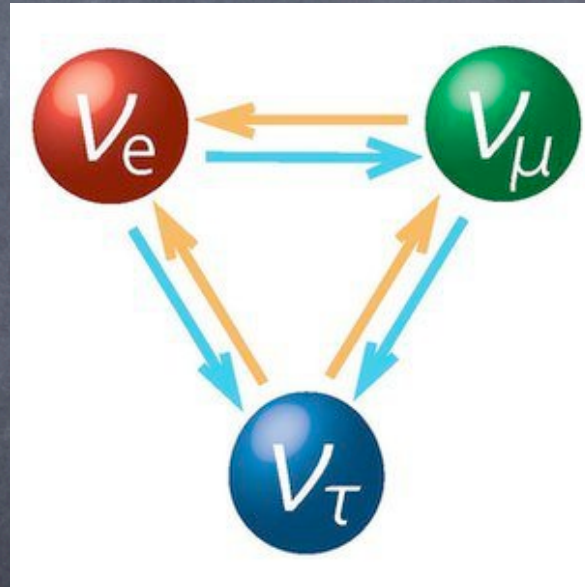


Neutrino oscillations



Neutrino mixing

- ▶ Mixing is described by the **Maki-Nakagawa-Sakata** (MNS) matrix:

$$\nu_{\alpha L} = \sum_k U_{\alpha k} \nu_{k L}$$

$$U = U_l^\dagger U_\nu$$

- ▶ leptonic weak charged current:

$$j_\rho^{\text{CC}\dagger} = 2 \sum_{\alpha=e,\mu,\tau} \overline{\alpha}_L \gamma_\rho \nu_{\alpha L} = 2 \sum_{\alpha=e,\mu,\tau} \sum_{k=1}^3 \overline{\alpha}_L \gamma_\rho U_{\alpha k} \nu_{k L}$$

- ▶ NxN unitary matrix: NxN mixing parameters

→ $N(N-1)/2$ mixing angles + $N(N+1)/2$ phases

- ▶ Lagrangian invariant under global phase transformations of **Dirac** fields:

$$\alpha \rightarrow e^{i\theta_\alpha} \alpha, \nu_k \rightarrow e^{i\phi_k} \nu_k$$

$$j_\rho^{\text{CC}\dagger} \rightarrow 2 \sum_{\alpha,k} \overline{\alpha}_L e^{-i(\theta_\alpha - \theta_e)} \gamma_\rho U_{\alpha k} e^{i(\phi_k - \phi_1)} \nu_{k L}$$

1
N-1
N-1

→ $2N-1$ phases can be eliminated: $(N-1)(N-2)/2$ physical phases

Neutrino mixing

► For **Majorana neutrinos**, the lagrangian is NOT invariant under global phase transformations of the Majorana fields:

$$\nu_k \rightarrow e^{i\phi_k} \nu_k \quad \nu_{kL}^T \mathcal{C}^\dagger \nu_{kL} \rightarrow e^{2i\phi_k} \nu_{kL}^T \mathcal{C}^\dagger \nu_{kL}$$

→ only N phases can be eliminated by rephasing charged lepton fields:

$$j_\rho^{\text{CC}\dagger} \rightarrow 2 \sum_{\alpha,k} \overline{\alpha}_L e^{-i\theta_\alpha} \gamma_\rho U_{\alpha k} \nu_{kL}$$

→ $N(N-1)/2$ **physical phases**: $(N-1)(N-2)/2$ Dirac phases → effect in ν oscil.

$(N-1)$ Majorana phases → relevant for $0\nu\beta\beta$

Exercises: 1) Check number angles/phases $N \times N$ matrix
2) how many angles/phases $N=2,3$?

Neutrino mixing

▶ 2-neutrino mixing depends on 1 angle only (+1 Majorana phase)

$$\begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

▶ 3-neutrino mixing is described by 3 angles and 1 Dirac (+2 Majorana) CP violating phases.

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{-i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

atmospheric + LBL
measurements

reactor disapp + LBL
appearance searches


solar + KamLAND
measurements

Neutrino oscillations

▶ flavour states are admixtures of flavor eigenstates: $\nu_{\alpha L} = \sum_k U_{\alpha i} \nu_{kL}$

▶ Neutrino evolution equation: $-i \frac{d}{dt} |\nu\rangle = H |\nu\rangle$

in the 2-neutrino mass eigenstates basis ν_j : $H = \begin{pmatrix} E_1 & 0 \\ 0 & E_2 \end{pmatrix}$

 $|\nu_j\rangle \rightarrow e^{-iE_j t} |\nu_j\rangle$

since neutrinos are relativistic: $t = L$ and $E_j \simeq p + \frac{m_j^2}{2p} \simeq p + \frac{m_j^2}{2E}$

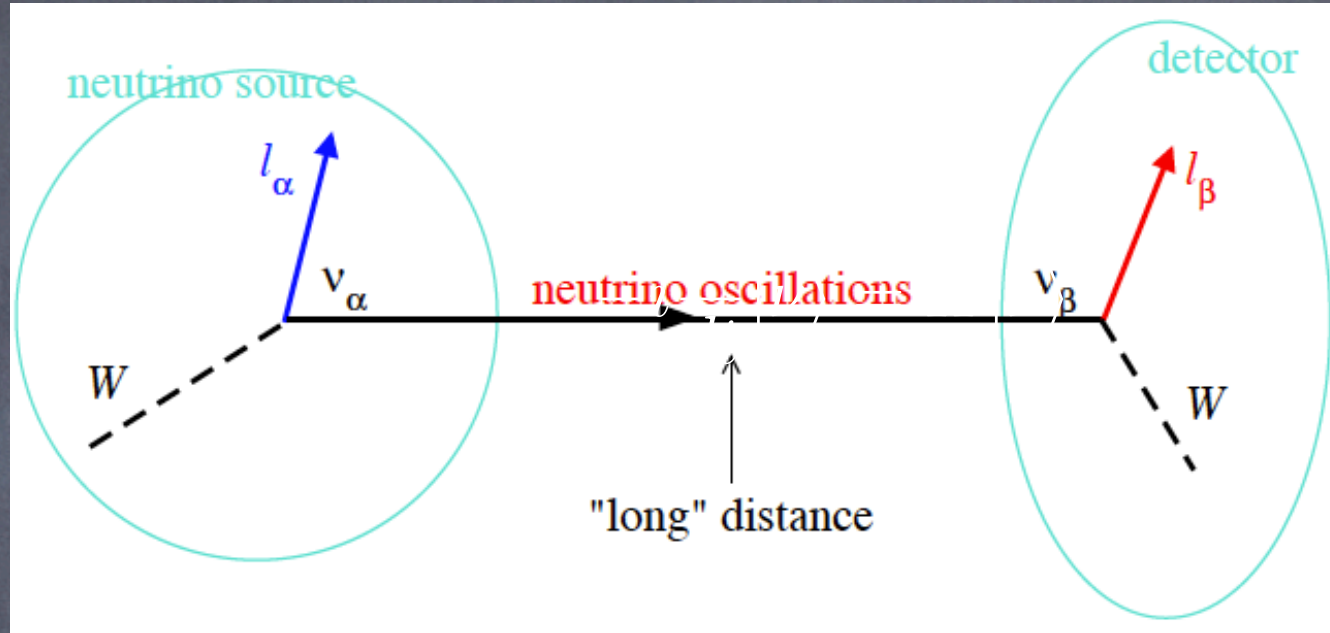
▶ Hamiltonian in the flavour basis: (equal momentum approach)

$$H_{\text{flavour}} = U H_{\text{mass}} U^\dagger = \frac{\Delta m^2}{4E} \begin{pmatrix} -\cos 2\theta & \sin 2\theta \\ \sin 2\theta & \cos 2\theta \end{pmatrix}$$

with $\Delta m^2 = m_2^2 - m_1^2$

Exercise: derive expression for H_{flavour}

Neutrino oscillations picture



Production

$$|\nu_\alpha\rangle = \sum_j U_{\alpha j}^* |\nu_j\rangle$$

coherent superposition
of massive states

Propagation

$$\nu_j : e^{-iE_j t}$$

different propagation
phases change ν_j
composition

Detection

$$\langle \nu_\beta | = \sum_j \langle \nu_j | U_{\beta j}$$

projection over flavour
eigenstates

Neutrino oscillations

Neutrino oscillation amplitude:

$$\begin{aligned} \mathcal{A}_{\nu_\alpha \rightarrow \nu_\beta} &= \langle \nu_\beta(t) | \nu_\alpha(0) \rangle = \sum_j \langle \nu_\beta | \nu_j(t) \rangle \langle \nu_j(t) | \nu_j(0) \rangle \langle \nu_j(0) | \nu_\alpha \rangle \\ &= \sum_j U_{\beta j} e^{-i \frac{m_j^2}{2E} L} U_{\alpha j}^* \end{aligned}$$

Neutrino oscillation probability:
$$P_{\nu_\alpha \rightarrow \nu_\beta} = \left| \sum_j U_{\alpha j}^* U_{\beta j} e^{-i \frac{m_j^2}{2E} L} \right|^2$$

$$\begin{aligned} P_{\alpha\beta} &= \delta_{\alpha\beta} - 4 \sum_{i>j} \text{Re}(U_{\alpha i}^* U_{\alpha j} U_{\beta i} U_{\beta j}^*) \sin^2 \left(\frac{\Delta m_{ij}^2 L}{4E} \right) + \\ &\quad + 2 \sum_{i>j} \text{Im}(U_{\alpha i}^* U_{\alpha j} U_{\beta i} U_{\beta j}^*) \sin \left(\frac{\Delta m_{ij}^2 L}{2E} \right) \end{aligned}$$

Exercise: derive general formula for oscillation probability

General properties of neutrino oscillations

▶ Conservation of probability: $\sum_{\beta} P(\nu_{\alpha} \rightarrow \nu_{\beta}) = 1$

▶ For antineutrinos: $U \rightarrow U^*$

▶ Neutrino oscillations violate flavour lepton number conservation (expected from mixing) but conserve **total lepton number**

▶ Complex phases in the mixing matrix induce **CP violation**:

$$P(\nu_{\alpha} \rightarrow \nu_{\beta}) \neq P(\bar{\nu}_{\alpha} \rightarrow \bar{\nu}_{\beta})$$

▶ Neutrino oscillations do not depend on the absolute neutrino mass scale and Majorana phases.

▶ Neutrino oscillations are sensitive only to **mass squared differences**:

$$\Delta m_{kj}^2 = m_k^2 - m_j^2$$

2-neutrino oscillations

▶ 2-neutrino mixing matrix:
$$\begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

▶ 2-neutrino oscillation probability ($\alpha \neq \beta$):

$$P(\nu_\alpha \rightarrow \nu_\beta) = \left| U_{\alpha 1} U_{\beta 1}^* + U_{\alpha 2} U_{\beta 2}^* e^{-i \frac{\Delta m_{21}^2 L}{2E}} \right|^2$$
$$= \sin^2(2\theta) \sin^2 \left(\frac{\Delta m^2 L}{4E} \right)$$

Exercise

▶ The oscillation phase:

$$\phi = \frac{\Delta m_{21}^2 L}{4E} = 1.27 \frac{\Delta m_{21}^2 [eV^2] L [km]}{E [GeV]}$$

Exercise

→ short distances, $\phi \ll 1$: oscillations do not develop, $P_{\alpha\beta} = 0$

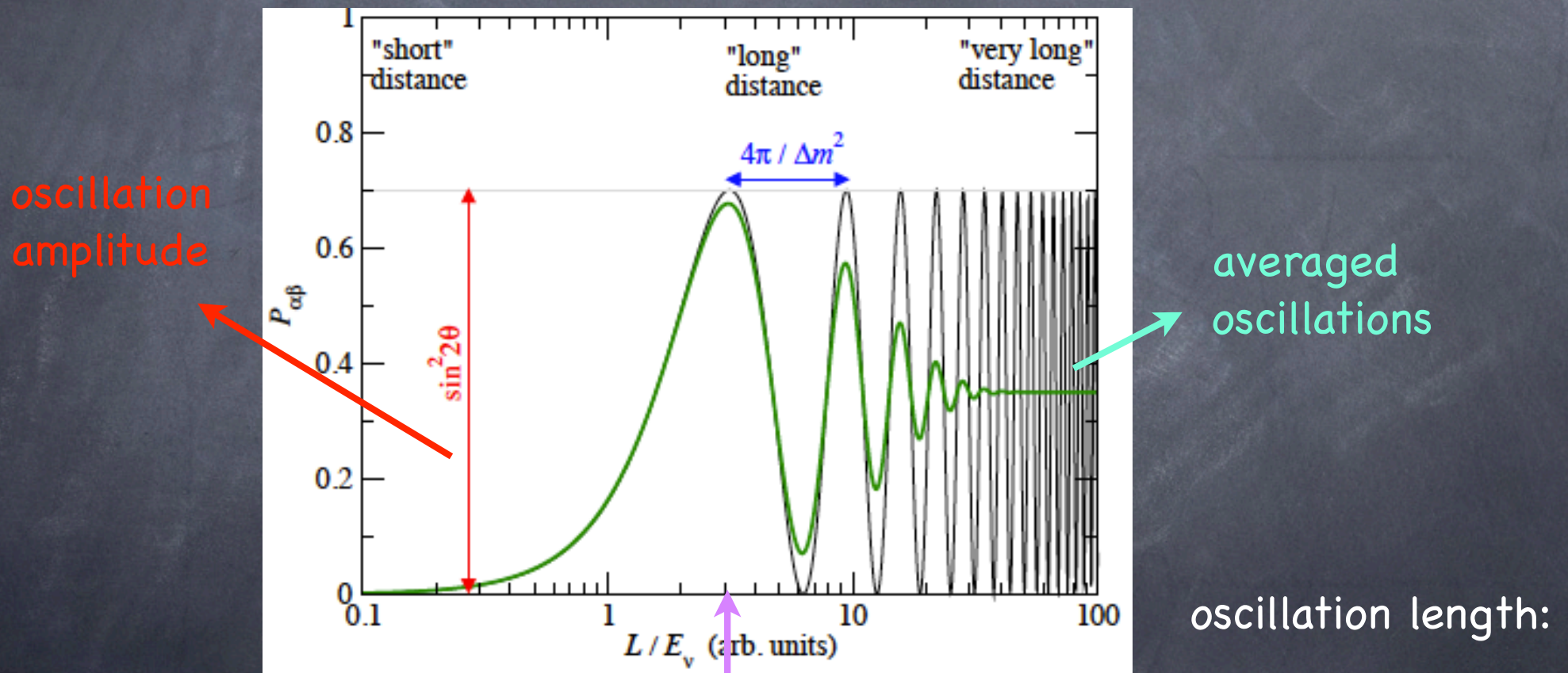
→ long distance, $\phi \sim 1$: oscillations are observable

→ very long distances, $\phi \gg 1$: oscillations are averaged out:

$$P_{\alpha\beta} \simeq \frac{1}{2} \sin^2(2\theta)$$

2-neutrino oscillation probability

$$P_{\alpha\beta} = \sin^2(2\theta) \sin^2\left(\frac{\Delta m^2 L}{4E}\right)$$



first oscillation maximum:

$$L_{osc} = \frac{4\pi E}{\Delta m^2}$$

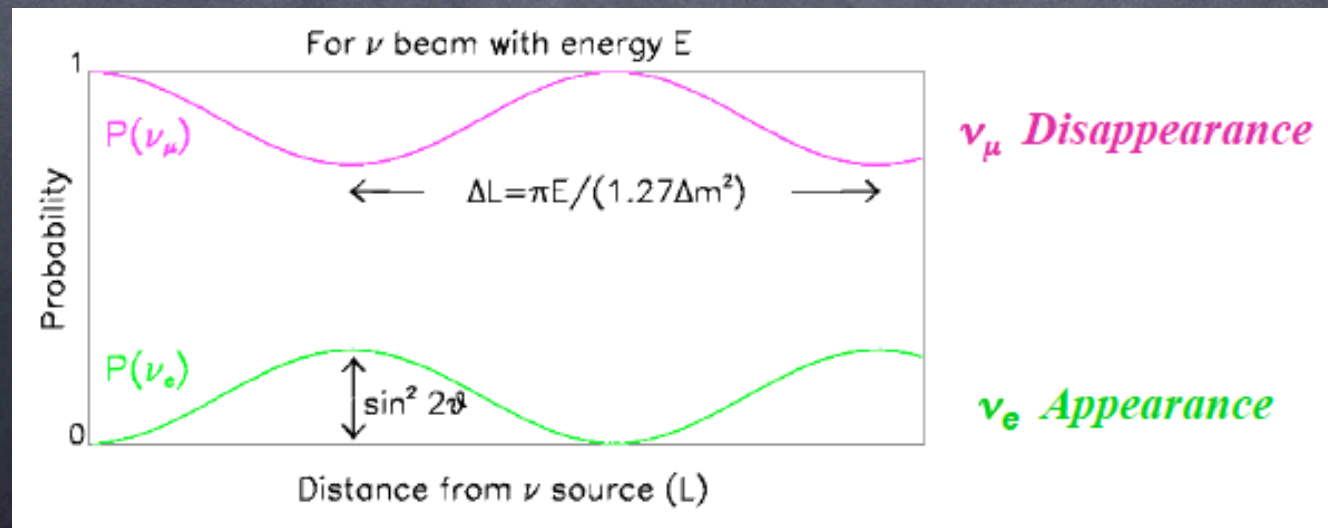
Appearance vs disappearance experiments

▶ appearance experiments: $\alpha \neq \beta$
$$P_{\alpha\beta} = \sin^2(2\theta) \sin^2\left(\frac{\Delta m^2 L}{4E}\right)$$

→ appearance of a neutrino of a new flavour β in a beam of ν_α

▶ disappearance experiments:
$$P_{\alpha\alpha} = 1 - \sin^2(2\theta) \sin^2\left(\frac{\Delta m^2 L}{4E}\right)$$

→ measurement of the survival probability of a neutrino of given flavour



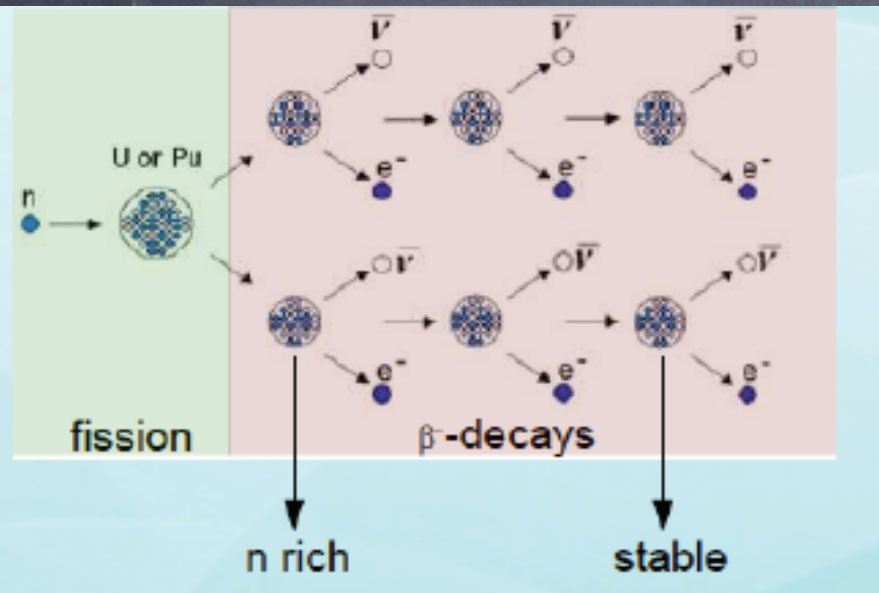
Example for 2-neutrino oscillations in vacuum: reactor experiment



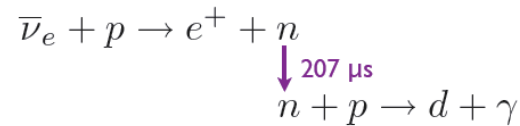
Production and detection of reactor neutrinos

Emission: β -decay of fission products

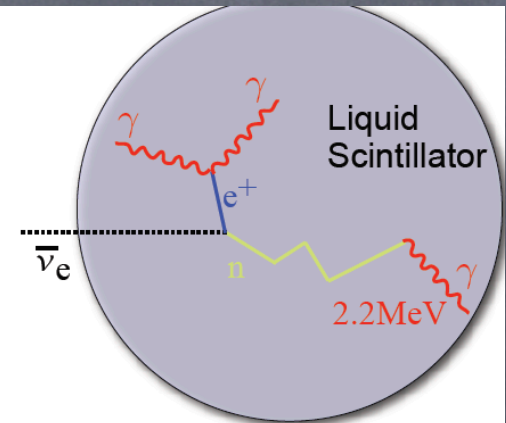
- $\sim 6 \bar{\nu}_e$ / fission
- $\sim 10^{21} \bar{\nu}_e$ /s for a 1 GW_{el} reactor



Inverse beta decay



Scintillator is both target and detector



• Distinct two step process:

- prompt event: positron

$$E_{\bar{\nu}_e} \simeq E_{prompt} + 0.8 \text{ MeV}$$

- delayed event: neutron capture after $\sim 207 \mu\text{s}$
- 2.2 MeV gamma

Delayed coincidence: good background rejection

Detection reaction cross section $\sim 10^{-43} \text{ cm}^2$

\rightarrow typical ν detector masses: many 10 tons - some ktoms

**1ton target @ 25m of 1GW_{el} reactor gives
 ~ 4600 int./day \rightarrow 1% stat within 2 days**

The CHOOZ reactor experiment

- ▶ 2ν approx (Δm^2 , θ):

$$P_{ee} = 1 - \sin^2(2\theta) \sin^2\left(\frac{\Delta m^2 L}{4E}\right)$$

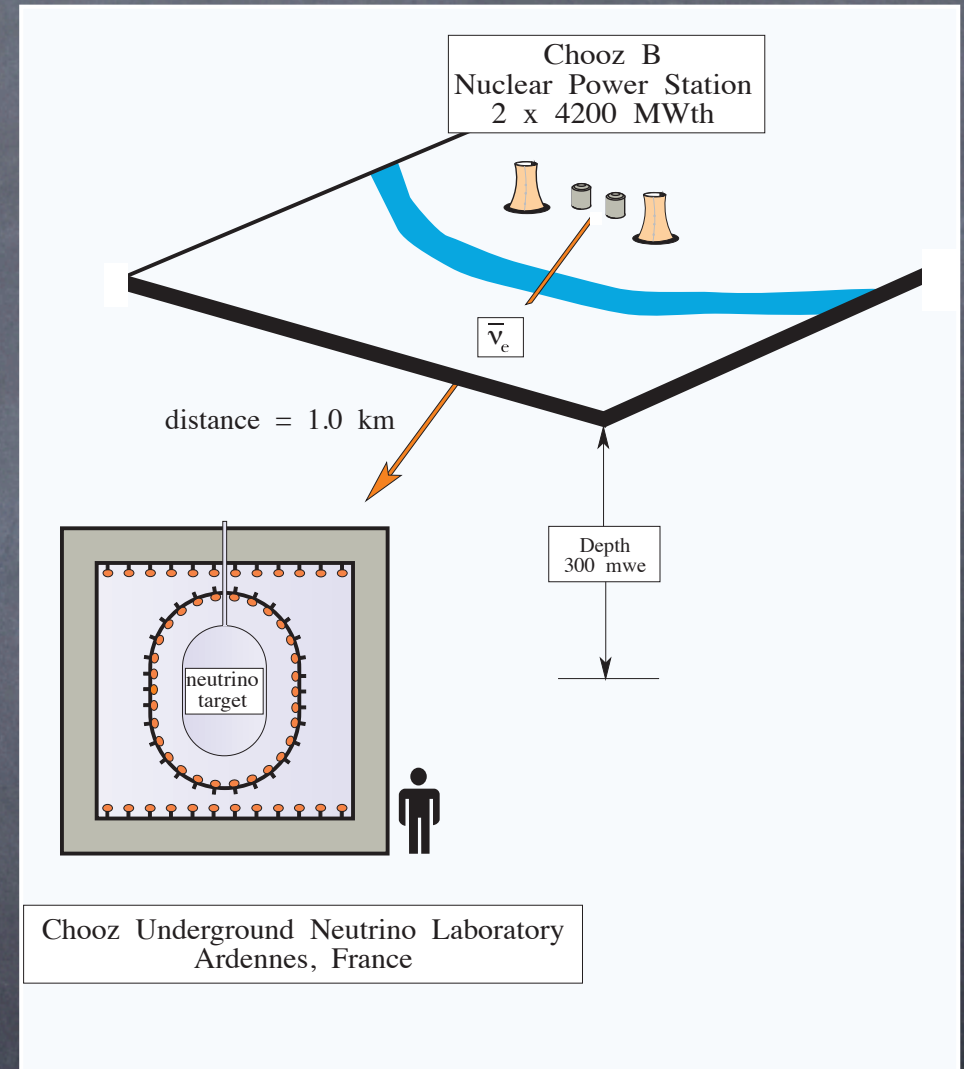
- ▶ $L = 1 \text{ km}$, $E \sim \text{MeV}$

→ sensitive to $\Delta m^2 \sim 10^{-3} \text{ eV}^2$

- ▶ non-observation of ν_e disappearance:

$$R = 1.01 \pm 2.8\%(\text{stat}) \pm 2.7\%(\text{syst})$$

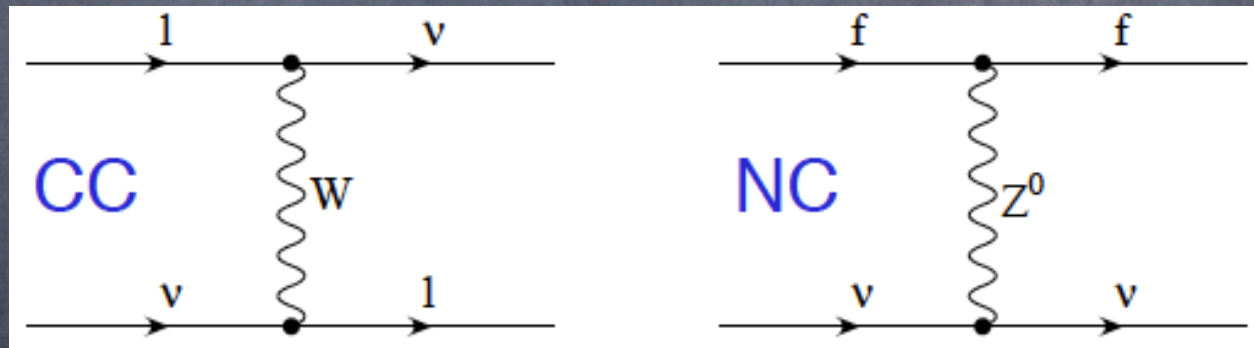
ratio between measured and
expected number of events



Matter effects on neutrino oscillations

▶ When neutrinos pass through matter, the interactions with the particles in the medium induce an **effective potential** for the neutrinos.

[→ the coherent forward scattering amplitude leads to an index of refraction neutrinos. L. Wolfenstein, 1978]



→ modifies the mixing between flavor states and propagation states as well as the eigenvalues of the Hamiltonian, leading to a different oscillation probability wrt vacuum.

Effective matter potential

- ▶ Effective four-fermion interaction Hamiltonian (CC+NC)

$$H_{\text{int}}^{\nu_\alpha} = \frac{G_F}{\sqrt{2}} \bar{\nu}_\alpha \gamma_\mu (1 - \gamma_5) \nu_\alpha \sum_j \bar{f} \gamma^\mu (g_V^{\alpha,f} - g_A^{\alpha,f} \gamma_5) f$$

in ordinary matter: $f=e^-, p, n$

$J_{\text{matt}}^{\mu\alpha}$

To obtain the matter-induced potential we integrate over f-variables:

for a	non-relativistic	medium:	$\langle \bar{f} \gamma^\mu f \rangle = \frac{1}{2} N_f \delta_{\mu,0}$ $\langle f \gamma_5 \gamma^\mu f \rangle = 0$ $N_e = N_p$
	unpolarised		
	neutral		

$$J_{\text{matt}}^{\mu\alpha} = \frac{1}{2} [N_e (g_V^{\alpha,e} + g_V^{\alpha,p}) + N_n g_V^{\alpha,n}]$$

Effective matter potential

$$J_{\text{matt}}^{\mu\alpha} = \frac{1}{2} [N_e (g_V^{\alpha,e} + g_V^{\alpha,p}) + N_n g_V^{\alpha,n}]$$

g_V	e^-	p	n
ν_e	$2 \sin^2 \Theta_W + \frac{1}{2}$	$-2 \sin^2 \Theta_W + \frac{1}{2}$	$-\frac{1}{2}$
$\nu_{\mu,\tau}$	$2 \sin^2 \Theta_W - \frac{1}{2}$	$-2 \sin^2 \Theta_W + \frac{1}{2}$	$-\frac{1}{2}$

$$J_{\text{matt}}^{\mu\alpha} = (N_e - \frac{1}{2}N_n, -\frac{1}{2}N_n, -\frac{1}{2}N_n)$$



$$V_{\text{matt}} = \sqrt{2}G_F \text{diag}(N_e - \frac{1}{2}N_n, -\frac{1}{2}N_n, -\frac{1}{2}N_n)$$

- ▶ only ν_e are sensitive to CC (no μ, τ in ordinary matter)
- ▶ NC has the same effect for all flavours \rightarrow it has no effect on evolution
(however it can be important in presence of sterile neutrinos)
- ▶ for antineutrinos the potential has opposite sign

2-neutrino oscillations in matter

▶ 2-neutrino Hamiltonian in vacuum (mass basis): $H^{\text{vac}} = \begin{pmatrix} E_1 & 0 \\ 0 & E_2 \end{pmatrix}$

▶ In the **flavour basis**, where effective matter potential is diagonal:

$$H_f^{\text{matt}} = H_f^{\text{vac}} + V_{\text{eff}} = \begin{pmatrix} -\frac{\Delta m^2}{4E} \cos 2\theta + V_{CC} & \frac{\Delta m^2}{4E} \sin 2\theta \\ \frac{\Delta m^2}{4E} \sin 2\theta & \frac{\Delta m^2}{4E} \cos 2\theta \end{pmatrix}$$
$$V_{CC} = \sqrt{2}G_F N_e$$

Diagonalizing the Hamiltonian, we identify the mixing angle and mass splitting in matter:

$$H_f^{\text{matt}} = \frac{\Delta M^2}{4E} \begin{pmatrix} -\cos 2\theta_M & \sin 2\theta_M \\ \sin 2\theta_M & \cos 2\theta_M \end{pmatrix}$$

Exercise: calculate θ_M and ΔM^2

In general: $N_e = N_e(x)$, so θ_M and ΔM^2 will be function of x as well

→ however, in some cases analytical solutions can be obtained

2-ν oscillations in constant matter

▶ If N_e is constant (good approximation for oscillations in the Earth crust):

→ θ_M and ΔM^2 are constant as well

→ we can use vacuum expression for oscillation probability, replacing "vacuum" parameters by "matter" parameters:

$$P_{\alpha\beta} = \sin^2(2\theta_M) \sin^2\left(\frac{\Delta M^2 L}{4E}\right)$$

$$\sin^2 2\theta_M = \frac{\sin^2 2\theta}{\sin^2 2\theta + (\cos 2\theta - A)^2} \quad A = \frac{2EV}{\Delta m^2}$$

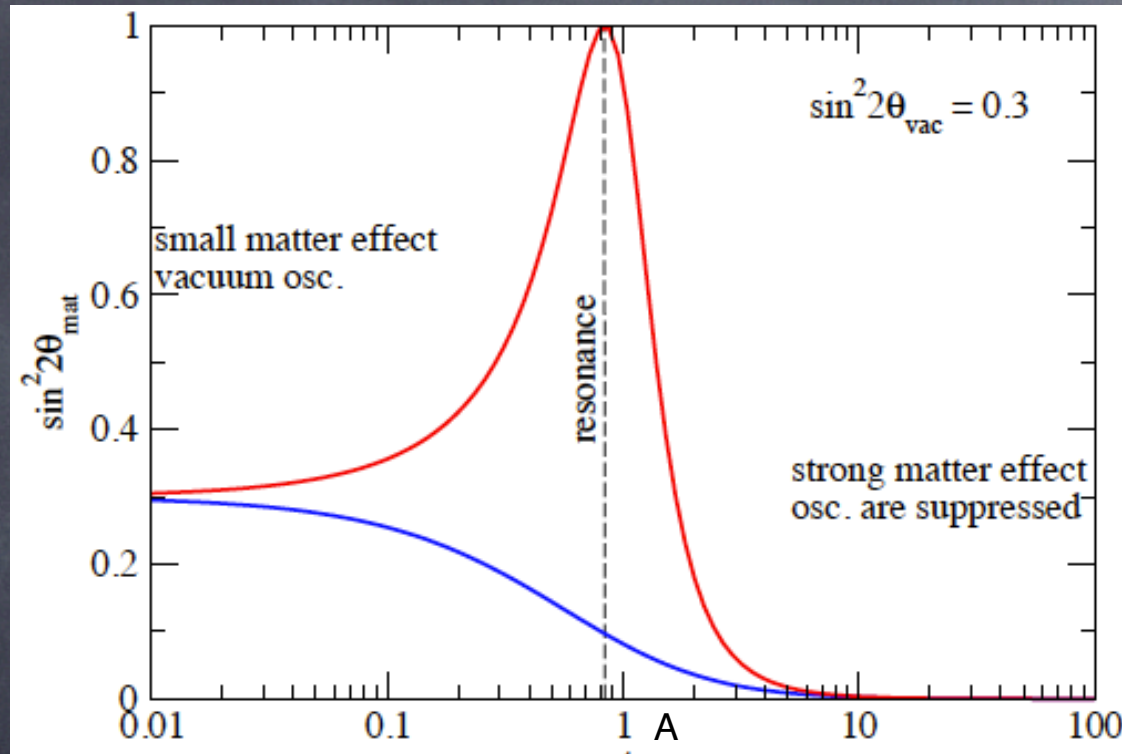
$$\Delta M^2 = \Delta m^2 \sqrt{\sin^2 2\theta + (\cos 2\theta - A)^2}$$

There is a **resonance** effect for $A = \cos 2\theta$ → **MSW effect**

Wolfenstein, 1978

Mikheyev & Smirnov, 1986

2-ν oscillations in constant matter



mixing angle in matter:

$$\sin^2 2\theta_M = \frac{\sin^2 2\theta}{\sin^2 2\theta + (\cos 2\theta - A)^2}$$

$$A = \frac{2EV}{\Delta m^2}$$

- ▶ $A \ll \cos 2\theta$, small matter effect → vacuum oscillations: $\theta_M = \theta$
- ▶ $A \gg \cos 2\theta$, matter effects dominate → oscillations are suppressed: $\theta_M \approx 0$
- ▶ $A = \cos 2\theta$, resonance takes place → maximal mixing $\theta_M \approx \pi/4$

→ **resonance condition** is satisfied for neutrinos for $\Delta m^2 > 0$
for antineutrinos for $\Delta m^2 < 0$

Solar neutrinos: the MSW effect

▶ neutrino oscillations in matter were first discussed by Wolfenstein, Mikheyev and Smirnov (MSW effect)

▶ electron neutrino is born at the center of the Sun as:

$$|\nu_e\rangle = \cos \theta_M |\nu_1^m\rangle + \sin \theta_M |\nu_2^m\rangle$$

→ ν_1^m and ν_2^m evolve adiabatically until the solar surface and propagate in vacuum from the Sun to the Earth:

$$P(\nu_e \rightarrow \nu_e) = P_{e1}^{\text{prod}} P_{1e}^{\text{det}} + P_{e2}^{\text{prod}} P_{2e}^{\text{det}}$$

$$P_{e1}^{\text{prod}} = \cos^2 \theta_M, \quad P_{1e}^{\text{det}} = \cos^2 \theta$$

$$P_{e2}^{\text{prod}} = \sin^2 \theta_M, \quad P_{2e}^{\text{det}} = \sin^2 \theta$$



$$P_{ee} = \cos^2 \theta_M \cos^2 \theta + \sin^2 \theta_M \sin^2 \theta$$

Solar neutrinos: the MSW effect

$$P_{ee} = \cos^2 \theta_M \cos^2 \theta + \sin^2 \theta_M \sin^2 \theta$$

▶ In the center of the Sun:

$$A = \frac{2EV}{\Delta m^2} \simeq 0.2 \left(\frac{E_\nu}{\text{MeV}} \right) \left(\frac{8 \times 10^{-5} \text{eV}^2}{\Delta m^2} \right)$$

Exercise: check this formula: $n_e \sim 100 \text{ mol/cm}^3$

and resonance occurs for $A = \cos(2\theta) = 0.4$

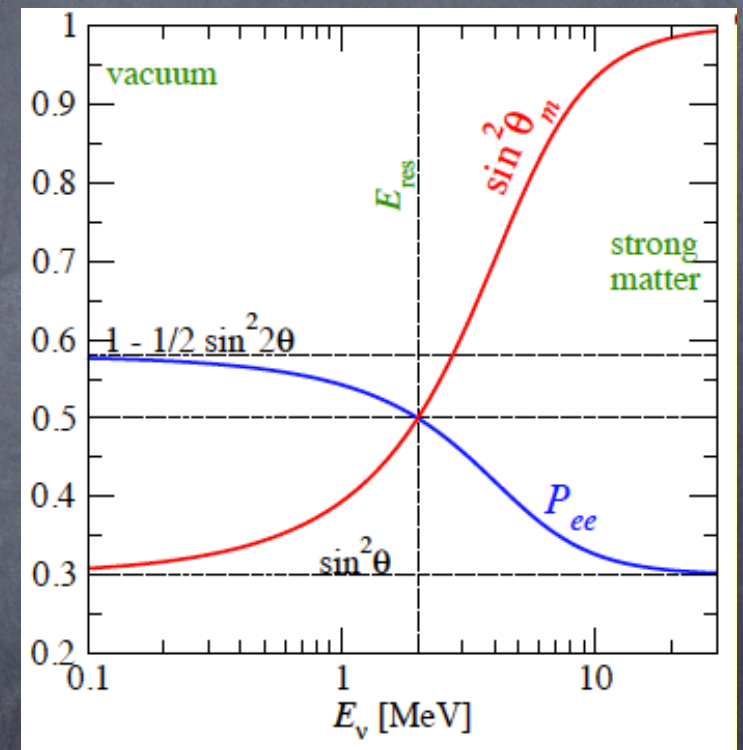
$$\rightarrow E_{\text{res}} \approx 2 \text{ MeV}$$

▶ For $E < 2 \text{ MeV} \rightarrow$ vacuum osc: $\theta_M = \theta$

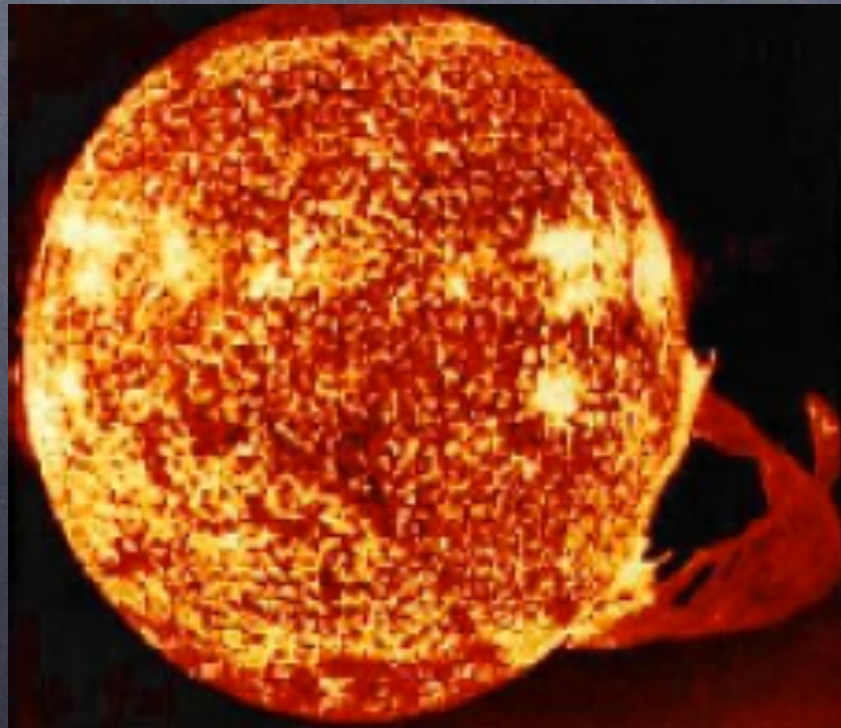
$$P_{ee} = 1 - \frac{1}{2} \sin^2 2\theta$$

▶ For $E > 2 \text{ MeV} \rightarrow$ strong matter effect: $\theta_M = \pi/2$ $P_{ee} = \sin^2 \theta$

$\rightarrow P_{ee}(E)$ will be crucial to understand solar neutrino data



Example for 2-neutrino
oscillations in matter:
solar neutrinos



Solar neutrinos

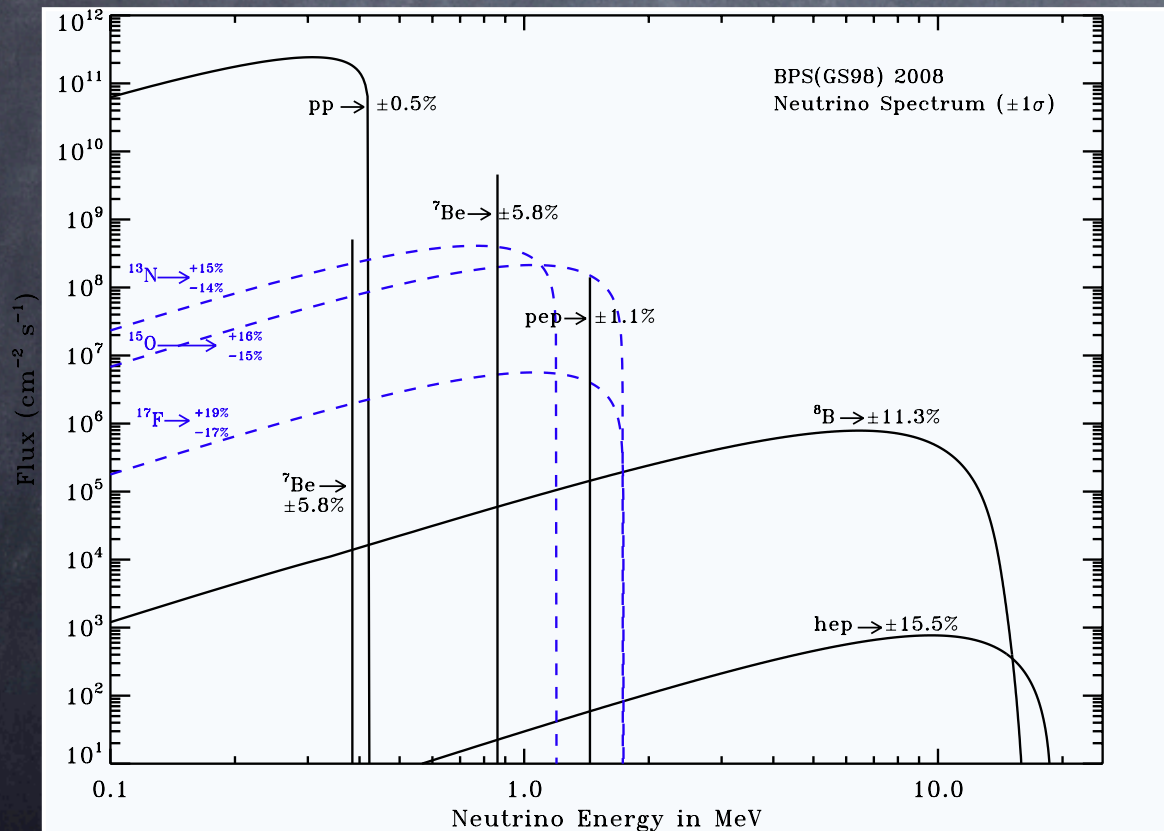
* produced in nuclear reactions in the core of the Sun:



pp cycle

CNO

Reaction	source	Flux ($\text{cm}^{-2}\text{s}^{-1}$)
$p p \rightarrow d e^+ \nu$	pp	$5.97(1 \pm 0.006) \times 10^{10}$
$p e^- p \rightarrow d \nu$	pep	$1.41(1 \pm 0.011) \times 10^8$
${}^3\text{He} p \rightarrow {}^4\text{He} e^+ \nu$	hep	$7.90(1 \pm 0.15) \times 10^3$
${}^7\text{Be} e^- \rightarrow {}^7\text{Li} \nu \gamma$	${}^7\text{Be}$	$5.07(1 \pm 0.06) \times 10^9$
${}^8\text{B} \rightarrow {}^8\text{Be}^* e^+ \nu$	${}^8\text{B}$	$5.94(1 \pm 0.11) \times 10^6$
${}^{13}\text{N} \rightarrow {}^{13}\text{C} e^+ \nu$	${}^{13}\text{N}$	$2.88(1 \pm 0.15) \times 10^8$
${}^{15}\text{O} \rightarrow {}^{15}\text{N} e^+ \nu$	${}^{15}\text{O}$	$2.15(1 \pm \frac{0.17}{0.16}) \times 10^8$
${}^{17}\text{F} \rightarrow {}^{17}\text{O} e^+ \nu$	${}^{17}\text{F}$	$5.82(1 \pm \frac{0.19}{0.17}) \times 10^6$



ν fluxes

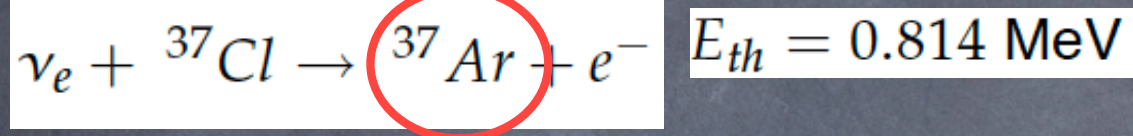
SSM predictions

ν energy spectra

Radiochemical solar experiments

Homestake (Cl) experiment: 1967-2002

- ▶ gold mine in Homestake (South Dakota)
- ▶ 615 tons of perchloro-ethylene (C_2Cl_4)
- ▶ detection process (radiochemical)



- ▶ only 1/3 of SSM prediction detected:

$$R_{Cl}^{SSM} = 8.12 \pm 1.25 \text{ SNU}$$

$$R_{Cl} = 2.56 \pm 0.16 \text{ (stat.)} \pm 0.16 \text{ (syst.) SNU}$$

Gallium radiochemical experiments:

$$R_{SAGE} = 66.9 \pm 3.9 \text{ (stat.)} \pm 3.6 \text{ (syst.) SNU}$$

$$R_{GALLEX/GNO} = 69.3 \pm 4.1 \text{ (stat.)} \pm 3.6 \text{ (syst.) SNU}$$

$$R_{Ga}^{SSM} = 126.2 \pm 8.5 \text{ SNU}$$

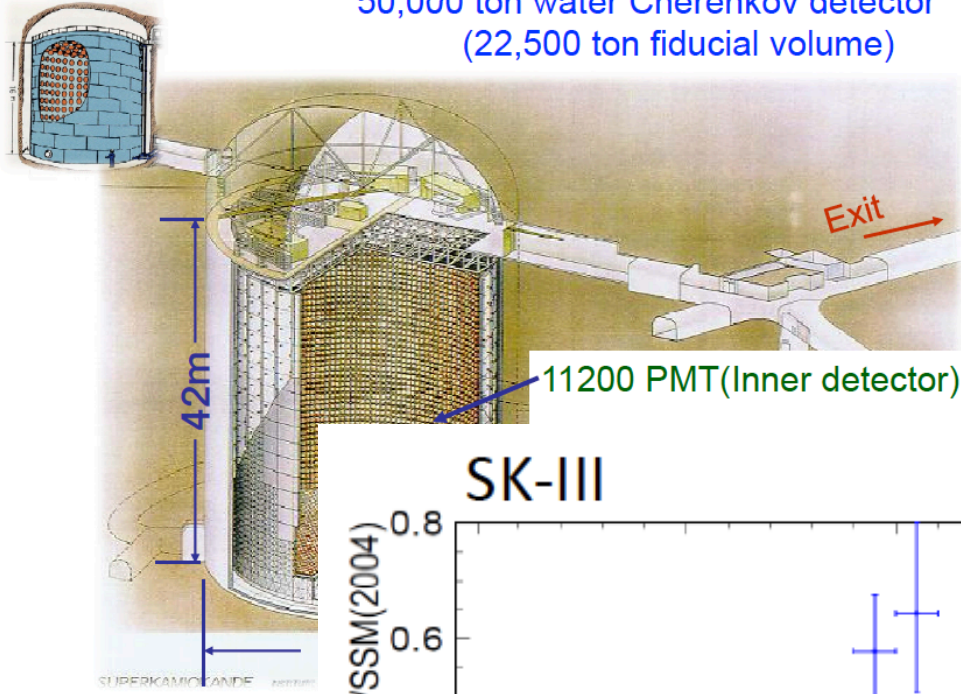
→ 50% deficit



Solar neutrinos in Super-Kamiokande

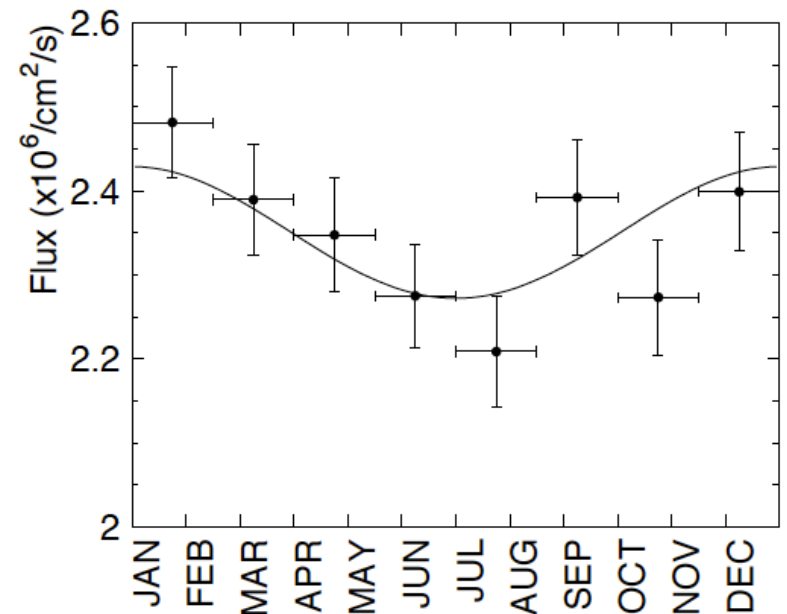
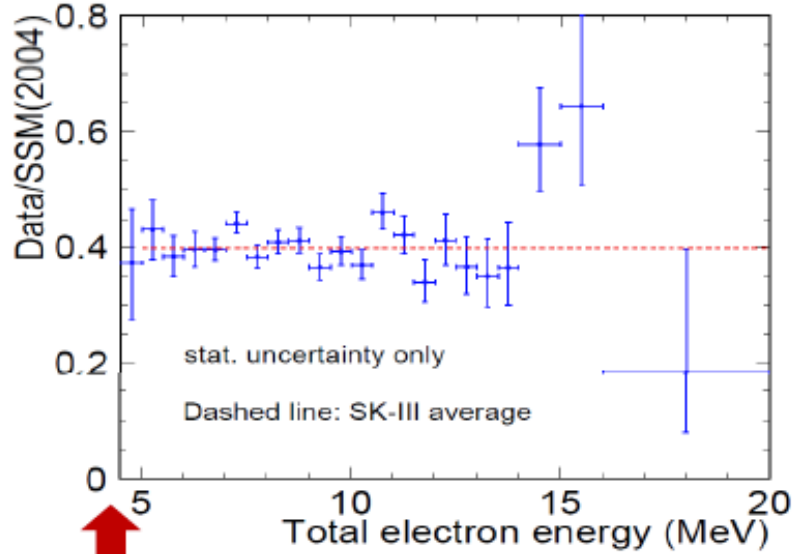
Super-Kamiokande detector

50,000 ton water Cherenkov detector
(22,500 ton fiducial volume)



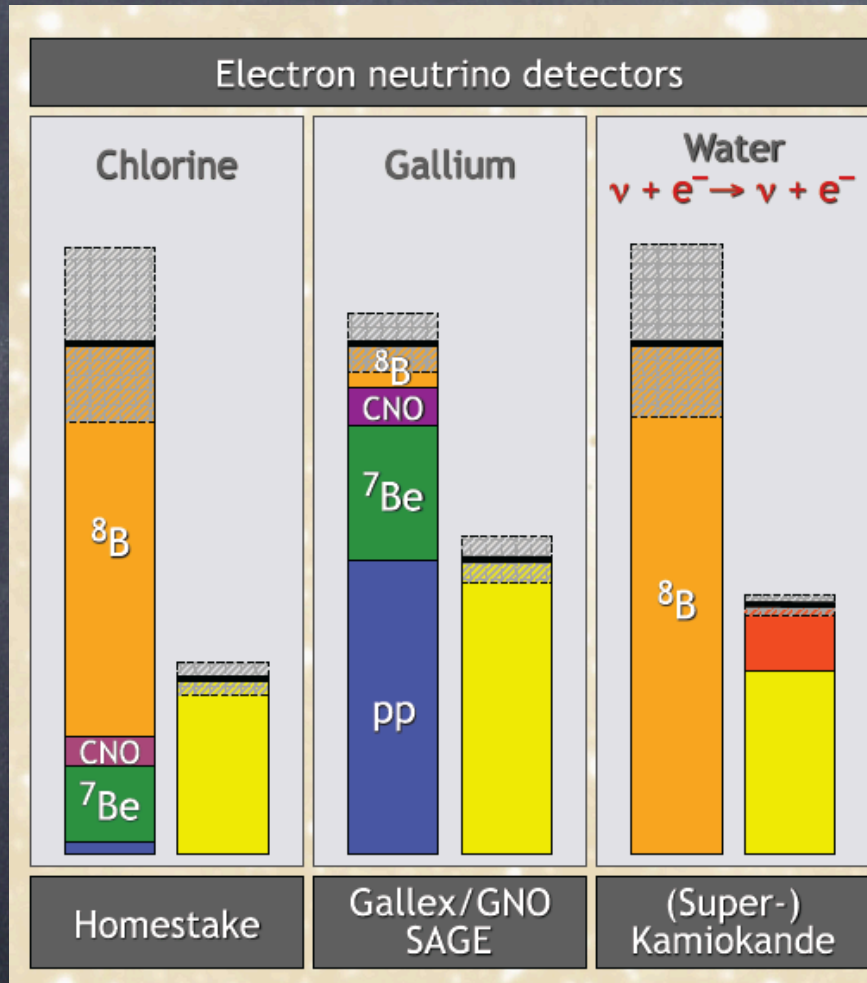
- water cherenkov detector
- sensitive to all neutrino flavors:
 $\nu_x e^- \rightarrow \nu_x e^-$
- threshold energy $\sim 4\text{-}5$ MeV
- real-time detector: (E, t)

SK-III



➔ Super-Kamiokande detects less neutrinos than expected according to the SSM (40%)

The solar neutrino problem



➔ All the experiments detect less neutrinos than expected (30-50%)

Why the deficit observed is different?

▶ different **type of neutrinos** observed

→ radiochemical: ν_e while Super-K: ν_α

▶ different **E-range sensitivity**:

→ Cl: $E > 0.814 \text{ MeV}$

→ Ga: $E > 0.233 \text{ MeV}$

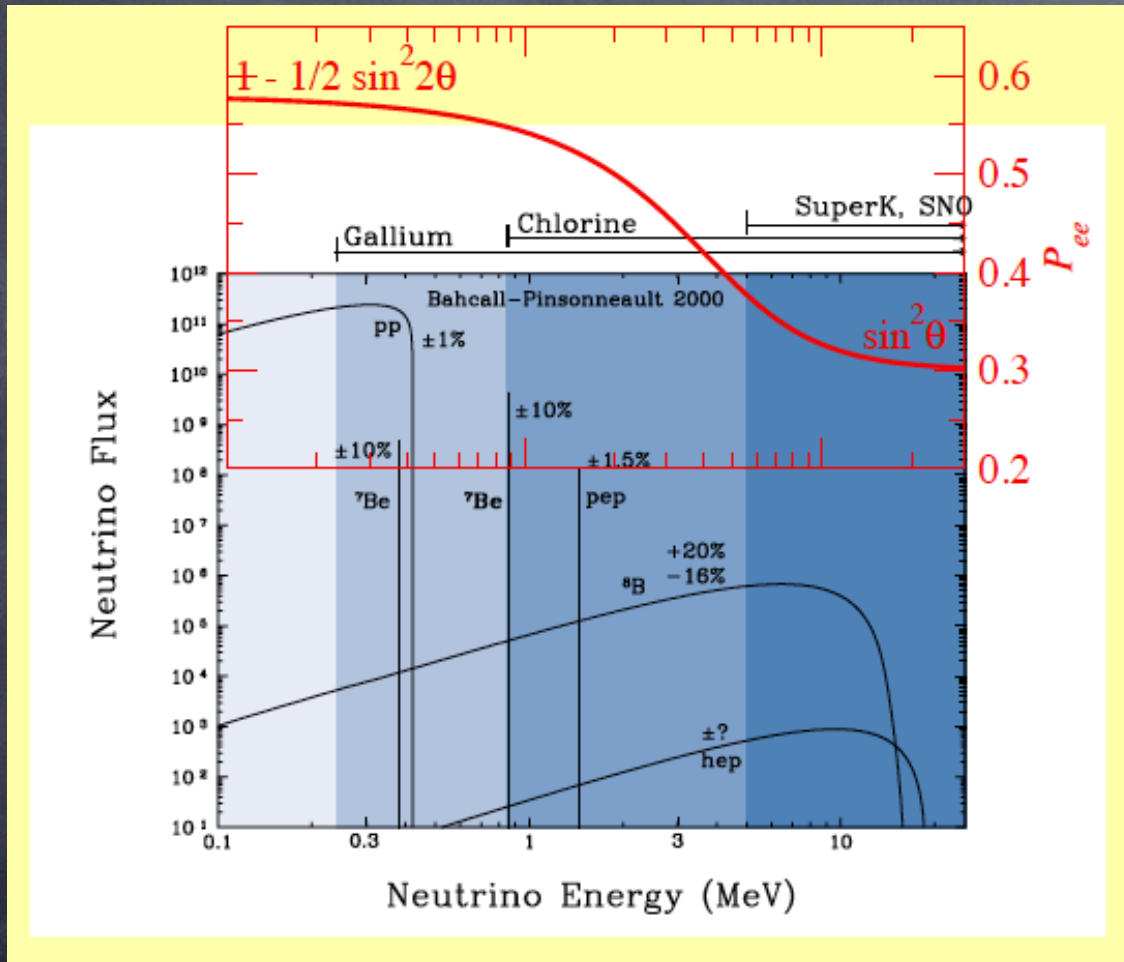
→ Super-K: $E > 5 \text{ MeV}$

~30%

~50%

~40%

Different energy suppression of solar fluxes



- ▶ Ga experiments: pp neutrinos

$$P_{ee} = 1 - \frac{1}{2} \sin^2 2\theta$$

with $\sin^2 2\theta \simeq 0.84$ $P_{ee} > 0.5$

- ▶ Cl + Super-K: ${}^8\text{B}$ neutrinos

$$P_{ee} = \sin^2 \theta$$

→ $P_{ee} \sim 0.3$

→ stronger neutrino deficit is expected

The Sudbury Neutrino Observatory, SNO

The Sudbury Neutrino Observatory

6000 m.w.e. overburden

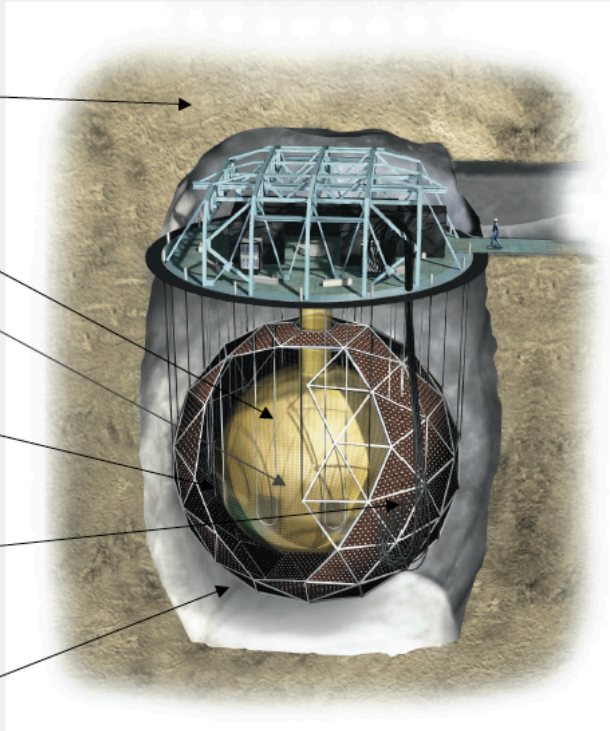
1000 tons D₂O

12 m Diameter Acrylic Vessel

1700 tons Inner Shield H₂O

Support Structure 9500 PMTs, 60% coverage

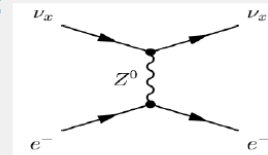
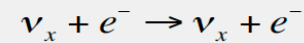
5300 tons Outer Shield H₂O



SNO is sensitive to all ν flavors:

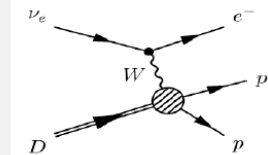
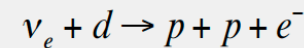
SNO interactions

Elastic-scattering (ES):



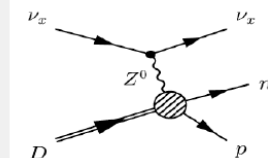
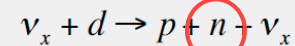
ν_e mainly strong directional sensitivity

Charged-currents (CC):



ν_e only E_e well correlated with E_ν

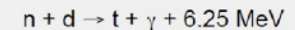
Neutral-currents (NC):



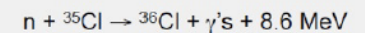
All flavors equally Total neutrino flux

3 phases:

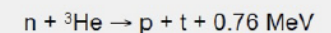
D₂O phase:



Salt phase (D₂O + 2 tons of NaCl):



NCD phase (³He proportional counters):



ν_e flux (CC):

$$\frac{\phi_{\text{CC}}^{\text{SNO}}}{\phi_{\text{NC}}^{\text{SNO}}} = 0.301 \pm 0.033$$

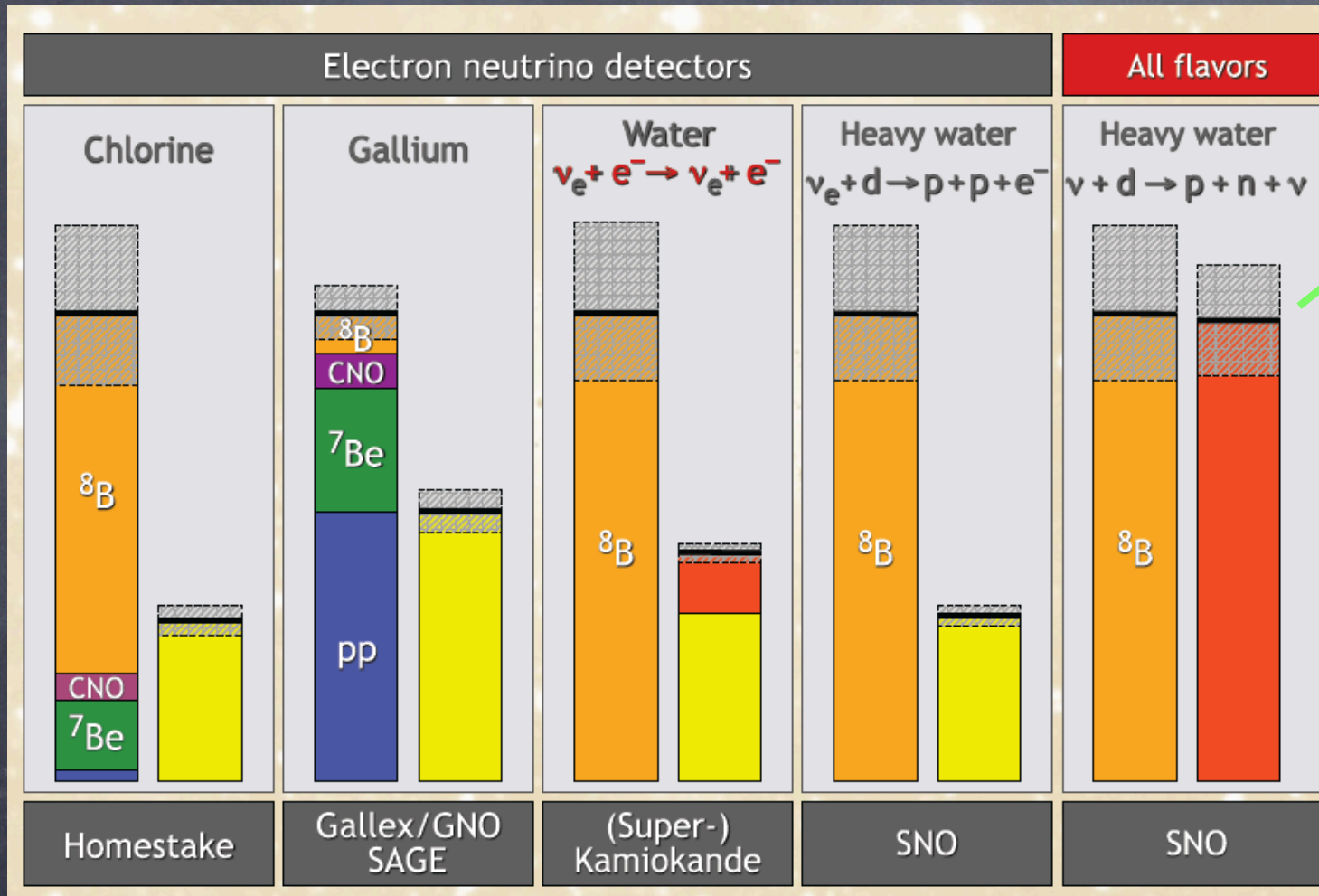
30%

total ν flux (NC):

$$\phi_{\text{NC}}^{\text{SNO}} = 5.54_{-0.31}^{+0.33} (\text{stat})_{-0.34}^{+0.36} (\text{syst})$$

100% !!

The solar neutrino ~~problem~~



All neutrinos are there!!

The Sun produces ν_e that arrive to the Earth as $1/3 \nu_e + 1/3 \nu_\mu + 1/3 \nu_\tau$

→ flavor conversion: $\nu_e \rightarrow \nu_x$

Conversion mechanism ?
Neutrino oscillations ??

Solar neutrino oscillations

Homestake $(E_\nu > 0.814 \text{ MeV})$
 $\nu_e + {}^{37}\text{Cl} \rightarrow {}^{37}\text{Ar} + e^-$

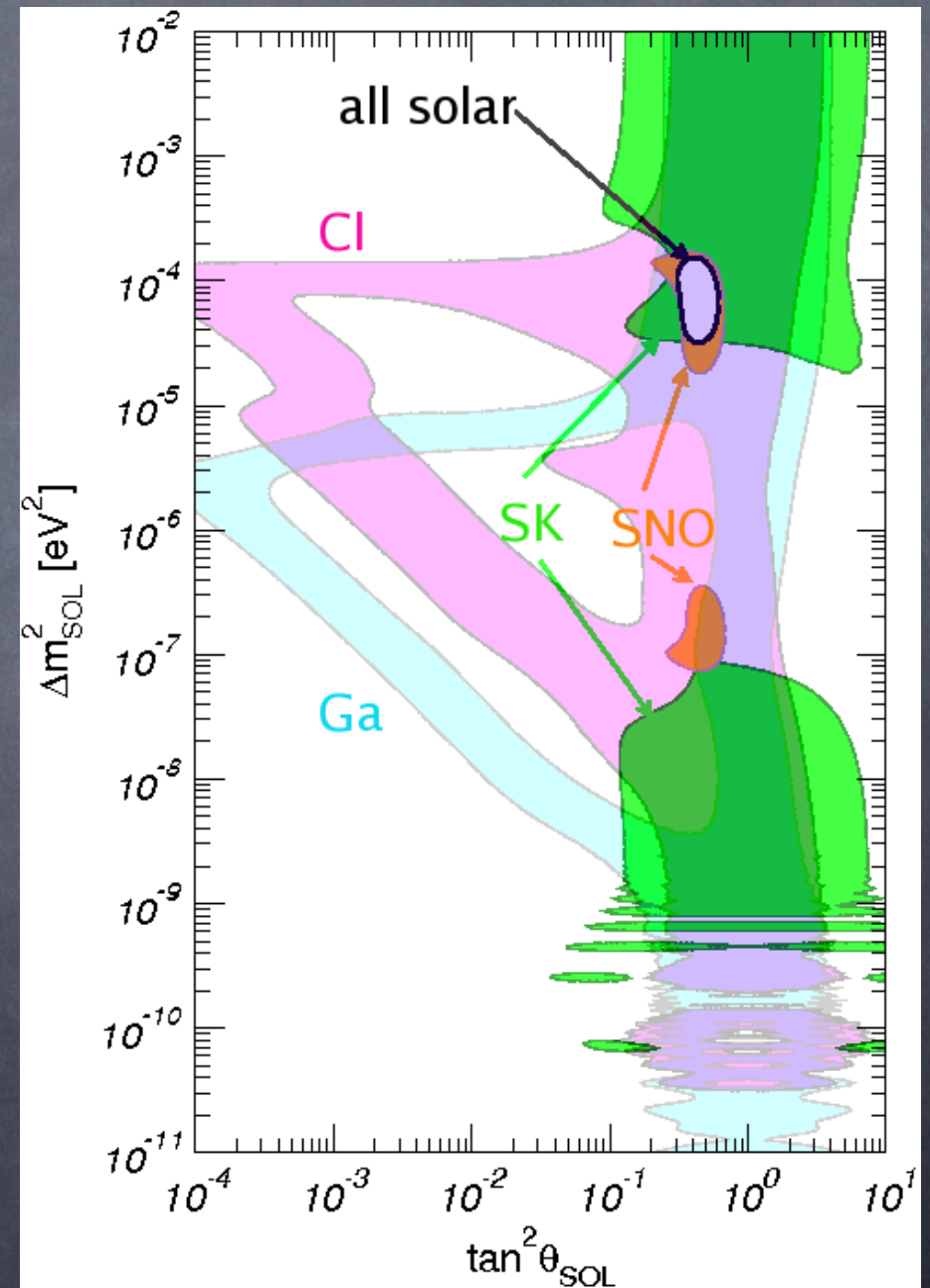
SAGE/GALLEX-GNO $(E_\nu > 0.233 \text{ MeV})$
 $\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^-$

Super-Kamiokande $(E_e \geq 5 \text{ MeV})$
 $\nu_x + e^- \rightarrow \nu_x + e^-$

SNO $(E_e \geq 5 \text{ MeV})$
[CC] $\nu_e + d \rightarrow p + p + e^-$
[NC] $\nu_x + d \rightarrow \nu_x + n + p$
[ES] $\nu_x + e^- \rightarrow \nu_x + e^-$

→ only **LMA** allowed at 3σ

→ max. mixing excluded at 5σ



The KamLAND reactor experiment

Kamioka Liquid scintillator Anti-Neutrino Detector

* reactor experiment:



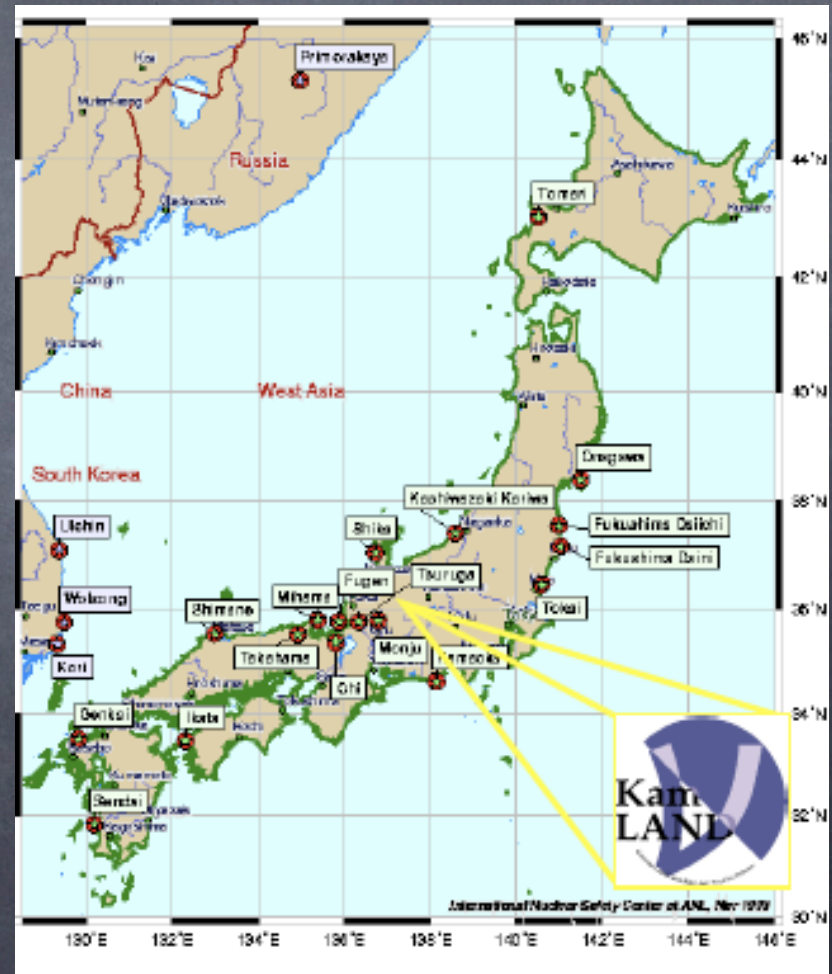
* 55 commercial power reactors

* average distance ~ 180 km

→ E_ν/L sensitivity range: $\Delta m^2 \sim 10^{-5} \text{ eV}^2$

→ correct order of magnitude to test solar neutrino oscillations in LMA region

* CPT invariance: same oscillation channel as solar ν_e ($\Delta m^2_{21}, \theta_{12}$)



Results from KamLAND

2002: First evidence $\bar{\nu}_e$ disappearance
 → confirmation of solar LMA ν oscillations

KamLAND Coll, PRL 90 (2003) 021802

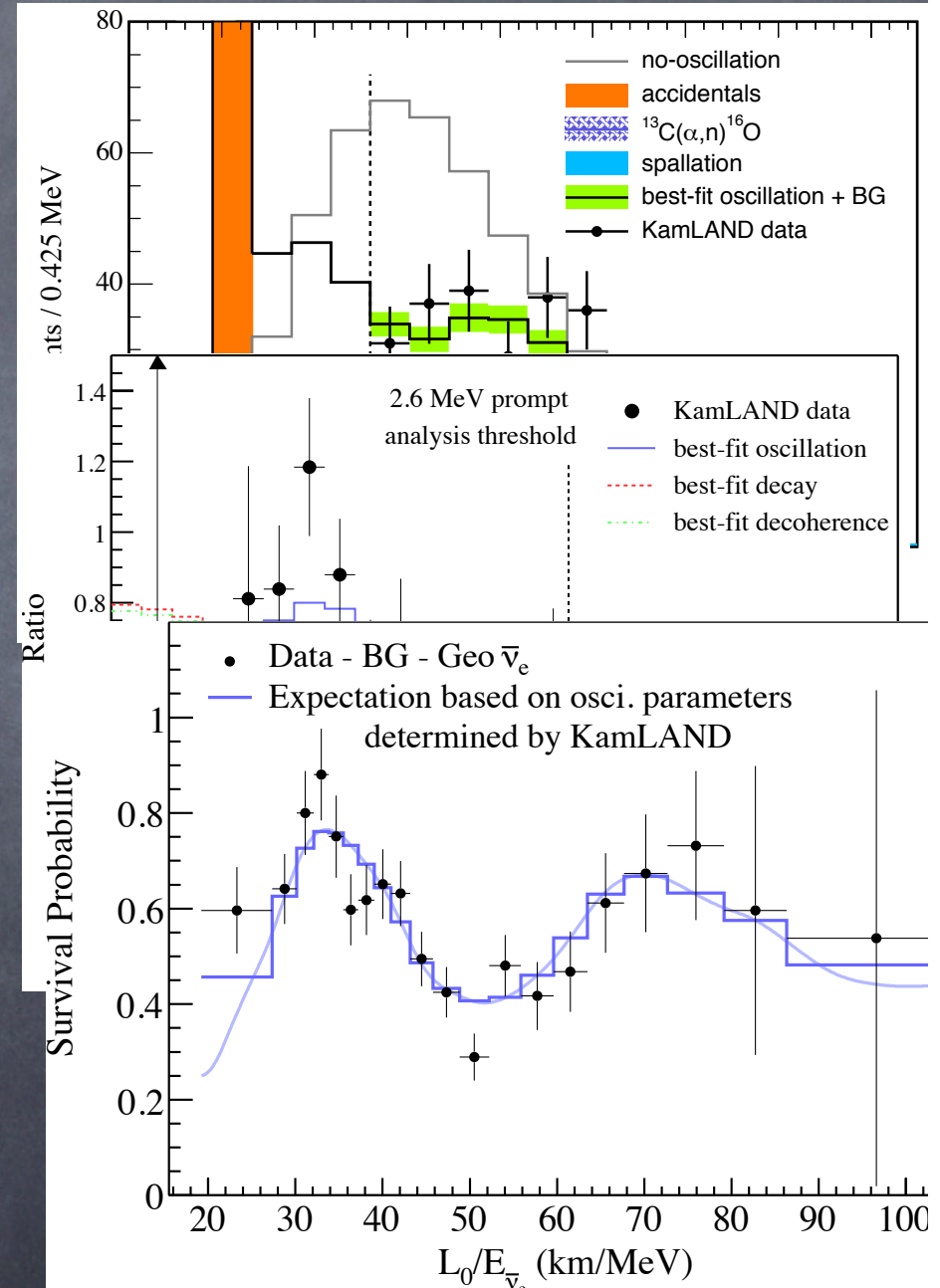
2004: spectral distortions (L/E)

KamLAND Coll, PRL 94 (2005) 081801

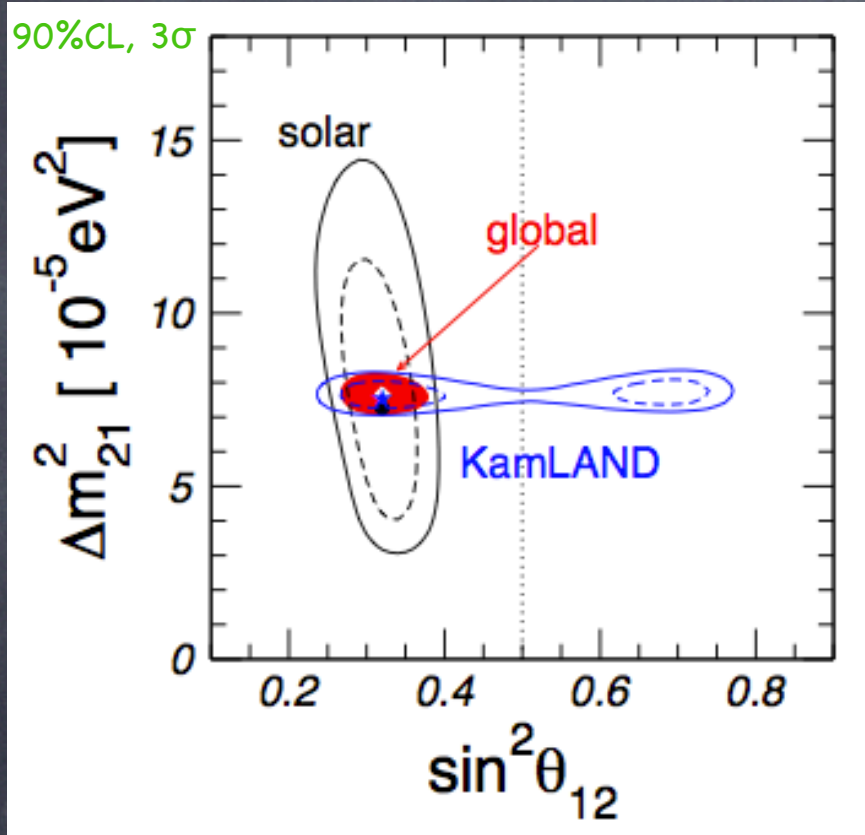
2008: 1-period oscillations observed

→ high precision determination Δm^2_{21}

KamLAND Coll, PRL 100 (2008) 221803



Combined analysis solar + KamLAND



* KamLAND confirms solar neutrino oscillations.

* Best fit point:
 $\sin^2 \theta_{12} = 0.320^{+0.016}_{-0.017}$
 $\Delta m^2_{21} = 7.62 \pm 0.19 \times 10^{-5} \text{eV}^2$

* max. mixing excluded at more than 7σ

Forero, M.T., Valle, PRD86, 073012 (2012)
arXiv:1205.4018 [hep-ph]

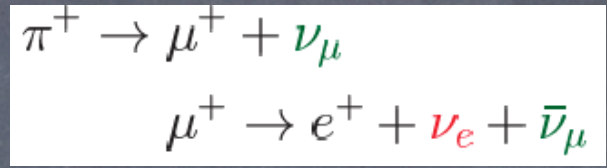
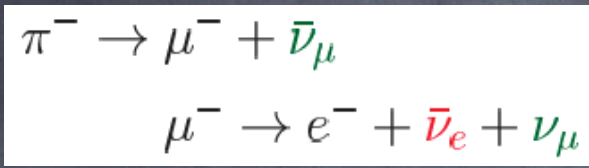
➔ Bound on θ_{12} dominated by solar data.

➔ Bound on Δm^2_{21} dominated by KamLAND.

The atmospheric neutrino sector

The atmospheric neutrino anomaly

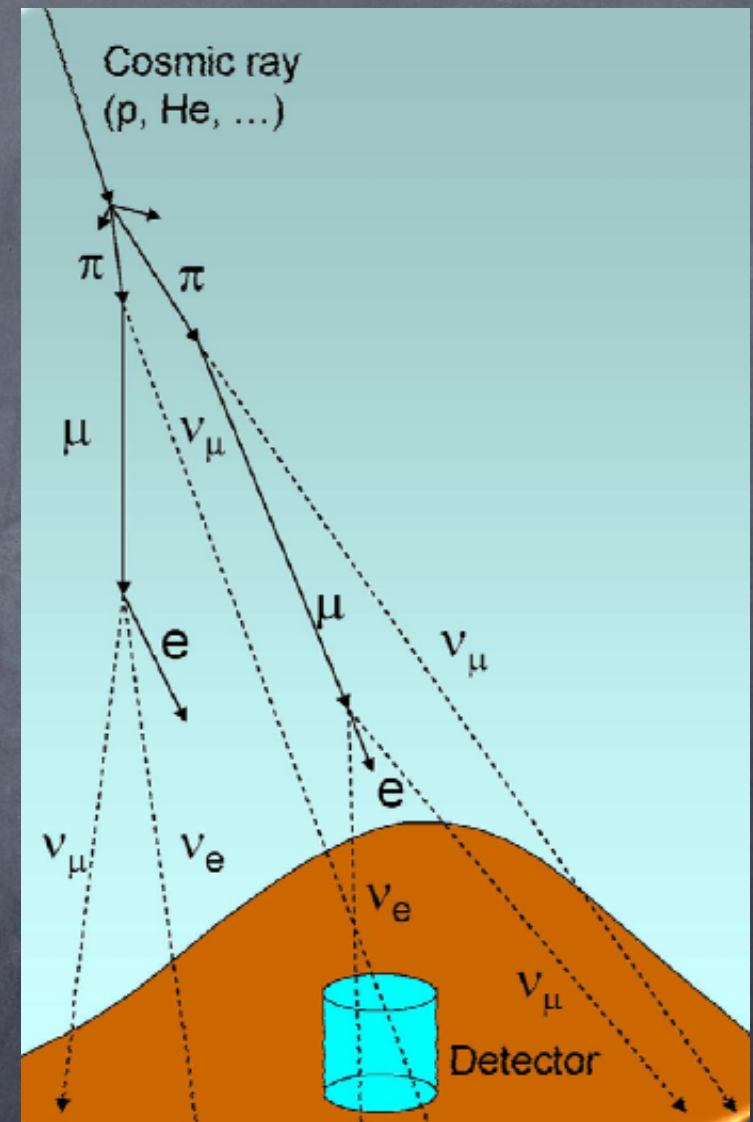
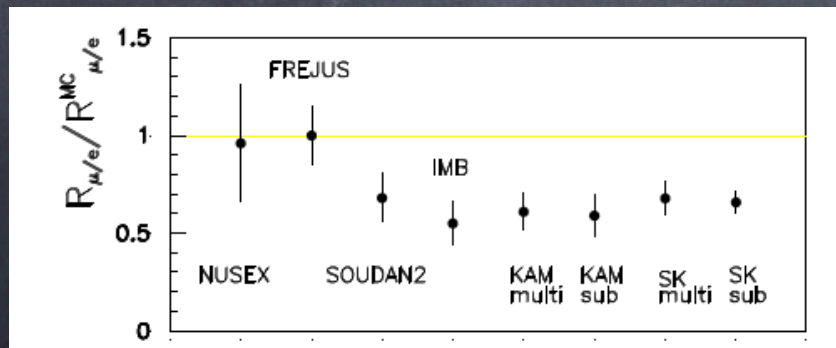
Cosmic rays interacting with the Earth atmosphere producing pions and kaons, that decay generating neutrinos:



then, one expects:

$$R_{\mu/e} = \frac{N_{\nu_\mu} + N_{\bar{\nu}_\mu}}{N_{\nu_e} + N_{\bar{\nu}_e}} \simeq 2$$

However, this prediction is in disagreement with the experimental results:

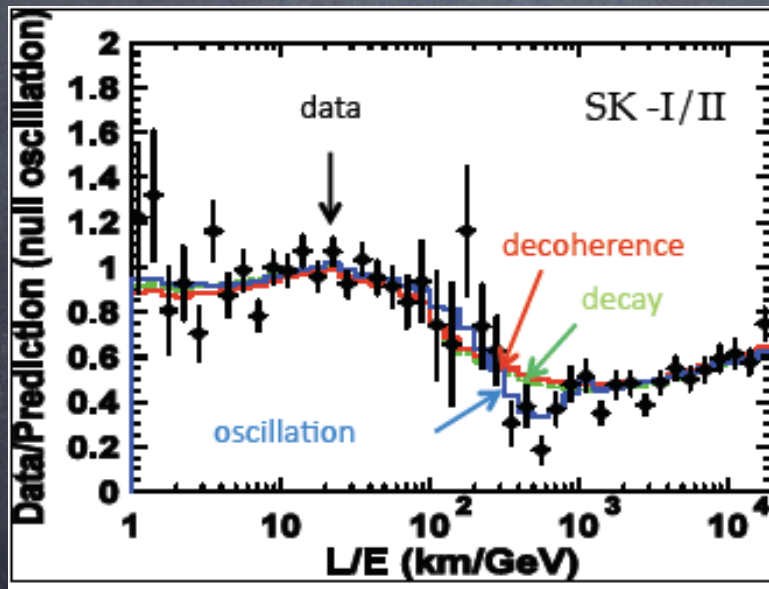


Atmospheric neutrinos

1998: Evidence ν_μ oscillations at Super-K

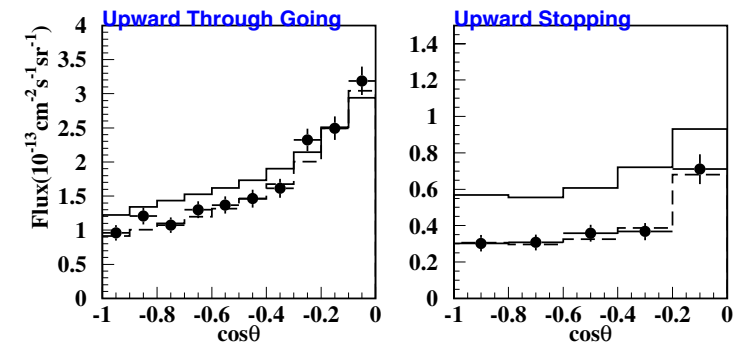
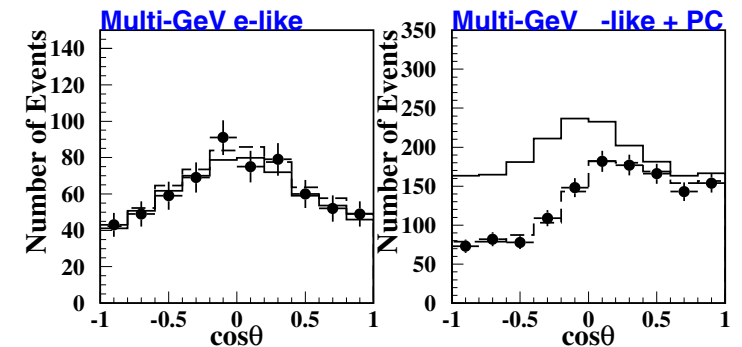
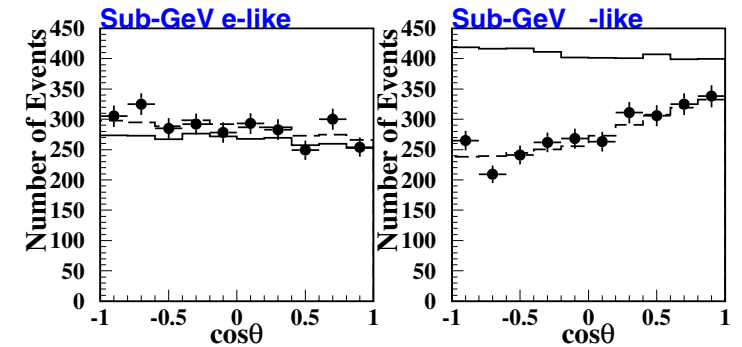
oscillation channel $\nu_\mu \rightarrow \nu_\tau$

2004: oscillatory L/E pattern



Super-K Coll, PRL93, 101801 (2004)

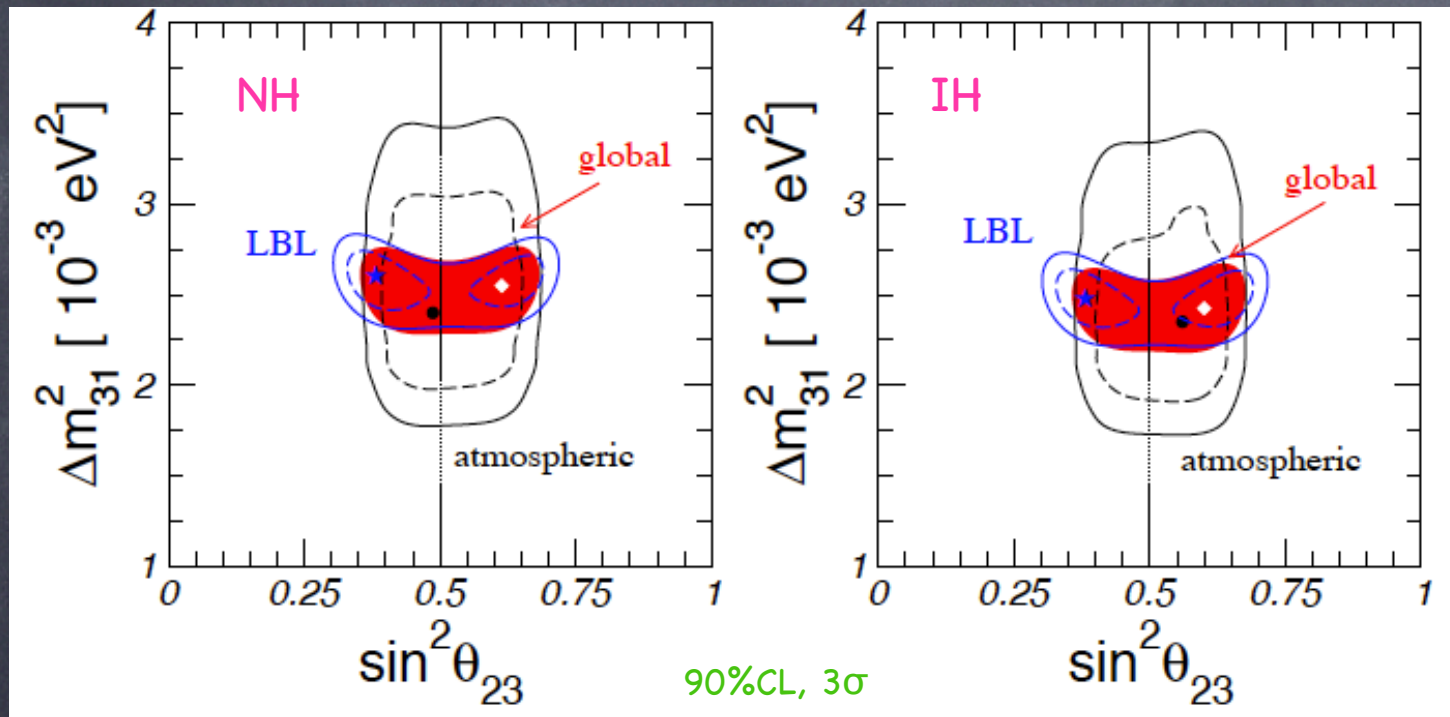
$$P_{\mu\mu} = 1 - \sin^2 2\theta_{23} \sin^2 \left(\frac{\Delta m_{32}^2 L}{4 E_\nu} \right)$$



Super-K Coll., PRL 8 (1998) 1562.

Combined analysis atmospheric + LBL data

→ Super-Kamiokande (I + II + III) + K2K + MINOS + T2K LBL data



→ Determination of θ_{23} and Δm^2_{31} is now dominated by LBL data

Forero, M.T., Valle, 2012

* Best fit point:

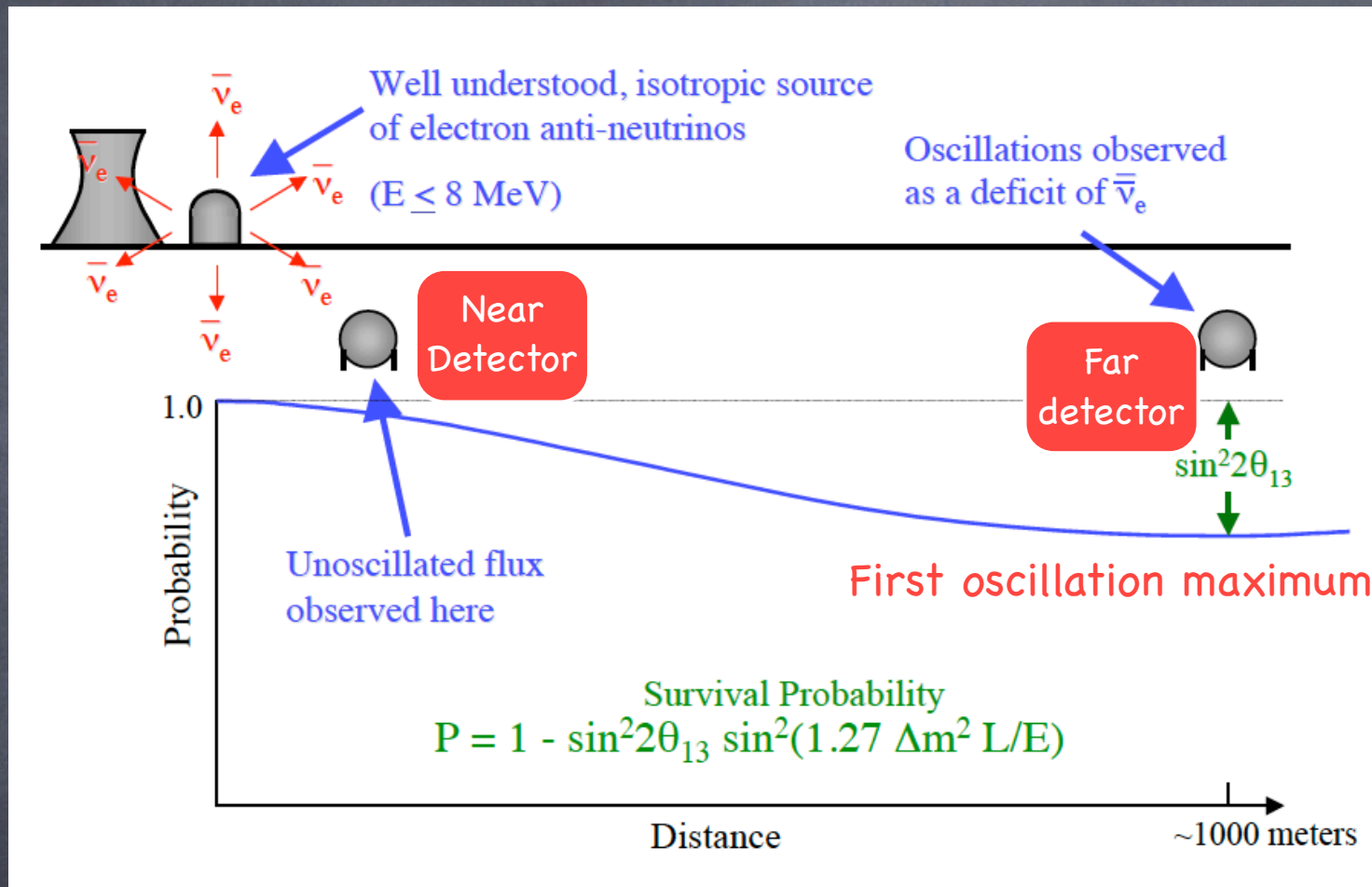
$$\sin^2\theta_{23} = 0.613^{+0.022}_{-0.040} \quad \text{*local bf in 0.427} \quad \sin^2\theta_{23} = 0.600^{+0.026}_{-0.031}$$

$$\Delta m^2_{31} = 2.55^{+0.06}_{-0.08} \times 10^{-3} \text{ eV}^2$$

$$\Delta m^2_{31} = -(2.43^{+0.07}_{-0.06} \times 10^{-3}) \text{ eV}^2$$

Short-baseline reactor experiments

New generation of reactor experiments



- * more powerful reactors (multi-core)
- * larger detector volume
- * 2-6 detectors at 100 m - 1 km.

New generation reactor experiments



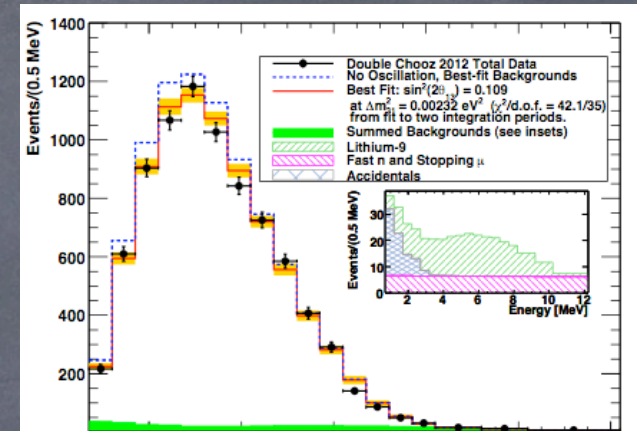
2 reactor cores + 1 FD (ND 2013)

livetime: 227.9 days

$$\sin^2 2\theta_{13} = 0.109 \pm 0.030 \text{ (stat)} \pm 0.025 \text{ (syst)}$$

→ $\sin^2 2\theta_{13} = 0$ excluded at 2.9σ

Double Chooz Coll, PRD 86 (2012) 052008



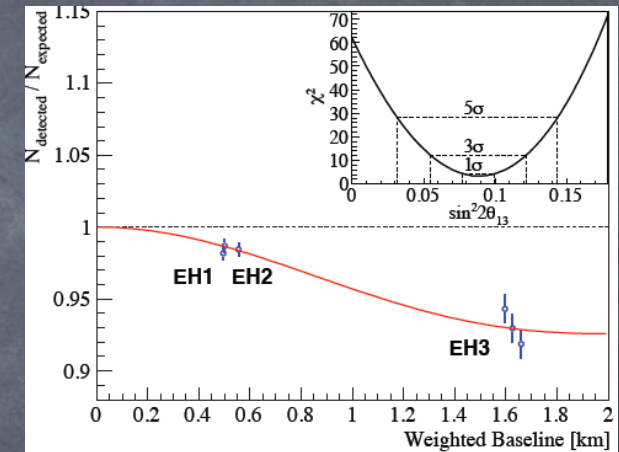
6 reactor cores + 6 detectors (3ND,3FD)

livetime: 139 days

$$\sin^2 2\theta_{13} = 0.089 \pm 0.010 \text{ (stat)} \pm 0.005 \text{ (syst)}$$

→ $\sin^2 2\theta_{13} = 0$ excluded at 7.7σ

Daya Bay Coll., Chin. Phys. C 37 (2013) 011001



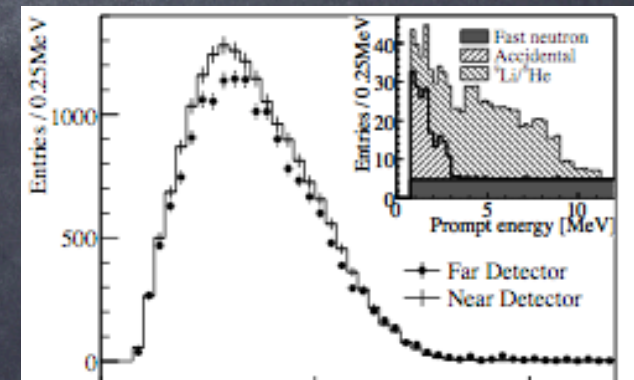
6 reactor cores + 2 detectors (ND,FD)

livetime: 229 days

$$\sin^2 2\theta_{13} = 0.113 \pm 0.013 \text{ (stat)} \pm 0.019 \text{ (syst)}$$

→ $\theta_{13}=0$ excluded at 4.9σ

RENO Coll., PRL 108 (2012) 191802



3-neutrino probabilities in vacuum

$$P(\nu_\alpha \rightarrow \nu_\beta) = \delta_{\alpha\beta} - 4 \sum_{i>j} \text{Re}(U_{\alpha i}^* U_{\alpha j} U_{\beta i} U_{\beta j}^*) \sin^2 \left(\frac{\Delta m_{ij}^2 L}{4E} \right) + 2 \sum_{i>j} \text{Im}(U_{\alpha i}^* U_{\alpha j} U_{\beta i} U_{\beta j}^*) \sin \left(\frac{\Delta m_{ij}^2 L}{2E} \right)$$

ν_e disappearance channel

$$P(\nu_e \rightarrow \nu_e) = 1 - 4|U_{e3}|^2|U_{e1}|^2 \sin^2 \Delta_{31} - 4|U_{e3}|^2|U_{e2}|^2 \sin^2 \Delta_{32} - 4|U_{e2}|^2|U_{e1}|^2 \sin^2 \Delta_{21}$$

$$\Delta_{ij} = \frac{\Delta m_{ij}^2 L}{4E}$$

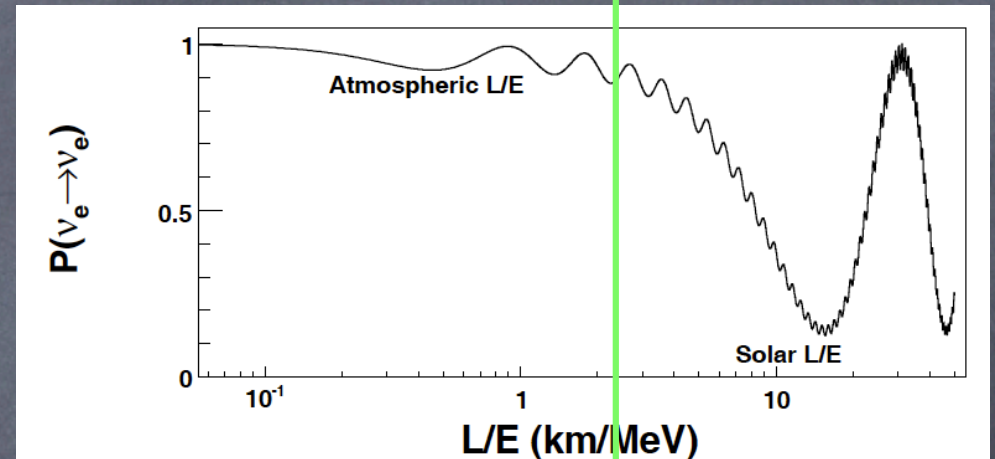
$$P_{ee}^{\text{reactor}} \approx 1 - \sin^2 2\theta_{13} \sin^2 \Delta_{31} - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \Delta_{21}$$



reactor exp with atm L/E
(CHOOZ)



reactor exp with solar L/E
(KamLAND)



$\nu_\mu \rightarrow \nu_e$ appearance channel

$$P_{\mu e} = \left| 2U_{\mu 3}^* U_{e 3} \sin \Delta_{31} e^{-i\Delta_{32}} + 2U_{\mu 2}^* U_{e 2} \sin \Delta_{21} \right|^2 =$$

$$\approx \sin^2 \theta_{23} \sin^2 2\theta_{13} \sin^2 \Delta_{31} + \cos^2 \theta_{23} \cos^2 \theta_{13} \sin^2 2\theta_{12} \sin^2 \Delta_{21} +$$

$$+ \sin 2\theta_{13} \sin 2\theta_{23} \sin 2\theta_{12} \cos \theta_{13} \sin \Delta_{31} \sin \Delta_{21} \cos(\Delta_{32} + \delta)$$

CP violating term: only present
for appearance probabilities $\alpha \neq \beta$.
Genuine 3-flavor effect

for antineutrinos: $\delta \rightarrow -\delta$

$$\Delta P = P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = -2 \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \cos \theta_{13} \sin \Delta_{21} \sin \Delta_{31} \sin \Delta_{32} \sin \delta$$

3-neutrino masses and mixings

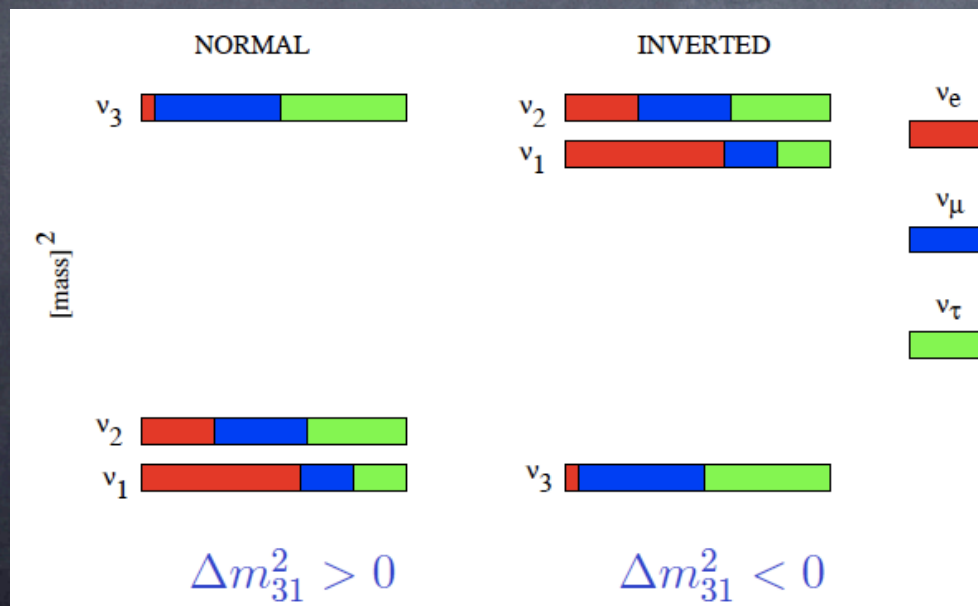
▶ 3-neutrino mixing is described by 3 angles and 1 Dirac (+2 Majorana) CP violating phases.

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{-i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

atmospheric + LBL
measurements

reactor disapp + LBL
appearance searches

solar + KamLAND
measurements



Δm_{31}^2 : atmospheric +
long-baseline

Δm_{21}^2 : solar + KamLAND

3-neutrino masses and mixings

▶ 3-neutrino mixing is described by 3 angles and 1 Dirac (+2 Majorana) CP violating phases.

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{-i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

atmospheric + LBL
measurements

reactor disapp + LBL
appearance searches

solar + KamLAND
measurements

▶ From the experimental data we know:

$$\Delta m_{31}^2 \gg \Delta m_{21}^2 \quad \text{and} \quad \theta_{13} \ll 1$$

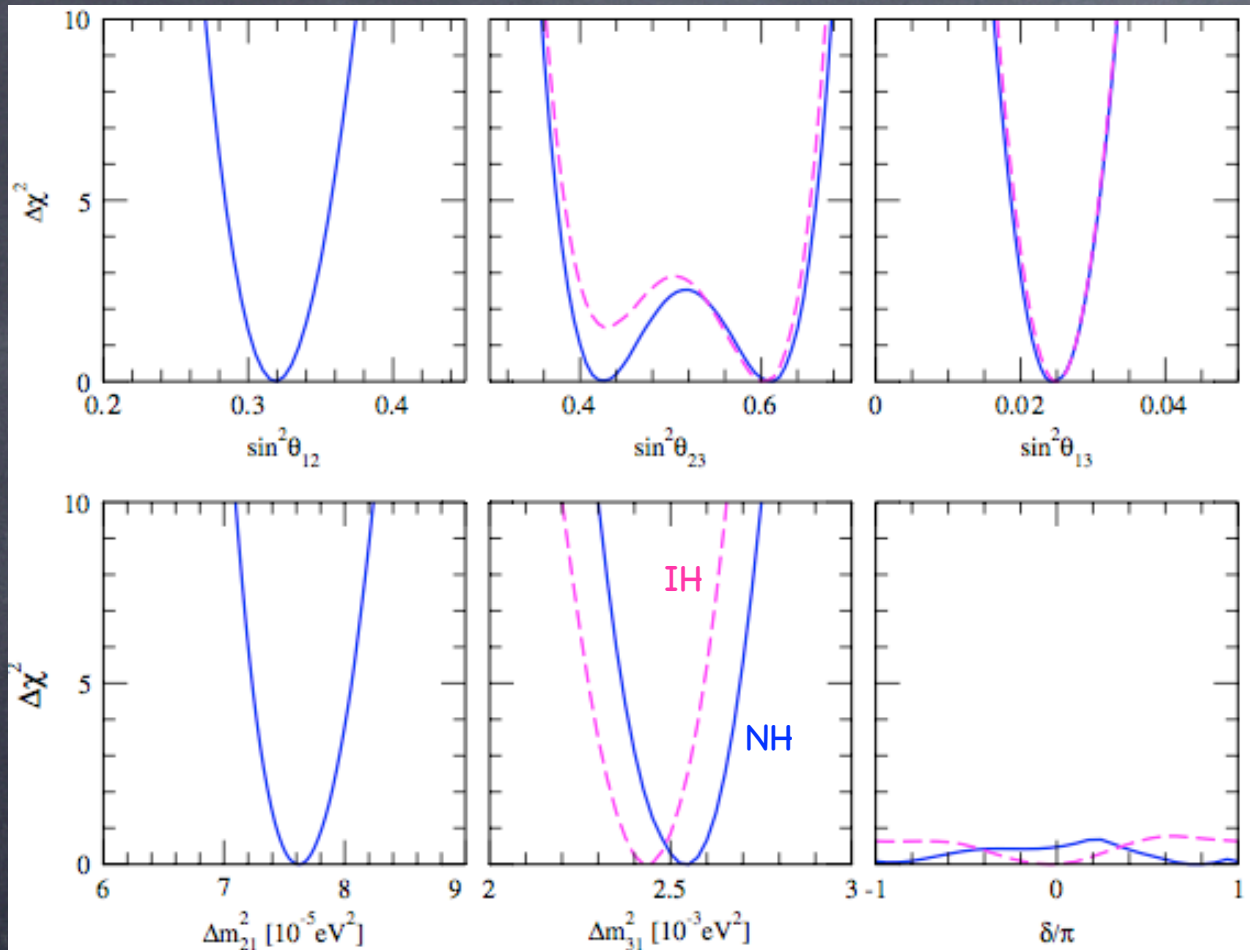
→ 3-flavour effects are suppressed: dominant oscillations are well described by effective 2-flavour oscillations

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2 2\theta \sin^2 \left(\frac{\Delta m^2 L}{4E} \right)$$

Three-neutrino effects

- ▶ θ_{13} effects in oscillations with Δm^2_{21} : KamLAND + solar neutrinos
- ▶ θ_{13} effects in oscillations with Δm^2_{31} : atmospheric and accelerator experiments
 - ν_e appearance in ν_μ beam
- ▶ Δm^2_{21} effects in oscillations with Δm^2_{31} : reactor and atmospheric experiments
- ▶ effects of CP violation: atmospheric and accelerator experiments

3-flavour oscillation parameters



parameter	best fit $\pm 1\sigma$	
$\Delta m_{21}^2 [10^{-5} \text{eV}^2]$	7.62 ± 0.19	3%
$\Delta m_{31}^2 [10^{-3} \text{eV}^2]$	$2.55^{+0.06}_{-0.09}$ $-(2.43^{+0.07}_{-0.06})$	3%
$\sin^2 \theta_{12}$	$0.320^{+0.016}_{-0.017}$	5%
$\sin^2 \theta_{23}$	$0.613^{+0.022}_{-0.040}$ $(0.427^{+0.034}_{-0.027})$ $0.600^{+0.026}_{-0.031}$	10%
$\sin^2 \theta_{13}$	$0.0246^{+0.0029}_{-0.0028}$ $0.0250^{+0.0026}_{-0.0027}$	15%
δ	0.80π -0.03π	

- Deviations from 2-3 maximal mixing, $\theta_{23} > 45^\circ$ preferred for IH.
- Poor sensitivity to δ_{CP}
- No indication for correct mass ordering