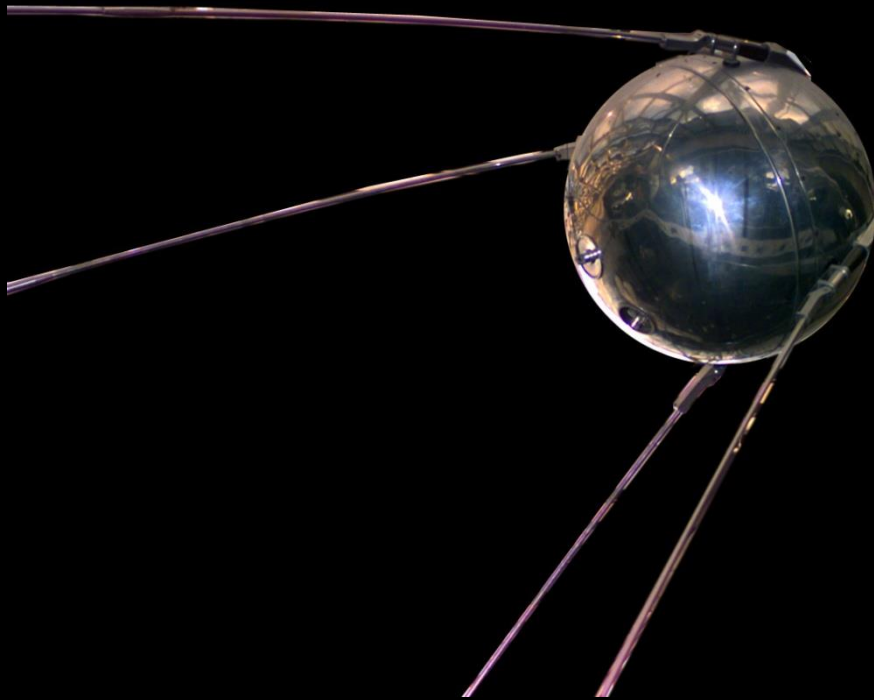


# The Role of Solar and Solar Wind Forcing of the Radiation Belts

Daniel N. Baker

Laboratory for Atmospheric and Space Physics  
Astrophysical and Planetary Sciences Department  
Department of Physics  
University of Colorado - Boulder



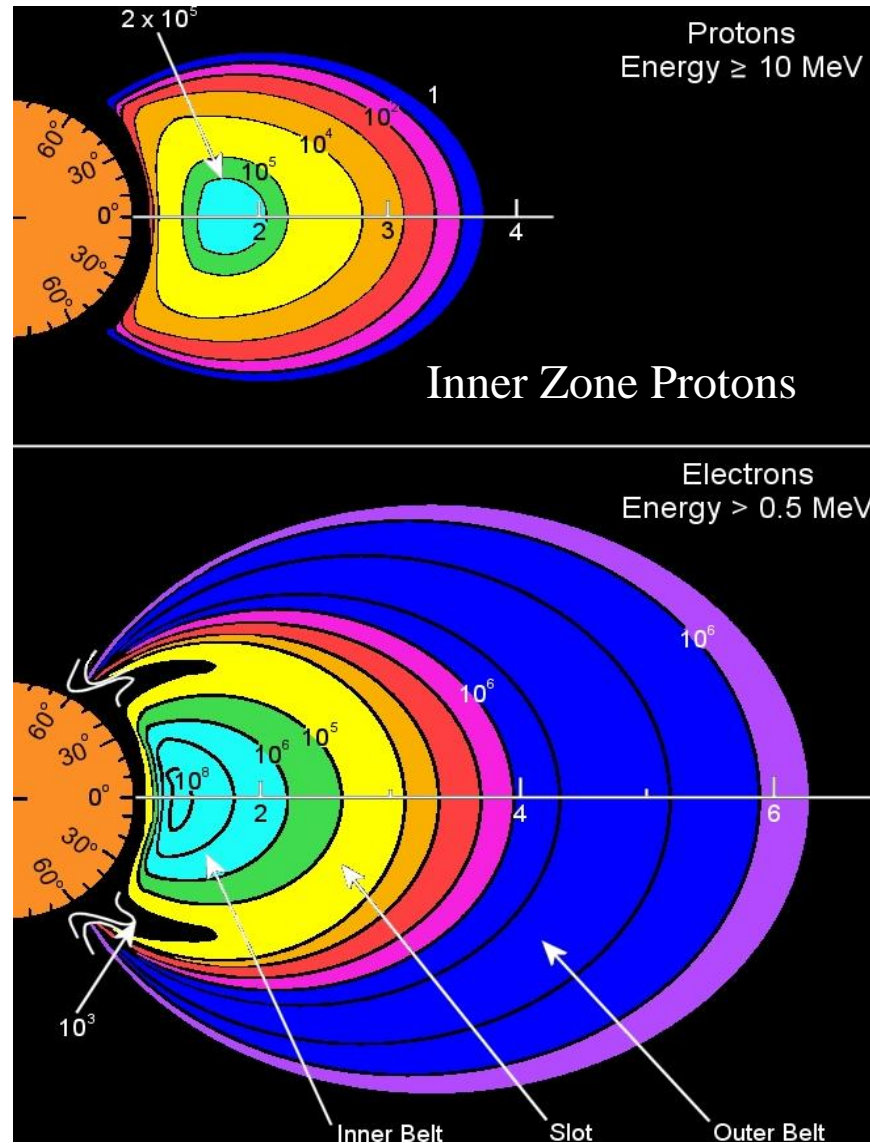
4 October 1957:  
Sputnik 1



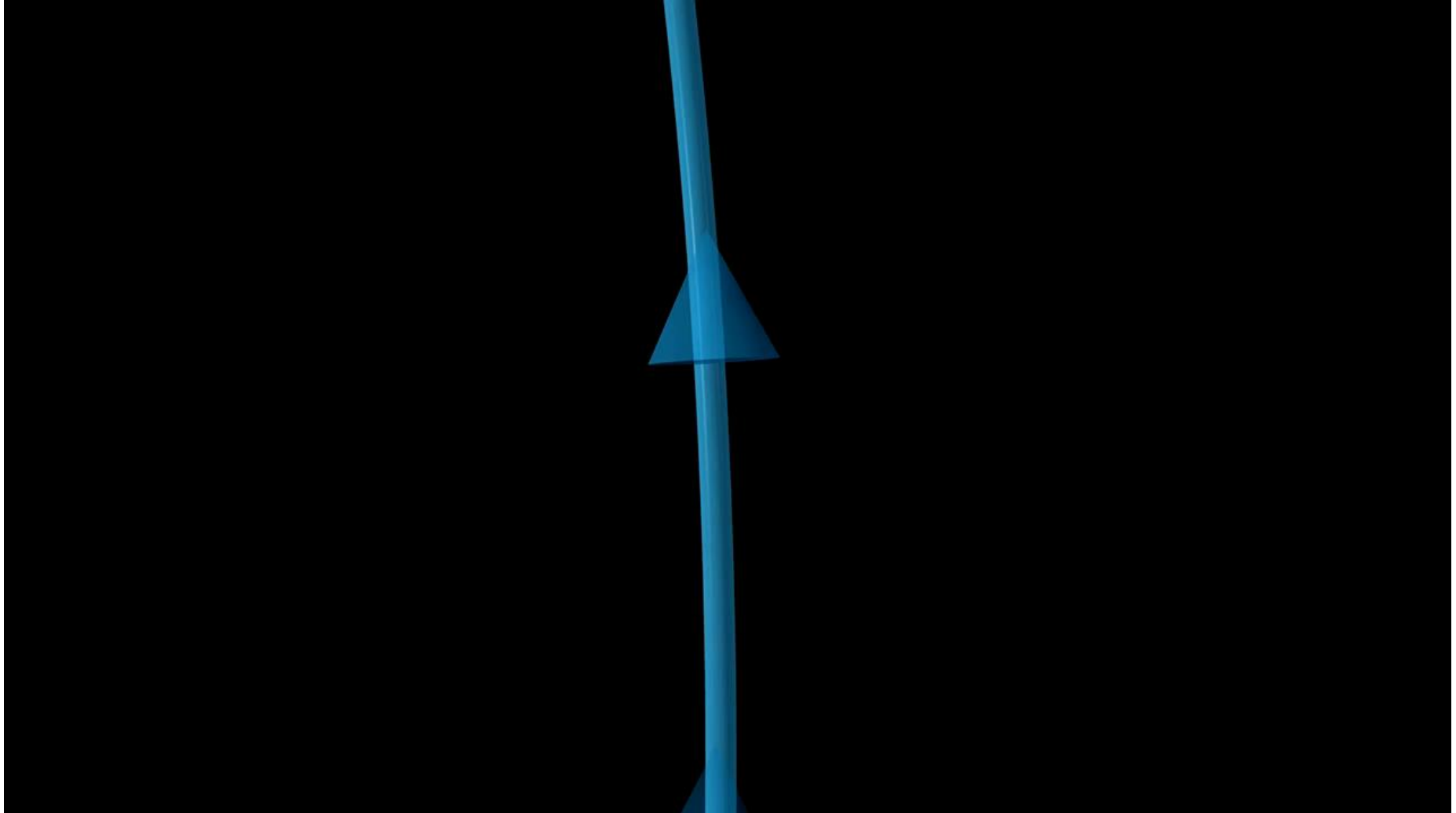
7 November 1957  
Sputnik 2



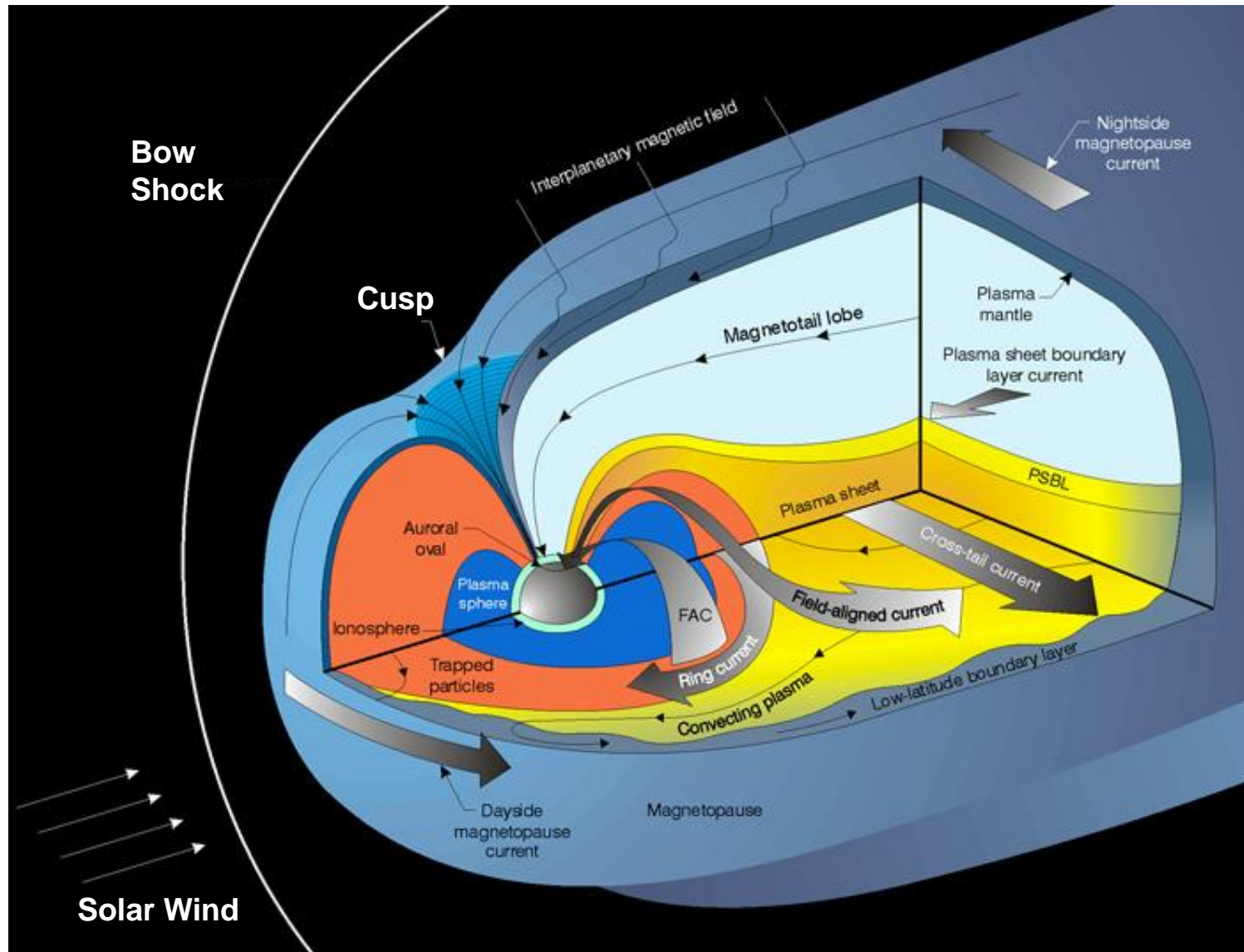
# The Van Allen Radiation Belts



# Radiation Belt Particle Motion

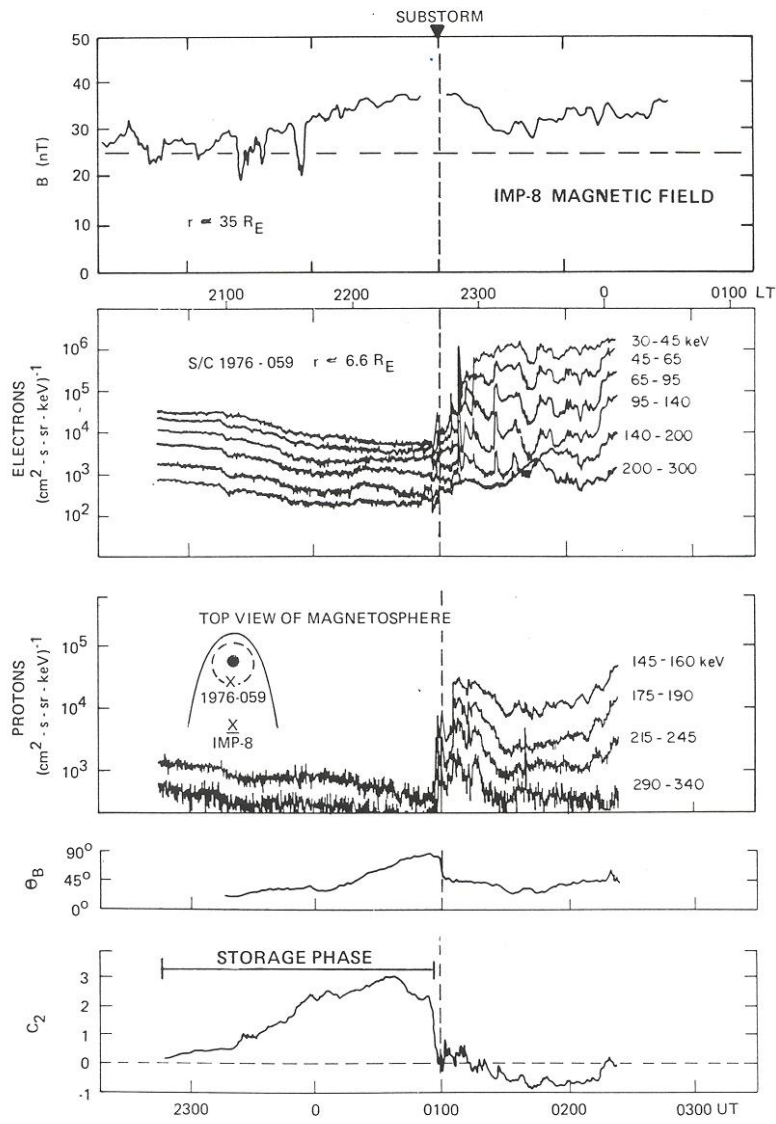


# Magnetospheric Regions and Currents



# Earth's Magnetosphere-Ionosphere System

# Substorm Particle Injection



28 · 29 DECEMBER 1976

[JGR, ~1981]

MIDTAIL

Magnetic energy increase  
in magnetotail (IMP-8)

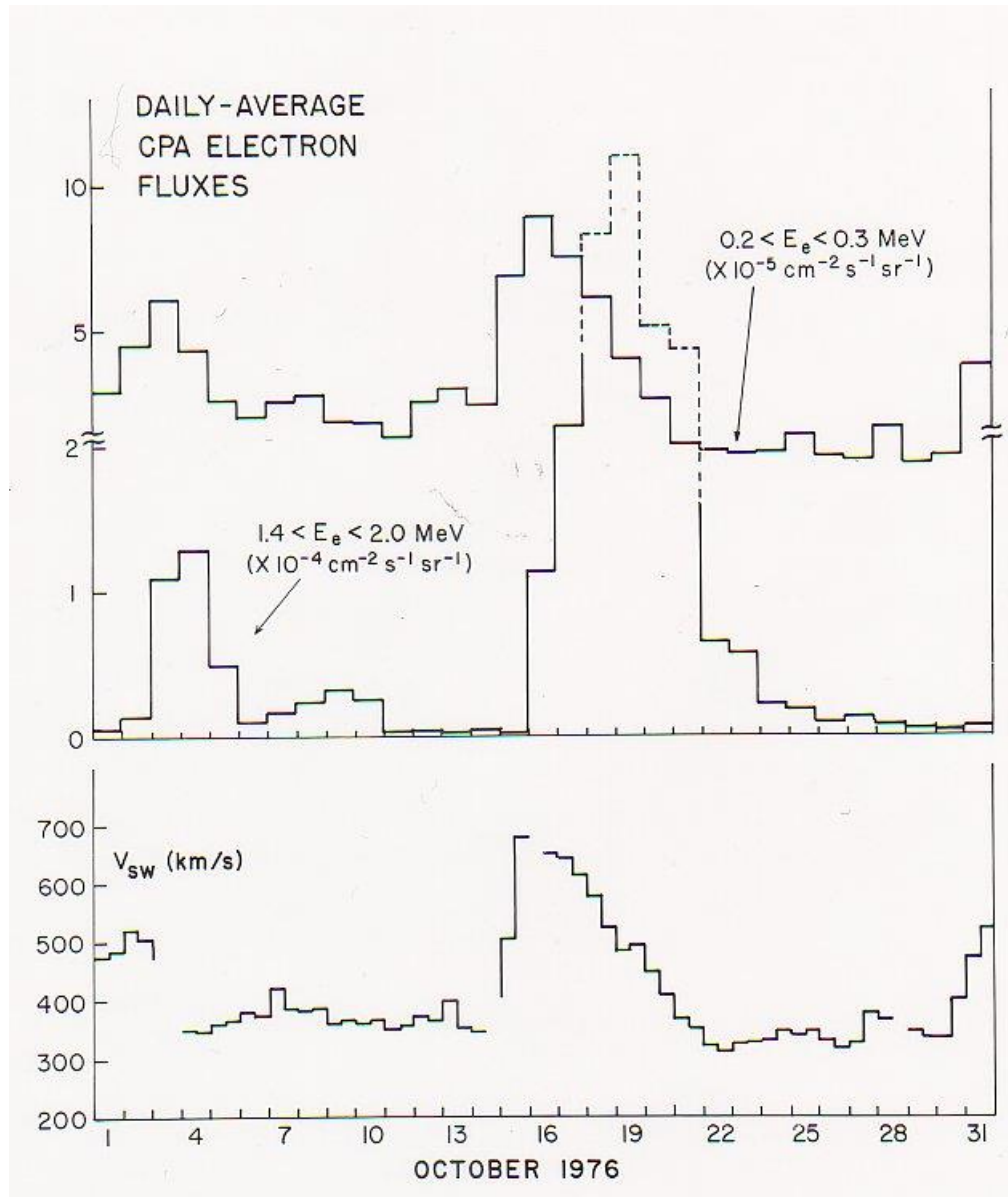
GEOSTATIONARY ORBIT

30 - 300 keV particle  
enhancements near  
geostationary orbit (LANL)

Magnetic field  
“dipolarization” near  
GEO (plus DAPP/DMSP  
images!)



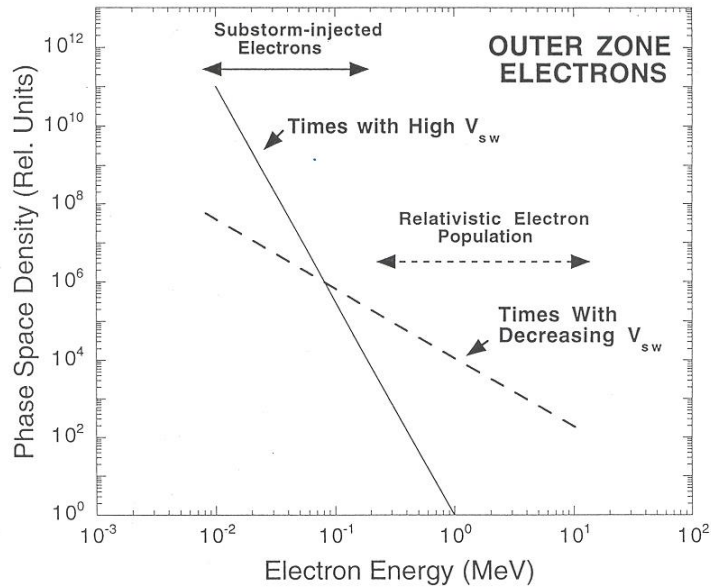
# Low- vs. High-Energy Electron Responses



It was found that electrons from  $E \sim 30 \text{ keV}$  to  $E \sim 300 \text{ keV}$  (at geostationary orbit) were closely related to solar wind speed variations.

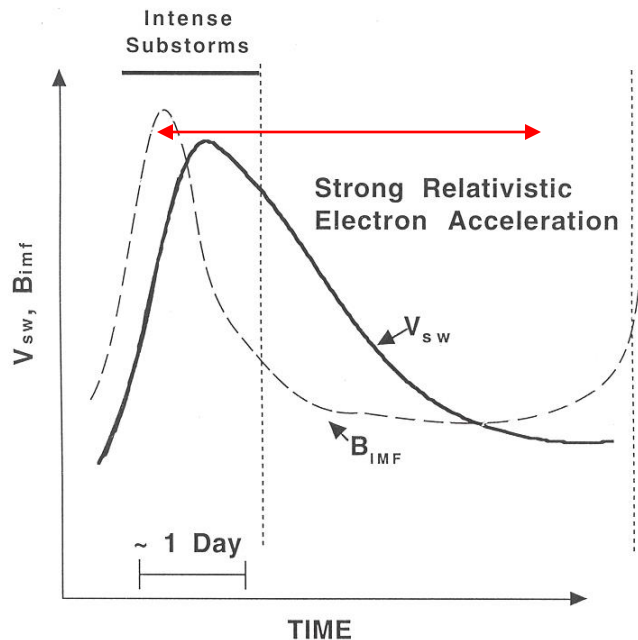
On the other hand, electrons with  $E > 1 \text{ MeV}$  were found to be delayed in relation to solar wind stream profiles.

Baker et al. (JGR)



## The Role of High-Speed Solar Wind Streams

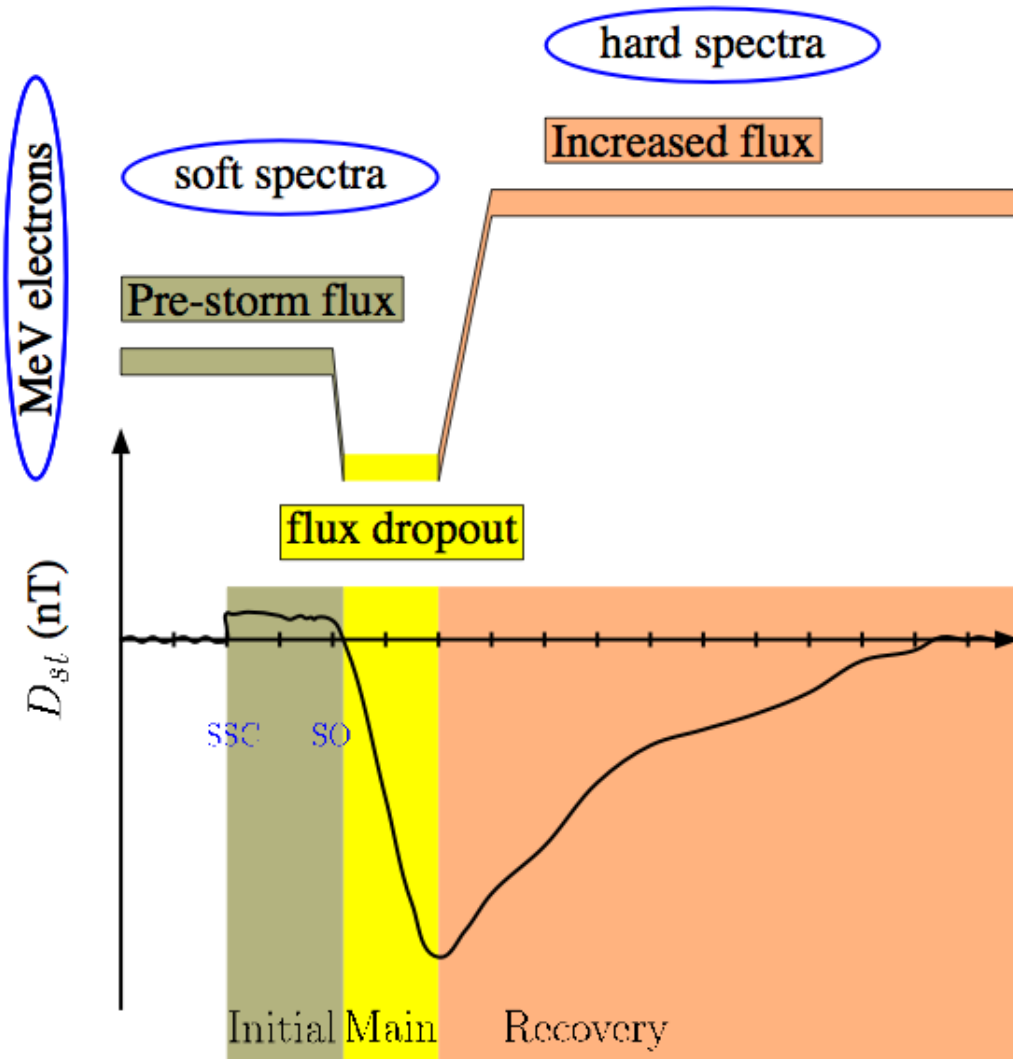
- Prompt substorm acceleration of electrons  $< 300$  keV
- Delayed relativistic electron acceleration (2-3 days)



( GEO )

[Baker et al, 1986;1997]

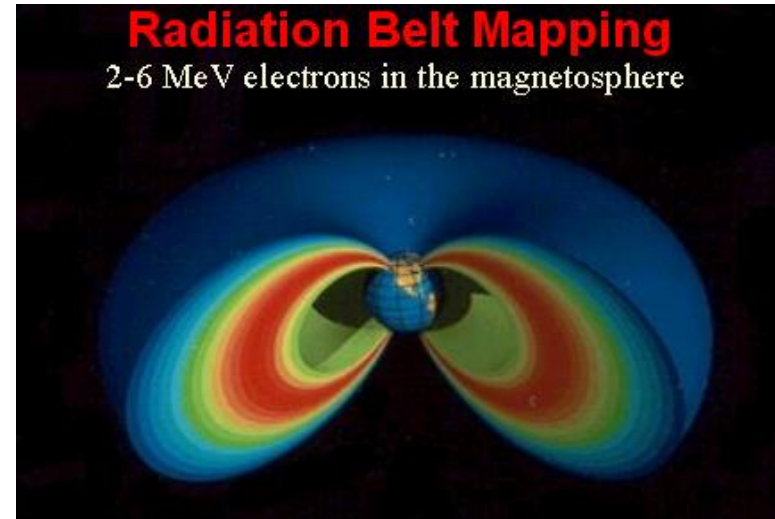
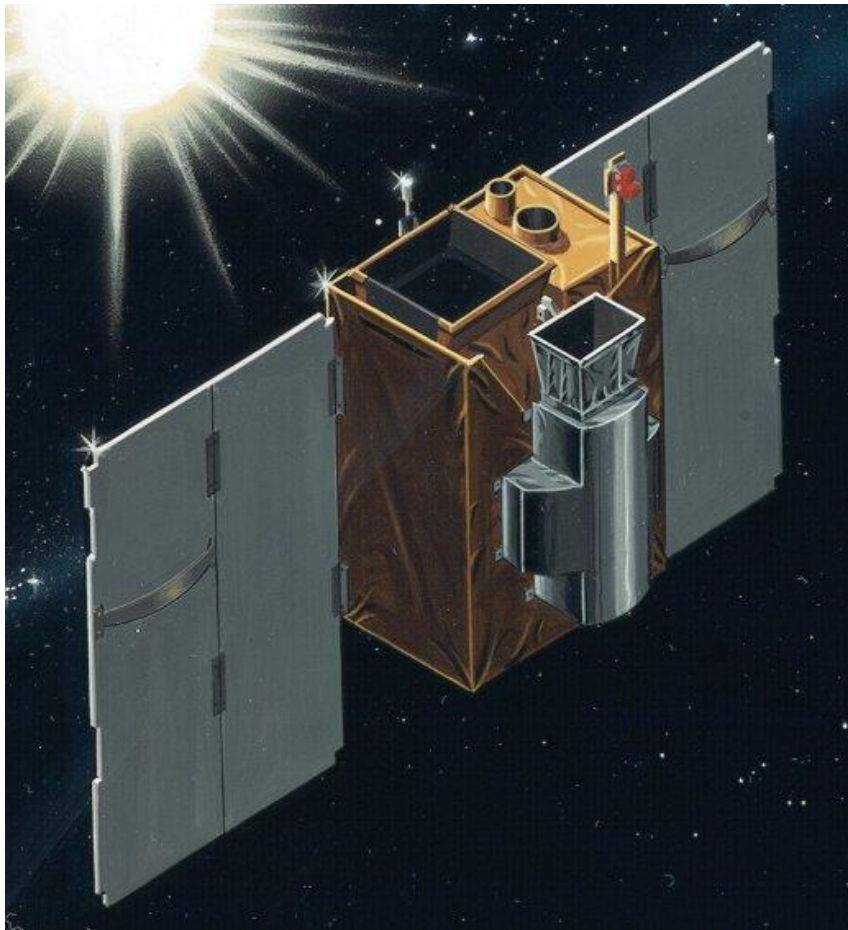
# Rad Belt Electrons, Storms and Substorms



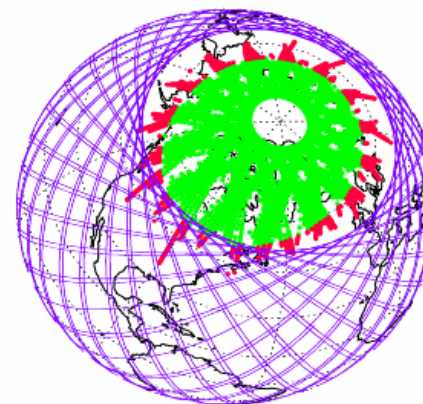
- Recovery phase
  - Increased PSD
  - Broad L range
- Main phase
  - Flux dropout
  - Adiabatic field changes and particle loss
- Flux changes
  - Decrease or no change in about 50% of storms - GEO data

[See Kanekal et al., 2004; Reeves et al., 2003]

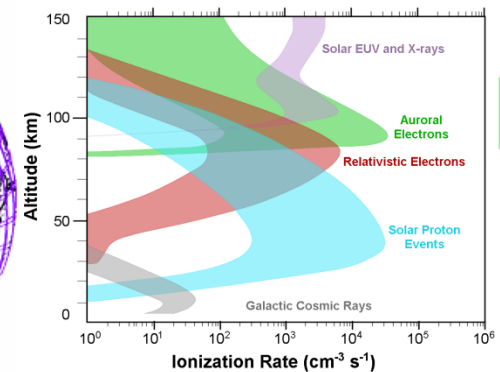
# The Solar, Anomalous, and Magnetospheric Particle Explorer: SAMPEX



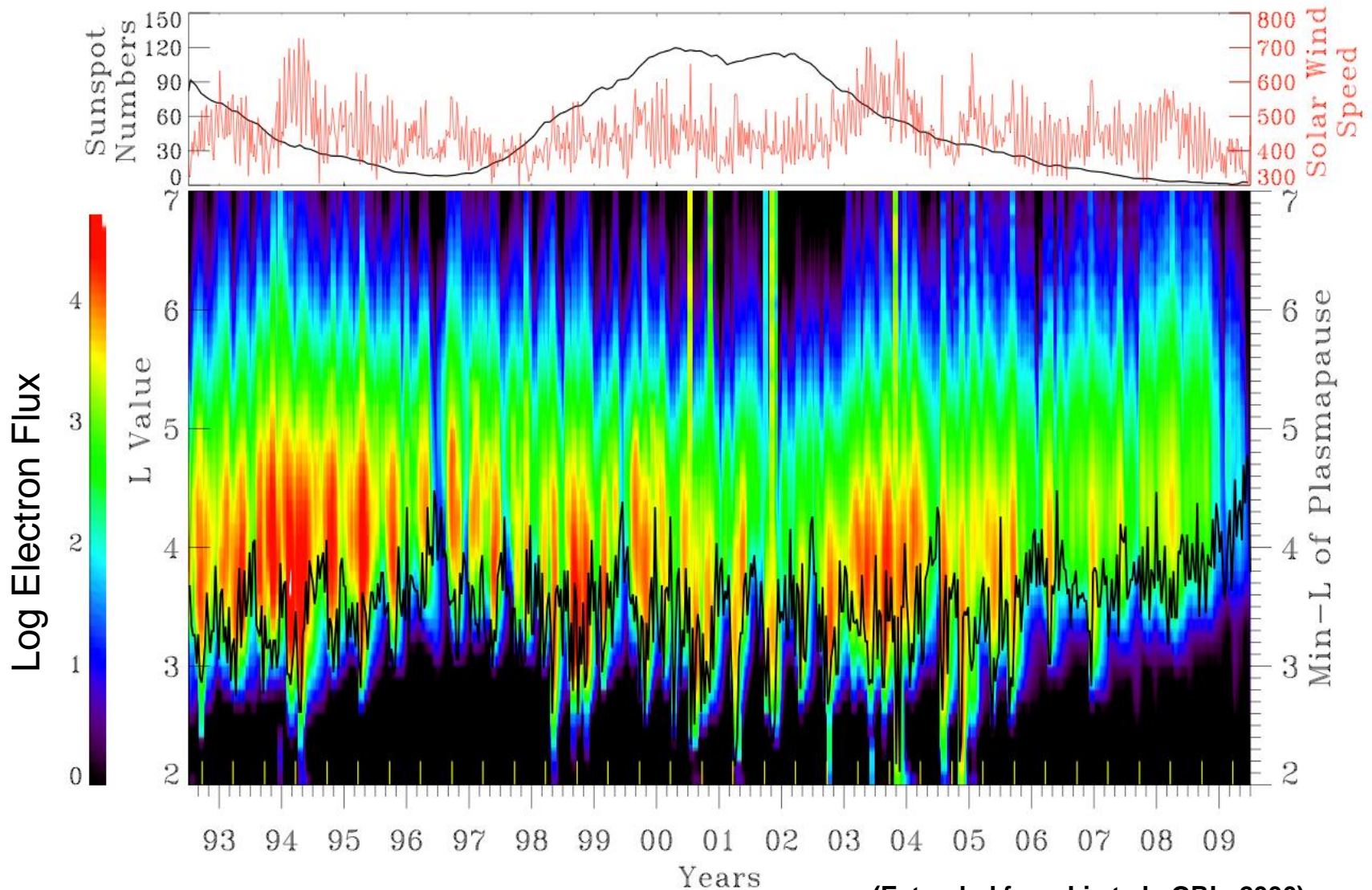
## Solar Energetic Particles



### Atmospheric Particle Coupling



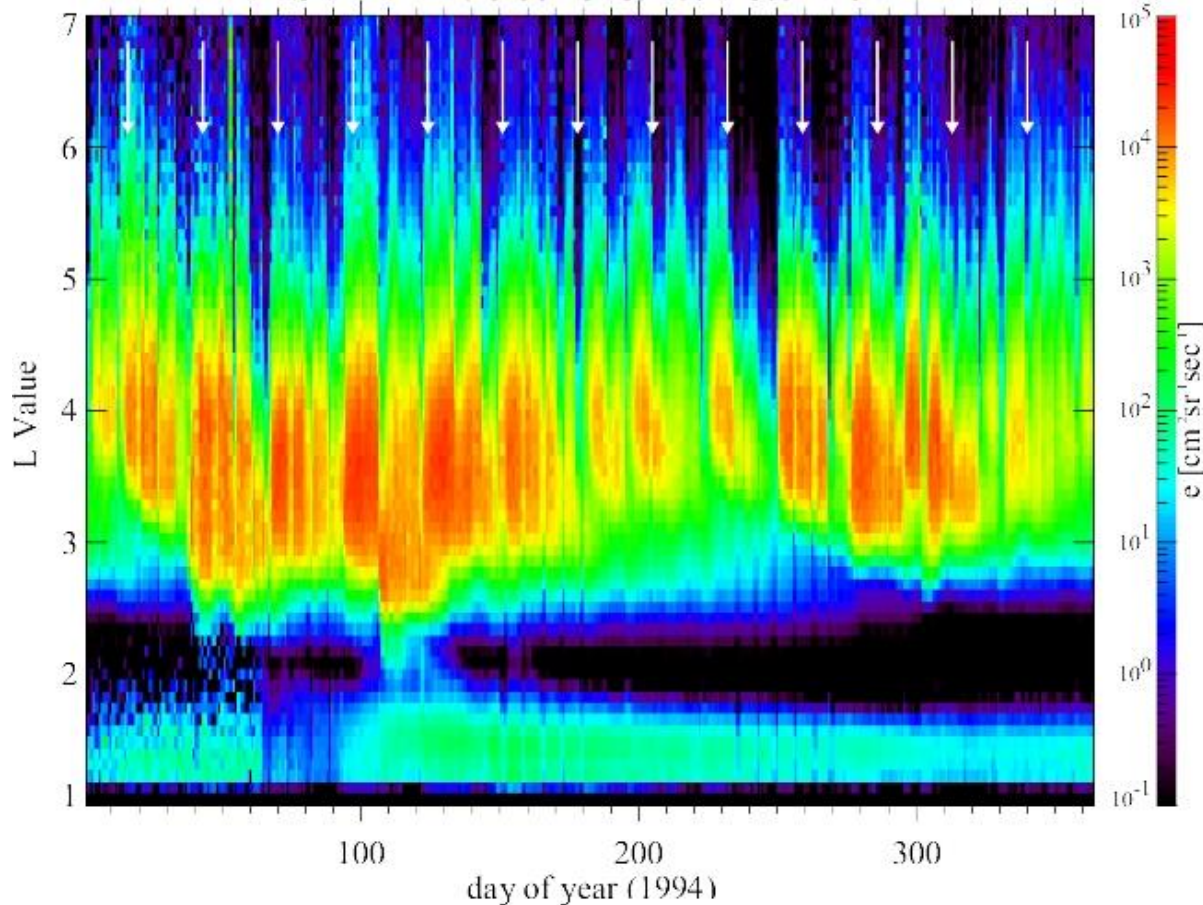
# SAMPEX: Nearly Two Solar Cycles



(Extended from Li et al., GRL, 2006)

# 1994 – High Speed Stream Control

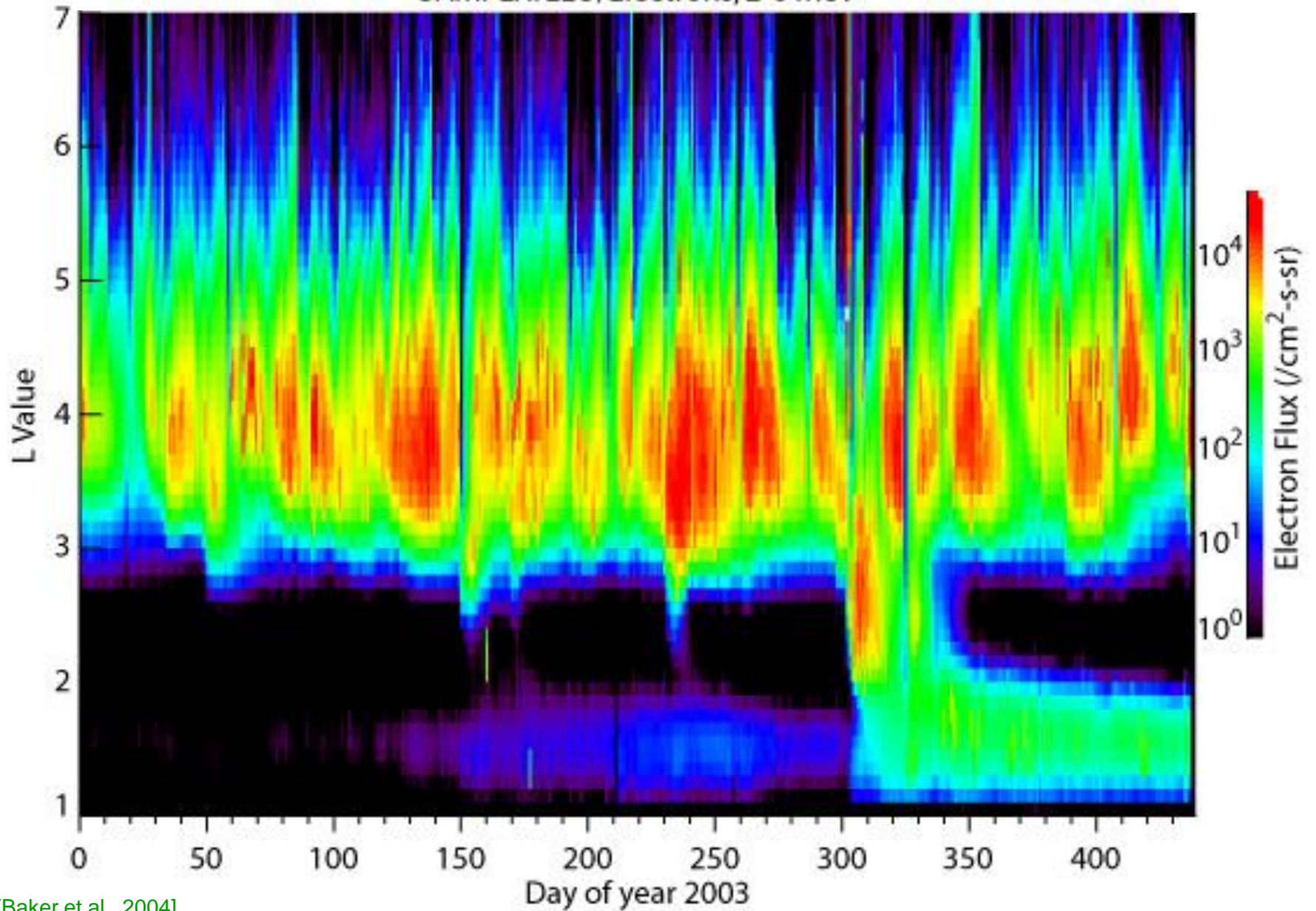
SAMPEX: electrons: 2.0 - 6.0 MeV



Strong electron acceleration in the approach to sunspot minimum

White arrows indicate 27-day recurrent events:  
High-speed solar wind streams

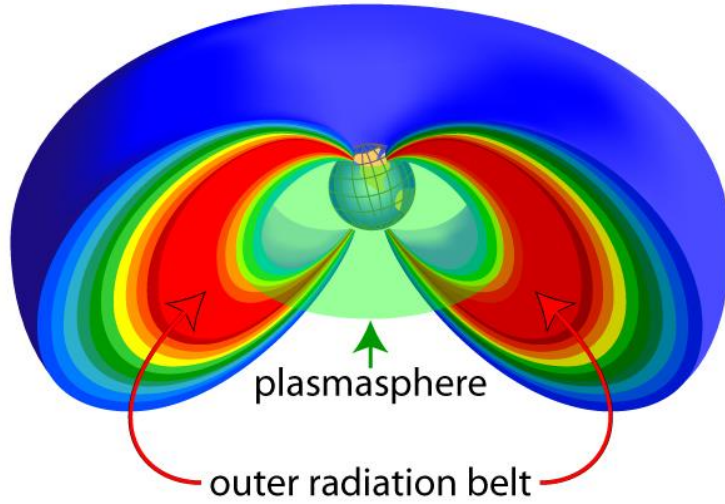
# SAMPEX: ELO/Electrons, 2-6 MeV



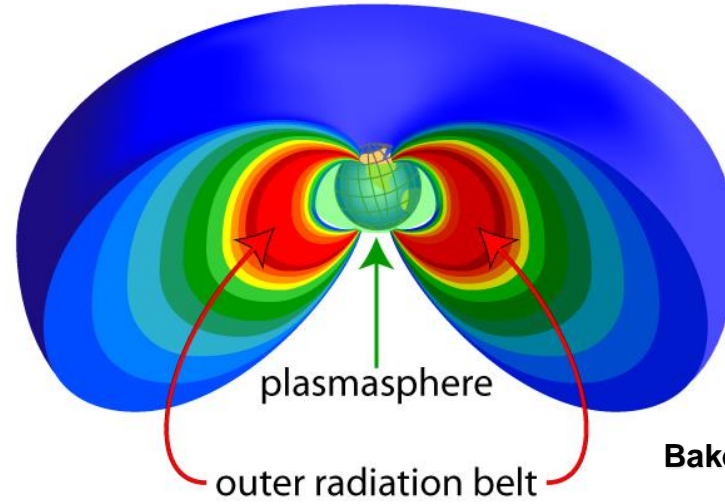
[Baker et al., 2004]

“Halloween” Storms

a. Normal plasmasphere/radiation belt location under typical conditions



b. Distorted plasmasphere/radiation belt during October/November 2003 storm



SAMPEX  
Particle  
Mapping

Baker et al., [Nature, 2004]

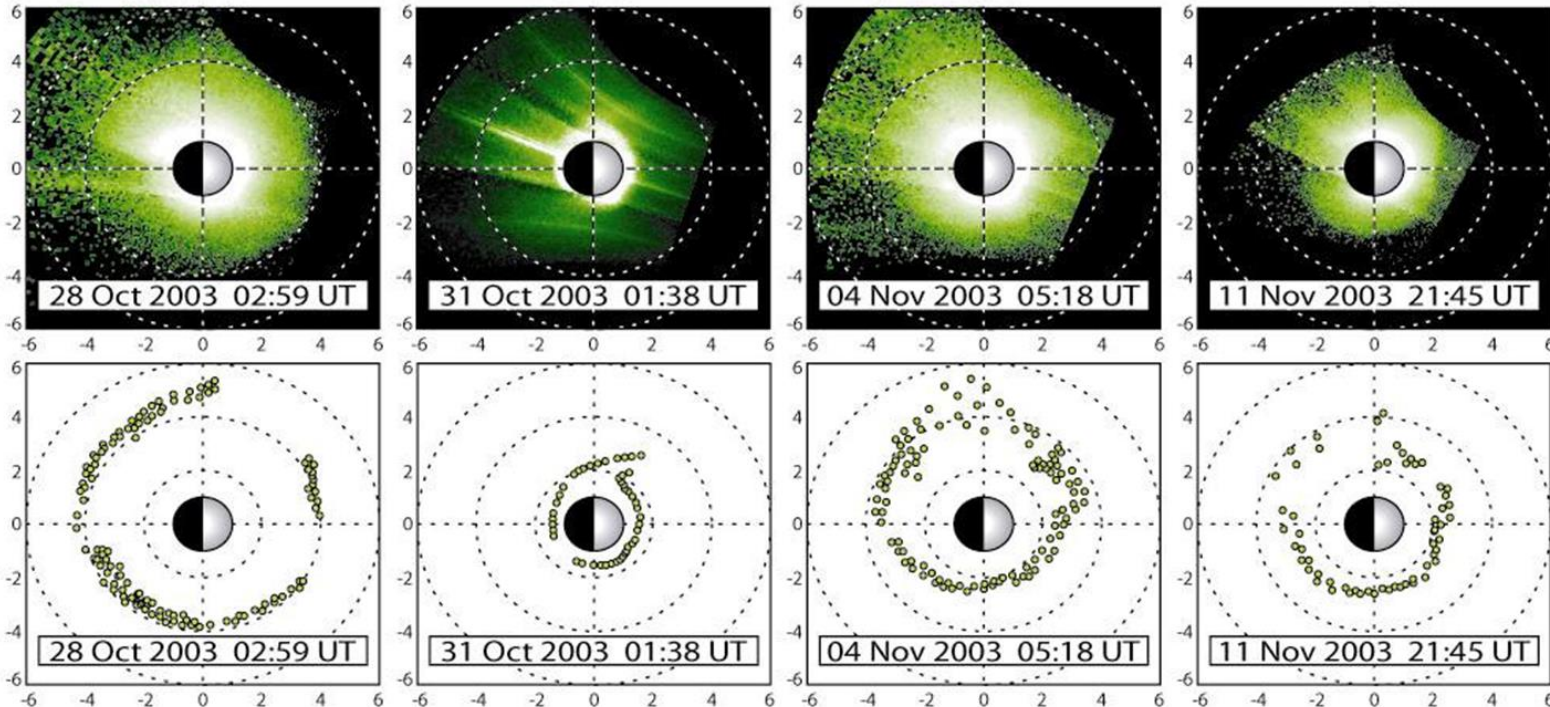
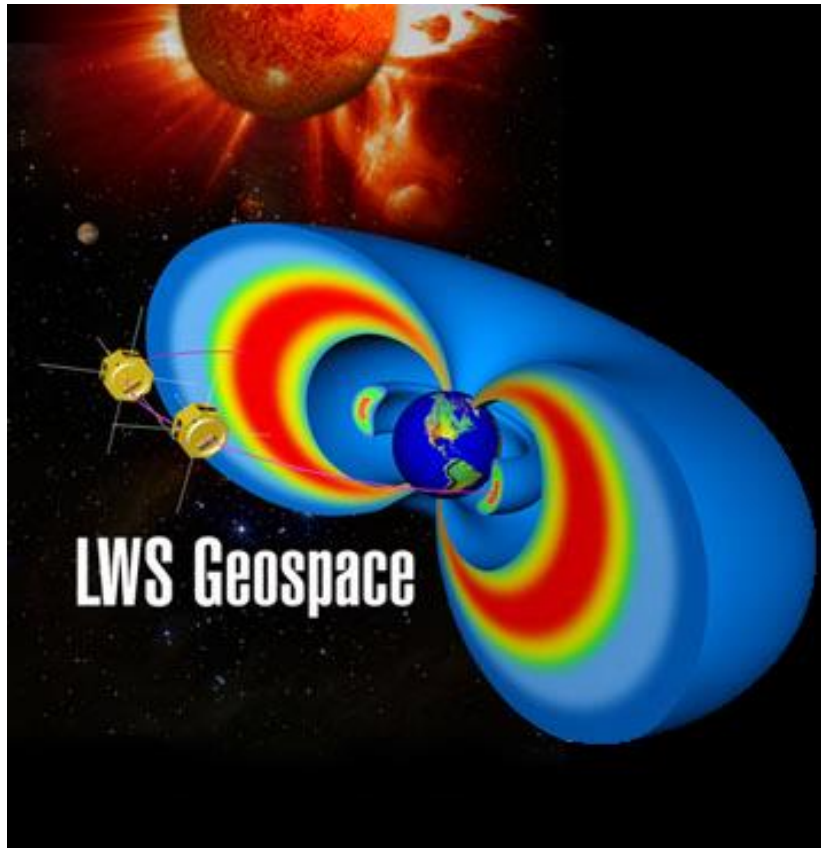


IMAGE  
EUV  
Data



# RBSP Science Definition Report



*Radiation Belt Storm Probes (RBSP)  
constellation*

## Mission Objectives:

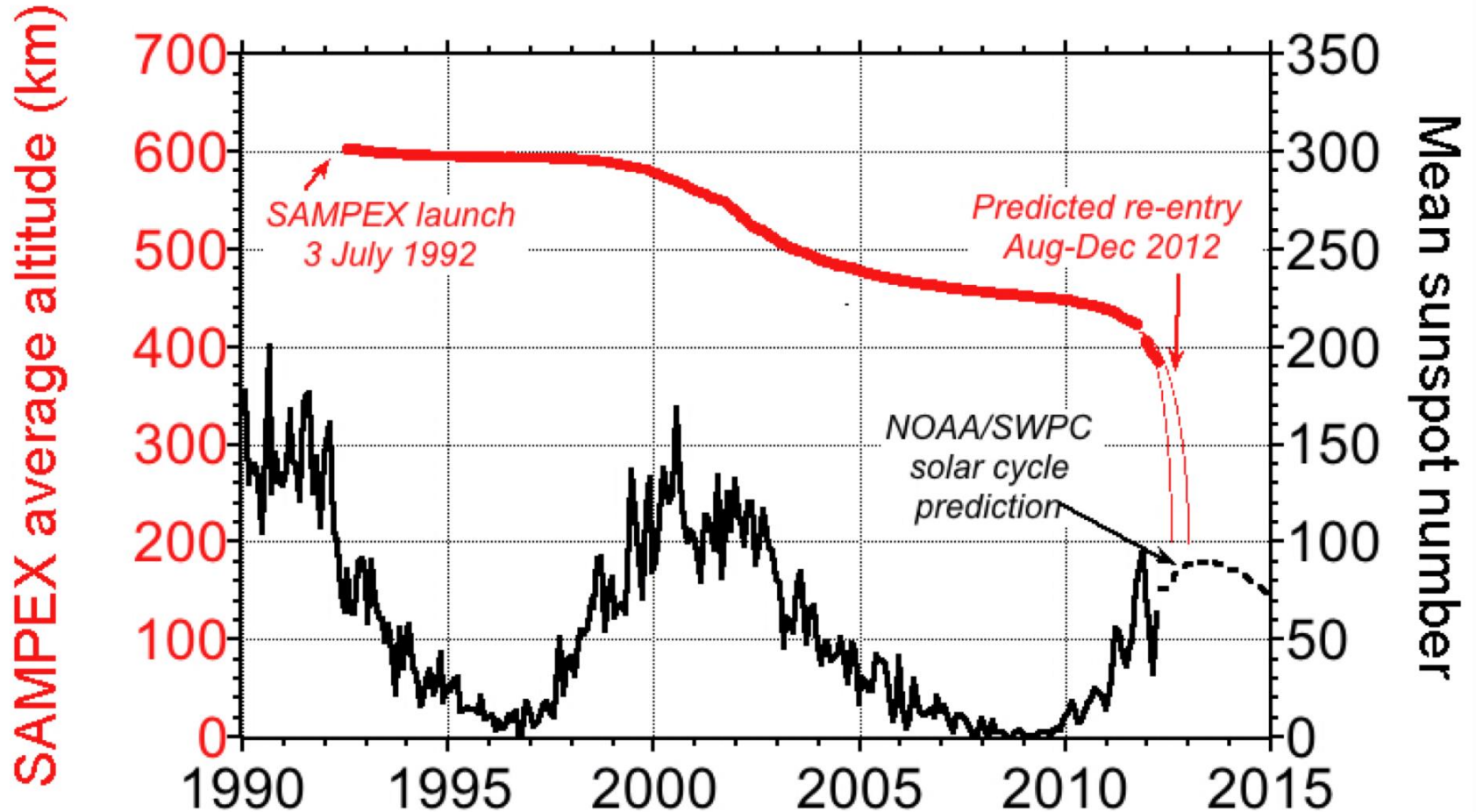
1. Differentiate among competing processes affecting the acceleration and loss of radiation belt electrons;
2. Understand the creation and decay of new radiation belts;
3. Quantify the relative contribution of adiabatic and nonadiabatic processes;
4. Understand the role of “seed” or source populations; and
5. Develop and validate specification models of the radiation belts.

**RBSP addresses the scientific and programmatic goals of the NASA Living With a Star program.**

# RBSP Launch—30 August 2012

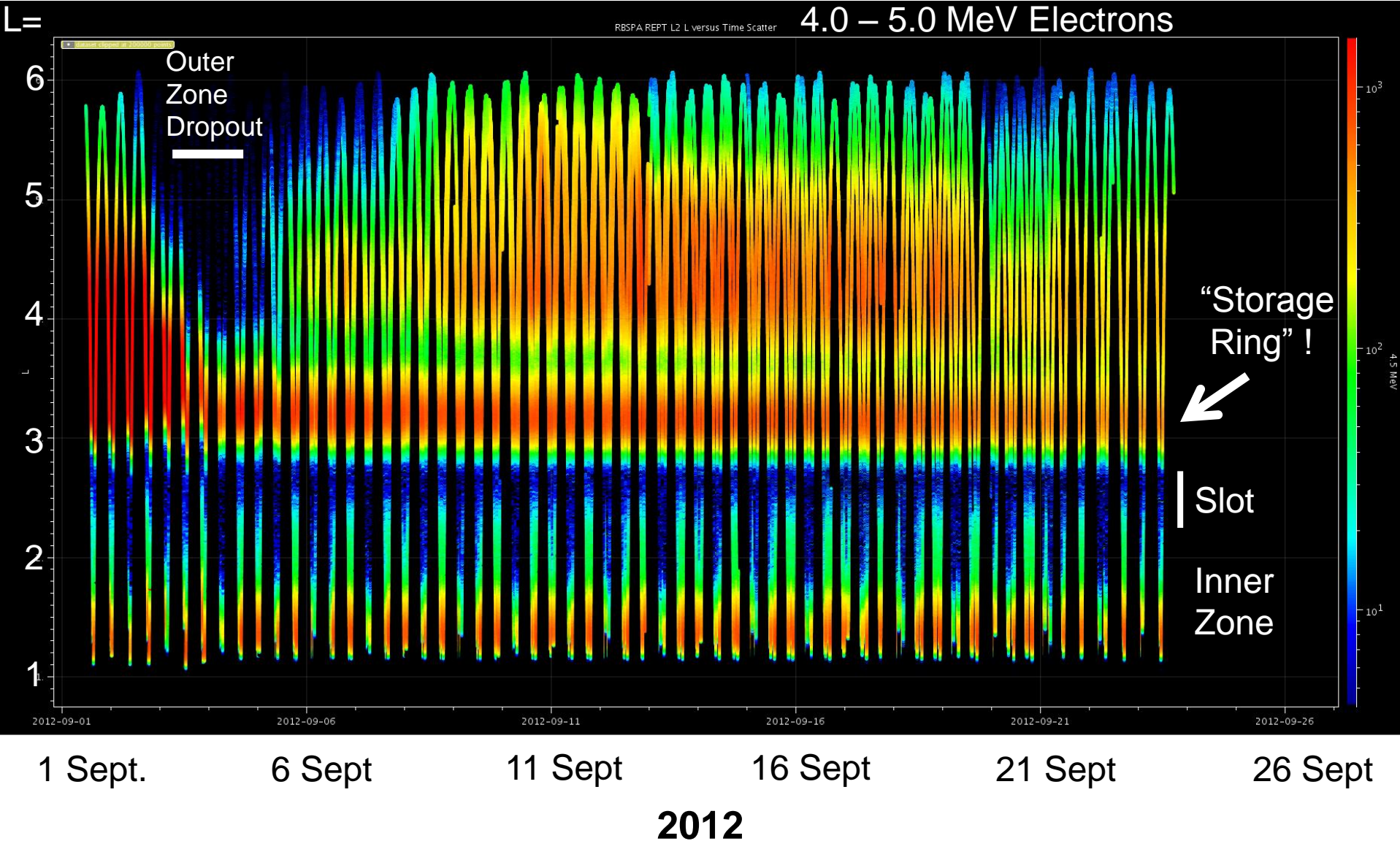


# Demise of SAMPEX



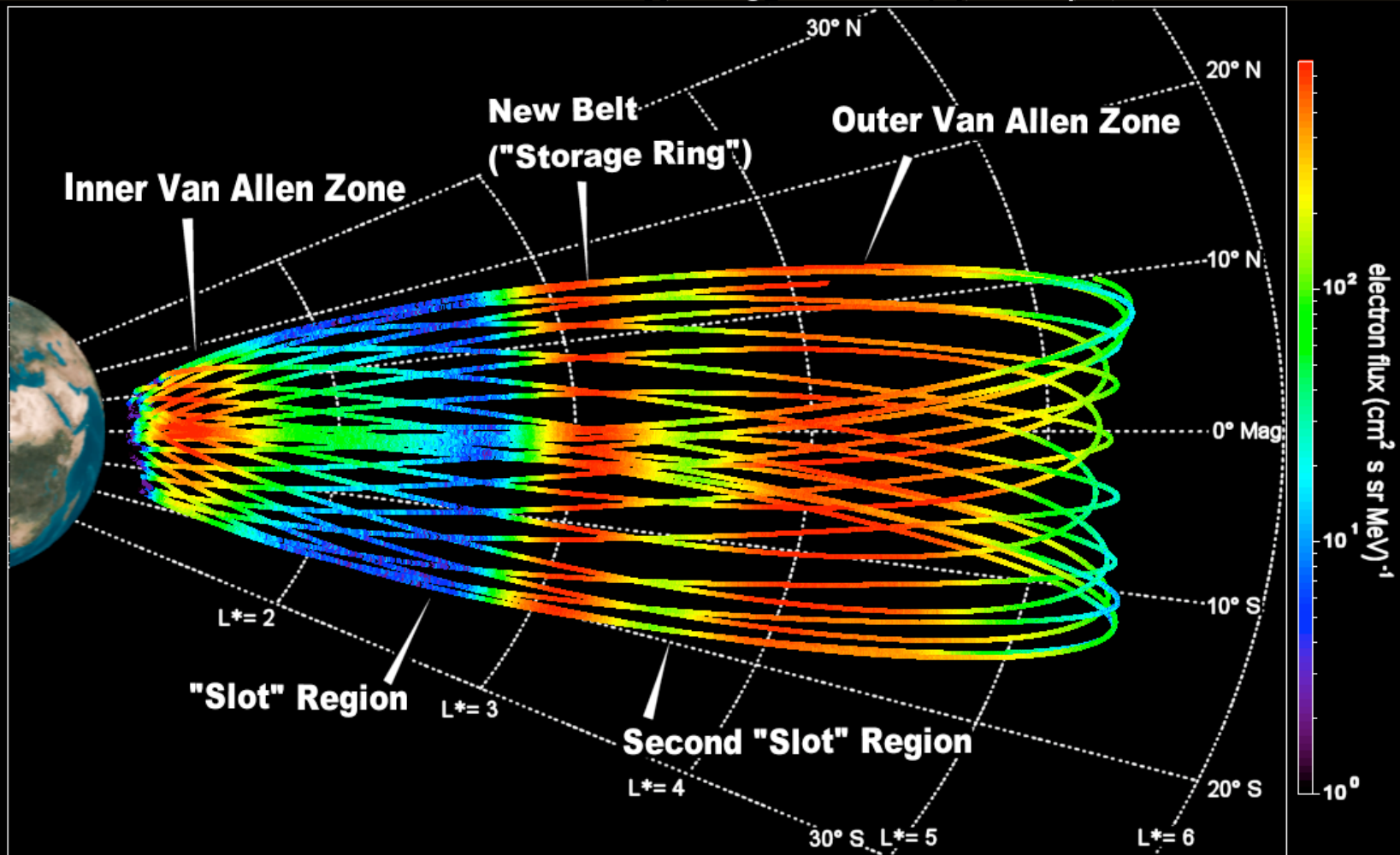
Re-entry on 13 November 2012

# Radiation Belt Storm Probes—REPT A & REPT B



# REPT Observations of "Storage Ring"

REPT A&B 3.2-4.0 MeV Electrons L\* vs Magnetic Latitude Sept 11 - 14, 2012



# Unexpected Radiation Belt Results

# Science

The illustration shows the Earth at the center, surrounded by three distinct radiation belts. The innermost belt is a bright green torus. The middle belt is a yellowish-green torus. The outermost belt is a large, diffuse blue torus. Two satellites are shown in orbit around the Earth, with red lines representing their paths. The background is a dark space filled with stars.

*Science Express* Online  
28 February 2013

*Science* Issue  
12 April 2013

Baker et al., 2013

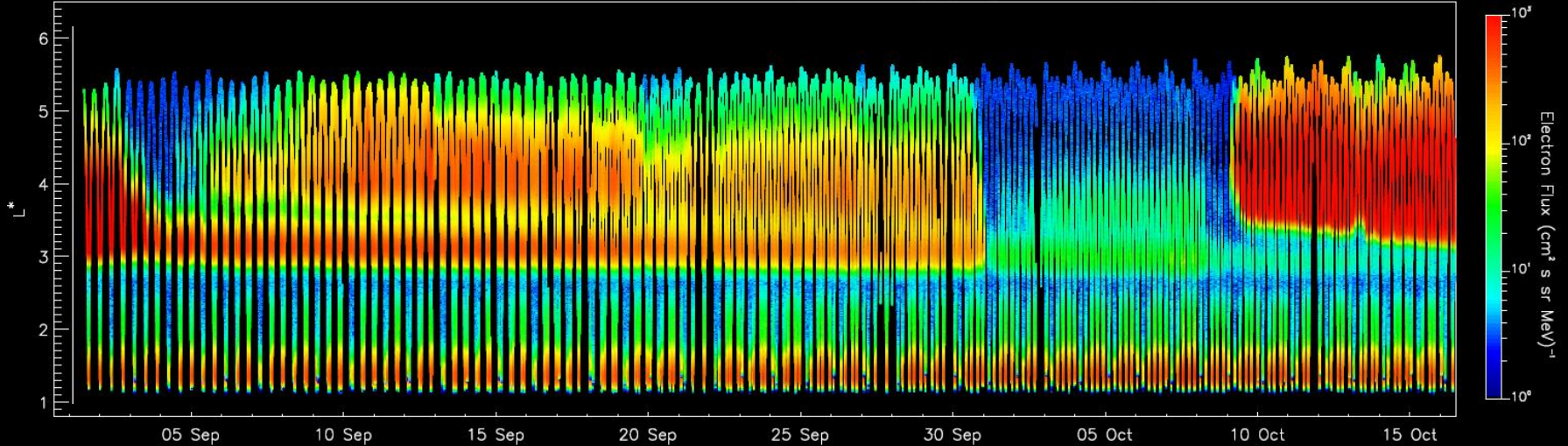
**The Third** Van Allen  
Radiation Belt

[Courtesy Andy Kale]

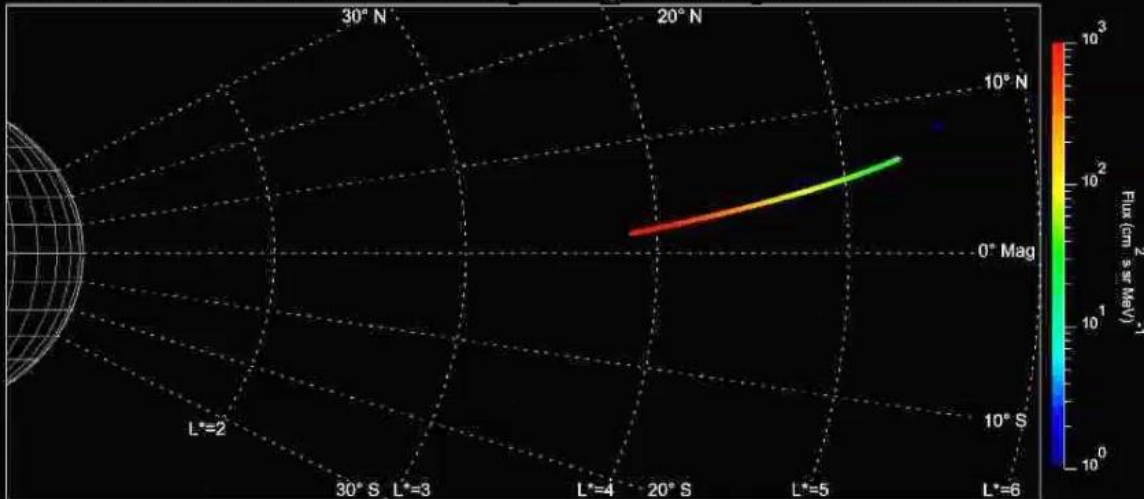
 AAAS

# Radiation Belt Evolution

RBSP ECT-REPT A & B 4.5 MeV electron fluxes,  $L^*$  vs Time, 8/31/2012 – 10/16/2012



RBSP ECT-REPT A & B 4.5 MeV electron fluxes,  $L^*$  vs Magnetic Latitude, 8/29/2012 - 9/1/2012



REPT L-shell sorted electron flux:

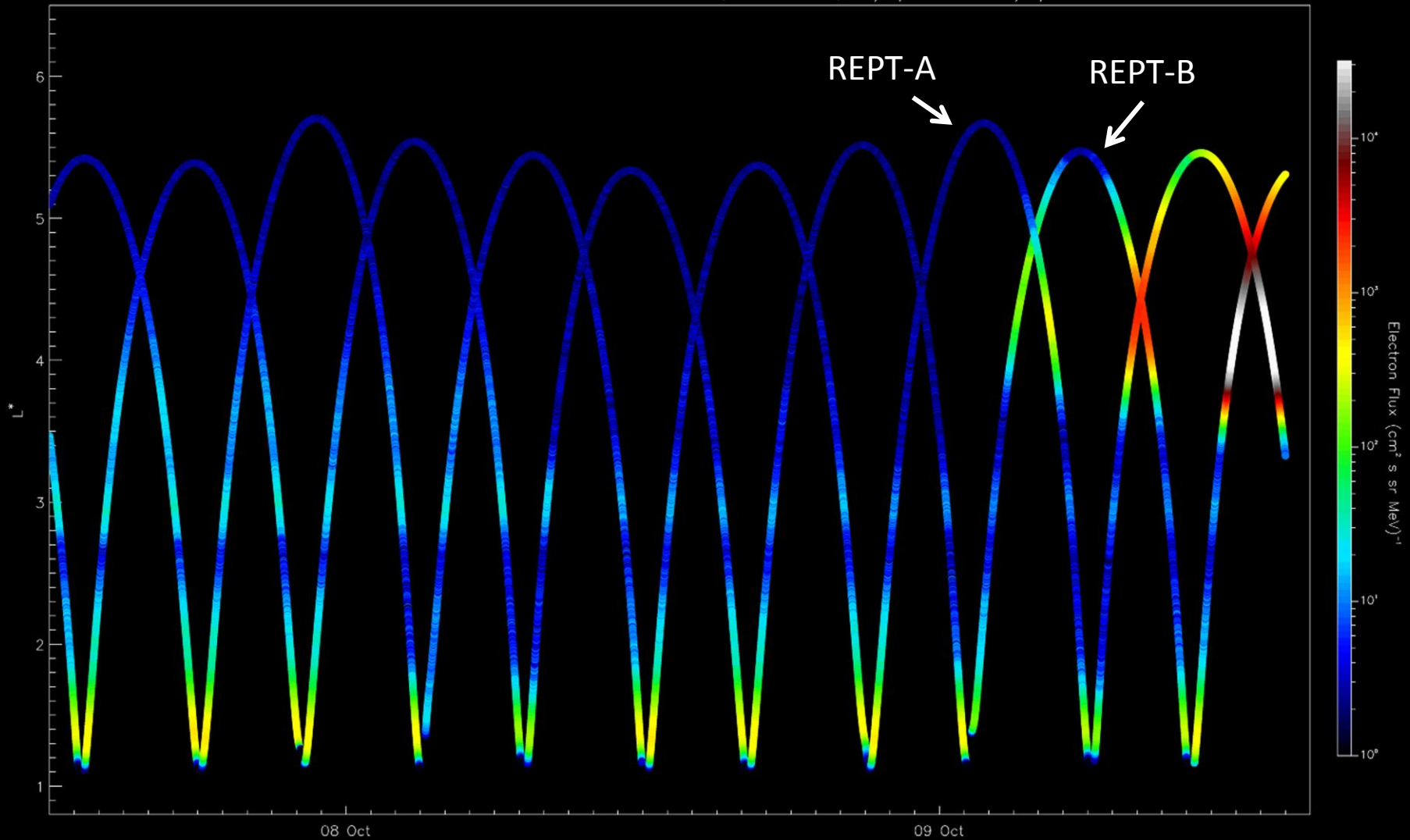
-Linear time plot (above)

-Meridional magnetic latitude plot (left), 3 days at a time.

Baker et al., Science, 2013

# October 2012: Anatomy of a Storm Onset

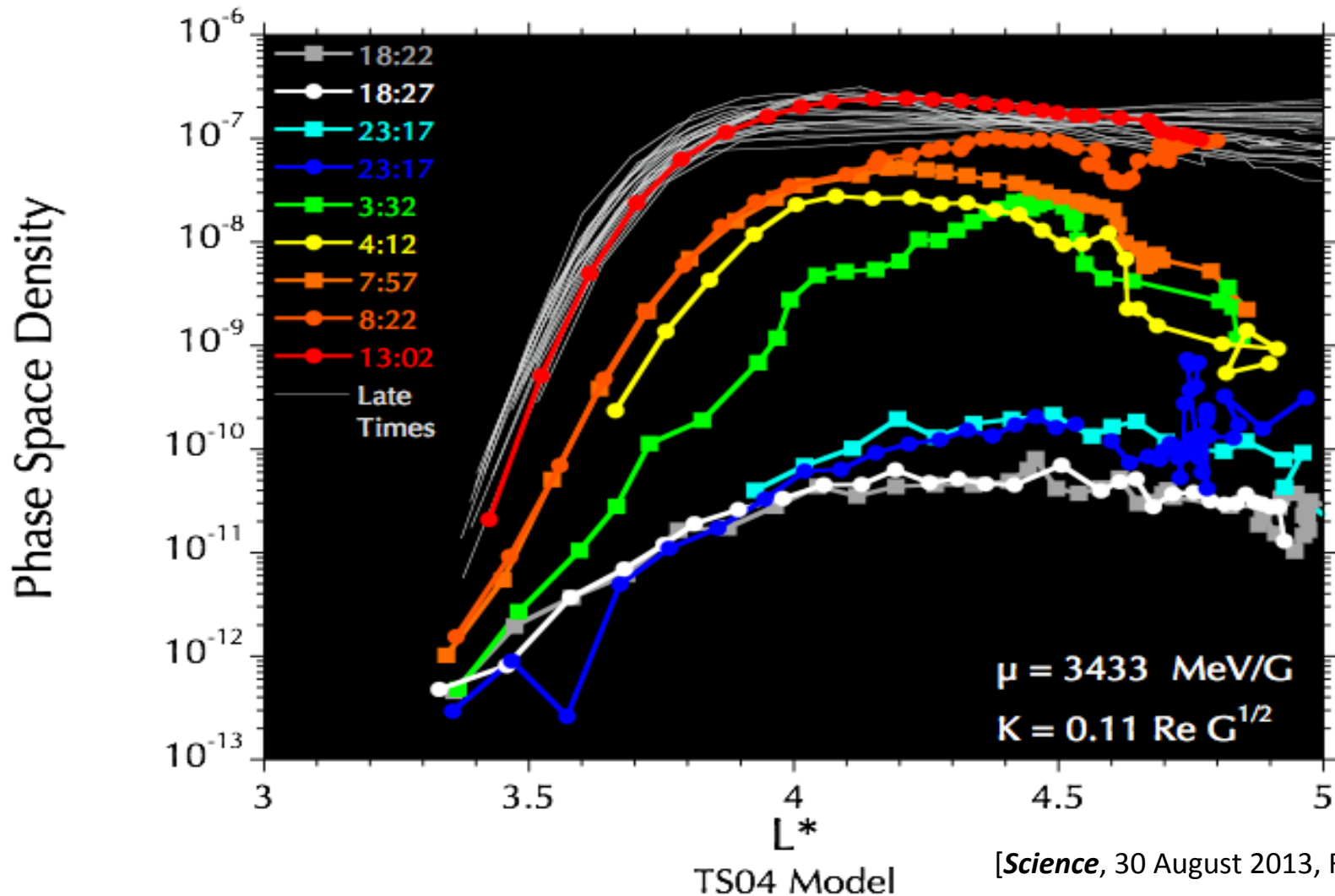
RBSP ECT-REPT A & B 4.5 MeV electron fluxes,  $L^*$  vs Time, 10/7/2012 - 10/9/2012



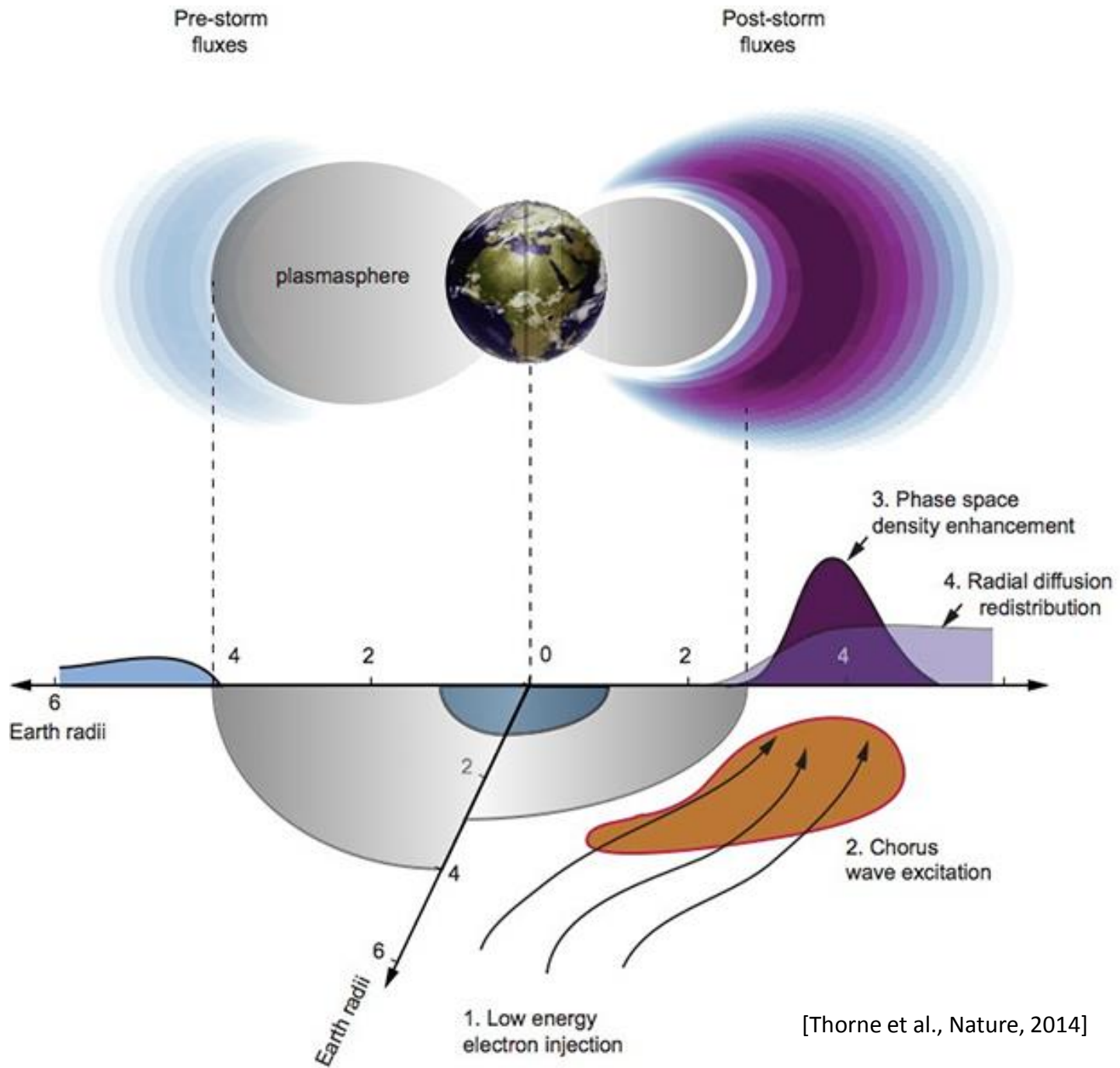


# REPT-based Phase Space Density (PSD)

8-9 October 2012



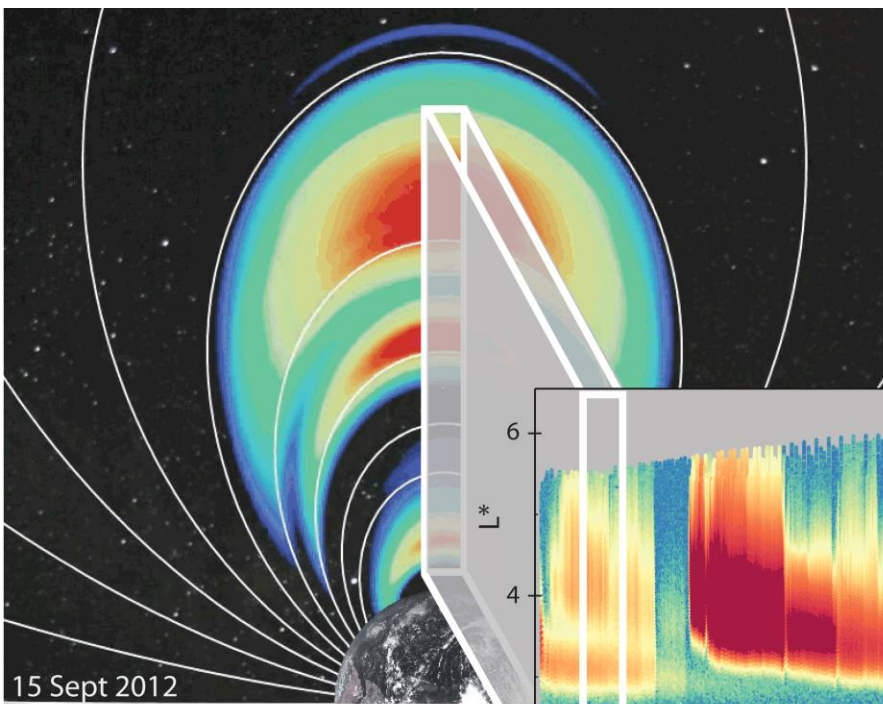
[*Science*, 30 August 2013, Reeves et al.]



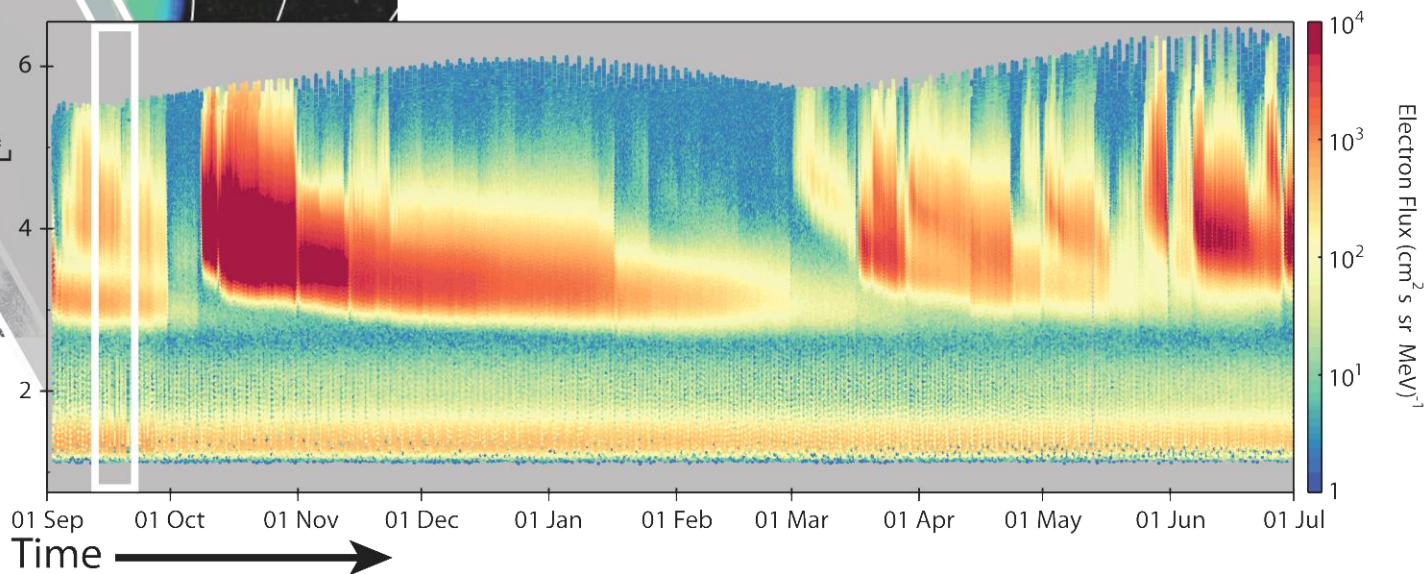
[Thorne et al., Nature, 2014]

# Ultra-Relativistic Electron Observations:

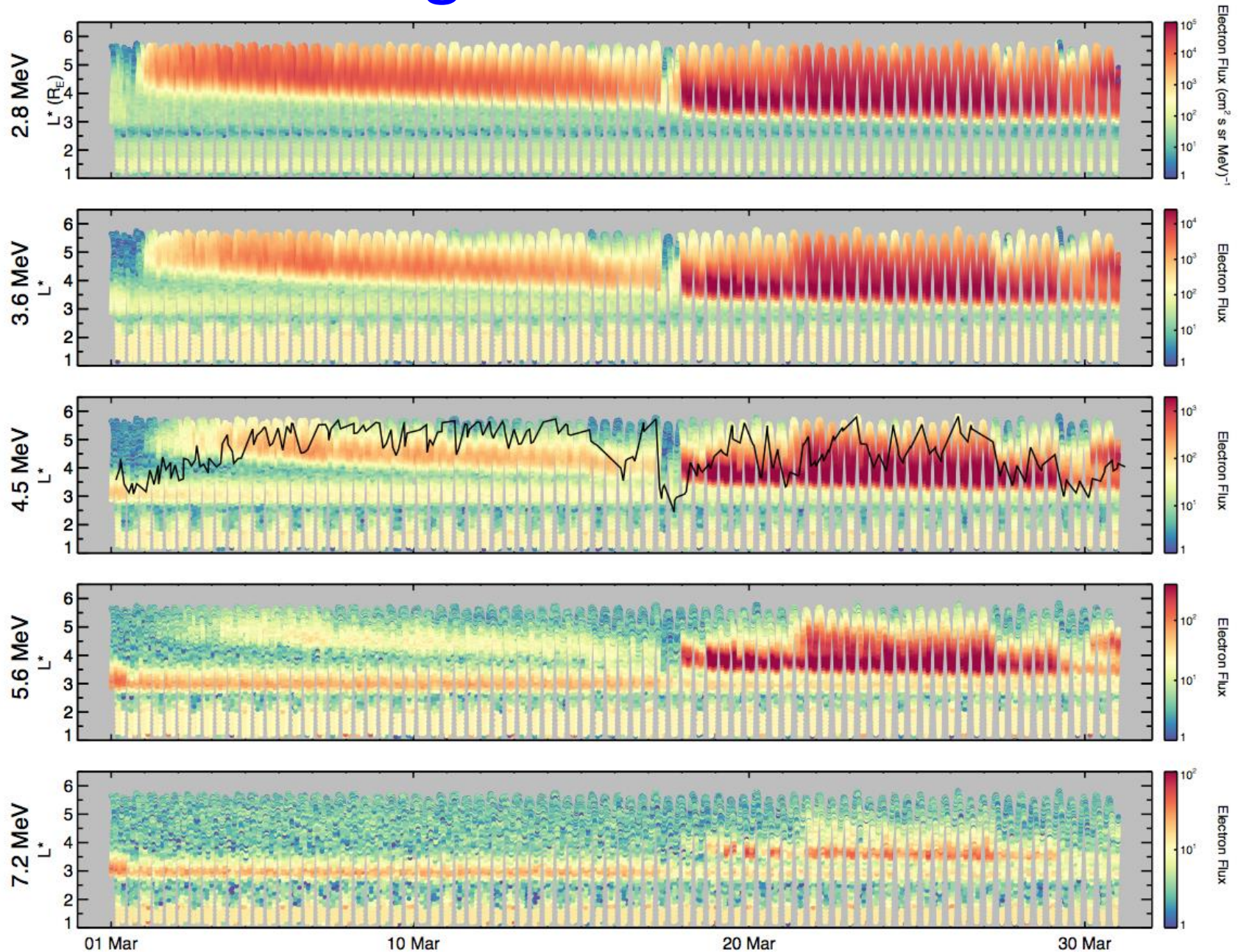
## Acceleration, Remanence, and Sudden Loss



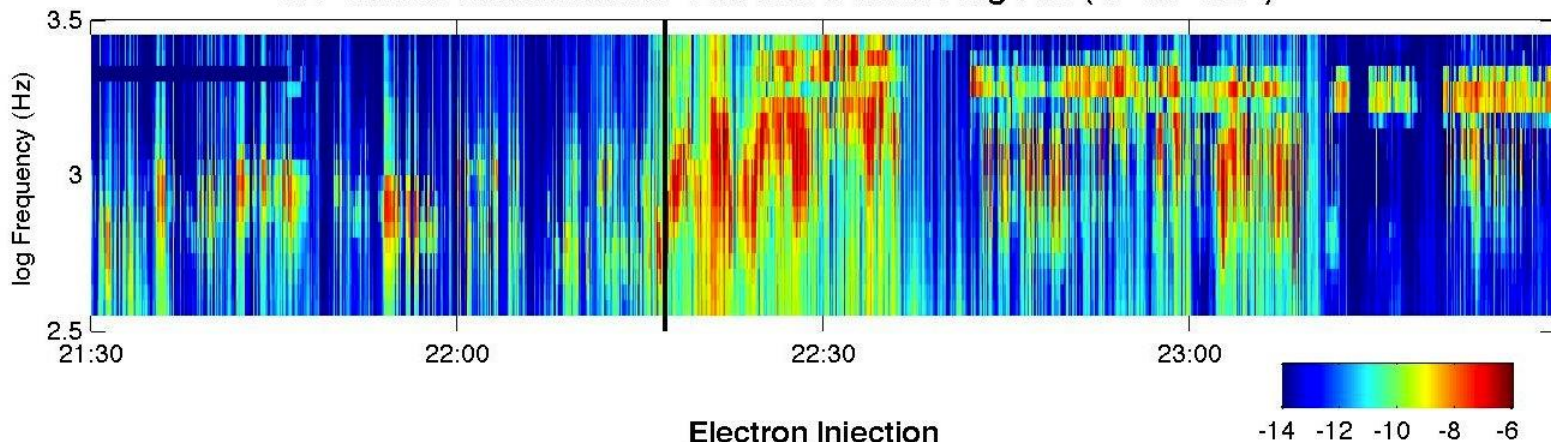
4.5 MeV electron fluxes



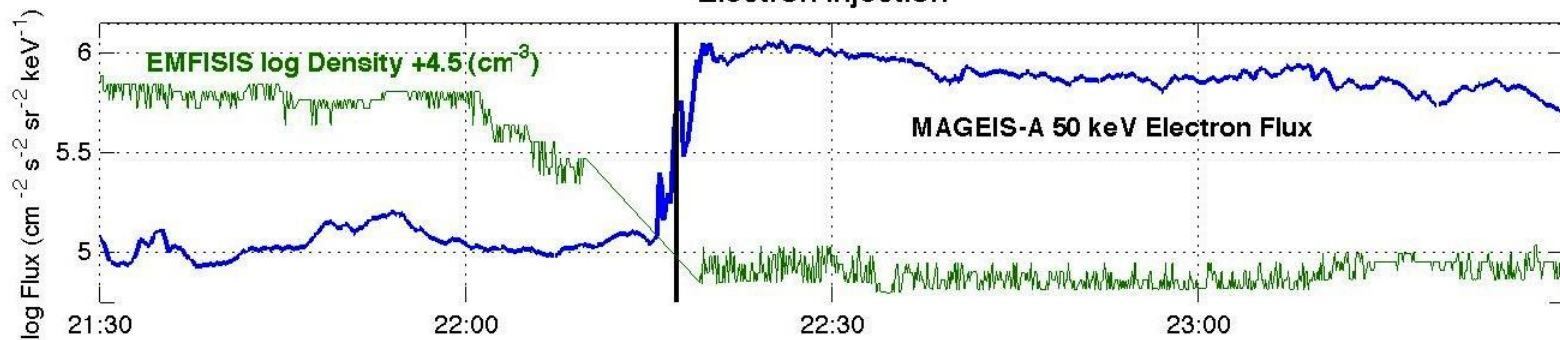
# Fascinating Period: March 2013



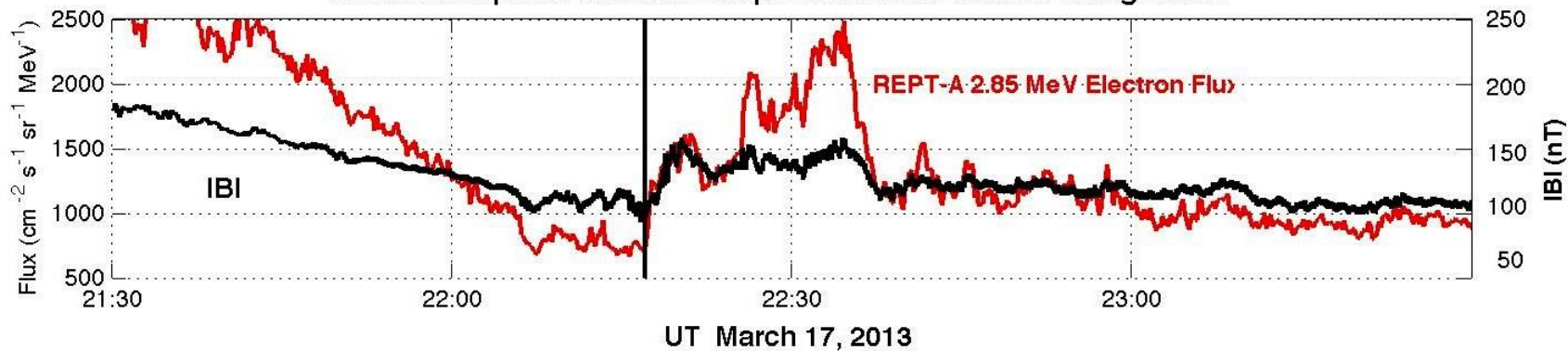
### VLF Chorus Enhancement: EMFISIS-A total E log PSD ( $V^2 m^{-2} Hz^{-1}$ )



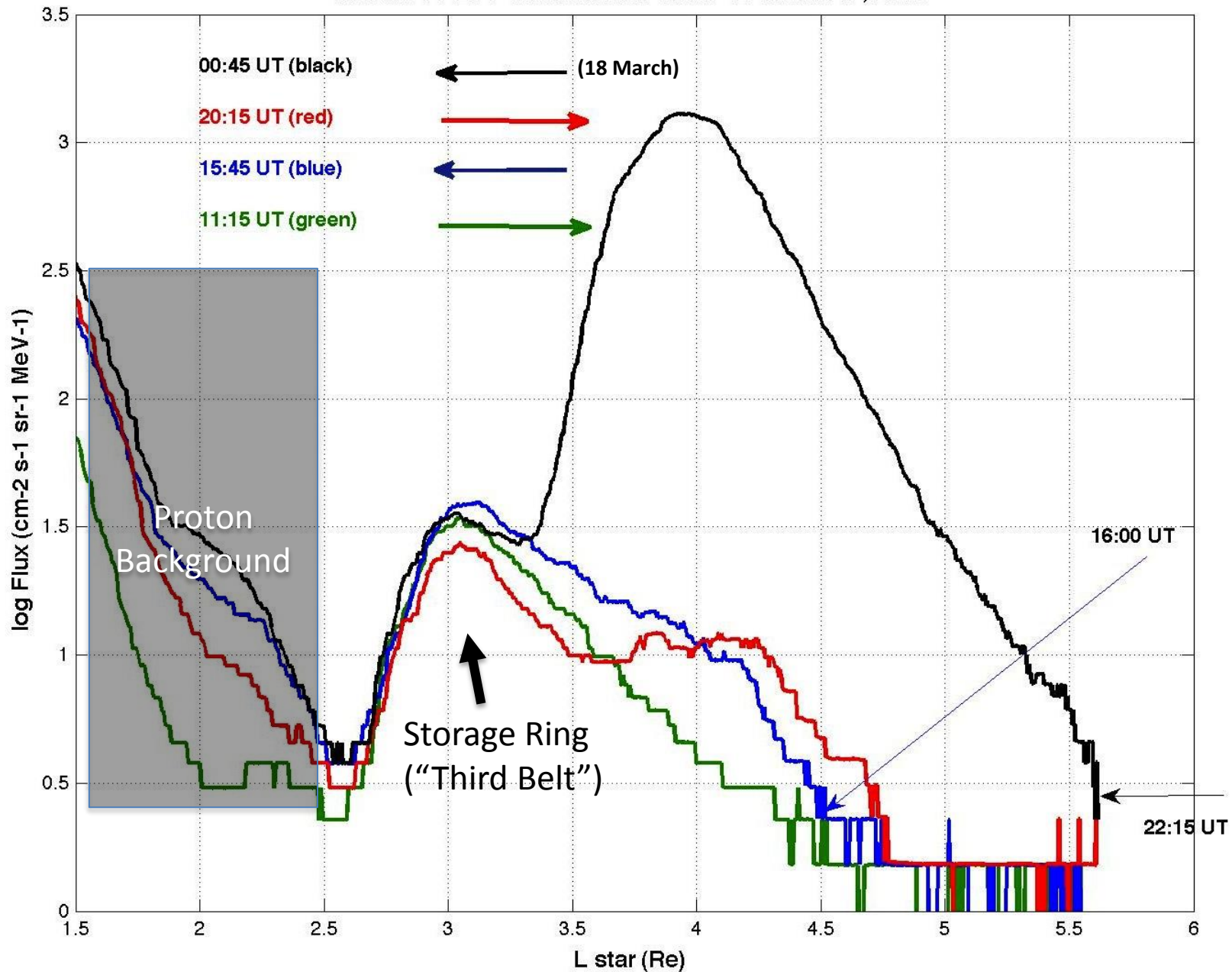
### Electron Injection



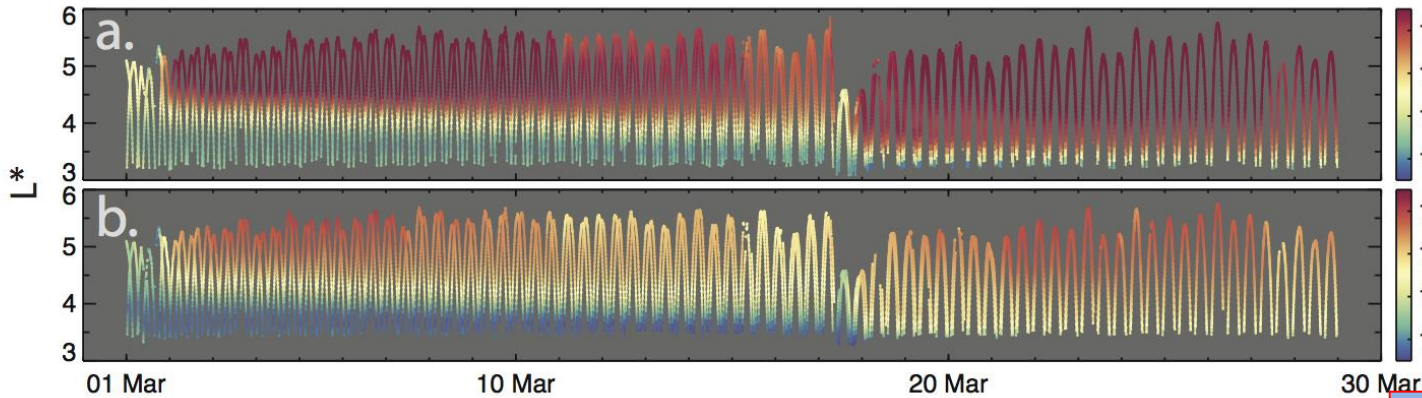
### Substorm Dipolarization & Prompt Relativistic Electron Energization



4.50 MeV REPT electron Flux RBSP-B March 17, 2013

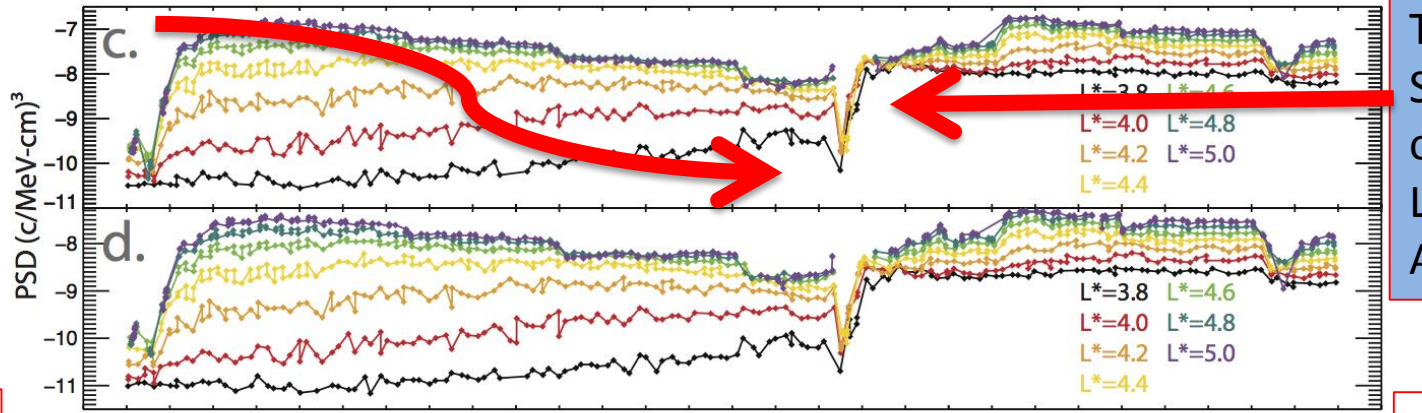


$\mu=2879$   
MeV/G



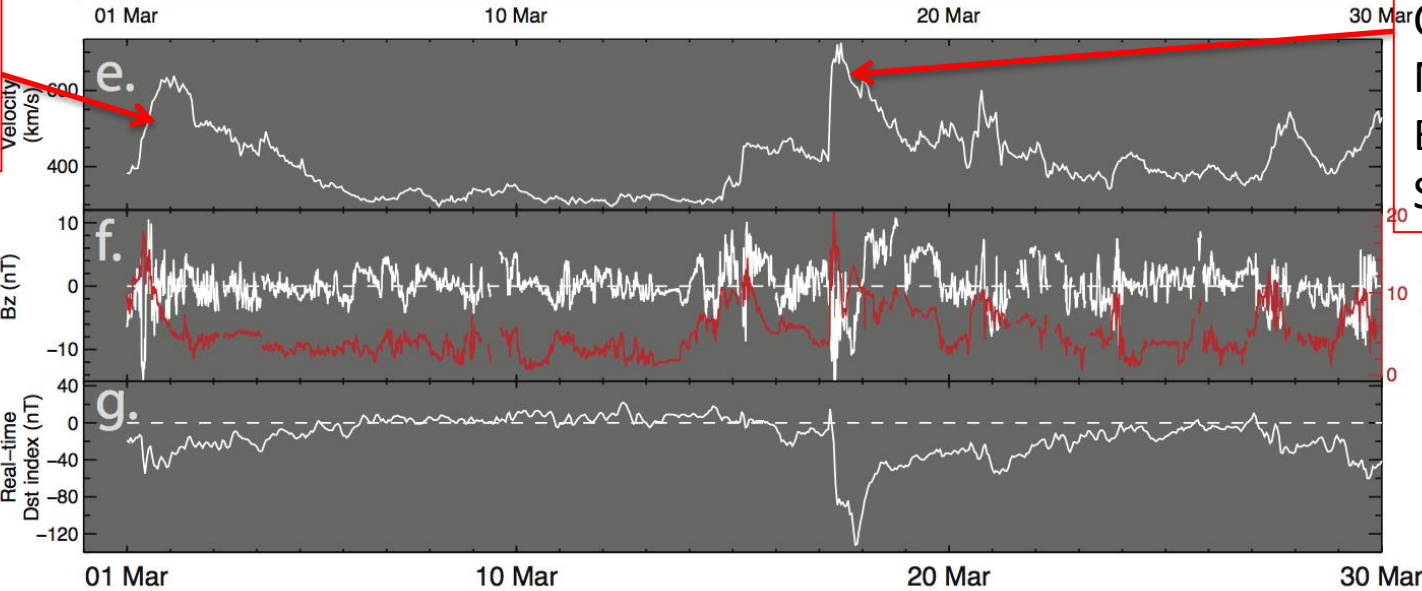
The  
Signature  
of  
Radial  
Diffusion

$\mu=3433$   
MeV/G



The  
Signature  
of  
Local  
Acceler.

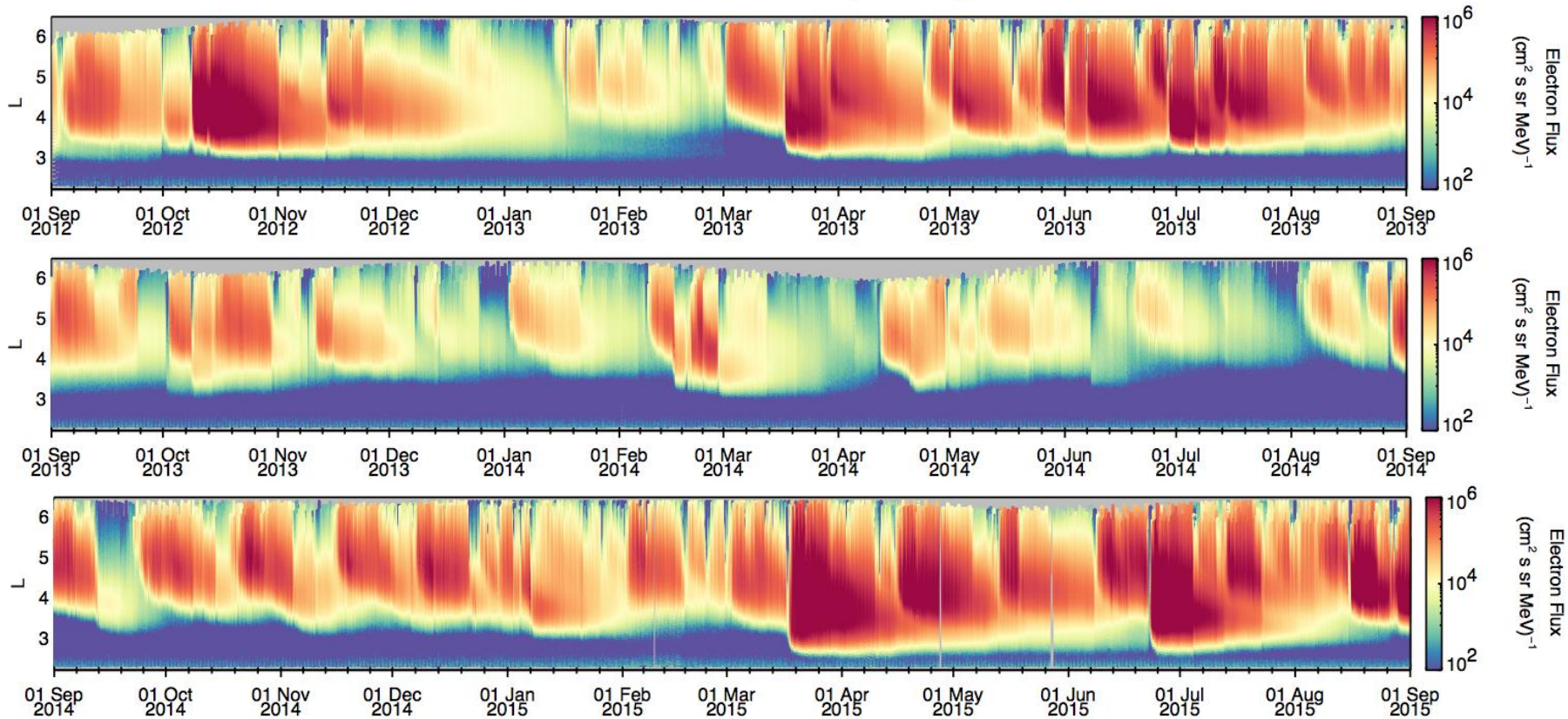
High-speed  
Solar  
Wind  
Stream



Coronal  
Mass  
Ejection/  
Shock

# Van Allen Probes – 3 Years

REPT A & B 1.8 MeV Spin-averaged

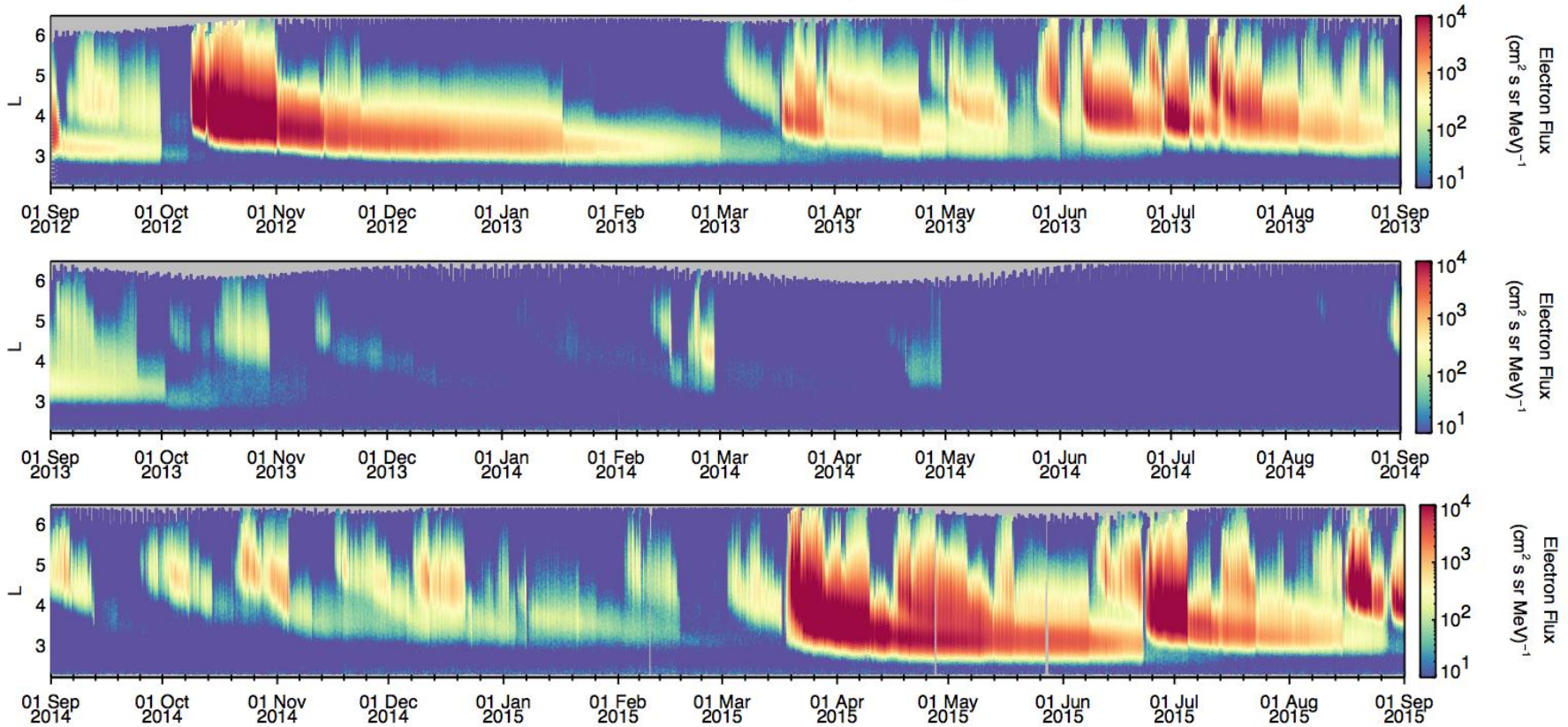




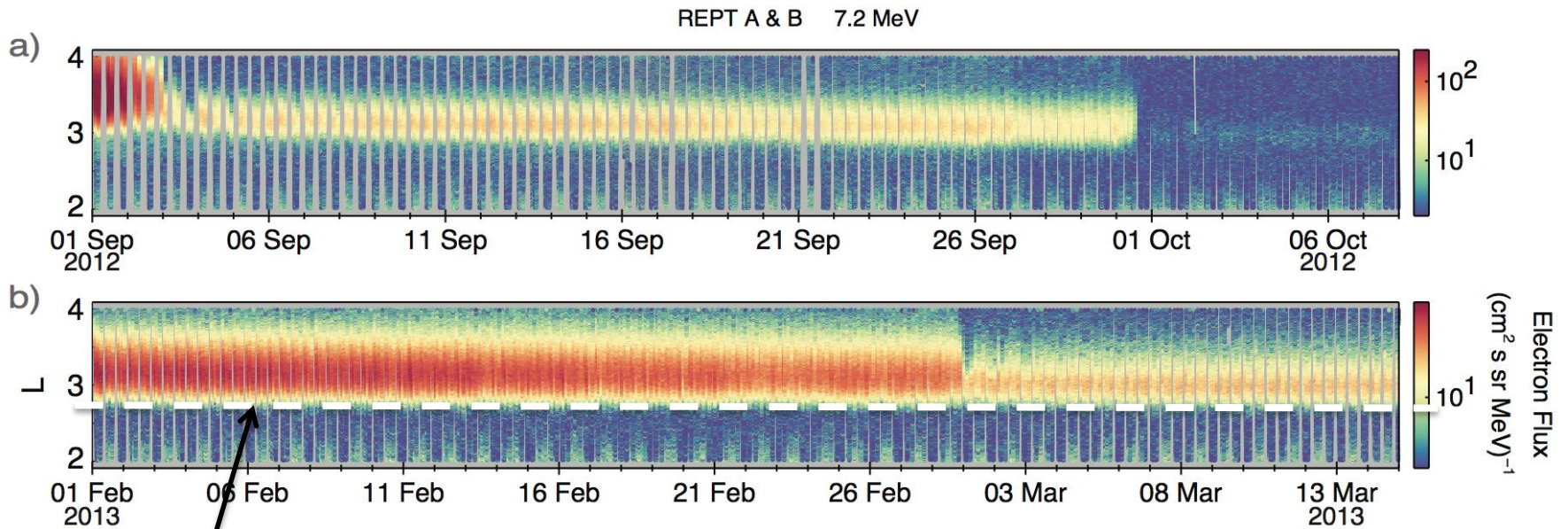
# Van Allen Probes – 3 Years



REPT A & B 4.2 MeV Spin-averaged



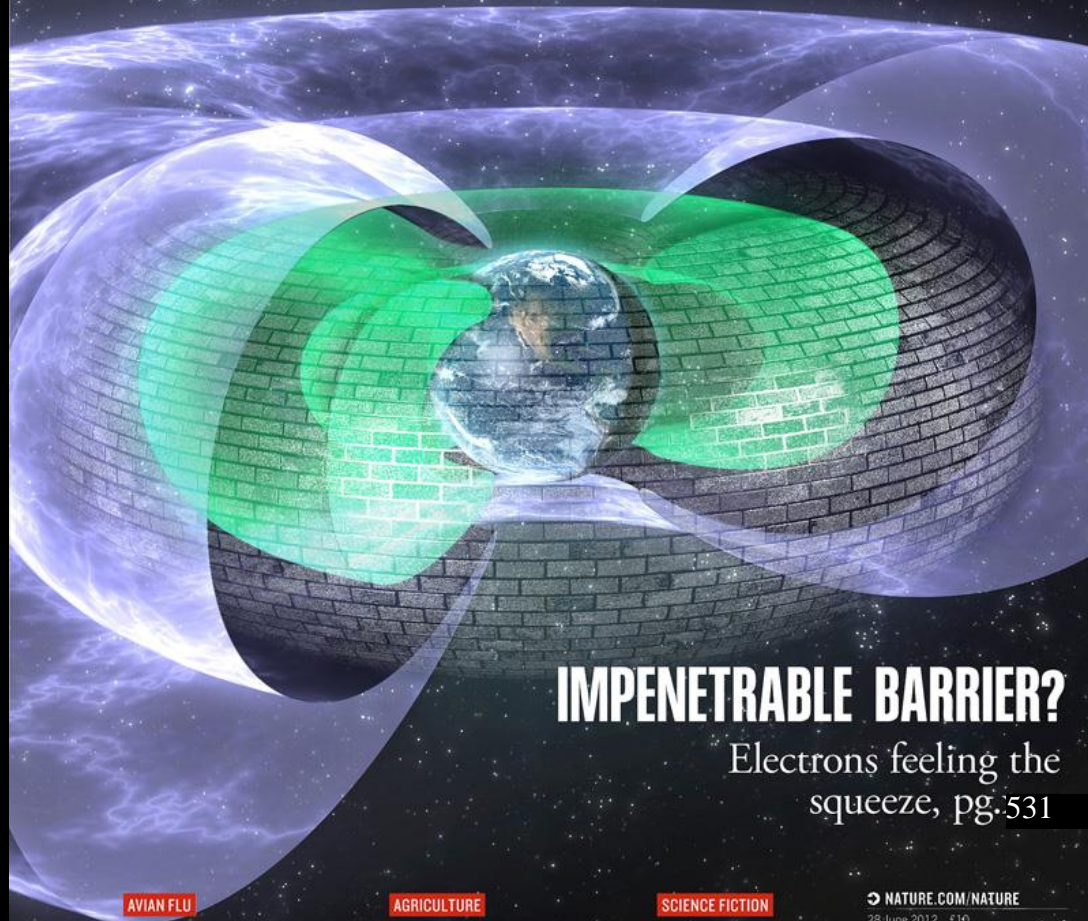
# An Impenetrable Barrier?



Ultrarelativistic electrons seem never to penetrate inward of  $L \sim 2.8$

# nature

THE INTERNATIONAL WEEKLY JOURNAL OF SCIENCE



## IMPENETRABLE BARRIER?

Electrons feeling the squeeze, pg. 531

AVIAN FLU

### H5N1 — FIVE BIG QUESTIONS

What it will take to size up the threat

PAGE 456

AGRICULTURE

### PIGS WEANED OFF DRUGS

Danish farmers cut dependence on antibiotics

PAGE 465

SCIENCE FICTION

### ARCHITECT OF THE FUTURE

David Brin celebrates Ray Bradbury's vision

PAGE 471

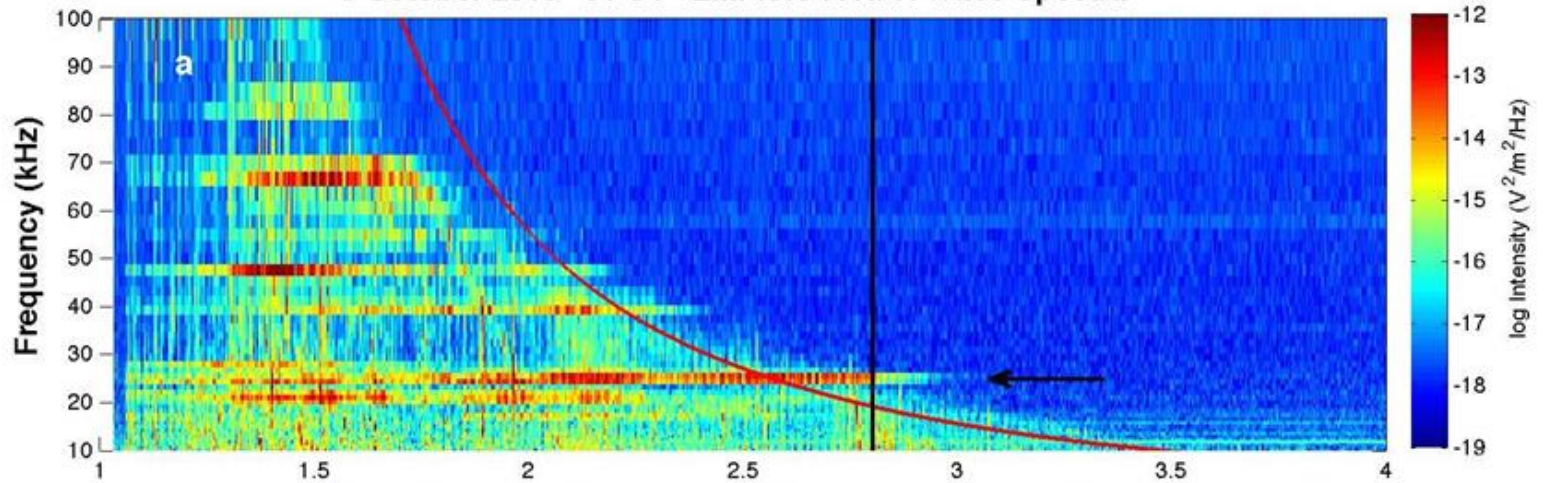
NATURE.COM/NATURE

28 June 2012 £10

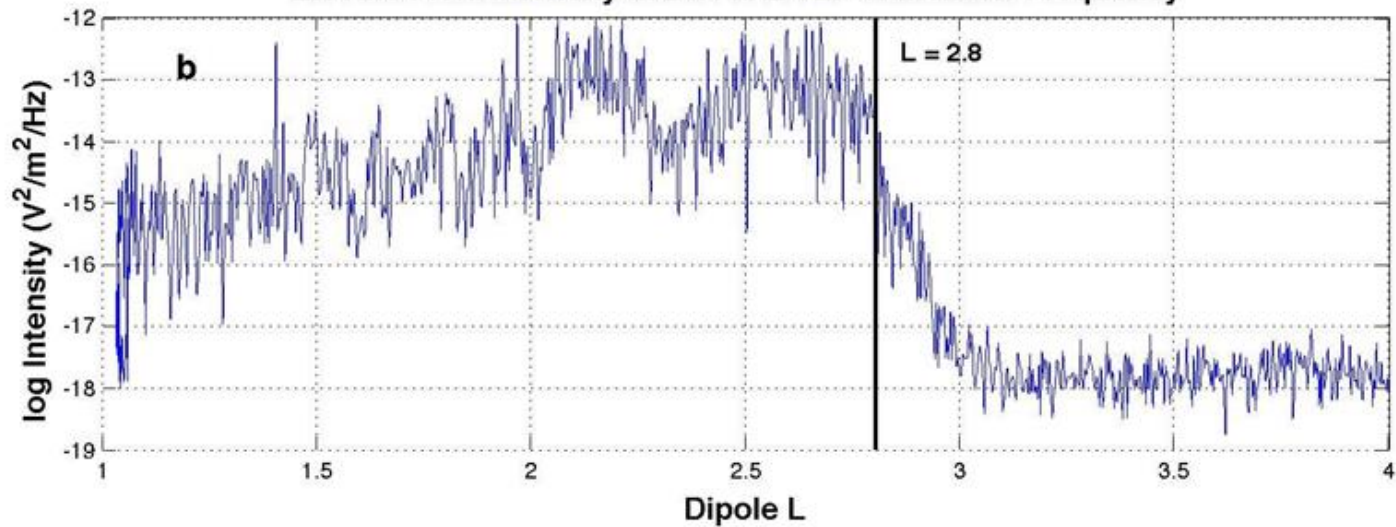
Vol. 486, No. 7404

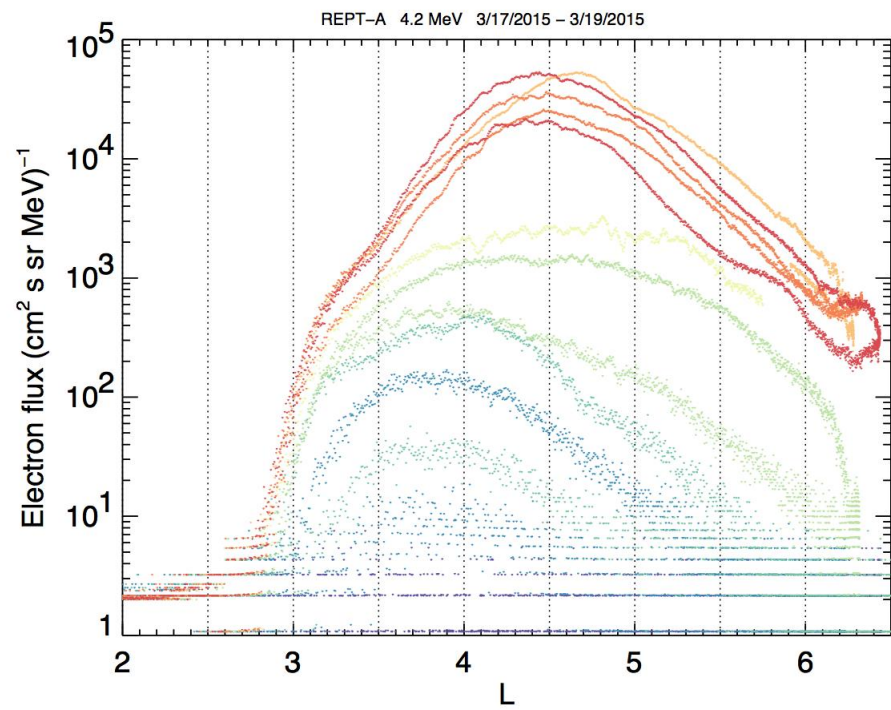
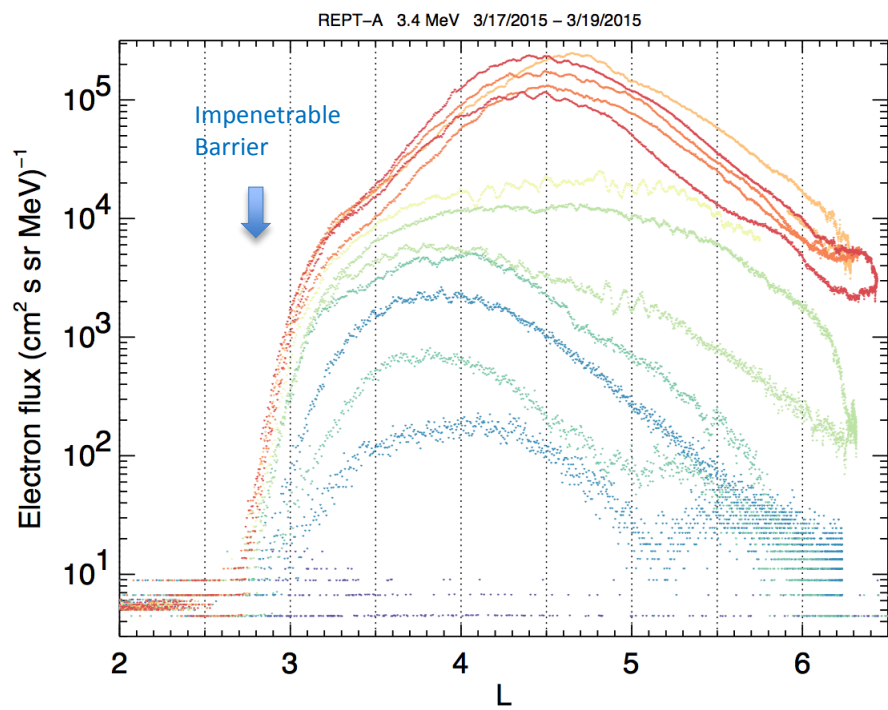
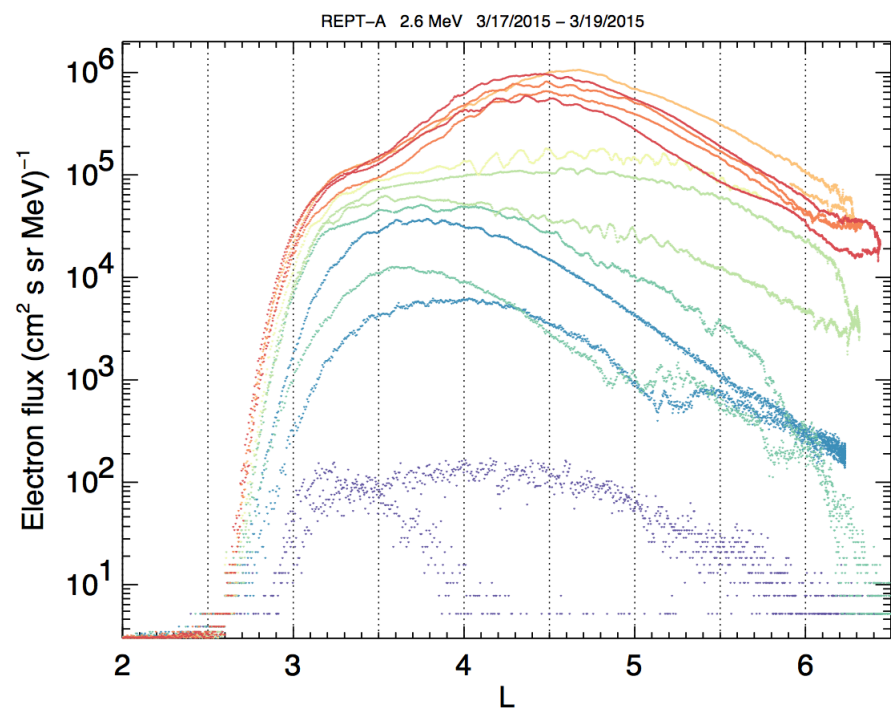
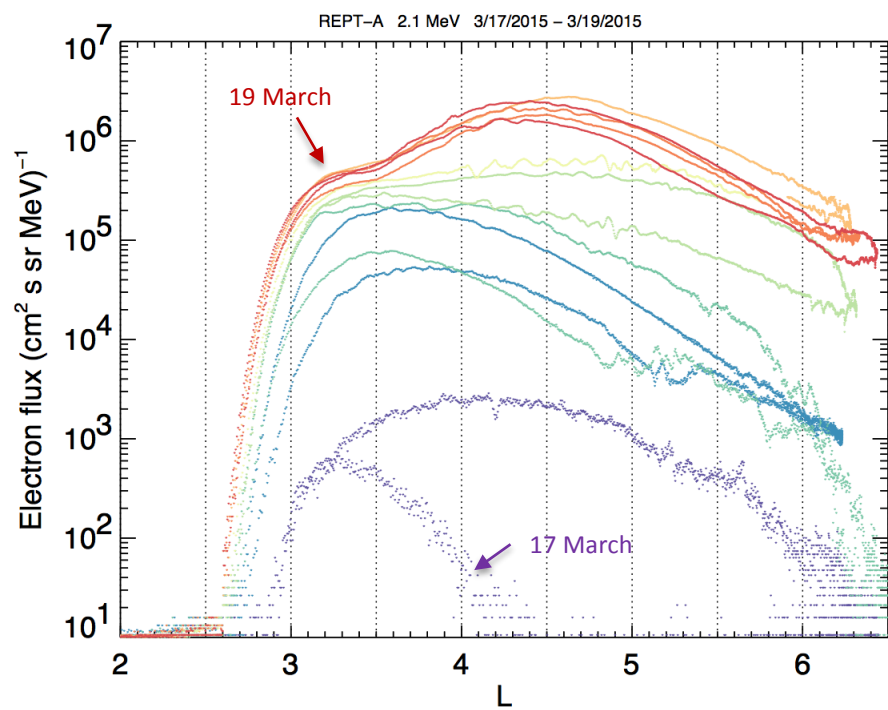


8 October 2013 01 UT EMFISIS-A HFR Wave Spectra

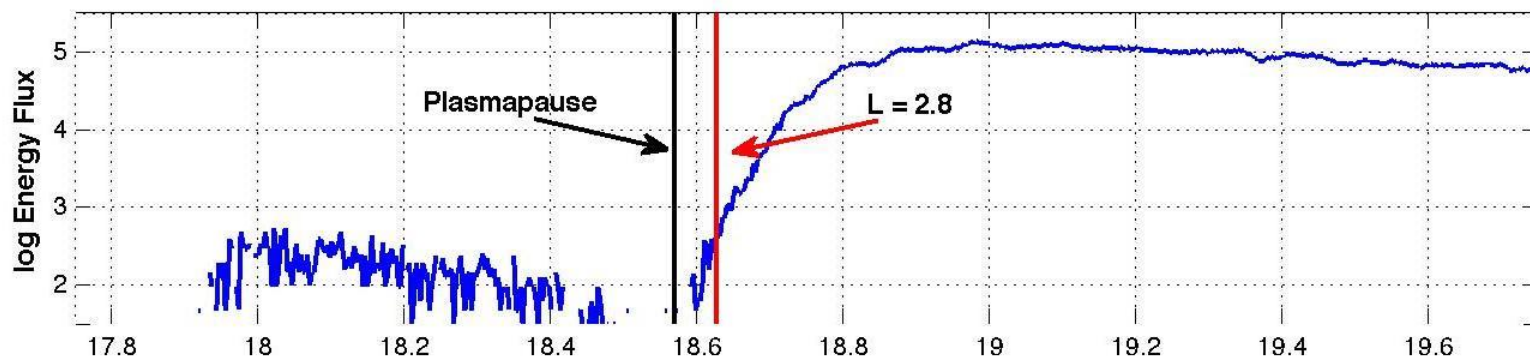


Electric Field Intensity at 24.4 kHz VLF Transmitter Frequency

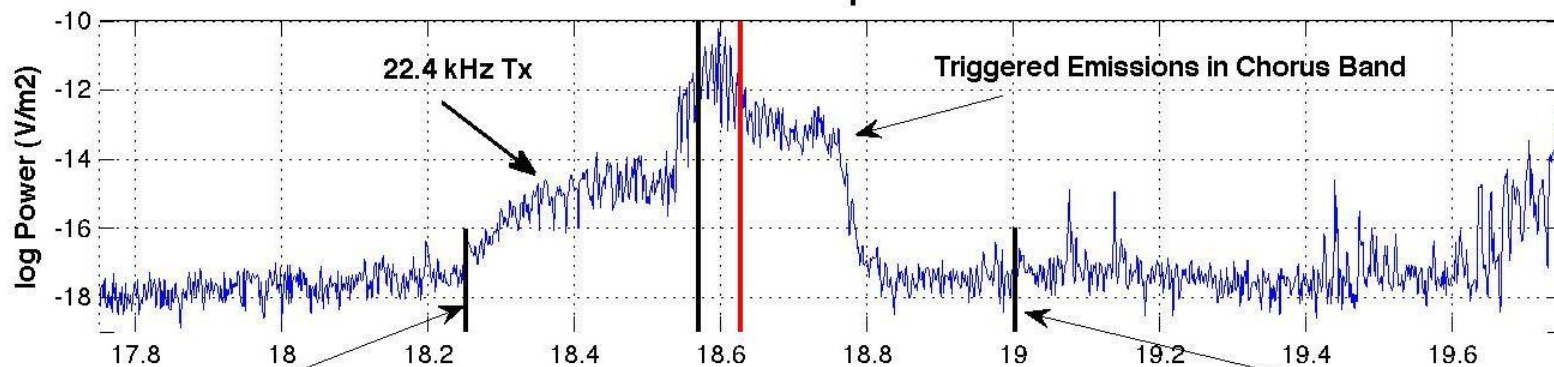




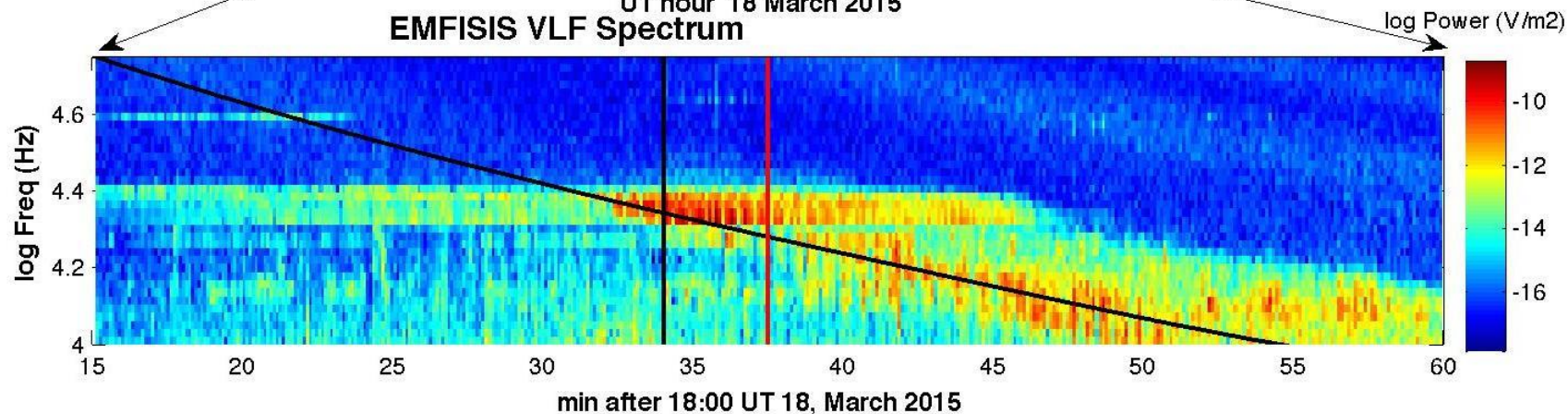
### RBSP-A REPT 2.6 MeV Electron Flux



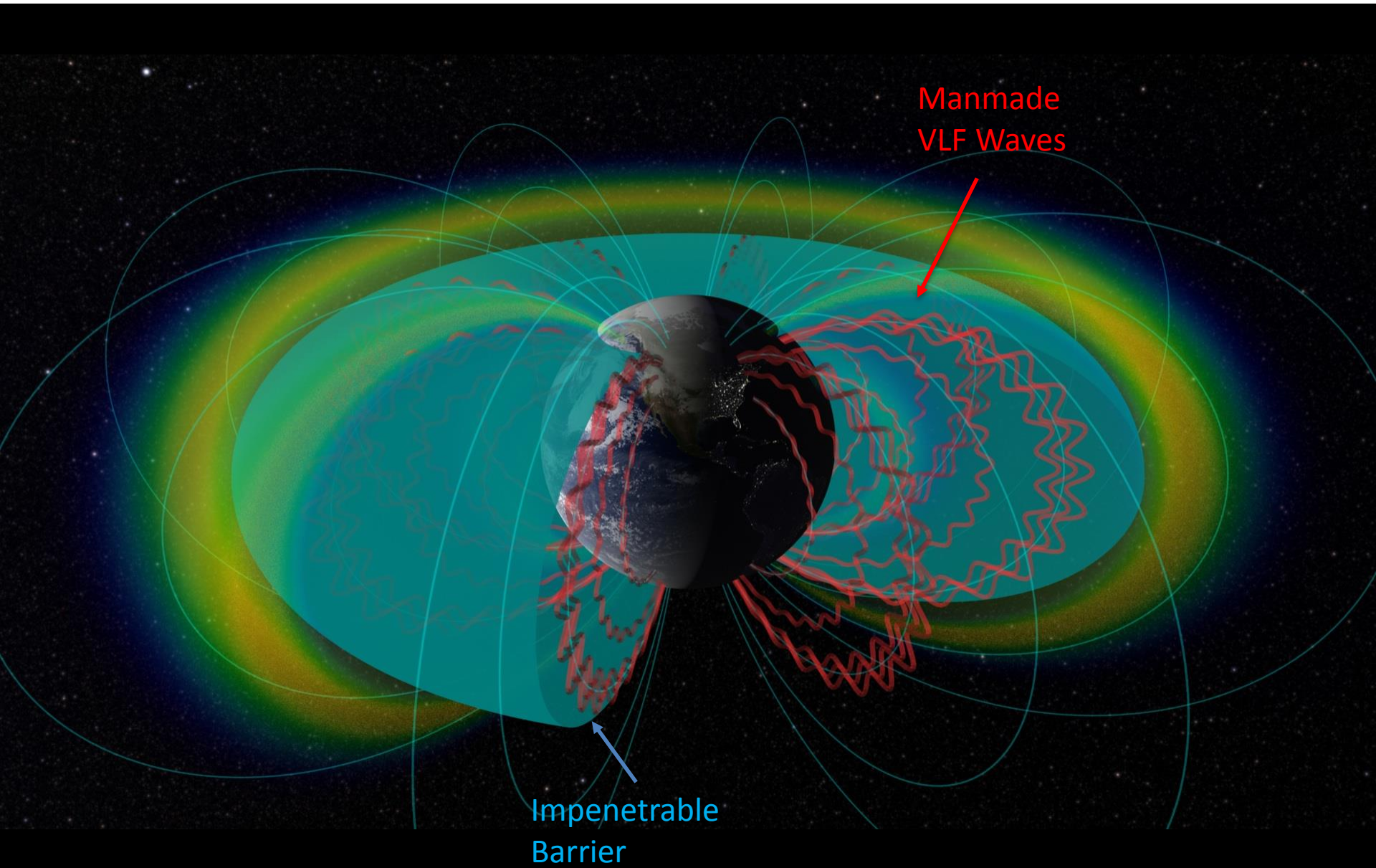
### EMFISIS HRF VLF Amplitude at 22.4 kHz



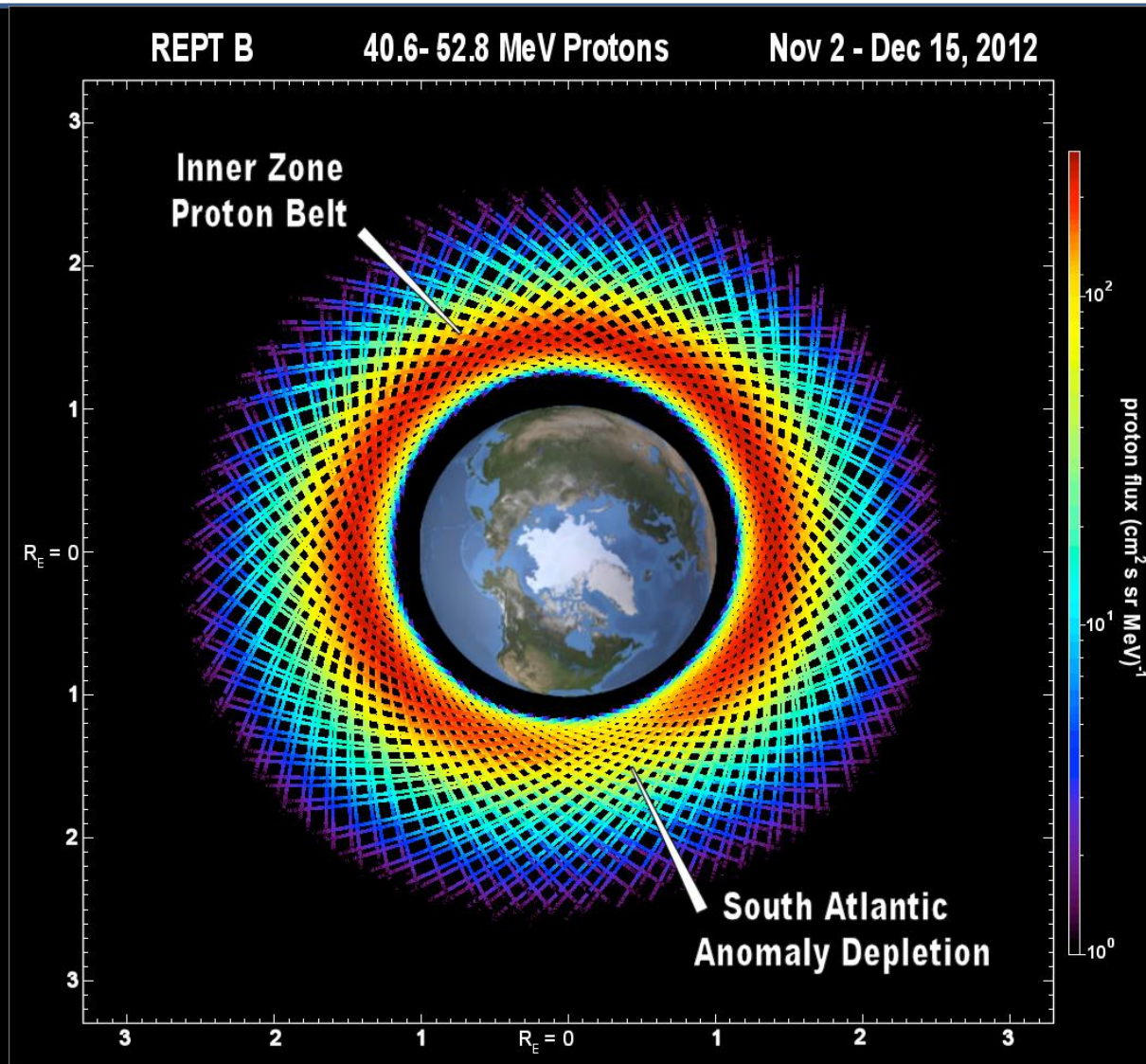
### EMFISIS VLF Spectrum



# Electron Barrier and VLF Bubble



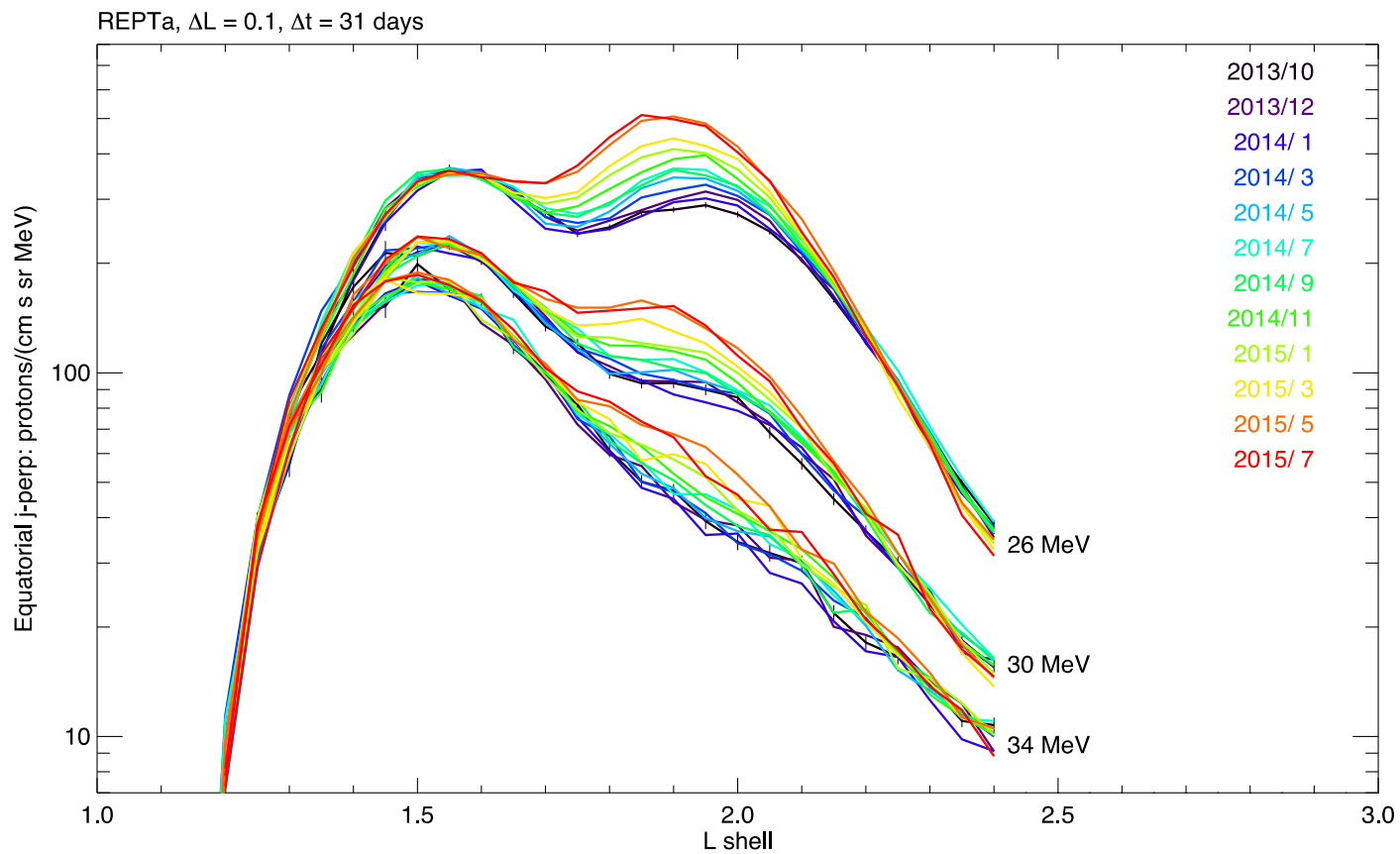
# The Geographic View: Inner Zone





# REPT-A equatorial perpendicular intensity vs. $L$

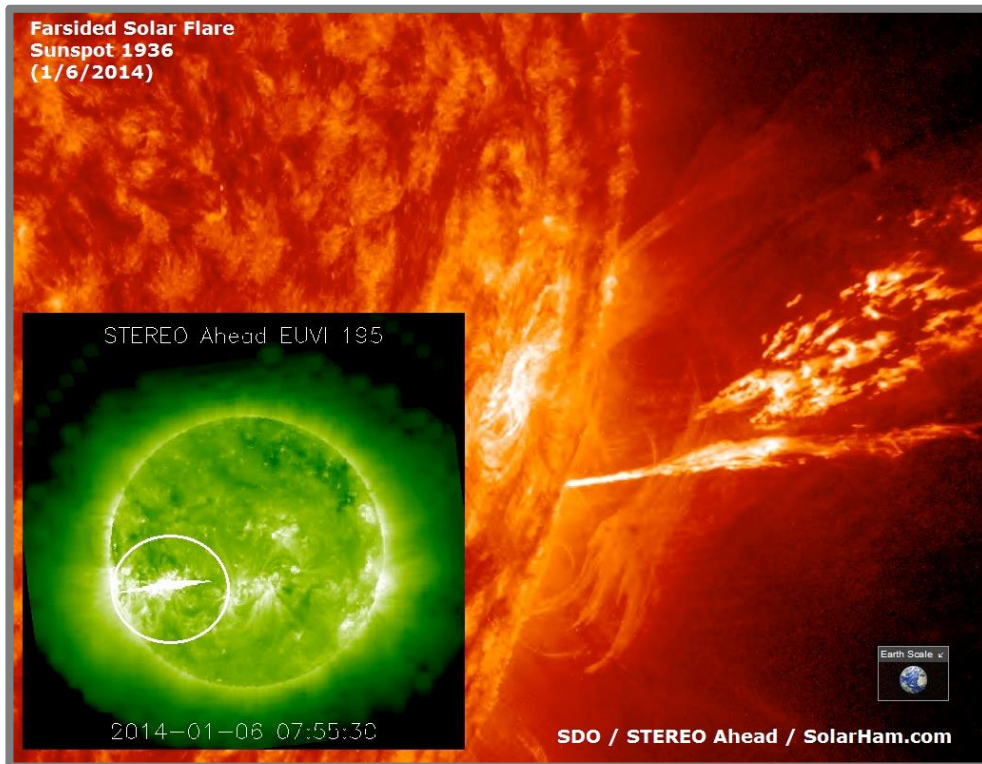
- Color coded by Year/Month
- $\alpha_0 = 90 \pm 20^\circ$ , 3 energies
- Peak near  $L = 1.5$  is not changing
- Peak near  $L = 2$  is increasing (by inward diffusion of trapped solar protons?)



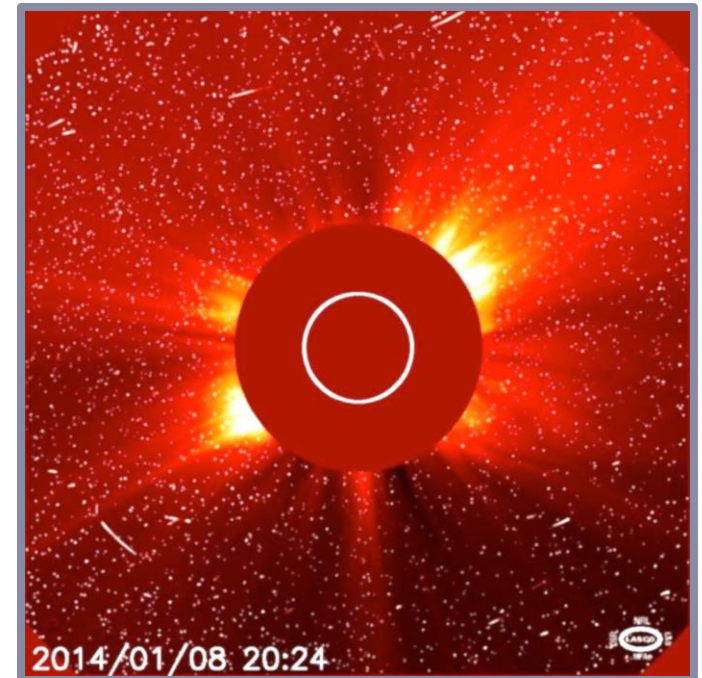
# January 2014 SEP Events

- Flare eruption on 06 Jan 2014
- Caused the smaller SEP that lasted for a short time

- X1-class flare erupted on 07 Jan from “giant sunspot” – along with associated coronal mass ejection (the CME that wasn’t)
- Larger SEP event that persisted for several days
- More intense and harder spectrum



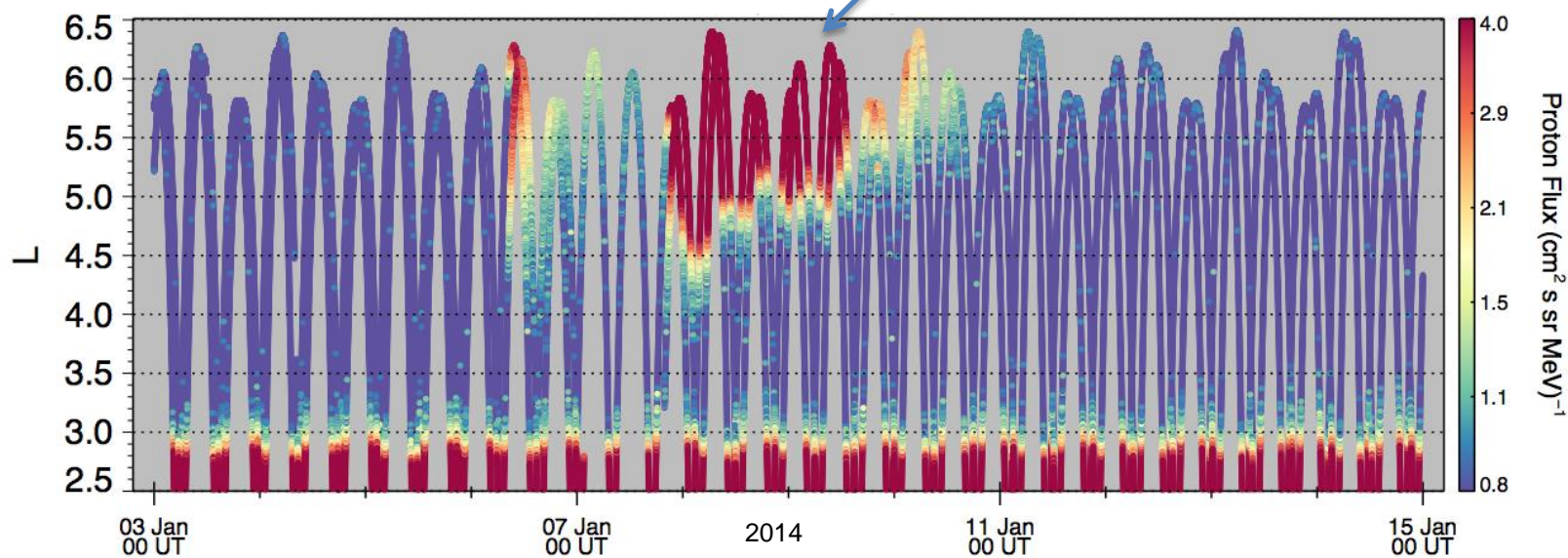
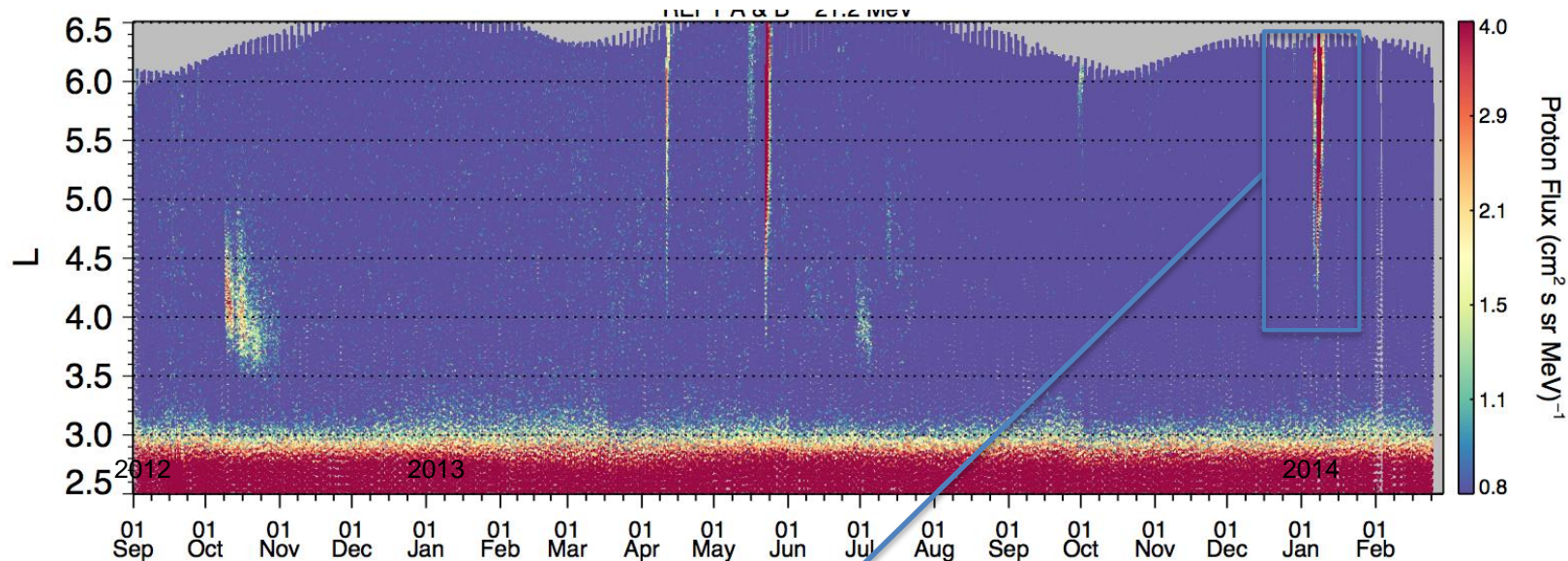
SDO STEREO – 06 Jan 2014 SEP



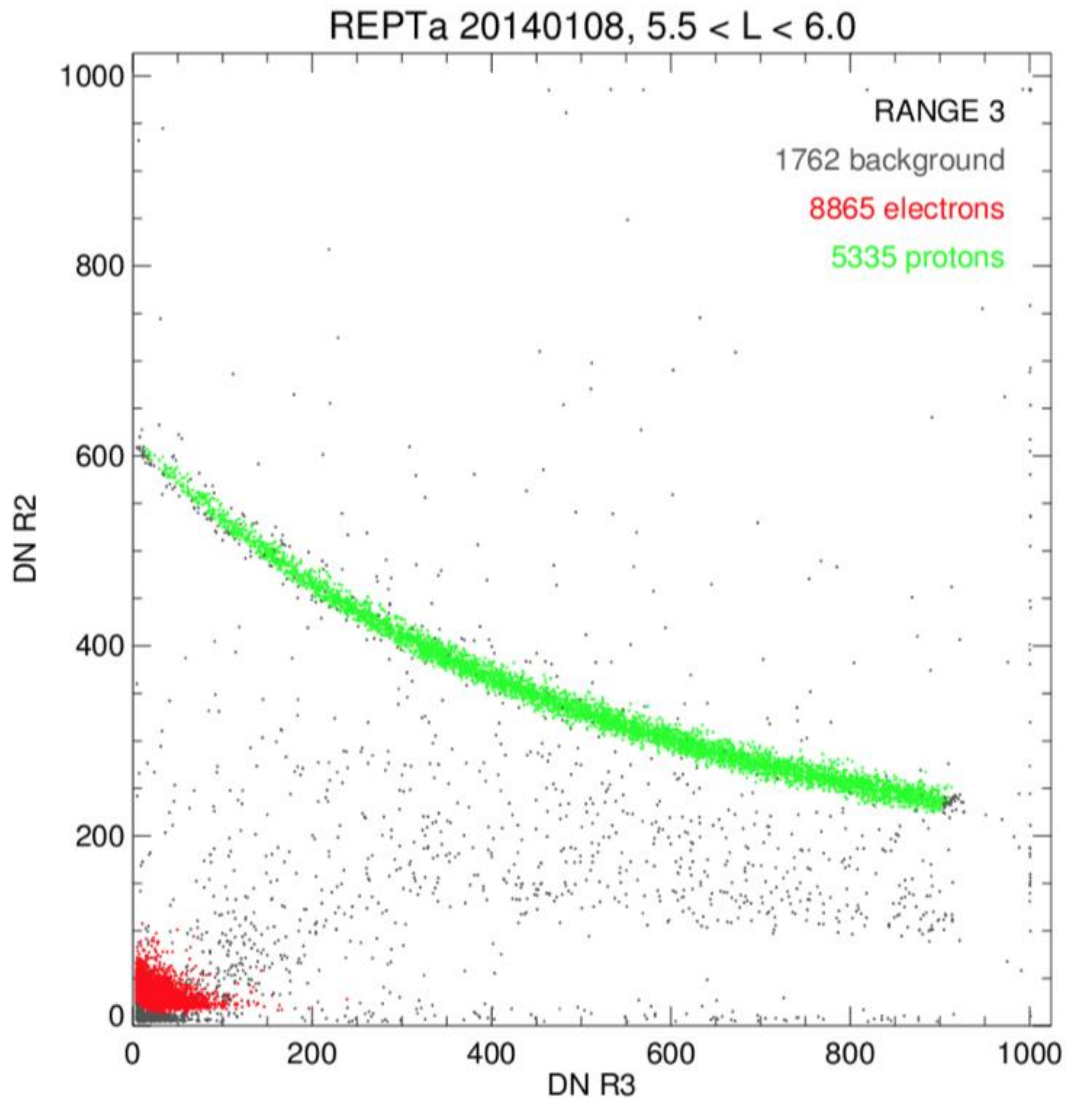
SOHO LASCO – 08 Jan 2014 SEP

# REPT A & B

# $E_p > 18.5$ MeV



# PHA analysis: 08 Jan 2014 SEP event



Ratio plot of energy deposits in detector 2 vs. detector 3, for high L between 5.5 and 6.0

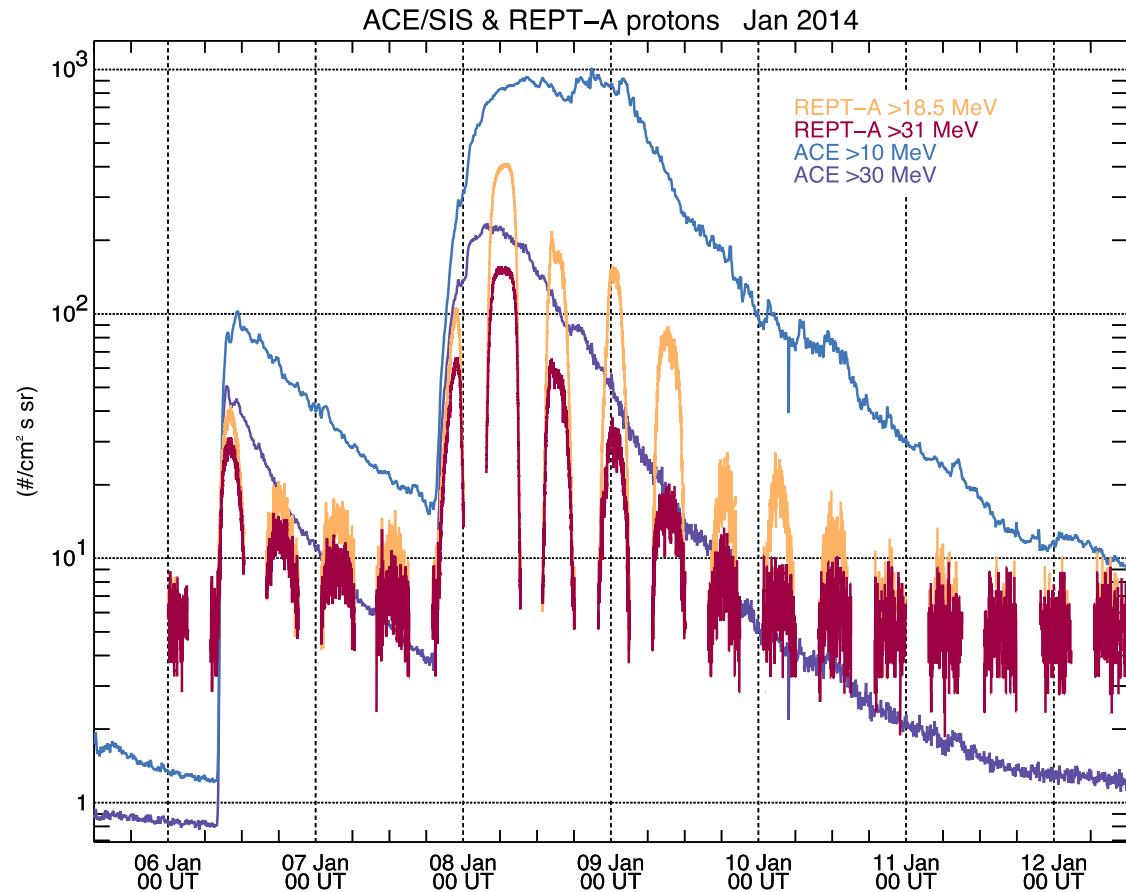
**Green** shows valid proton events based on theoretical energy deposited

**Red** shows valid outer belt electrons

Gray is background from high-energy protons that penetrate shielding from the sides or behind

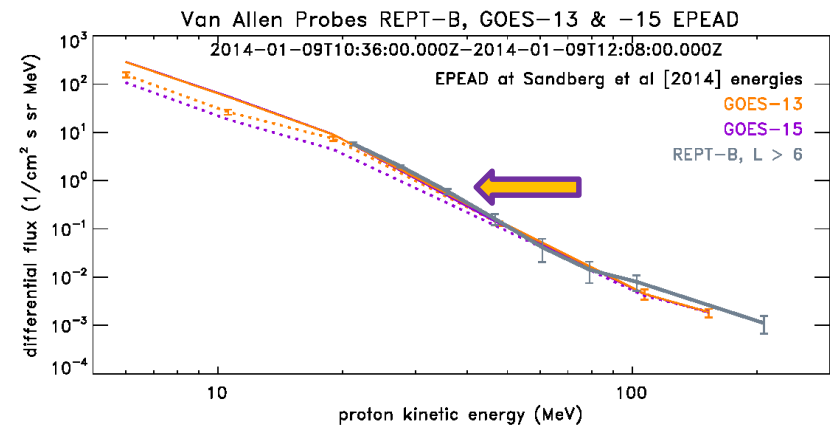
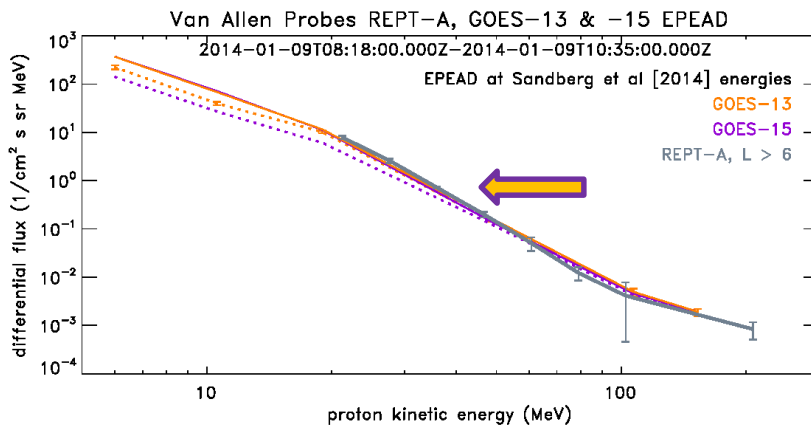
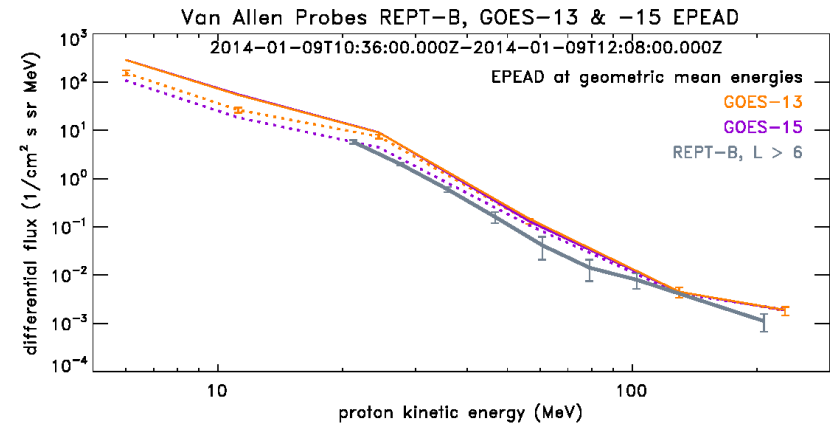
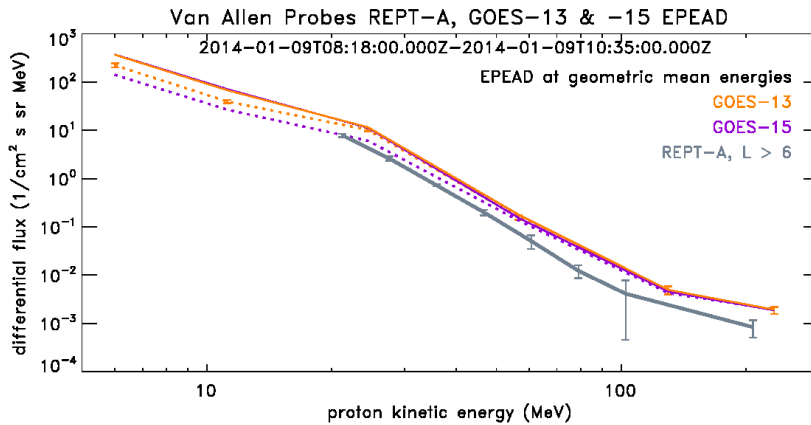
# REPT-A & ACE Comparison

- ACE Solar Isotope Spectrometer (SIS) measures protons for  $E > 10$  MeV and  $E > 30$  MeV
- False integral channels for REPT to create analogous energy range
- ACE located at L1 point ( $\sim 230 R_E$ )
- Expect higher counts at L1 than at RBSP within the magnetosphere
- REPT measurements are indeed lower at apogee ( $L \sim 6.3$ ) than at ACE (L1)
- GCR 'background' can easily be seen in REPT data (more later)
- (B s/c looks the same)



# GOES/EPEAD-REPT cross-comparison

## 09 Jan 2014



**REPT spectra constructed from 20-min- and spin-averaged PHA fluxes**

# Conclusions

- Results from the Van Allen Probes mission have been rewriting the textbooks about radiation belt structure, acceleration, transport, and loss.
- Excellent energy and pitch angle data reveal distinctive behavior in several electron energy regimes: Highly; Super; and Ultra ( $> 5$  MeV) relativistic.
- REPT data often show three belt structure and show there is an impenetrable barrier to inward penetration of ultra-relativistic electrons at  $L \sim 2.8$  [Baker et al., Nature, 2014; Foster et al., Science, 2015].
- The Van Allen Probe data clearly demonstrate the crucial role played by SEP and solar wind forcing in allowing radiation belt flux enhancements: Seed populations.
- **A new window has been opened on understanding acceleration, injections, and strong plasma physical gradients in Earth's magnetosphere: This has crucial significance for remote cosmic systems.**

Thank you.

Questions?