

# Technical Proposal for the CMS Phase-II Upgrade

LHCC meeting June 2, 2015

D. Contardo, on behalf of the CMS  
collaboration

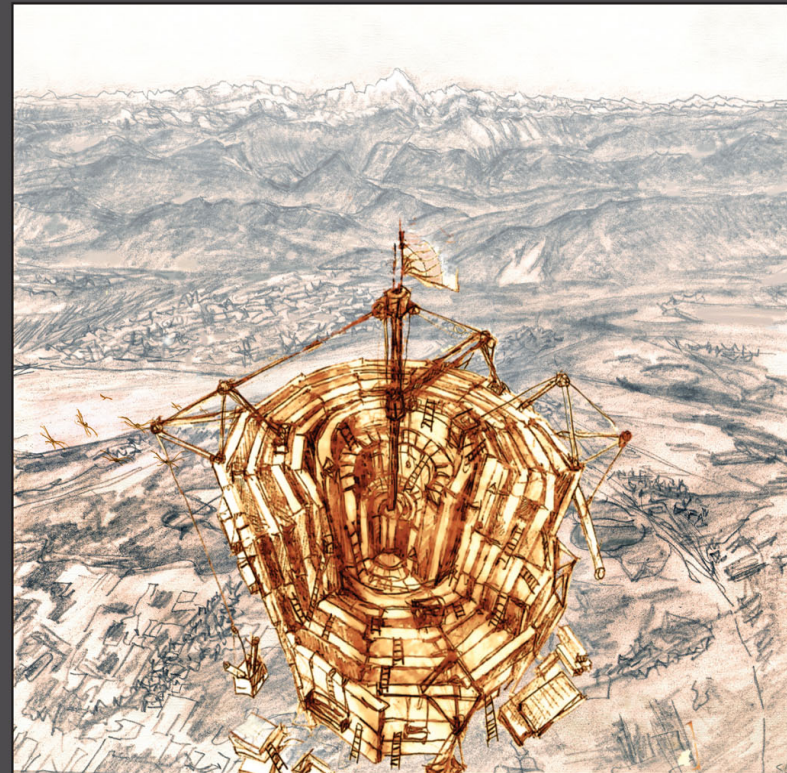
CERN-LHCC-2015-010

<https://cds.cern.ch/record/2020886>

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CERN-LHCC-2014-nnn  
CMS-TDR-xxx  
1 October 2014

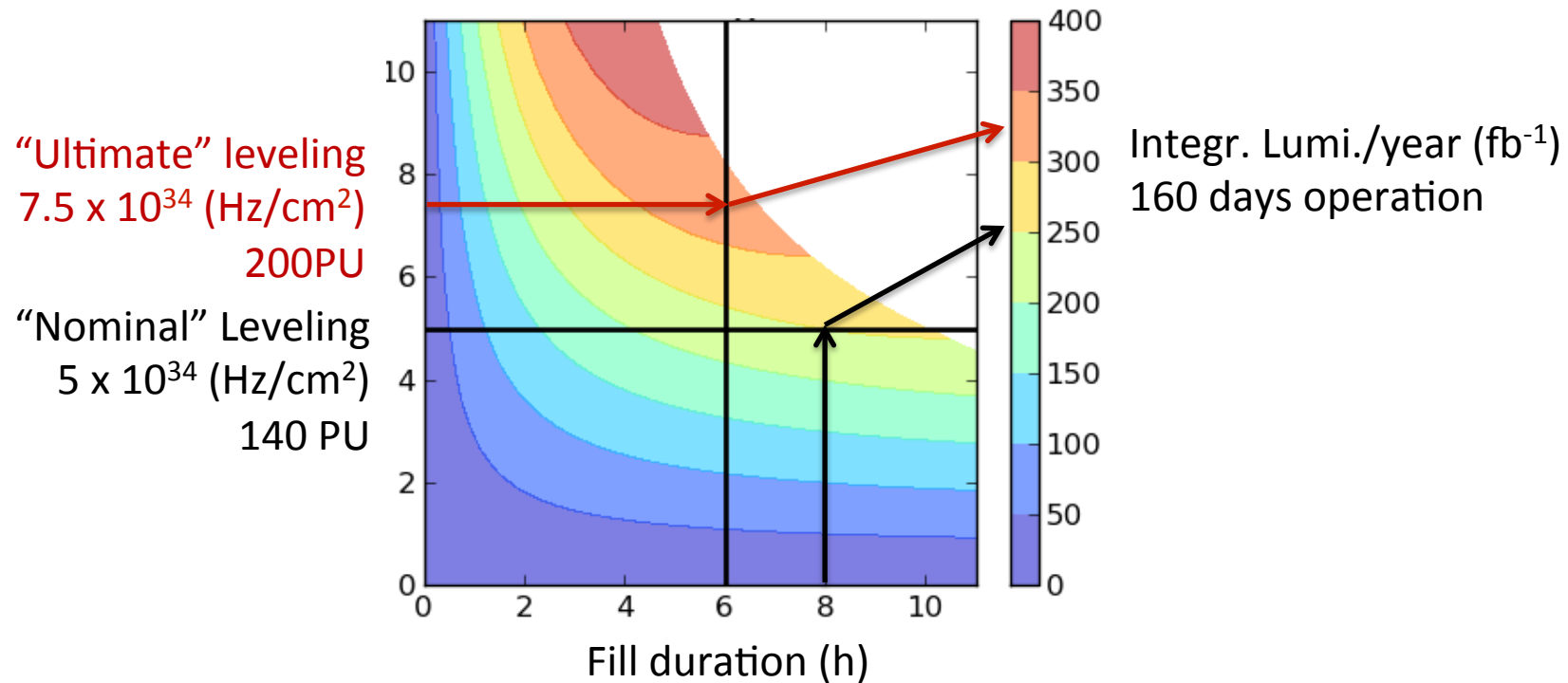
# CMS



The Compact Muon Solenoid  
Phase II Upgrade  
Technical proposal

Driving considerations for the CMS Phase-II upgrades  
Conceptual Designs, Technical Solutions and Performance  
Project planning and cost

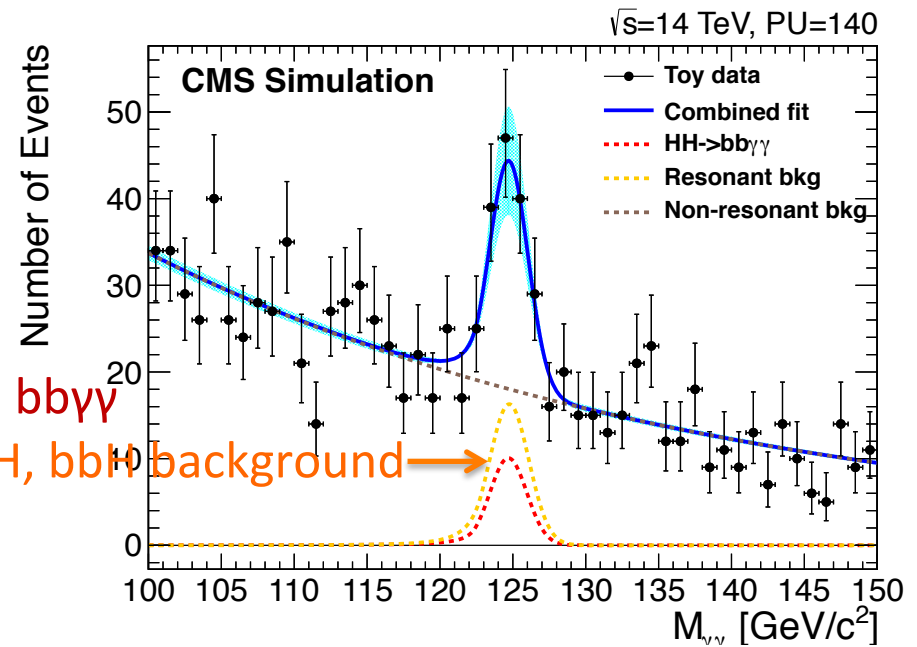
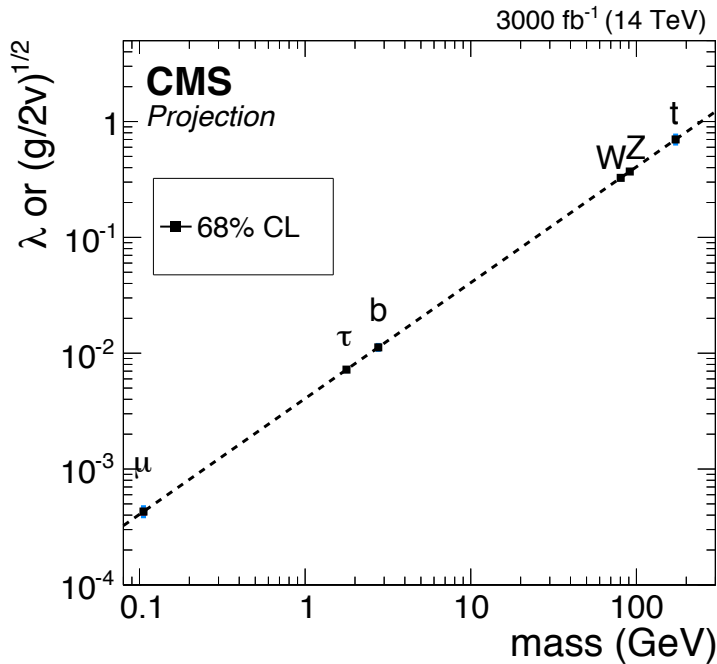
# HL-LHC luminosity goals



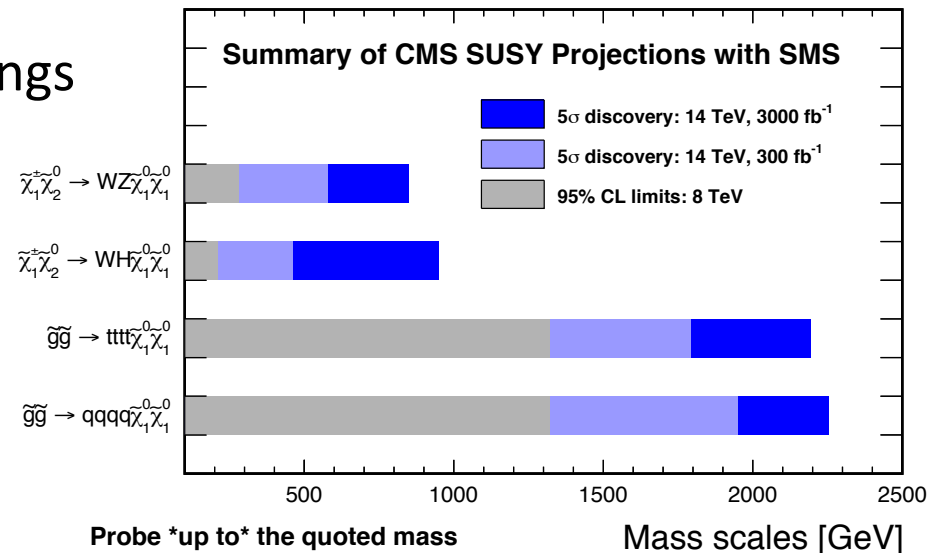
Ultimate luminosity represents  $\approx 30\%$  gain in operation time to reach expected additional integrated luminosity of  $\approx 2500 \text{ fb}^{-1}$

**CMS upgrades enable operation at 200 PU, target Phase-I performance at 140 PU, allowing moderate degradation up to 200 PU, and radiation tolerance  $\geq 3000 \text{ fb}^{-1}$**

# Physics reach at 3000 fb<sup>-1</sup>



- 2 to 10% precision on Higgs couplings
- Evidence of di-Higgs production
- Access to small cross section SUSY processes
- Several other SM rare processes and BSM physics predictions
- And increased mass range

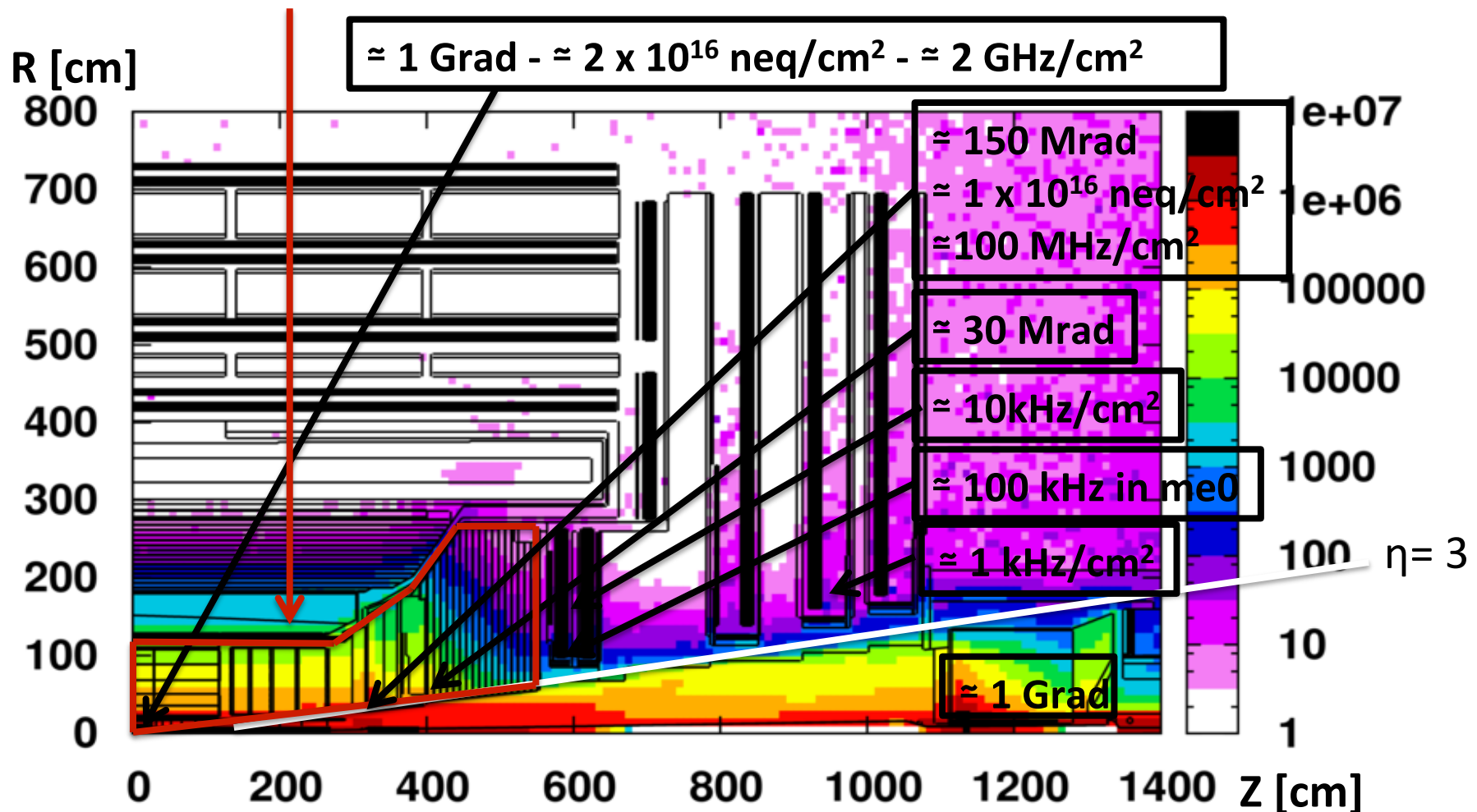


# Requirements for the upgrades and TP studies

- All physics objects - detectors - remain equally important
- Enhanced role of forward region, particularly to study VBF/VBS processes
- Need to maintain low momentum/energy thresholds in event selection
- In TP simulation studies performed for few key physics channels in all areas (Higgs, SUSY, Exotica, SM) where enabled by upgrades
- CMSSW simulations with Geant used for all physics objects and physics signals (reconstruction still need substantial improvements and tuning to new designs and high PU conditions)
- DELPHES used for physics simulations including background with parameterizations provided by CMSSW studies
- Comparison of Phase-I 50 PU - reference for performance - with Phase-I aged 140 PU and Phase-II 140 PU

## Radiation dose - neutron fluence - particle rates

- 3000 fb<sup>-1</sup> Dose map in [Gy] simulated with MARS and FLUKA
- Numbers in boxes indicate maximum doses - neutron equivalent fluence - particle rates (for 5 x 10<sup>34</sup> Hz/cm<sup>2</sup>) seen by the various detectors
- Aging studies show that Tracker & End cap Calorimeters need replacement



# Summary of the CMS upgrades for Phase-II

## Trigger/HLT/DAQ

- Track information at L1-Trigger
- L1-Trigger: 12.5  $\mu\text{s}$  latency - output 750 kHz
- HLT output  $\approx 7.5$  kHz

## Barrel EM calorimeter

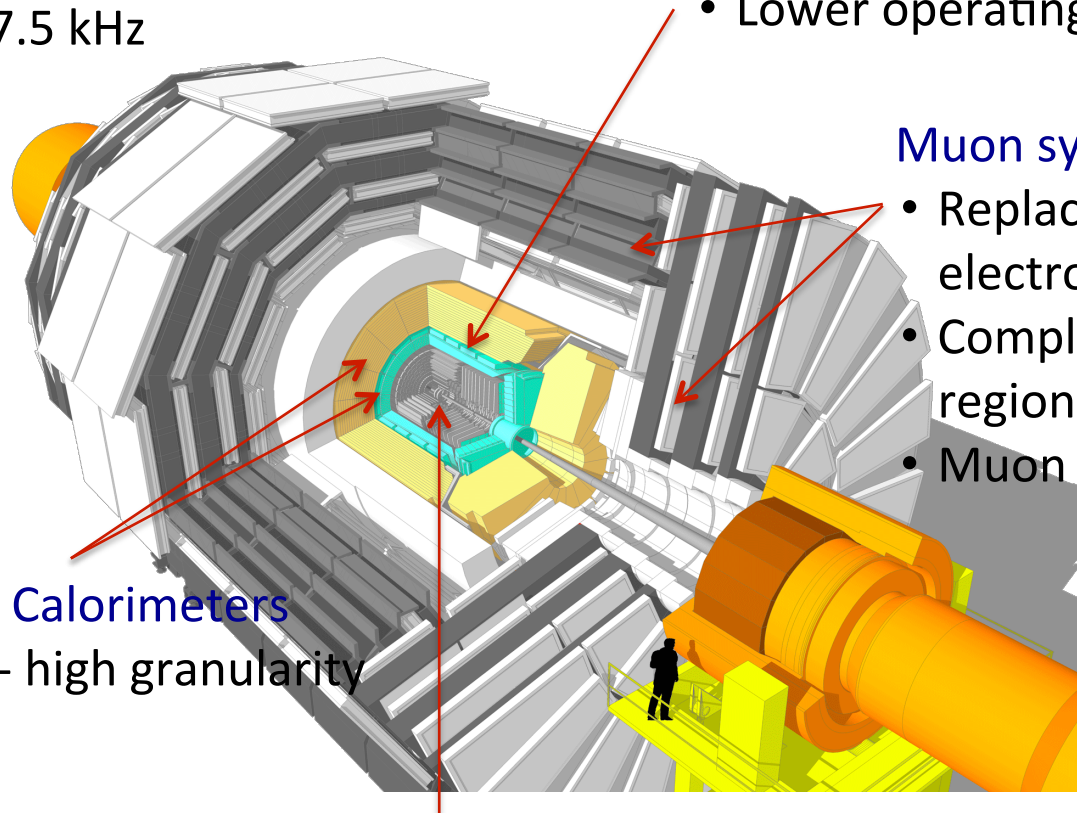
- Replace FE/BE electronics
- Lower operating temperature ( $8^\circ$ )

## Muon systems

- Replace DT & CSC FE/BE electronics
- Complete RPC coverage in region  $1.5 < \eta < 2.4$
- Muon tagging  $2.4 < \eta < 3$

## Replace Endcap Calorimeters

- Rad. tolerant - high granularity
- 3D capability



## Replace Tracker

- Rad. tolerant - high granularity - significantly less material
- 40 MHz selective readout ( $P_t \geq 2$  GeV) in Outer Tracker for L1-Trigger
- Extend coverage to  $\eta = 3.8$

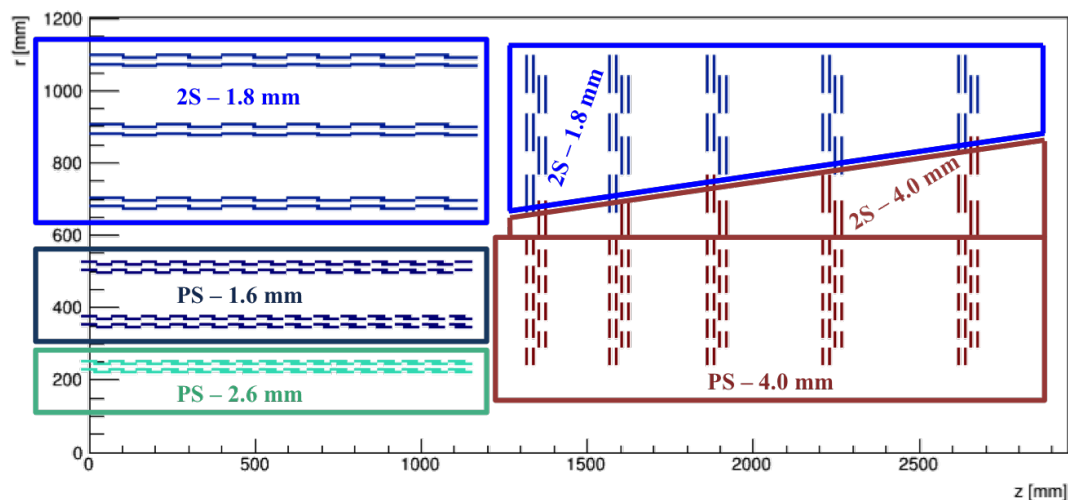
# Tracker



# Outer Tracker configuration

Several configurations investigated with simplified simulation to define baseline:

- 6/5 barrel/endcap layers/disks - instead of 10/11 in current OT
- Increased granularity through short strips -  $\approx$  x 4 current OT
- 2 sensors modules in all layers for Trigger purpose
- Long Pixel in 3 inner layer modules (PS) for z-coordinate measurement
- Light module design & mechanics - CO<sub>2</sub> cooling (-30°) - DC/DC powering



- 220 m<sup>2</sup> area - 15500 modules
- 50M strips - 220M macro-pixels
- 90/100  $\mu$ m pitch (2S/PS modules)
- 2.5/5 cm strips (2S/PS) - 1.5 mm macro-pixels in PS modules
- 200  $\mu$ m active or physical thickness

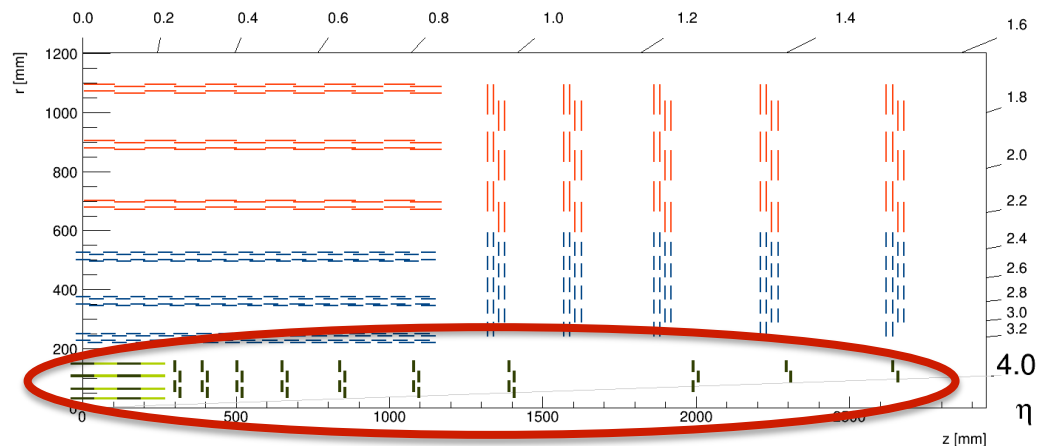
Ongoing study of alternative design with tilted modules in PS layers

- Further reduce material and number of modules

# Pixel detector configuration

Current configuration based on Phase-I design - ongoing studies to reduce material and to improve/adapt resolution through reduced pixel size and specific form factor depending on  $\eta$

- Barrel pixel with 4 layers at 3, 7, 11 and 16 cm
- Forward pixel with 10 disks extending coverage to  $\eta = 3.8$
- Data readout at 750 kHz
- Maintainable during winter shutdown



- Total pixel area  $\sim 4 \text{ m}^2$
- $50 \times 50$  to  $25 \times 100 \text{ } \mu\text{m}^2$  pixels
- $\leq 150 \text{ } \mu\text{m}$  sensor physical thickness

# Tracker components

## ○ Silicon sensors

- Outer Tracker (max. fluence  $1.5 \times 10^{15}$  neq/cm<sup>2</sup> at 3000 fb<sup>-1</sup>):
  - n-in-p technology selected
  - Now qualifying potential vendors for final specifications (physical thickness, bulk material, wafer size 6" or 8")
- Pixels (max. fluence  $2 \times 10^{16}$  neq/cm<sup>2</sup> at 3000 fb<sup>-1</sup>):
  - n-in-p preferred for cost, investigating 3D technology for innermost layer (finalizing layout - pixel size ability - comparing rad. tolerance)

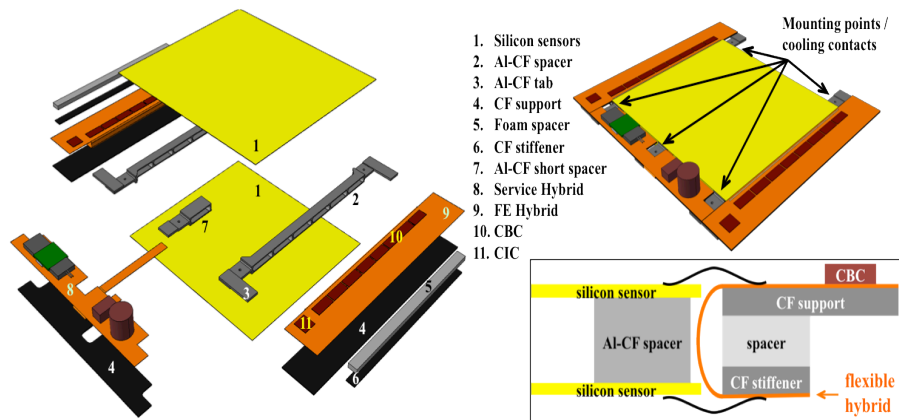
## ○ Detector Readout

- Outer Tracker: Binary chip CBC 130 nm IBM (2S modules ) tested on flex hybrid - First version of MPA 65 nm TSMC ASIC (PS modules) available
- Pixels: Common R&D53 with ATLAS - defined rules for radiation tolerance - design on-going
- Data transfer: Work to increase Band Width of GBTs and lower power consumption
- Powering scheme: DC/DC for OT and serial powering considered for pixels

# Outer Tracker modules

- **Only two types of modules:** light designs with single frame for two sensors - FEA performed and first experience of prototype assemblies (w/o hybrids)

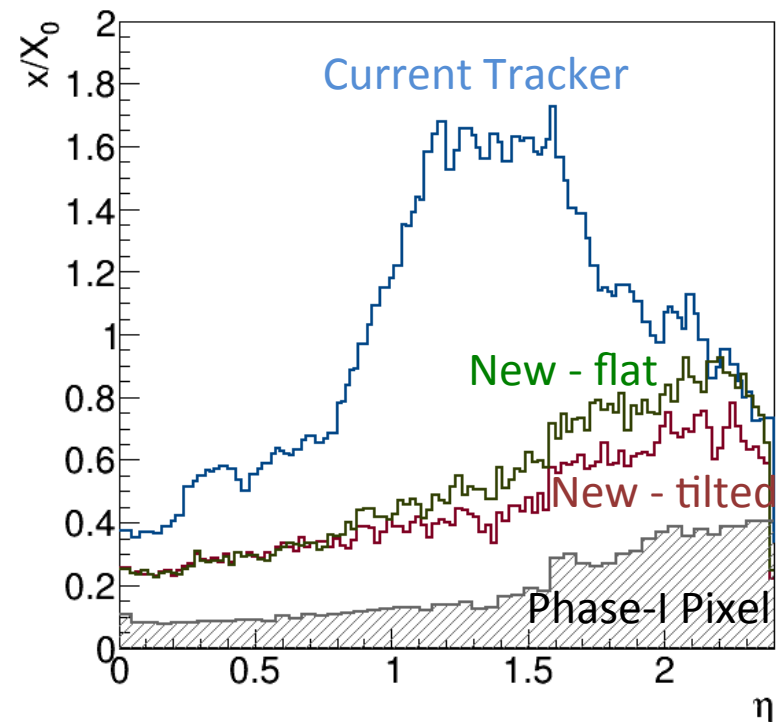
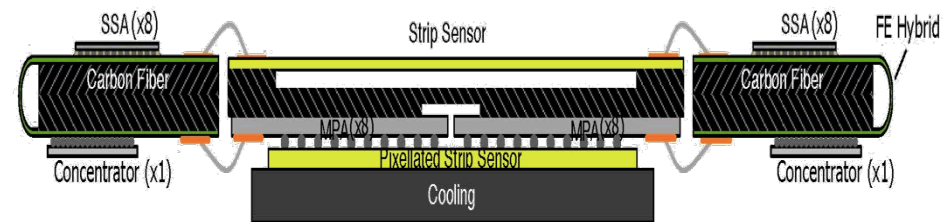
2S modules - 35-40 g



Sensor T  $\approx$   $-20^\circ$  for  $-30^\circ$  cooling

- **Material**
  - Tracker weight  $\frac{1}{2}$  of current
  - Gain of a factor 2 to 3 on photon conversion rates depending on  $\eta$

PS modules

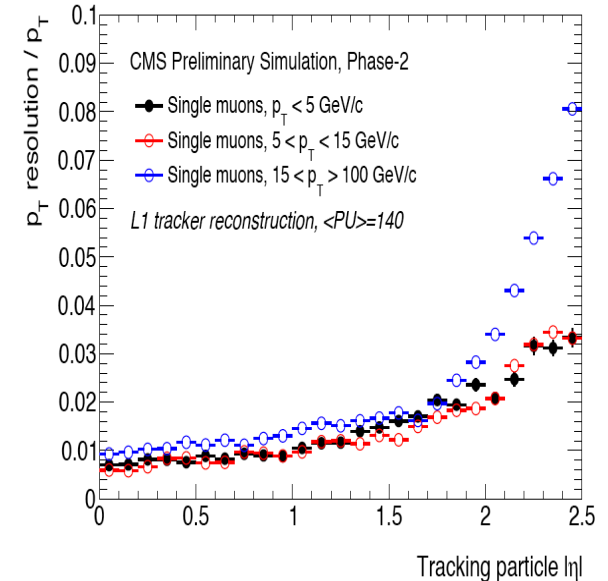
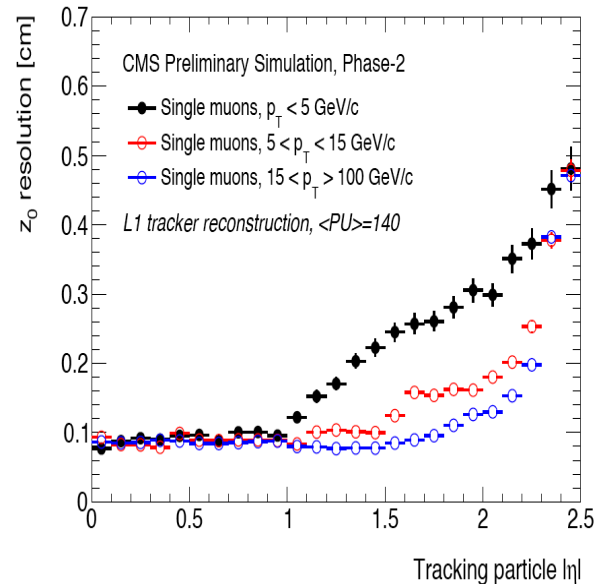
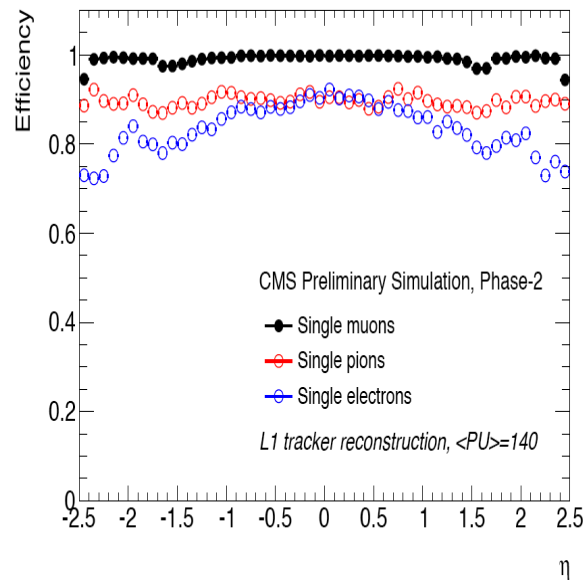
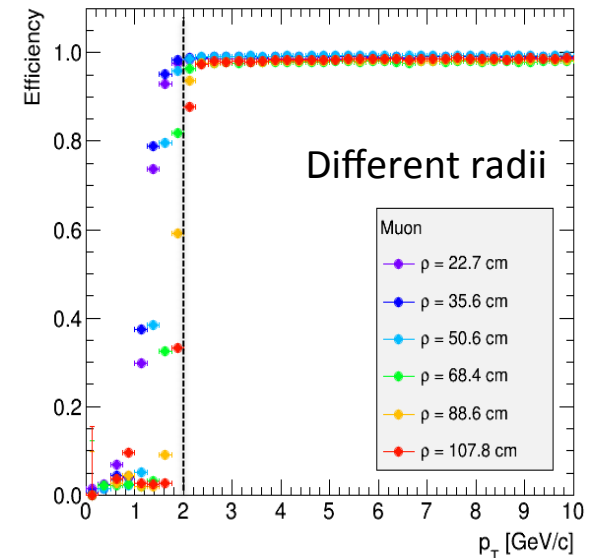


# Tracking Trigger

High B-field allows to measure bending of tracks in few mm spacing between two sensors of a module

- Selective readout of track hits down to  $P_T \approx 2$  GeV  
reduce Band Width for readout at 40 MHz
- 3 techniques investigated for track reconstruction

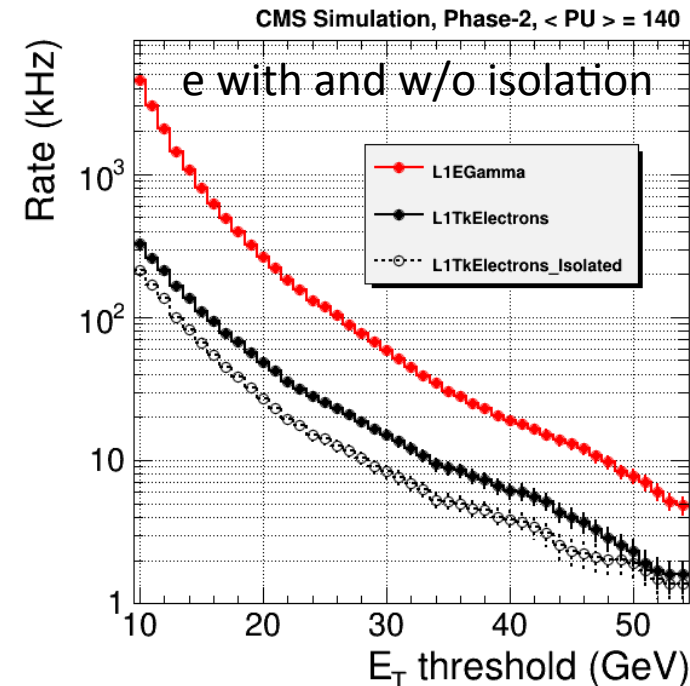
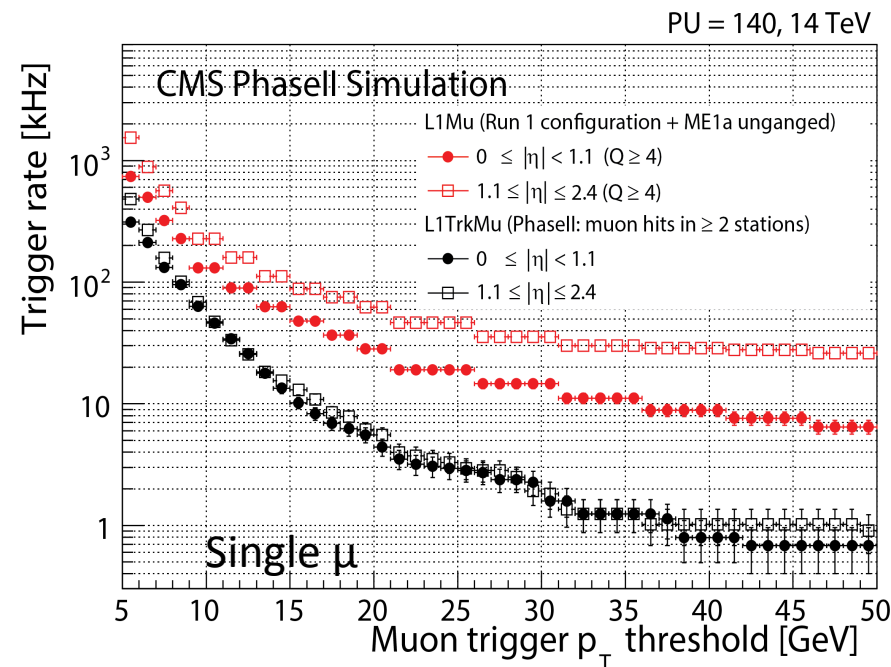
## L1 Track Trigger reconstruction performance



# L1 Trigger performance with Track-Trigger

Powerful scheme to control all inclusive trigger rates at first 40 MHz stage

- Single  $\mu$  rate divided by 10
- Single e rate divided by 5(10) w/o (with) isolation
- $\gamma\gamma$  rate/5 from isolation
- $\tau$  efficiency x 2 at same rate
- Vertex  $\approx$  1 mm resolution  $\rightarrow$  HT & MET rates divided by 10 to 100

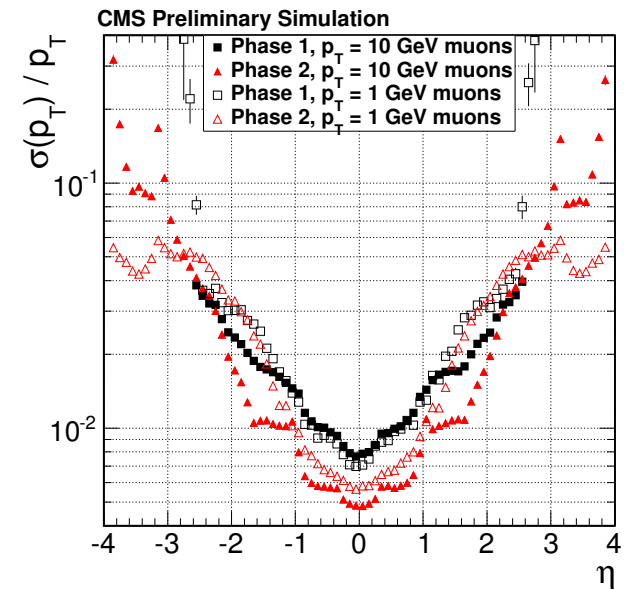
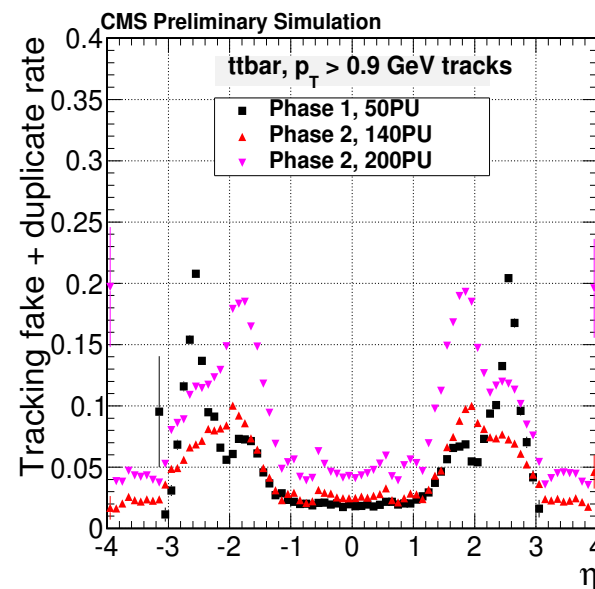
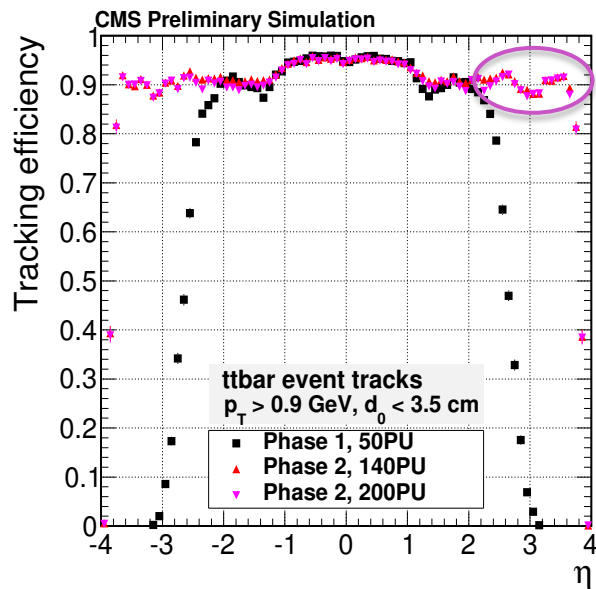


L1-Trigger studies with Phase-I menu thresholds including Track-Trigger:

- Requires  $\approx$  500/750 kHz rate at 140/200 PU (with 1.5 safety margin)

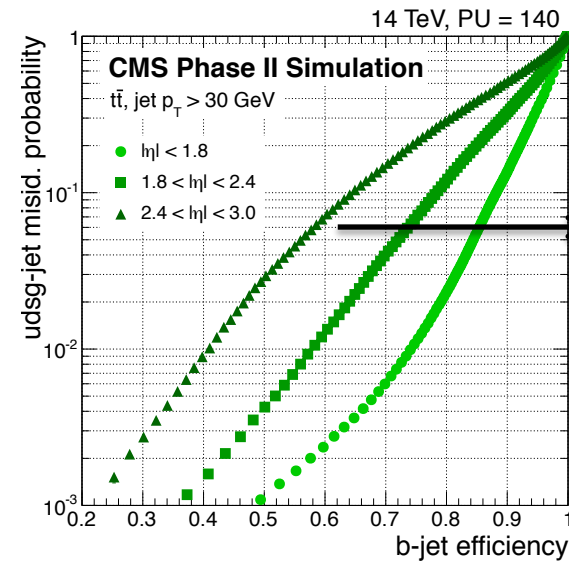
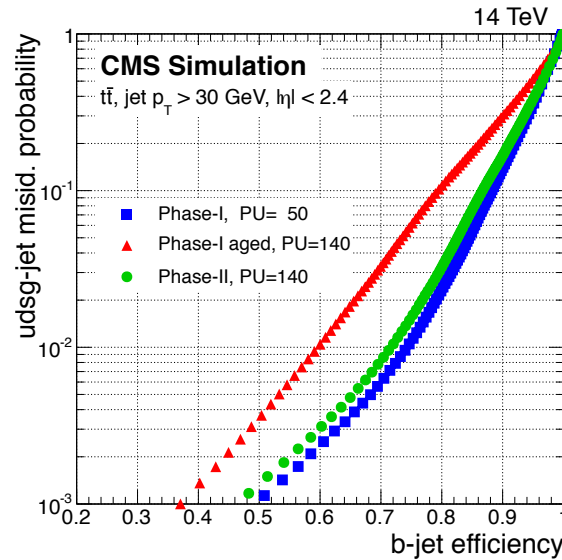
# Track and Primary Vertex reconstruction performance

- Track efficiency and fake rates for Phase-II 200 PU similar to Phase-I 50 - tolerable fake increase at 200 PU
- Momentum resolution substantially improved (lower pitch & material)



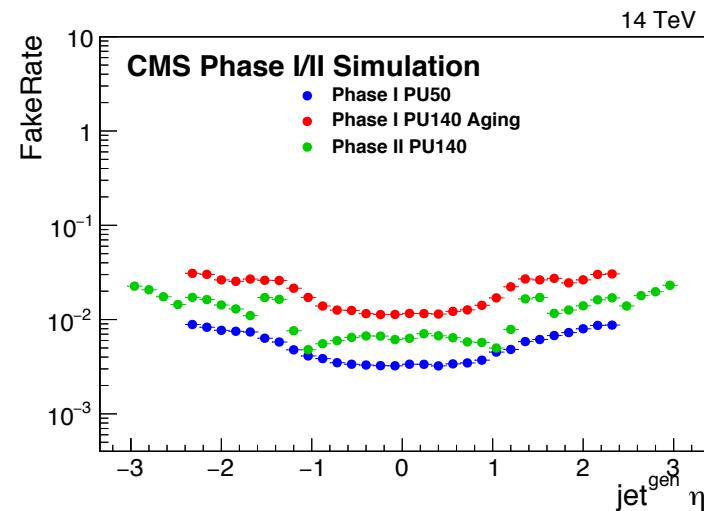
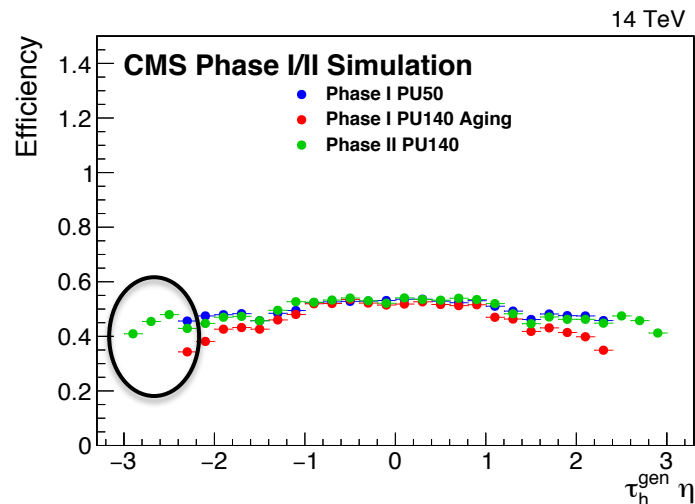
- Signal Primary Vertex efficiency  $\geq 95\%$  with  $20 \mu\text{m}$  resolution at 200 PU

- **b-tagging** Phase-II recovers Phase-I performance - expected to improve with new pixel smaller pitch & material



Coverage to  
 $\eta = 3.8$

- **$\tau$ -ID** - based on track isolation (robust to PU) same efficiency working point below - need more tuning for new detector geometry

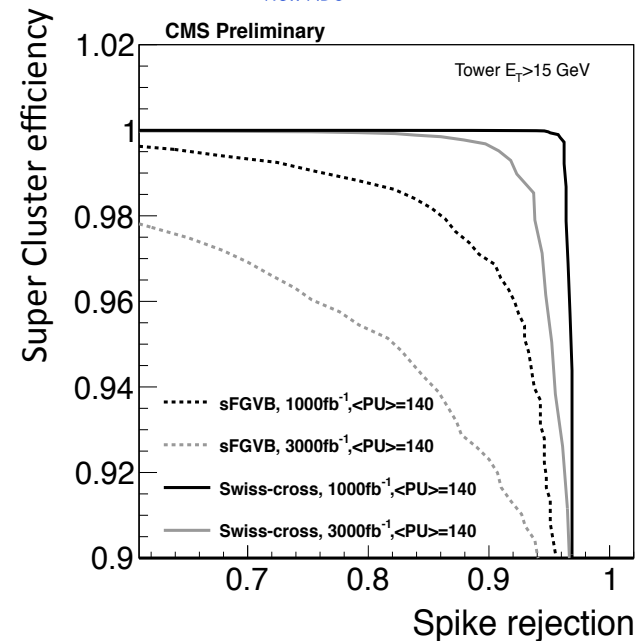
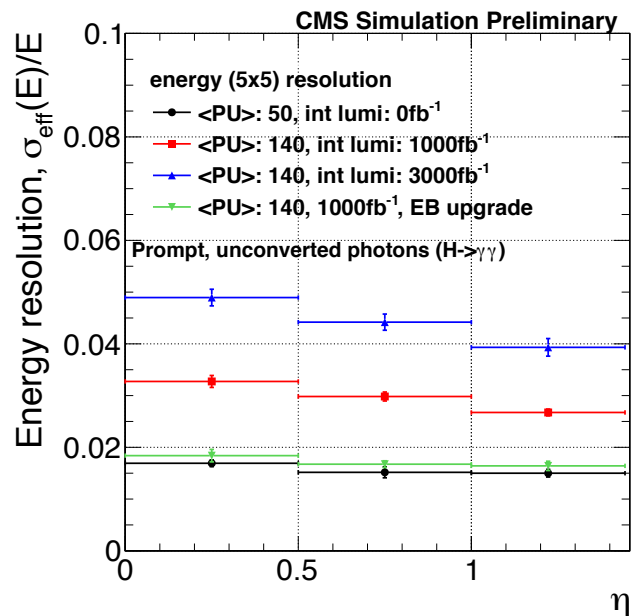
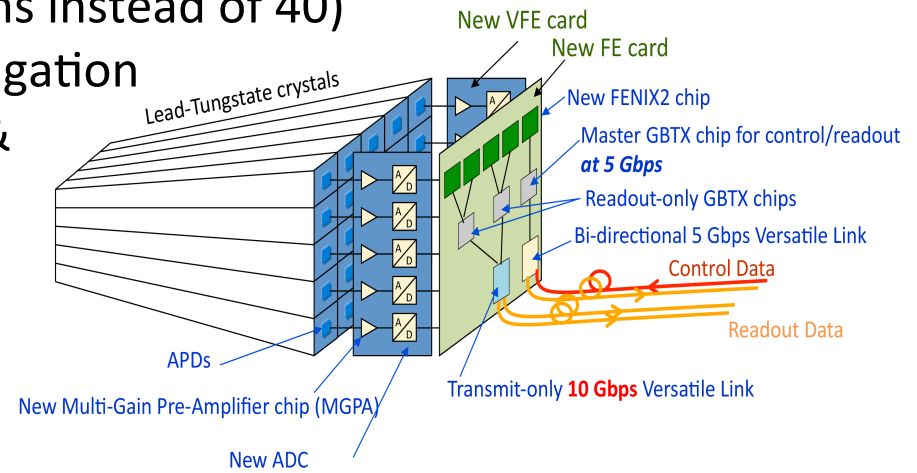




# Calorimeters

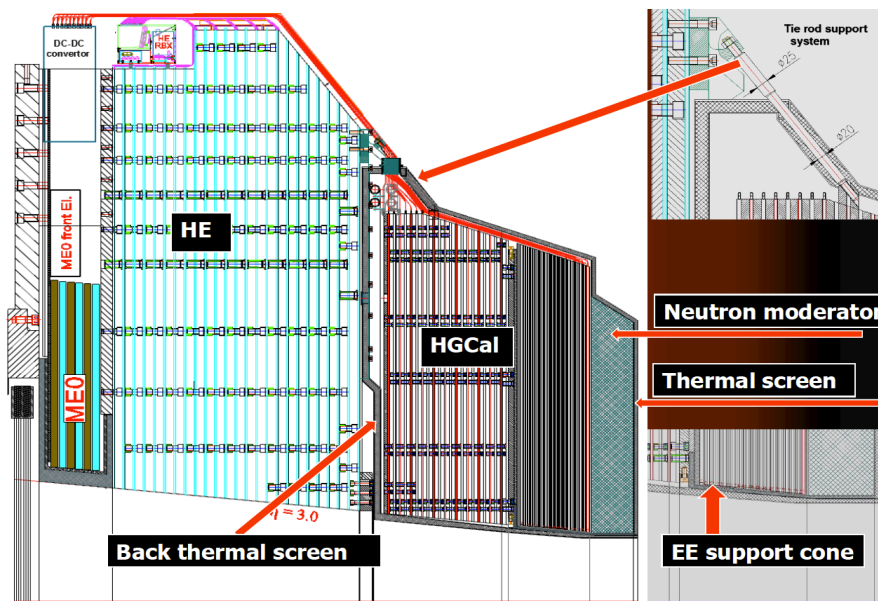
# EB readout and cooling upgrade

- **Replace VFE:** Shorter shaping time (20 ns instead of 40) for PU/spike rejection & APD noise mitigation
- **Replace FE:** Overcome L1-Trigger rate & latency limitations and provide crystal granularity at 40 MHz
- **Lower temperature:** 8° instead of 18° reduce APD noise

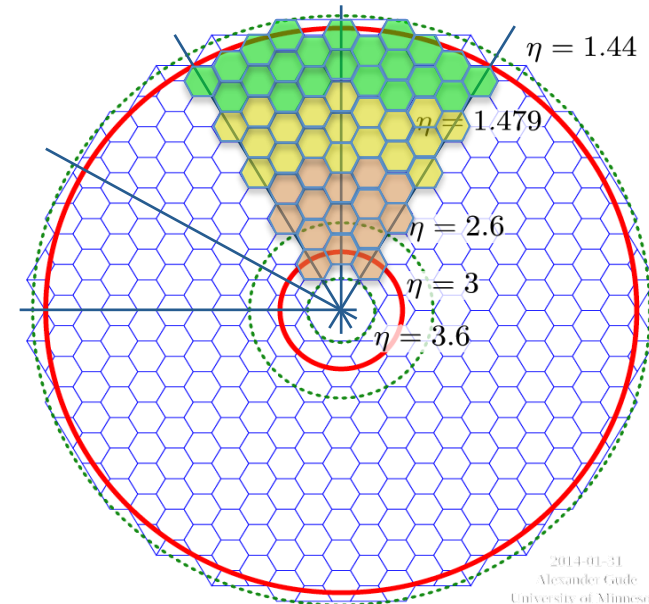


# High Granularity (HGC) & Back Hadron (BH) calorimeters

- 3D shower measurement in HGC (layout studies with standalone simulation)
  - Electromagnetic EE (26  $X_0$ ,  $1.5\lambda$ ): 28 layers of Silicon-W/Cu absorber
  - Front Hadronic FH (3.5  $\lambda$ ): 12 layers of Silicon/Brass
- Back Hadronic BH (5  $\lambda$ ): 12 layers of Scintillator/Brass (2 depths readout)



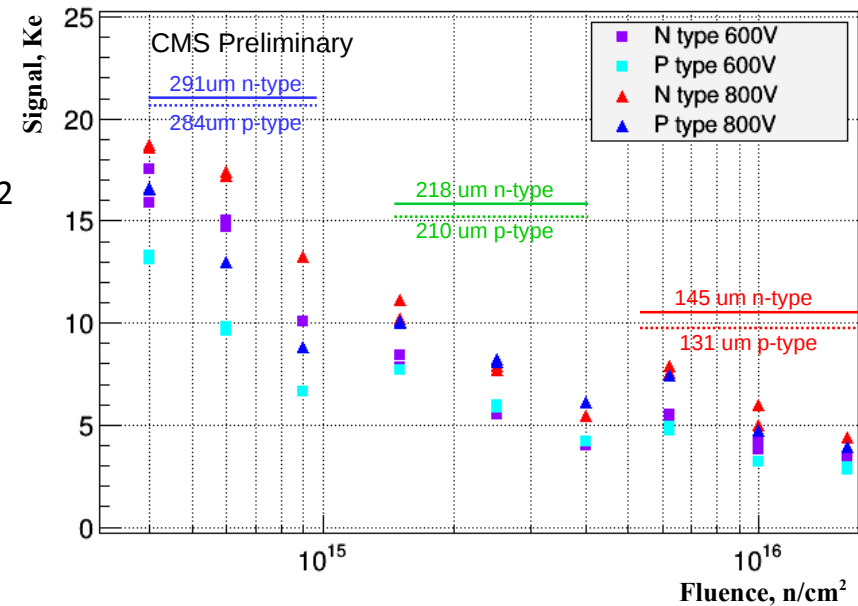
EE: 380 m<sup>2</sup> - 4.3 Mch - 13.9k modules - 16t  
 FG: 209 m<sup>2</sup> - 1.8 Mch - 7.6k modules - 36.5t  
 BH: 428 m<sup>2</sup> - 5184 SiPMs



3 sensor active thicknesses 100-200-300  $\mu\text{m}$   
 0.5(1) cm<sup>2</sup> pads for 100(200/300)  $\mu\text{m}$

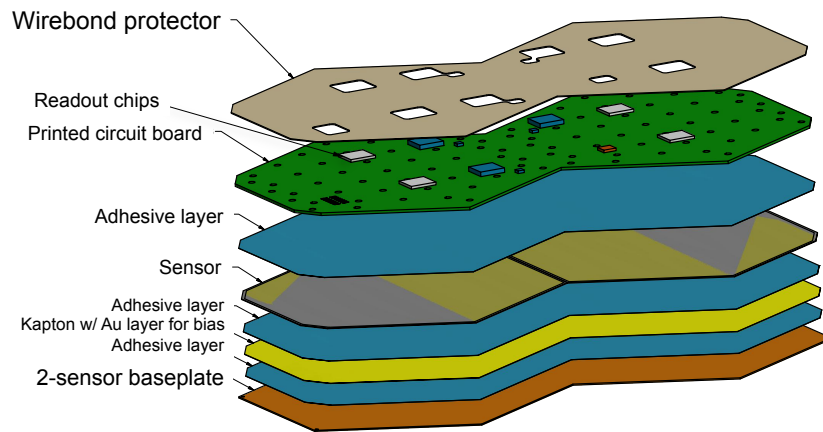
# HGC Silicon sensors and Front End ASIC

- Sensors: n-in-p pads
  - Rad. test with neutrons  $1.5 \times 10^{16}$  neq/cm<sup>2</sup>
  - ≈ 40 % signal loss -  $I_{\text{leak}} \approx 10 \mu\text{A}$  (at  $T = -30^\circ$ )

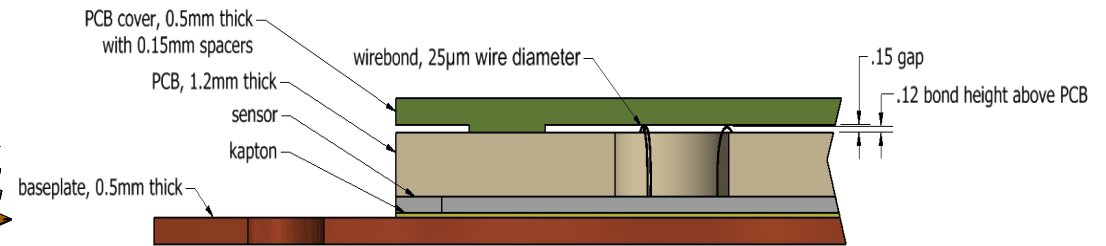


- FE ASIC: 130 nm TSMC - spice simulation:
  - Shaping  $\approx 15$  ns - noise  $\leq 2500e^-$  (after  $3000\text{fb}^{-1}$ ) with power  $\leq 10$  mW per channel
  - Dynamic range 10 pC - 10 bit ADC  $\leq 100$  fC and Time over Threshold (ToT)  $\geq 80$  fC
  - Channel calibration better than 1%
  - Time resolution  $\approx 50$  ps - opportunity for precise timing of showers
  - MIP inter-calibration  $\leq 3\%$   $\rightarrow \approx 0.5\%$  possible down to 1.7 Signal/Noise after irradiation and redundant measurement with dedicated small pads ( $S/N > 5$ )

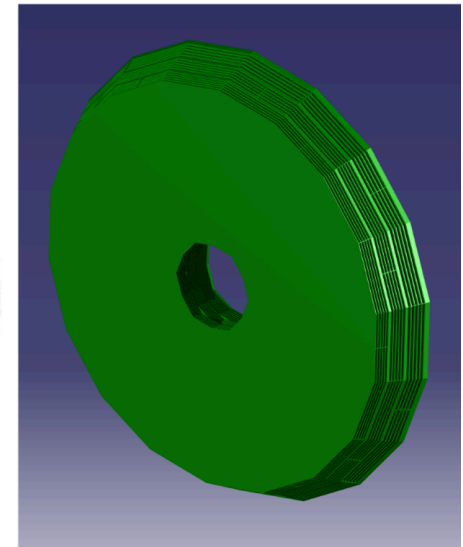
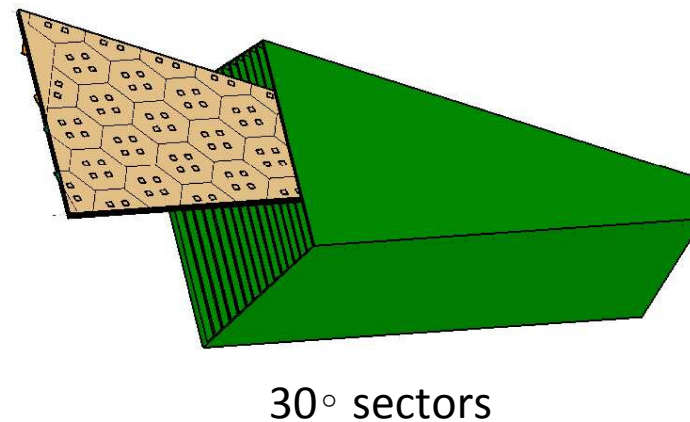
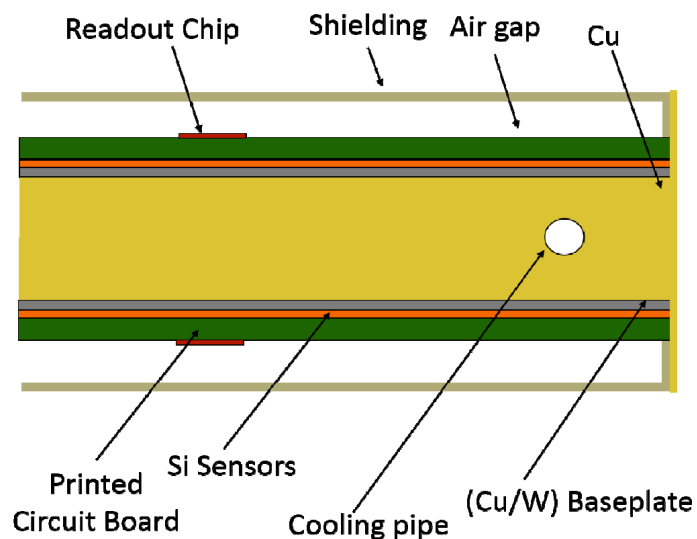
# HGC Silicon sensor modules



2 x 6" sensor modules 256/512 channels  
4/8 chips (depending on pad size)

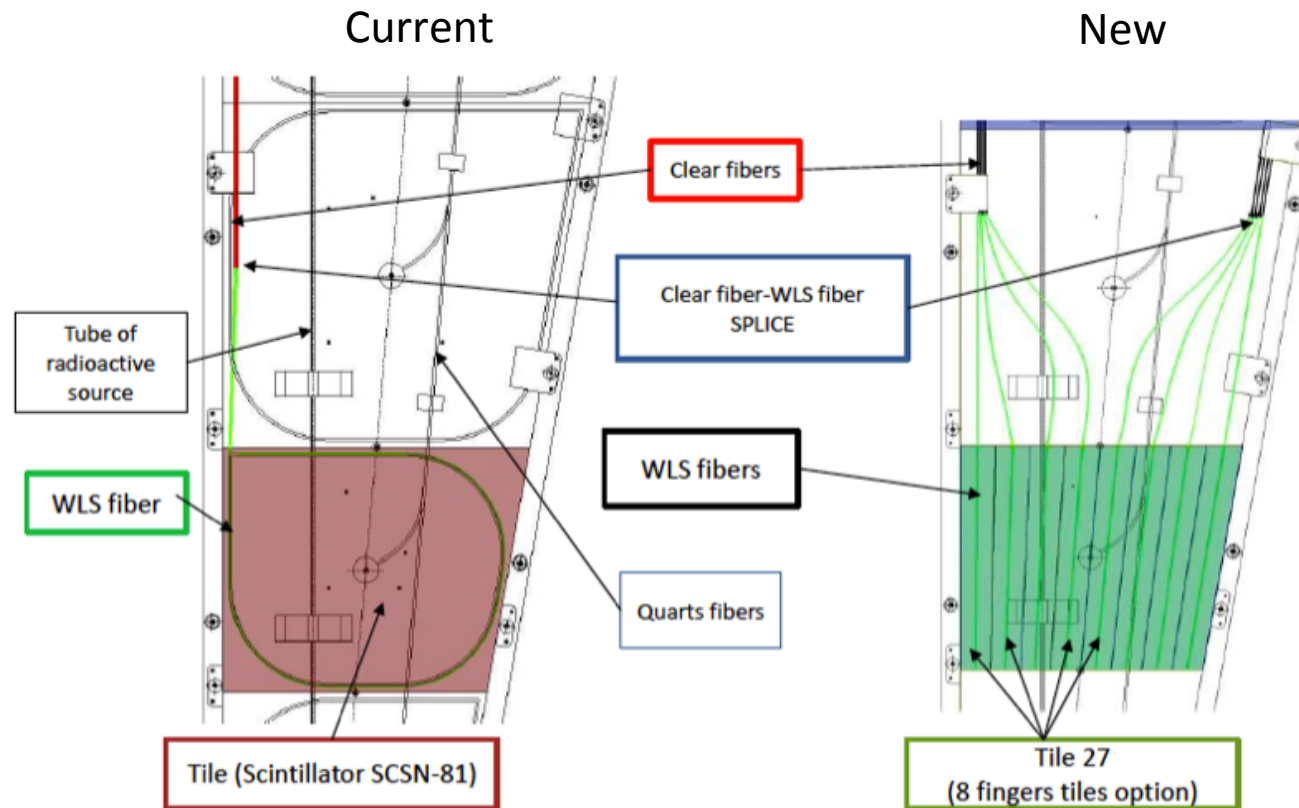


- Silicon operation at  $-30^\circ$  with CO<sub>2</sub> cooling - from FEA studies Si at  $-28.5^\circ$  with  $1.4^\circ$  gradient
- Thermal matching deformation test with prototype indicate  $\approx 6$  Mpa constraint well below tensile strength
- Total cooling power  $\approx 125$  kW (after  $3000\text{fb}^{-1}$ )



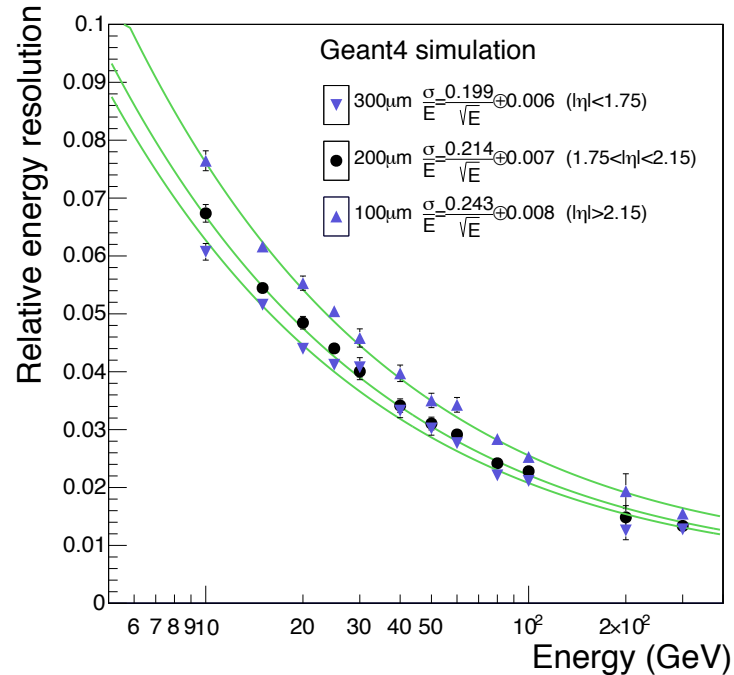
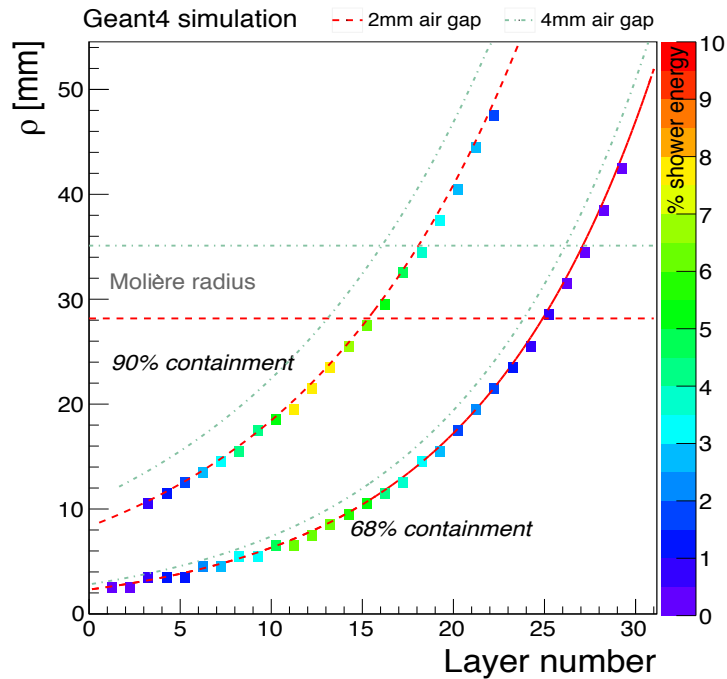
# Back Hadron scintillating tiles

- Improvement of current HE tiles for  $\approx 5$  Mrad tolerance
  - Doubly-doped plastic scintillator x 2 light after irradiation
  - Finger tile design - shorter light path



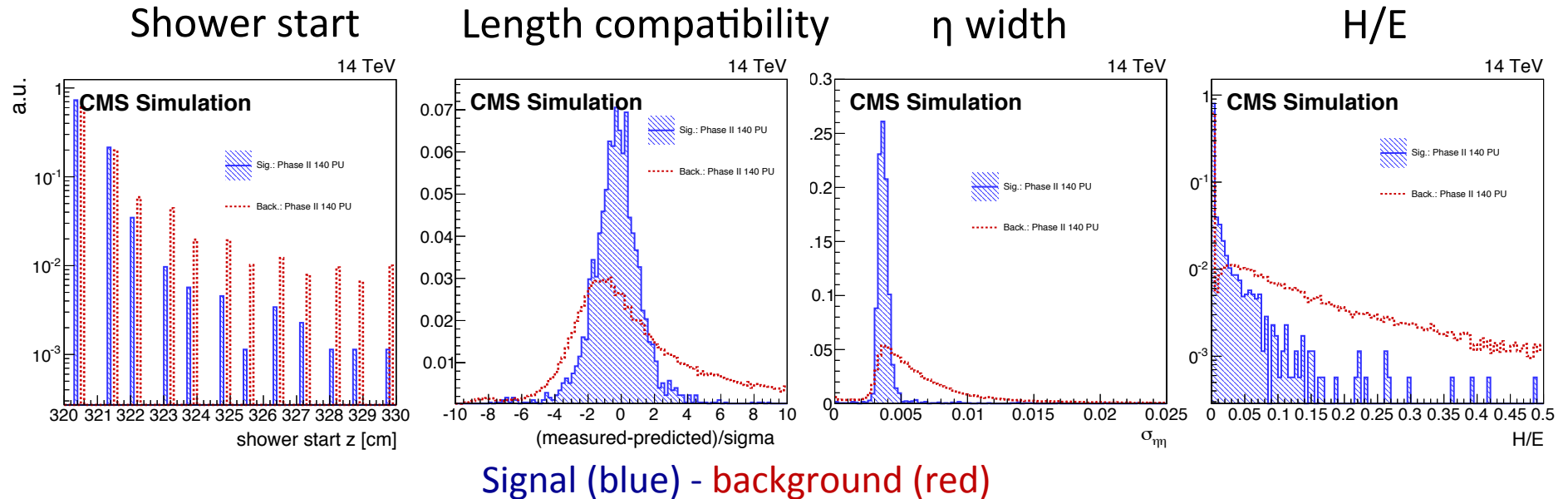
- And also increased granularity  $\approx x 2$  in  $\Phi$  &  $x 1.3$  in  $\eta$

# HGC shower profile and EM resolution



- Shower profile simulation containment & fraction of energy vs layer number - Moliere radius is  $\approx 28$  mm (2mm air gap) but showers are very narrow in the first 10-15 layers for PU effect mitigation
- Intrinsic energy resolution simulation stochastic term is 20% to 24% (300 to 100  $\mu\text{m}$  sensor thickness)

# HGC e/ $\gamma$ new ID variables



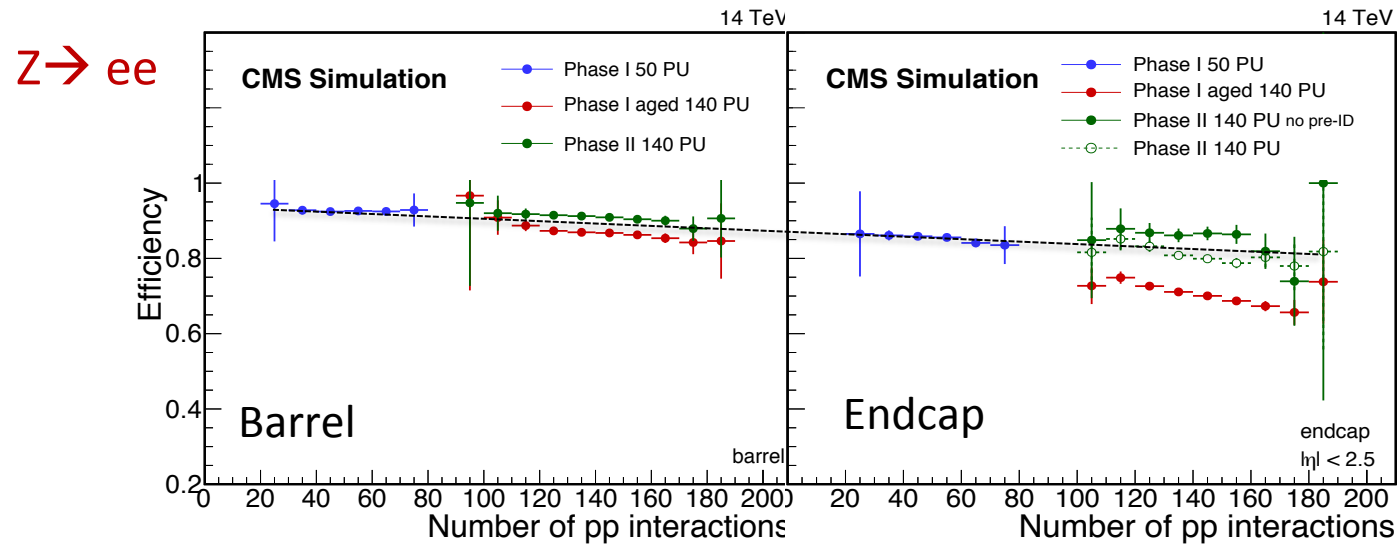
- And to mitigate PU use trans. granularity - cells in  $\leq 1.5R_M$  - H/E in tight cone 0.05

However CMSSW software developments are at a very early stage, clustering, link to charged tracks and PU mitigation potential at layer level is far from being fully exploited in current studies

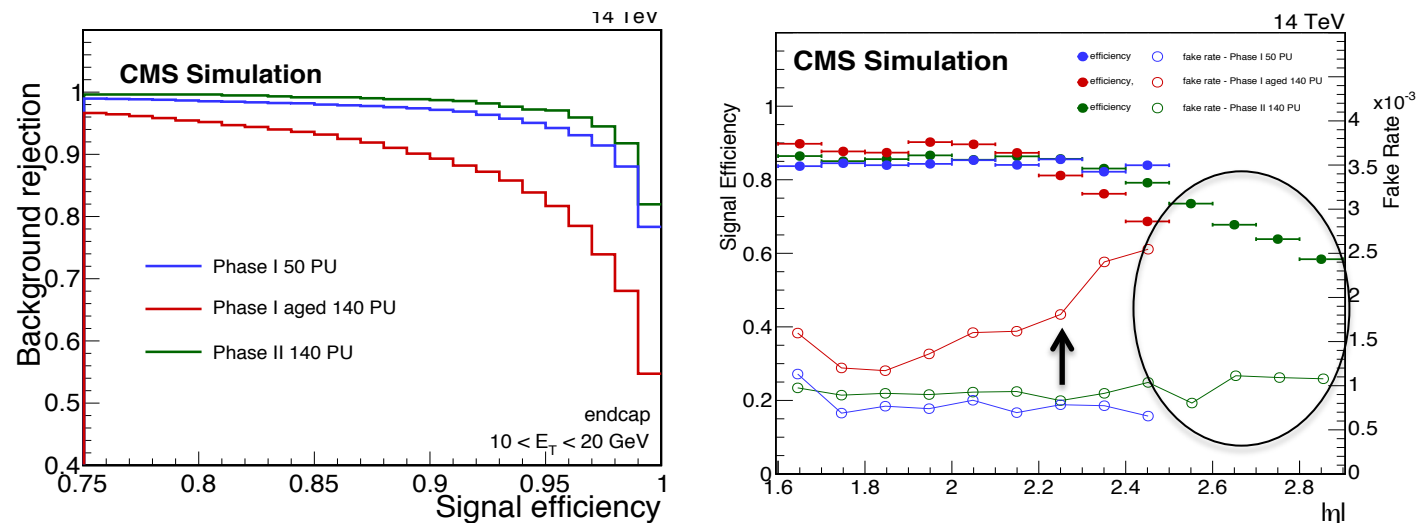


# Electron and Photon performance

- Electron efficiency recovered with smooth decrease up to 200 PU

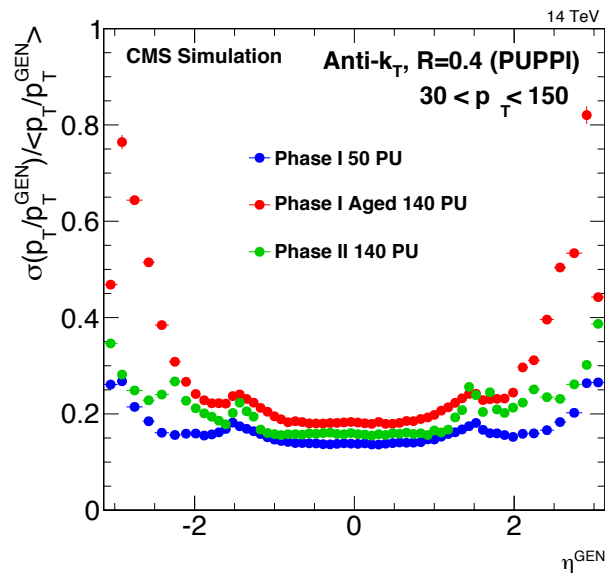


- BDT efficiency for DY electrons and for Jets (left) and BDT efficiency for  $\gamma$  and Jet fake rate for a WP at  $\approx 85\%$  efficiency (right)

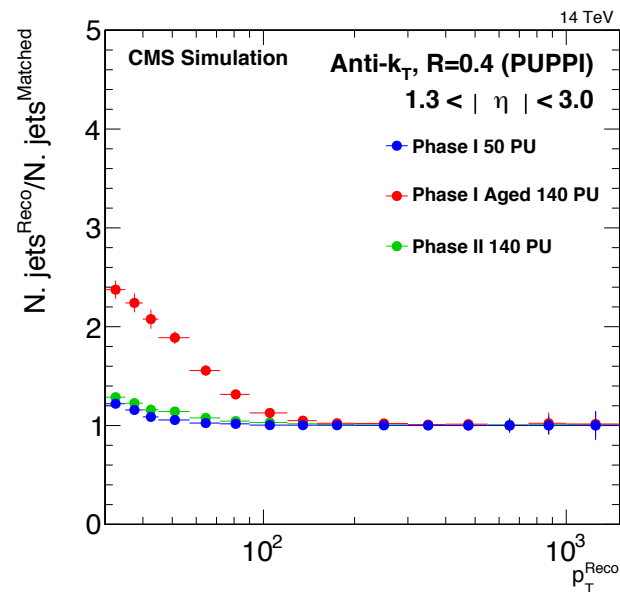


# Jet and MET performance

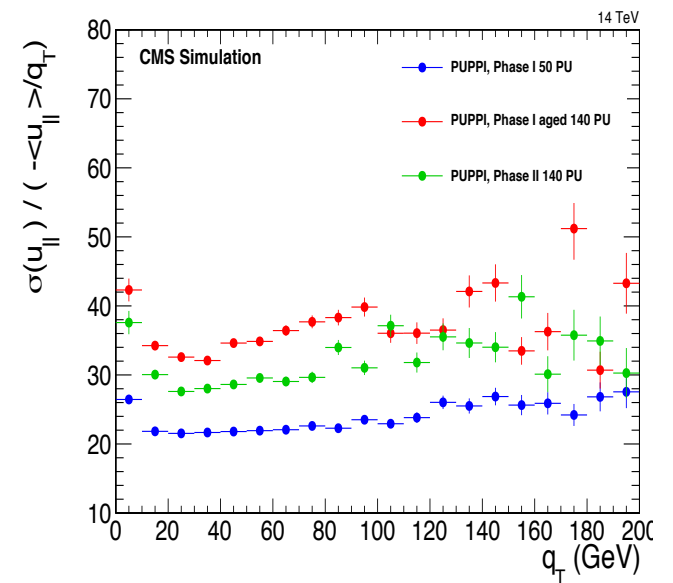
Combined effect of new EC and Tracker extension allows Phase-II to mostly recover energy resolution & fake rate of Phase-I detector at 50 PU



Jet energy resolution



Jet fake rate



MET energy resolution from hadronic recoil in  $Z \rightarrow \mu\mu$

# Muon Systems

## Existing Muon systems longevity

- Rates scale linearly with luminosity and, with integrated charge, are consistent with expectations
- From past test and operation experience Muon detectors are expected to survive 3000 fb<sup>-1</sup> - most forward region to be re-validated
- All systems will be tested in full scale at GIF++ - fast and large area irradiation with high rate  $\gamma$  and MIP capability
  - Validation of previous aging results and assess margins
  - Validation of high rate operation
  - Study of new gas mixtures compliant with greenhouse rules

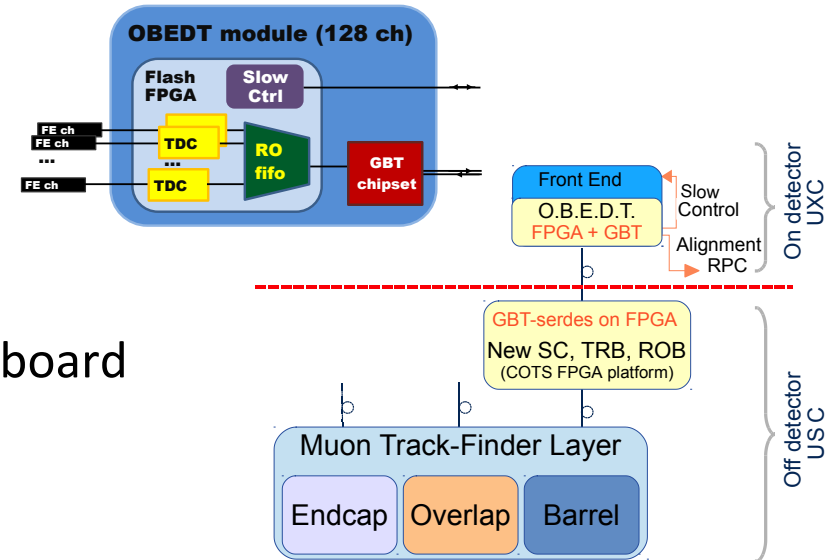
Detector	Bck. rate LHC	Bck. rate HL-LHC	Max. rate tested	Max int. charge 3000 fb <sup>-1</sup>	Max int. charge tested
DT	5	25	100	0.15 C/cm	0.48 C/cm
CSC	900	4500	20000	0.3 C/cm	0.35 C/cm
RPC	50	250	300	0.27 C/cm <sup>2</sup>	0.05 C/cm <sup>2</sup>

Rates seen in Hz/cm<sup>2</sup> at 5 x 10<sup>34</sup> Hz/cm<sup>2</sup>

# Upgrades to Muon system readout electronics

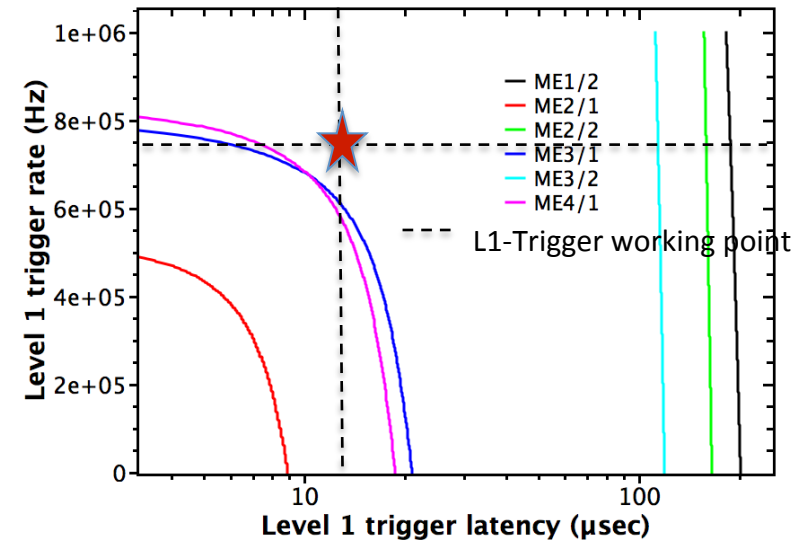
## ○ DT Minicrates replacement

- Radiation tolerance of some FPGA
- Overcome 300 kHz L1-Trigger rate limit
- Provide full DT resolution at L1
- Maintain only TDC function in 1 single FE board



## ○ CSC FEB replacement

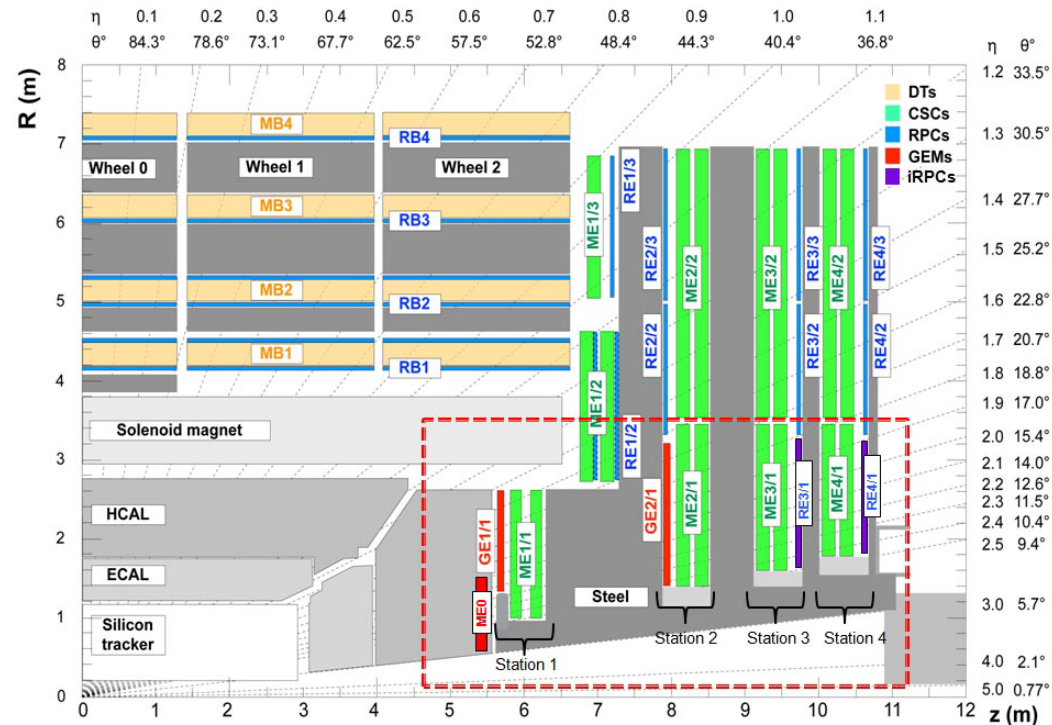
- Remove latency and rate limitation at L1-Trigger in inner rings of station 2, 3 & 4
- Based on the DCFEB developed for the upgrade of the ME1/1 station in LS1



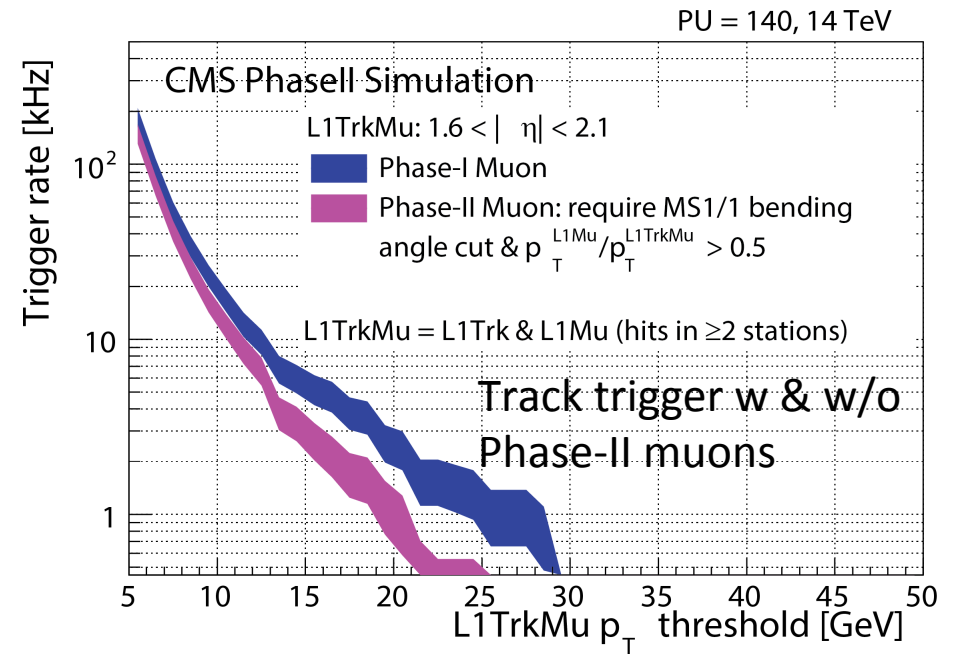
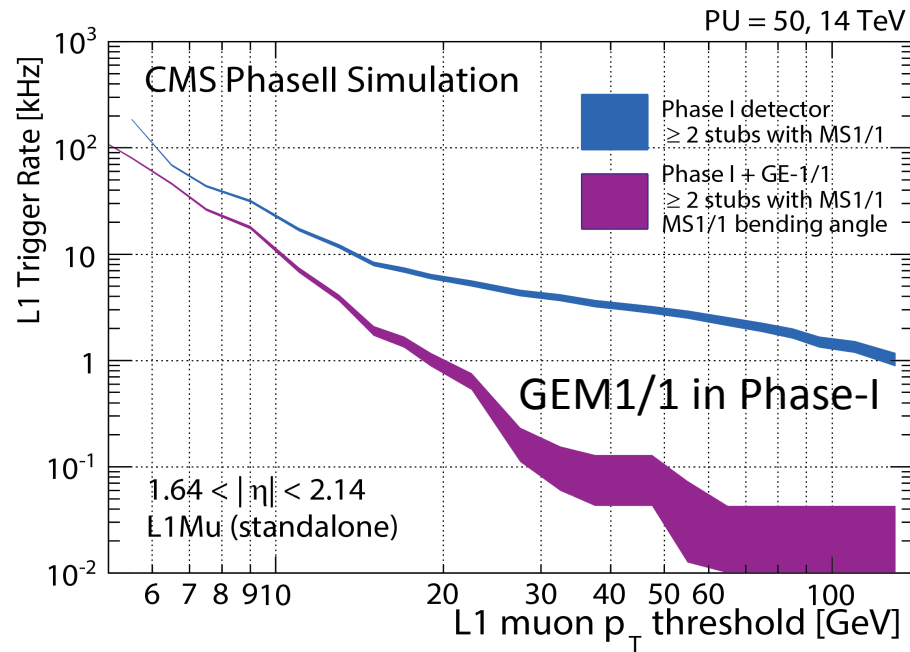
2% loss contour extrapolation from data

# New Muon detectors in the forward region

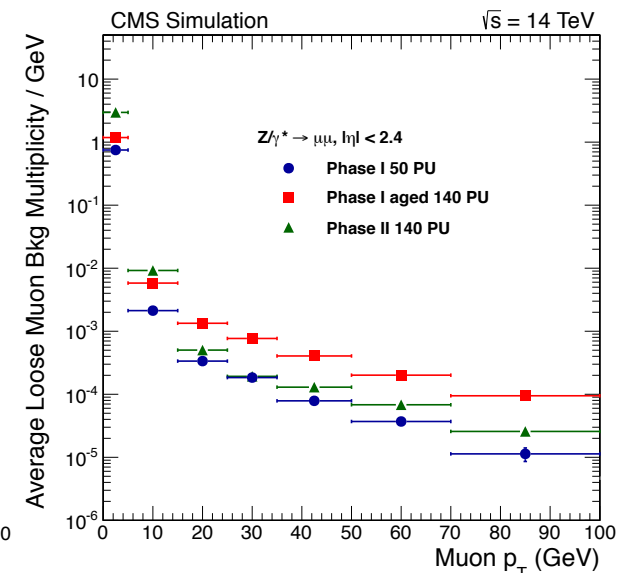
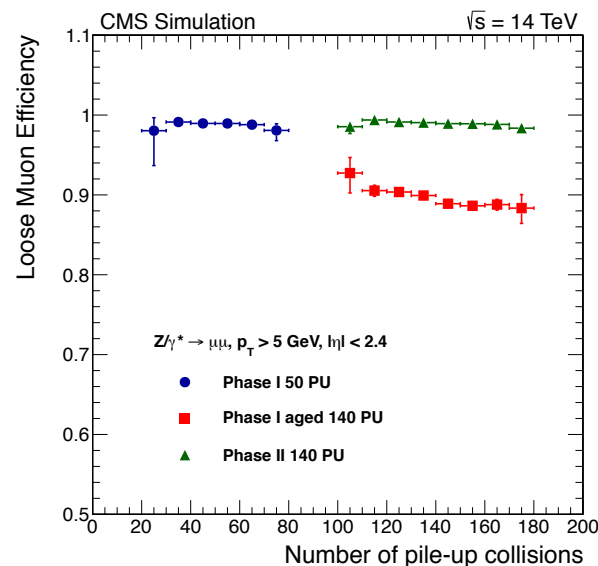
- Complete RPC coverage in  $1.5 < \eta < 2.4$  (foreseen in CMS initial design)
  - Pairs of triple GEM chambers in 2 first stations - high rate and high resolution capability - improve trigger
  - iRPC in stations 3 and 4 - higher rate capability - consolidate reconstruction & reject background
- Extend coverage up to  $\eta = 3$ 
  - 6 triple GEM (ME0) in space freed behind more compact EC, for muon-tagging with matching in Tracker extension



# Muon Trigger and reconstruction performance



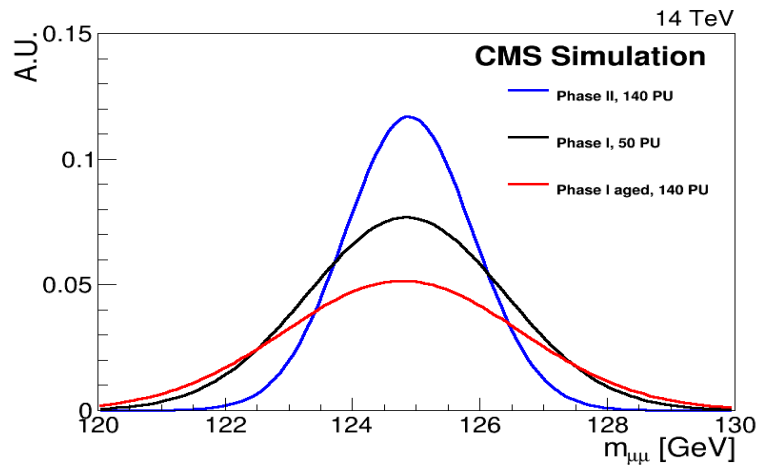
- Good standalone L1-Trigger capability - GEM1 important already after LS2
- Improved rate reduction combined with Track-Trigger
- Trigger on displaced vertices
- Better offline reconstruction resolution - sign assignment



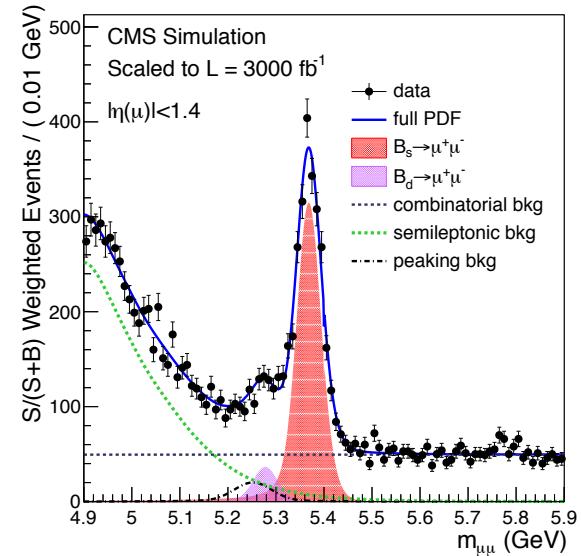
# Enhancement of the Physics reach (examples)



○ Tracker momentum resolution



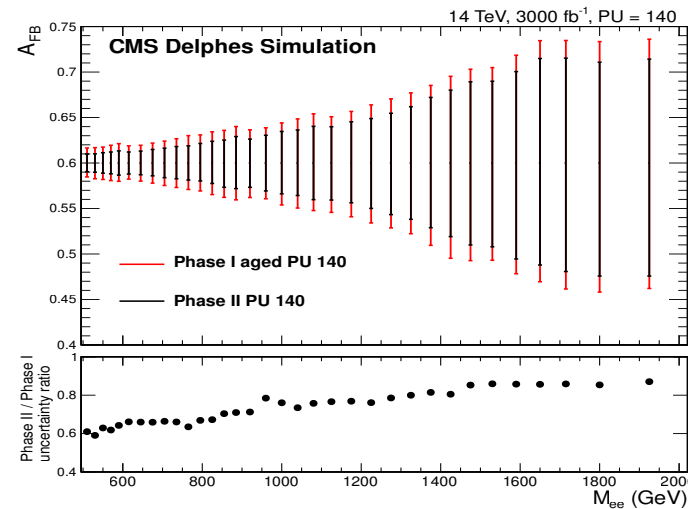
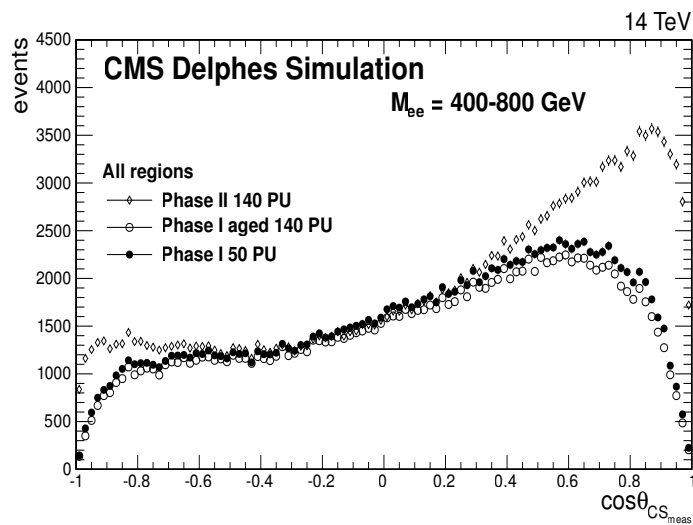
$H \rightarrow \mu\mu$  - 20% efficiency & 40% mass resolution improvement



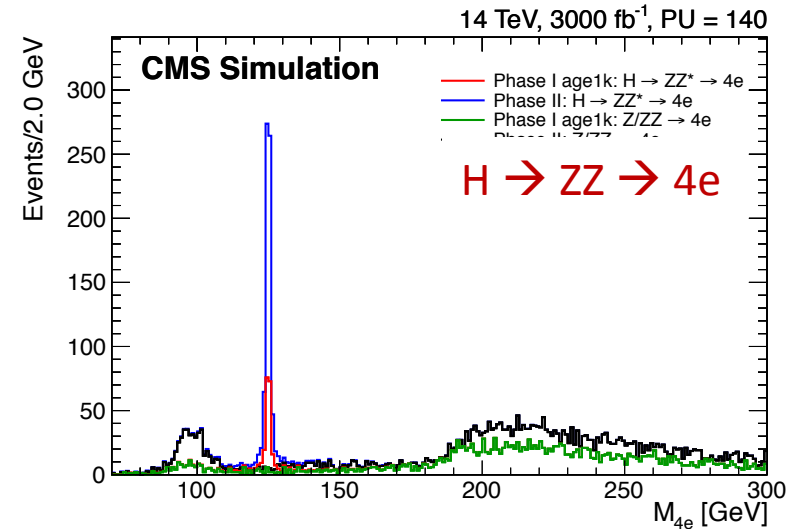
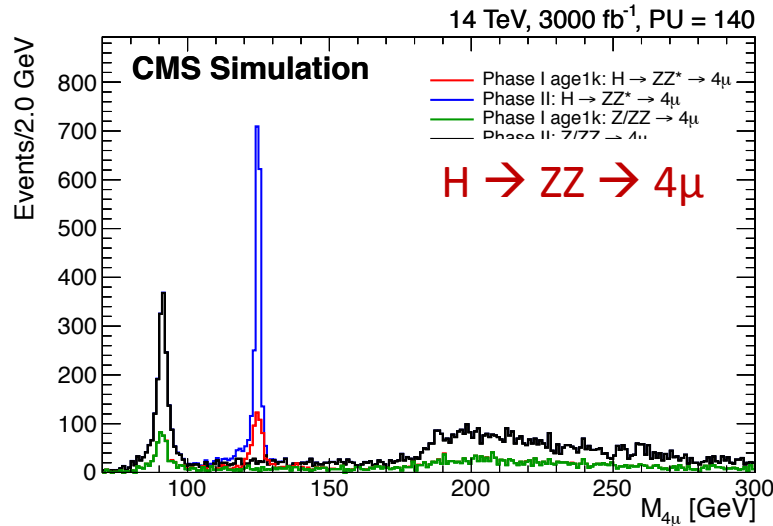
$B_d/B_s \rightarrow \mu\mu$  resolved two decay peaks  
measure also enabled by Track-Trigger

○ Resolution (charge assignment) new EC and coverage up to  $\eta = 3$

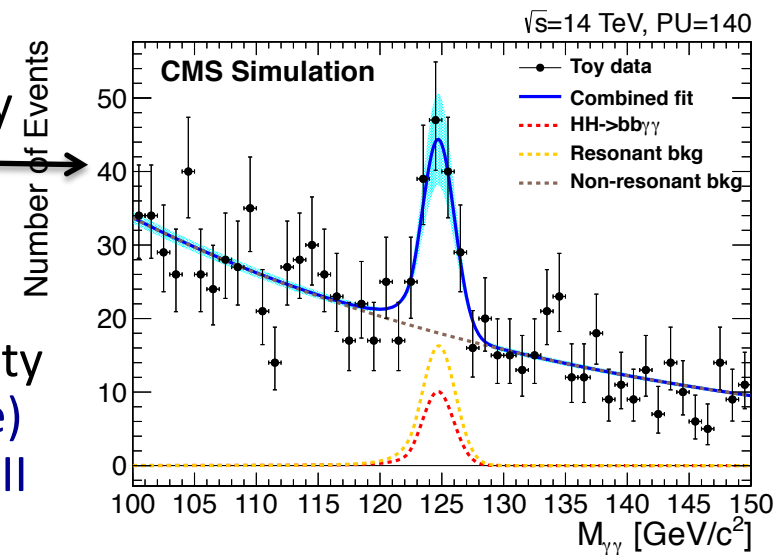
New physics search in  $A_{FB}$  of  $DY ee$  - improved uncertainty by 40% at  $M_{ee} \approx 500$  GeV



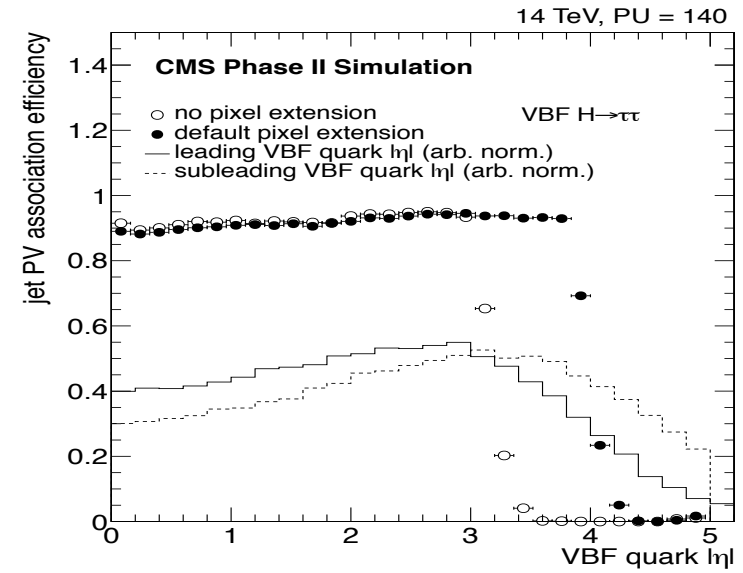
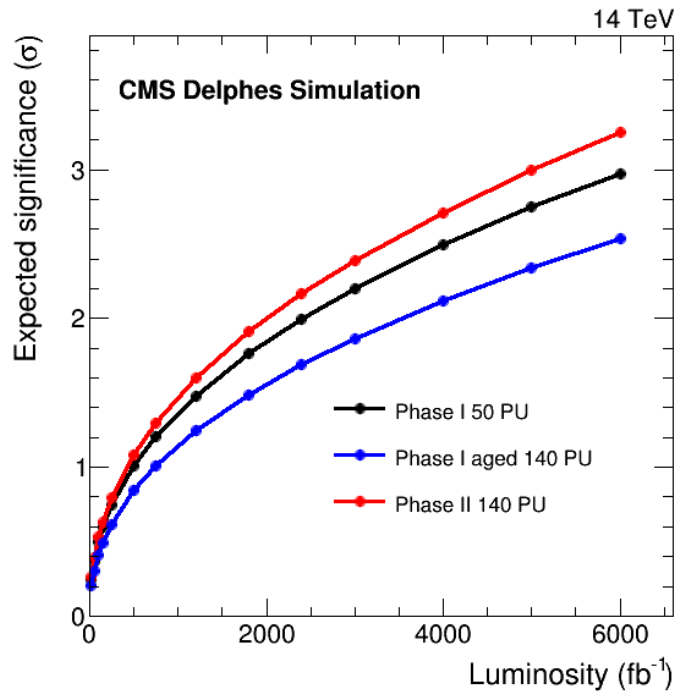
- With aged Phase-I Tracker huge loss of efficiency for  $H \rightarrow ZZ \rightarrow 4l$  Phase-II restore efficiency and extensions increase the acceptance by 20%



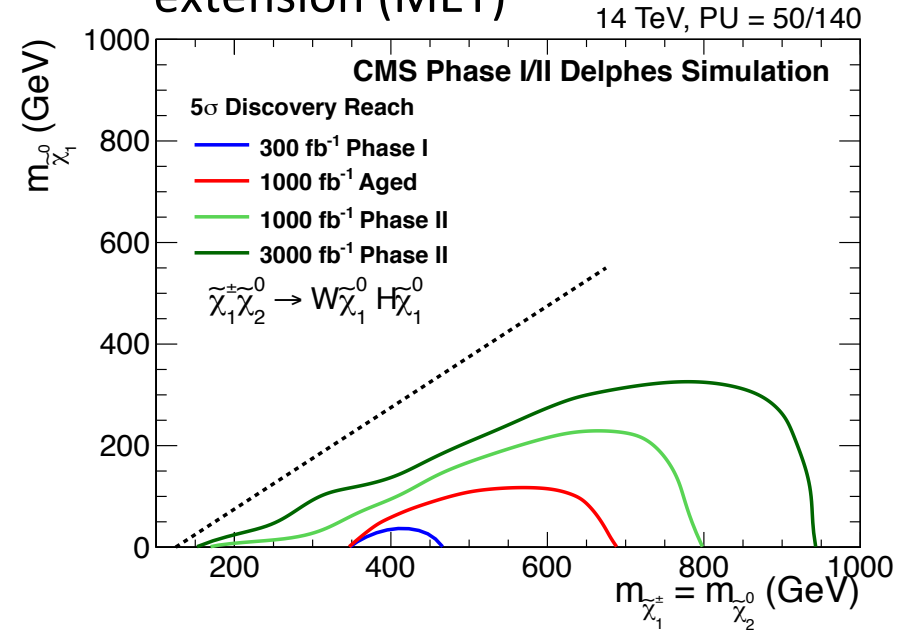
- $HH \rightarrow bb\gamma\gamma$  - 60% cross section uncertainty enabled by Tracker b-tagging, EC  $\gamma$ -ID performance of Phase-II
- $HH \rightarrow b\tau\tau$  - 100% cross section uncertainty enabled by Tracker-Trigger (x2 acceptance) and b-tagging,  $\tau$ -ID performance of Phase-II



- **VBF  $H \rightarrow \tau\tau$**  - x 5.5 acceptance with Track-Trigger - 90% efficiency for Jet-ID with tracks and 15 % gain expected from improved mass resolution (MET)
- **$W_L W_L$  scattering better significance with 140 PU Phase-II than 50 PU Phase-I** - enabled by Tracker extension - EC Lepton-ID, b-tagging



- **Neutralino mass range increase** - enabled by Tracker b-tagging, extension (MET)



# Beam Radiation Instrumentation and Luminosity

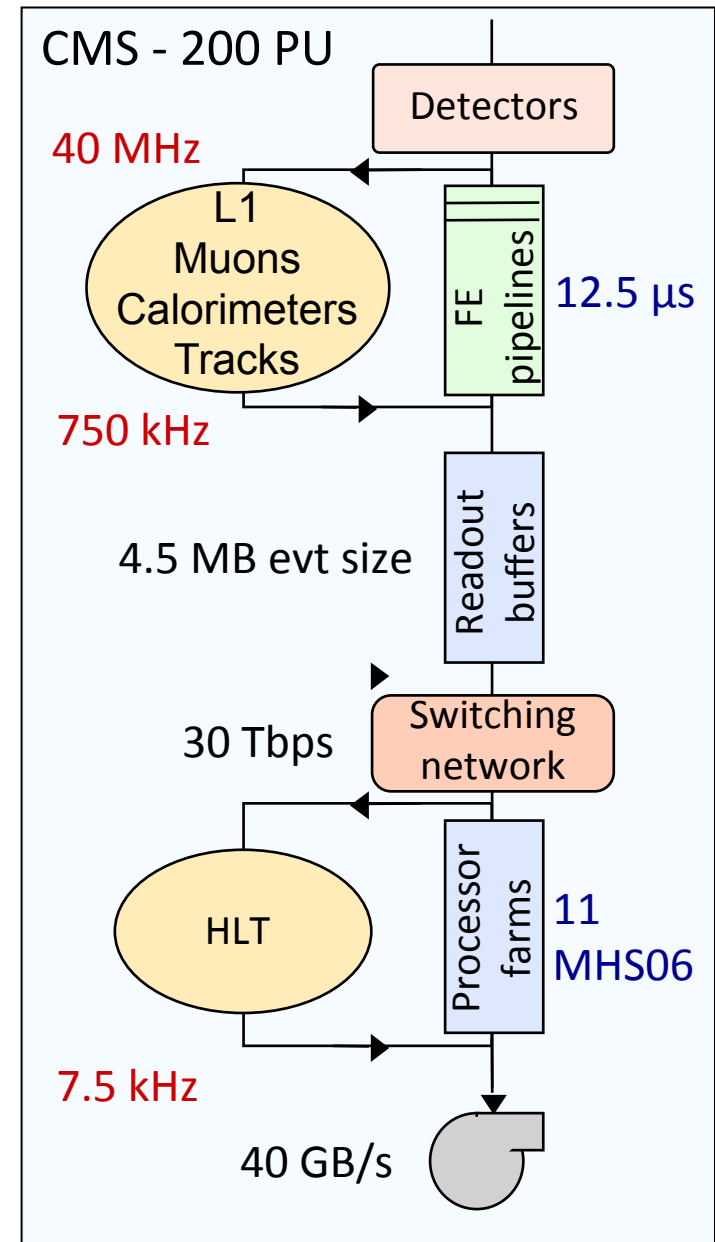
# Beam Radiation Instrumentation and Luminosity upgrades

- Active protection and Background monitoring
  - Replace Diamond detectors due to radiation tolerance
  - New mechanics (new pixel and beam apertures)
  - Replace Beam Halo detector Photosensors
- Radiation monitoring:
  - Medipix-timepix: discriminate particles and energies - prototypes in 2016
  - New neutron (RADMON) detectors
- Luminosity measurement - bunch by bunch with 1% precision:
  - Replace PLT due to radiation - use new dedicated forward pixel plans in extension of Tracker with specific trigger and BE

# L1-Trigger/HLT/DAQ

## L1-Trigger/HLT/DAQ upgrade features

- L1-Trigger
  - High BW and processing power boards
  - First layer to match detector information
  - Second layer to produce Trigger objects
- Trigger timing, throttling and control
  - High Band Width bi-directional link allowing trigger information to steer readout
- DAQ
  - Similar evt builder, HLT and storage as present
  - Increase Band Width - 800 links x 100 Gbps with 30% occ. will provide 30 Tbps evt building throughput
- HLT
  - Processing power scales as PU x L1 rate - need increase by a factor  $\approx 52$  wrt Run 2 at 200 PU

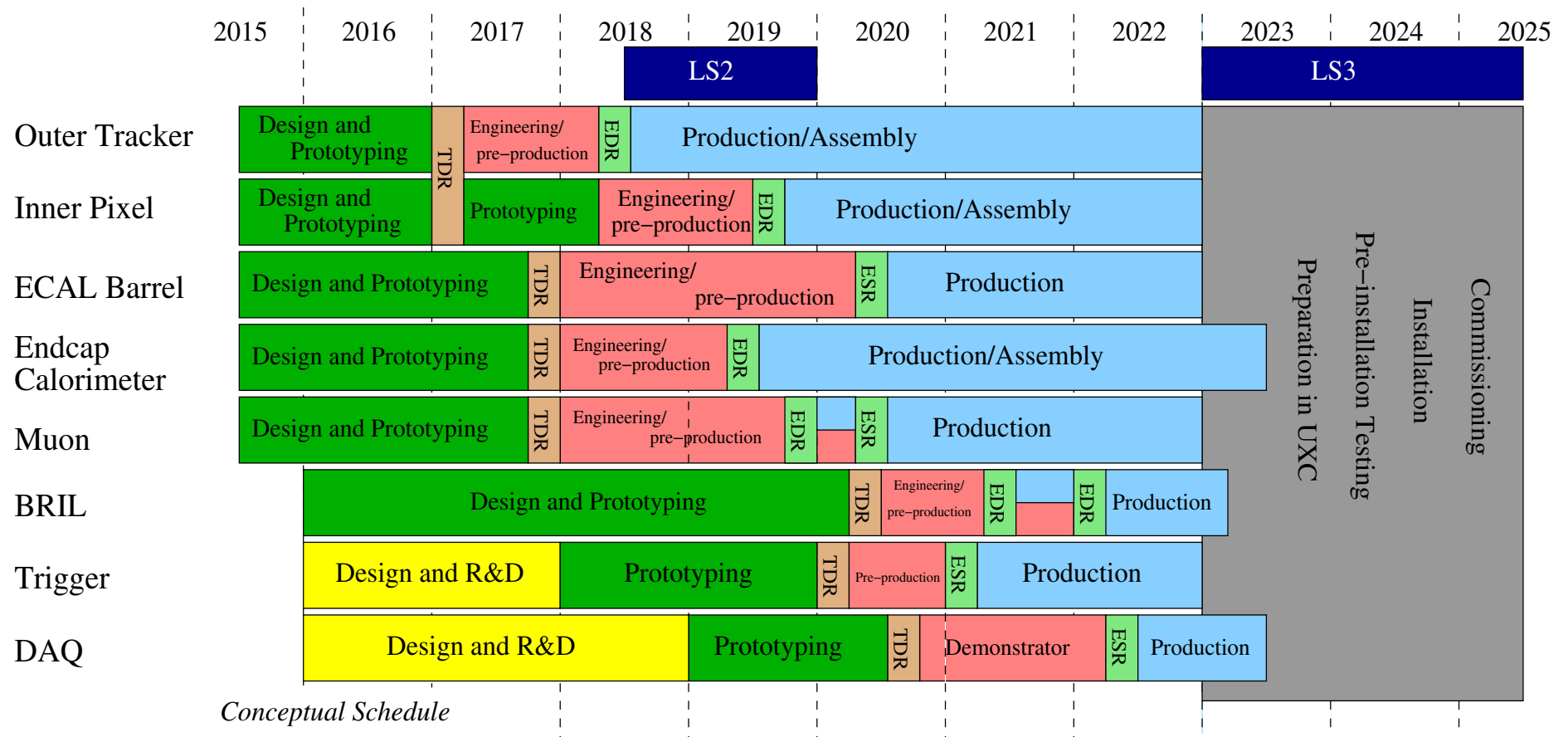


# Upgrade Planning & Cost estimates



# Upgrade planning

- R&D program well established for all upgrades with set of major milestones
- TDRs mostly in 2017 - including design optimization, main technical choices, improved cost estimates, and construction funding and sharing model
- Production & assembly staged according to project needs (with contingencies)



## CORE Cost estimates

- Funding of Material & Services starting at pre-production - no manpower apart from contracts & P5 installation - no contingencies - 2014 mean exchange rates
- Component level breakdown - estimates based on: vendor quote/catalog prices recent similar purchases, engineering designs where sub-components are know, conceptual designs or scaling of similar systems

CORE cost estimate	MCHF (2014)
Pixel Detector	23
Outer Tracker	89
<b>Tracking System</b>	<b>112</b>
EB electronics	10
HB scintillators	1
Endcap HGC+BHE	64
<b>Calorimeters</b>	<b>75</b>
DT and CSC electronics	10
Muon stations:GE11,GE21, RP31 and RP41	10
Muon extension ME0	5
<b>Muon Systems</b>	<b>25</b>
<b>Beam Monitors and Luminosity</b>	<b>4</b>
L1 Trigger	7
HLT	11
DAQ	6
<b>Trigger and DAQ</b>	<b>24</b>
<b>Infrastructure, Systems and Support, Installation</b>	<b>25</b>
<b>Total</b>	<b>265</b>

## Concluding remarks

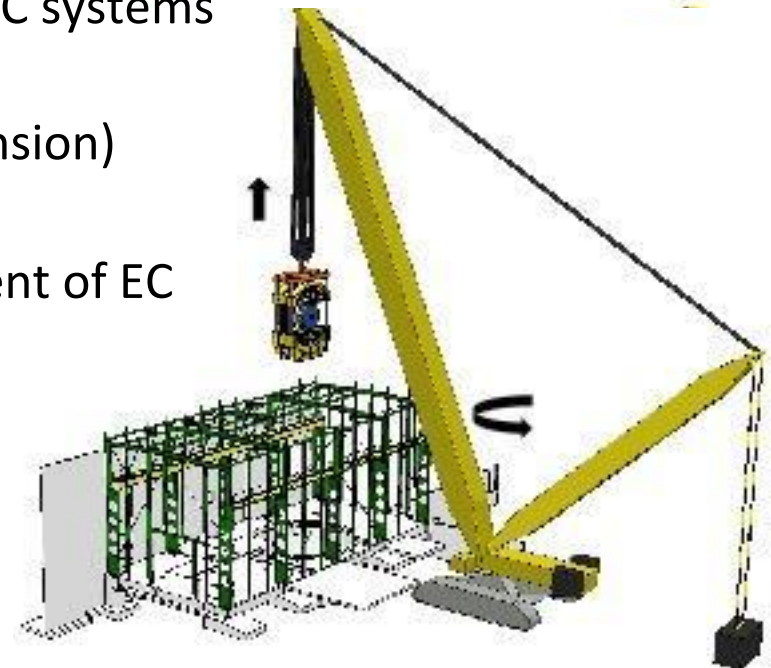
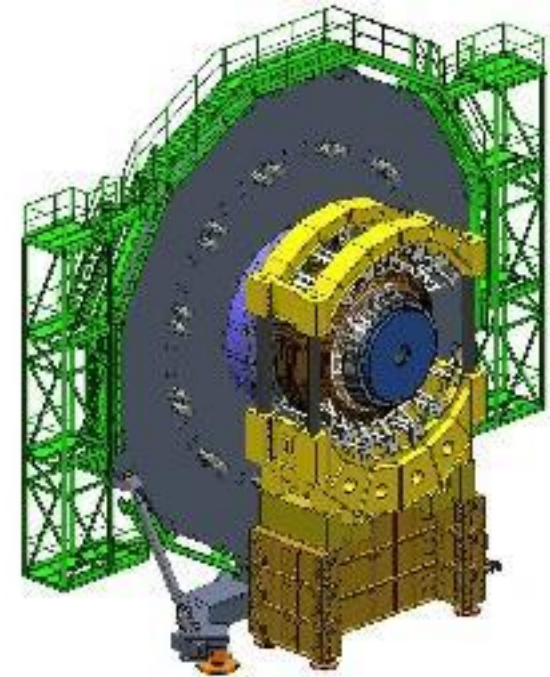
- CMS has developed strong conceptual designs for all detector upgrades to solve aging issues and high luminosity and PU challenges, covering the entire physics reach at the HL-LHC
- The Technical Proposal describes in details :
  - Configurations and technical solutions for all projects together with the established plans to develop components
  - The preliminary cost estimates have been built from component level costs in most cases, scaled from existing systems in others
- In a Scope Document the impact of de-scoping on performance and cost will be presented (targeting agreed funding scenarios)
- Design and technical optimizations for performance/cost-effective upgrades will continue during the preparation of individual project TDRs
- These TDRs are foreseen in 2017 to allow preparing funding and sharing of the construction work within the current HL-LHC schedule

# Back-up slides

# Infrastructure and Logistic of work in LS3

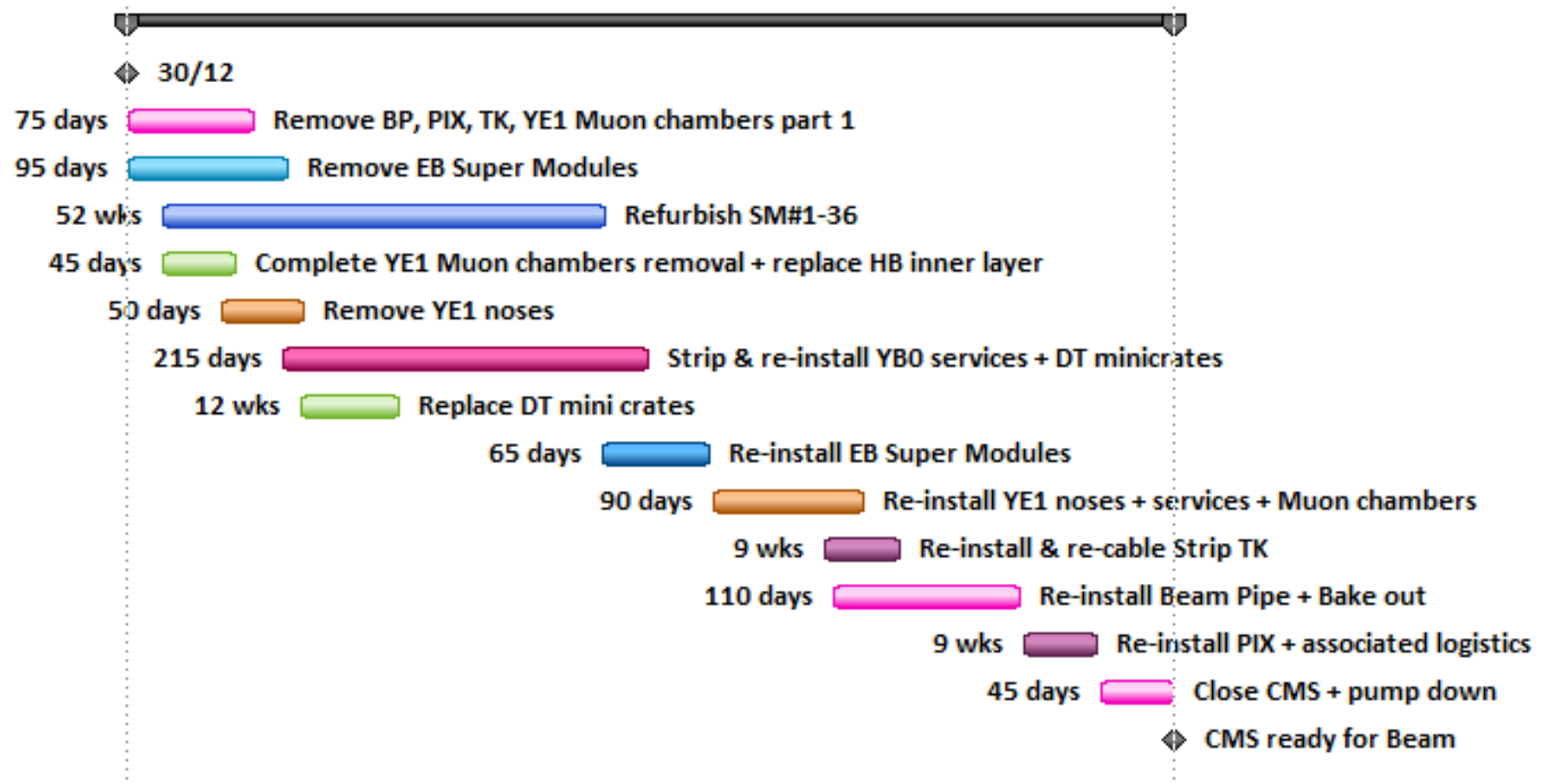
# Infrastructure upgrades

- Solenoid Magnet
  - Cryogenics, powering & control to prolong lifetime (cooled freewheel thyristor)
- Beam pipe
  - Replace all steel portions with Aluminium alloy
- Crane systems
  - Second carriage
- Service supplies
  - Gas, water, electrical power, dry gas, HVAC systems
- Common facilities and equipment
  - Assembly labs, workshops , storage (extension)
- Moving systems and access equipment
  - Winching system- removal and replacement of EC
- Safety systems and radio protection
- Integration & installation of all detectors



# Logistic of work and schedule of LS3

- Anticipate work during LS2 or soon after
  - GEM1, CSC readout, outer beam pipe, thyristor, new crane, lifts, new facilities...
- Preliminary but detailed work sequence (76 tasks) (1 shift/day/task)
  - Duration is driven by EB electronics refurbishment and YB0 services
  - 137 weeks - aim to reduce exploiting 2'nd UXC crane & duplicate tooling (EB)



# Offline & Computing



## Offline and Computing upgrade

- **CPU increase:** ratios to run 2 in table below - assuming x 8 gain in power at constant resources and x 2 improvement from software  
 → x 4/12 to be further gained at 140/200 PU
- **Raw data:** At 5-7.5 kHz CMS would collect 25TB-37TB per year

Detector	HLT output rate (kHz)	Data Reco.	Simulation			Total
			Detector sim.	Digi.	Reco.	
Phase 1	1	4	1	3.5	4	3
Phase-II (140)	5	100	5	47	100	65
Phase-II (200)	7.5	340	7.5	100	340	200

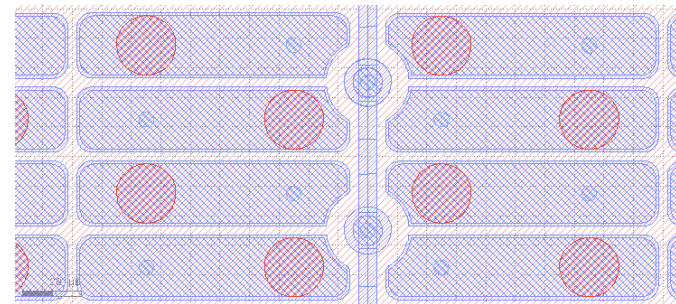
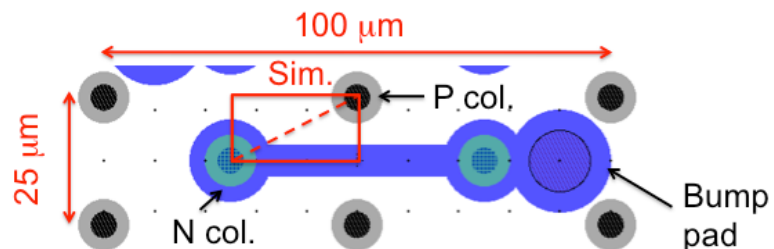
- **Ongoing developments following new computing trends:**
  - Low power ARM processors, and high performance GPU systems
  - More broadly distributed resources (opportunistic computing) and improved techniques in data provisioning (cloud tools)
  - More efficient use of storage and data distribution - SSD, dynamic data placement, remote services through Content Delivery Networks

# TP studies

Performance/ Physics	Higgs VBF $H \rightarrow \tau\tau$	Higgs $H \rightarrow \mu\mu$	Higgs $H \rightarrow ZZ \rightarrow 4l$	Higgs $HH \rightarrow bb\gamma\gamma$	Higgs $HH \rightarrow bb\tau\tau$	SMP VBS	SUSY VH(bb +MET	EXO $A_{fb}(Z')$	EXO Dark Matter	EXO HCP	BPH $B_{s,d} \rightarrow \mu\mu$
Tracker											
Performance		<i>mass resolution</i>	<i>mass resolution</i>	<i>b-tagging</i>	<i>b-tagging</i>						<i>mass resolution</i>
Extensions	<i>forward jets / MET</i>		<i>acceptance</i>		<i>MET resolution</i>	<i>forward jets</i>	<i>MET resolution</i>	<i>acceptance</i>	<i>acceptance</i>		
Trigger											
Bandwidth	<i>acceptance</i>				<i>acceptance</i>						
Track Trigger	<i>background rejection</i>				<i>background rejection</i>						<i>background rejection</i>
Calorimeter											
ECAL	<i>forward jets / MET</i>		<i>acceptance</i>	<i>acceptance</i>	<i>MET resolution</i>	<i>forward jets</i>	<i>MET resolution</i>	<i>acceptance</i>	<i>acceptance</i>		
HCAL	<i>forward jets / MET</i>				<i>MET resolution</i>	<i>forward jets</i>	<i>MET resolution</i>				
Muons											
Extension			<i>acceptance</i>					<i>acceptance</i>	<i>acceptance</i>		

## Silicon sensors

- Outer Tracker (max. fluence  $1.5 \times 10^{15}$  neq/cm<sup>2</sup> at 3000 fb<sup>-1</sup>):
  - n-in-p, 200  $\mu\text{m}$  active thickness from 300  $\mu\text{m}$  deep diffusion
  - FloatZone material available
  - 200  $\mu\text{m}$  physical thickness & Magnetic Czochralsky preferred
  - 6" or 8" depending on availability and cost
  - Now qualifying potential vendors
  
- Pixels (max. fluence  $2 \times 10^{16}$  neq/cm<sup>2</sup> at 3000 fb<sup>-1</sup>):
  - n-in-p preferred for cost
  - Also investigating 3D technology for innermost layer
  - Finalize layout - ability for small pixels - compare radiation tolerance

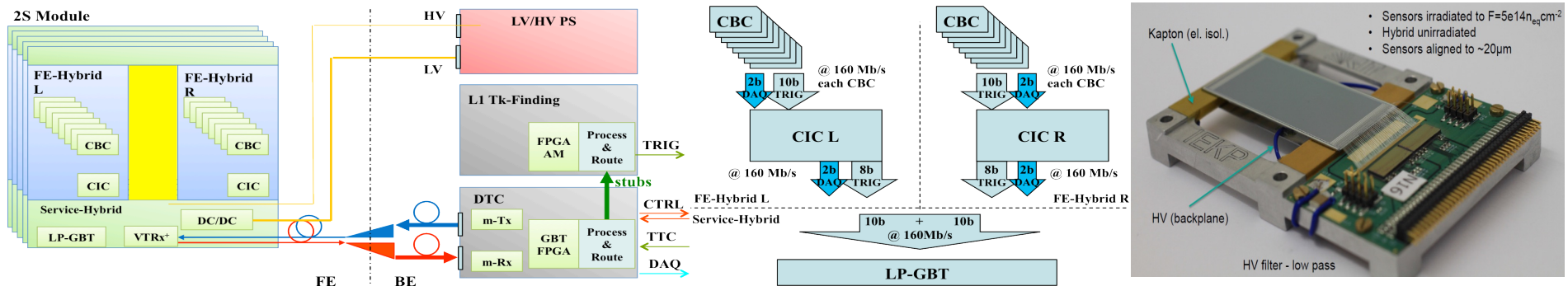


3D

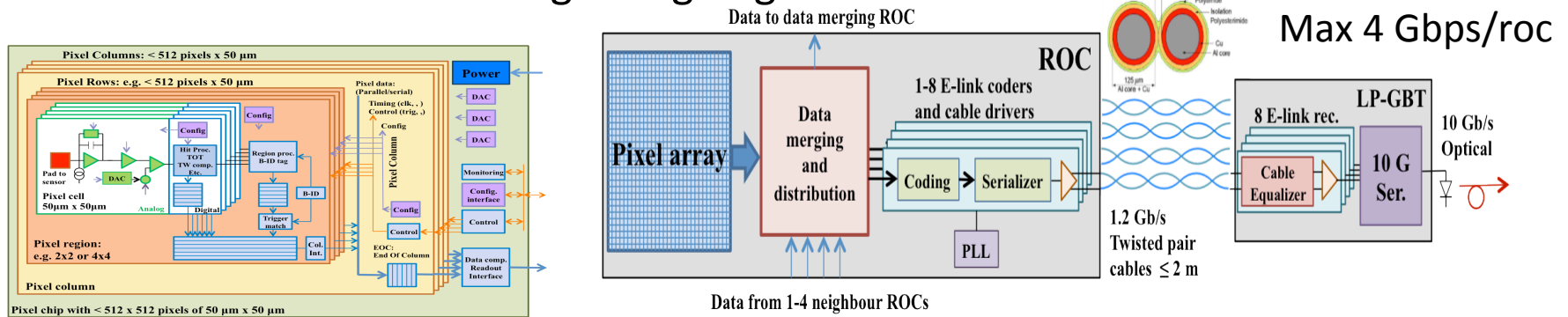
n-in-p

# Front end electronics

- **Outer Tracker:** Binary chip CBC 130 nm IBM (2S modules ) and flex hybrid tested - First version of MPA 65 nm TSMC ASIC (PS modules) available - Concentrator (CIC) 65 nm TSMC verylog implementation



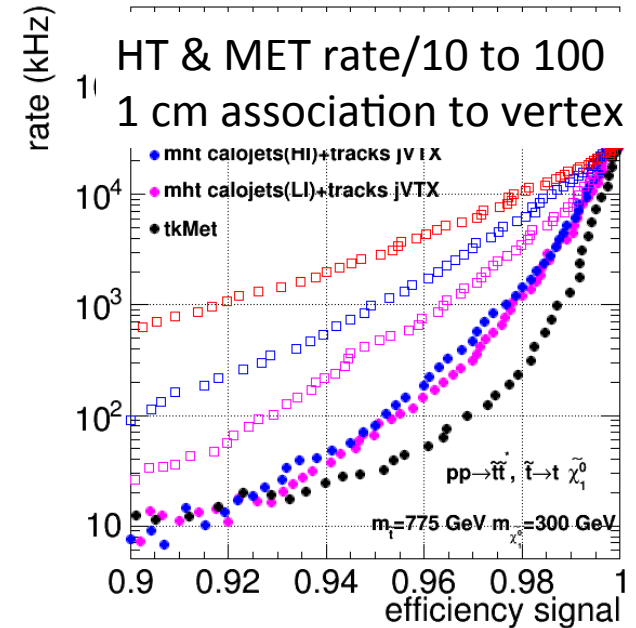
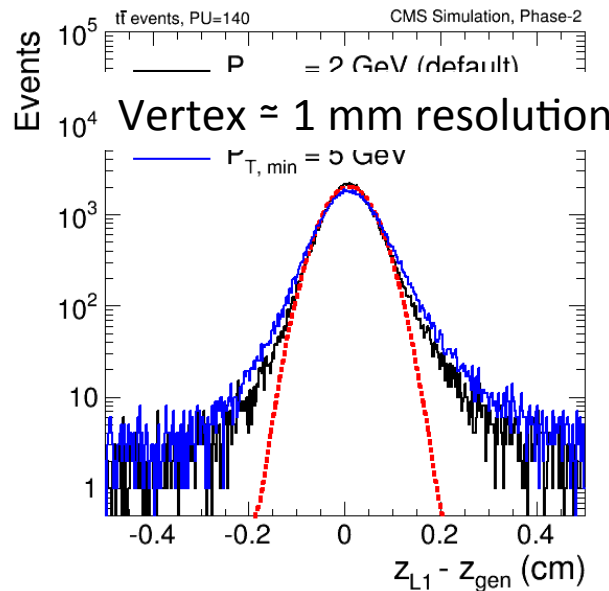
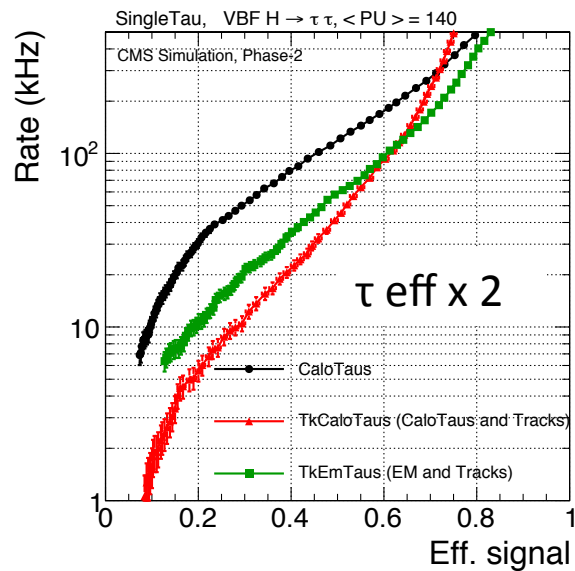
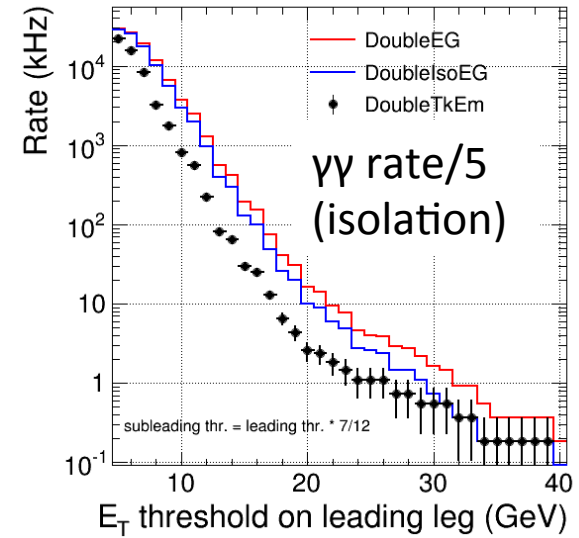
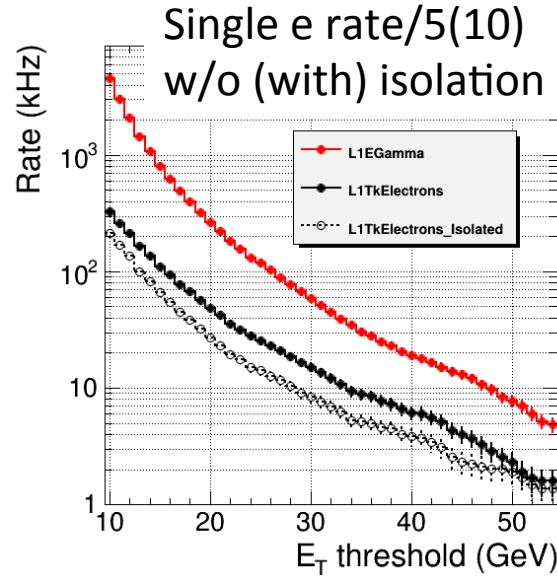
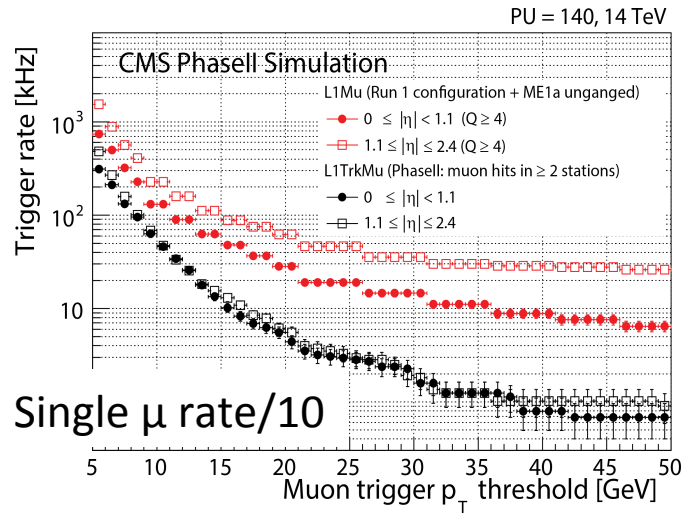
- **Pixels:** RD53 FE chip common R&D with ATLAS - defining rules for radiation tolerance  $\geq 200$  Mrad - design on-going



- **Data transfer:** on-going work to increase BW of GBTs with low power consumption (up to  $2 \times 3.2$  Gb/s) also light 2 m electrical links for pixels
- **Powering scheme:** both DC/DC and serial powering considered

# L1 Trigger performance with Track-Trigger

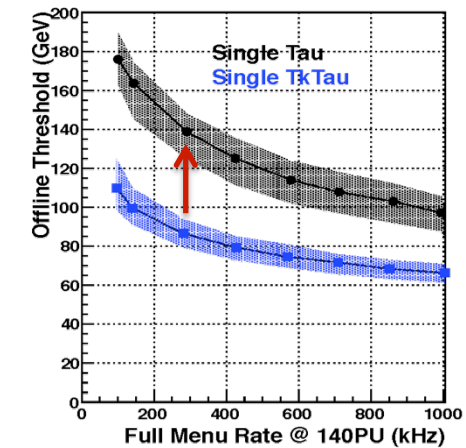
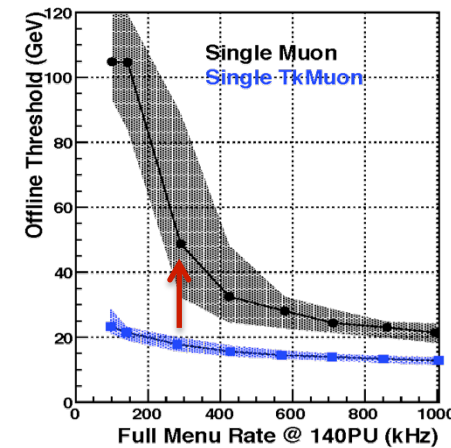
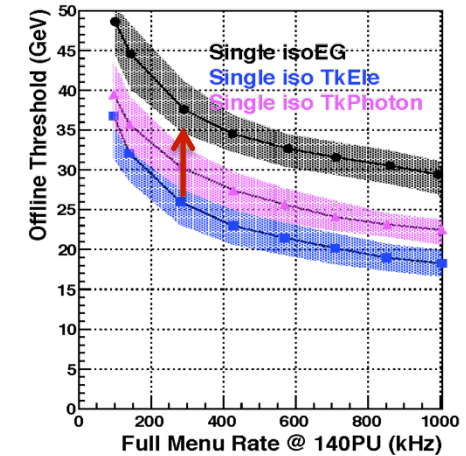
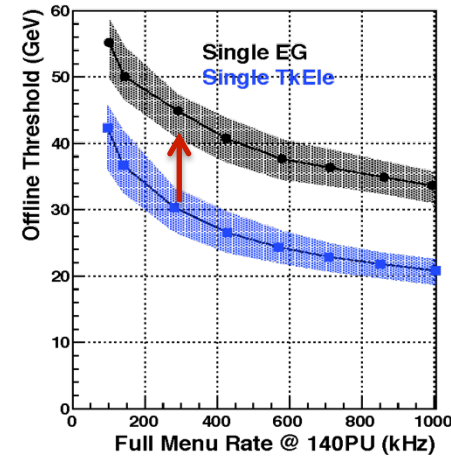
Powerful scheme to control all inclusive trigger rates at first 40 MHz stage



# L1 Trigger rate - example of menu

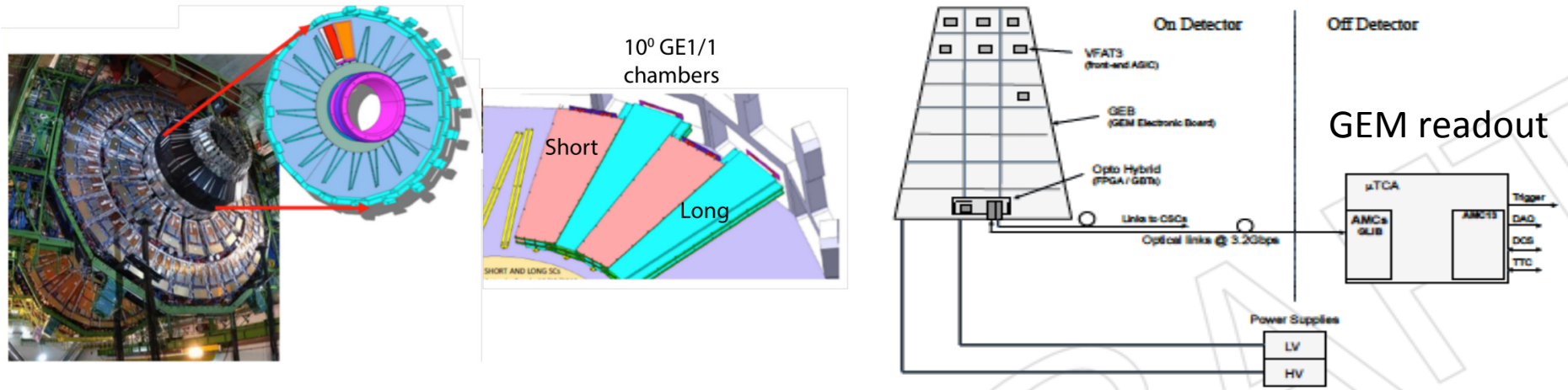
A Phase-I Trigger menu with Track-Trigger needs  $\approx 500/750$  kHz rate at 140/200 PU (including a 1.5 margin) - Track-Trigger will also allow dedicated multi-objects & topological trigger with very low thresholds

$L = 5.6 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$ $\langle \text{PU} \rangle = 140$		Level-1 Trigger with Level-1 Tracks	
Trigger Algorithm	Rate [kHz]	Offline Threshold(s) [GeV]	
Single Mu (tk)	14	18	
Double Mu (tk)	1.1	14 10	
ele (iso tk) + Mu (tk)	0.7	19 10.5	
Single Ele (tk)	16	31	
Single iso Ele (tk)	13	27	
Single $\gamma$ (tk-iso)	31	31	
ele (iso tk) + e/ $\gamma$	11	22 16	
Double $\gamma$ (tk-iso)	17	22 16	
Single Tau (tk)	13	88	
Tau (tk) + Tau	32	56 56	
ele (iso tk) + Tau	7.4	19 50	
Tau (tk) + Mu (tk)	5.4	45 14	
Single Jet	42	173	
Double Jet (tk)	26	2@136	
Quad Jet (tk)	12	4@72	
Single ele (tk) + Jet	15	23 66	
Single Mu (tk) + Jet	8.8	16 66	
Single ele (tk) + $H_T^{\text{miss}}$ (tk)	10	23 95	
Single Mu (tk) + $H_T^{\text{miss}}$ (tk)	2.7	16 95	
$H_T$ (tk)	13	350	
Rate for above Triggers	180		
<b>Est. Total Level-1 Menu Rate</b>	<b>260</b>		

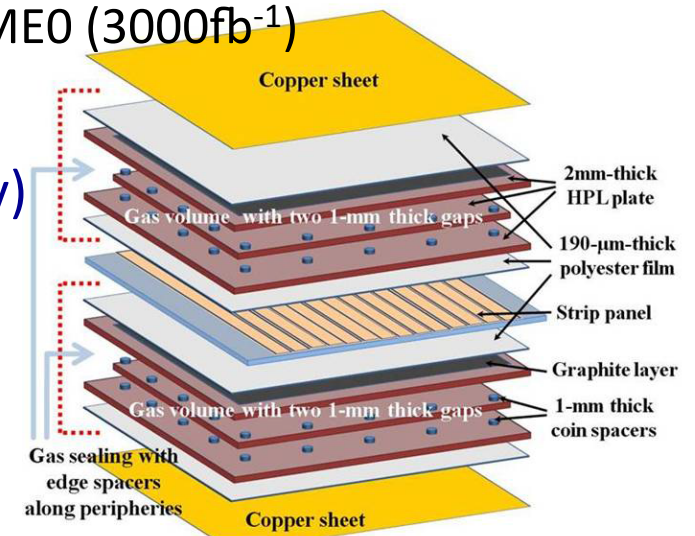


70 % Phase-I menu at 140 PU with Track-Trigger (left) & threshold with & w/o as a function of L1 rate (right)

# New Muon detectors in the forward region

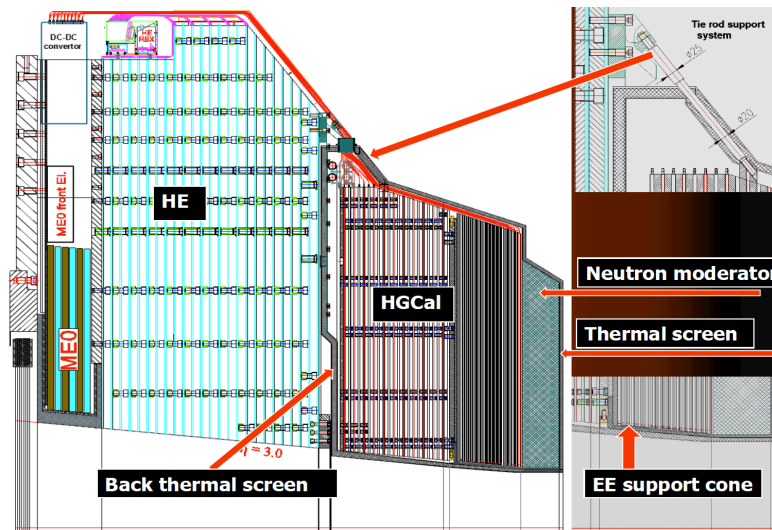


- GEM chambers in station 1 & 2 (high rate capability)
  - Thin  $\leq 9$  cm and  $\approx 140\text{-}350$   $\mu\text{m}$  resolution in the high B-field region
  - 10/20° wedge 8/6 rings - 20 mm between readout plans
  - Pitch 0.5-1.2 mm in GEM1/1 strip length about 12.5 cm
  - Current 100 mC/cm<sup>2</sup> in GEM1/1 few mC/cm<sup>2</sup> in ME0 (3000fb<sup>-1</sup>)
  - Installation of GEM1/1 planned during LS2
- iRPCs in station 3 & 4 (enhanced rate capability)
  - Low resistivity bakelite or glass - higher gain FE - multi-gap/thinner electrodes
  - Good time resolution  $\geq 100$  ps for background rejection - HSCP measurement



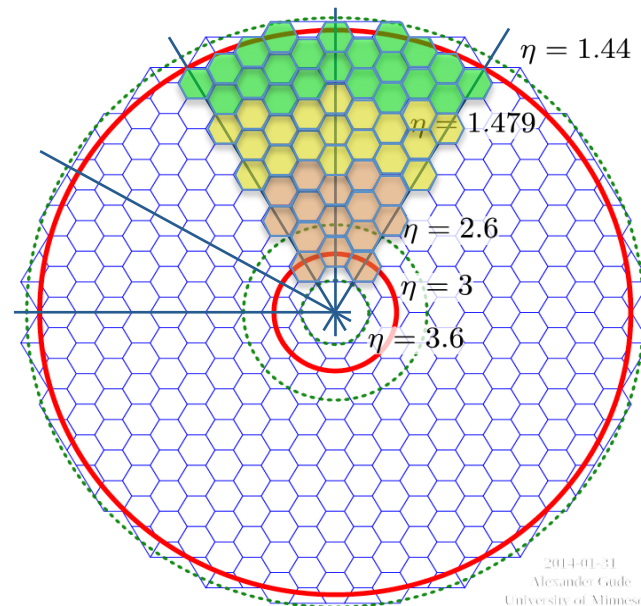
# High Granularity (HGC) & Back Hadron (BH) calorimeters

- 3D shower measurement in HGC (layout studies with standalone simulation)
  - Electromagnetic EE (26  $X_0$ ,  $1.5\lambda$ ): 28 layers of Silicon-W/Cu absorber
  - Front Hadronic FH (3.5  $\lambda$ ): 12 layers of Silicon/Brass
- Back Hadronic BH (5  $\lambda$ ): 12 layers of Scintillator/Brass (2 depths readout)



EE: 380 m<sup>2</sup> - 4.3 Mch - 13.9k modules - 16t  
 FG: 209 m<sup>2</sup> - 1.8 Mch - 7.6k modules - 36.5t  
 BH: 428 m<sup>2</sup> - 5184 SiPMs

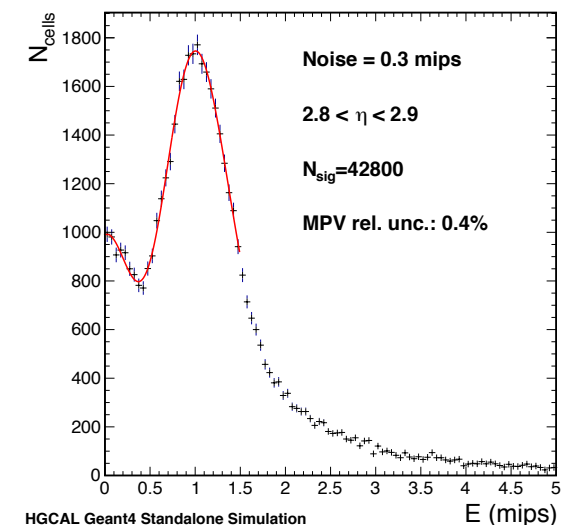
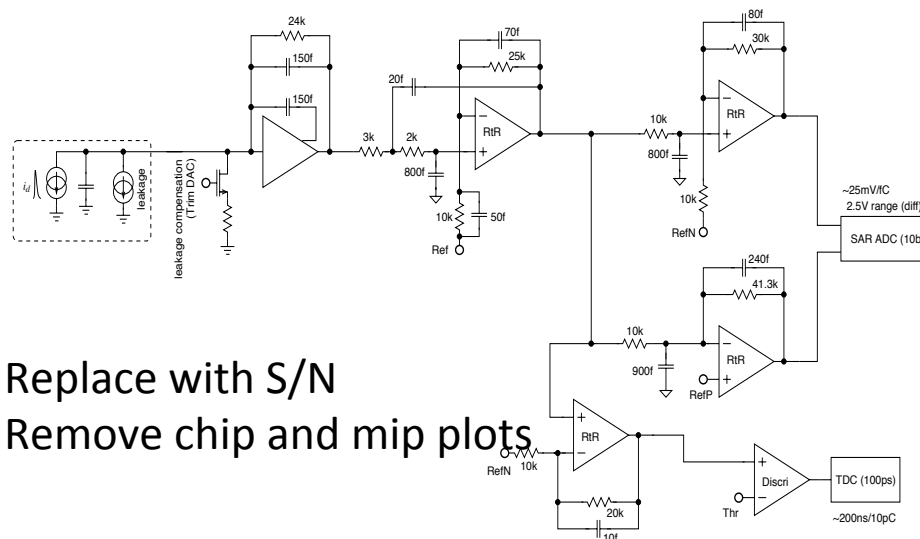
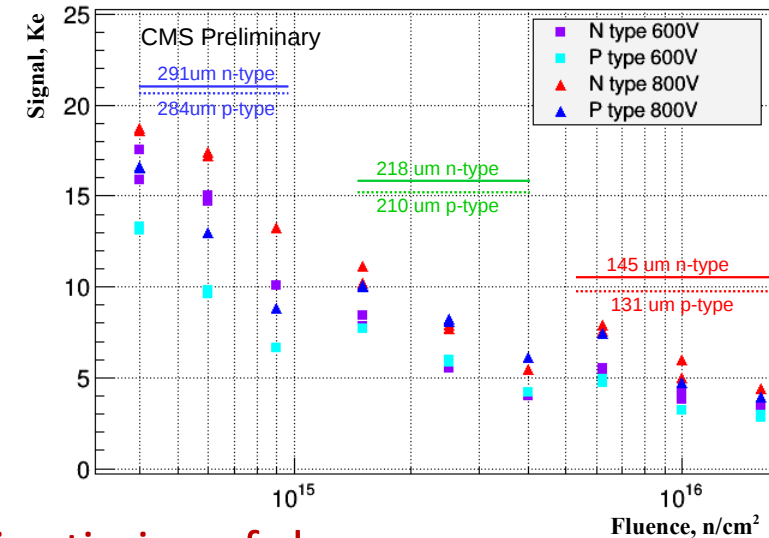
Thickness	300 $\mu\text{m}$	200 $\mu\text{m}$	100 $\mu\text{m}$
Maximum dose (Mrad)	3	20	100
Maximum n fluence (cm <sup>-2</sup> )	$6 \times 10^{14}$	$2.5 \times 10^{15}$	$1 \times 10^{16}$
EE region	$R > 120 \text{ cm}$	$120 > R > 75 \text{ cm}$	$R < 75 \text{ cm}$
FH region	$R > 100 \text{ cm}$	$100 > R > 60 \text{ cm}$	$R < 60 \text{ cm}$
Si wafer area (m <sup>2</sup> )	290	203	96
Cell size (cm <sup>2</sup> )	1.05	1.05	0.53
Cell capacitance (pF)	40	60	60
Initial S/N for MIP	13.7	7.0	3.5
S/N after 3000 fb <sup>-1</sup>	6.5	2.7	1.7





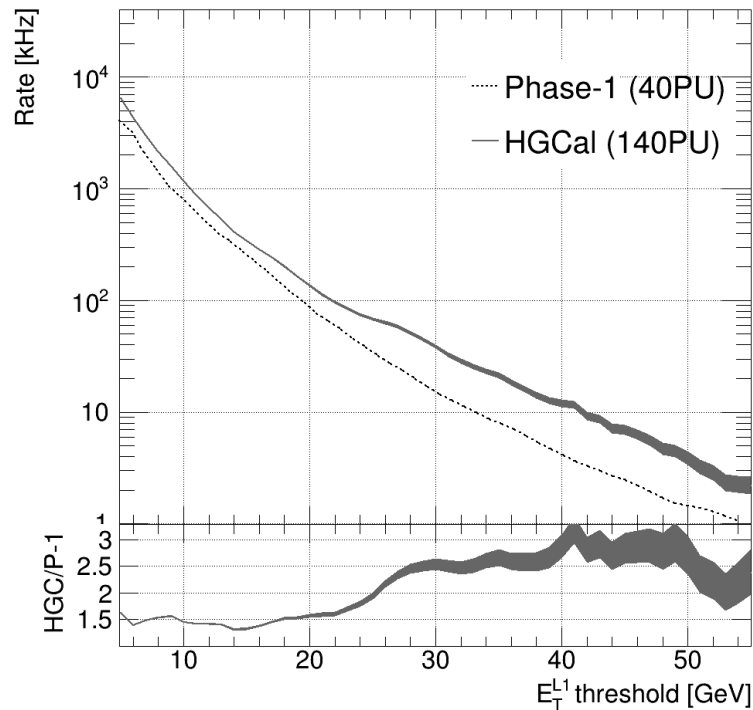
# HGC Silicon sensors and Front End ASIC

- **Sensors:** n-in-p pads - rad. test with neutrons  $1.5 \times 10^{16}$  neq/cm<sup>2</sup> ( $\approx 4500$  fb<sup>-1</sup>)  
 $\approx 40$  % signal loss -  $I_{\text{leak}} \approx 10$   $\mu$ A (at  $T = -30^\circ$ )
- **FE ASIC** - 130 nm TSMC - spice simulation:
  - Shaping  $\approx 15$  ns
  - Noise  $\leq 2500$  e<sup>-</sup> ( after 3000 fb<sup>-1</sup>)
  - Dynamic 10 pC - 10 bit ADC  $\leq 100$  fC and Time over Threshold (ToT)  $\geq 80$  fC
  - Channel calibration better than 1%
  - Power  $\leq 10$  mW per channel
  - Time resolution  $\approx 50$  ps - **opportunity for precise timing of showers**
  - MIP inter-calibration  $\leq 3\%$   $\rightarrow \approx 0.5\%$  in constant term and dedicated small pads

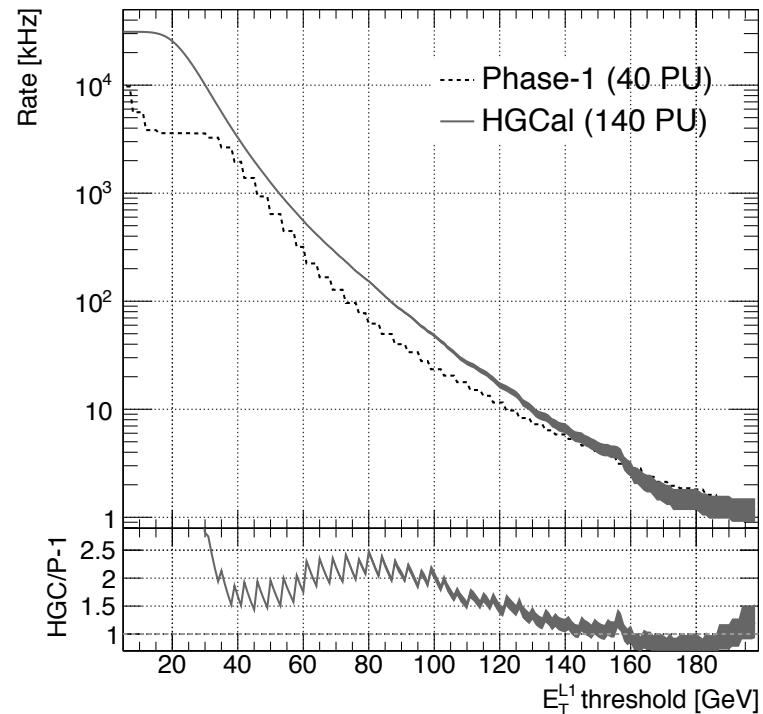


# HGC Trigger performance

- Expect improvements compared to present detector, particularly benefit where no (or less efficient) Track-Trigger (e.g  $\gamma$ , & VBF/S jets beyond  $\eta = 2.4$ )
- Trigger data: 2(2x2) pad sums (inner/outer) from alternate layers in EE/FH, full BH



$e/\gamma$  rate x 2.5 Phase-I (similar efficiency)



Jet trigger x 2 Phase-I