

Photocathode manufacturing at the CERN workshop

Some physics, technology and cooking^{*)}

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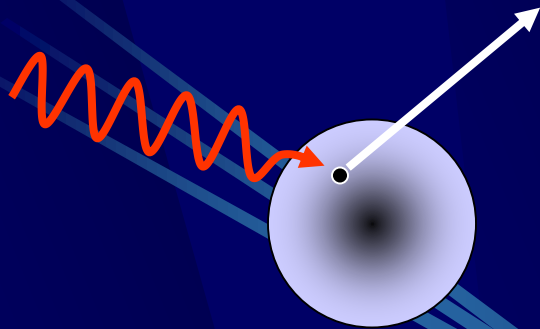
^{*)} Disclaimer:

- (1) I did my last evaporation in 2009 and may have forgotten details.
- (2) I'm not - and never was - an expert for gaseous photodetectors.

Outline

- Short recap of photodetection
- Production of reflective CsI photocathodes for gaseous detectors
- Production of visible light photocathodes for vacuum phototubes

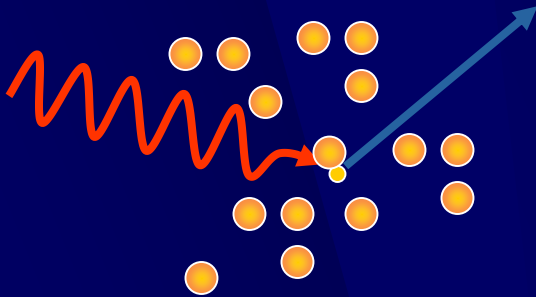
Photoelectric effect



Absorption of photon
Emission of atomic electron

$$E_e = h\nu - E_b$$

Photo effect in gases (liquids)



Threshold $\sim E_b$ relatively high.

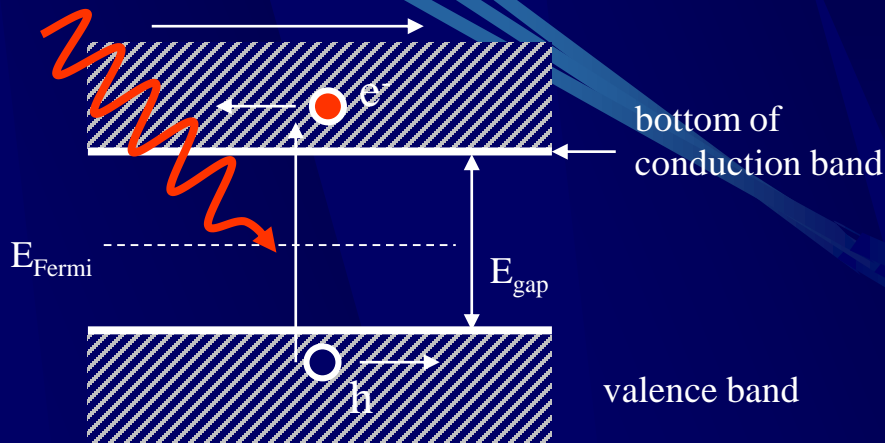
$$E_\gamma = h\nu > 6 \text{ eV}, \quad \lambda < 200 \text{ nm}$$

Examples: TMAE, TEA, admixed to
counting gas of MWPC

Photo effect in (crystalline) solids

A. Internal photo effect (electron stays inside the medium)

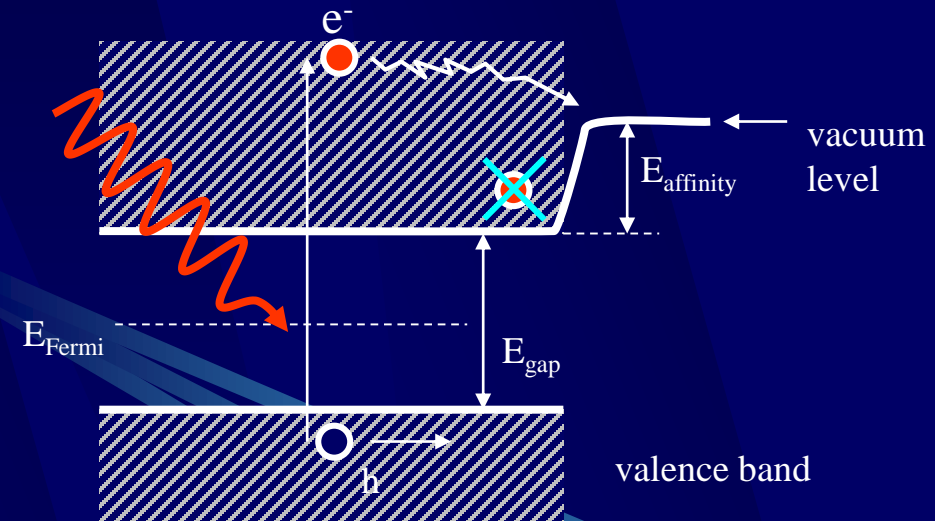
auto polarization (pn doping), optional external electric field



Threshold = band gap E_{gap} , relatively low, e.g. 1.2 eV (Silicon)

Application: Solar cell, photodiode, SiPM, ...

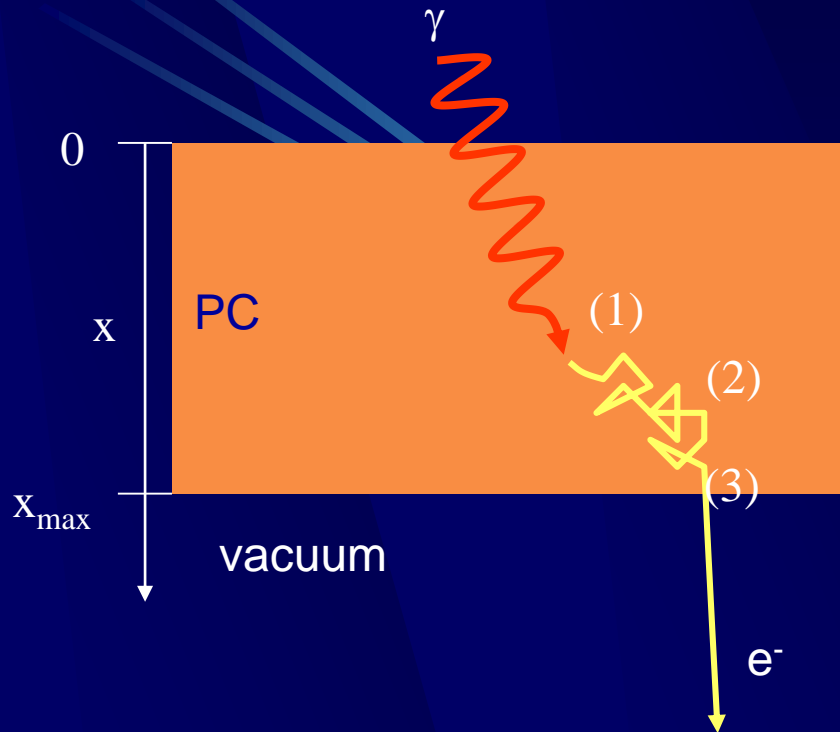
B. External photo effect (electron is ejected from the medium into the vacuum)



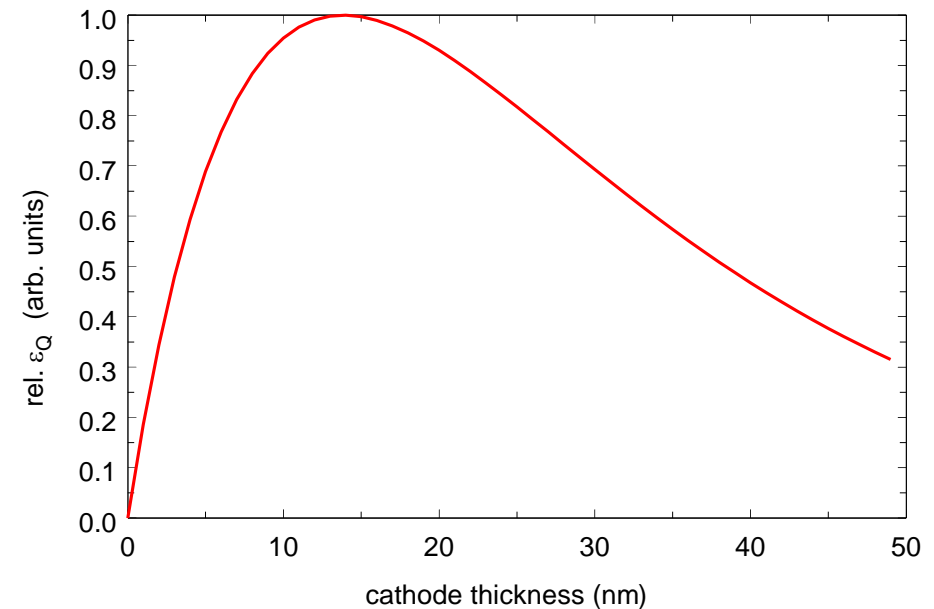
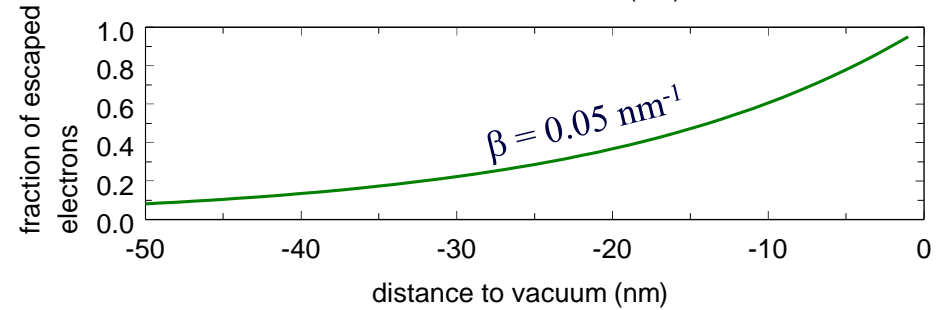
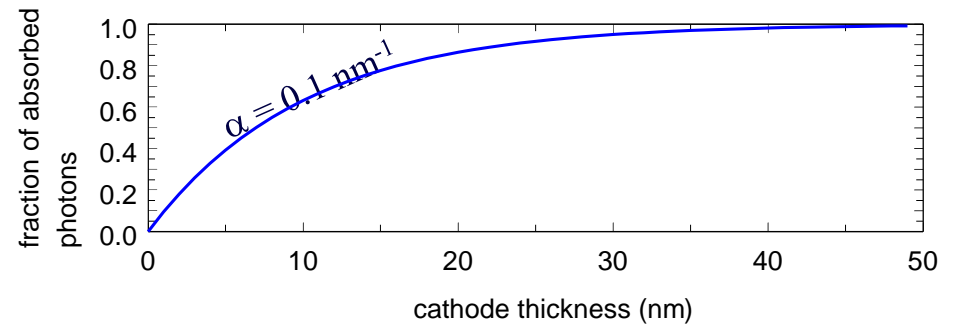
Application: Photomultiplier, HPDs, ...

Thin film photo cathode

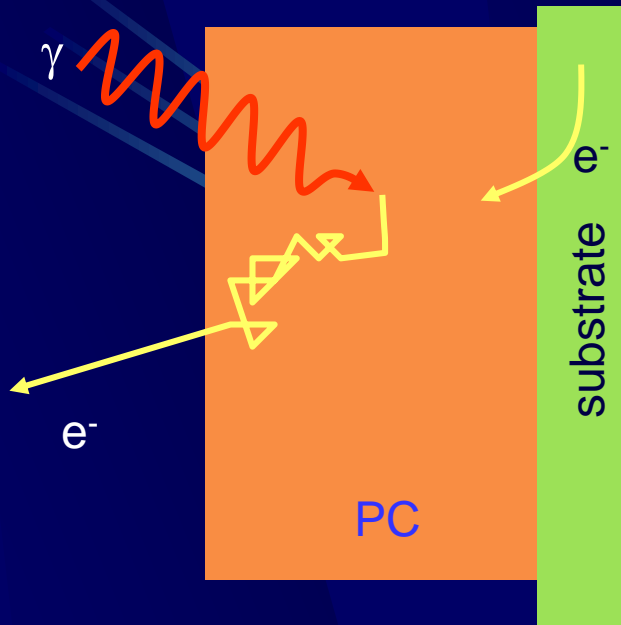
Semitransparent photocathode



- (1) Light absorption
- (2) e- propagation
- (3) e- escape



Opaque photocathode
(also called reflection mode)



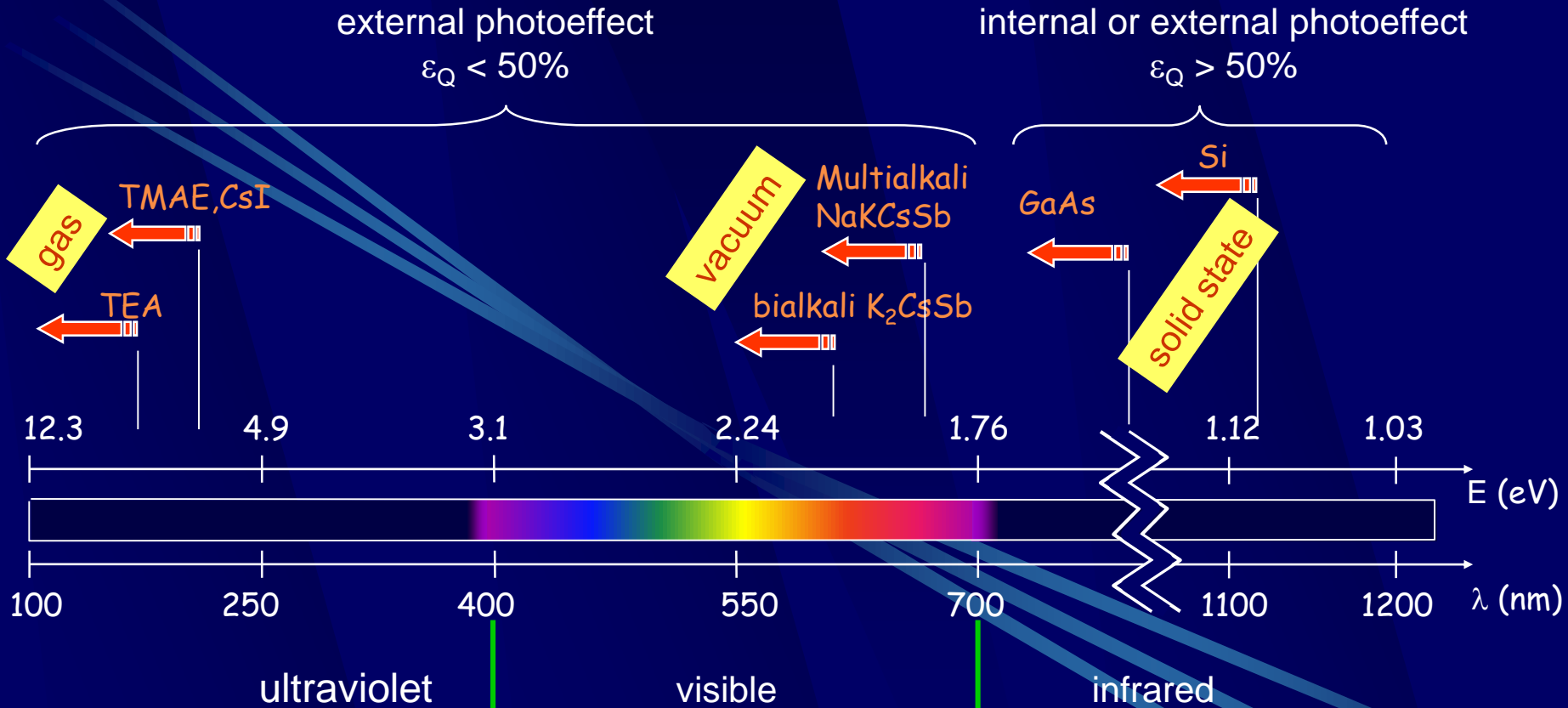
Example: CsI photocathodes (300 nm thick)

Thickness not critical.

Possible limitation: resistivity of layer.

Different photocathodes and their thresholds

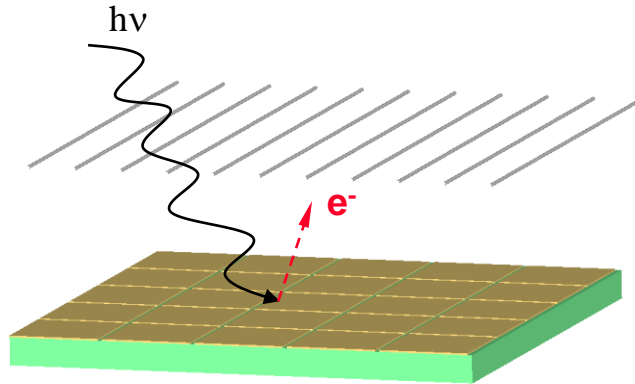
$$\varepsilon_Q = \text{Q.E.} = N_e / N_\gamma$$



- Photon detection involves often materials like K, Na, Rb, Cs (alkali metals). They have the smallest electronegativity \rightarrow highest tendency to release electrons.
- Most photocathodes are VERY reactive; Exceptions: Si and CsI.



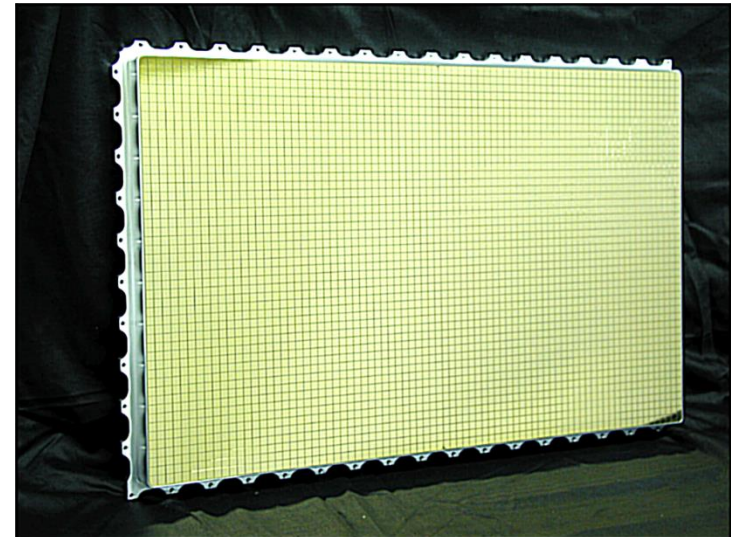
Reflective CsI photocathode for MWPC / MPGD based photodetectors



- Cost effective for large area photodetection planes (modules up to $\sim 60 \times 60 \text{ cm}^2$)
- Robust and transferable (in moisture free environment)
- Very sensitive to humidity !

Requirements:

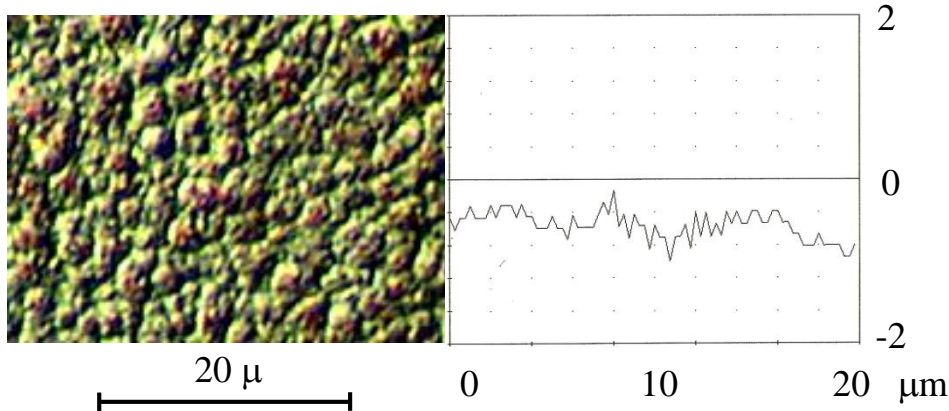
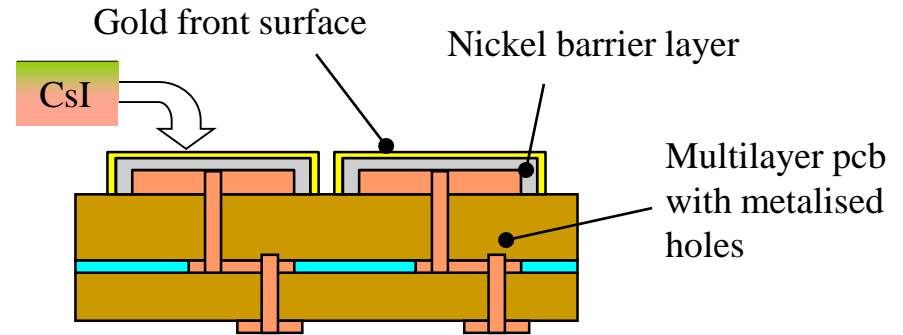
- High QE over 7.75 - 6.2 eV range
- Uniform QE response
- Stable in time (years)





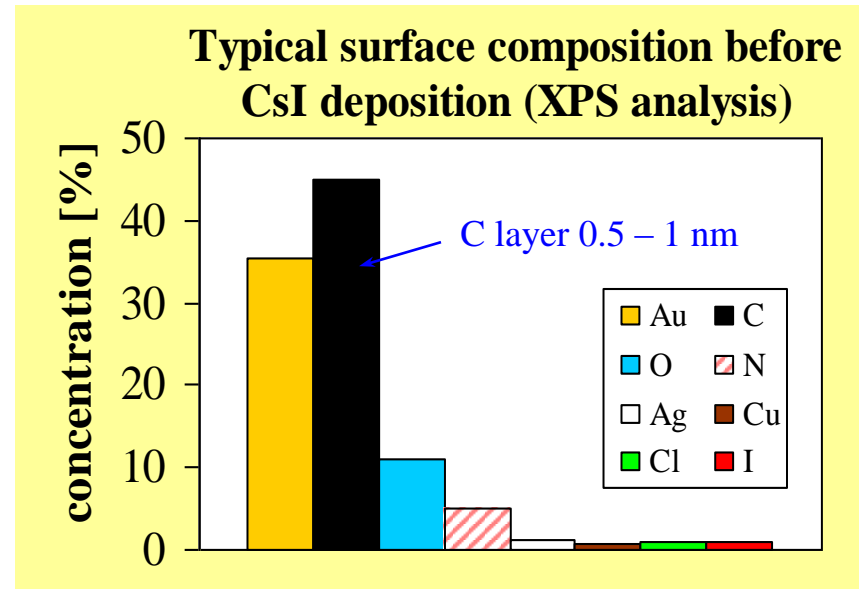
CsI substrate : Printed circuit board !

- Ni and Au barrier layers on top of Cu pads ($\sim 8 \times 8 \text{ mm}^2$)
- Standard Electro-plating technology



- Rough surface, cleaned ultrasonically under strong detergent

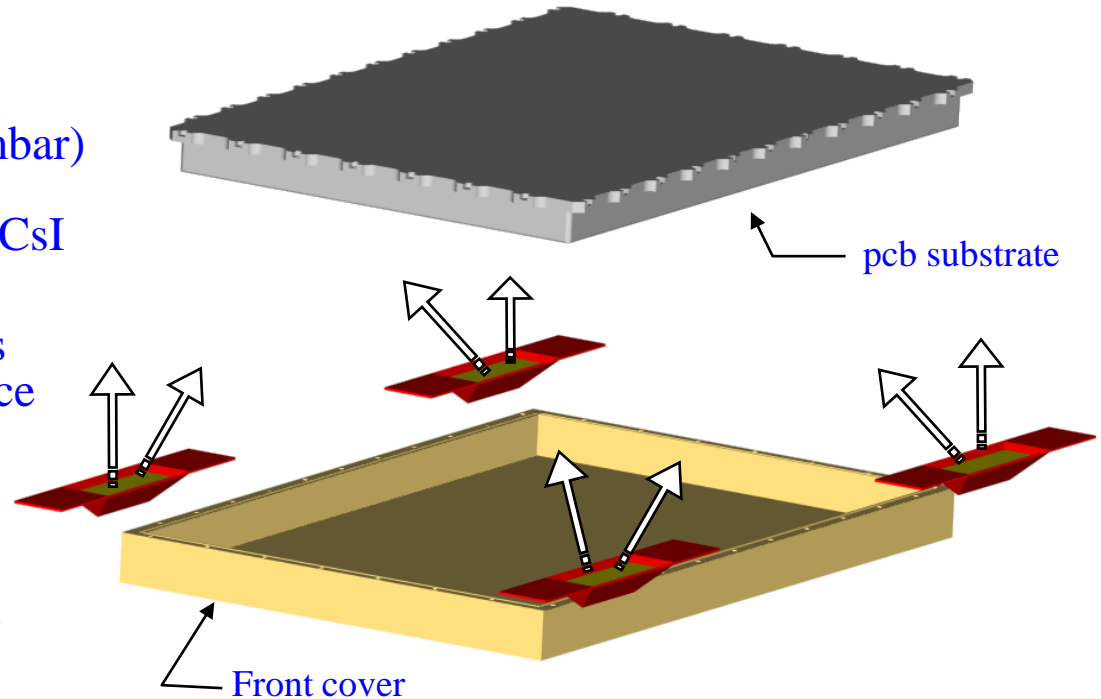
- Vacuum baking limited to 60°C
→ residual impurities left under the CsI coating



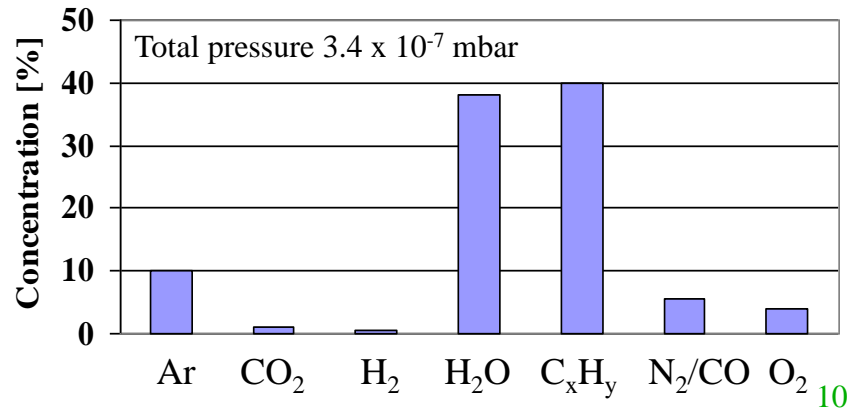


CsI vacuum evaporation process

- High vacuum technology ($\sim 10^{-7}$ mbar)
- Simultaneous evaporation from 4 CsI sources:
 - 300 nm uniform ($\pm 10\%$) thickness distribution over the full surface
- Slow deposition rate (~ 1 nm/s):
 - Min. CsI dissociation
 - Little or no reaction with residual gasses
- Thermal treatment during and after CsI deposition (~ 8 hrs at 60°C)
- In situ QE evaluation under vacuum
- In situ encapsulation under dry Argon before transfer onto MWPC



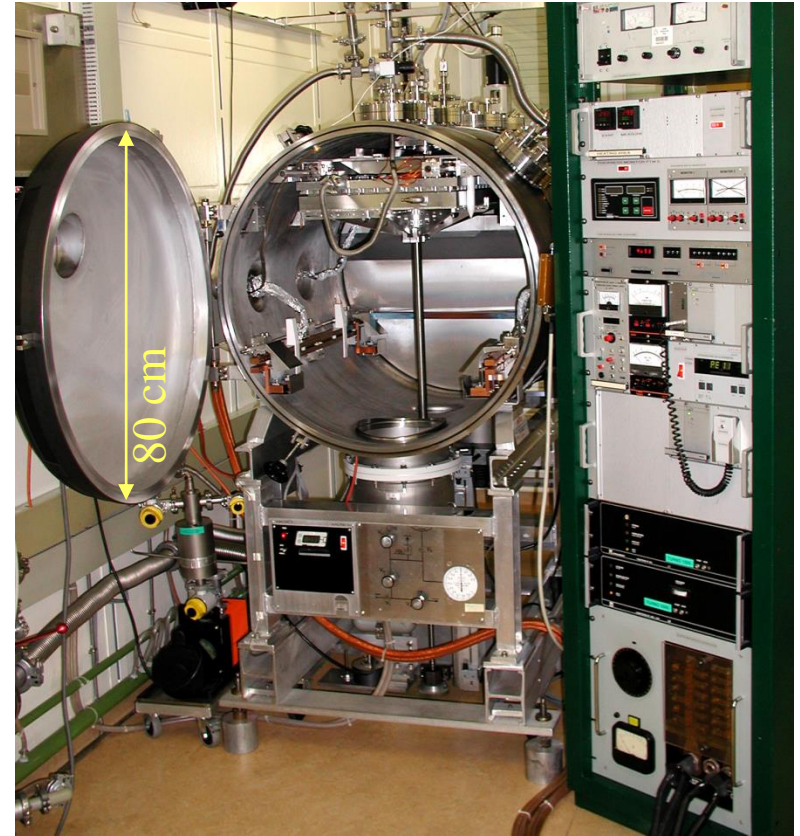
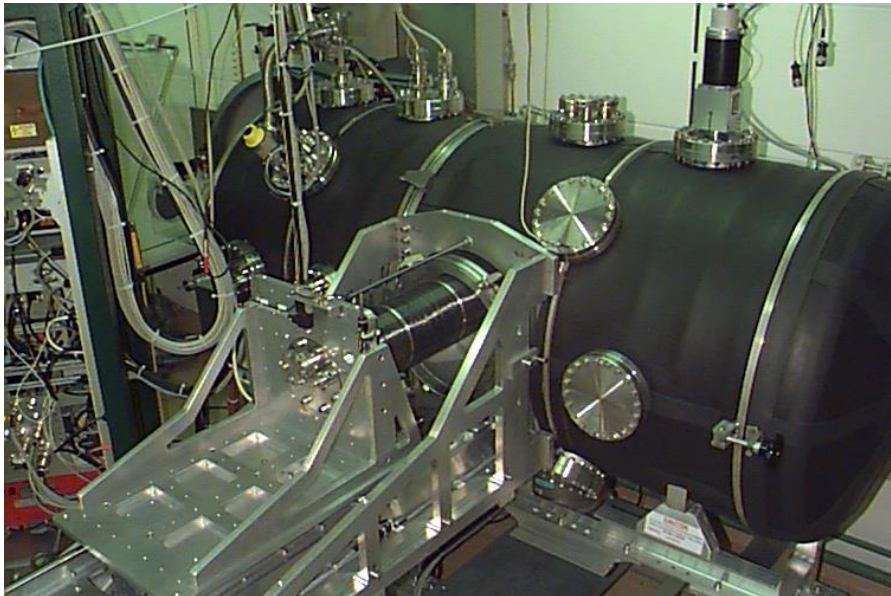
Residual gas before CsI deposition on HMPID PC38





The CsI production plant

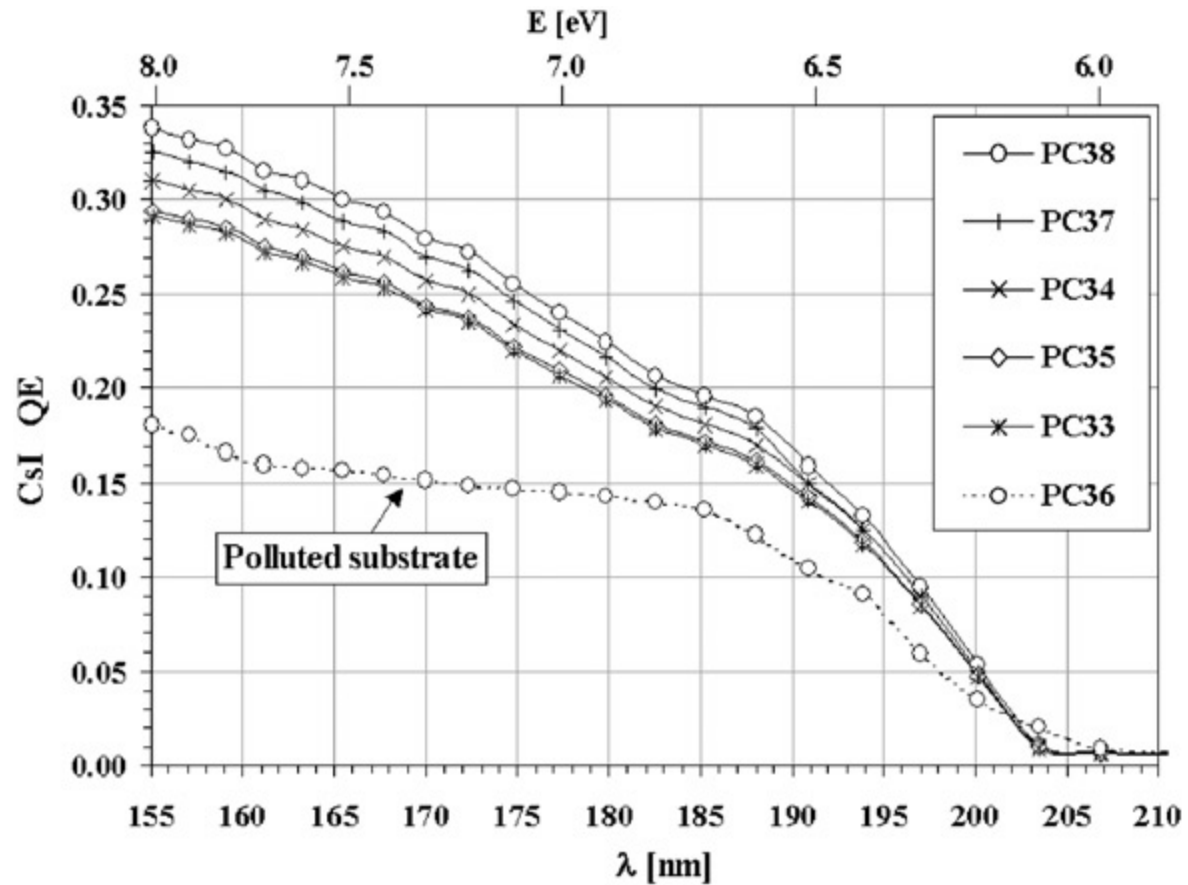
- Photocathode modules up to $60 \times 60 \text{ cm}^2$
- Transfer facility of CsI films under inert gas
- Max. production capacity of 2 PCs /week



- In situ CsI QE evaluation under vacuum (summer 2002)



Some QE results (prototype planes for ALICE HMPID)





Thin Film Visible Light Cathodes

All classical VL photocathodes are based on alkali-antimonides.

Their very reactive nature has a number of consequences:

- The alkalis must never be in contact with air (not even in minute quantities)
- The K, Na, Cs and Rb vapor are generated from dispensers which contain the alkali metal bound in a non-reactive metal chromate. The dispenser releases the vapor only once heated to $>\sim 500^{\circ}\text{C}$.
- The vacuum (prior to evaporation) must be very good ($<10^{-8}$ mbar) and not contain reactive stuff like H_2O , O_2 , C_xH_y . Ideally just H_2 .
- The substrate surface, usually consisting of glass, quartz, Sapphire, and a certain material thickness below must be clean (i.e. free of water, hydrocarbons, anything else). \rightarrow The substrate must be baked out, if possible at $T > 300^{\circ}\text{C}$.
- The photodetector must be sealed in-situ. Even short contacts with any reactive atmosphere will completely destroy the photocathode.



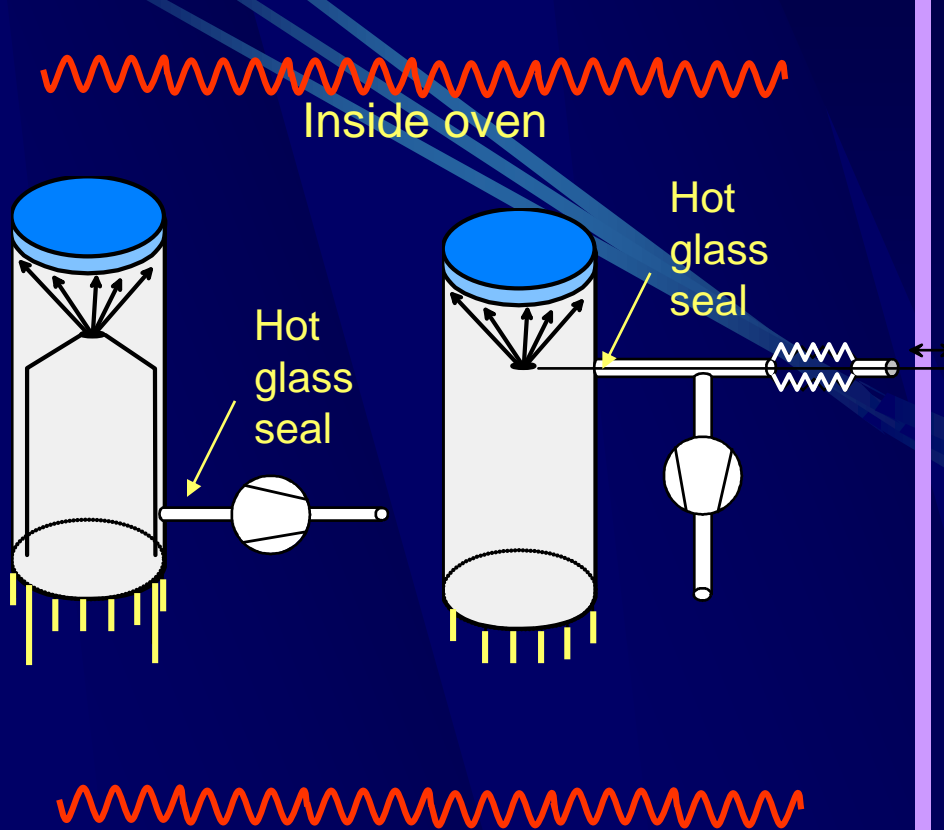
Photo SAES getters

- During photocathode processing, the substrate must be kept at elevated temperature ($T \sim 130\text{-}160^{\circ}\text{C}$, process dependent).

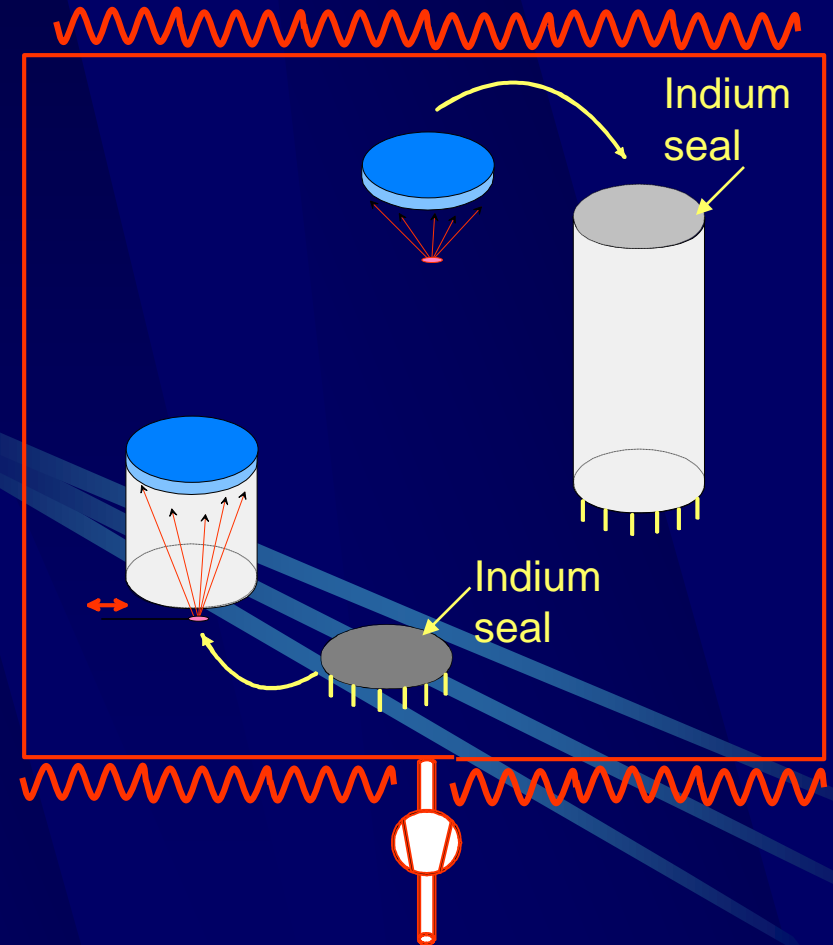
Phototube fabrication

comparison of process types (very schematic)

Internal - PMTs

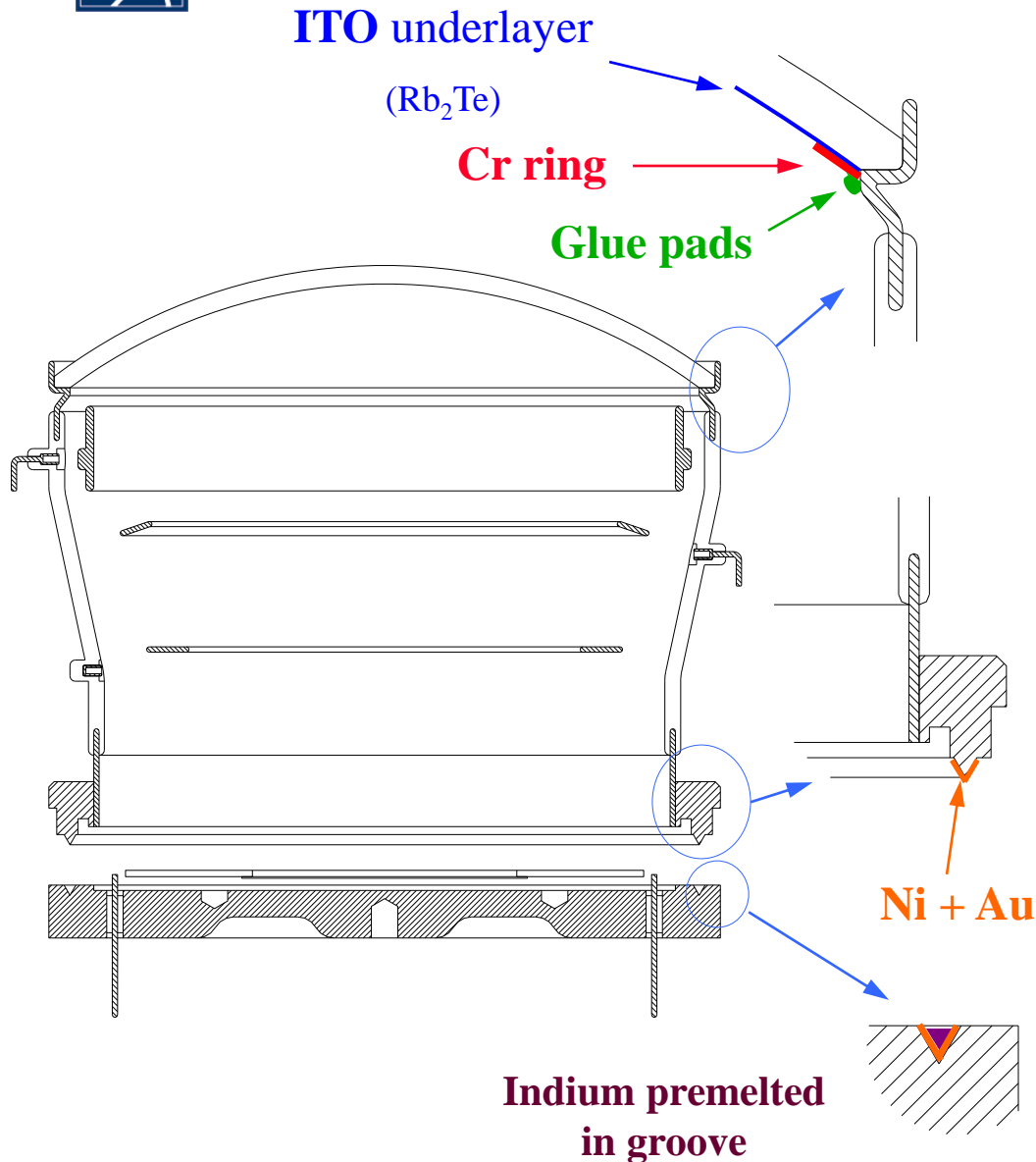


external(transfer) - HPDs





Preparation of HPD envelopes



• Polishing :

- metal parts for high E fields environment (excessive noise produced by ionisation/excitation of residual alkali vapours)
- Glass window (as standard cleaning procedure)

• Chemical etching

- On glass parts: NaOH, aqua regia, tartaric acid solution.
- On metal parts: conc. HCl, CH₃COOH/HNO₃/HCl solution.

• Deposition of connection layer ITO (Rb₂Te) / Cr / glue pads

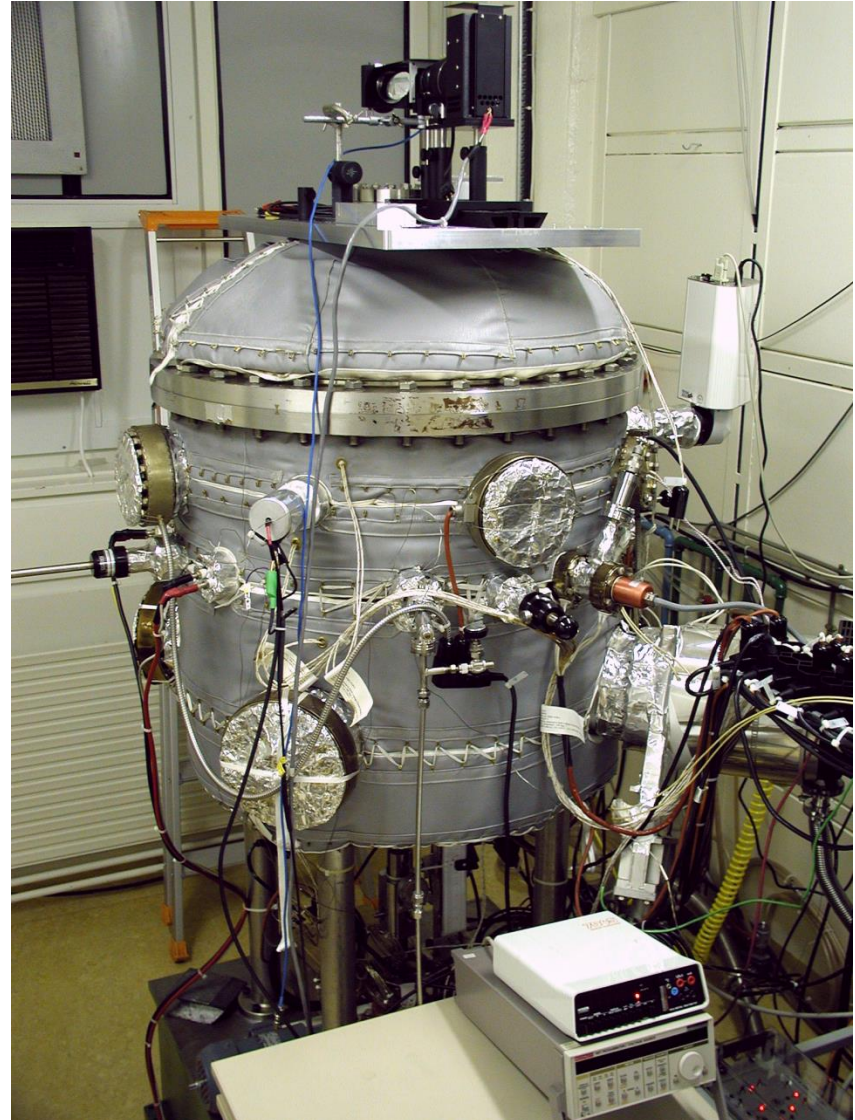
• Deposition of Ni + Au interdiffusion layers on indium sealing surfaces

Procedure allows to fully recycle used envelopes and bases.



The CERN plant for external processes

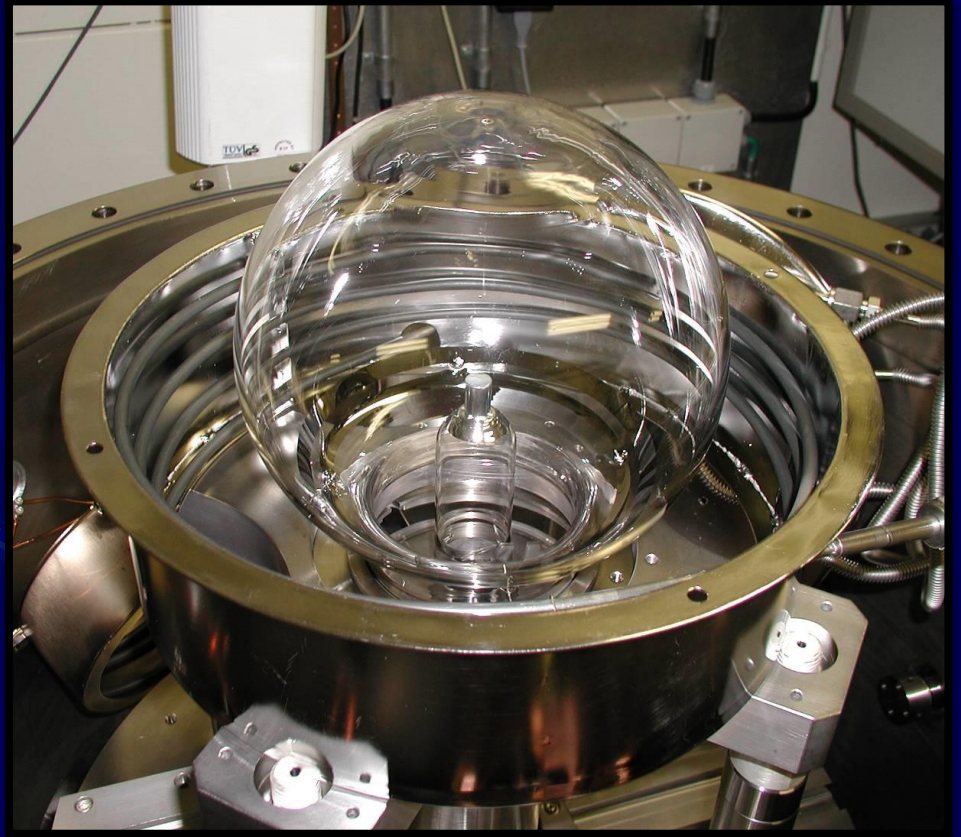
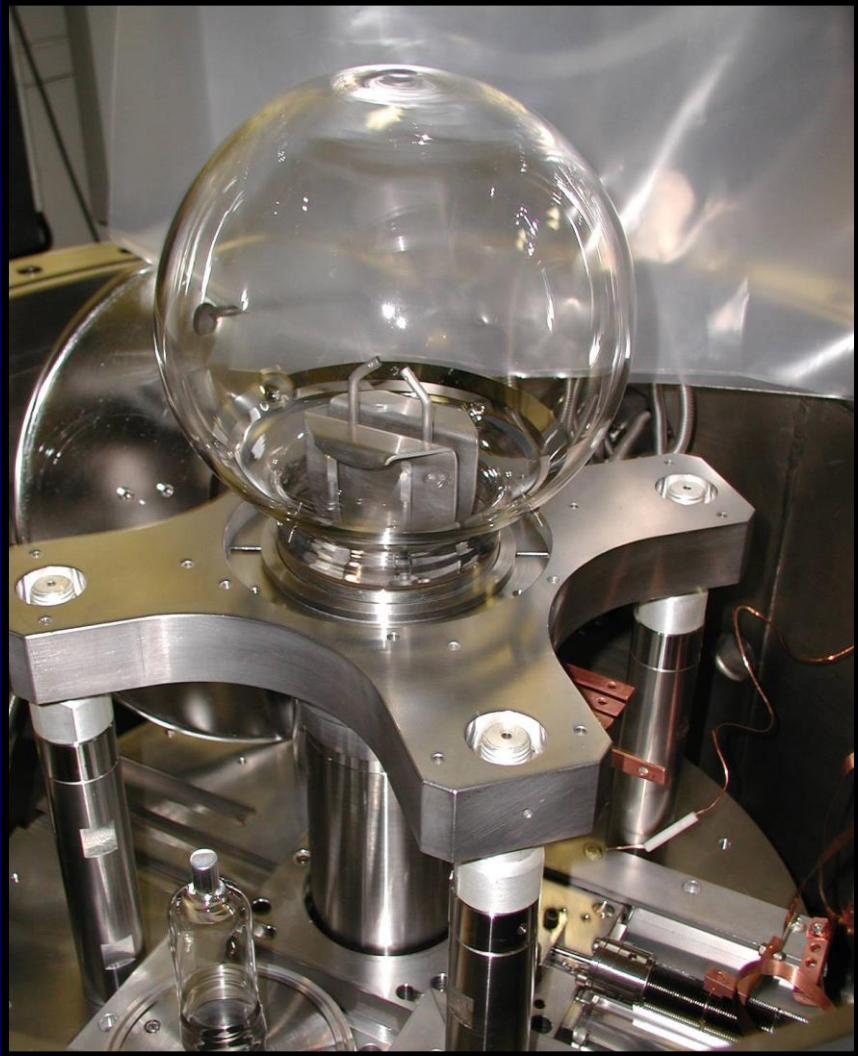
- Coat substrates up to $\phi 10''$
- Adapted to UV-VIS PCs, from 200 to 600 nm
- Press mechanism for cold indium encapsulation (2.5 tons)
- Production capacity limited to 1 PC / week



No other materials than
stainless steel, copper, ceramic!

End vacuum
(after bakeout) $<10^{-9}$ mbar.







UHV processing chamber

Full bake-out 72 hrs

Tank at 160°C

HPD tube 300 °C

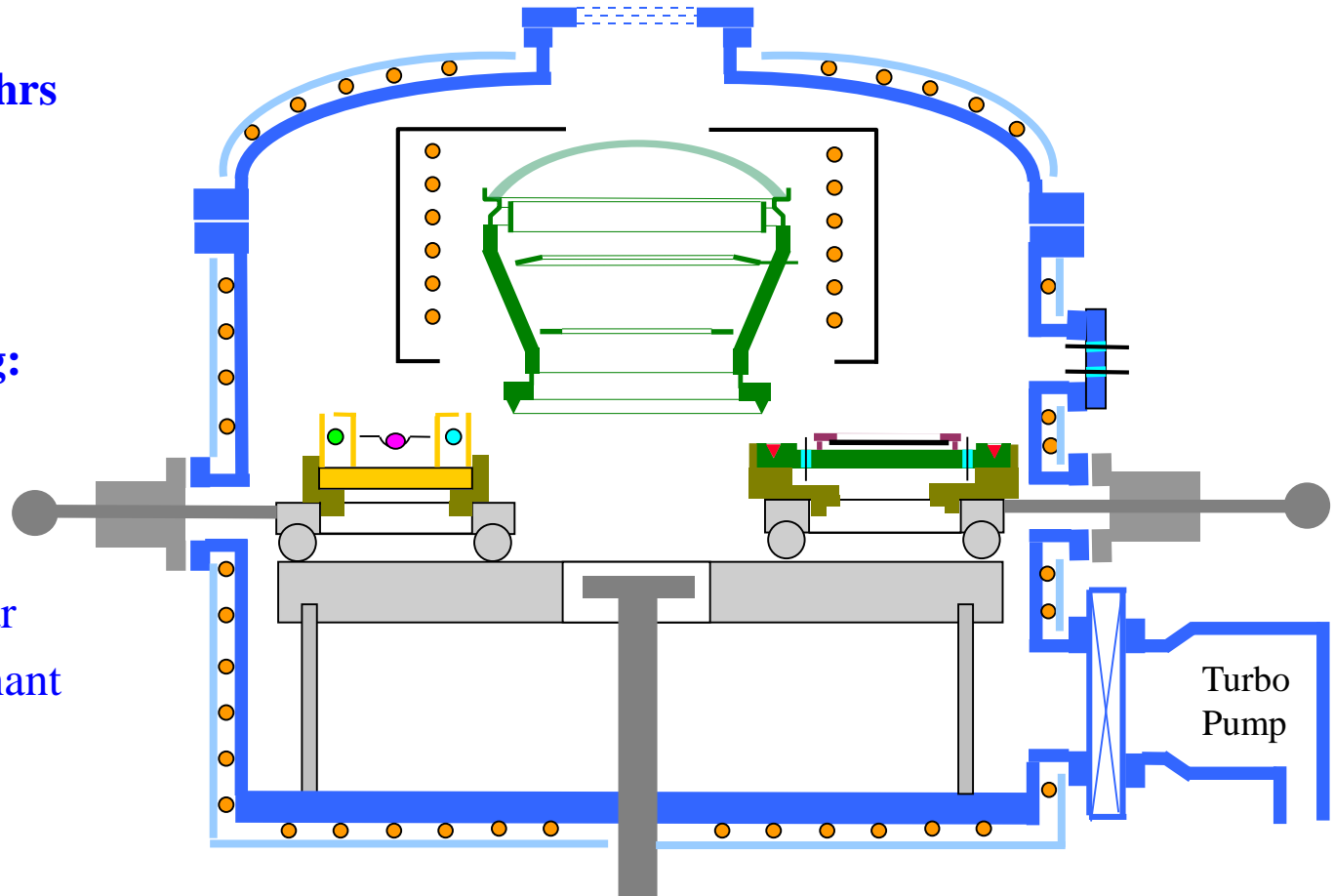
Before processing:

Tank and HPD
window at 160 °C

$P < 5 \times 10^{-9}$ mbar

$P_{\text{H}_2\text{O}} < 1 \times 10^{-9}$ mbar

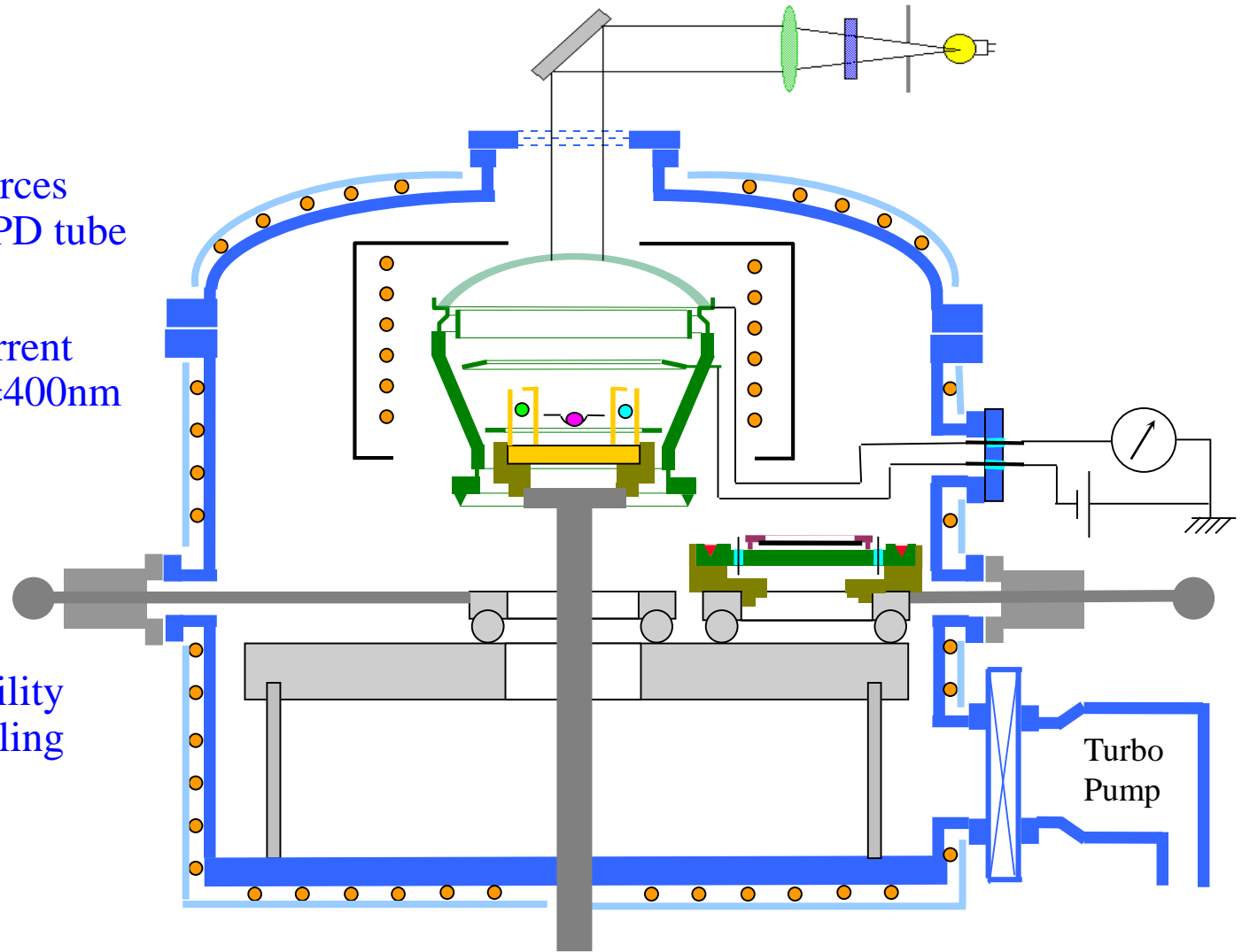
No other contaminant
(CO, C_xH_y....)





“external” photocathode process

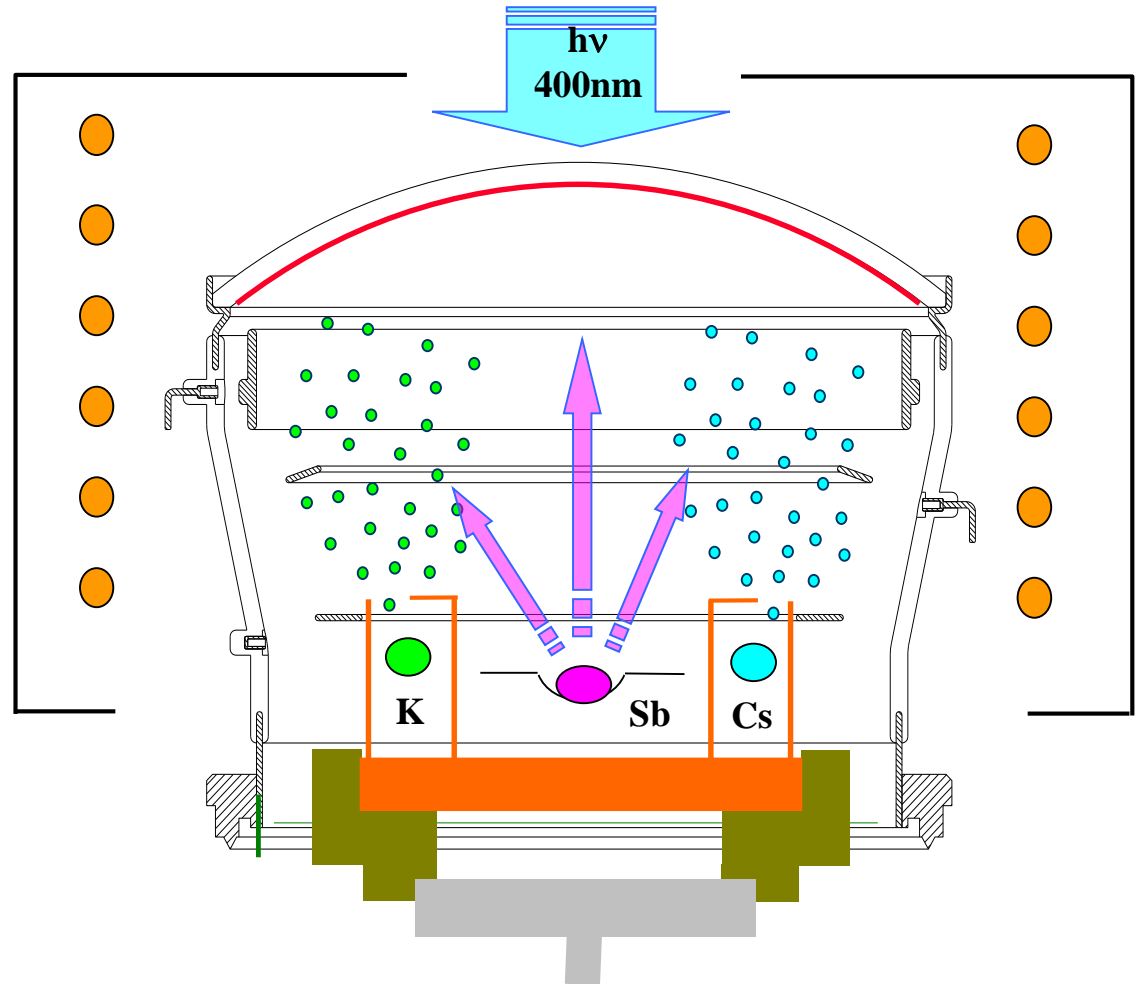
- Sb, K and Cs sources pushed inside HPD tube
- Photoelectron current monitoring at $\lambda=400\text{nm}$
- QE vs λ and stability check before sealing





Co-evaporation process (K_2CsSb)

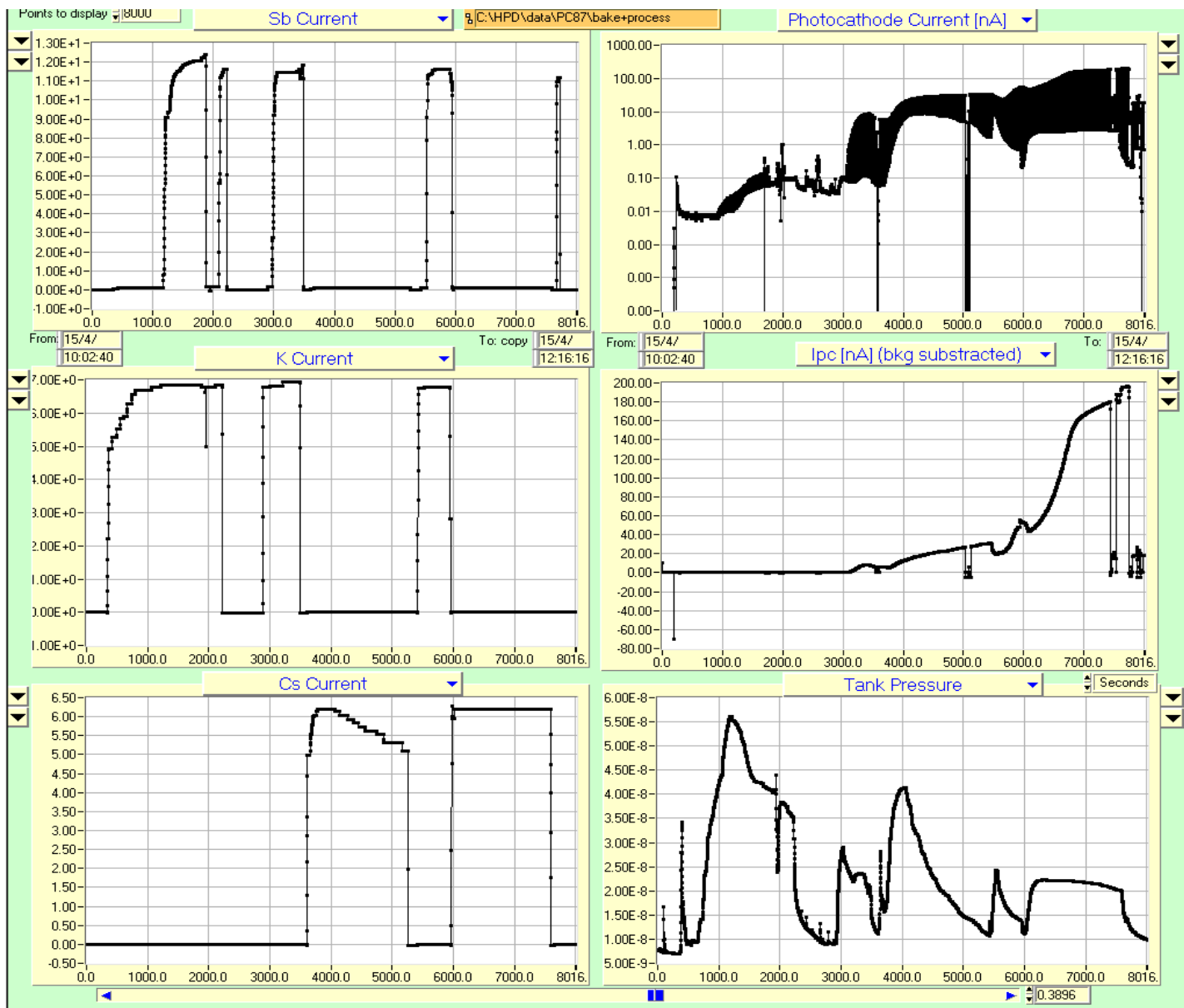
- Window at 160 °C
- Sb : ballistic evaporation
K, Cs: diffuse evaporation
- Co-evaporation of
K and Sb $\rightarrow K_3Sb$
- Cs evap. $\rightarrow K_2SbCs$
- Optimisation of pc current.
 \rightarrow 2 – 3 iterations of
Sb, K and Cs evap.



Permanent photocathode current monitoring



PC87

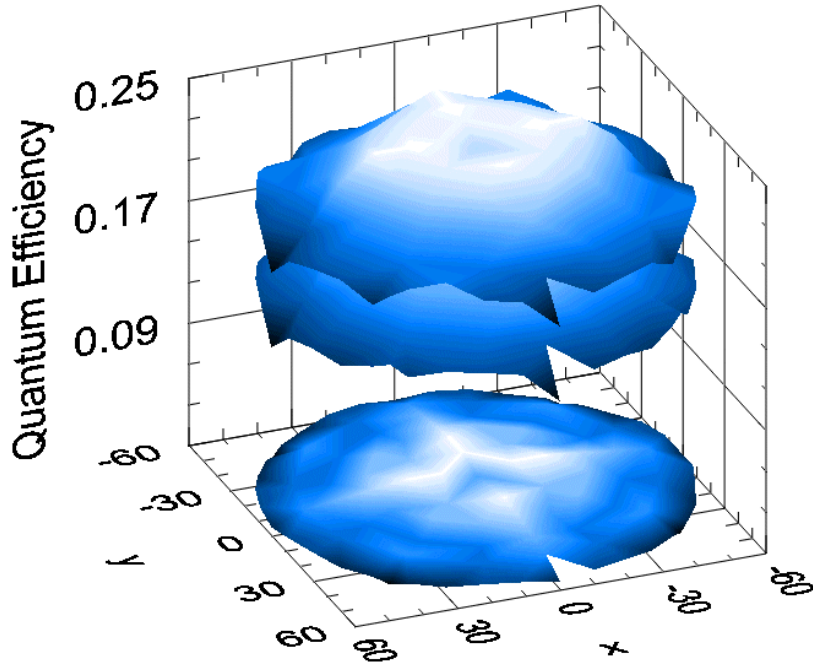


Log scale.
Lamp on/off

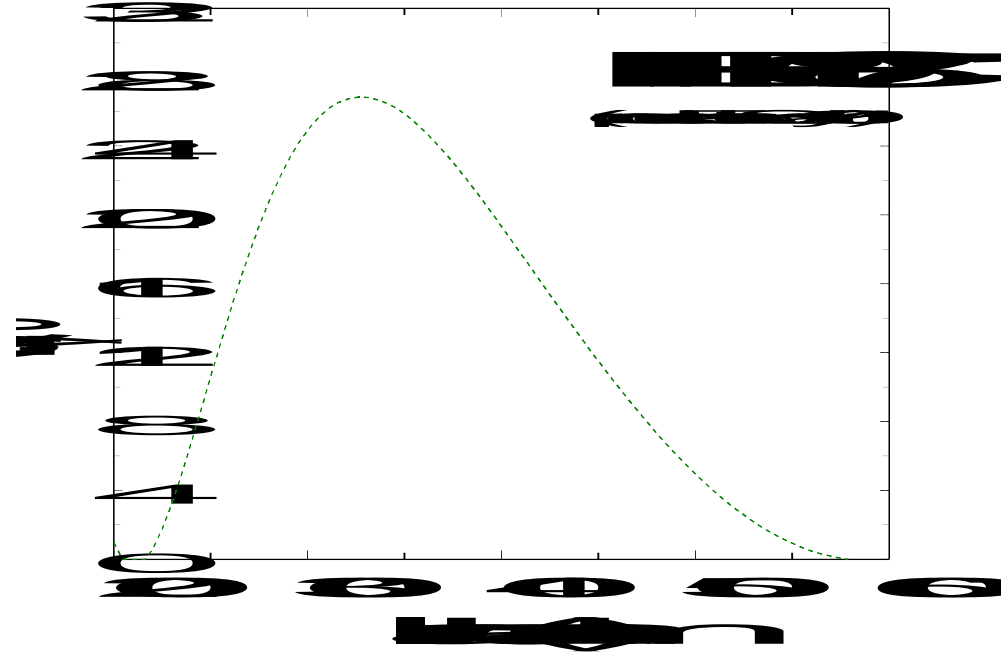
Linear scale.
Background
subtracted
(lamp off).



QE of K_2CsSb cathodes



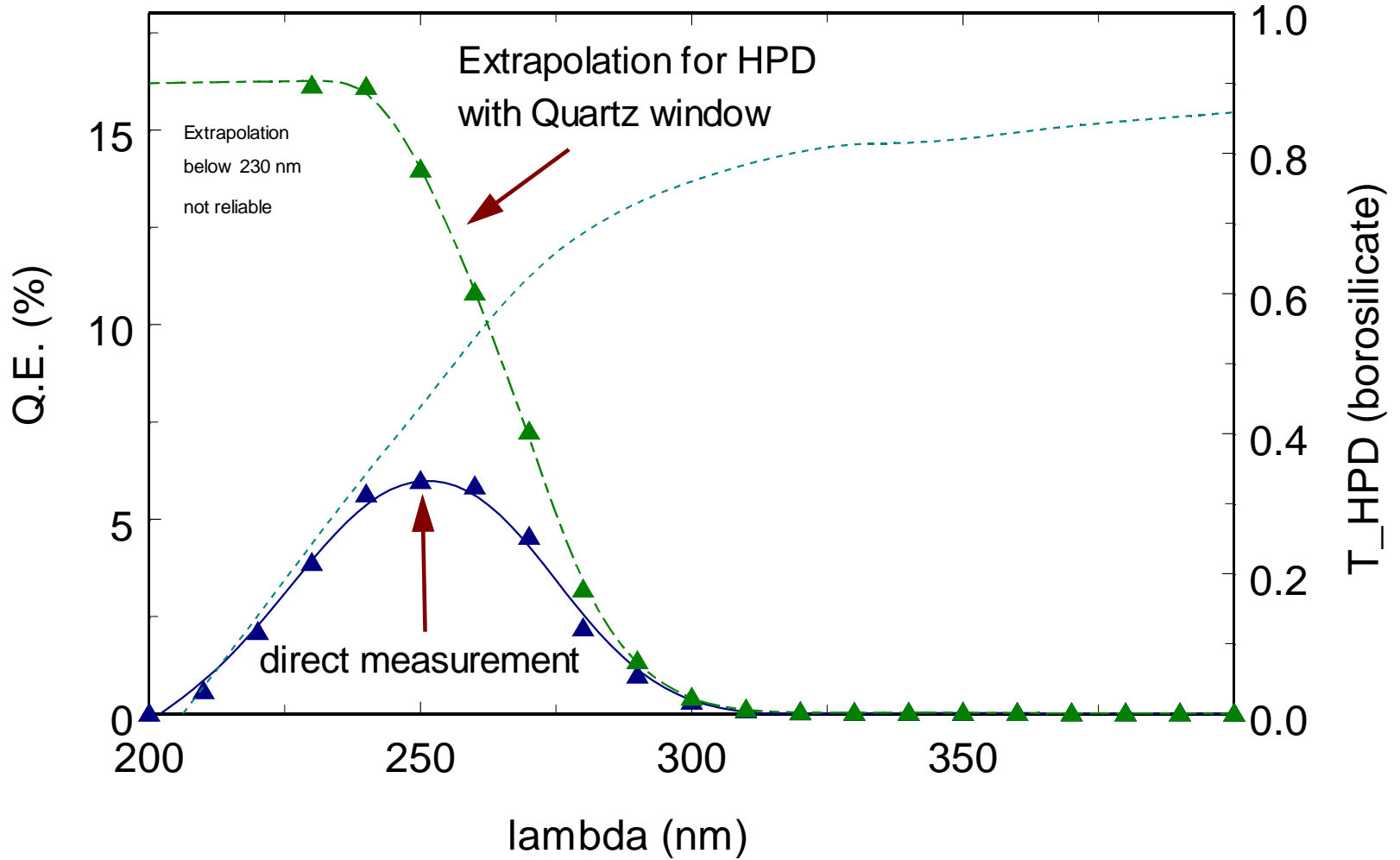
Radial dependence of HPD (PC68) QE
for $\lambda=230, 290$ and 350 nm.



- QE uniformity over the surface is better than 10%



Photocathode 96 Rb₂Te (ITO - 3nm)



Various prototype HPD-like tubes produced (up to 10 inches)



8"



10"

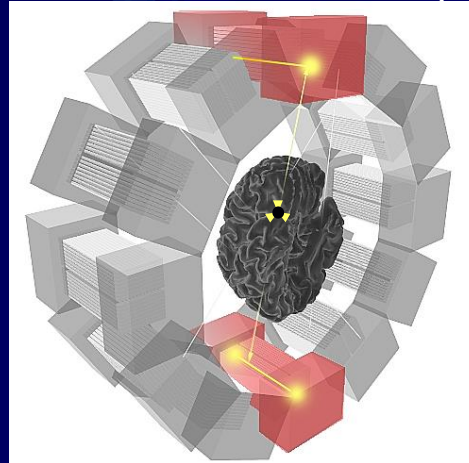
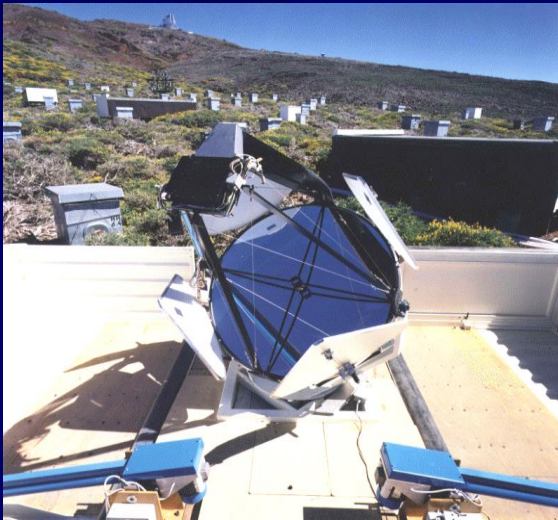
5"

5"

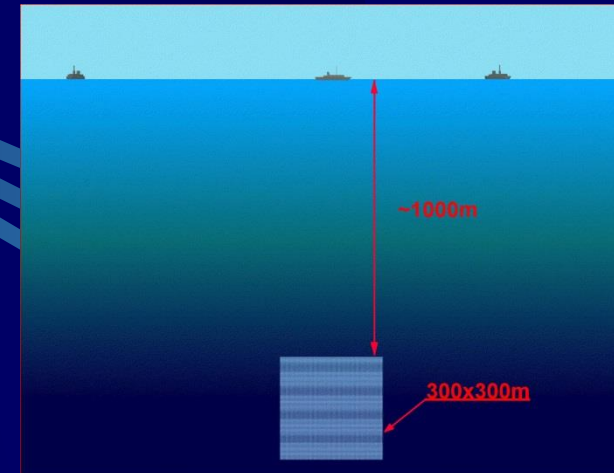


CLUE =

Cherenkov Light Ultraviolet Experiment New 3D axial PET concept



Underwater Neutrino Detector



Conclusions

- CsI photocathode production is a very mature and reproducible technology.
- The CERN plant allows to routinely produce CsI PCs up to 60 x 60 cm².
- Alkali-antimonide visible light photocathode production is technologically challenging. It requires lots of dedicated infrastructure and experienced manpower.
- A plant at CERN is available but has not been used since 6 years. Experts have retired or went to other fields.
- Re-activation and transformation for gaseous photodetectors is not excluded but would require very substantial efforts.