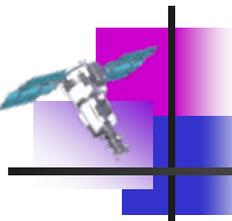


**Geomagnetically trapped, albedo  
and solar particles:  
trajectory analysis and flux reconstruction  
with PAMELA**

**Alessandro Bruno**  
Bari University and INFN, Italy

on behalf of the PAMELA collaboration

**Solar Energetic Particles (SEP), Solar Modulation and Space Radiation:  
New Opportunities in the AMS-02 Era  
Honolulu, Hawai'i, October 18-23, 2015**

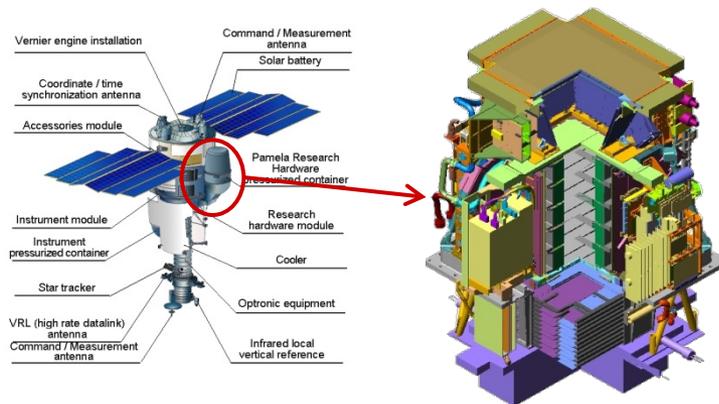
A decorative graphic in the top-left corner consisting of overlapping purple and blue squares with a white satellite icon flying over them.

# Outline

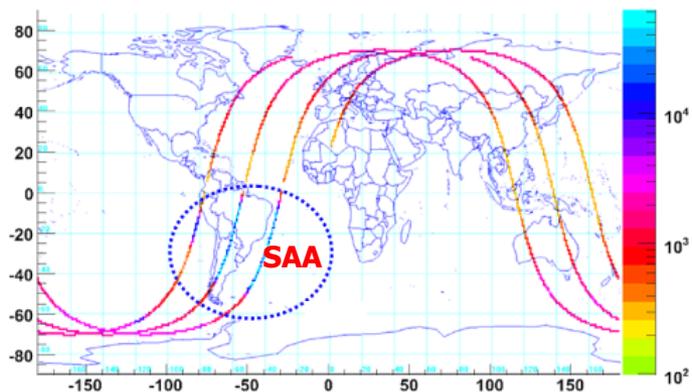
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- Introduction
- ❖ Geomagnetically trapped particles
- ❖ Albedo particles
- ❖ Geomagnetic cutoff variations
- ❖ Solar energetic particles
- Conclusions

# PAMELA measurements at low Earth's orbits



- precise rigidity measurements
  - wide range
- sensitive to composition
  - p/pbar, e<sup>+</sup>/e<sup>-</sup>, light nuclei
- semi-polar (70 deg) and elliptic (350 - 610 km) orbit
  - polar caps (low energy CRs & SEPs)
  - geomagnetically trapped (SAA) and albedo
- good angular resolution (~2 deg)
  - possibility to investigate flux anisotropies



# PAMELA data analysis and trajectory reconstruction



## PAMELA data:

- spacecraft position & orientation
- particle rigidity and direction (provided by the tracking system)

## IMF, SW and geomagnetic parameters:

- high-resolution (5-min) **OMNIWeb** data  
NASA/Goddard Space Flight Center

NB: the magnetospheric configuration is **updated event by event**, interpolating involved parameters

## TRAJECTORY TRACING CODE

### Runge-Kutta integration of motion equations

- Based on Smart & Shea (2000, 2005)

### Realistic description of the geomagnetic field:

- internal sources: **IGRF11**
- external sources: **TS05/TS07D**

Trajectories propagated back and forth from the measurement location with no limit on tracing time/path

### Interplanetary (SCR+GCR)

- (back-traced) trajectories escape the model magnetosphere

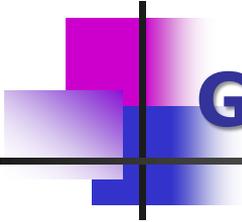
### Albedo

- (back-traced) trajectories intersect the atmosphere (40km)

### Geomagnetically trapped

- trajectories perform more than  $\sim 10^6/R^2$  steps for both propagation directions ( $\geq 4$  drift cycles)

NB: step-length  $\sim 1\%$  of particle gyro-distance in the magnetic field



# **Geomagnetically trapped protons**

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# Data analysis

## Adiabatic invariants



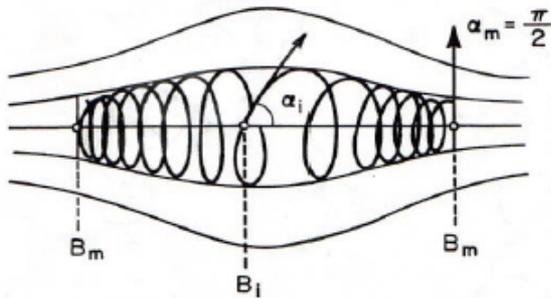
Data analyzed in the frame of **adiabatic theory** of particle motion in the geomagnetic field

### Gyro motion:

- $V \times B$  acceleration leads to gyro motion about field lines
- frequencies  $\sim$ kHz
- associated 1st invariant  $\mu$ , relativistic magnetic moment:

$$\mu = \frac{p^2 \sin^2 \alpha}{2m_0 B}$$

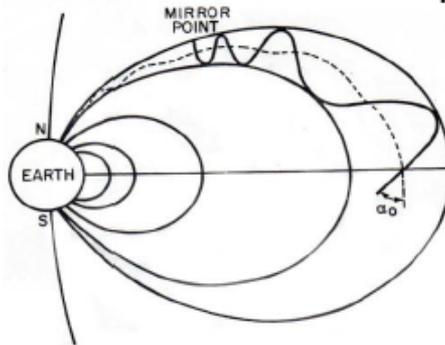
pitch angle  $\alpha$ :  $\tan \alpha = \frac{V_{\perp}}{V_{\parallel}}$



### Bounce motion:

- As a particle gyrates down field line, the pitch angle increases as B increases
- Motion along field line reverses when pitch angle reaches  $90^\circ$  (mirror point)
- period  $\sim$ sec
- associated 2nd invariant  $K$  longitudinal invariant:

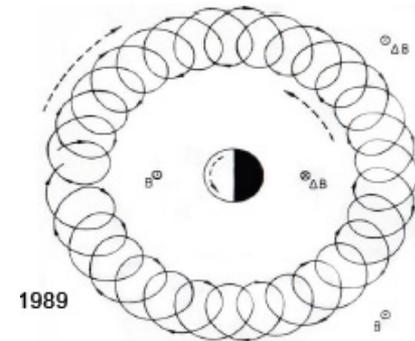
$$K = \int_{-l_m}^{+l_m} p_{\parallel} dl$$



### Drift motion:

- Gradient in magnetic field leads to drift motion around Earth: east for electrons, west for protons/ions
- period  $\sim$ minutes
- associated 3rd invariant  $\Phi$ , magnetic flux:

$$\Phi = -\frac{2\pi B_E R_E^2}{L}$$



# Flux calculation

## Differential directional fluxes



- Flux intensities are properly estimated accounting for the flux anisotropic distribution (SAA)
- At former stage, proton fluxes are evaluated as a function of geographic position  $\mathbf{X}=(\text{Lon}, \text{Lat}, \text{Alt})$  and particle rigidity  $\mathbf{R}$  and  $\alpha$  pitch-angle with respect to the geomagnetic field:

$$F(\hat{X}, R, \alpha) = \frac{N(\hat{X}, R, \alpha)}{2\pi \sum_{\Psi \rightarrow \hat{X}} [H(\Psi, R, \alpha) \cdot \Delta T(\Psi)] \cdot \Delta R}$$

proton counts corrected by selection efficiencies

sum over satellite orientations  $\Psi$  at geographic position  $\mathbf{X}$

lifetime spent by PAMELA at each spacecraft orientation  $\Psi$

**PAMELA's effective area**

$$H(R, \alpha) = \frac{\sin \alpha}{2\pi} \int_0^{2\pi} d\beta [A(R, \vartheta, \varphi) \cos \vartheta]$$

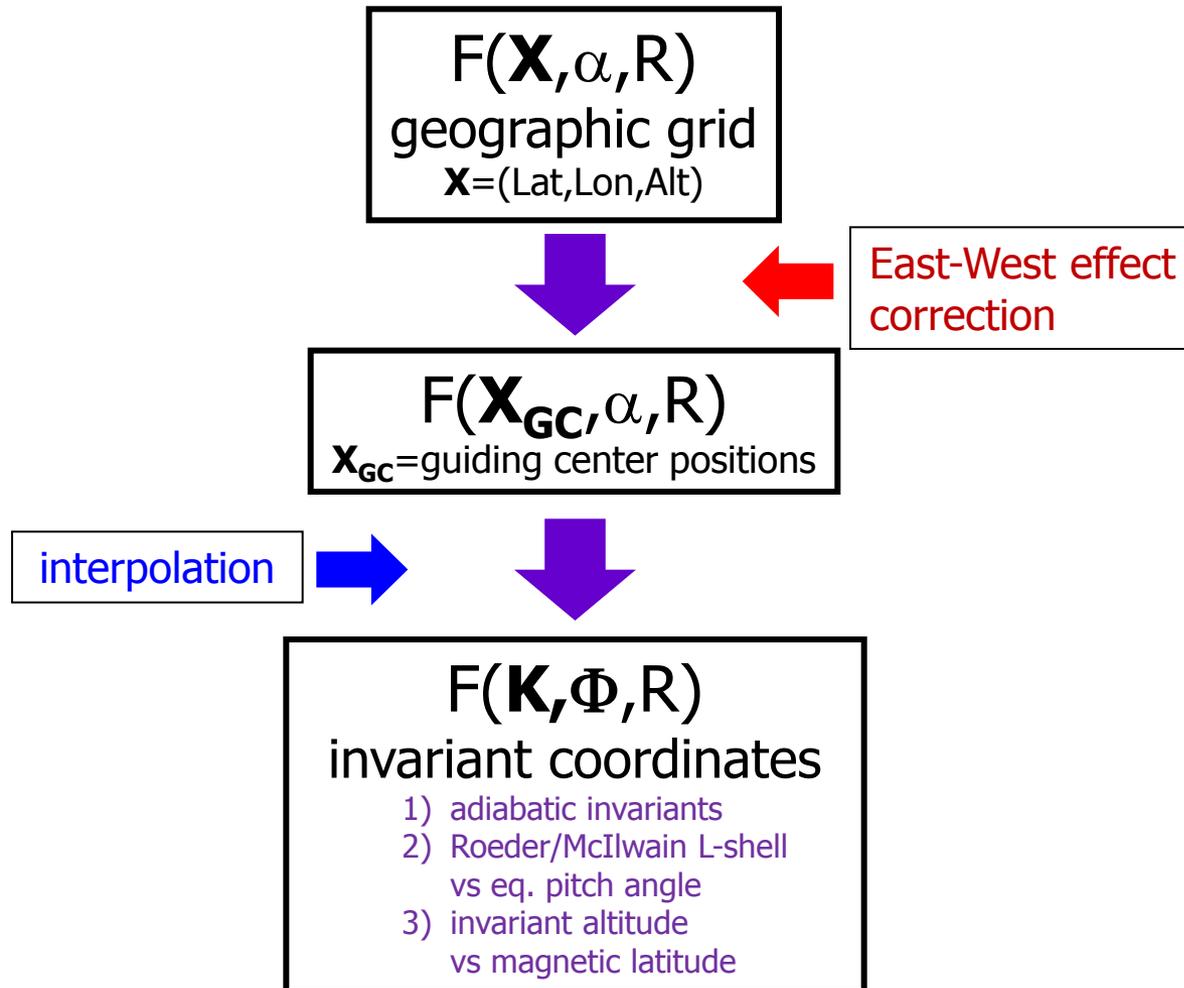
evaluated with MC methods

apparatus response function

- The relationship between local ( $\vartheta, \varphi$  - PAMELA frame) and magnetic ( $\alpha, \beta$ ) angles describing particle direction, depends on the satellite orientation  $\Psi$  with respect to the geomagnetic field.
- PAMELA's effective area is rigidity dependent due to the bending effect of the magnetic spectrometer.
- No assumption on  $\alpha$  distribution is done (e.g. by using sampling functions such as  $\sin^n \alpha$ )

# Flux calculation

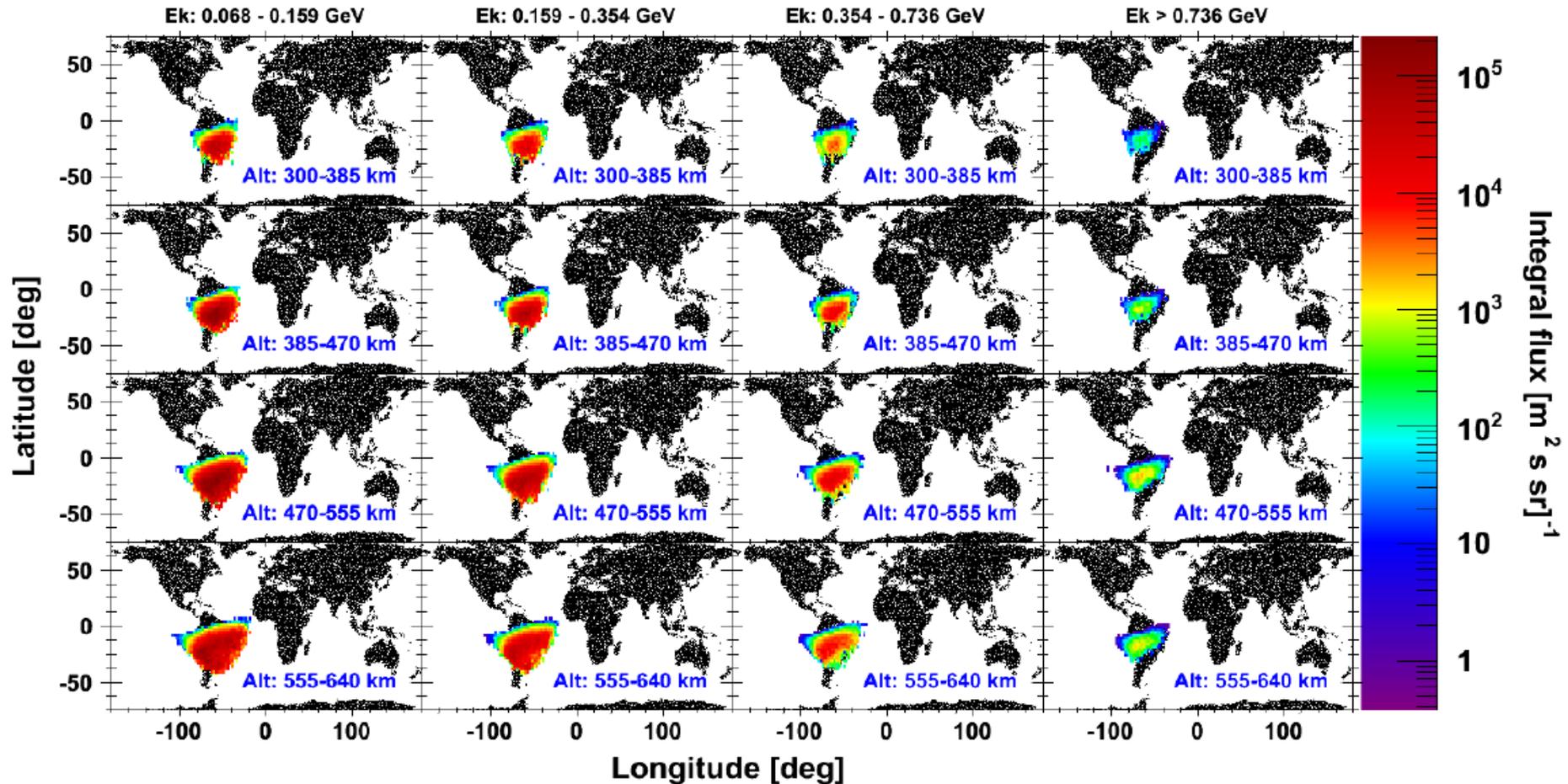
## Differential directional fluxes



# Flux results

## Geographic Maps

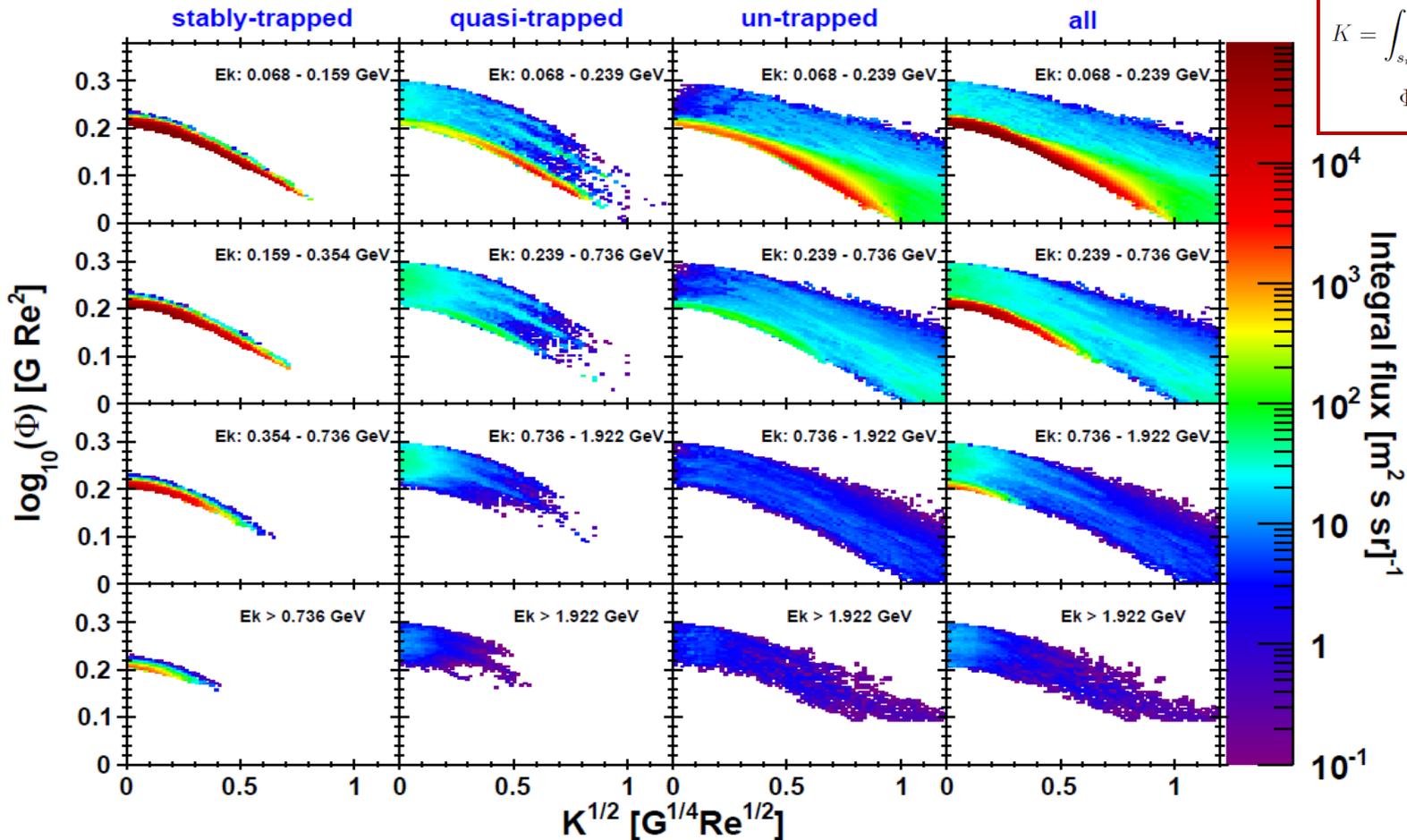
**columns:** same energy bins  
**rows:** same altitude bins



Stably-trapped integral flux ( $\text{m}^{-2}\text{s}^{-1}\text{sr}^{-1}$ ) averaged over the pitch angle range covered by PAMELA, as a function of **geographic coordinates**, evaluated for different energy (columns) and guiding center altitude (rows) bins.

# Flux results

## Adiabatic invariants



$$K = \int_{s_m}^{s_m'} [B_m - B(s)]^{1/2} ds$$

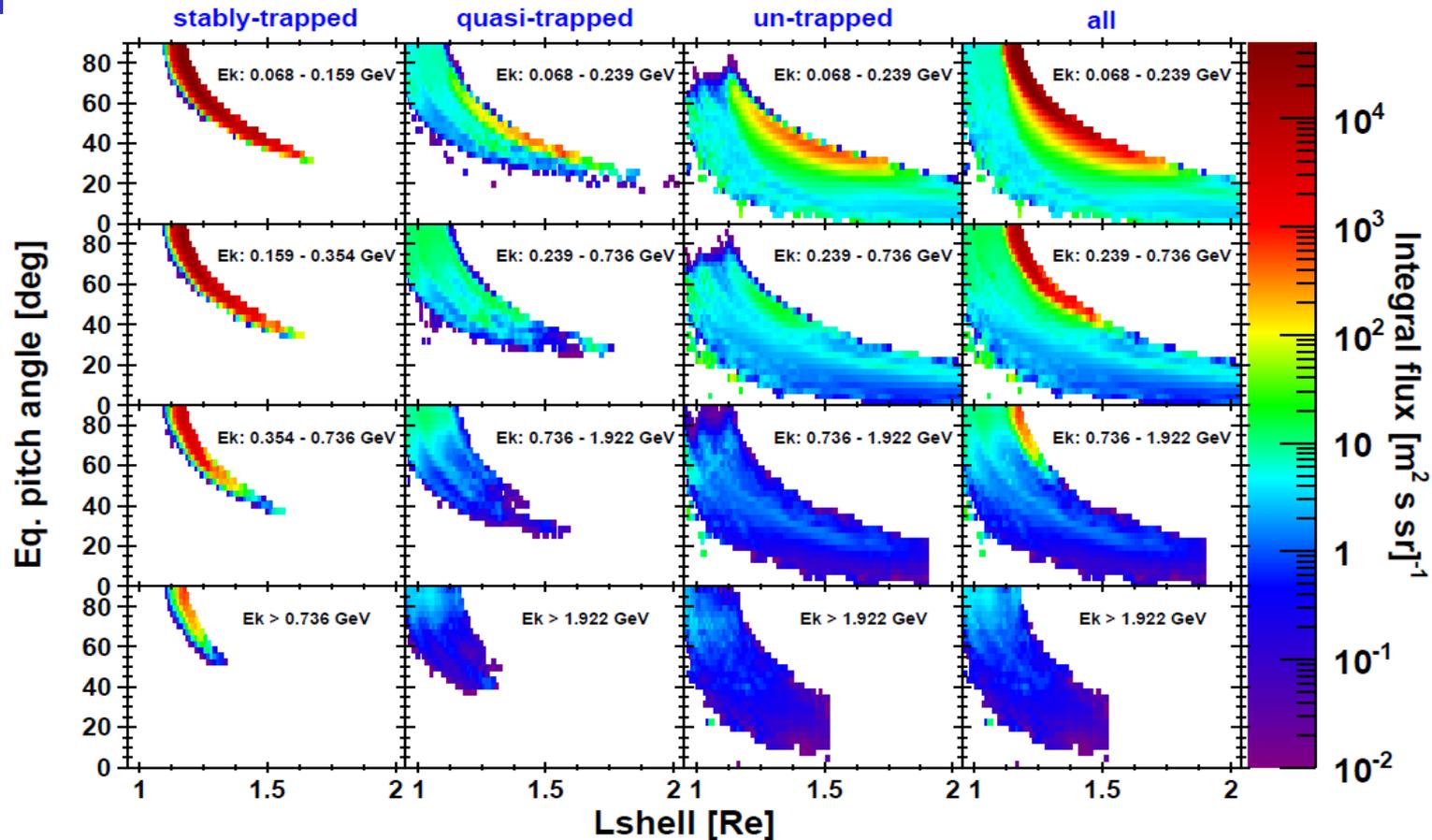
$$\Phi = \oint \mathbf{A} \cdot d\mathbf{l}$$

Proton integral fluxes ( $\text{m}^{-2}\text{s}^{-1}\text{sr}^{-1}$ ) as a function of the **second  $K$**  and the **third  $\Phi$**  **adiabatic invariant**, for different kinetic energy bins (see the labels).

Results for the different populations are reported (from left to right): stably-trapped, quasi-trapped, un-trapped and the total under-cutoff proton sample.

# Flux results

## Equatorial pitch angle vs L-shell



Proton integral fluxes ( $\text{m}^2 \text{s}^{-1} \text{sr}^{-1}$ ) as a function of **equatorial pitch angle** and **McIlwain's L-shell**, for different kinetic energy bins (see the labels).

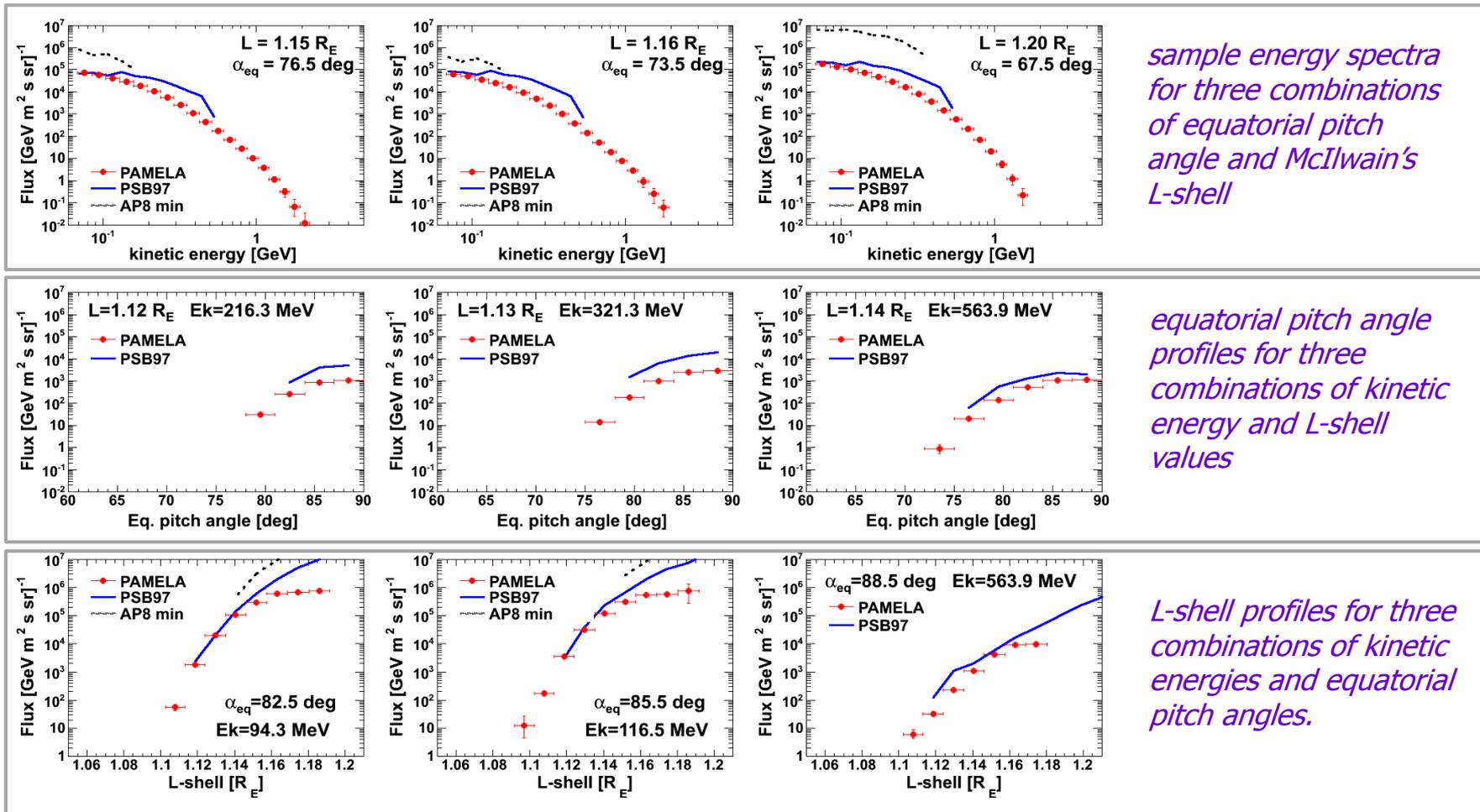
Results for the different populations are reported (from left to right): stably-trapped, quasi-trapped, un-trapped and the total under-cutoff proton sample.

# Trapped fluxes

## Comparison with semi-empirical models

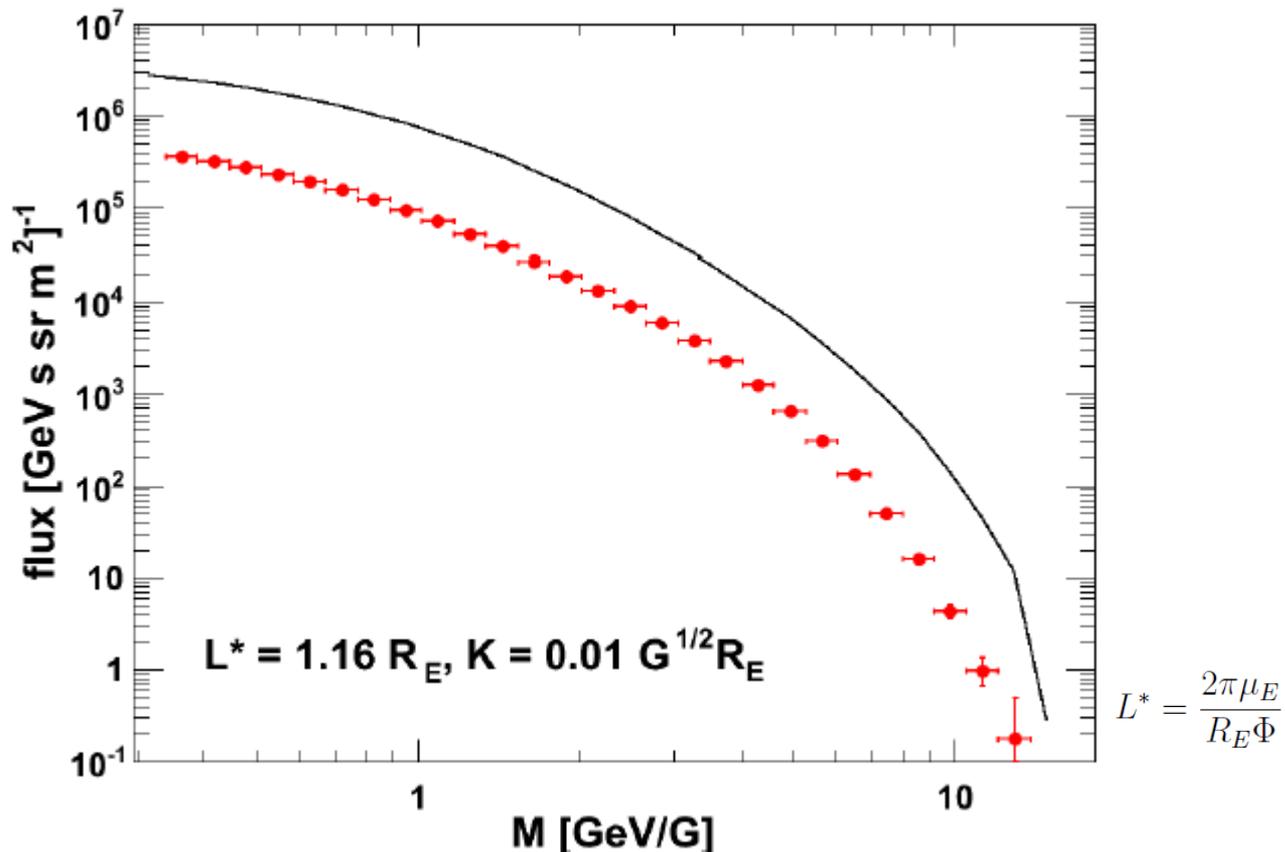


Stably-trapped differential fluxes ( $\text{GeV}^{-1}\text{m}^{-2}\text{s}^{-1}\text{sr}^{-1}$ ) compared with predictions from **AP8-min** (Sawyer & Vette 1976) and **PSB97** (Heynderickx et al. 1999) semi-empirical models, denoted with dashed black line and the solid blue line respectively. Model calculations from the SPENVIS on-line system (Heynderickx et al. 2000).



# Trapped fluxes

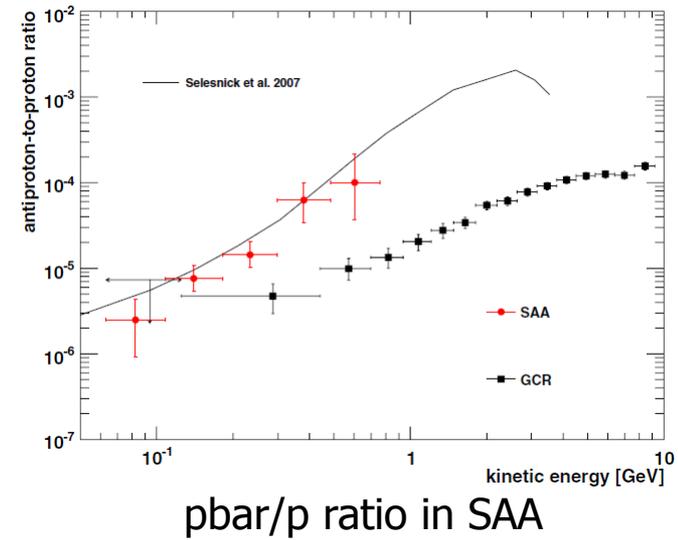
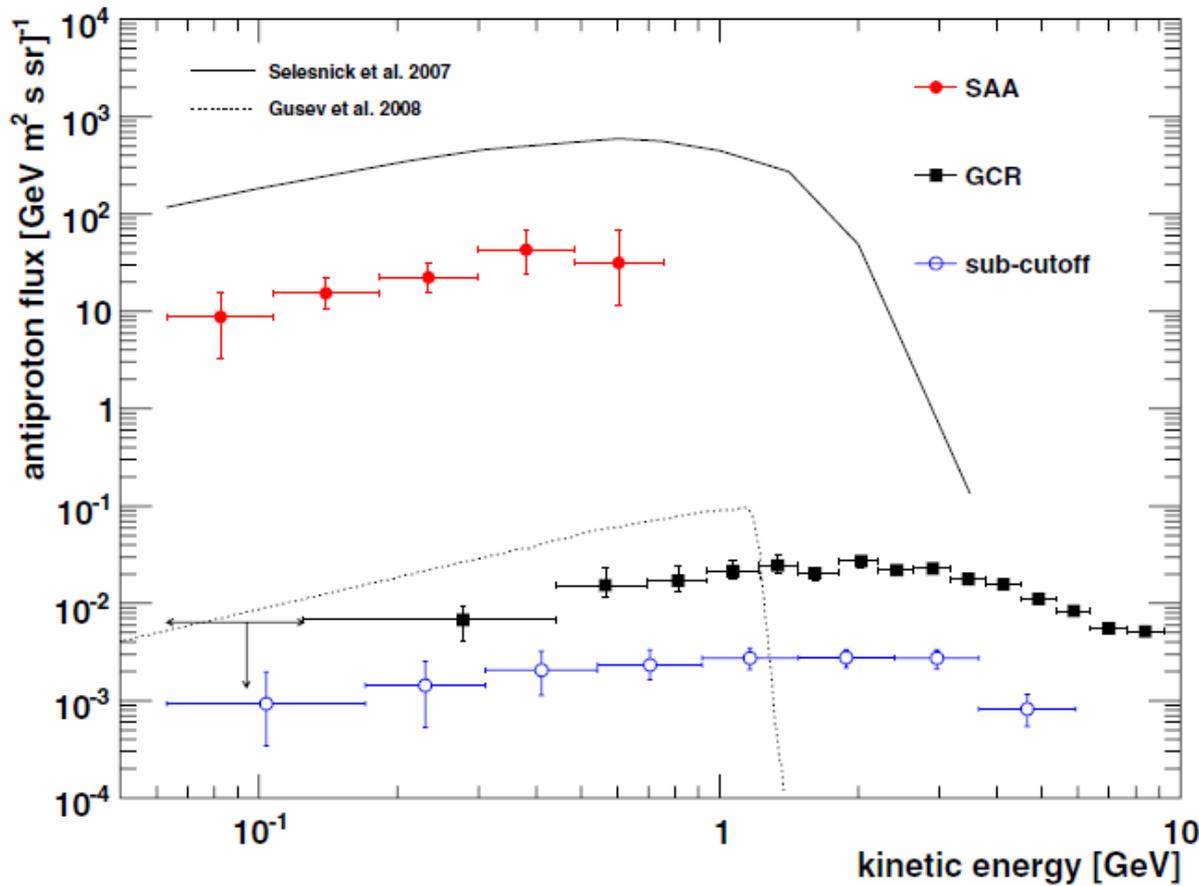
## Comparison with theoretical models

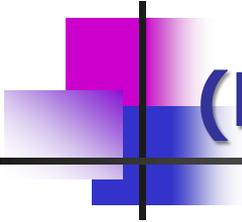


Stably-trapped differential flux (GeV<sup>-1</sup>m<sup>-2</sup>s<sup>-1</sup>sr<sup>-1</sup>) at geomagnetic equator compared with the calculation by **Selesnick et al. (2007)** for the year 2000.

Spectra are reported as a function of the 1st adiabatic invariant M, for sample values of K (2nd adiabatic invariant) and L\* (Roeder's parameter) invariants.

# The discovery of geomagnetically trapped antiprotons

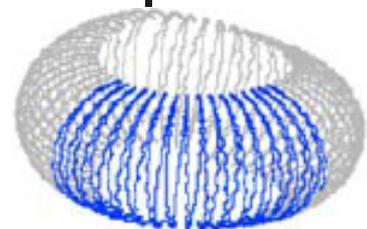




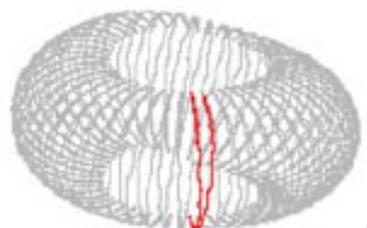
**(Re-entrant) albedo protons**

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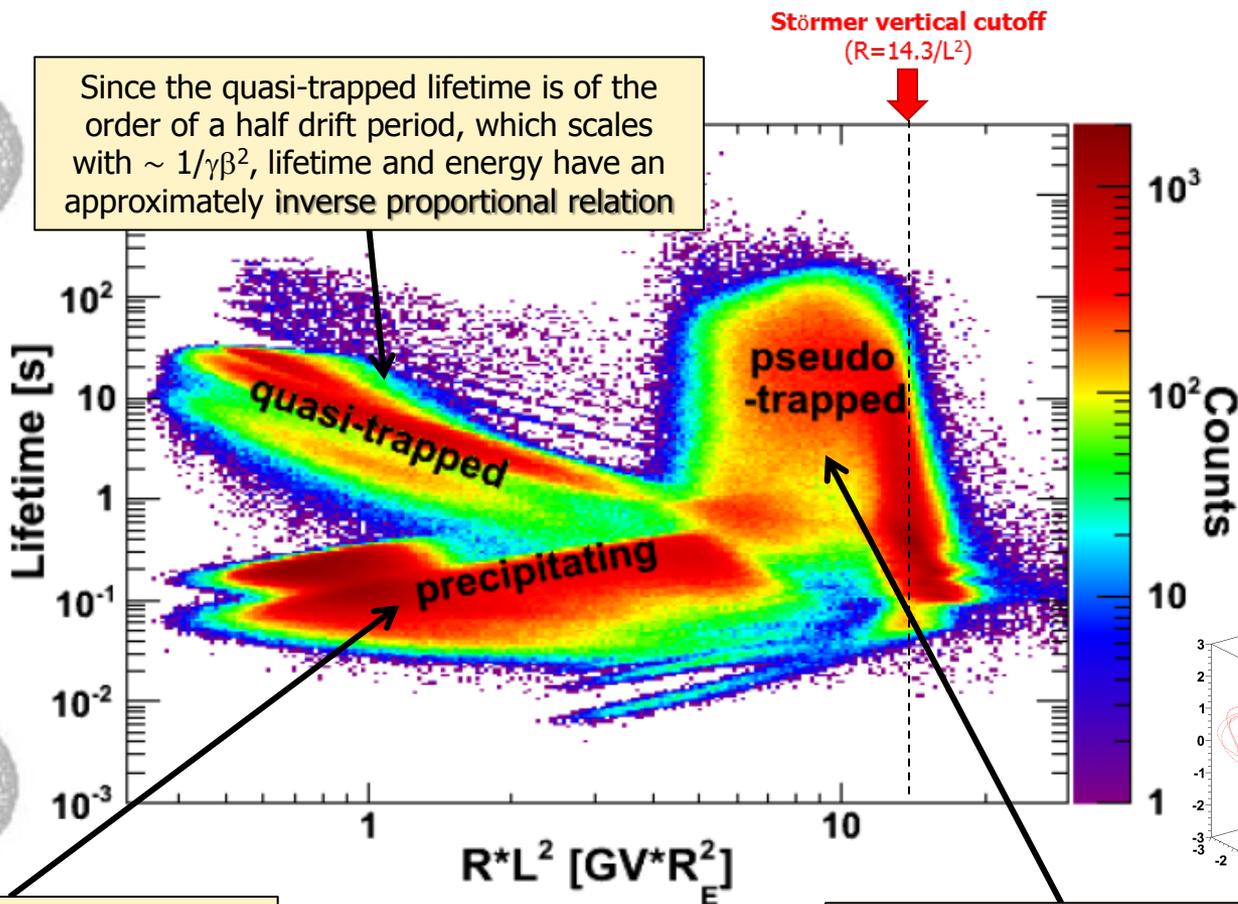
# Albedo classification



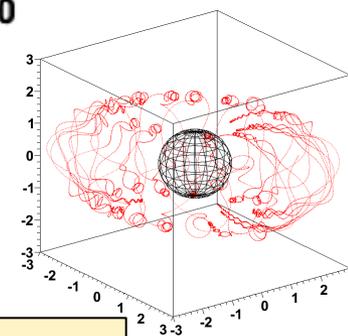
**Lifetime** = the time between the particle origin (traced backward) and its subsequent absorption (traced forward) in the atmosphere (40 km).



Since the quasi-trapped lifetime is of the order of a half drift period, which scales with  $\sim 1/\gamma\beta^2$ , lifetime and energy have an approximately inverse proportional relation



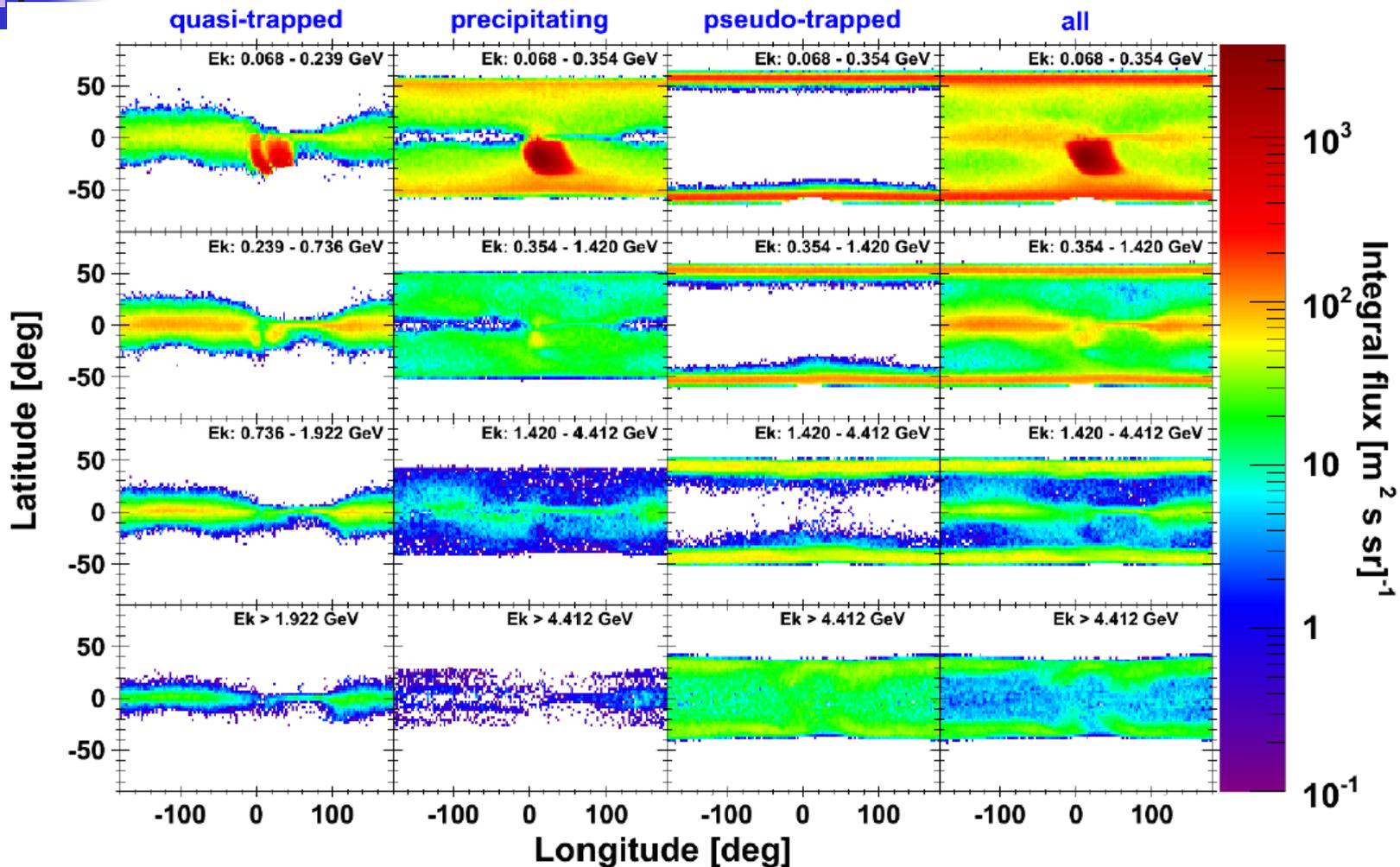
Non-adiabatic or large gyro-radius effects cause the breakdown of (quasi) trapping conditions: irregular trajectories with no periodicity



The precipitating lifetime is shorter than the typical bounce period, which scales with  $1/\beta$ , resulting in a weaker dependency on energy

# Albedo protons

## Flux maps



Under-cutoff proton integral fluxes ( $m^{-2}s^{-1}sr^{-1}$ ) as a function of magnetic longitude and latitude, for different energy bins. Results for the several proton populations are reported (from left to right): quasi-trapped, precipitating, un-trapped and the total sample.

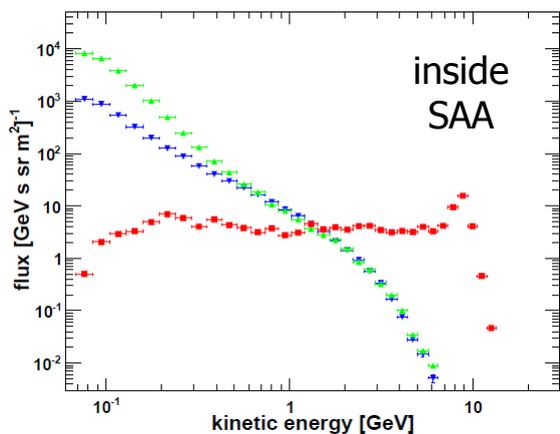
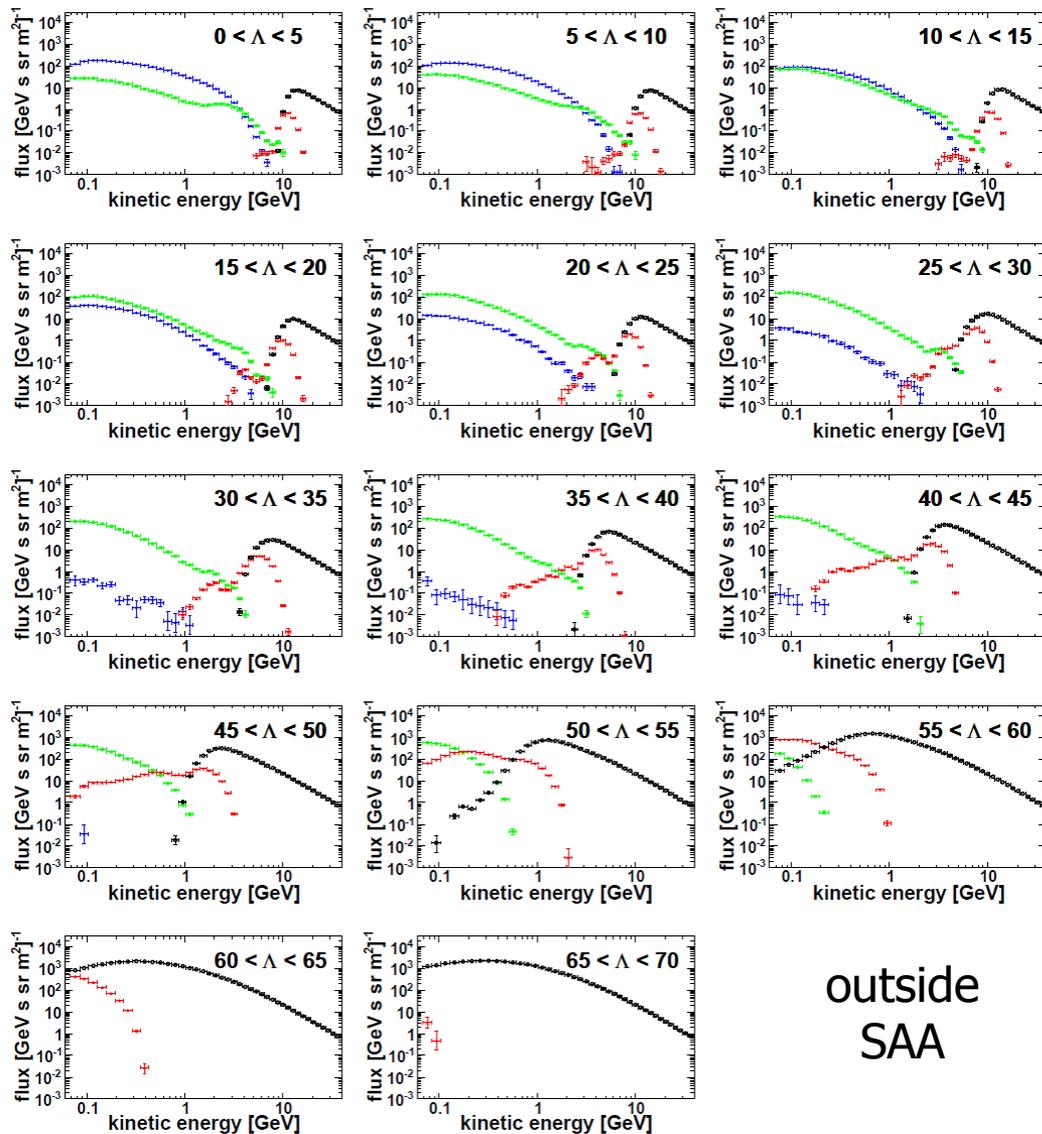
# Albedo protons

## Energy spectra vs latitude



Differential energy spectra outside the SAA region measured for different bins of magnetic latitude (see the labels).

Results for the different proton populations are shown: quasi-trapped (**blue**), precipitating (**green**), pseudo-trapped (**red**) and interplanetary (**black**).



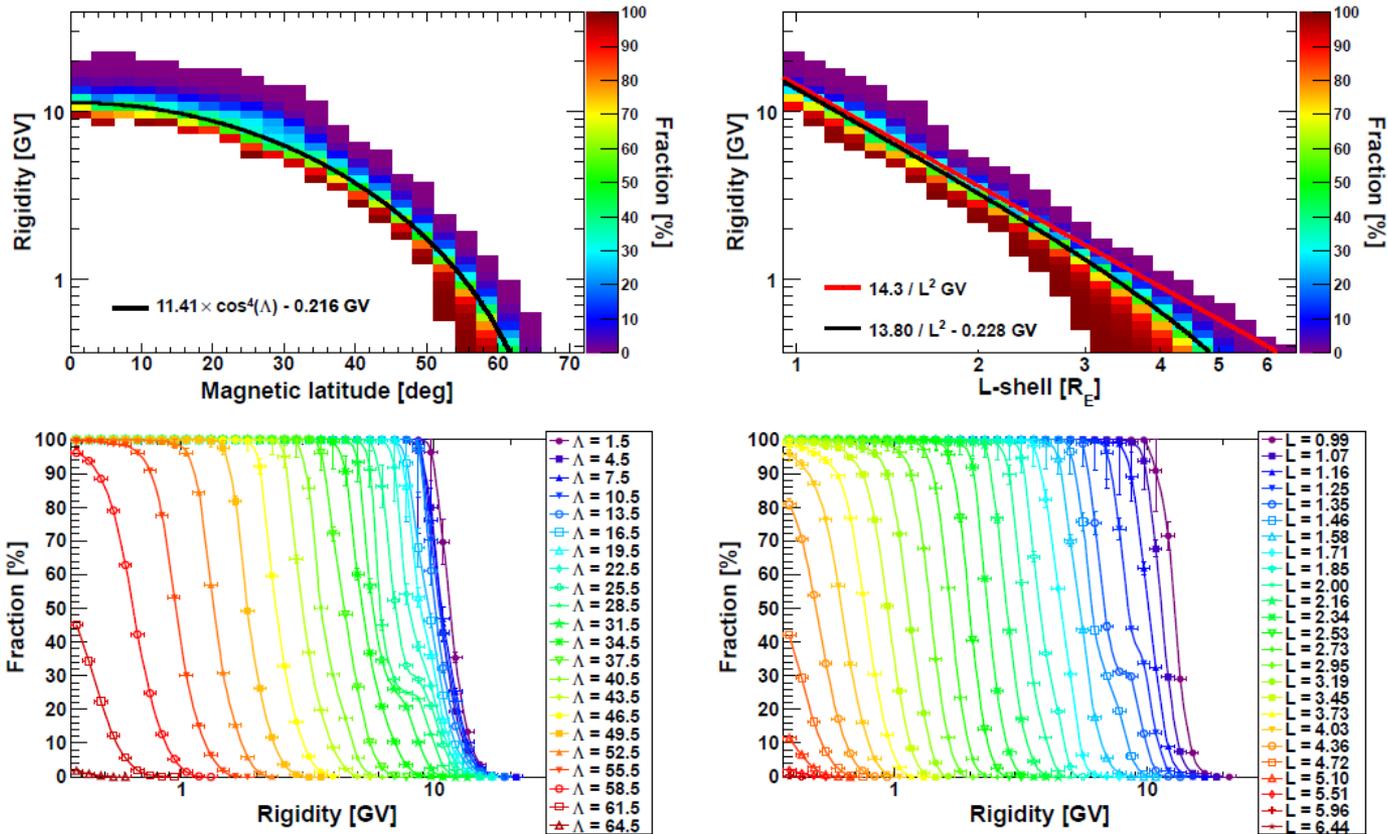
Differential energy spectra in the **SAA** region ( $B < 0.23$  G)

outside SAA

# Penumbra region



Penumbra: region where protons of both interplanetary and atmospheric origin are present

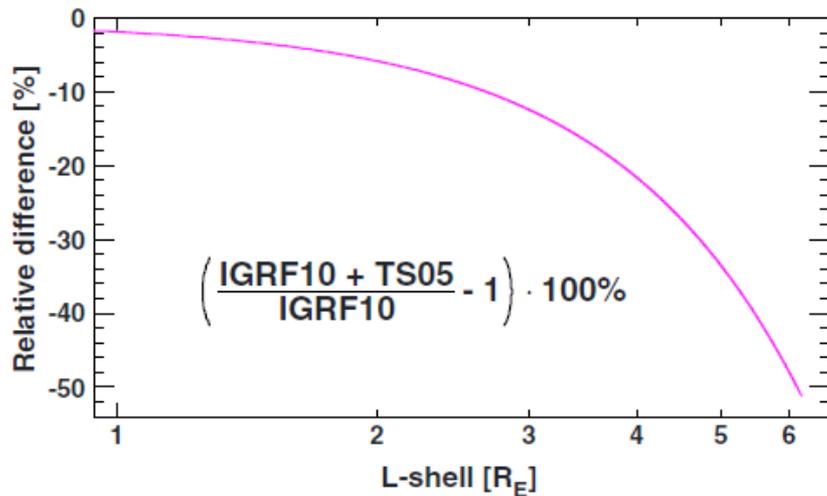
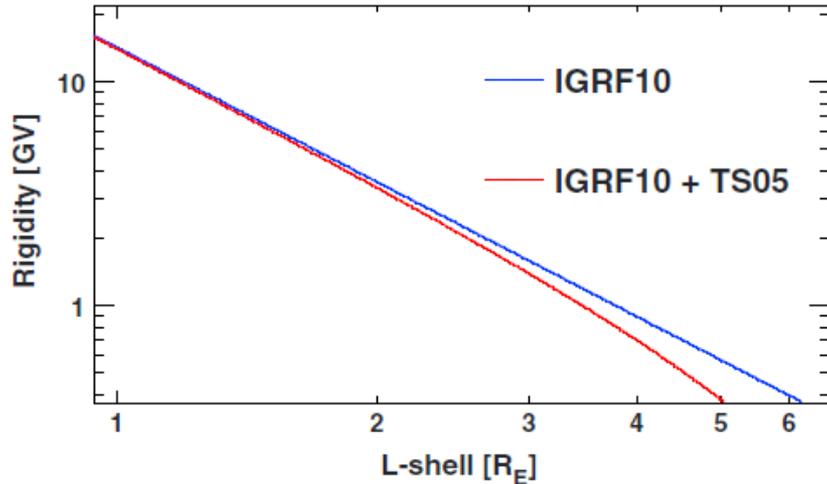


**Top panels:** fraction of albedo protons in the penumbra region, as a function of particle rigidity and magnetic latitude (left) and McIlwain's  $L$ -shell (right); black curves are a fit of points with equal percentages of interplanetary and albedo protons, while the red line denotes the Störmer vertical cutoff for the PAMELA epoch.

**Bottom panels:** corresponding rigidity profiles, for different values of magnetic latitude (left) and McIlwain's  $L$ -shell (right); values at bin center are reported in labels. Lines are to guide the eye.

# Geomagnetic cutoff

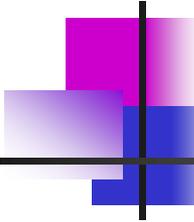
## Impact of external geomagnetic models



The usage of a realistic external geomagnetic field model has a significant impact on estimates of high-latitude cutoffs.

In case of IGRF standalone, results are in a very good agreement with the standard cutoff relation ( $R_{\text{svc}} = 14.22/L^2$  GV) at all  $L$ -shells.

On the other hand, significant differences (up to a factor  $\sim 2$ ) can be noted between the IGRF10 model and the combined model configuration at highest  $L$ -shells.



# **Geomagnetic cutoff variations during SEP events**

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# Evaluation of cutoff latitudes



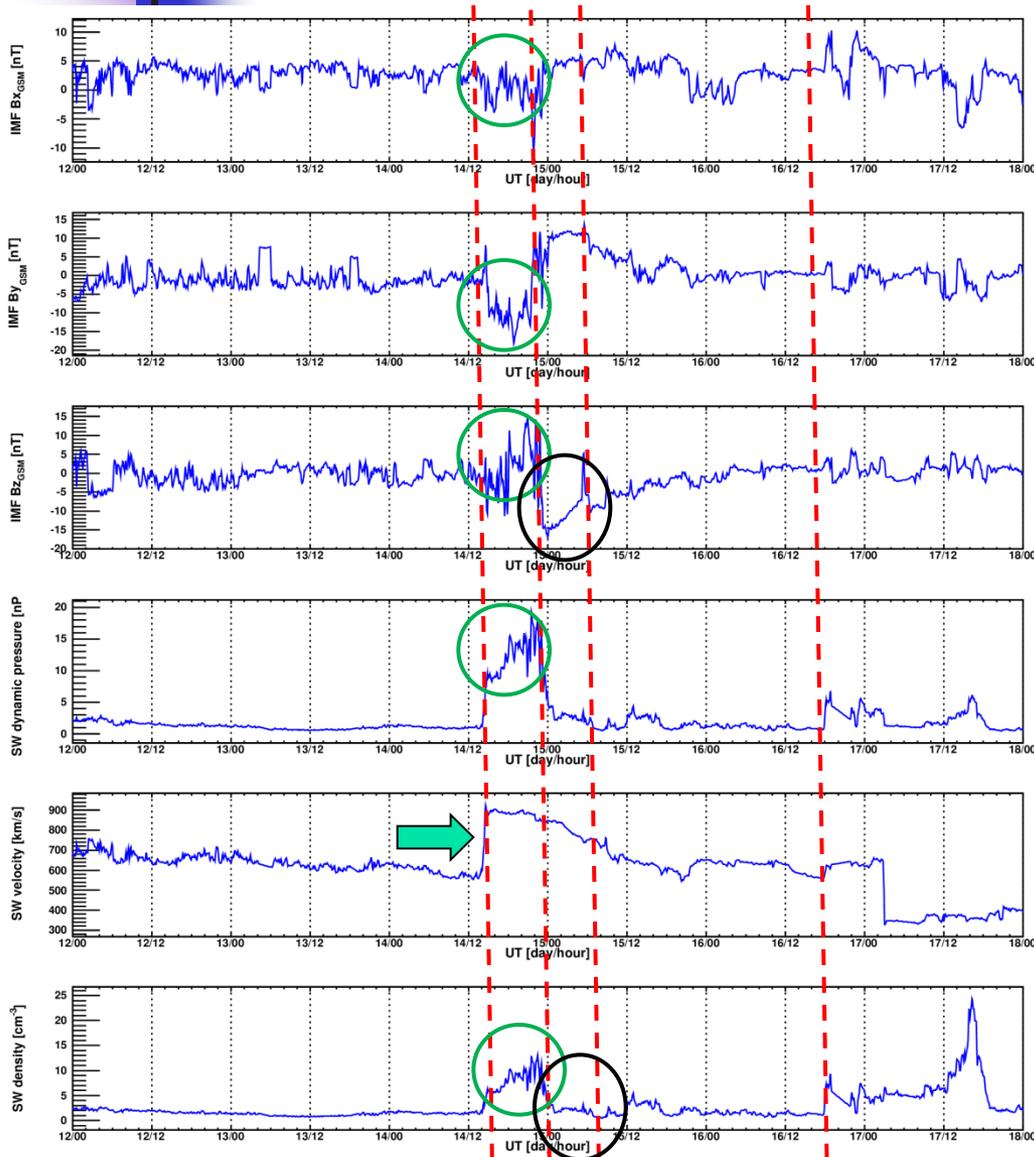
- The numerical algorithm developed to extract cutoff latitudes from the PAMELA data is similar to one used by *Leske et al. 2001* and *Kress et al. 2010*:
  - for each rigidity bin, a mean flux was obtained by averaging fluxes above 65 degrees latitude,
  - and the cutoff latitude was evaluated as the latitude where the flux intensity is equal to the **half of the average value**.
- Alternatively, cutoff latitudes were estimated with back-tracing techniques:
  - at a given rigidity, the cutoff latitude was evaluated as the latitude where an **equal percentage** of interplanetary and albedo CRs was registered.
- ❖ The calculation was performed for 13 rigidity logarithmic bins, covering the interval 0.39 -- 3.29 GV.
- ❖ Accounting for the limited statistics at highest rigidities, final cutoff values were derived by fitting averaged PAMELA observations over **single orbital periods** (94 min).

# The 2006 Dec 13-14 SEP events

- On 2006 December 13 at 02:14 UT, an **X3.4/4B solar flare** occurred in the active region NOAA 10930 (S06W23; NOAA-STP 2006).
  - This event also produced a full-halo **CME** with a speed of 1774 km s<sup>-1</sup>.
  - The forward shock of the CME reached Earth at about 14:10 UT on December 14, causing a **Forbush decrease** of Galactic CR intensities that lasted for several days.
- The **flare X1.5** (S06W46) at 21:07 UT on December 14 gave start to a new growth of particle intensity as recorded by PAMELA and other satellites.
  - The maximum energy of protons was below 1 GeV, and therefore no ground level enhancement (GLE) was recorded.
  - The corresponding CME had a velocity of 1042 km s<sup>-1</sup>.

# The December 14-15, 2006 geomagnetic storm

## IMF and SW variations

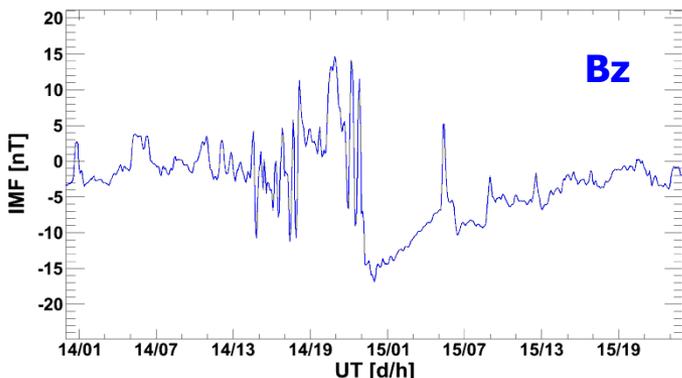
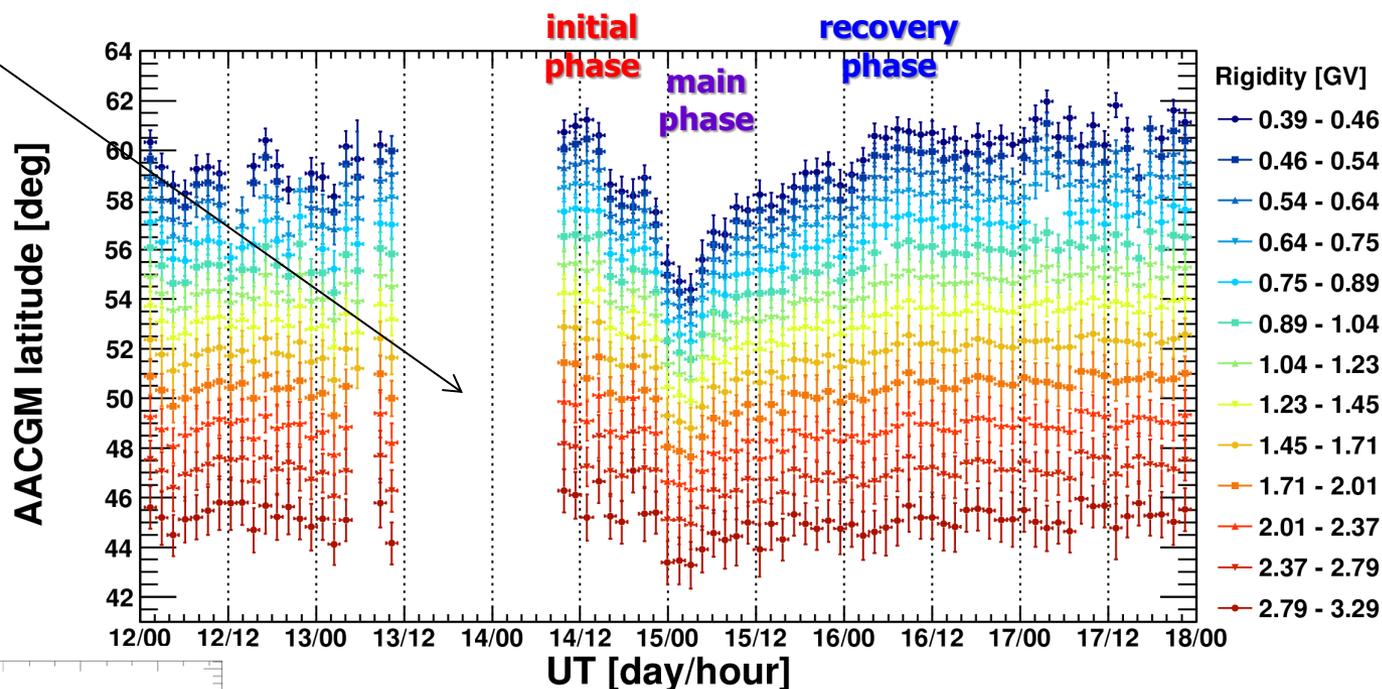


- The large increase in the SW velocity associated with the leading edge of the CME caused the **sudden commencement** of a geomagnetic storm.
- The **initial phase** of the storm, lasting up to about 23:00 UT, was characterized by intense fluctuations in the SW density and in all IMF components.
- At a later stage, the IMF Bz component became negative, the SW density decreased, and the **main phase** of the storm started, reaching a maximum between 02:00--08:00 UT on Dec 15.
- Another interplanetary shock associated with a different CME was observed on December 16.

# Measured cutoff latitudes

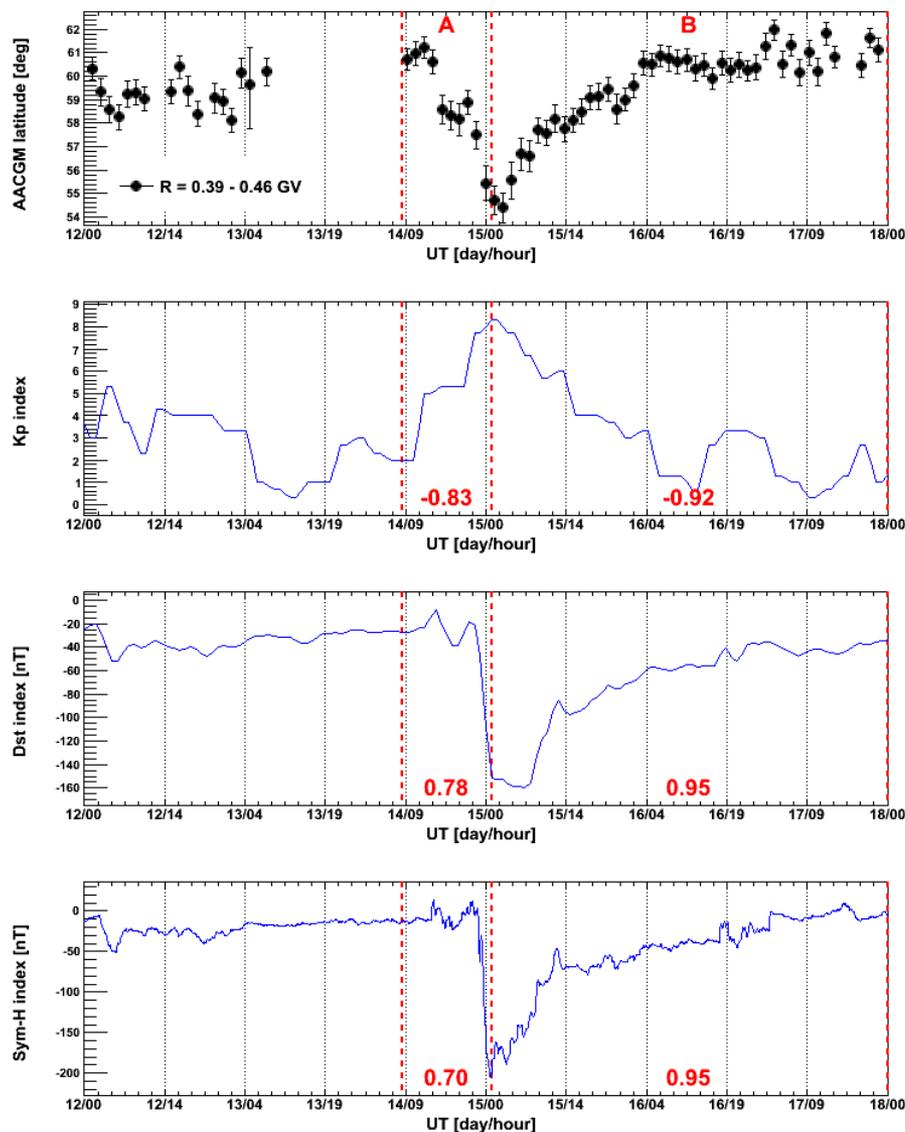
Time profile of the geomagnetic cutoff latitudes measured by PAMELA for different rigidity bins

Data missing from 10:00 UT on Dec 13 until 09:14 UT on Dec 14 because of an onboard system reset of the satellite



The evolution of the magnetic storm of December 14 and 15 followed the typical scenario in which the cutoff latitudes move equatorward as a consequence of a CME impact on the magnetosphere with an associated transition to southward IMF Bz.

# Comparison with geomagnetic indexes



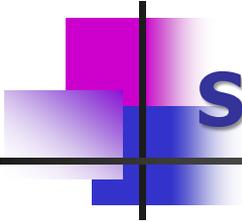
The magnetic activity index (**Kp**), the disturbance storm time index (**Dst**) and the **Sym-H** index,

- measured by ground-based magnetometers,
- at 3-hour, 1-hour, and 1-min resolutions, respectively.

are commonly used to infer cutoff latitudes.

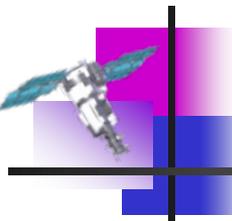
In general, the shapes of the time variations in the cutoff measurements are well correlated with indexes changes

- ❖ corresponding correlation coefficients are -0.8, 0.78 and 0.78, respectively.
  - A better agreement is observed for Kp during the initial phase of the storm (A),
  - while the Dst and the Sym-H indexes show an improved correlation during the main and the recovery phases (B).



# **Solar energetic particles (SEPs)**

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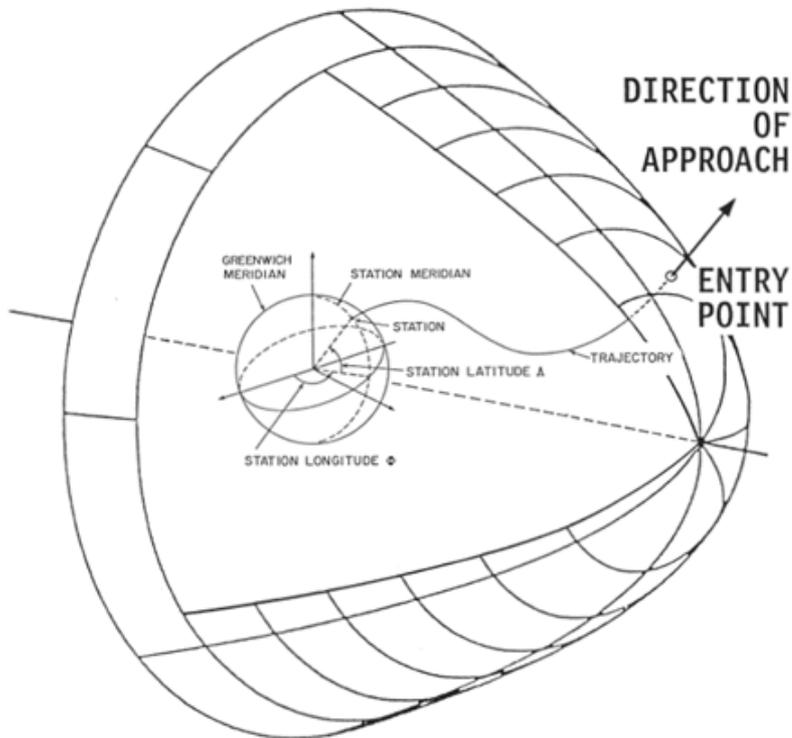
# PAMELA SEP's measurements



- ❖ wide energy interval:  $\sim 80$  MeV – several GeV
  - bridging the low energy data by other space-based instruments and the GLE data by the worldwide network of neutron monitors (NMs)
- ❖ sensitive to particle composition
  - protons, He nuclei, ...
- ❖ possibility to reconstruct the angular distribution
  - investigation of flux anisotropies

# Trajectory analysis

## Motivation

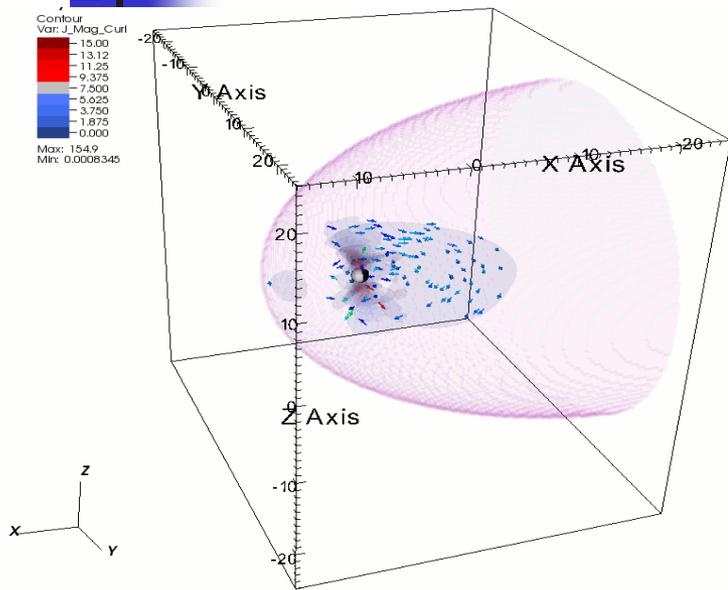


[Shea & Smart, ERP No 524, AFCRL-TR-75-0381, 1975]

- ❖ In order to measure SEP angular distributions (and investigate the degree of anisotropy), it is necessary to account for the effect of the geomagnetic field on particle propagation.
- Typically (NMs) one is interested in particle arrival "**asymptotic directions**", i.e. the directions of approach before they enter the magnetosphere.
- ✓ To determine asymptotic directions, particle trajectories are reconstructed in a model magnetosphere by means of **numerical integration methods** (Smart & Shea 2005).
- ★ The trajectory analysis also allows to evaluate geomagnetic cutoff rigidities and to separate protons of interplanetary (GCRs & SCRs) and atmospheric (trapped & albedo) origin.

# Trajectory analysis

## Gemagnetic field models

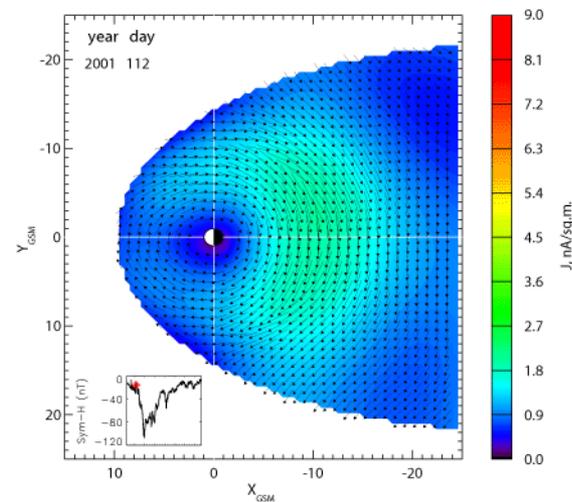
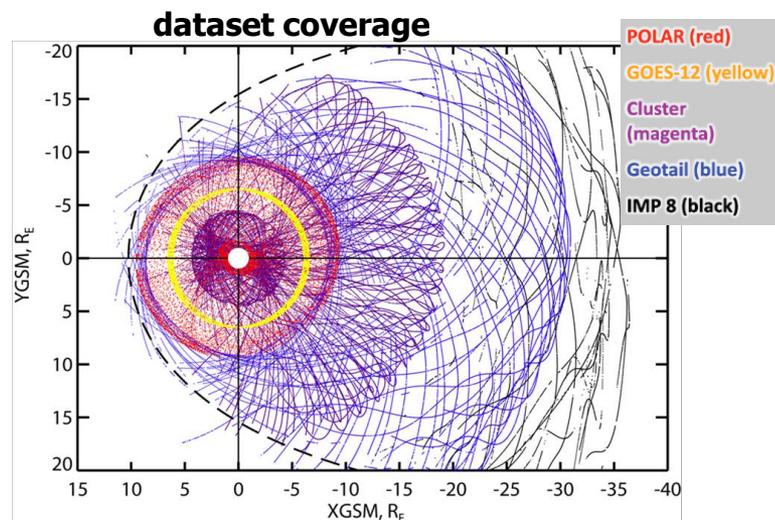


The Tsyganenko models are **semi-empirical** best-fit representations for the external magnetic field

The **TS07D** model (Tsyganenko & Sitnov 2007):

- ❖ Dynamical, high-resolution description:
  - large ( $\sim 10^6$  points) dataset based on recent (1995-2005) spacecraft measurements (Cluster, Polar, Geotail, IMP-8, GOES 8-12);
- ❖ Coverage:  $< 30-35 R_E$  ;
- ❖ More flexible and strongly superior to all past empirical models in reconstructing distribution of storm-scale currents.

For more details: [http://geomag\\_field.jhuapl.edu/model/](http://geomag_field.jhuapl.edu/model/)



# Flux calculation

## Effective area calculation

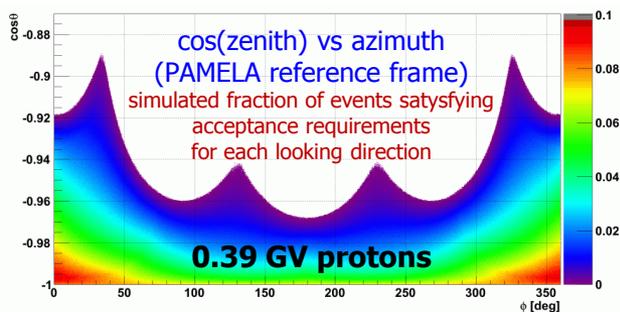


### PAMELA effective area:

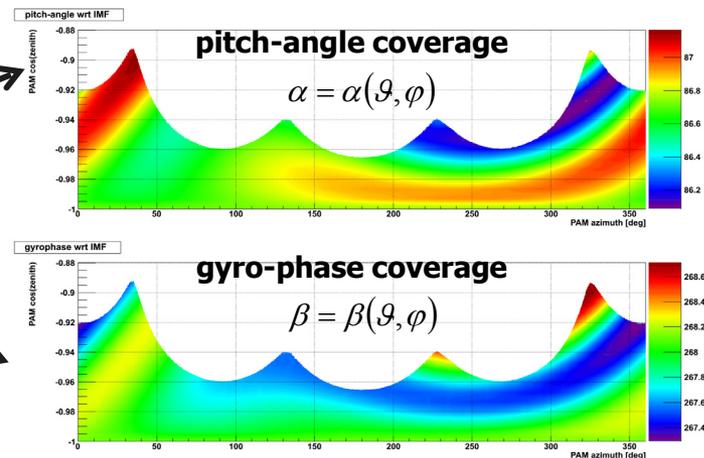
$$H(R, \alpha) = \frac{\sin \alpha}{2\pi} \int_0^{2\pi} d\beta [A(R, \vartheta, \varphi) \cos \vartheta]$$

NB: again, no assumption on  $\alpha$  distribution (e.g. gaussian)

- The method is similar to the one developed for the estimate of geomagnetically trapped fluxes (as a function of pitch-angle with respect to the local geomagnetic field)
- but in the present case the considered pitch-angle is respect to the IMF (asymptotic)
  - ❖ thus not evaluable with simple trigonometric operations
    - ❖ at given position/time, the relationship between local and asymptotic angles is rigidity dependent
  - trajectory analysis is required

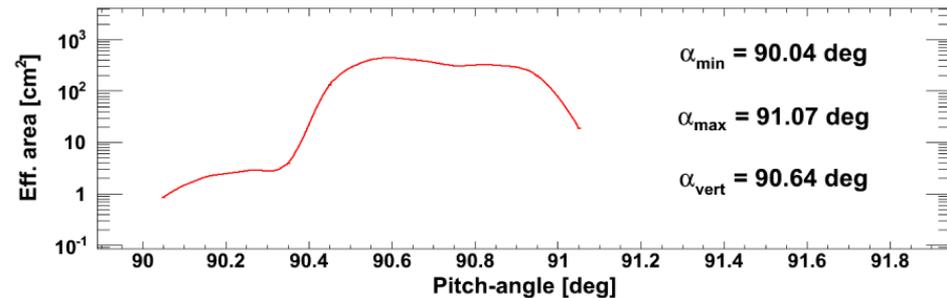
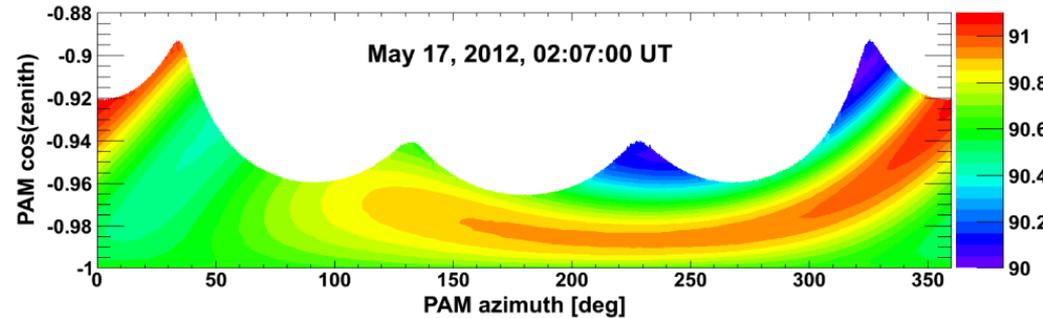
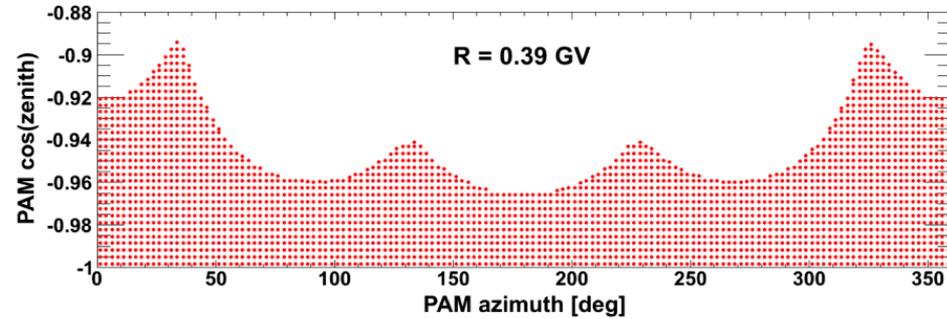


**BACK-TRACING**



# Flux calculation

## Effective area calculation



sample orbital position

To convert local into asymptotic directions, a large number of trajectories (uniformly distributed inside PAMELA field of view) has to be reconstructed in the magnetosphere, for each rigidity value and each orbital position.

To assure a **high resolution**, the calculation is performed for time steps with a 1-sec width, by back-tracing about 2800 trajectories, for 22 rigidity bins (0.39 ÷ 4.09 GV)

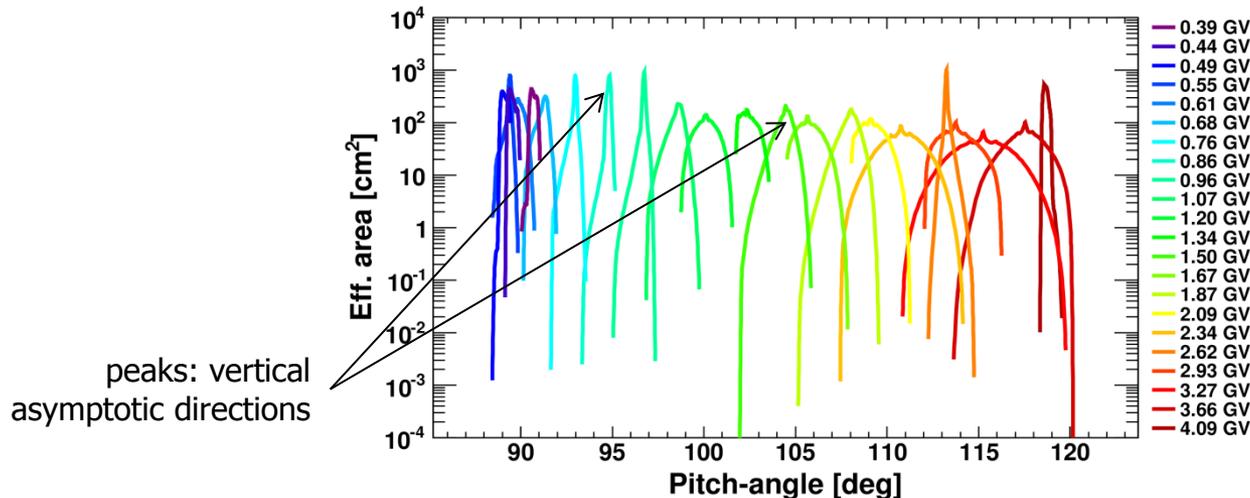
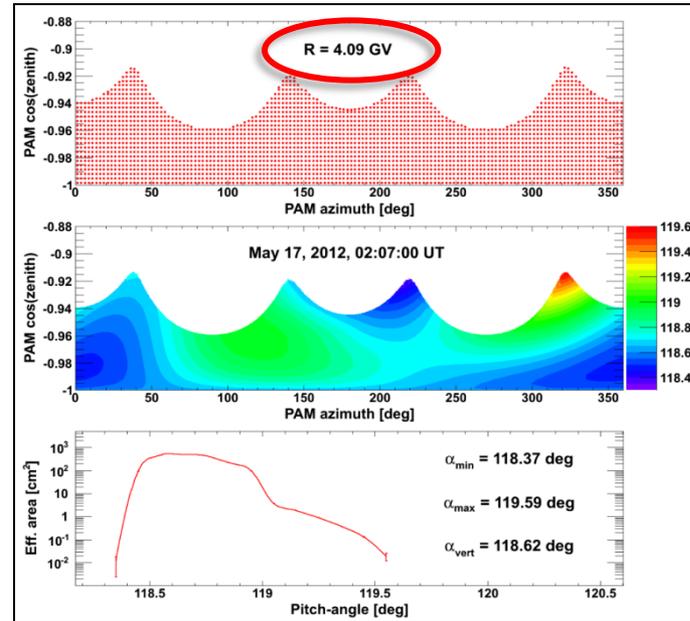
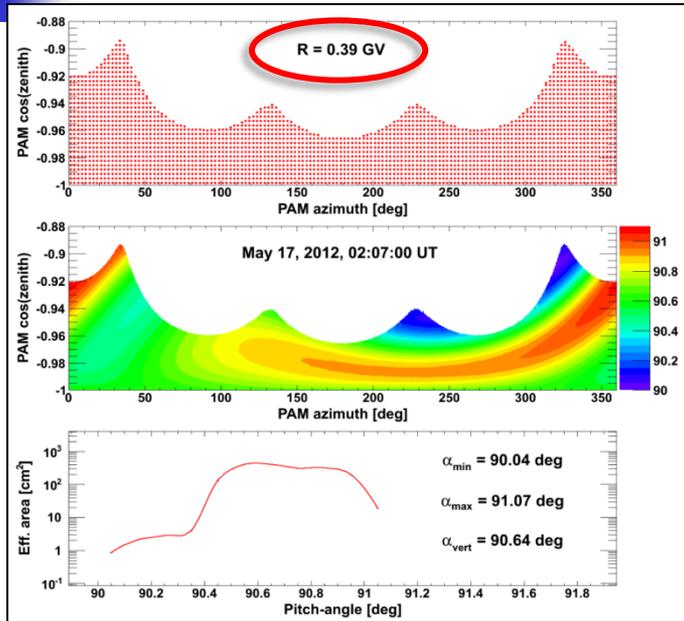
- ❖ more than  $8 \cdot 10^7$  trajectories for each polar pass (~23 min)!

At a later stage, results are extended over the full field of view of PAMELA through bilinear interpolation.

**Top:** distribution of reconstructed directions (red points) inside the PAMELA field of view.  
**Middle:** calculated pitch-angle coverage (color code, deg).  
**Bottom:** the apparatus effective area as function of pitch-angle. Results correspond to 0.39 GV protons, for a sample orbital position (May 17, 2012, 02:07 UT).

# Flux calculation

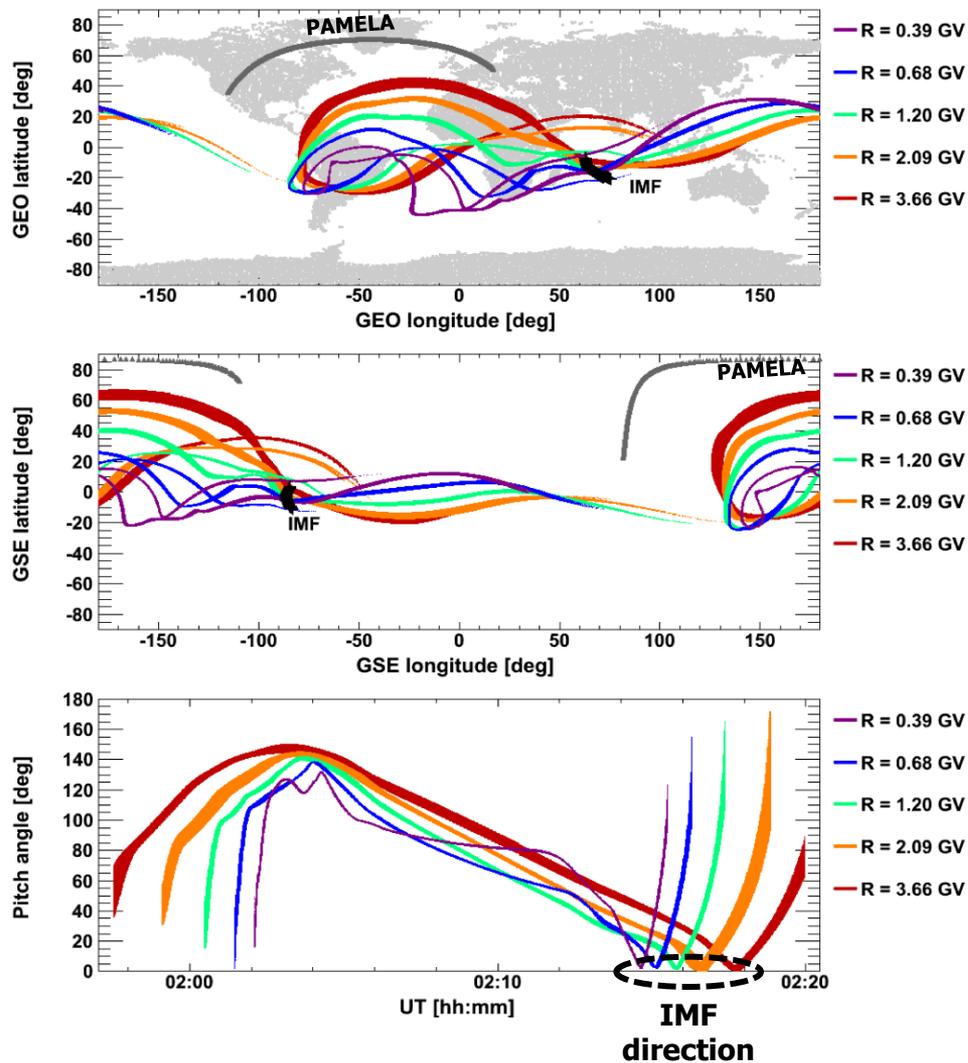
## Effective area calculation



PAMELA effective area for 22 rigidity values (color code), at sample orbital position

# The 2012 May 17 event

## Effective area calculation



■ **Asymptotic cones of acceptance** evaluated for the first PAMELA polar pass (01:57÷02:20 UT) during the May 17, 2012 SEP event. Results for sample rigidity values are shown as a function of GEO (**top panel**) and GSE (**middle panel**) coordinates;

■ The pitch-angle coverage as a function of the orbital position is displayed in the **bottom panel**.

❖ During the satellite polar pass the asymptotic cones move in a clockwise direction and a large pitch-angle interval is covered (0÷145 deg).

✓ In particular, PAMELA is looking at the IMF direction between 02:14 and 02:18 UT, depending on the proton rigidity.

Fluxes are averaged over single polar passes (~22 min)

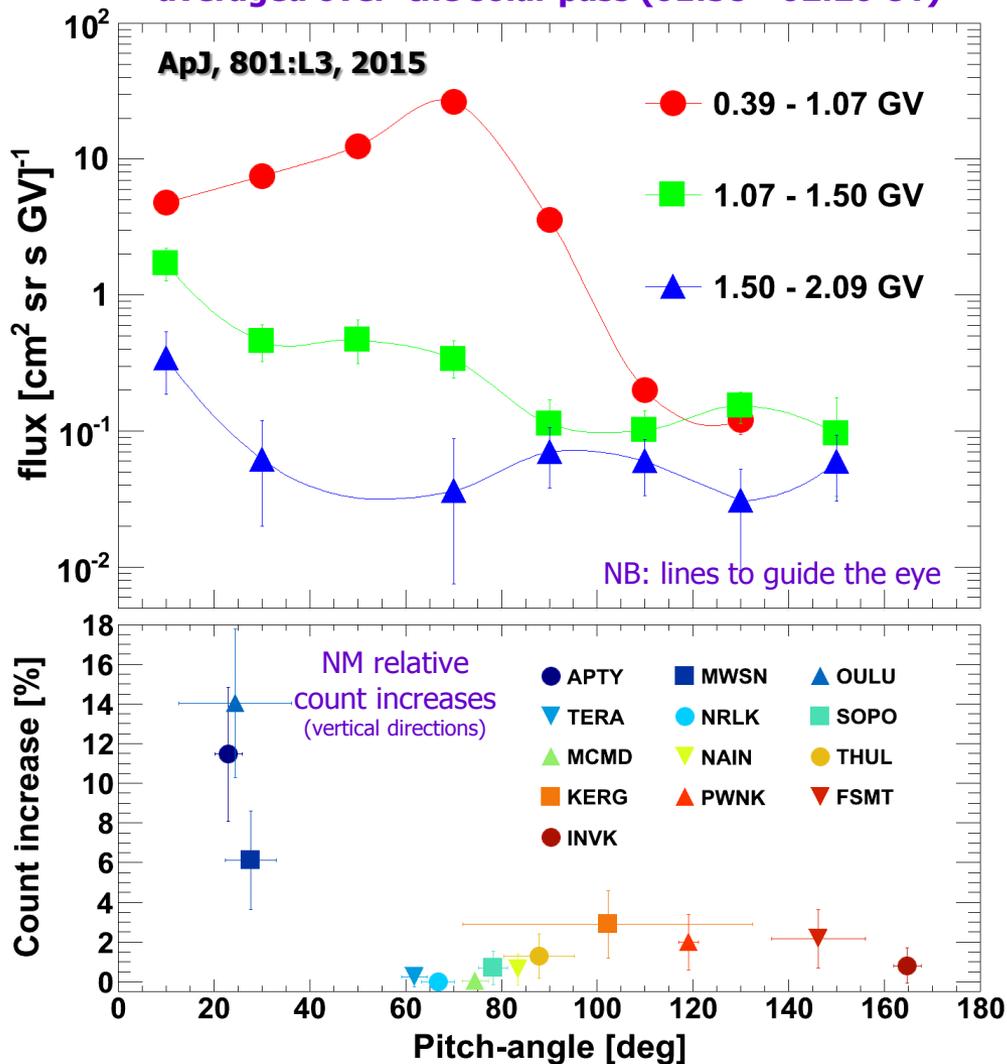
# The 2012 May 17 event

## Pitch-angle distribution



### PAMELA vs NMs

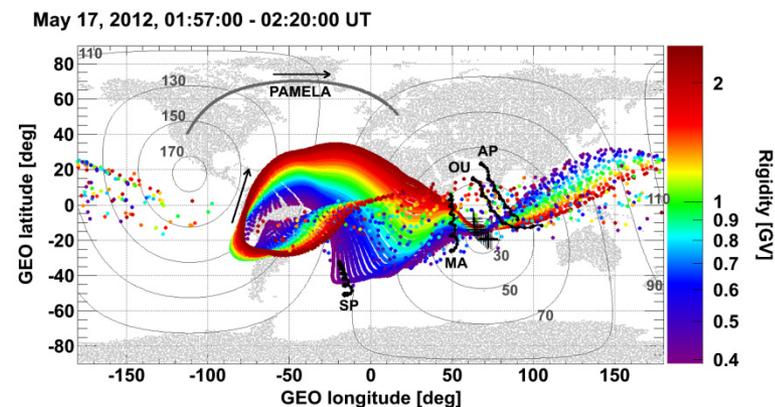
averaged over the solar pass (01:58 - 02:20 UT)



PAMELA observes two populations simultaneously with very different pitch angle distributions:

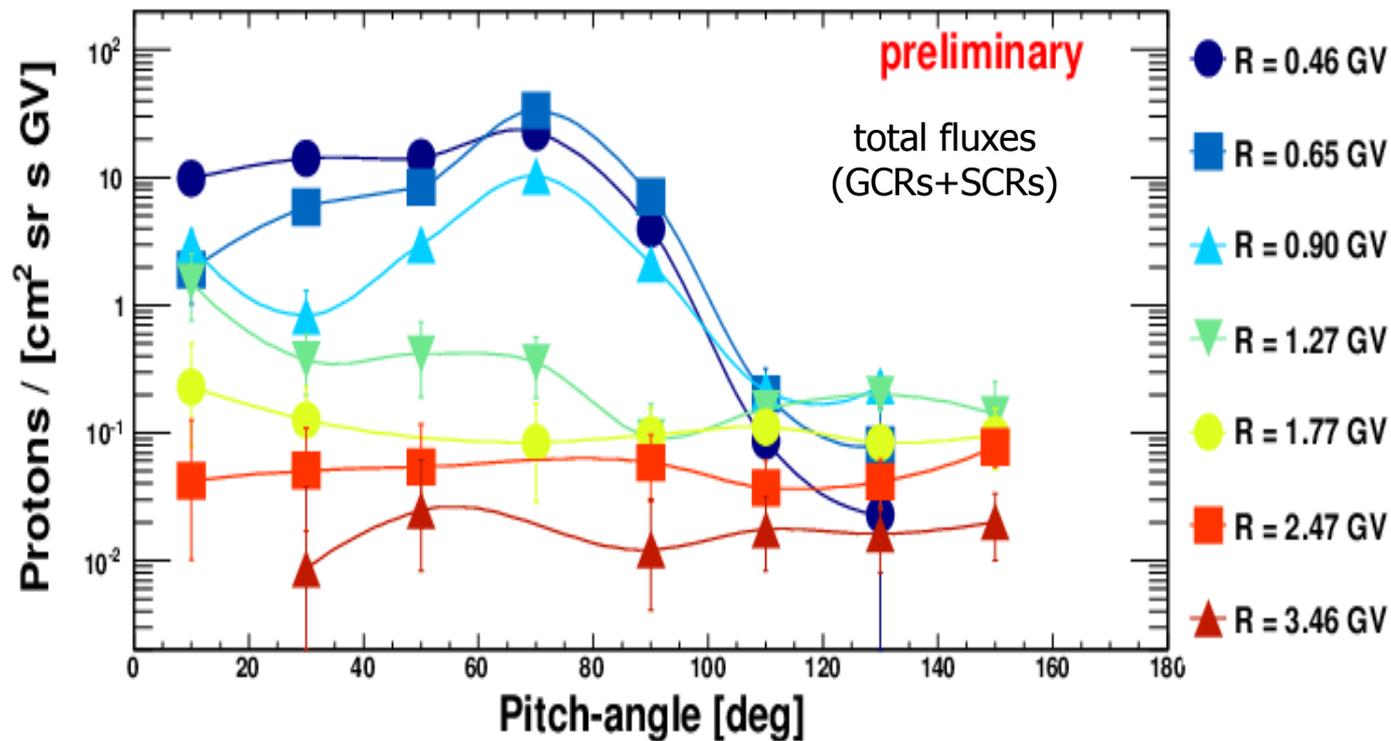
- a low-energy component (<1 GV)
  - confined to pitch angles <90°
  - and exhibiting significant scattering or redistribution;
- and a high-energy component (>1.5 GV)
  - beamed with pitch angles <30°,
  - consistent with NM observations.
- The component with intermediate energies (1 - 1.5 GV) suggests a transition between the low and high energies.

At rigidities >1 GV, corresponding to NM data, the particles are mostly field aligned.



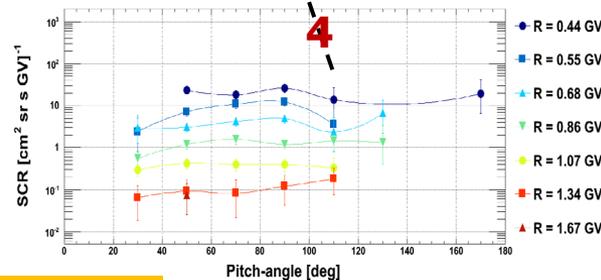
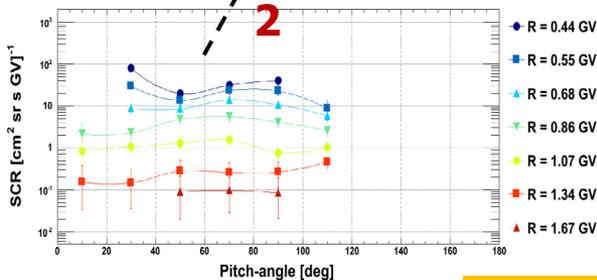
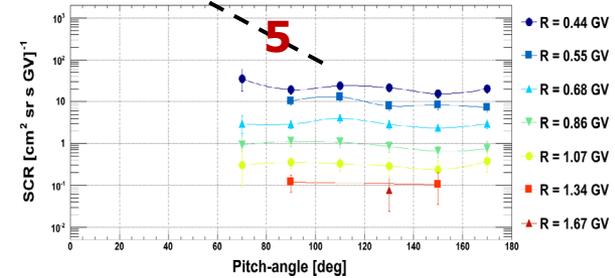
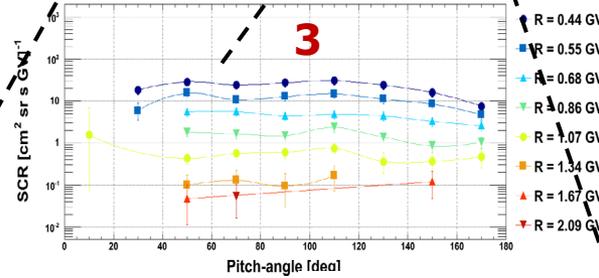
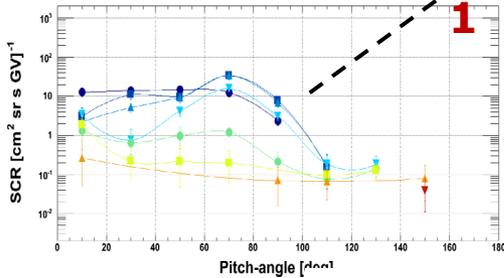
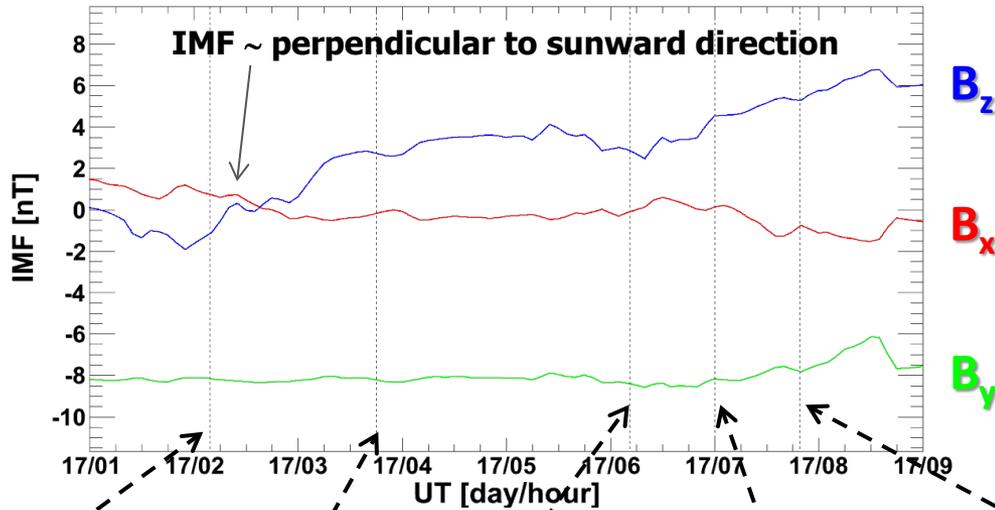
# The 2012 May 17 event

## Pitch-angle distribution



# The 2012 May 17 event

## Pitch-angle distributions in time



# Conclusions



- ❖ PAMELA results improve the description of the **geomagnetically trapped** proton radiation at low altitudes (down to  $L \sim 1.1 R_E$ ) and at high energies (up to  $E \sim 4$  GeV), where current models suffer from large uncertainties.
  - the analysis of trapped  $e^+/e^-$  is in progress
  - we plan to develop a PAMELA trapped model
  
- ❖ PAMELA measurements provide important information on trapping and interaction processes in the geomagnetic field, and also enhance the description of **re-entrant albedo** protons (quasi-trapped, precipitating, pseudo-trapped) in different regions of the magnetosphere, including the penumbral regions.
  
- ❖ PAMELA data have been exploited to perform a measurement of the **geomagnetic cutoff** variations during the long lasting SEP events of 2006 December 13 and 14.
  
- ❖ PAMELA is providing accurate **SEP** measurements in a wide energy range
  - Its unique observational capabilities include the possibility of measuring the flux angular distribution and thus investigating possible SEP anisotropies.
  - The trajectory analysis will prove to be a vital ingredient for the interpretation of solar events observed by PAMELA during solar cycles 23 and 24.