

# Lepton Non-Universality and Flavor in Rare Decays

(opportunities from  $R_K$ )

based on works with Martin Schmaltz and Ivo de Medeiros Varzielas  
arXiv:1408.1627, arXiv:1411.4773, arXiv:1503.01084 [hep-ph].

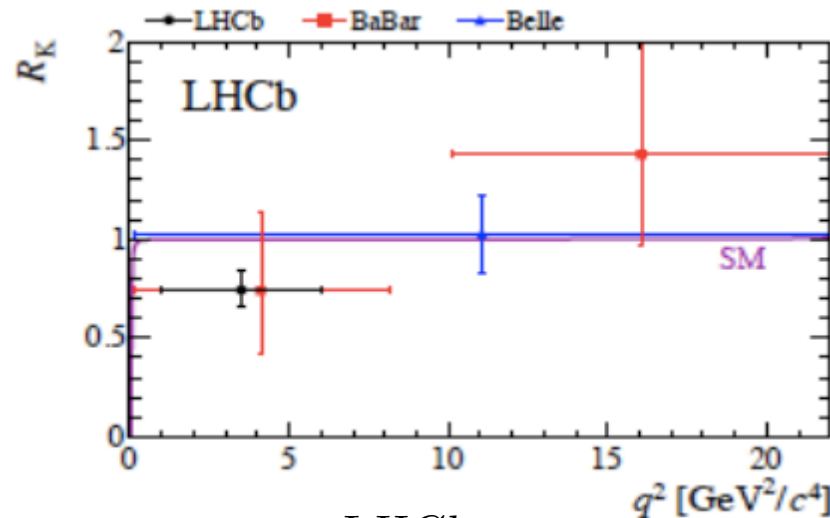
Gudrun Hiller, Dortmund

$$R_K = \frac{\mathcal{B}(\bar{B} \rightarrow \bar{K} \mu \mu)}{\mathcal{B}(\bar{B} \rightarrow \bar{K} e e)}$$

idea:  $R_H^{\text{SM}} = 1 + \text{tiny}$  for  $H = K, K^*, X_s, \dots$  GH, Krüger, hep-ph/0310219

refined, cuts, correlations, models: 0709.4174 Bobeth et al

early data: Belle 0904.0770, BaBar 1204.3933, consistent with SM



latest data: LHCb 1406.6482  $R_K^{\text{LHCb}} \simeq 3/4 \pm 0.1$ : **2.6  $\sigma$ , BSM huge!**

theory: 1406.6681 1407.7044 1408.1627 1408.4097 1409.0882 ....

$B^\pm \rightarrow K^\pm ee$  and  $B^\pm \rightarrow K^\pm \mu\mu$  events at LHCb. Full data set,  $3\text{fb}^{-1}$ , from 7 and 8 TeV LHC run.

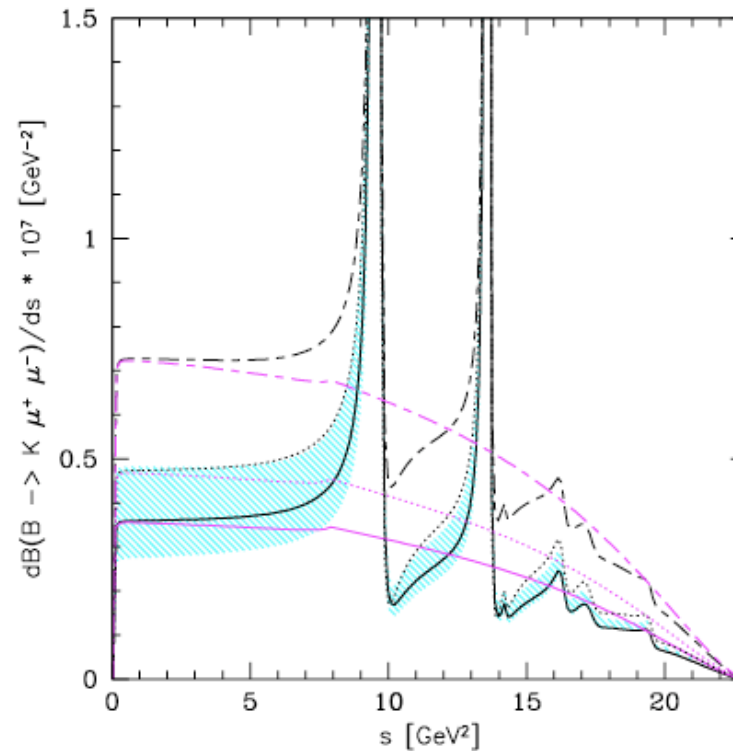


Fig from 9910221, solid: SM, dotted and dot-dashed: BSM scenario

Select low dilepton mass window:  $1 \leq q^2 < 6 \text{ GeV}^2$  below  $J/\Psi$ .

situation for numerator  $\mu\mu$  and denominator  $ee$  of  $R_K$  separately:

	LHCb <sup>a</sup>	SM <sup>b</sup>
$\mathcal{B}(B \rightarrow K\mu\mu)_{[1,6]}$	$(1.21 \pm 0.09 \pm 0.07) \cdot 10^{-7}$	$(1.75^{+0.60}_{-0.29}) \cdot 10^{-7}$
$\mathcal{B}(B \rightarrow Kee)_{[1,6]}$	$(1.56^{+0.19+0.06}_{-0.15-0.04}) \cdot 10^{-7}$	same
$R_K _{[1,6]}$	$0.745 \pm_{0.074}^{0.090} \pm 0.036$	$\simeq 1$

<sup>a</sup> 1209.4284 ( $\mu$ ) and 1406.6482 ( $e$ ) <sup>b</sup> Bobeth, GH, van Dyk '12, form factors from 1006.4945

Individual branching ratios make presently no case for new physics, although muons are a bit below SM. The ratio  $R_K$  is much cleaner. Lepton-universal effects – including hadronic ones – drop out in ratios of branching fractions [GH,Krüger'03](#).

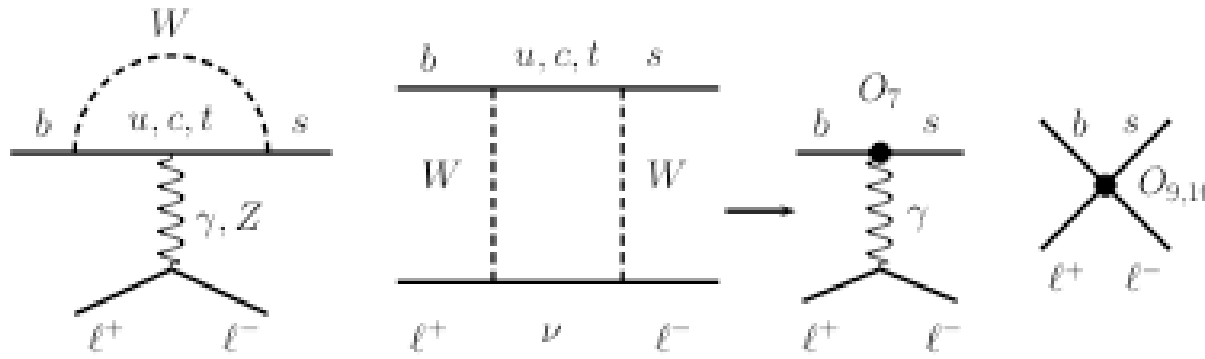
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## Comments:

- $R_K = 0.745 \pm_{0.074}^{0.090} \pm 0.036 < 1$  implies suppressed muons and/or enhanced electrons, that is, BSM in electrons, or muons, or both.
- $R_K \simeq 3/4$  is almost an order 1 effect. Yet, it is not excluded by other data essentially because  $R_K$  is so clean and the effect, lepton-nonuniversality in  $b \rightarrow s$ , is quite specific.
- Ongoing precision fits in  $B \rightarrow K^{(*)} \ell \ell$  decays (Babar, Belle, CDF, ATLAS, CMS, LHCb) [1307.5683](#), [1308.1501](#), [1310.2478](#) dominated from hadron colliders hence give essentially lepton-specific constraints for  $\ell = \mu$ .
- Electrons much more difficult for LHCb than muons:  
 $B \rightarrow K \mu \mu$ :  $\sim 1226$  events,  $B \rightarrow K e e$ :  $\sim O(200)$  events.

- 1) About  $R_K$  ✓
- 2) Model-independent interpretations (implications for Wilson coefficients)
- 3) Model-interpretations; Leptoquarks; mass scale for this?
- 4) Diagnosing with more ratios:  $R_K$  vs  $R_{K^*}$  vs  $R_\varphi$  vs  $R_{X_s}$  vs ..
- 5) Connecting to flavor; LFV and probing the origin

# $b \rightarrow s\ell\ell$ FCNCs model-independently



Construct EFT  $\mathcal{H}_{\text{eff}} = -4 \frac{G_F}{\sqrt{2}} V_{tb} V_{ts}^* \sum_i C_i(\mu) O_i(\mu)$  at dim 6

V,A operators  $\mathcal{O}_9 = [\bar{s}\gamma_\mu P_L b] [\bar{\ell}\gamma^\mu \ell]$ ,  $\mathcal{O}'_9 = [\bar{s}\gamma_\mu P_R b] [\bar{\ell}\gamma^\mu \ell]$

$\mathcal{O}_{10} = [\bar{s}\gamma_\mu P_L b] [\bar{\ell}\gamma^\mu \gamma_5 \ell]$ ,  $\mathcal{O}'_{10} = [\bar{s}\gamma_\mu P_R b] [\bar{\ell}\gamma^\mu \gamma_5 \ell]$

S,P operators  $\mathcal{O}_S = [\bar{s}P_R b] [\bar{\ell}\ell]$ ,  $\mathcal{O}'_S = [\bar{s}P_L b] [\bar{\ell}\ell]$ , **ONLY  $\mathcal{O}_9, \mathcal{O}_{10}$  are SM, all other BSM**

$\mathcal{O}_P = [\bar{s}P_R b] [\bar{\ell}\gamma_5 \ell]$ ,  $\mathcal{O}'_P = [\bar{s}P_L b] [\bar{\ell}\gamma_5 \ell]$

and tensors  $\mathcal{O}_T = [\bar{s}\sigma_{\mu\nu} b] [\bar{\ell}\sigma^{\mu\nu} \ell]$ ,  $\mathcal{O}_{T5} = [\bar{s}\sigma_{\mu\nu} b] [\bar{\ell}\sigma^{\mu\nu} \gamma_5 \ell]$

**lepton specific  $C_i O_i \rightarrow C_i^\ell O_i^\ell$ ,  $\ell = e, \mu, \tau$**

Barring the presence of several different types of operators, or species, there are the following model-independent explanations for  $R_K$ :

- i)* V,A operators with muons
- ii)* V,A operators with electrons
- iii)* S,P operators electrons (disfavored at  $1\sigma$  and requires cancellations, testable with  $\bar{B} \rightarrow \bar{K}ee$  angular distributions)

Tensors and S,P muons are excluded.



Model-independent interpretations with V,A interactions: 1406.6681, 1408.1627

$$0.7 \lesssim \text{Re}[X^e - X^\mu] \lesssim 1.5 ,$$

$$X^\ell = C_9^{\text{NP}\ell} + C_9^{\prime\ell} - (C_{10}^{\text{NP}\ell} + C_{10}^{\prime\ell})$$

- The required NP is large  $C_9^{\text{SM}} \simeq -C_{10}^{\text{SM}} \simeq 4.2$ .
- Since the SM couples V-A-like, the leading constraints on  $X^\ell$  from SM-NP-interference have V-A structure for the leptons; there is no sensitivity to V+A (right-handed) leptons at this level.

Lets use the chiral basis:

$$\begin{aligned}\mathcal{O}_{LL}^\ell &\equiv (\mathcal{O}_9^\ell - \mathcal{O}_{10}^\ell)/2, & \mathcal{O}_{LR}^\ell &\equiv (\mathcal{O}_9^\ell + \mathcal{O}_{10}^\ell)/2, \\ \mathcal{O}_{RL}^\ell &\equiv (\mathcal{O}'_9{}^\ell - \mathcal{O}'_{10}{}^\ell)/2, & \mathcal{O}_{RR}^\ell &\equiv (\mathcal{O}'_9{}^\ell + \mathcal{O}'_{10}{}^\ell)/2.\end{aligned}$$

$R_K$  sensitive to left-handed leptons:

$$C_{LL}^\ell = C_9^\ell - C_{10}^\ell, \quad C_{RL}^\ell = C'_9{}^\ell - C'_{10}{}^\ell.$$

right-handed leptons:  $C_{LR}^\ell = C_9^\ell + C_{10}^\ell$ ,  $C_{RR}^\ell = C'_9{}^\ell + C'_{10}{}^\ell$

This suggests to use in global fits invariant-constraints such as

$$C_9^{\text{NP}\ell} = -C_{10}^{\text{NP}\ell}, \quad C_9^{\text{NP}'\ell} = -C_{10}^{\text{NP}'\ell}.$$

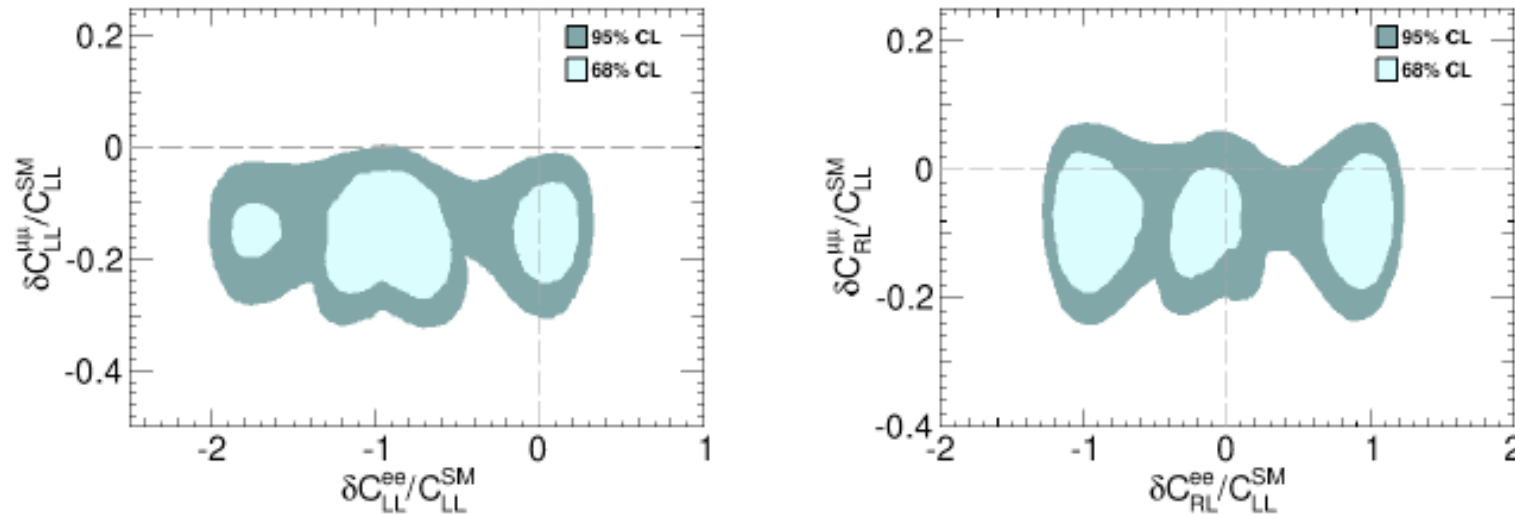


Fig from 1410.4545 – global fit including  $R_K$

- Bounds stronger for  $\mu\mu$  ( $y$ -axis) than for  $ee$  ( $x$ -axis).
- Both left-handed quarks  $C_{LL}$  (left-handed plot) and right-handed quarks  $C_{RL}$  (right-handed plot) can be sizable.

If we assume new physics in muons alone employ  $\mathcal{B}(\bar{B}_s \rightarrow \mu\mu)$

$$\frac{\mathcal{B}(\bar{B}_s \rightarrow \mu\mu)^{\text{exp}}}{\mathcal{B}(\bar{B}_s \rightarrow \mu\mu)^{\text{SM}}} = 0.79 \pm 0.20 \quad \text{is suppressed currently .}$$

$$0.0 \lesssim \text{Re}[C_{LR}^\mu + C_{RL}^\mu - C_{LL}^\mu - C_{RR}^\mu] \lesssim 1.9, \quad (\mathcal{B}(B_s \rightarrow \mu\mu))$$

$$0.7 \lesssim -\text{Re}[C_{LL}^\mu + C_{RL}^\mu] \lesssim 1.5. \quad (R_K)$$

This isolates  $C_{LL}^\mu$  as the only single operator (particle) interpretation of  $R_K$ . Note: this is V-A. Iff  $\mathcal{B}(\bar{B}_s \rightarrow \mu\mu)$  would be enhanced this would isolate  $C_{RL}^\mu \simeq -1$ , V+A!  $b \rightarrow \text{see}$  way less constrained.

V,A muons and V,A electrons can be realized with leptoquark models

GH, Schmaltz arXiv:1408.1627, Phys. Rev. D 90, 054014 (2014)

A model with  $C_{RL}^e$  (includes R-parity violating MSSM):

$\mathcal{L} = -\lambda_{d\ell} \varphi (\bar{d}P_L\ell)$  with leptoquark  $\varphi(3, 2)_{1/6}$  with mass  $M$ .

$$\mathcal{H}_{\text{eff}} = -\frac{|\lambda_{d\ell}|^2}{M^2} (\bar{d}P_L\ell) (\bar{\ell}P_Rd) = \frac{|\lambda_{d\ell}|^2}{2M^2} [\bar{d}\gamma^\mu P_Rd] [\bar{\ell}\gamma_\mu P_L\ell]$$

from tree level  $\varphi$  exchange and fierzing.

In terms of the usual Wilson coefficients:

$$C_{10}^{\prime e} = -C_9^{\prime e} = \frac{\lambda_{se}\lambda_{be}^*}{V_{tb}V_{ts}^*} \frac{\pi}{\alpha_e} \frac{\sqrt{2}}{4M^2 G_F} = -\frac{\lambda_{se}\lambda_{be}^*}{2M^2} (24\text{TeV})^2$$

$R_K$ -benchmark:  $C_9^{\prime e} = -C_{10}^{\prime e} \simeq 1/2$  follows  $M^2/\lambda_{se}\lambda_{be}^* \simeq (24\text{TeV})^2$

ambiguity between  $M^2$  and  $\lambda\lambda^*$  can be resolved by  $B_s$ -mixing!

Viable parameters of the (scalar) leptoquarks read

$$1 \text{ TeV} \lesssim M \lesssim 48 \text{ TeV}$$

$$2 \cdot 10^{-3} \lesssim |\lambda_{se} \lambda_{be}^*| \lesssim 4$$

$$4 \cdot 10^{-4} \lesssim |\lambda_{qe}| \lesssim 5$$

- $SU(2)$  implies corresponding effects in  $b \rightarrow s\nu\nu$  (only electron-neutrinos affected, signal diluted over 3 species).  
 $\mathcal{B}(B \rightarrow K\nu\nu)$  reduced by 5 %,  $\mathcal{B}(B \rightarrow K^*\nu\nu)$  enhanced by 5 %,  $F_L$  enhanced by 2 % w.r.t SM.
- Further correlation with  $B_s$  mixing,  $b \rightarrow s\gamma$ , and direct searches.
- Decay modes of  $\varphi$ -doublet:  $\varphi^{2/3} \rightarrow b e^+$ ,  $\varphi^{-1/3} \rightarrow b \nu$

# A LL muon leptoquark model

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see also 1412.1791 (composite leptoquarks)

$$\mathcal{L} = -\lambda_{b\mu} \varphi^* q_3 \ell_2 - \lambda_{s\mu} \varphi^* q_2 \ell_2, \quad \varphi(3, 3)_{-1/3}$$

$$\mathcal{H}_{\text{eff}} = -\frac{\lambda_{s\mu}^* \lambda_{b\mu}}{M^2} \left( \frac{1}{4} [\bar{q}_2 \tau^a \gamma^\mu P_L q_3] [\bar{\ell}_2 \tau^a \gamma_\mu P_L \ell_2] + \frac{3}{4} [\bar{q}_2 \gamma^\mu P_L q_3] [\bar{\ell}_2 \gamma_\mu P_L \ell_2] \right)$$

gives  $C_9^{\text{NP}\mu} = -C_{10}^{\text{NP}\mu} = \frac{\pi}{\alpha_e} \frac{\lambda_{s\mu}^* \lambda_{b\mu}}{V_{tb} V_{ts}^*} \frac{\sqrt{2}}{2M^2 G_F} \simeq -0.5$  and similar mass range as other model.

Decay modes of  $\varphi$ -triplet:

$$\begin{aligned} \varphi^{2/3} &\rightarrow t \nu \\ \varphi^{-1/3} &\rightarrow b \nu, t \mu^- \\ \varphi^{-4/3} &\rightarrow b \mu^- \end{aligned}$$

The  $U(1)_{\tau-\mu}$ -extension of SM [1403.1269 Altmannshofer et al](#) also violates lepton-universality. ( V,A-muons-type i) model, no BSM in  $ee$ .)

lots of recent interest, papers by [crivellin et al](#), [vicente et al](#), [Altmannshofer et al](#)

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## $C$ (LH-quark currents) versus $C'$ (RH quark currents)?

Long story in interpreting  $B \rightarrow K^{(*)} \mu\mu$  data/global fits as hadronic uncertainties (power corrections, resonances) could shadow BSM.

e.g. Camalich, Jäger '12, Lyon, Zwicky'14, .. in global fits 1307.5683, 1308.1501, 1310.2478, ...

$$0.7 \lesssim -\text{Re}[C_{LL}^{\mu} + C_{RL}^{\mu} - (C_{LL}^e + C_{RL}^e)] \lesssim 1.5 . \quad (R_K)$$



# Diagnosing lepton-nonuniversality

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By parity and lorentz invariance,  $C, C'$  enter decay amplitudes

$B \rightarrow K \ell \ell$  etc as [GH, Schmaltz 1411.4773](#)

$$C + C' : K, K_{\perp}^*, \dots$$

$$C - C' : K_0(1430), K_{0,\parallel}^*, \dots$$

so different ratios  $R_K, R_{K^*}$  etc are complementary.

$$R_K \simeq 1 + \Delta_+,$$

$$R_{K_0(1430)} \simeq 1 + \Delta_-,$$

$$R_{K^*} \simeq 1 + p(\Delta_- - \Delta_+) + \Delta_+,$$

$$R_{K_1} \simeq 1 + p'(\Delta_+ - \Delta_-) + \Delta_-,$$

$$R_{X_s} \simeq 1 + (\Delta_+ + \Delta_-)/2,$$

$$\Delta_{\pm} = \frac{2}{|C_9^{\text{SM}}|^2 + |C_{10}^{\text{SM}}|^2} \left[ \text{Re} \left( C_9^{\text{SM}} (C_9^{\text{NP}\mu} \pm C_9'^{\mu})^* \right) + \text{Re} \left( C_{10}^{\text{SM}} (C_{10}^{\text{NP}\mu} \pm C_{10}'^{\mu})^* \right) - (\mu \rightarrow e) \right]. \quad (1)$$

# Diagnosing lepton-nonuniversality

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Double ratios  $X_H = R_H/R_K$  are probing right-handed currents!

$$\begin{aligned} X_{K_0(1430)} &\simeq 1 + \Delta_- - \Delta_+, \\ X_{K^*} &\simeq 1 + p(\Delta_- - \Delta_+), \end{aligned} \quad (2)$$

$$X_{X_s} \simeq 1 + \frac{1}{2}(\Delta_- - \Delta_+).$$

$$X_{K_1} \simeq 1 + (1 - p')(\Delta_- - \Delta_+) \quad (3)$$

$$\Delta_- - \Delta_+ \simeq -0.48 \operatorname{Re}(C_9^{\prime\mu} - C_{10}^{\prime\mu} - (\mu \rightarrow e)). \quad (4)$$

Since  $K^*$  is dominated by '0' and '||' polarization, the complementarity between  $R_K$  and  $R_{K^*}$  (similarly  $R_\varphi$ ) is maximal ,

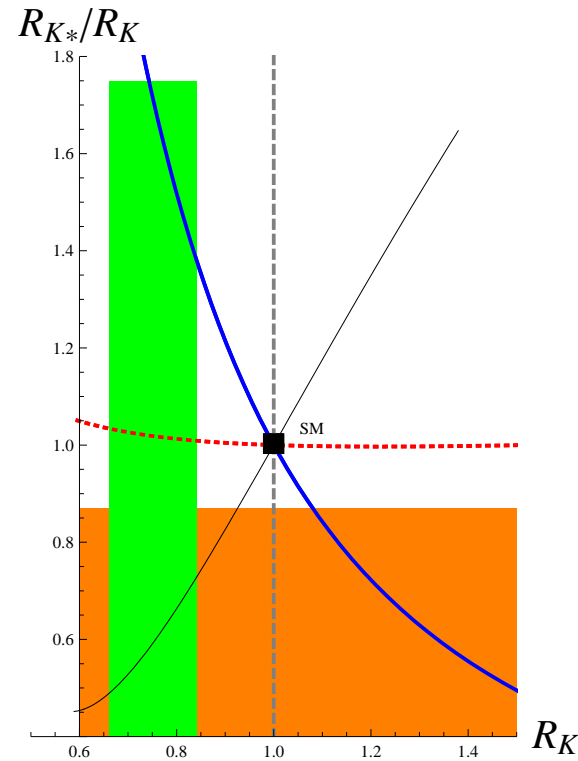
$$p \simeq O(1)$$

$$p = \frac{g_0 + g_{\parallel}}{g_0 + g_{\parallel} + g_{\perp}} \quad \text{where } \mathcal{B}(\bar{B} \rightarrow \bar{K}^* \ell \ell) = \int_{q_{\min}^2}^{q_{\max}^2} dq^2 \frac{d\mathcal{B}}{dq^2} = (g_0 + g_{\parallel})|C - C'|^2 + g_{\perp}|C + C'|^2$$

predictions:  $R_K = R_\eta$ ,  $R_{K^*} = R_\varphi$ , and correlations between  $R_H$ .

Measure two  $R_H$  (with  $C \pm C'$ ) and predict all of them !

# Diagnosing lepton-nonuniversality



Green band:  $R_K$  1 sigma LHCb. Curves: different BSM scenarios. red dashed: pure  $C_{LL}$ . Black solid:  $C_{LL} = -2C_{RL}$ . Blue:  $C_{RL}$ . Orange band is prediction for  $R_{K^*}$  (not significantly measured) based on  $R_K$  and  $B \rightarrow X_s \ell \ell$ :  $R_{X_s}^{\text{Belle}'09} = 0.42 \pm 0.25$ ,  $R_{X_s}^{\text{BaBar}'13} = 0.58 \pm 0.19$ .

# Diagnosing quark and lepton flavor

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Given the breakdown of lepton-universality, chances are that generically there is lepton flavor violation, too arXiv:1411.0565.

Explaining  $R_K$  with muons and electrons requires theory of flavor. That's an opportunity— given a signal— to access origin of flavor arXiv:1503.01084

Leptoquark coupling matrix:  $\lambda \equiv \begin{pmatrix} \lambda_{de} & \lambda_{d\mu} & \lambda_{d\tau} \\ \lambda_{se} & \lambda_{s\mu} & \lambda_{s\tau} \\ \lambda_{be} & \lambda_{b\mu} & \lambda_{b\tau} \end{pmatrix}$ ,  $\mathcal{L} = \bar{Q}_i \lambda_{ij} \varphi \ell_j$

Well-motivated ansatz: use  $U(1)$ -flavor-symmetry for quarks and non-abelian one e.g.  $A_4$  for leptons and assume Higgs to be uncharged. Predicts generically hierarchies for quarks and "zeros"

# Diagnosing quark and lepton flavor

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and "ones" for leptons. Explicit realizations include

$$\text{Single lepton flavor } \lambda^{[e]} \equiv \begin{pmatrix} \lambda_{de} & 0 & 0 \\ \lambda_{se} & 0 & 0 \\ \lambda_{be} & 0 & 0 \end{pmatrix}, \quad \lambda^{[\mu]} \equiv \begin{pmatrix} 0 & \lambda_{d\mu} & 0 \\ 0 & \lambda_{s\mu} & 0 \\ 0 & \lambda_{b\mu} & 0 \end{pmatrix}$$

$$\text{hierarchy: } \lambda^{[\rho\kappa]} \sim \lambda_0 \begin{pmatrix} \rho_d \kappa & \rho_d & \rho_d \\ \rho \kappa & \rho & \rho \\ \kappa & 1 & 1 \end{pmatrix}$$

constraints:  $\rho_d \lesssim 0.02$ ,  $\kappa \lesssim 0.5$ ,  $10^{-4} \lesssim \rho \lesssim 1$ ,  $\kappa/\rho \lesssim 0.5$ ,  $\rho_d/\rho \lesssim 1.6$

predictions:

$$\mathcal{B}(B \rightarrow K \mu^\pm e^\mp) \simeq 3 \cdot 10^{-8} \kappa^2 \left( \frac{1 - R_K}{0.23} \right)^2, \quad (5)$$

$$\mathcal{B}(B \rightarrow K e^\pm \tau^\mp) \simeq 2 \cdot 10^{-8} \kappa^2 \left( \frac{1 - R_K}{0.23} \right)^2, \quad (6)$$

$$\mathcal{B}(B \rightarrow K \mu^\pm \tau^\mp) \simeq 2 \cdot 10^{-8} \left( \frac{1 - R_K}{0.23} \right)^2, \quad (7)$$

and

$$\mathcal{B}(\mu \rightarrow e\gamma) \simeq 2 \cdot 10^{-12} \frac{\kappa^2}{\rho^2} \left( \frac{1 - R_K}{0.23} \right)^2, \quad (8)$$

$$\mathcal{B}(\tau \rightarrow e\gamma) \simeq 4 \cdot 10^{-14} \frac{\kappa^2}{\rho^2} \left( \frac{1 - R_K}{0.23} \right)^2, \quad (9)$$

$$\mathcal{B}(\tau \rightarrow \mu\gamma) \simeq 3 \cdot 10^{-14} \frac{1}{\rho^2} \left( \frac{1 - R_K}{0.23} \right)^2, \quad (10)$$

$$\mathcal{B}(\tau \rightarrow \mu\eta) \simeq 4 \cdot 10^{-11} \rho^2 \left( \frac{1 - R_K}{0.23} \right)^2. \quad (11)$$

asymmetric branching ratios:

$$\frac{\mathcal{B}(B_s \rightarrow \ell^+ \ell'^-)}{\mathcal{B}(B_s \rightarrow \ell^- \ell'^+)} \simeq \frac{m_\ell^2}{m_{\ell'}^2}. \quad \text{Left-handed leptons only} \quad (12)$$

$$\frac{\mathcal{B}(B_s \rightarrow \mu^+ e^-)}{\mathcal{B}(B_s \rightarrow \mu^+ \mu^-)_{\text{SM}}} \simeq 0.01 \kappa^2 \cdot \left( \frac{1 - R_K}{0.23} \right)^2, \quad (13)$$

$$\frac{\mathcal{B}(B_s \rightarrow \tau^+ e^-)}{\mathcal{B}(B_s \rightarrow \mu^+ \mu^-)_{\text{SM}}} \simeq 4 \kappa^2 \cdot \left( \frac{1 - R_K}{0.23} \right)^2, \quad (14)$$

$$\frac{\mathcal{B}(B_s \rightarrow \tau^+ \mu^-)}{\mathcal{B}(B_s \rightarrow \mu^+ \mu^-)_{\text{SM}}} \simeq 4 \cdot \left( \frac{1 - R_K}{0.23} \right)^2, \quad (15)$$



- If LHCb's measurement of  $R_K$  substantiates it implies that there is more difference between a muon and an electron than their mass. Lepton-universality, a feature of the  $SU(3)_C \times SU(2)_L \times U(1)_Y$  SM appears to be violated in  $b \rightarrow s$  FCNC transitions.
- Current data allow for model-independent explanations, as well as model frameworks such as leptoquarks, with  $M \lesssim 50$  TeV. There is no conflict with other measurements nor with model-building.
- Explanations imply correlations with other FCNC processes including LFV as well as predictions for direct searches, that can be tested in the future.

- $R_K \neq 1$  is a very important measurement.
- $R_K, R_K^*$  et al
- $B \rightarrow Kee$  angular distribution
- LFV in  $b \rightarrow s$
- More data for  $B \rightarrow K^* \mu\mu$ ! Preliminary  $3\text{fb}^{-1} B \rightarrow K^*(\rightarrow K\pi)\mu\mu$   
out – ok – havent explored the full benefit for  $b \rightarrow s$  fits yet;  
understanding of all kinds of systematic vital here and for future.

# Points of interest/Comments

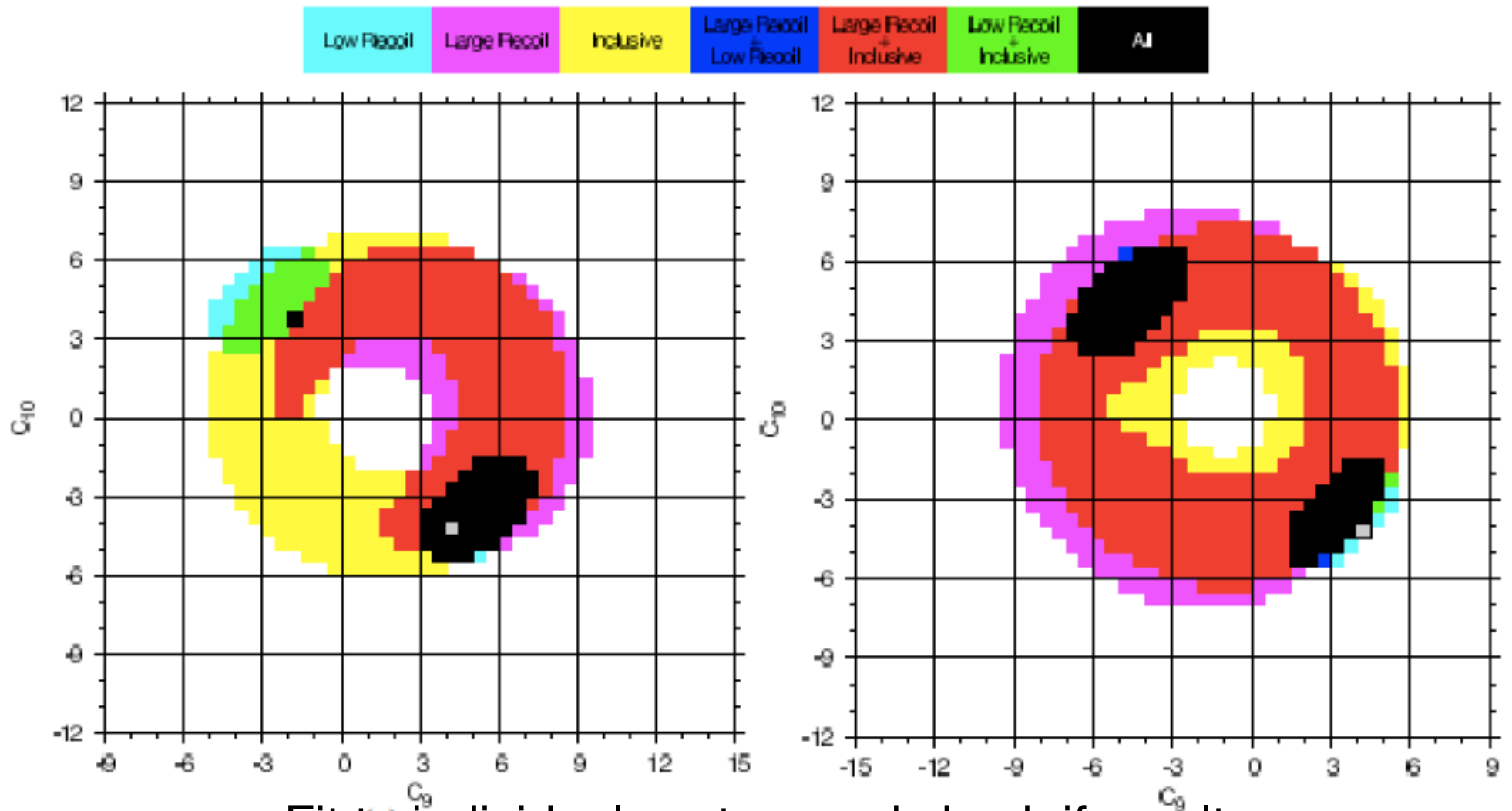


Fig from 1006.5013

Fit to individual sectors and check if results agree.