

*RICH 2016*

9th International Workshop on Ring Imaging Cherenkov Detectors,  
Bled (Slovenia), 5-9 Sept. 2016

*Contribution of the High Momentum Particle  
Identification Detector (HMPID) to the ALICE  
physics program*

Giacomo Volpe\* for the ALICE collaboration

*\*University and INFN, Bari, Italy*

# Outline

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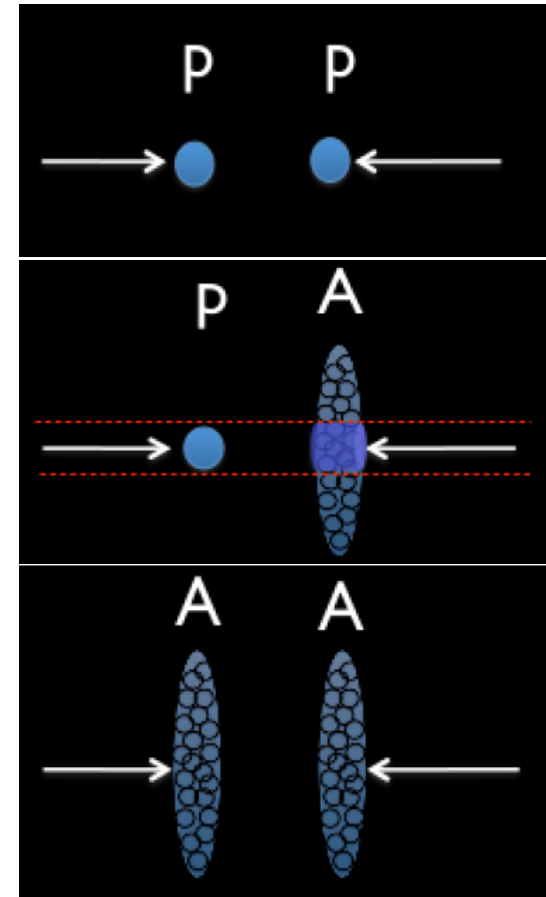
- ALICE goal
- HMPID PID performance and procedure
- ALICE spectra evaluation strategy
- $p^\pm$ ,  $K^\pm$  and  $p(\text{anti-}p)$  inclusive spectra results
  - pp 7 TeV results
  - Pb-Pb results
  - p-Pb results
  - pp 13 TeV results
- Deuterons PID
- Conclusions

# ALICE goal



ALICE is designed to study the physics of strongly interacting matter under extremely high temperature and energy densities to investigate the properties of the **quark-gluon plasma**.

- Proton-proton collisions:
  - **high energy QCD reference.**
  - collected pp data at  $\sqrt{s} = 0.9$  TeV, 2.76 TeV, 7 TeV, 8 TeV, 13 TeV (2009, 2010, 2011, 2012, 2016, 2016)
- proton-nucleus collisions:
  - **initial state/cold nuclear matter.**
  - collected p-Pb data at  $\sqrt{s_{NN}} = 5.02$  TeV (2012, 2013)
- nucleus-nucleus collisions:
  - **quark-gluon plasma formation!**
  - collected Pb-Pb data at  $\sqrt{s_{NN}} = 2.76$  TeV, 5.02 TeV (2010, 2011, 2015)



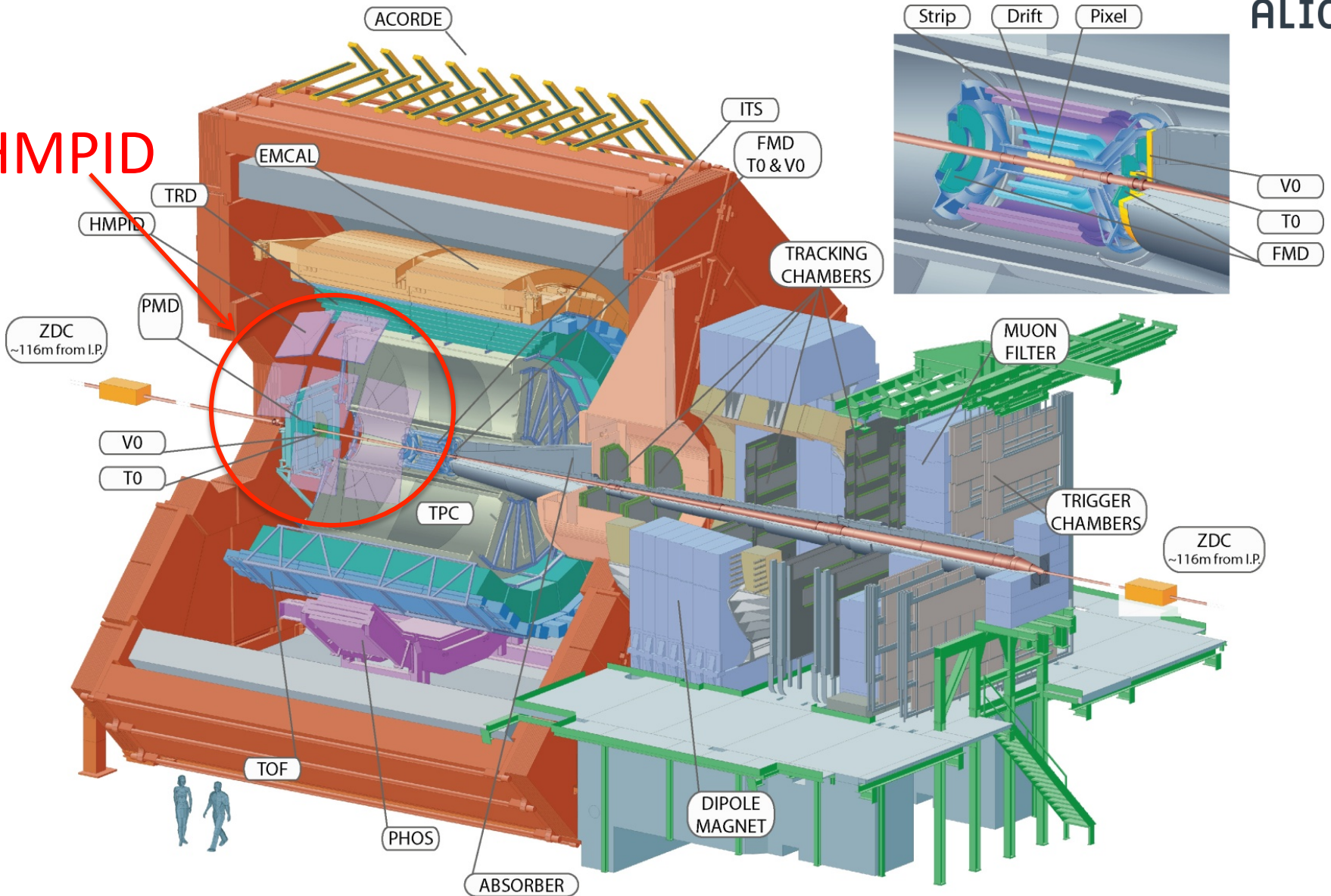
ALICE has measured the yields of produced charged pions, kaons and protons in a wide momentum range and in several colliding systems.

# ALICE detectors: $\pi^\pm$ , $K^\pm$ and $p(\bar{p})$ PID



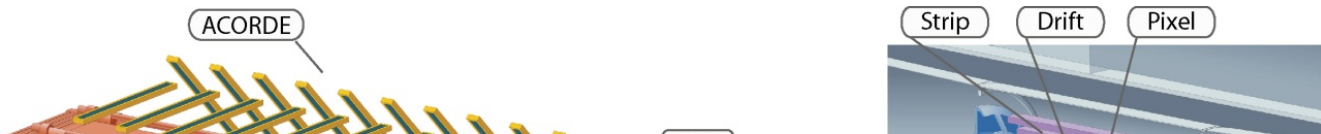
ALICE

HMPID



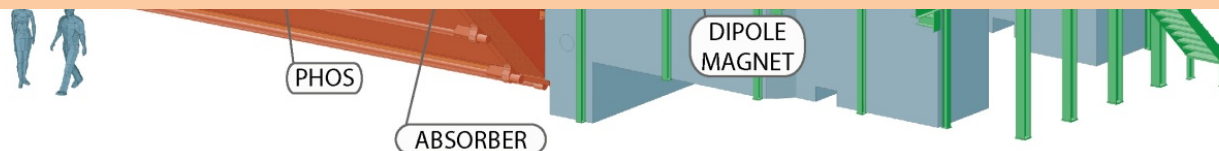


# ALICE detectors: $\pi^\pm$ , $K^\pm$ and $p(\bar{p})$ PID

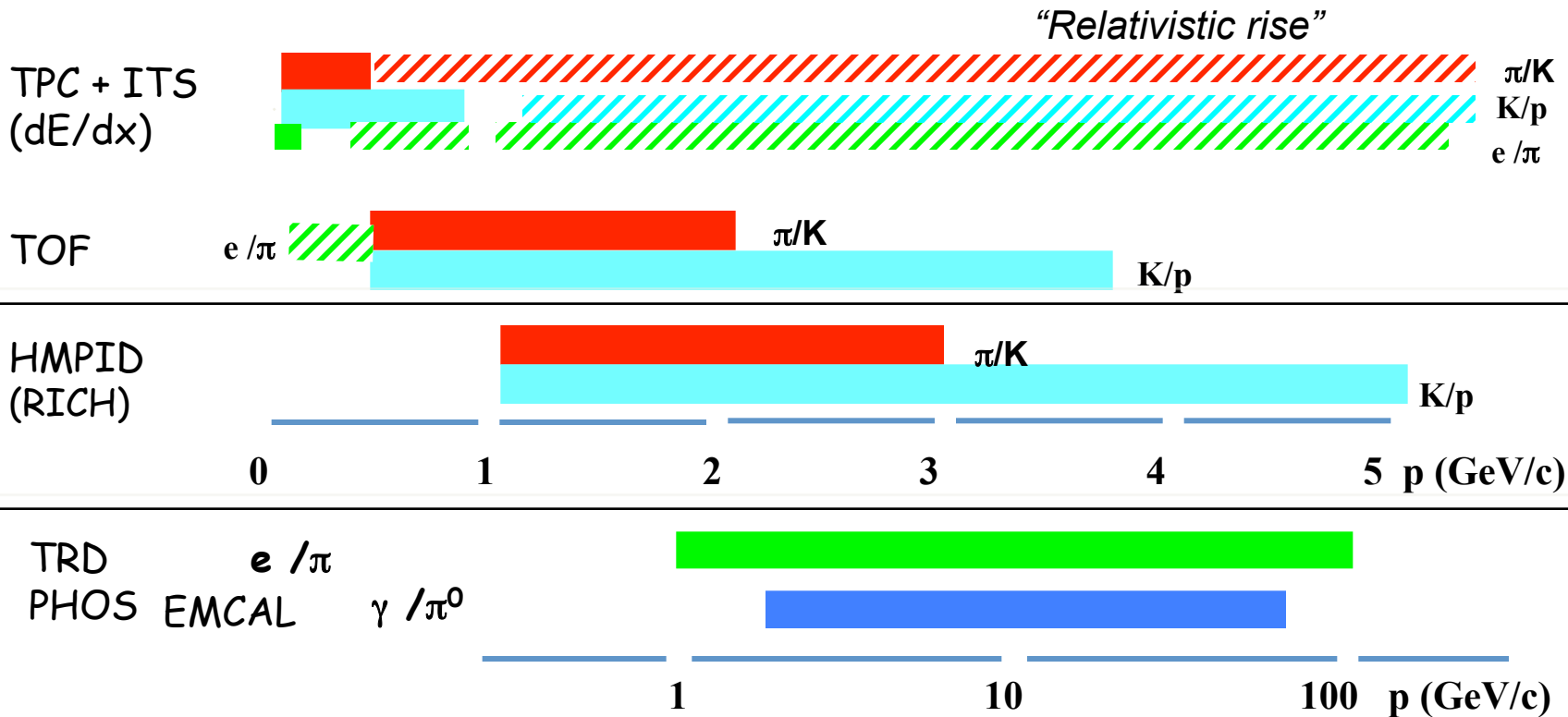


ALICE exploits the combination of different particle identification (PID) techniques

- Energy loss (ITS, TPC)
- Time of flight (TOF)
- Cherenkov radiation (HMPID)
- Transition radiation (TRD)
- Calorimetry (EMCal/DCal, PHOS)
- Topological PID



# Particle Identification in ALICE: momentum ranges



**Solid: track-by-track**

**Dashed: only statistical**

# HMPID performance

- The ALICE-HMPID (**H**igh **M**omentum **P**article **I**dentification **D**etector) performs charged particle track-by-track identification by means of the measurement of the emission angle of **Cherenkov radiation** and of the momentum information provided by the tracking devices.
- It consists of **seven** identical **proximity focusing** RICH counters.

## RADIATOR

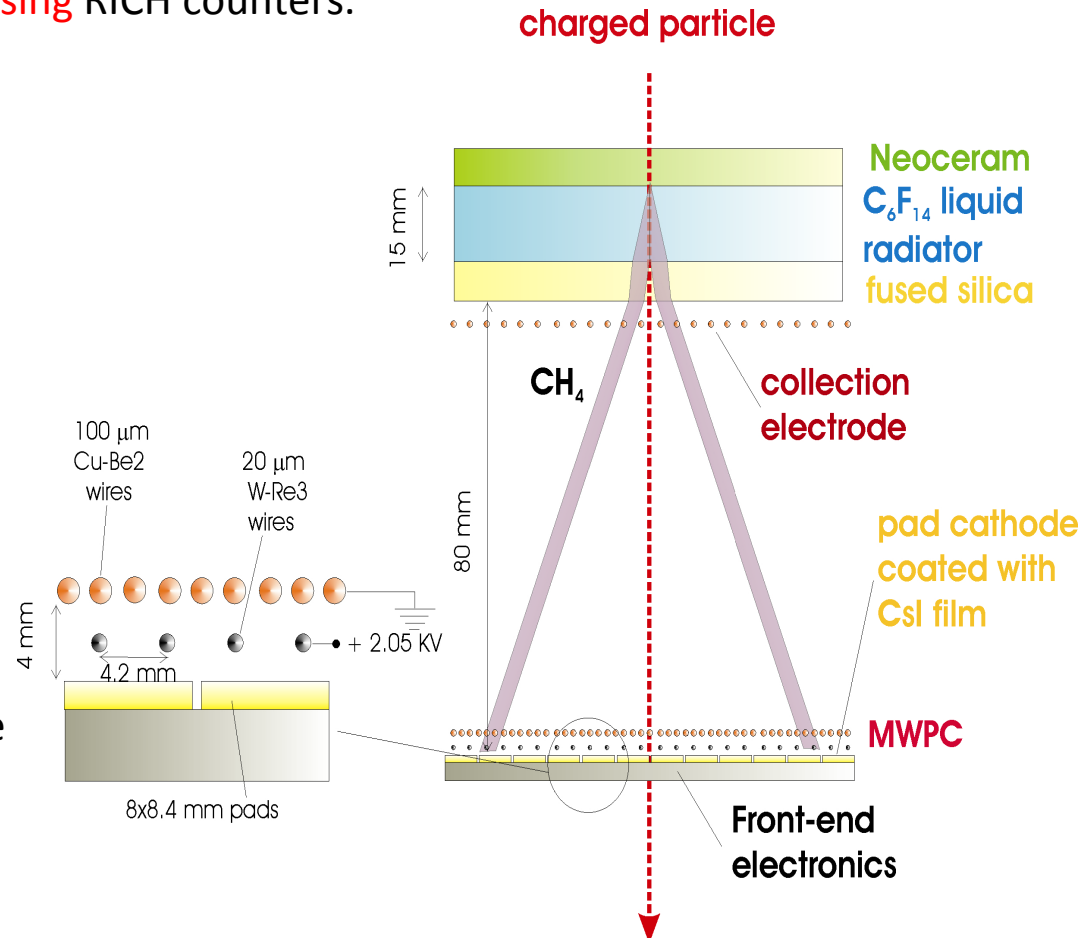
15 mm liquid  $C_6F_{14}$ ,  
 $n \sim 1.2989$  @ 175nm,  $\beta_{th} = 0.77$

## PHOTON CONVERTER

Reflective layer of CsI  
QE  $\sim 25\%$  @ 175 nm.  
The largest scale (**11 m<sup>2</sup>**) application of CsI  
photo-cathodes in HEP  
 $\approx 5\%$  of TPC acceptance

## PHOTOEL. DETECTOR

- MWPC with  $CH_4$  at atmospheric pressure (4 mm gap) **HV = 2050 V.**
- Analogue pad readout



For more details on detector structure see **G. De Cataldo talk!**

# PID procedure with HMPID



## Identification on statistical basis: low multiplicity events

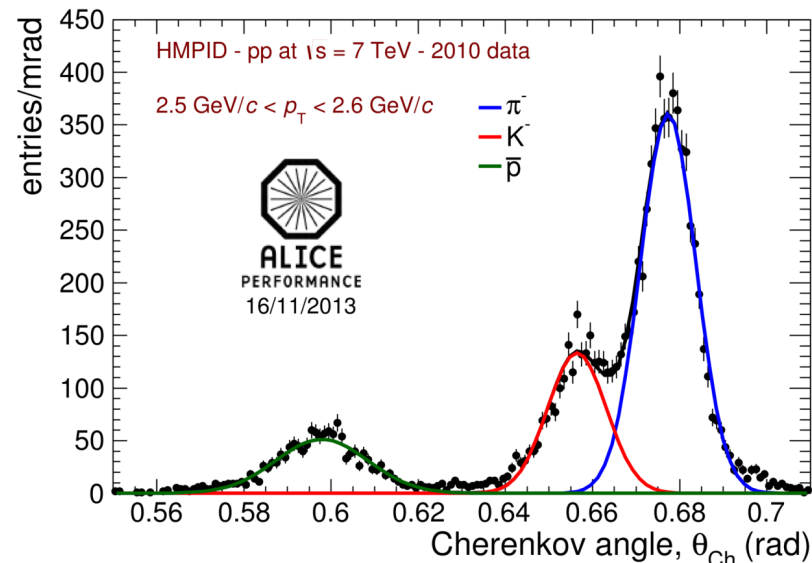
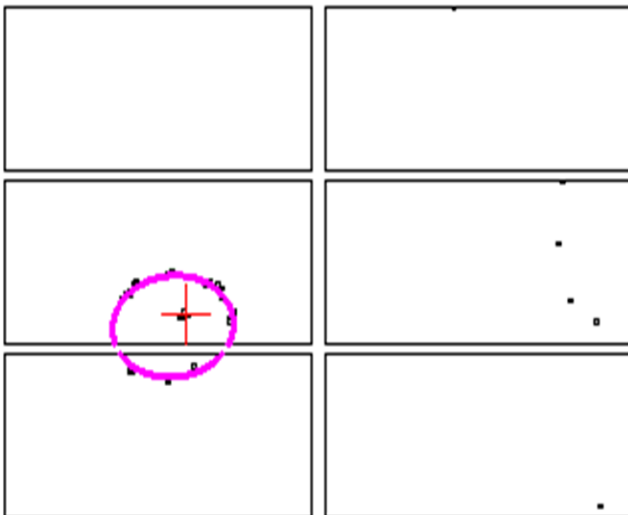
the particle yields are evaluated from a three-Gaussian fit to the Cherenkov angle distribution in a narrow transverse momentum range. The function used is the following:

$$f(\theta) = \frac{Y_\pi}{\sigma_\pi \sqrt{2\pi}} e^{-\frac{(\theta - \langle \theta_\pi \rangle)^2}{2\sigma_\pi^2}} + \frac{Y_K}{\sigma_K \sqrt{2\pi}} e^{-\frac{(\theta - \langle \theta_K \rangle)^2}{2\sigma_K^2}} + \frac{Y_p}{\sigma_p \sqrt{2\pi}} e^{-\frac{(\theta - \langle \theta_p \rangle)^2}{2\sigma_p^2}}$$

$\langle \theta_i \rangle$  = means of the Cherenkov angle distributions  
 $\sigma_i$  = standard deviation of the Cherenkov angle distributions.  
 $Y_i$  = integral of the single Gaussian functions

- 9 parameters to be calculated, the three mean values, the three sigma values and the three yields.
- Mean and sigma values are known and fixed in the fitting.

pp event display



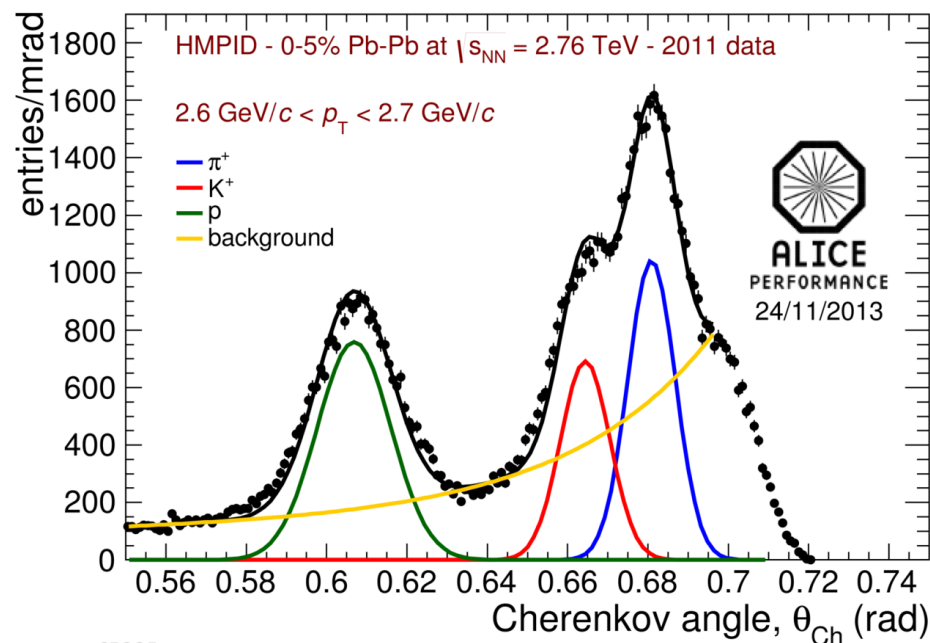
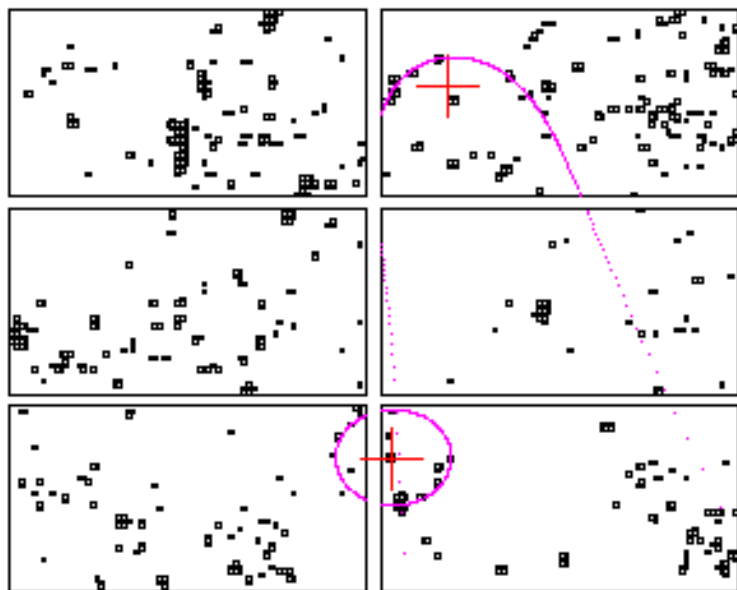
# PID procedure with HMPID



## Identification on statistical basis: high multiplicity events (central Pb-Pb collisions)

- the three Gaussian distributions in a given transverse momentum bins are convoluted with a **background distribution**;
- Such distribution increases with the Cherenkov angle value;
- It is due to mis-identification in the high occupancy events:
  - larger is the angle value larger is the probability to find background;
- In the yield extraction procedure the **background function** has to be convoluted with **the three-Gaussian one**.

Pb-Pb event display



# PID procedure with HMPID



## Identification on track-by-track basis

- From the knowledge of the expected Cherenkov angle value and the expected theoretical standard deviation, it is possible to calculate the values of two PID estimators:
  - the **probability** to be one of the charged hadron specie;
  - the **difference** between the **measured angle** value and the expected **theoretical one** in sigma units;

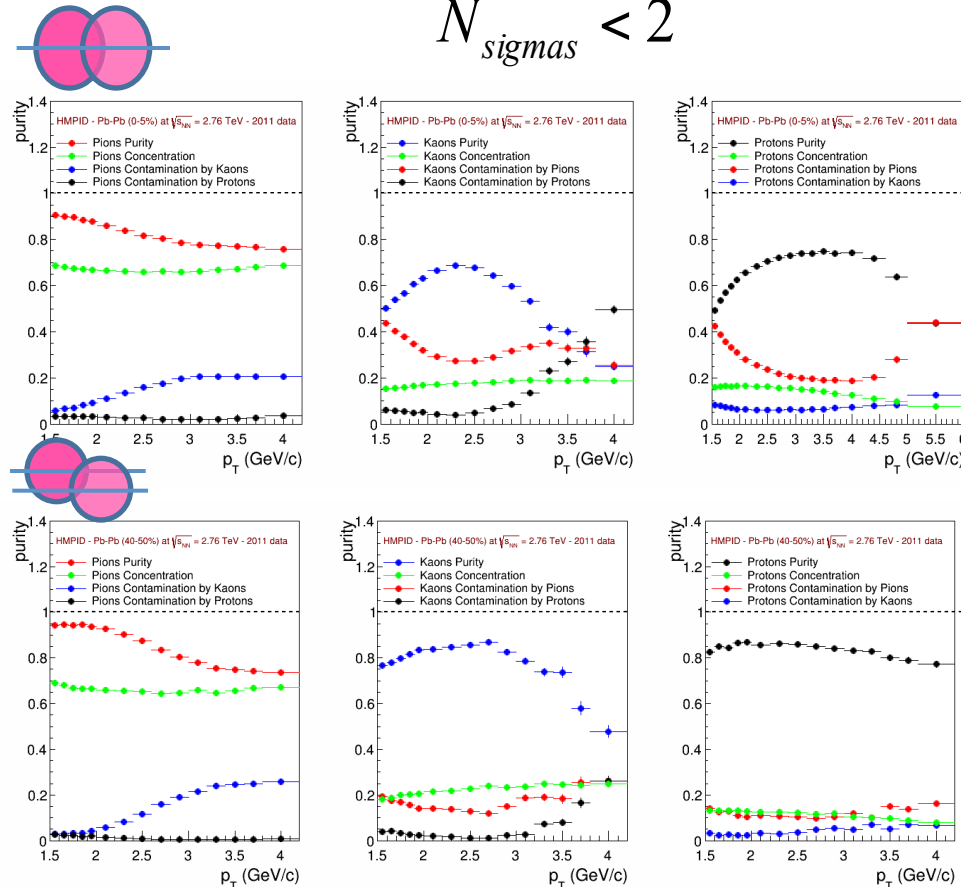
$$N_{sigmas} < 2$$

$$N_{sigmas}^i = \frac{|\theta_{exp} - \theta_{theor}^i|}{\sigma^i}$$

$$p_i = \frac{N_{id}^t(i)}{N_{id}(i)} \quad c_i = \frac{N_{id}^w(i)}{N_{id}(i)}$$

$$i = \pi, K, p$$

- $p_i$  = purity,  $c_i$  = contamination
- $N_{id}(i)$  = number of particles identified as type  $i$
- $N_{id}^t(i)$  = number of true particles of type  $i$  identified as type  $i$
- $N_{id}^w(i)$  = number of non-type  $i$  particles identified as particles of type  $i$



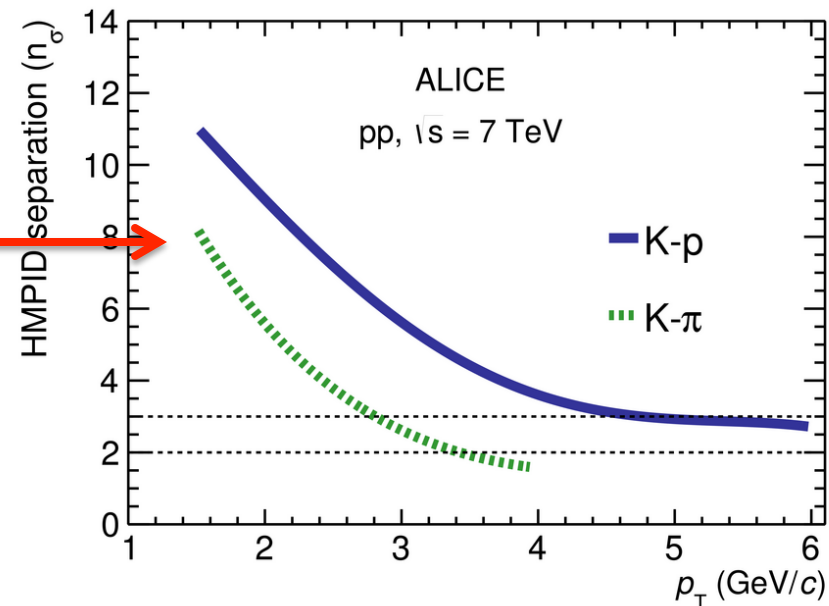


# ALICE charged hadrons yields evaluation strategy

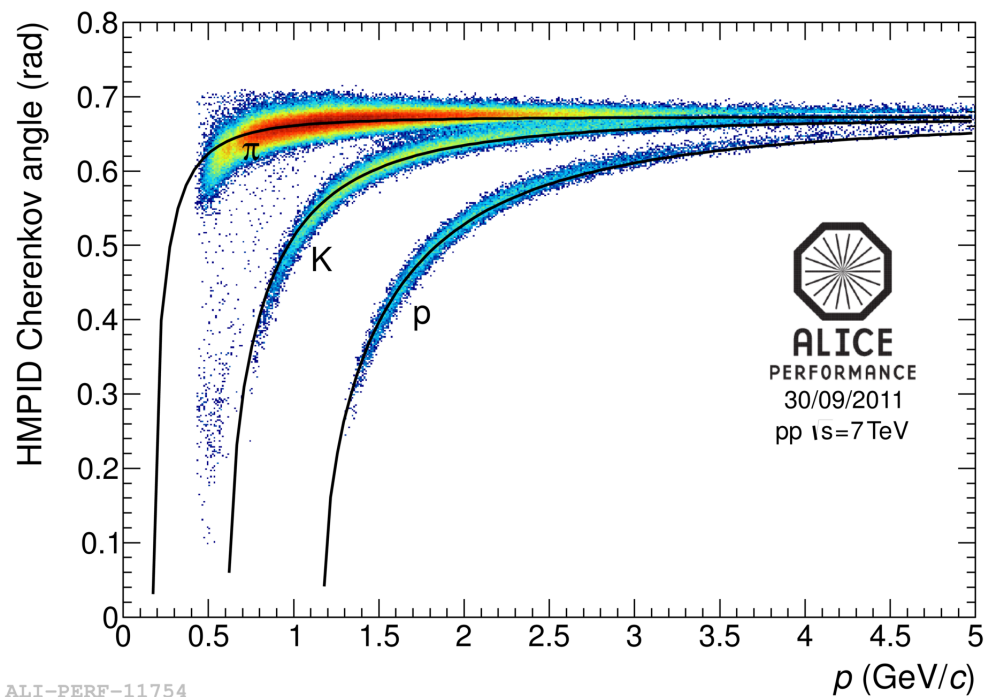
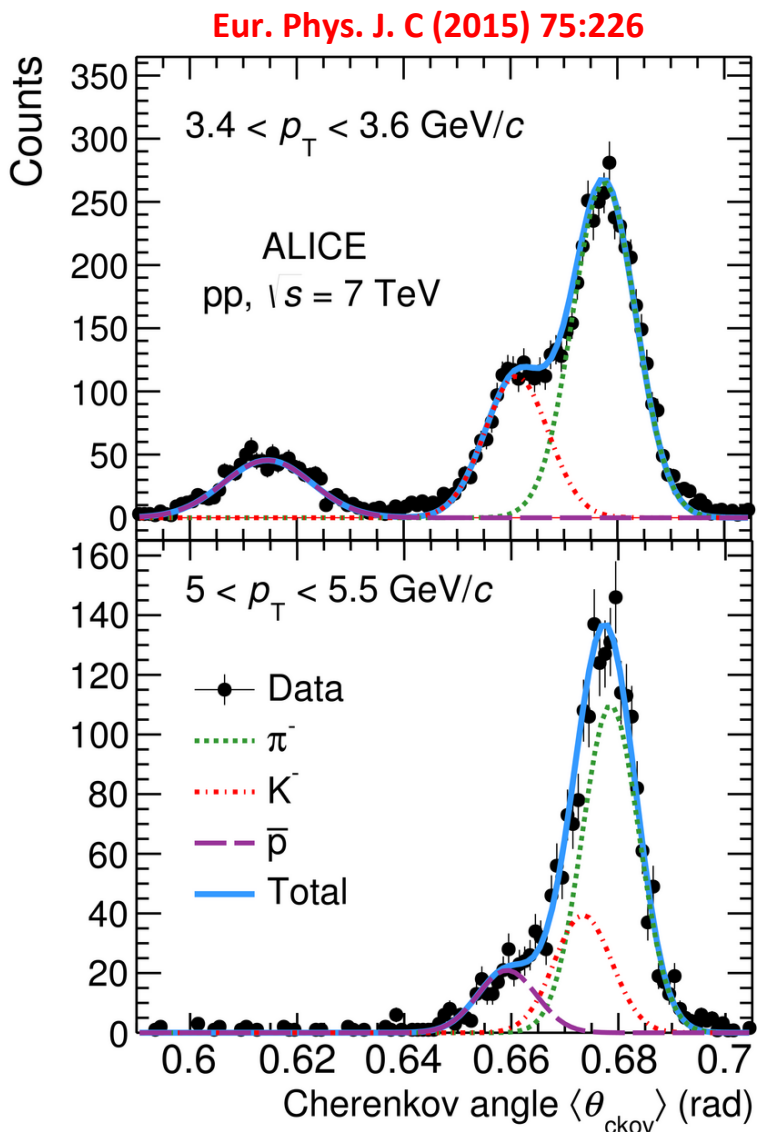


- To measure the production of **pions**, **kaons** and **protons** over a wide  $p_T$  range, results from five different independent PID techniques/detectors, namely **ITS**, **TPC**, **TOF**, **HMPID** and **kink-topology** (for kaons), are combined.
- In their overlap  $p_T$  regions the spectra from the different PID techniques are consistent within uncertainties:
  - the results are combined in the overlapping ranges using a weighted mean with the independent systematic uncertainties as weights.
- The HMPID **constrains the uncertainty** of the measurements in the transition region between the **TOF** and **TPC relativistic rise** methods (around  $p_T = 3$  GeV/c). It both **improves the precision** of the measurement and **validates the other methods** in the region where they have the worst PID separation.

HMPID separation power in  $\sigma$  unit as a function of  $p_T$



# Charged hadrons spectra: pp 7 TeV



**PID range**

$\pi/K \rightarrow 1.5 - 3 \text{ GeV}/c$

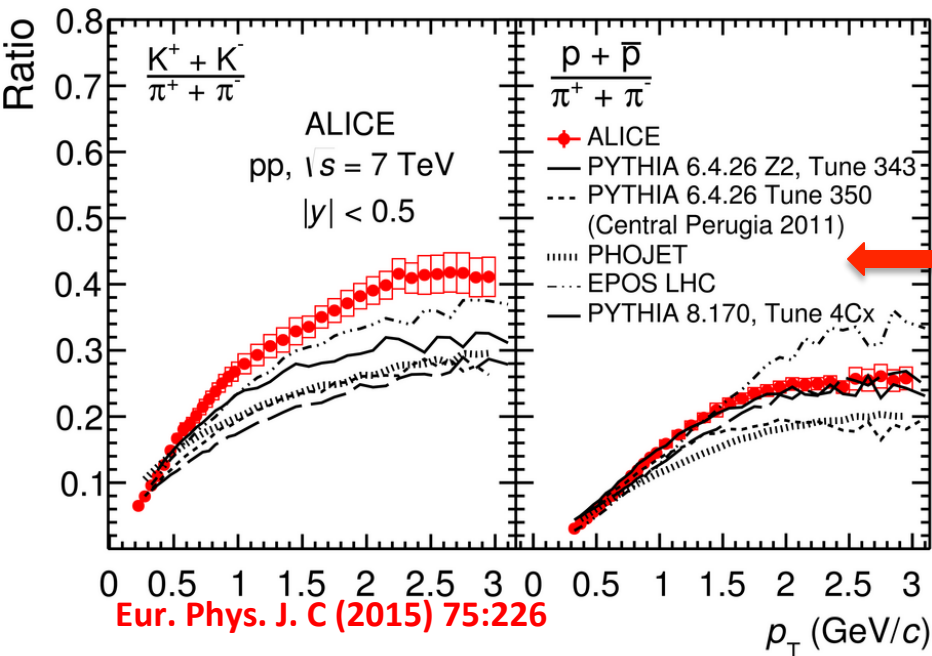
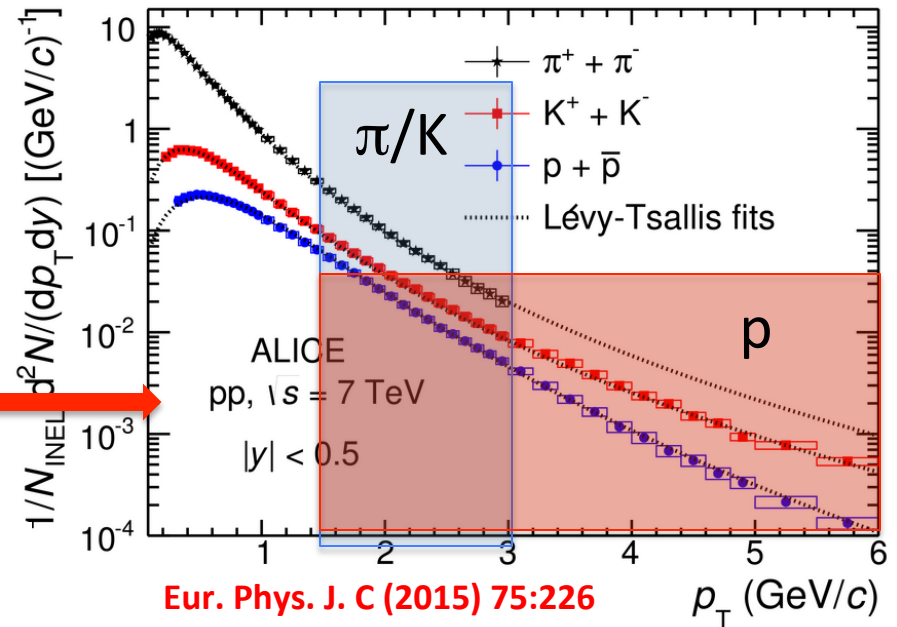
$p \rightarrow 1.5 - 6 \text{ GeV}/c$

# Charged hadrons spectra: pp 7 TeV

$\pi/K$  HMPID

p HMPID

$\pi$ , K and p spectra, resulting from the combination of the information provided by 5 different analyses (dE/dx, TOF, Cherenkov, kinks topology for kaons).



- $(K^+ + K^-)/(\pi^+ + \pi^-)$  and  $(p + \bar{p})/(\pi^+ + \pi^-)$  ratios as a function of  $p_T$  compared with some event generators.
- $(K^+ + K^-)/(\pi^+ + \pi^-)$  ratio increases from 0.05 at  $p_T = 0.2 \text{ GeV/c}$  up to 0.45 at  $p_T \sim 3 \text{ GeV/c}$  with a slope that decreases with increasing  $p_T$ .

# Charged hadrons spectra: Pb-Pb 2.76 ATeV

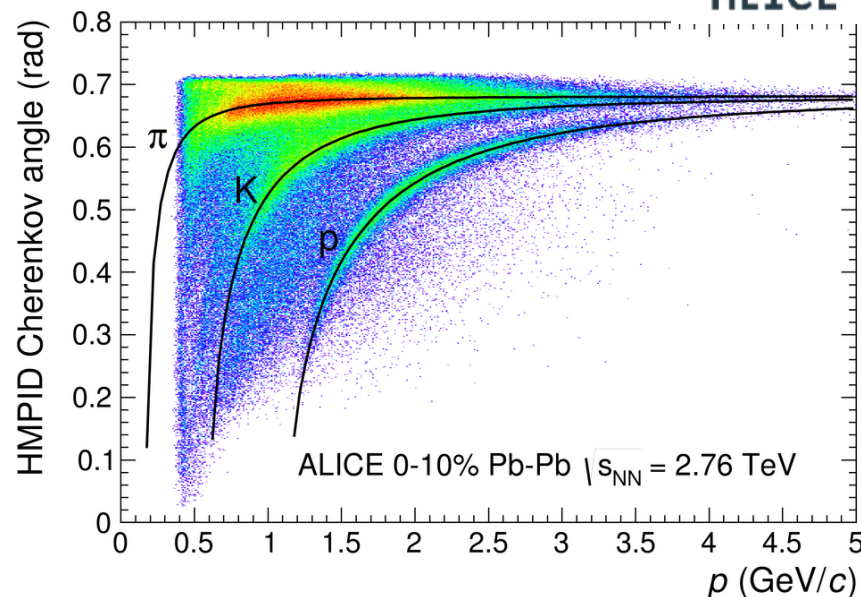


## Performance

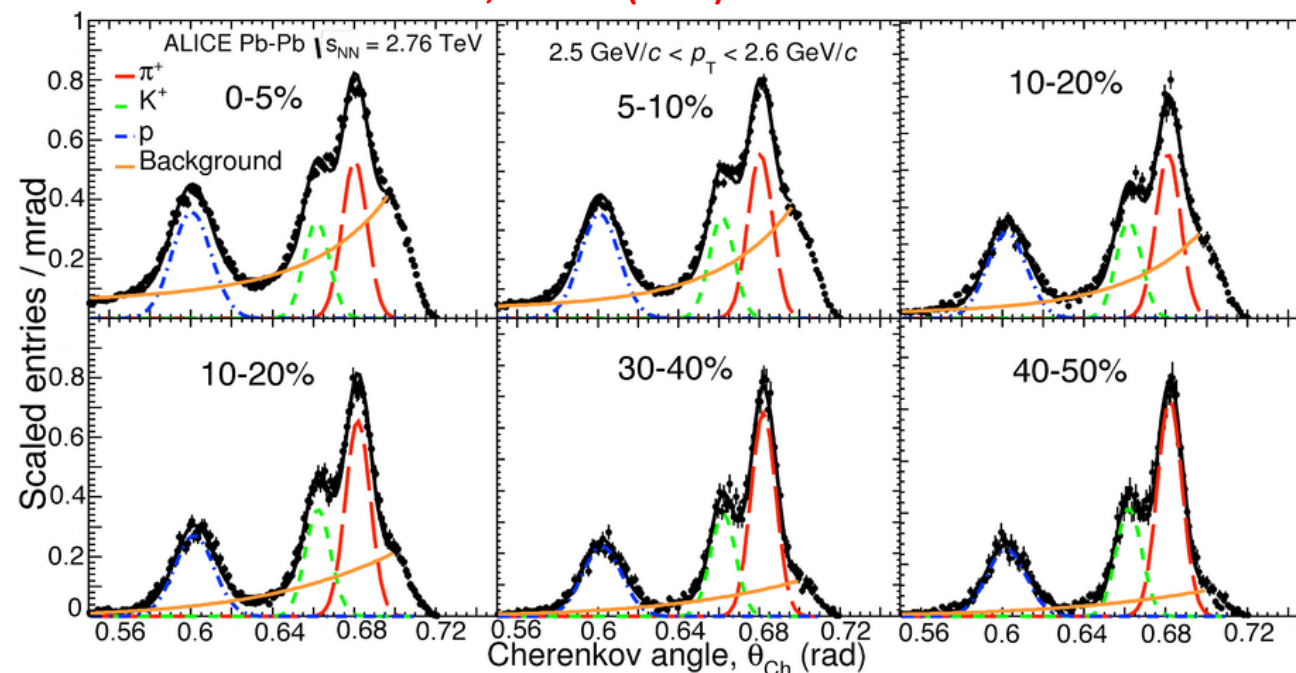
### PID range

$\pi/K \rightarrow 1.5 - 4 \text{ GeV}/c$

$p \rightarrow 1.5 - 6 \text{ GeV}/c$



PHYSICAL REVIEW C 93, 034913 (2016)



- HMPID used in collisions centrality range 0-50%
- Centrality estimate based on V0 detector measurements.
- V0: trigger detector at forward rapidity.

# Charged hadrons spectra: Pb-Pb 2.76 ATeV

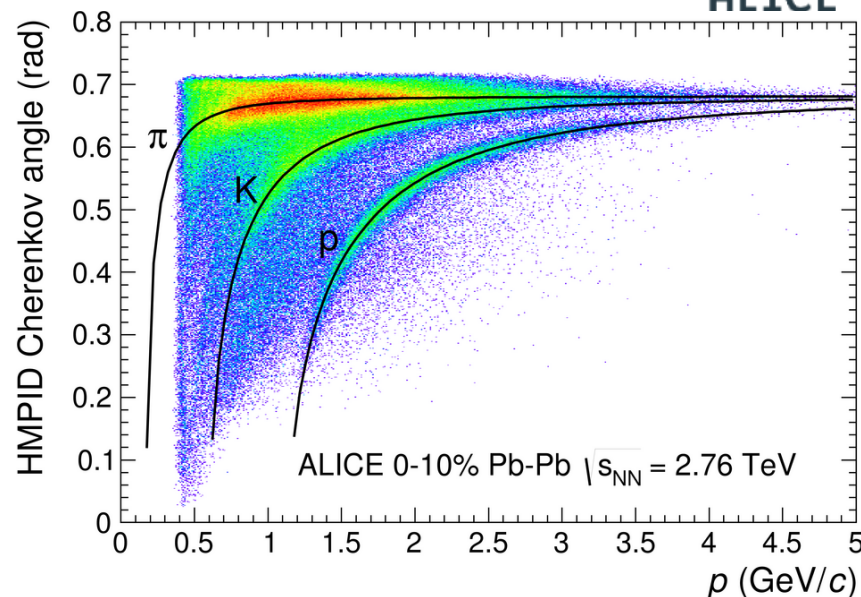


## Performance

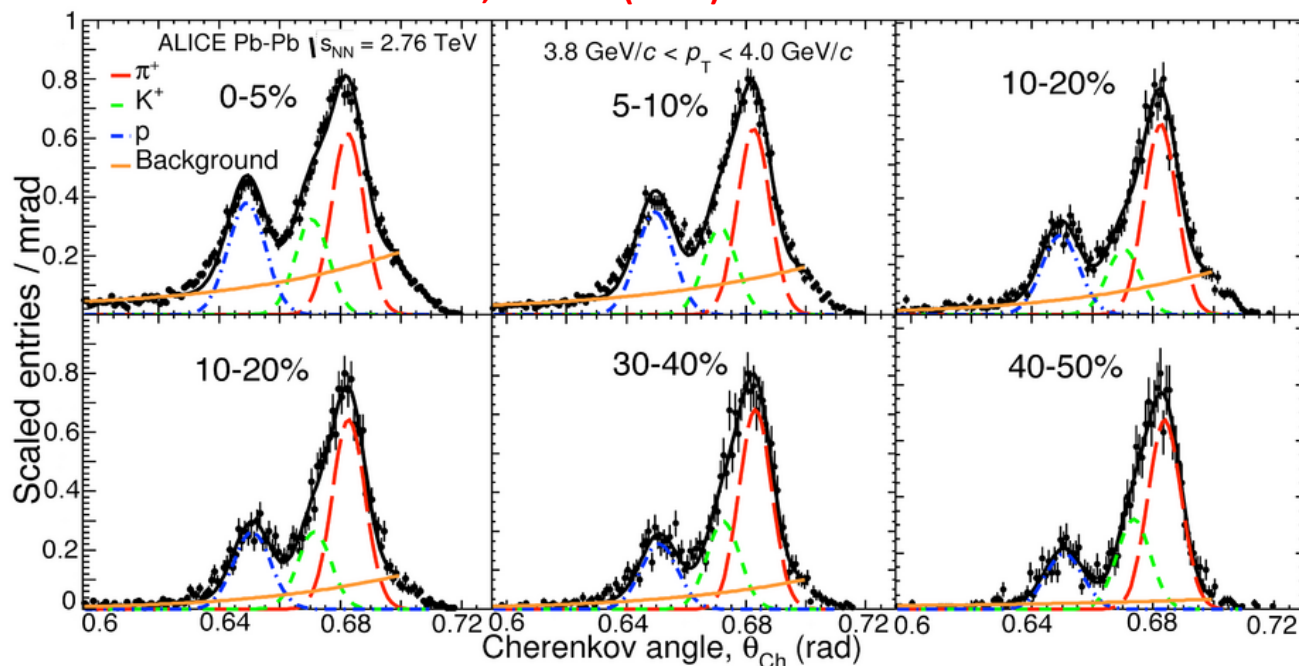
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PHYSICAL REVIEW C 93, 034913 (2016)



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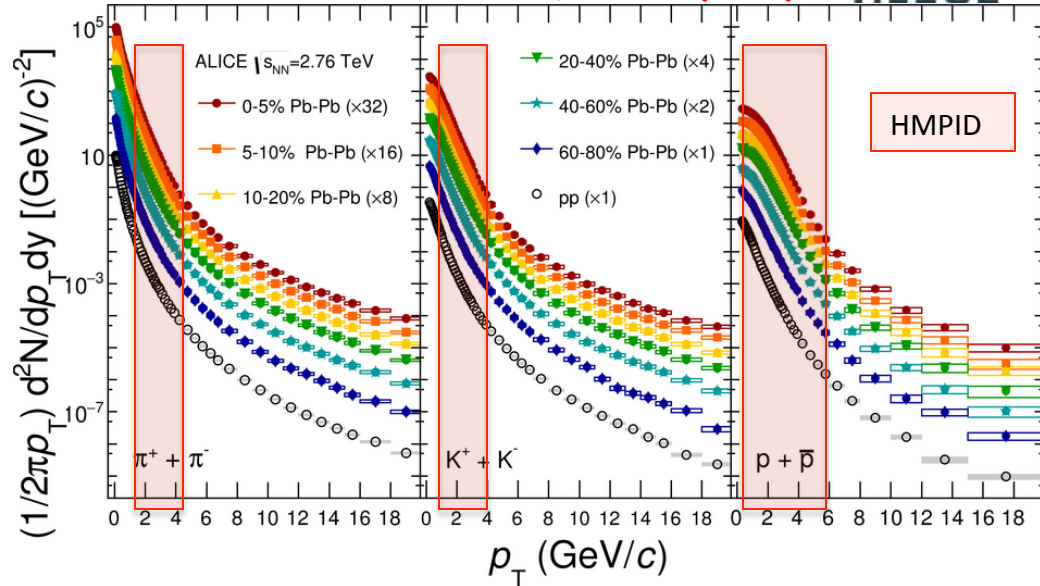


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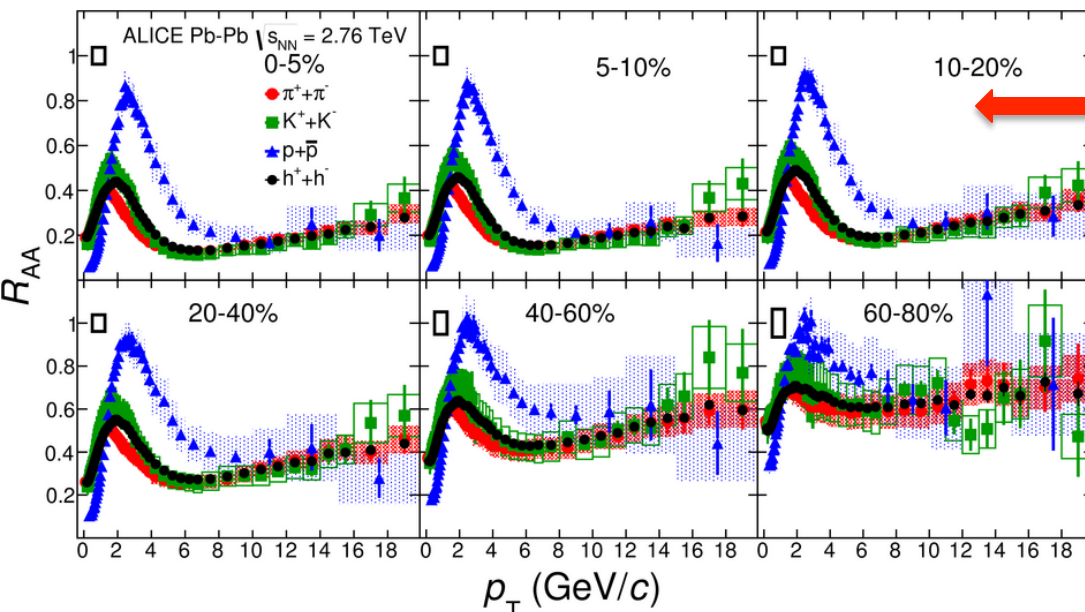
ALICE

PHYSICAL REVIEW C 93, 034913 (2016)



- For  $p_T < 3 \text{ GeV}/c$  a hardening of the spectra is observed going from peripheral to central events. This effect is mass dependent and is characteristic of hydrodynamic flow.
- For high  $p_T (>10 \text{ GeV}/c)$  the spectra follow a power law shape as expected from pQCD.

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$$R_{AA} = \frac{d^2 N_{id}^{AA} / dy dp_T}{\langle T_{AA} \rangle d^2 \sigma_{id}^{pp} / dy dp_T}$$

- For  $p_T < \approx 8 - 10 \text{ GeV}/c$ :  $R_{AA}$  for  $\pi$  and K are compatible and are smaller than  $R_{AA}$  for p.
- At high  $p_T$ :  $R_{AA}$  for  $\pi$ , K and p are compatible.



# Charged hadrons spectra: p-Pb 5.02 TeV

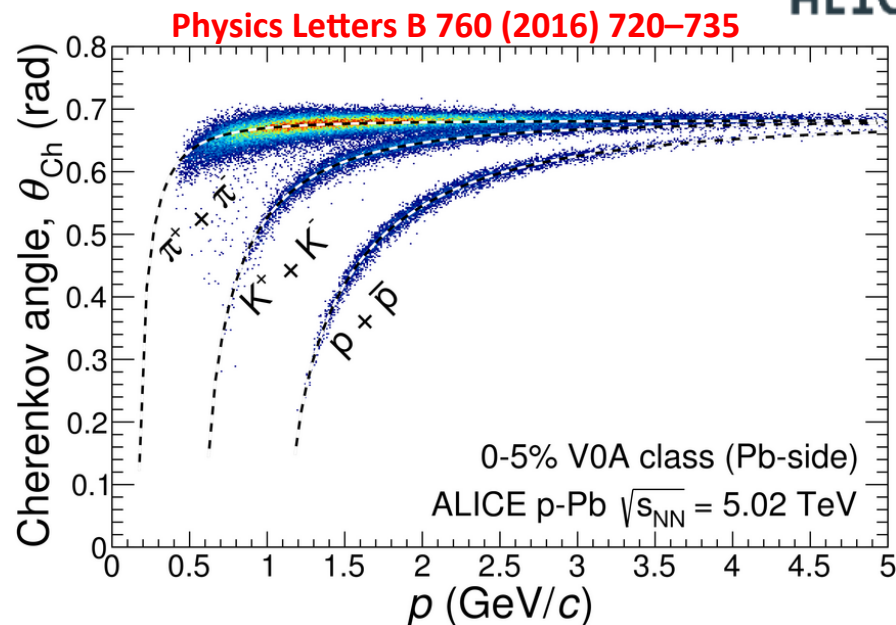


## Performance

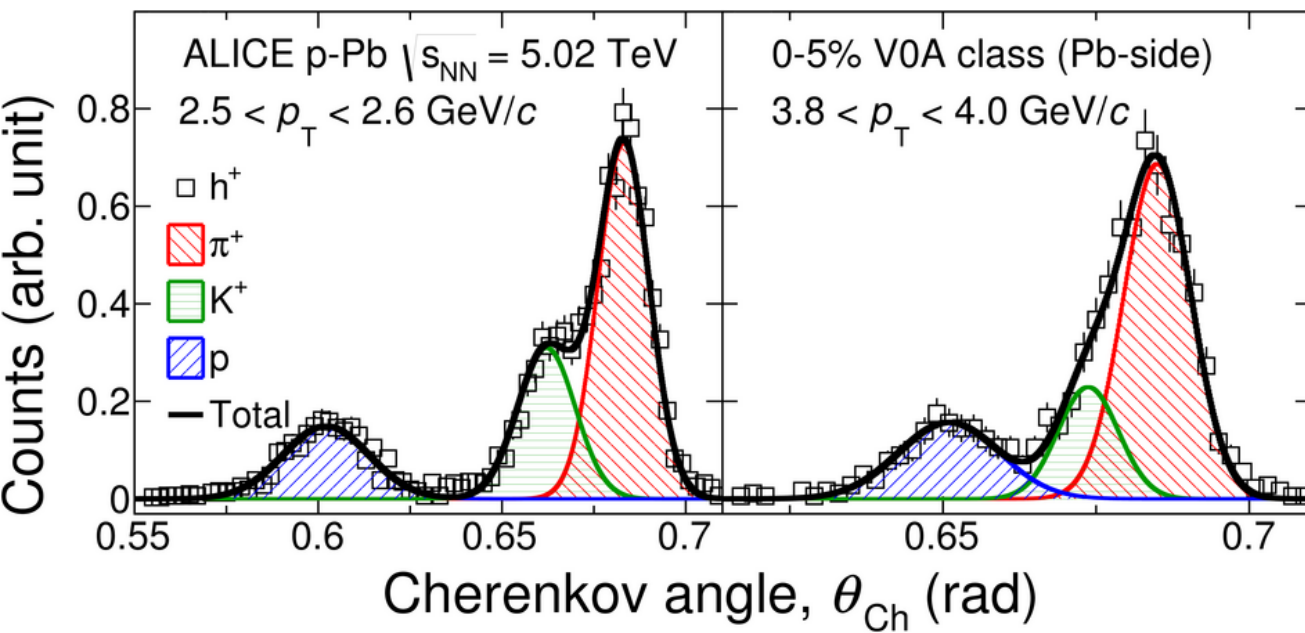
### PID range

$\pi, K$ : 1.5 – 4 GeV/c

$p$ : 1.5 – 6 GeV/c



Physics Letters B 760 (2016) 720–735



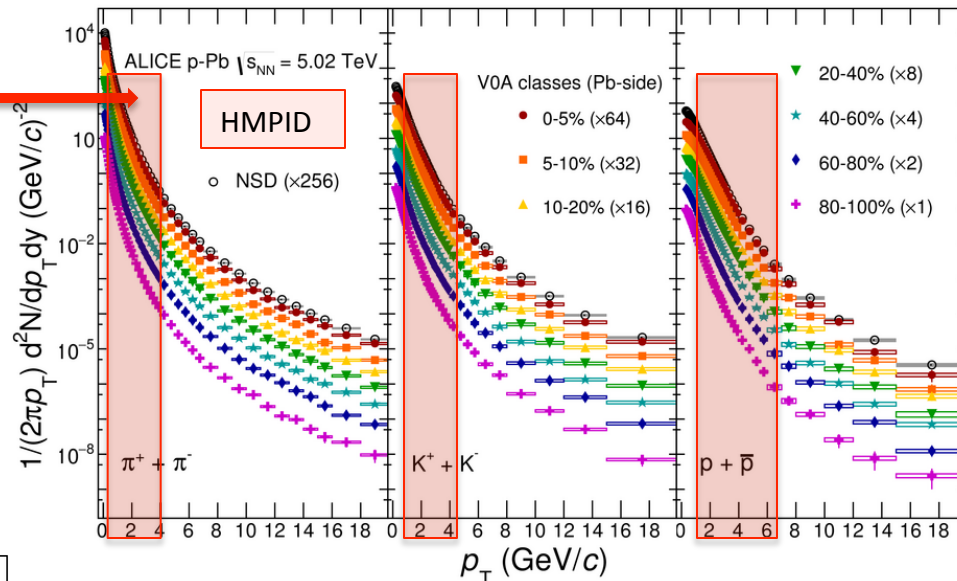
- HMPID used in collisions multiplicity range: 0 – 100 %
- multiplicity estimate based on V0 detector measurements.

# Charged hadrons spectra: p-Pb 5.02 TeV

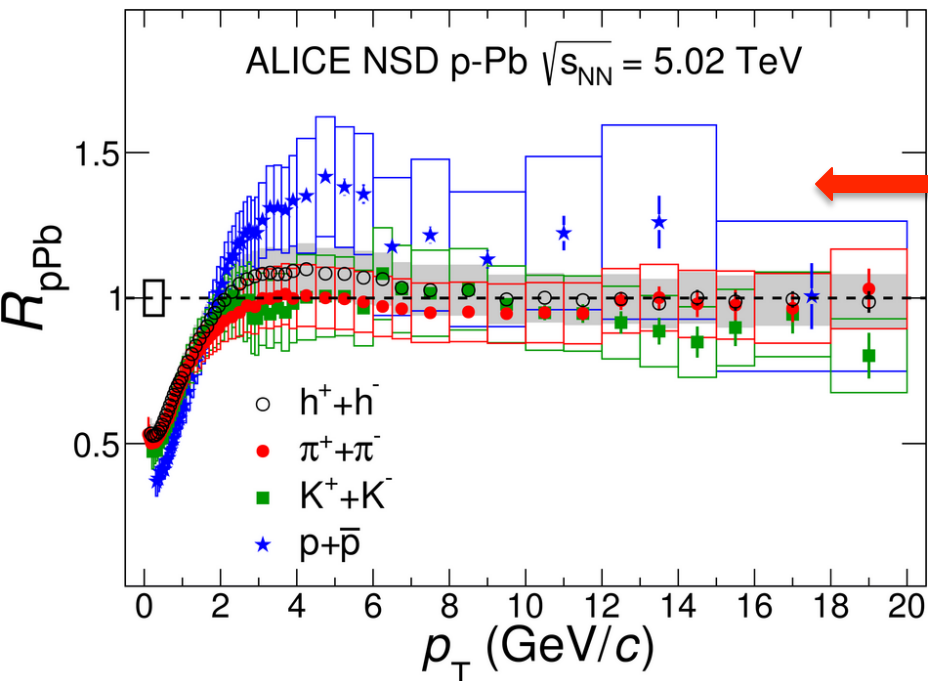


Physics Letters B 760 (2016) 720–735

- Hardening with multiplicity and particle mass
- Reminiscent of observed effects in Pb-Pb  
Attributed to **radial flow/recombination**  
(Indication for collective effects in p-Pb?!)



Physics Letters B 760 (2016) 720–735



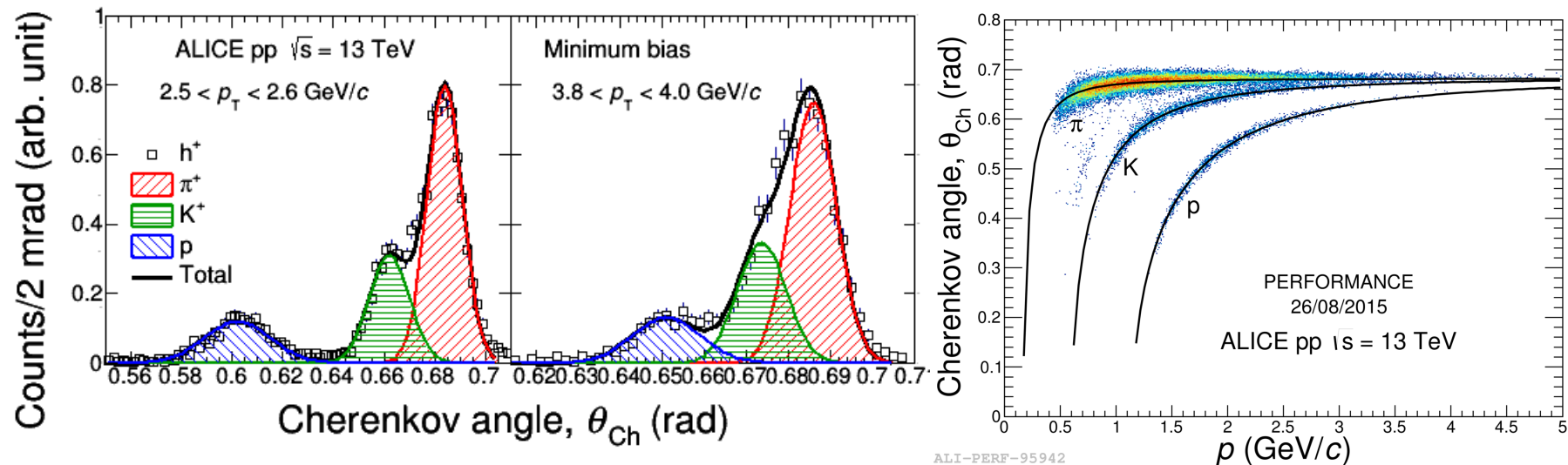
$$R_{pPb} = \frac{d^2 N_{pPb} / dy dp_T}{\langle T_{pPb} \rangle d^2 \sigma_{pp}^{INEL} / dy dp_T}$$

- Protons show peak at intermediate  $p_T$
- $R_{pPb}$  of  $\pi$  and  $K$  not show peak and flat above 2 GeV/c
- mass ordering in the **Cronin peak**, strong enhancement of protons
- **no suppression** at high  $p_T$  ( $> 8-10$  GeV/c)

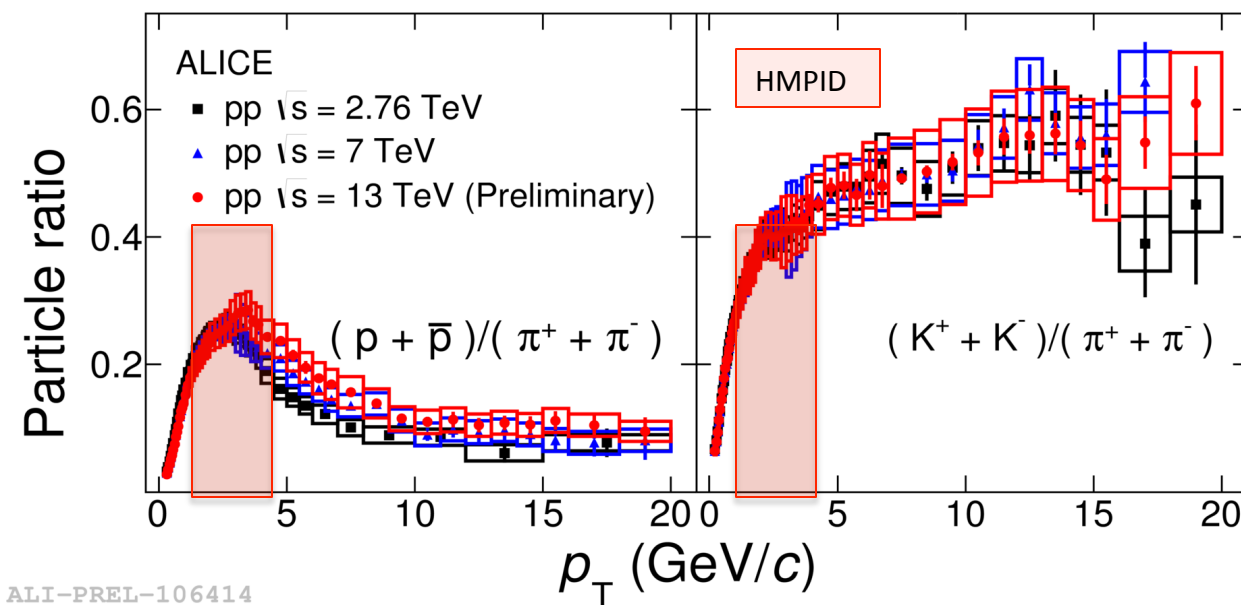
# Charged hadrons spectra: pp 13 TeV



## Performance



## Results



- $p/\pi$  ratio shift towards higher  $p_T$  for higher  $\sqrt{s}$
- $K/\pi$  ratio no significant modifications

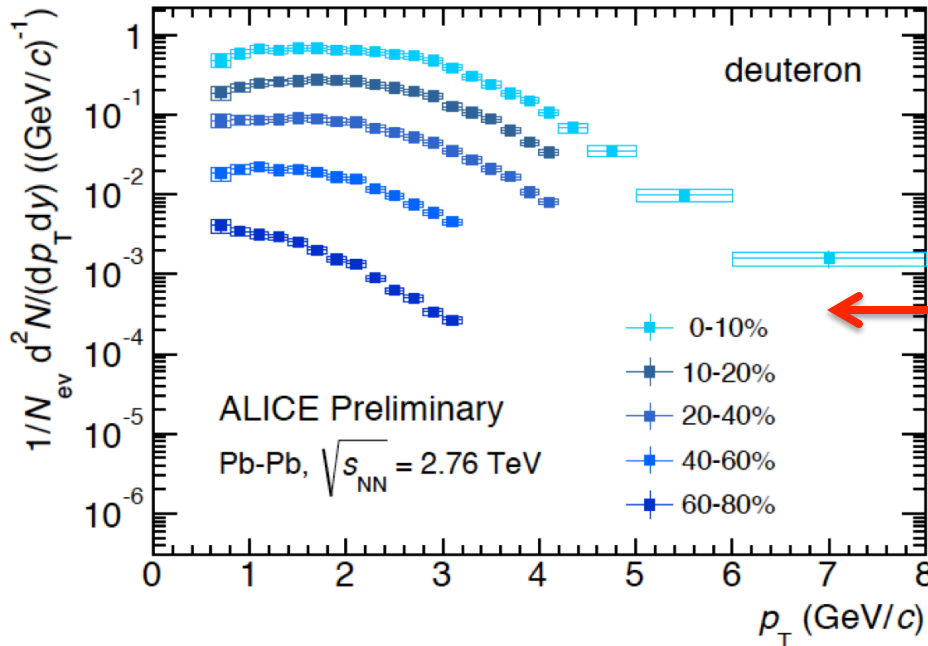
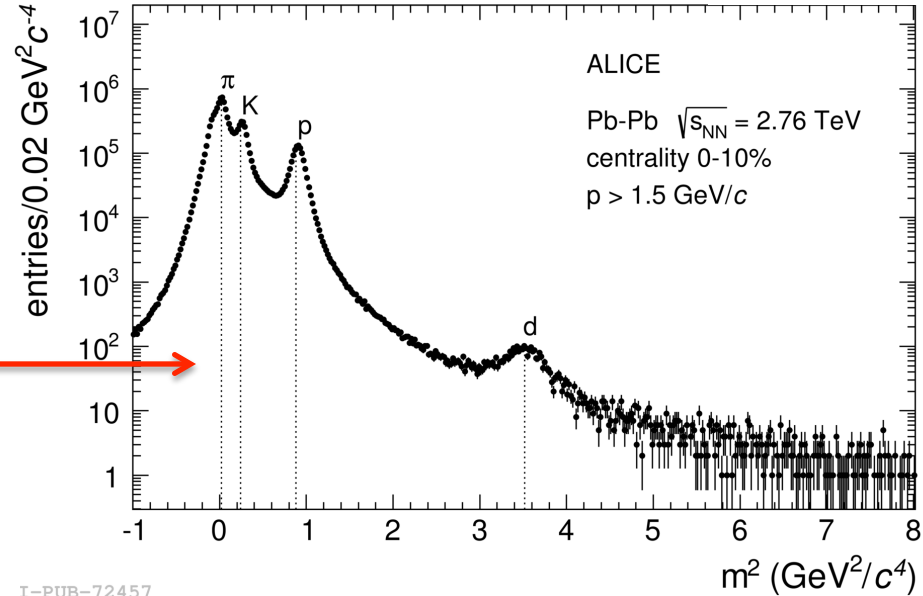
# Deuteron identification



Deuterons yield is not enough to allow measurements in HMPID but in **central (0-10%) Pb-Pb collisions**, by means of statistical unfolding on the **mass distribution (not on Cherenkov angle one!)**

$$m^2 = p^2 (n^2 \cos^2 \theta_{ckov} - 1)$$

$n$  = refractive index



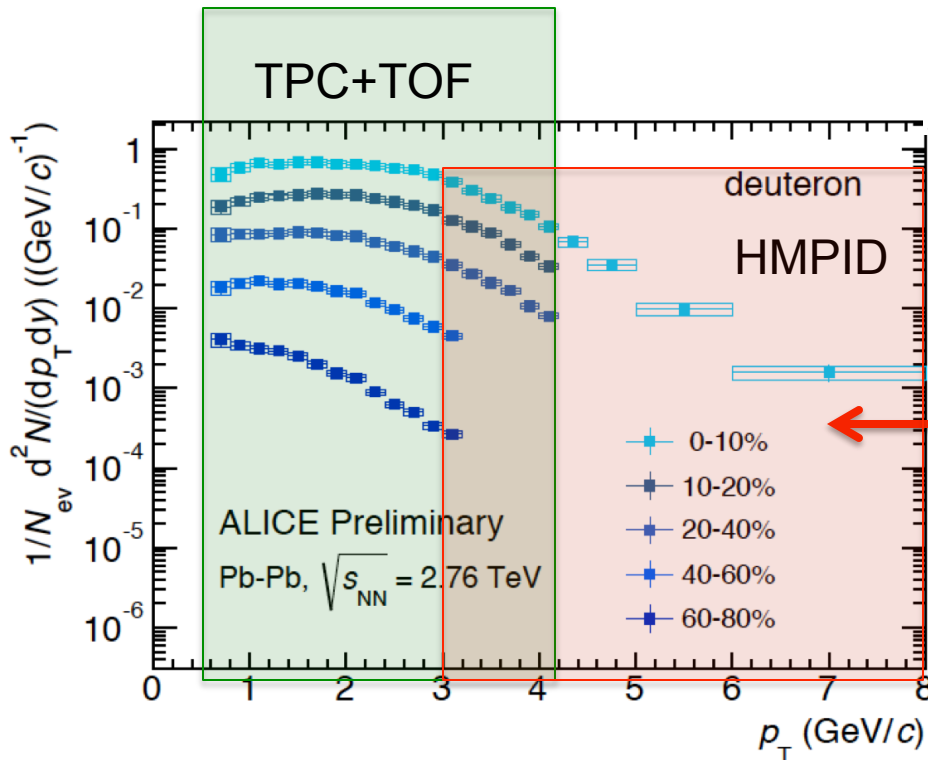
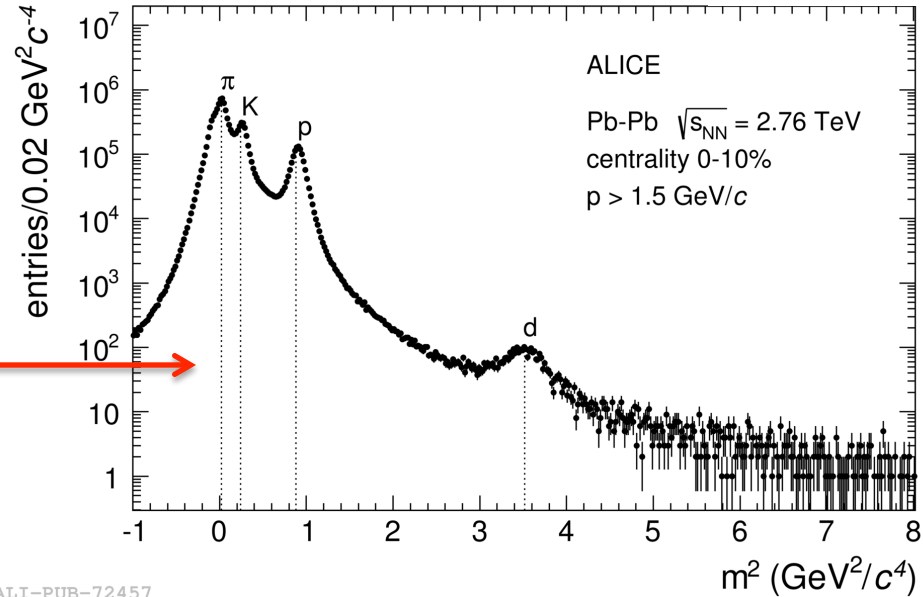
Deuteron spectra in **Pb-Pb** collisions at  $\sqrt{s_{NN}} = 2.76$  TeV

# Deuterons identification



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$$m^2 = p^2 (n^2 \cos^2 \theta_{ckov} - 1)$$



Deuteron spectra in Pb-Pb collisions at  $\sqrt{s_{NN}} = 2.76$  TeV

**Good matching with TPC+TOF measurements!**

# Conclusions

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- ❑ ALICE successfully collected the pp, p-Pb and Pb-Pb collisions data provided by LHC.
  
- ❑ Inclusive hadrons spectra are relevant tools to study the properties of the medium created in the high energy collisions.
  
- ❑ HMPID detector presented so far **optimal PID performance**, successfully participating to the ALICE physics program
  - ❑ by means of **statistical unfolding** HMPID data constrains the charged hadrons measurements in the  $p_T$  region around **3 GeV/c** where other techniques present poor capability.
  - ❑ Results from **LHC RUN1** data has been presented; **RUN2** data analysis already started, more results in the next future.
  
- ❑ **Track-by-track identification with HMPID** is exploited for two particle correlation study to evaluate protons/pions ratio in the bulk and jets.

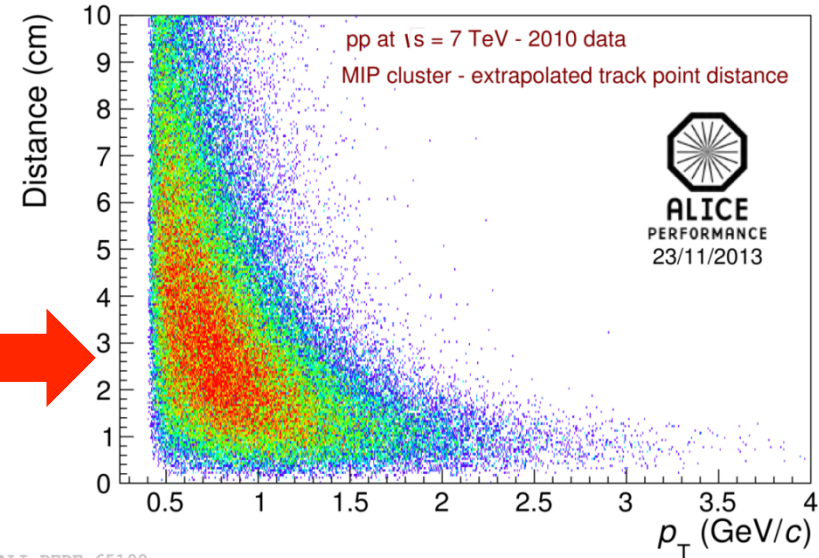


# Backup

# Pattern recognition with HMPID



- A primary track extrapolated from the internal tracking devices has to match with a MIP cluster. This is mandatory for **an efficient reconstruction** in events with high occupancy in HMPID
- For every cluster in the event, the Cherenkov angle is evaluated (if exists)



**impact track parameter**

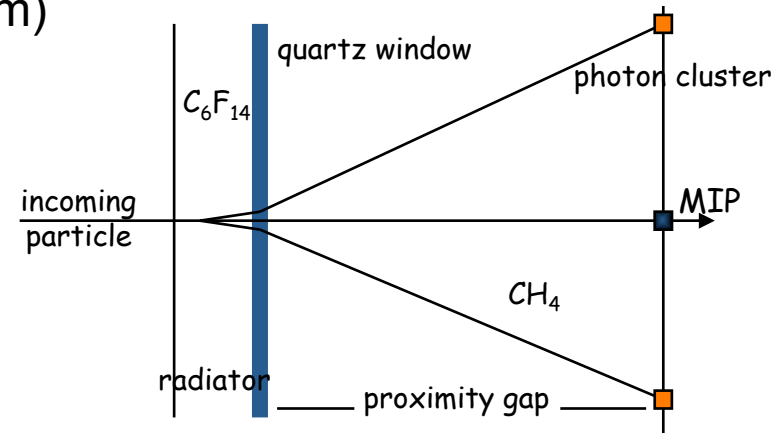
$$(x, y) \rightarrow ((x_p, y_p, \theta_p, \varphi_p), \eta_c)$$

cluster coordinate

Cherenkov angle

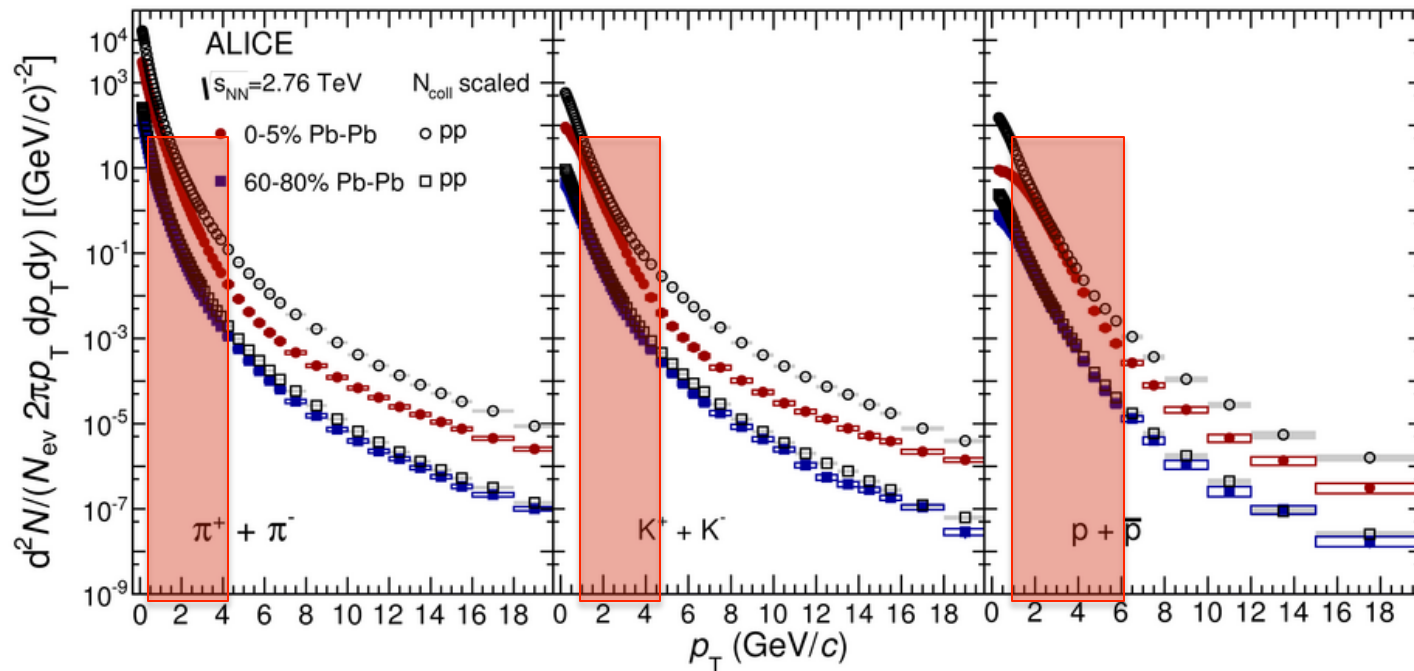
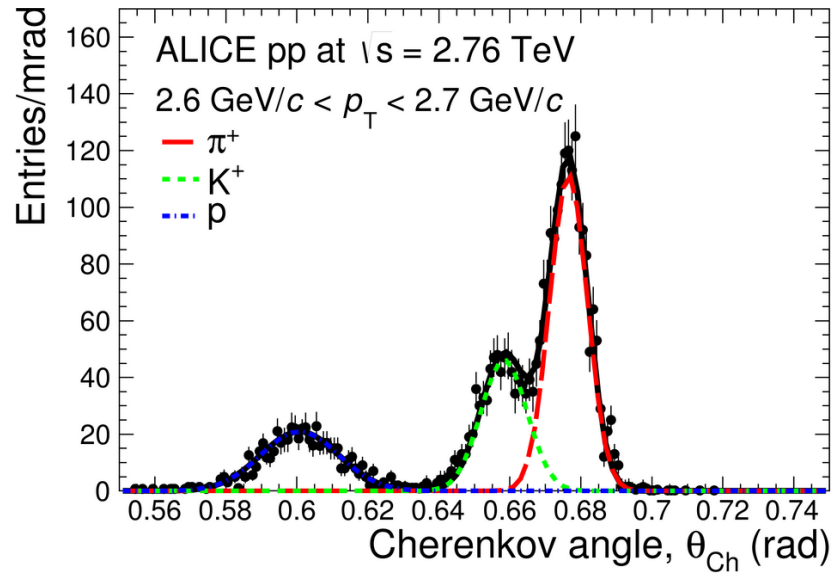
**solution in one dimensional mapping space  $\eta_c$**

$D_{\text{trk-MIP}}$  (cm)



The pattern recognition (based on Hough Transform) tends to find the «best» pattern (according to the impact track parameter) with the highest number of photon candidates (more efficient when bkg is not negligible)

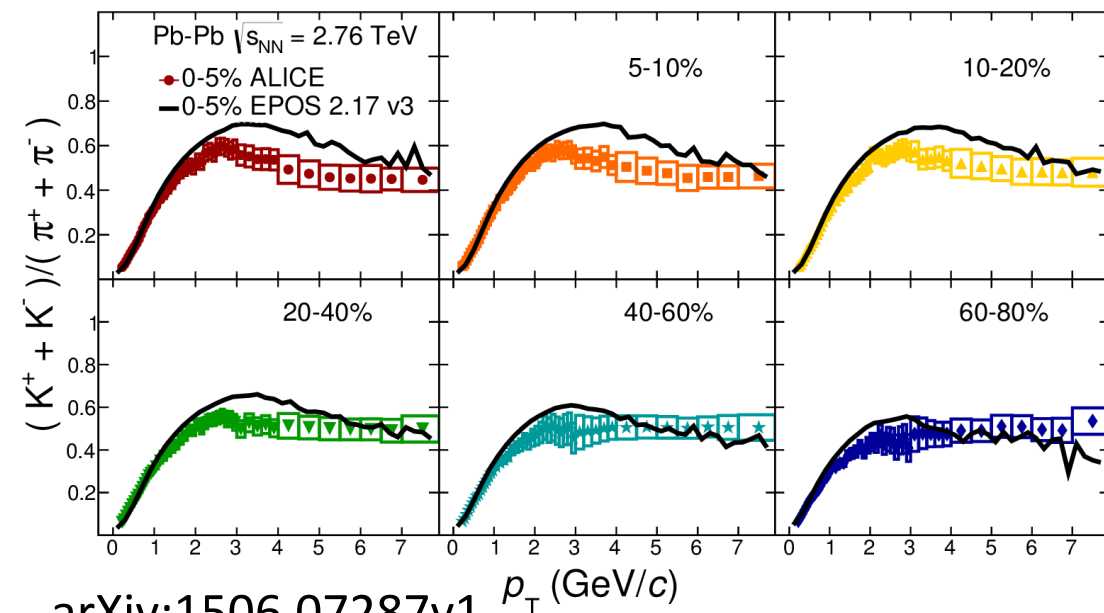
# Inclusive hadrons spectra: pp 2.76 TeV



# Intermediate $p_T$ : comparison with EPOS



ALICE



EPOS model 2.17-3

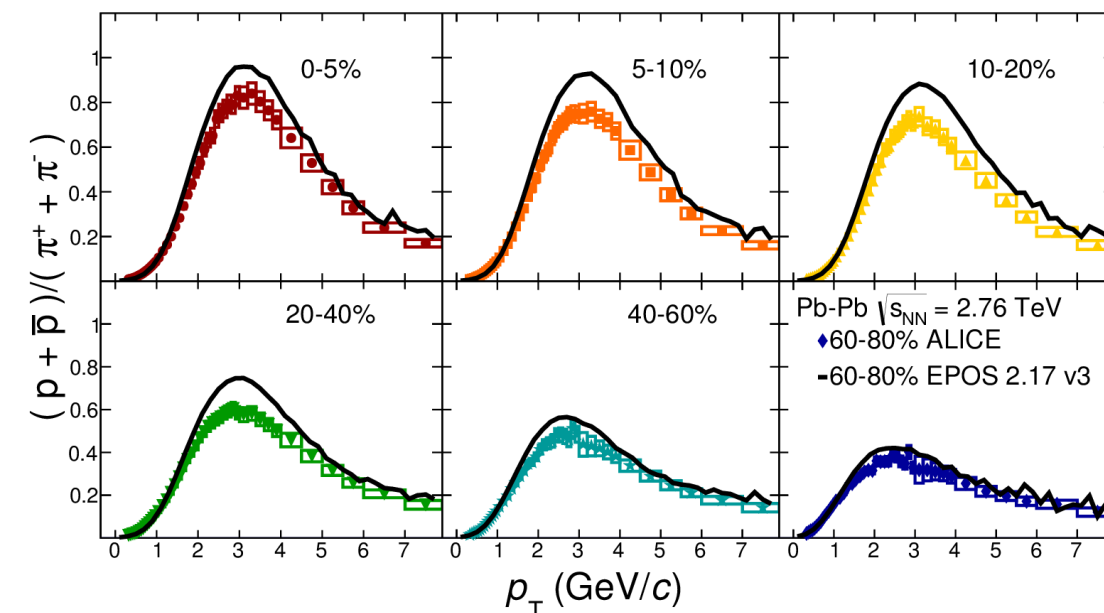
K. Werner, PRL 109, 102301 (2012) “fluid-jet interaction”. Works over the entire  $p_T$  range.

Hydrodynamical phase + hadronization processes at intermediate  $p_T$  where the interaction between bulk matter and jets is considered

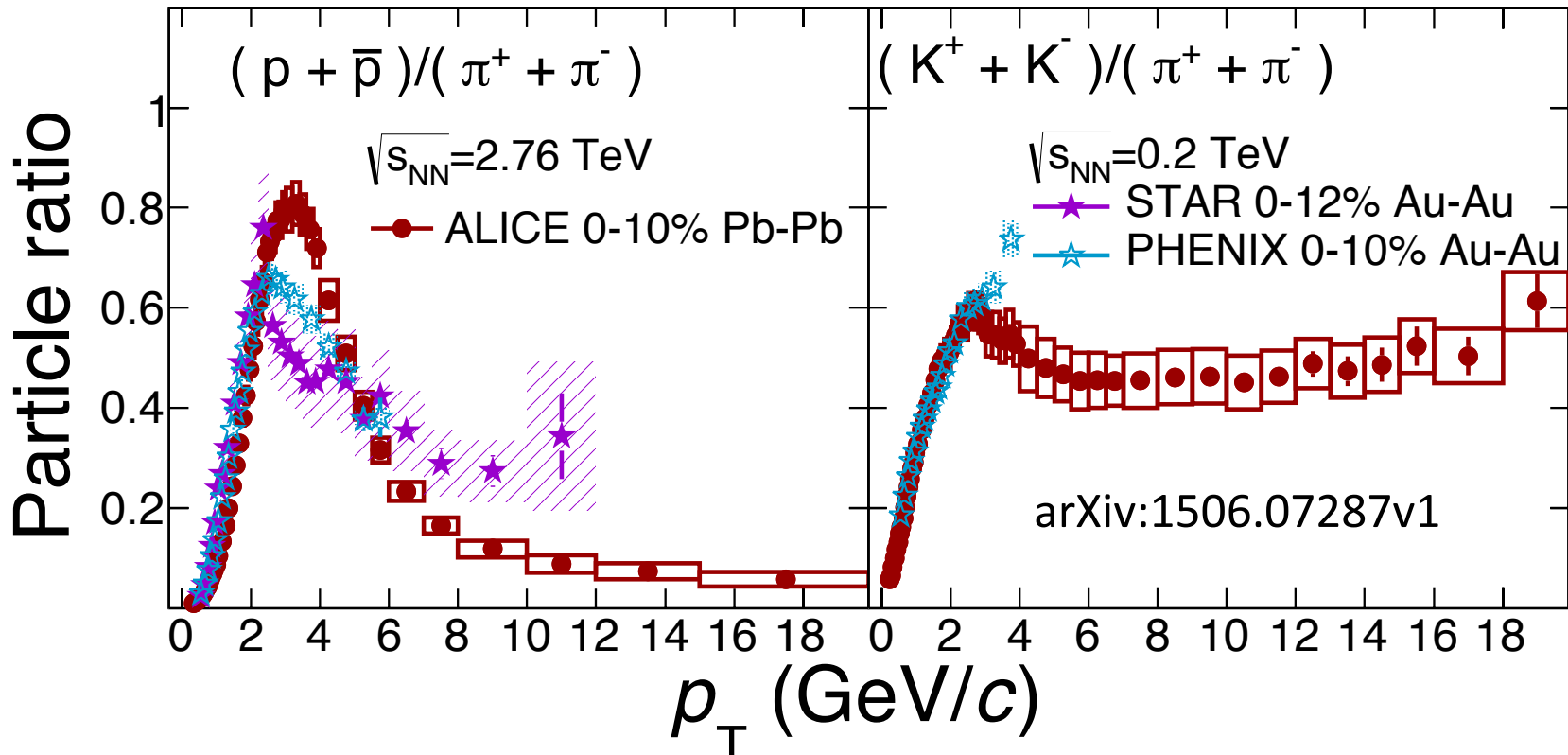


Baryon-meson effect where a quenched jet hadronizes with flowing medium quarks

- centrality dependence well reproduced, even for very peripheral events.
- magnitude of both the p-to- $\pi$  and the K-to- $\pi$  peak is overpredicted.



# Intermediate $p_T$ : comparison to RHIC

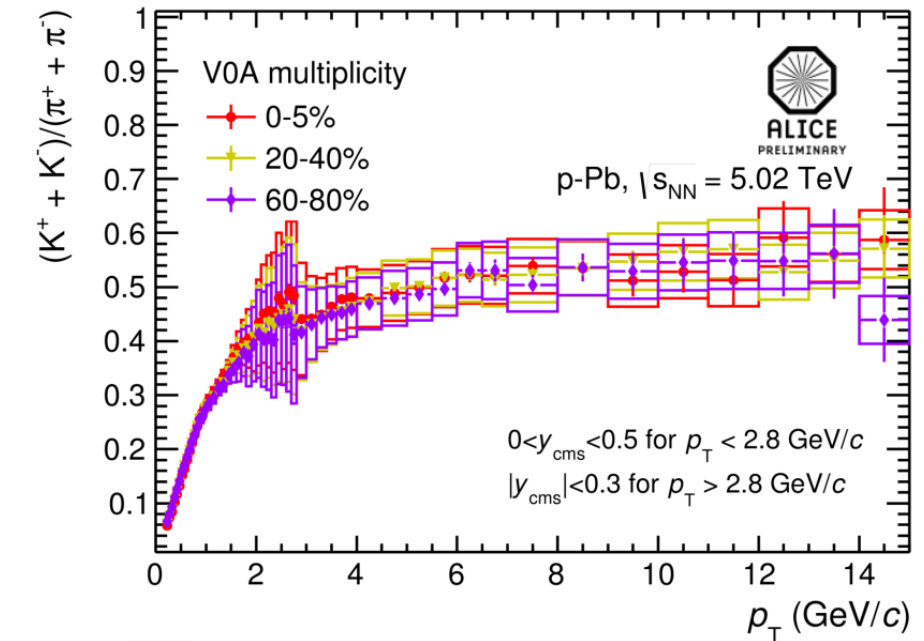
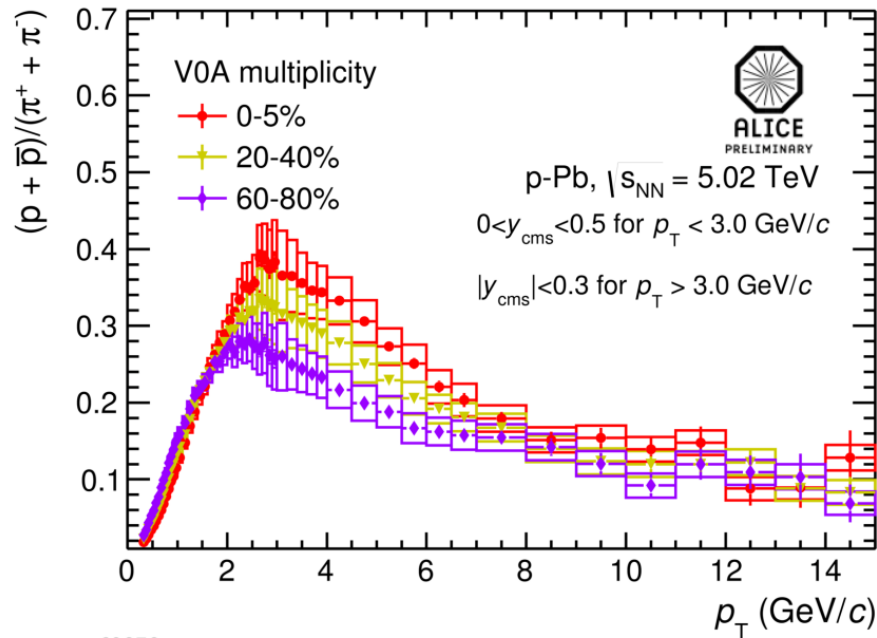


- The p-to- $\pi$  peak at LHC is approximately 20% larger than at RHIC, consistent with an average larger radial flow velocity.
- The K-to- $\pi$  ratio measured by PHENIX is similar to the ALICE one

# Particle ratios in p-Pb collisions



ALICE



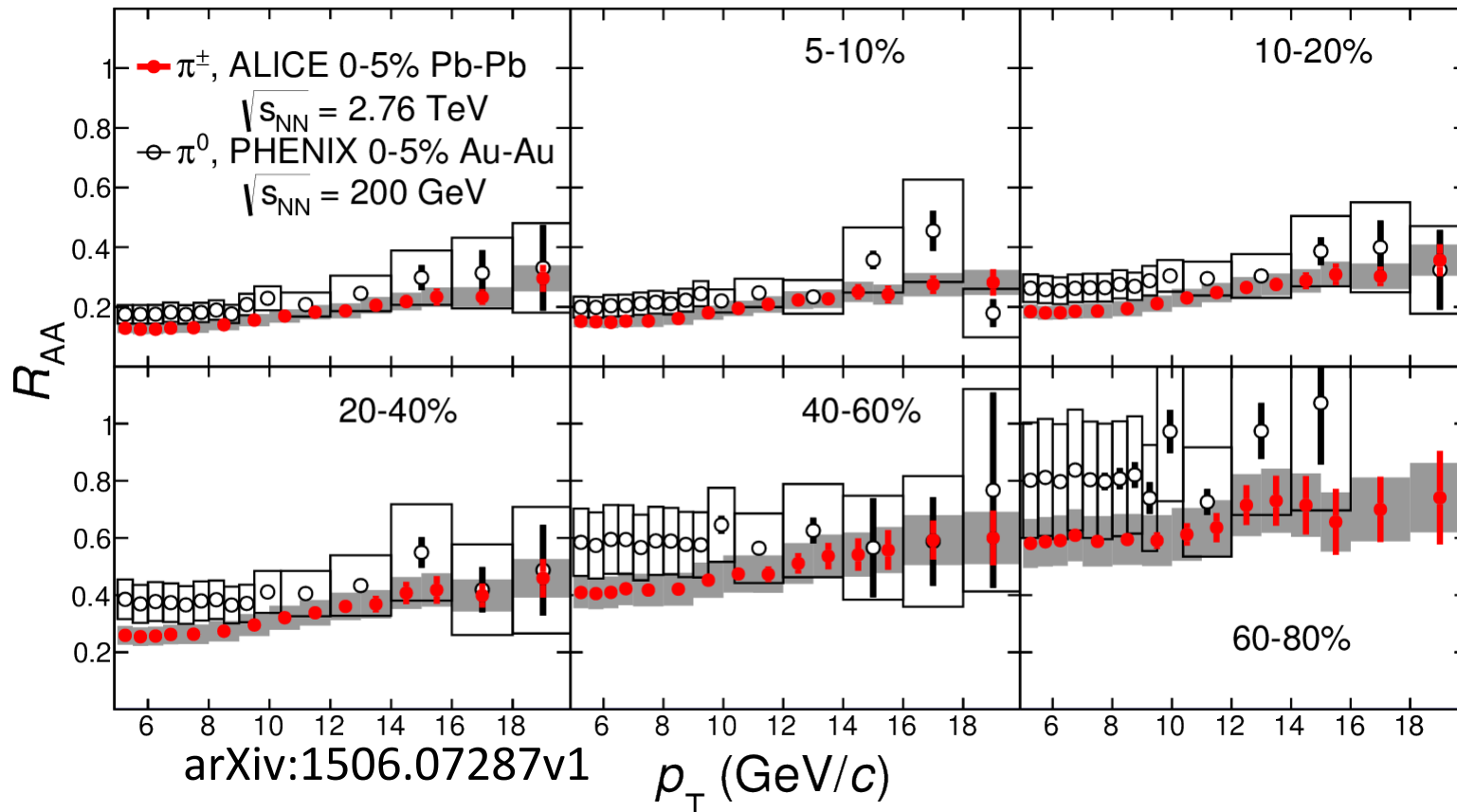
ALI-PREL-60978

ALI-PREL-60974

- p-to- $\pi$  ratio:
  - shows a peak, which is more pronounced for higher multiplicities
  - drops to 0.1 at high  $p_T$  (as in Pb-Pb)
- K-to- $\pi$  ratio:
  - saturates at 0.5 for high  $p_T$  (as in Pb-Pb)
  - does not show strong multiplicity dependence

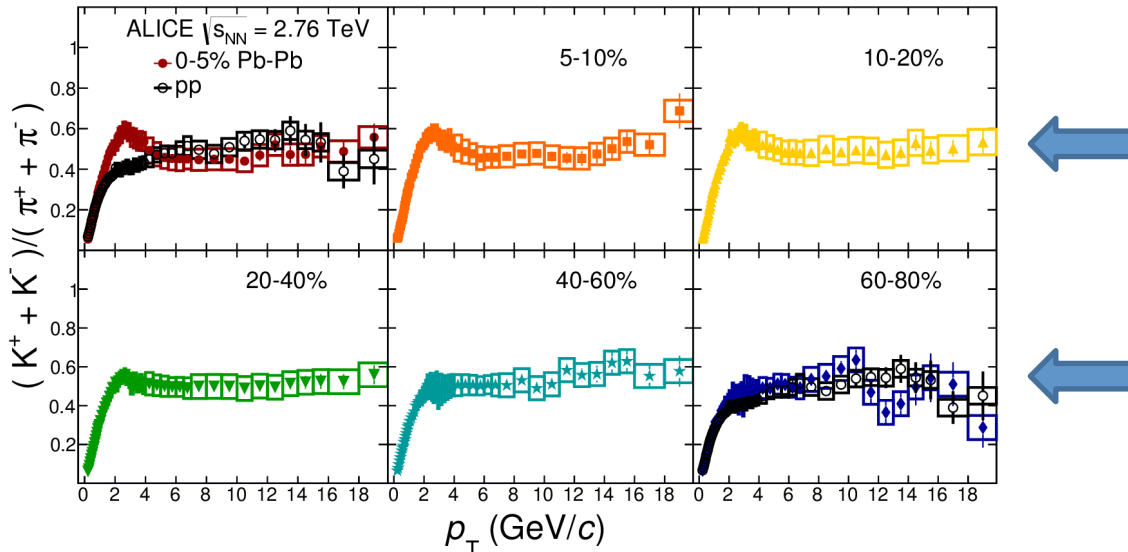


# The pion $R_{AA}$ at RHIC and at LHC

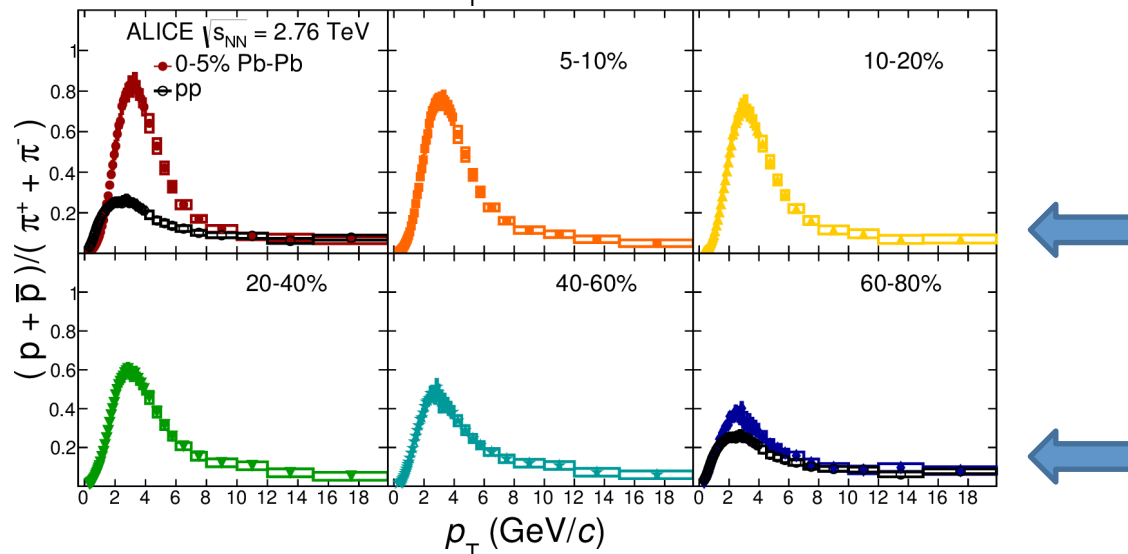


- ALICE results are below the PHENIX values
- Centrality evolution very similar
- Energy loss is "scaled up" at the LHC
  - the pp spectra at LHC energies are significantly harder, so a larger energy loss is needed to get a similar  $R_{AA}$ .

# Particle ratios in Pb-Pb collisions at high $p_T$



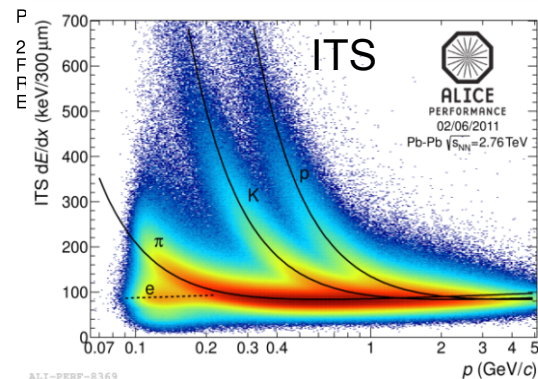
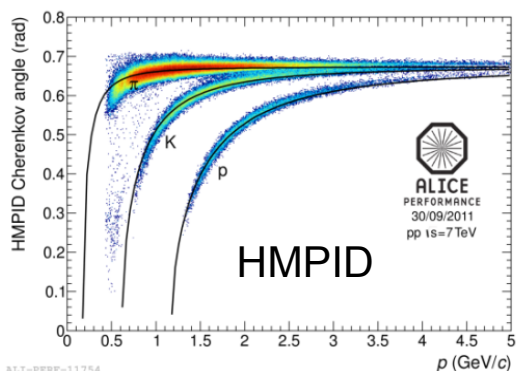
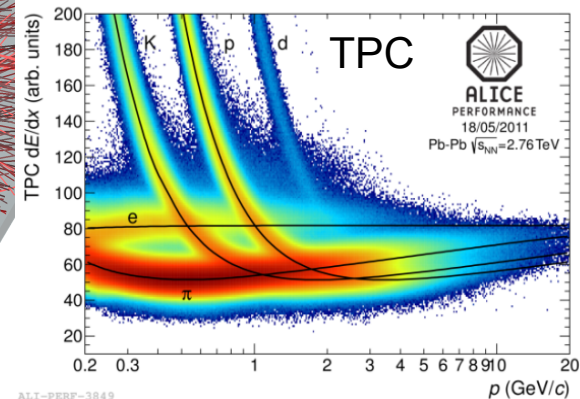
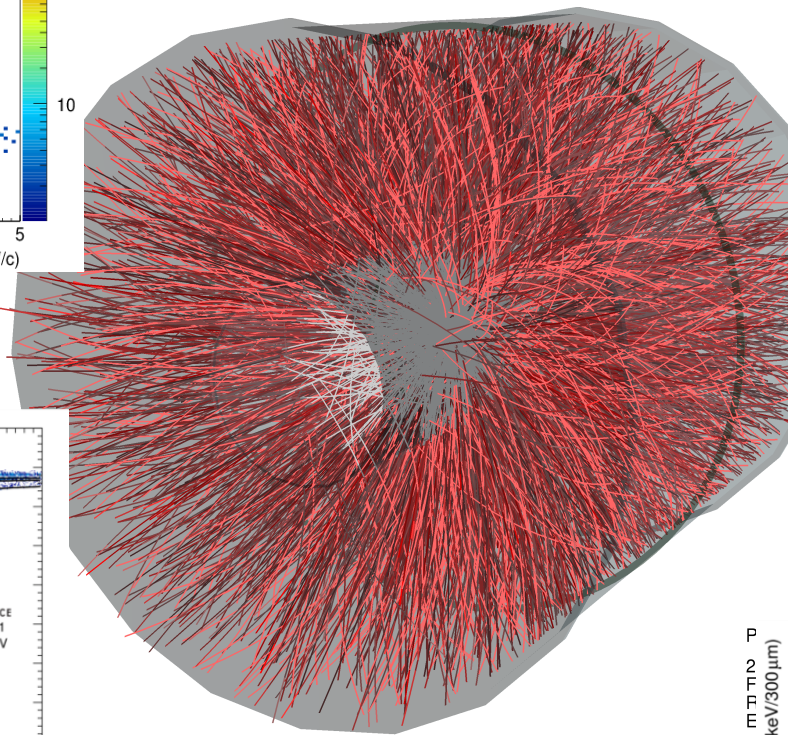
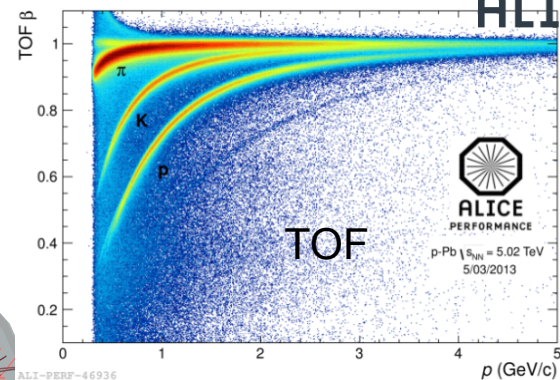
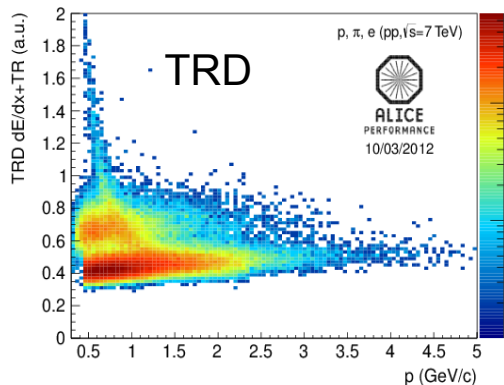
The behavior at high  $p_T$  ( $p_T > 10$  GeV/c) is independent of centrality (and the same as in pp collisions)



# Charged particle PID in ALICE (central barrel)

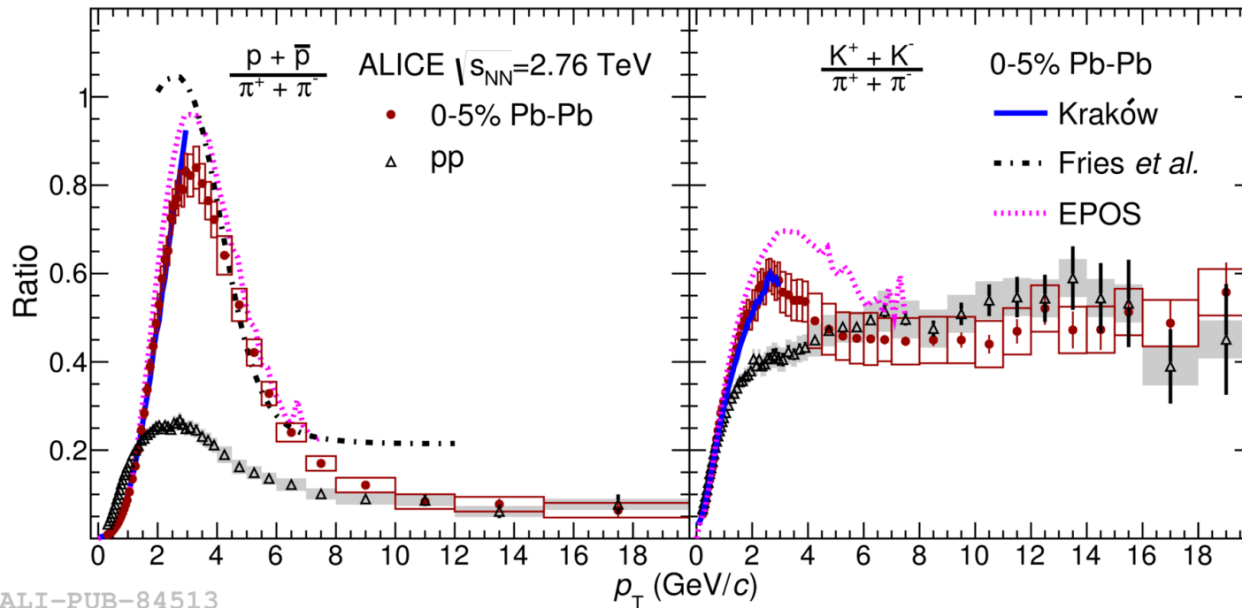


ALICE



The ALICE experiment is optimized for charged particle tracking and hadron identification for  $|\eta| < 0.8$

# Particle ratios compared to models



- Kraków: PRC85, 064915 (2012)
- HKM: PRC87, 024914 (2013)
- Fries: PRL90, 202303 (2003) and private communication
- EPOS: PRL109, 102301 (2012) and private communications

Kraków+HKM: hydrodynamic (low  $p_T$ ) models

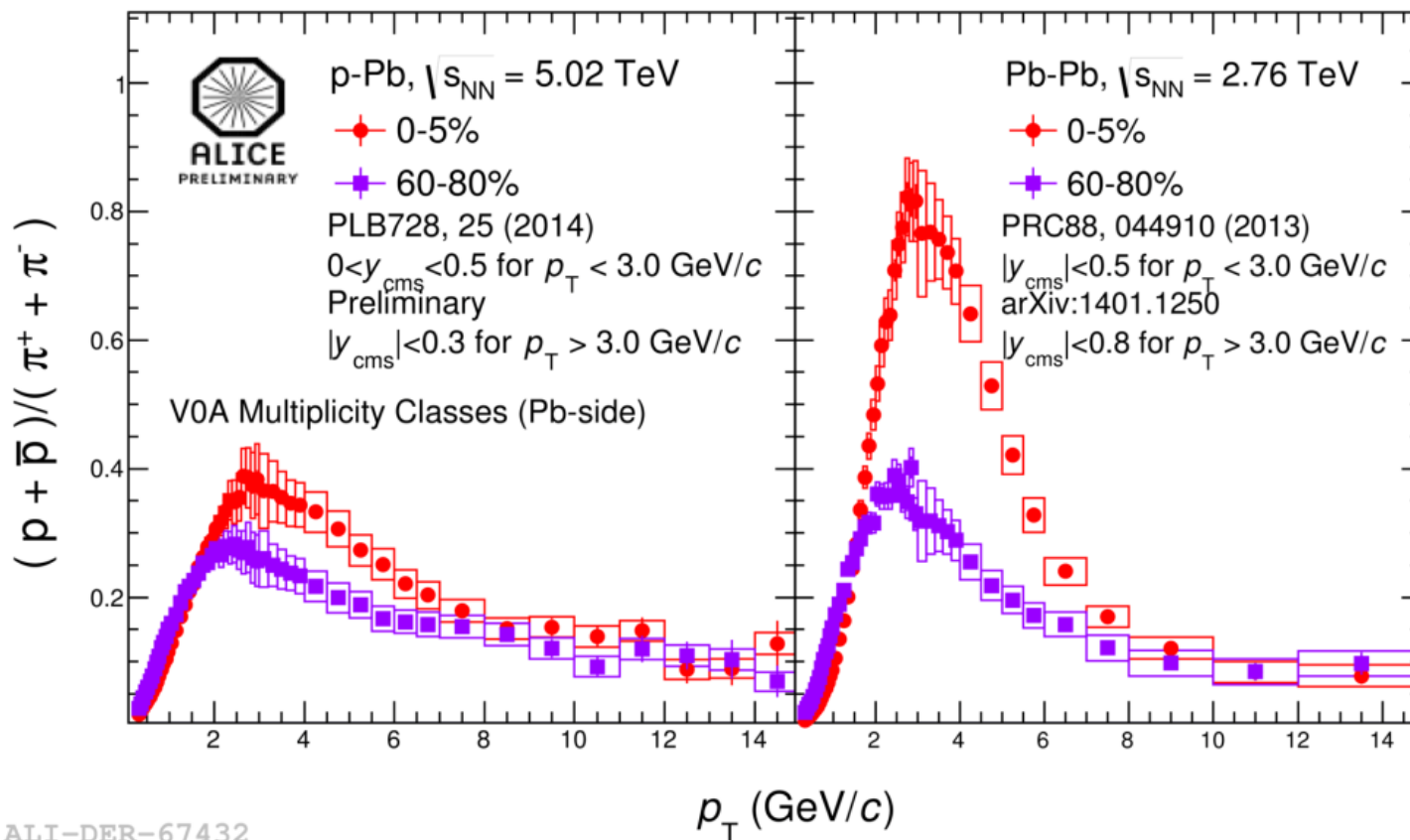
Fries: recombination

3 quarks  $\rightarrow$  baryon,

2 quarks  $\rightarrow$  meson

EPOS: hydrodynamics (low  $p_T$ )  $\rightarrow$  medium modified fragmentation for quenched jets (intermediate  $p_T$ )  $\rightarrow$  vacuum fragmentation (high  $p_T$ )

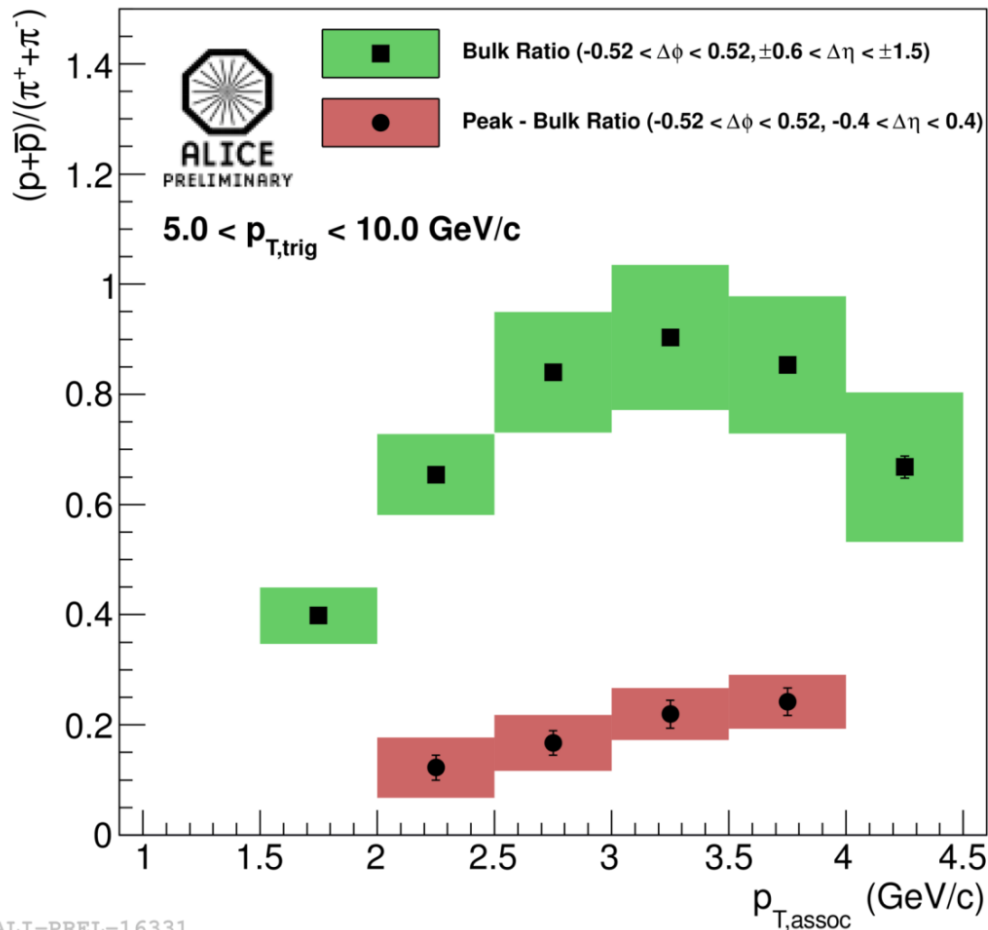
# Comparisons between the different colliding systems: high $p_T$ particle ratios in p-Pb and Pb-Pb



ALI-DER-67432

# $\rho/\pi$ ratio in peak-bulk

Pb-Pb,  $\sqrt{s_{NN}} = 2.76\text{TeV}$ , 0-10% central



When the  $\rho/\pi$  ratio in the peak is corrected for bulk effects using an  $\eta$  gap one finds that the ratio is dominated by the bulk. So the ratio does not seem to be driven by hard physics.