(b,\theta)}{V_{\rm sw}(r,\theta)} \left(\frac{B_{\rm T}(b)}{B_{\rm R}(b)}\right),$

Fisk (1996) type HMF

$$B_{r} = B_{0} \left[\frac{r_{0}}{r}\right]^{2}$$

$$B_{\theta} = B_{r} \frac{(r - r_{ss})}{V_{sw}} \sin\beta \sin\left(\phi + \frac{\Omega(r - r_{ss})}{V_{sw}}\right)$$

$$B_{\phi} = B_{r} \frac{(r - r_{ss})}{V_{sw}} \left[\omega \sin\beta \cos\theta \cos\left(\phi + \frac{\Omega(r - r_{ss})}{V_{sw}}\right) + \sin\theta(\omega \cos\beta - \Omega)\right]$$

-50-25 0 25 50 50 100 -100 -50 0 100 80 80 60 60 40 40 20 20 ⁰-100 - 50 0 - 50 - 25 0 0 25 50 50 100

Is this reality...?

Possible Evidence for a Fisk-type Heliospheric Magnetic Field I: Analysing Ulysses/KET Electron Observations

O. Sternal, N.E. Engelbrecht¹, R.A. Burger¹, S.E.S. Ferreira¹, H. Fichtner², B. Heber, A. Kopp, M.S. Potgieter¹ and K. Scherer²

Effects of the wavy HCS on proton modulation



Raath, Potgieter, Strauss, ASS, 2015

What happened in August 2012?



Galactic electron observations into the heliosheath

Webber et al. 2012: Voyager 1 & 2 Observations



ENERGY (MeV)

1000

10

HTS: Heliospheric Termination Shock Red line: V2 Black line: V1

Theory and dimensional complexity (1D to 2D to 3D)

Analytical solution of Parker's basic TPE

- Convection-diffusion approach
- Force-Field approach

1D numerical approach to Parker's TPE

Convection-diffusion approach

$$\frac{\partial f}{\partial t} + \nabla \cdot (\nabla f - \mathbf{K} \cdot \nabla f) - \frac{1}{3p^2} (\nabla \cdot \mathbf{V}) \frac{\partial}{\partial p} (p^3 f) = 0$$
$$\frac{\partial f}{\partial t} + \nabla \cdot \nabla f - \nabla \cdot (\mathbf{K} \cdot \nabla f) - \frac{1}{3} (\nabla \cdot \mathbf{V}) \frac{\partial f}{\partial \ln p} = 0$$

$$S = Vf - \mathbf{K} \cdot \nabla f$$

= $Vf - \kappa \frac{\partial f}{\partial r}$ $f_{Earth} = f_{LIS} \exp \left[-\int_{r_e}^{r_{HP}} \frac{V dr}{\kappa} \right]$
 ≈ 0

Complexity & Dimension issues

Convection-diffusion approach

$$f_{Earth} = f_{LIS} \exp \left[-\int_{r_e}^{r_{HP}} \frac{V dr}{\kappa} \right]$$



Modulation parameter

Complexity & Dimension issues

Force-Field approach

$$\mathbf{S} = C\mathbf{V}f - \mathbf{K} \cdot \nabla f = CVf - \kappa \frac{\partial f}{\partial r} = 0$$
$$f_{Earth} = f_{Boundary} \exp\left[-\int_{r_E}^{r_B} \frac{CVdr}{\kappa}\right], \quad \text{with } C = -\frac{1}{3} \frac{\partial \ln f}{\partial \ln p}$$

Important: This gives an energy loss without considering the adiabatic process

Approximated Force-Field approach

$$j(T) = j_{LIS}(T + \Phi) \frac{T(T + 2E_0)}{(T + \Phi)(T + \Phi + 2E_0)}$$

Valid if κ is separable : $\kappa = \kappa_1(r)\kappa_2(P)$ with $\kappa_2 = \beta P$ when $\beta \approx 1$

Complexity & Dimension issues

Force Field gives an indication of the modulation level (depth), nothing more, nothing about the physics responsible, always 'forced' approximated solutions in 1 D, so that your heliosphere looks like this:

1 D spherically symmetric, steady-state, numerical approach

$$V\frac{\partial f}{\partial r} - \frac{1}{r^2} \left(r^2 \kappa \, \frac{\partial f}{\partial r} \right) - \frac{1}{3r^2} \frac{\partial}{\partial r} \left(r^2 V \right) \frac{\partial f}{\partial \ln p} = 0$$

Input: LIS, $r_{Boundary}$, V(r) and $\kappa(r, P)$

Ouput: Adiabatic energy loss now taken care of;

Approximation : $\phi = \beta \kappa_2 \int_{r_1}^{r_B} \frac{V}{\kappa} dr$

Comparison of the three 1 D modeling approaches



Modulation modeling dilemma:

The diffusion tensor is not known well enough in terms of the spatial and rigidity dependence of its elements...

The VLIS is not known well enough below a few GeV.

Only scenarios can thus be studied.

But, with good data at Earth and near the heliopause, progress can be made...

Comparison of modulation with a LIS vs. HPS



Combining Voyager 1 and PAMELA observations with modeling



What are the effects of re-acceleration at the TS ?



Lourens Prinsloo's MSc, 2015

Global Modulation of Galactic Electrons Observed at and close to Earth



What happened inside the HP



Borovikov & Pogorelov, 2014

Burlaga et al. 2013

The LIS resulting from these parameters can be approximated (within 15%) over the energy range 3 MeV to 100 GeV by the following expressions.

The approximate proton LIS is given by:

$$J(E) = 3719 \frac{1}{\beta^2} E^{1.03} \left(\frac{E^{1.21} + 0.77^{1.21}}{1 + 0.77^{1.21}} \right)^{-3.18},$$
(1)

the approximate Helium LIS is given by:

$$J(E) = 195.4 \frac{1}{\beta^2} E^{1.02} \left(\frac{E^{1.19} + 0.60^{1.19}}{1 + 0.60^{1.19}} \right)^{-3.15},$$
(2)

and the approximate Carbon LIS is given by:

$$J(E) = 0.832 \frac{1}{\beta^2} E^{1.29} \left(\frac{E^{0.74} + 1.25^{0.74}}{1 + 1.25^{0.74}} \right)^{-5.62},$$
(3)

where the CR intensity $(J(E), \text{ given in particles.m}^2.\text{s}^{-1}.\text{sr}^{-1}.(\text{GeV/nuc})^{-1})$ is a function of the kinetic energy per nucleon (E, given in GeV/nuc).

Diffusion Theory: Difference between protons and electrons



At Earth

Potgieter et al. 2013 Potgieter et al. 2015



Main features of electron modulation with a Jovian source



Positron fraction at the Earth

Effects of solar modulation with particle drifts



Basics of Diffusion & Drift Theory

