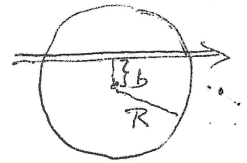
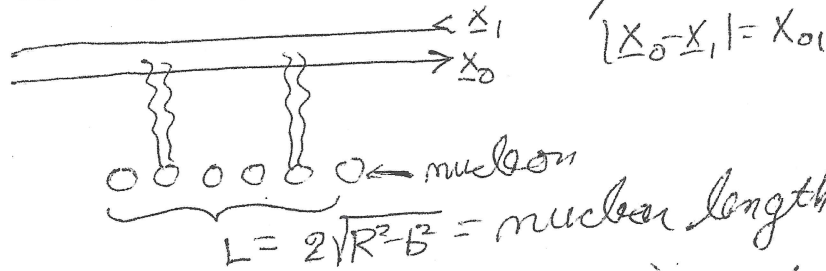


# Small-x and Heavy Ion Physics

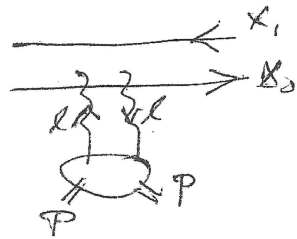
1. Dipole scattering and evolution on Proton and Nuclear targets  
 1.1 Evaluation in the MV limit of no evolution. Dipole scattering on a nucleus.



$|S|^2 \approx S^2 =$  probability of no inelastic collision  
 $S^2 = e^{-L/\lambda}$       $\lambda = \frac{1}{\rho\sigma} =$  mean free path

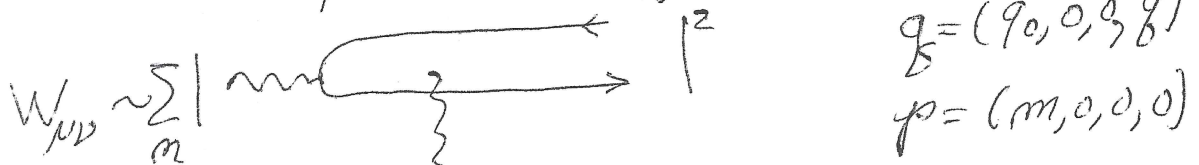
$\sigma \approx$       $S = e^{-2\sqrt{R^2 - b^2} \rho\sigma/2} \equiv e^{-x_\perp^2 Q_s^2/4}$

$\sigma = \frac{2\pi^2 \alpha_C^2}{N_c^2 - 1} \times G x_\perp^2 =$  dipole-nucleon scattering



$Q_s$  is the "saturation momentum" for quarks. It is the scale at which interactions change from weak,  $S \approx 1$ , to strong,  $S \approx 0$ .  $Q_s^2 = \frac{4\pi^2 \alpha_C^2}{N_c^2 - 1} \rho L \times G = q_s^2 L$

- 1.2 DIS on a proton or large nucleus at small x.

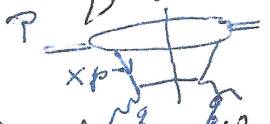


$q = (q_0, 0, 0, q)$   
 $p = (m, 0, 0, 0)$

$W_{\mu\nu} \sim \sum_n |m_n|^2$

$\frac{2}{\pi^2} F_2(x, z, Q) = \left[ \frac{\alpha_{em} N_c}{2\pi^2} z^{(1-z)} [z^2 + (1-z)^2] \right]^{\frac{1}{2}} e^{\frac{1}{2} \int_0^z \frac{E \cdot x}{|x|} K_1(\sqrt{Q^2 z(1-z)})}$

$$x g^b(x, Q^2) + x \bar{g}^b(x, Q^2) = \frac{Q^2}{4\pi e_f} \sum_b \int_0^1 dx \int_0^1 dz \frac{P_X}{(2\pi)^2} P_{TX}^b(x, z, Q) P(1 - S(x, b))$$

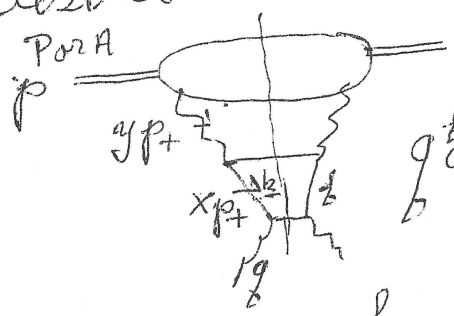


Need small- $x$  to view the process as a scattering. All dynamics in  $S$ . Unitarity limits the size of the  $P$ 's. ( $x = \frac{Q^2}{2p \cdot q} \approx \frac{Q^2}{s}$ )

When interaction is weak  $S \approx 1 - x_1^2 Q_s^2/4$  and  $P^b$  becomes linear in  $A$ . When  $S$  is small  $P \sim A^{2/3}$ . When  $S \neq 1$  one says that shadowing occurs. (An interaction of the dipole with a nucleon at the front of the nucleus means that later interactions don't count.)

### 1.3 DGLAP and BFKL evolution

DGLAP evolution is an evolution of parton distributions toward harder scales. For example in



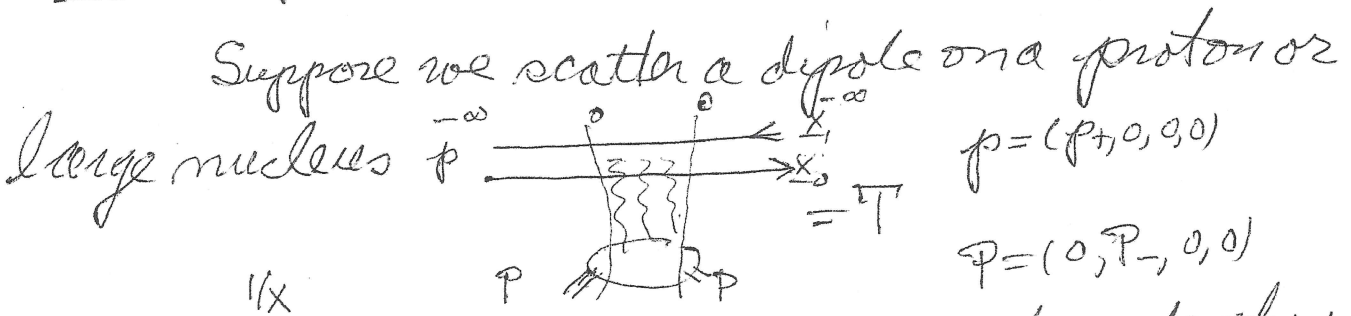
$$g^b(x, Q^2) = \int_x^1 \frac{dy}{y} \int_{\mu^2}^{Q^2} G(y, k^2) \frac{\alpha(k^2)}{2\pi} 2N_f P_{g \rightarrow g}(x/y) \frac{dk^2}{k^2} + \dots$$

$$\text{So } Q^2 \frac{\partial}{\partial Q^2} g^b(x, Q^2) = \frac{\alpha(Q^2)}{2\pi} \int_x^1 \frac{dy}{y} G(y, Q^2) 2N_f P_{g \rightarrow g}(x/y)$$

As one looks at smaller transverse sizes, harder transverse momenta, one sees a repeated structure.

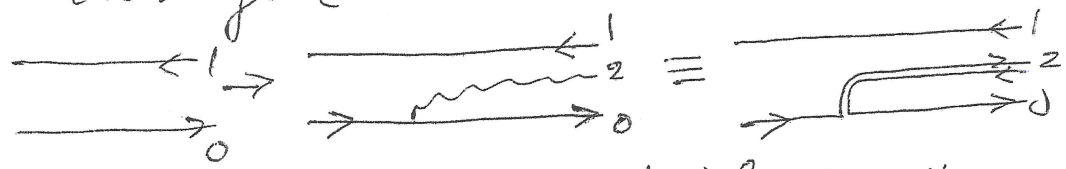
DGLAP evolution very important for precise evaluation of hard processes at very hard scales. Not so interesting for high density physics.

BFKL-Dipole small-X evolution



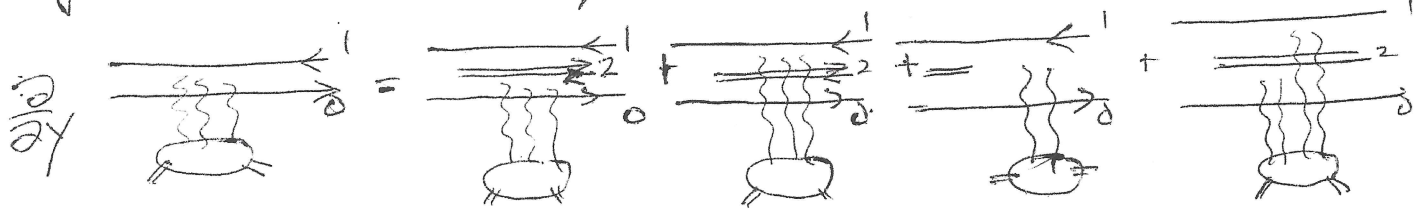
$\gamma = \ln\left[\frac{1/x}{2(p_+ P_-) x_{01}^2}\right]$  choose  $p_+ x_{01}$  not much greater than 1, i.e.  $\alpha \ln(p_+ x_{01}) \ll 1$

At large  $\gamma$  we have put most of the momentum and hence the evolution, into the target. Now increase  $\gamma$  by increasing  $p_+$ . Evolution comes about by the dipole creating extra gluons in its wavefunction. In the large  $N_c$  limit



dipole  $x_{01} \rightarrow$  dipoles  $x_{02}, x_{21}$  which interact independently on the target.

In pictures the evolution for T is



$$\frac{\partial}{\partial y} T(x_{01}, Y) = \frac{\alpha N_c}{2\pi^2} \int \frac{d^2 x_2 x_{01}^2}{x_{02}^2 x_{12}^2} \left[ T(x_{02}, Y) + T(x_{12}, Y) - T(x_{01}, Y) - T(x_{02}, Y) T(x_{12}, Y) \right]$$

BKFL BK

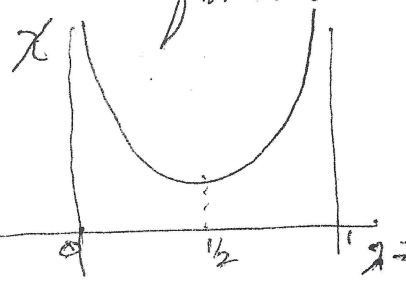
*factorization justifies for long nucleon target*

BKFL equation can't solve  
Consider

$$\frac{1}{4\pi} \int \frac{d^2 x_2 x_{01}^2}{x_{02}^2 x_{12}^2} (x_{02}^{2\lambda} + x_{12}^{2\lambda} - x_{01}^{2\lambda}) = \chi(\lambda) x_{01}^{2\lambda} \text{ by dimensions}$$

Explicit evaluation gives  $\chi(\lambda) = \frac{1}{2} \psi(1) - \frac{1}{2} \psi(\lambda) - \frac{1}{2} \psi(1-\lambda) =$  BKFL characteristic function

Then  $T(x_{01}, Y) = \int_{\frac{1}{2}-i\infty}^{\frac{1}{2}+i\infty} \frac{d\lambda}{2\pi} T_\lambda \cdot x_{01}^{2\lambda} e^{\frac{2\alpha N_c \chi(\lambda) Y}{\pi}}$



Saddle point at  $\lambda = \frac{1}{2}$  gives  $(\alpha_p - 1) Y$

$$T(x_{01}, Y) = T_{1/2}^{(0)} \frac{x_{01}^2}{\sqrt{56 \alpha N_c \psi(3) Y}}$$

$$\alpha_p - 1 = \frac{2\alpha N_c \chi(\frac{1}{2})}{\pi} = \frac{4\alpha N_c \ln 2}{\pi}$$

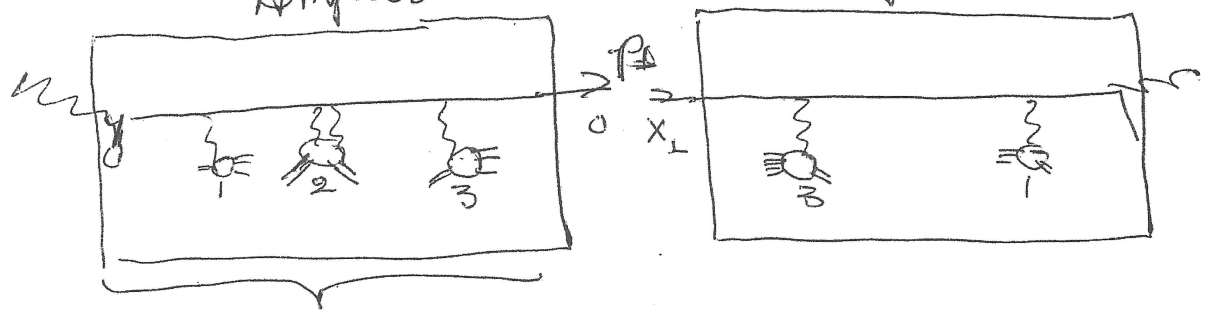
$$\chi''(\lambda) = 14 \psi(3)$$



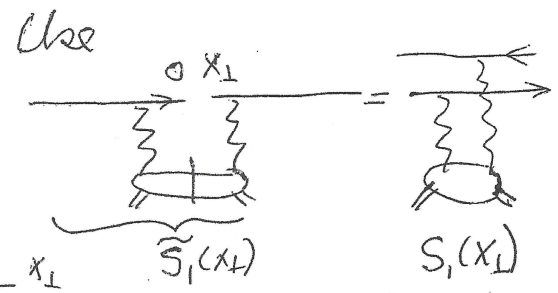
2.  $p_{\perp}$  broadening and energy loss in hot and cold matter

2.1 An almost theorem

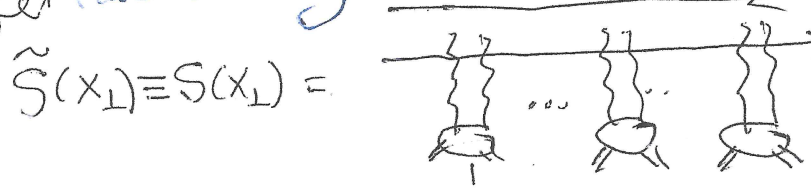
Suppose a jet is produced in a nucleus at a well defined position and with zero transverse momentum, for example in large- $x$  DIS. Let's try to calculate the transverse momentum it gets as it passes through the medium. Amplitude CC amplitudes



$$\frac{d^2 N}{d^2 p_{\perp}} = \int \frac{d^2 x_{\perp}}{4\pi^2} e^{-i p_{\perp} \cdot x_{\perp}} \frac{\tilde{S}(x_{\perp})}{-\tilde{S}_1(x_{\perp})}$$



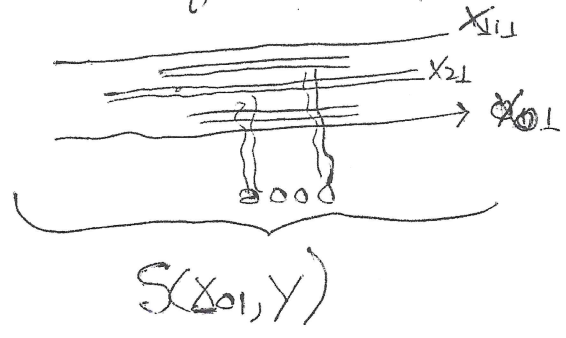
To get (do one scattering in detail)



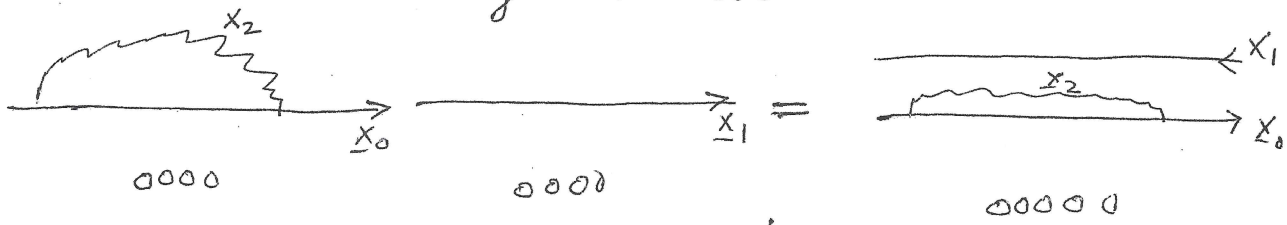
= Same dipole S matrix we had earlier  
 $S = e^{-x_{\perp}^2 Q_s^2/4}$

This holds in the presence of evolution!

$$\frac{dN}{d^2 p_{\perp}} = \int \frac{d^2 x_{\perp}}{4\pi^2} e^{-i p_{\perp} \cdot x_{\perp}}$$



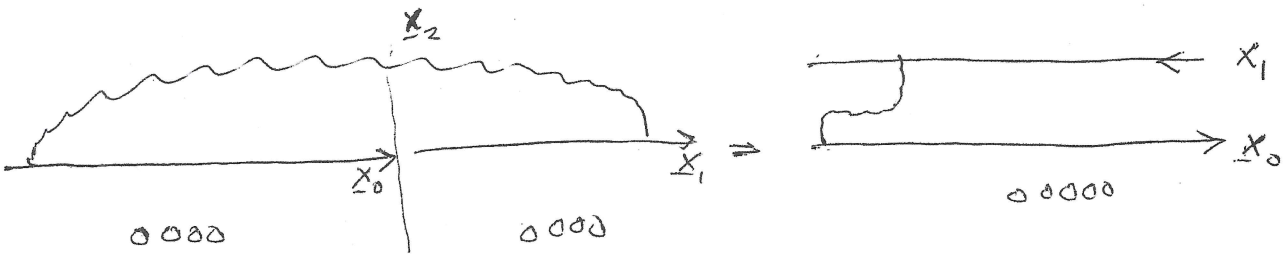
Look a bit closer, one gluon emission



$S(x_{02}) S(x_{12})$

Large  $N_c$

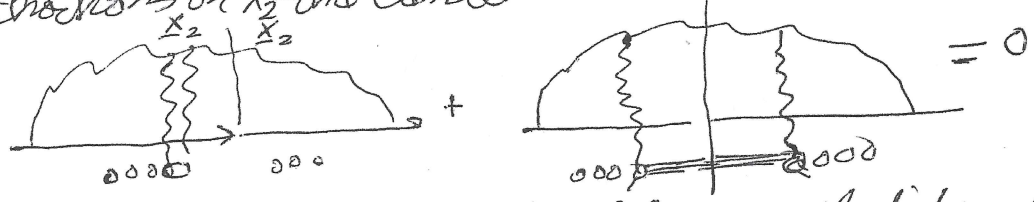
$S(x_{02}) S(x_{12}) \dots$



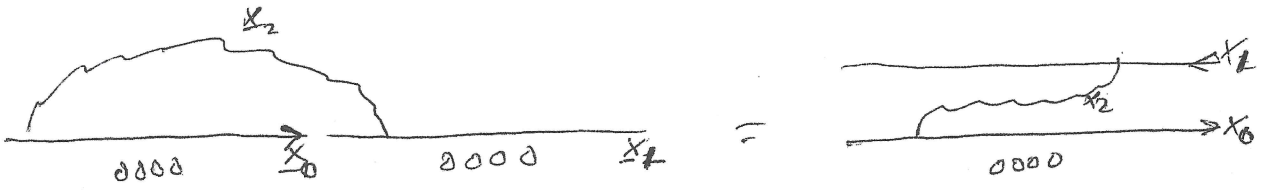
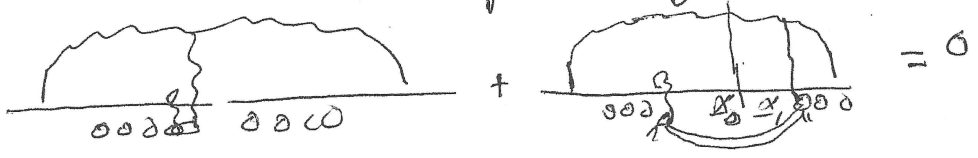
$S(x_{01})$

Interactions on  $x_2$ -line cancel

$S(x_{01})$



Don't measure  $x_2$  so probability is 1 that it survives



$S(x_{02}) S(x_{12})$

$S(x_{02}) S(x_{12})$

Equality between  $\frac{dN}{d^3p}$  and  $S(x_1)$  not completely general. But survives at next to leading logs. Probably breaks down at next-to-next-leading logs. Schwinger, Keldysh  $\leftrightarrow$  time ordered ok to NLO

$$\langle p_{\perp}^2 \rangle = \int \int \frac{d^2 x_{\perp}}{4\pi^2} \frac{p_{\perp}^2 e^{-i(p_{\perp} \cdot x_{\perp})}}{-\nabla_{x_{\perp}}^2 - i(p_{\perp} \cdot x_{\perp})} S(x_{\perp}) = \int \int \frac{d^2 x_{\perp}}{4\pi^2} e^{-i(p_{\perp} \cdot x_{\perp})} \nabla_{x_{\perp}}^2 S(x_{\perp})$$

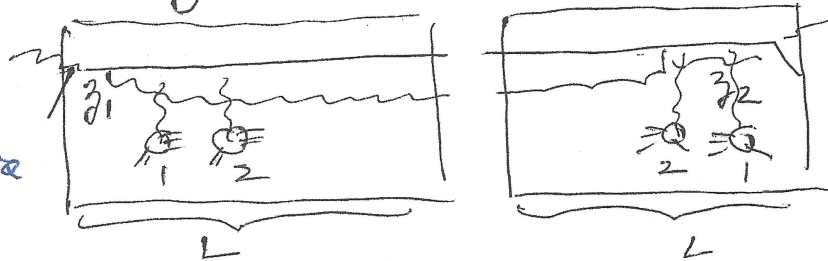
$$\langle p_{\perp}^2 \rangle = \underbrace{-\nabla_{x_{\perp}}^2 S(x_{\perp})|_{x_{\perp}=0}}_{\text{single scattering}} \times \underbrace{\hat{q} L}_{\text{transport coefficient}} = \hat{q} L = \langle p_{\perp}^2 \rangle$$

Recall without evolution  $\nabla_{x_{\perp}}^2 S|_{x=0} = -Q_s^2$  so  $Q_s^2 = \hat{q} L$

2.2 Energy loss <sup>(relative)</sup> related to  $p_{\perp}$ -broadening

$$-\frac{dE}{dz} = \frac{\alpha}{4} \hat{q} L^2$$

do calculation (without 1/4) here



$L^2$  comes from  $dz_1 dz_2$

Holds higher order corrections

$$\langle p_{\perp}^2 \rangle = \hat{q}_0 L \left( 1 + \frac{\alpha N_c}{8\pi} \ln^2 \left( \frac{L}{\lambda_0} \right)^2 \right) = \hat{q} L$$

$$\hat{q} = \hat{q}_0 \left( 1 + \frac{\alpha N_c}{8\pi} \ln^2 \left( \frac{L}{\lambda_0} \right)^2 \right) \text{ also gives } \frac{dE}{dz} \text{ leading}$$

order corrections using above formula for  $-\frac{dE}{dz}$ .

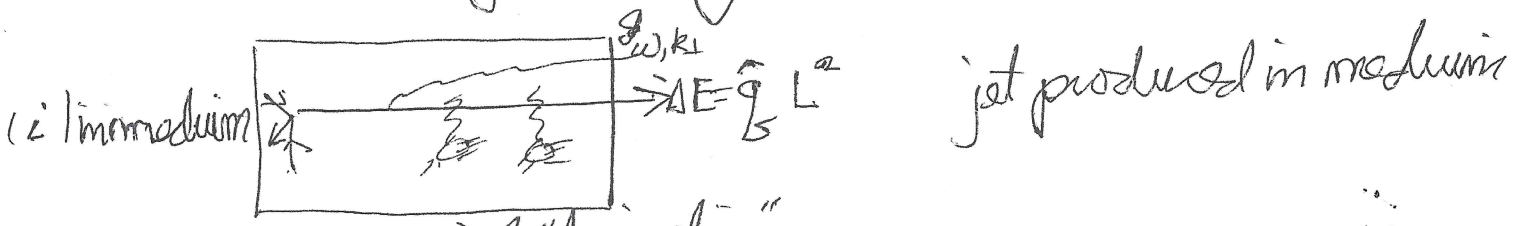
$\frac{dE}{dz}$  is believed to be main source of jet quenching

Hard probe collaboration determines  $\hat{q} \approx 1 \text{ GeV}^2/\text{fm}$  RHIC

For cold matter  $\hat{q} \approx 0.075 \text{ GeV}^2/\text{fm}$  at Fermilab fixed target

Roughly  $\hat{q}$  counts # of scatterers in medium

2.3 Important difference; energy loss from jet produced in medium vs jet coming into medium

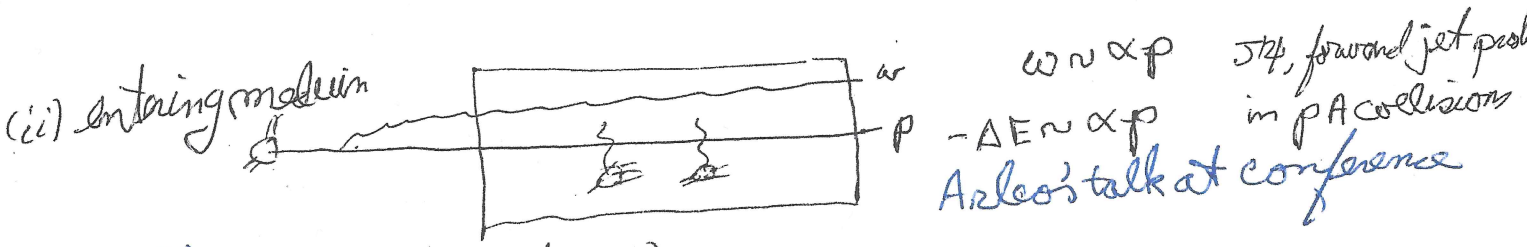


Simple "derivation"

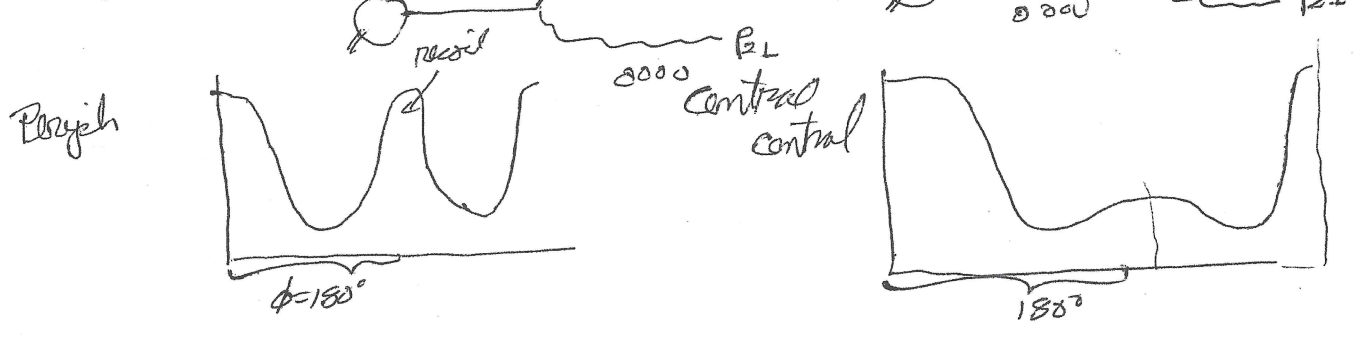
$$\tau_g = \frac{20}{k_L^2} = \frac{20}{\hat{q} L} = L \quad \omega = \frac{1}{2} \hat{q} L^2$$

probability  $= \alpha$

$$-\Delta E = \alpha \omega \alpha \hat{q} L^2, \quad -\Delta E = \frac{\alpha}{4} \hat{q} L^2$$



2.4 \* Trying to see saturation directly { disappearance of "cool jet" in DA collisions (Marquet...)



indirectly (Stasto, Watanabe, Xiao, Yuan, Zaslavsky)

Fit hadron production in p(D)A collisions at RHIC, LHC. Use BK match onto DGLAP at large  $p_\perp$ . Cross sections go negative for  $p_\perp$  too big in BK. Now we, BK stops the data from becoming too large at small  $p_\perp$ .

DIS: New H1, ZEUS joint fits show DGLAP starts to break down for  $Q^2 \lesssim 10$  (Rebecq) BK seems to fix this. (Danev et al...)

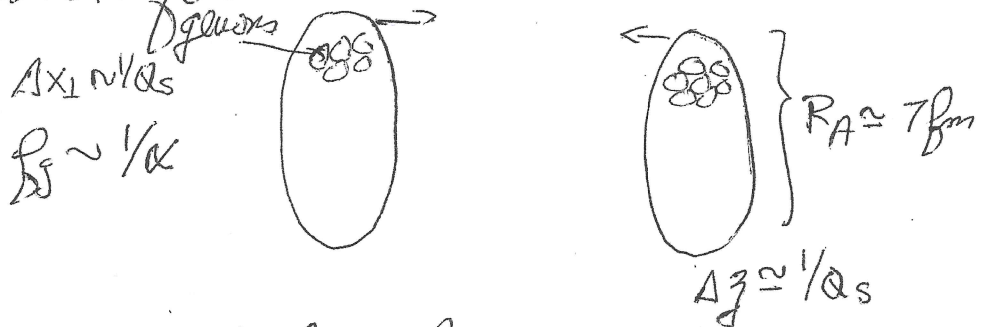


### 3. Equilibration in Heavy Ion Collisions

Big topic not yet completely settled down  
 Classical  $\chi$ -M vs kinetic theory. Significant overlap  
 Overall picture of HI Collision

BMSS Phys Lett B 502 (2001) 5  
 A. Karch et al, Y. Zhu arXiv: 1506.06647  
 E hep-ph/17  
 Bengtsson et al (many papers)  
 Gelis et al

Take CM frame  
 Just before collision



Nucleus has single layer of about  $\frac{1}{\alpha} Q_s^2 R^2$  gluons per unit of rapidity having  $p_{\perp} \sim Q_s$ . All gluons with  $p_{\perp} \leq Q_s$  are freed; all gluons with  $p_{\perp} > Q_s$  are not.

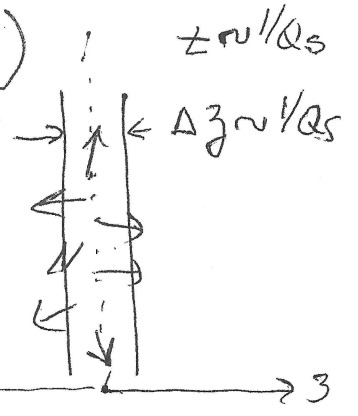
After a time  $\Delta t \sim 1/Q_s$  the gluons in the central unit of rapidity  $-\frac{1}{2} < y < \frac{1}{2}$  are left by themselves as gluons with higher rapidity move away. This is the time over which these gluons are freed.

Sequence of steps in equilibration:

(a) Very early times  $1 < Q_s t < \alpha^{-3/2}$  (classical f.t.)


At  $Q_s t \sim 1$   $k_{\perp} \sim 1/\alpha$ ,  $k \sim Q$

Gluons run away toward larger  $z$  leaving gluons having predominantly  $p_z$  small,  $k_{\perp} \sim Q_s$



$N_h \sim \frac{Q_s^3}{\alpha(Q_s t)}$  reflects linear expansion

However there is also elastic scattering



$$\frac{dN_{col}}{dt} = \underbrace{\alpha^2}_{\frac{\alpha^2}{m_D^2}} N_h \left(1 + \underbrace{f_h}_{\frac{N_h}{Q_s^2 p_z}}\right) = N_h^2 \frac{\alpha^2}{m_D^2} \frac{1}{Q_s^2 p_z} = \frac{\alpha N_h}{m_D^2 p_z} \pm$$

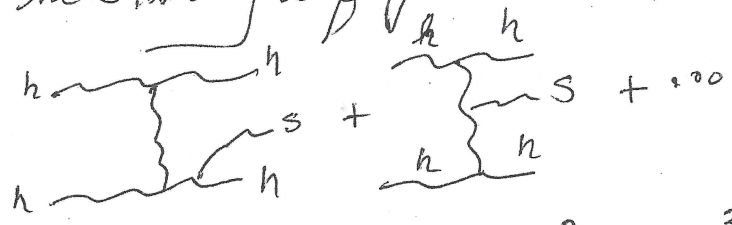
and  $p_z^2 \frac{dN_{col}}{dt} \pm m_D^2 = \frac{\alpha N_h m_D^2}{m_D^2 p_z} = \frac{\alpha N_h}{p_z}$

$$p_z = (\alpha N_h)^{1/3} = \frac{Q_s}{(Q_s t)^{1/3}} = k_s$$

So  $f_h = \frac{N_h}{m_D^2 p_z} = \frac{Q_s^3}{\alpha(Q_s t)} \frac{1}{(Q_s t)^{1/3}} \cdot \frac{1}{Q_s^2 Q_s} \quad ; \quad f_h = \frac{1}{\alpha (Q_s t)^{2/3}}$

$f_h$  large until  $Q_s t = (\frac{1}{\alpha})^{3/2}$ , This is domain of classical field theory.

Now how many soft particles are there?



$$m_D^2 \sim \alpha \frac{N_h}{Q_s} = \frac{Q_s^2}{Q_s t}$$

$$N_s = \pm \frac{dN_s}{dt} = \pm \frac{\alpha^3}{m_D^2} N_h^2 \left(1 + \frac{1}{f_h}\right)^2 \sim \frac{Q_s^3}{\alpha} \frac{1}{(Q_s t)^{4/3}}$$

$$p_s = \frac{N_s}{k_s^2} = \frac{1}{\alpha (Q_s t)^{1/3}}$$

(b)  $\alpha^{-3/2} < Q_s t < \alpha^{-5/2}$

Now  $m_D^2 \sim \frac{\alpha N_s}{k_s}$  but  $N_s \ll N_h$   $f_h < 1$

$$N_s = \pm \frac{\alpha^3}{m_D^2} N_h^2 = \frac{\alpha Q_s^4}{m_D^2 t}$$

$$k_s^2 = N_{col} m_D^2 = \pm \sigma N_h m_D^2$$
  

$$\frac{\alpha^2}{m_D^2} \frac{Q_s^3}{\alpha Q_s t}$$

$$k_s^2 = \alpha Q_s^2$$

$$N_s = \frac{\alpha^{14} Q_s^3}{(Q_s t)^{1/2}} \quad m_D^2 \sim \frac{\alpha^{3/2} Q_s^2}{(Q_s t)^{1/2}}$$

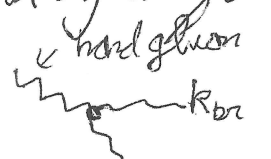
$$N_s \sim N_h \text{ when } \frac{\alpha^{14} Q_s^3}{(Q_s t)^{1/2}} \sim \frac{Q_s^3}{\alpha (Q_s t)} \text{ or } \boxed{Q_s t \sim \alpha^{-5/2}}$$

at  $Q_s t \sim \alpha^{-5/2}$   $f_s = \frac{\alpha^{14} Q_s^3}{(Q_s t)^{1/2} \alpha^{3/2} Q_s^3} \sim \alpha^{1/4 \cdot \frac{3}{2} + \frac{5}{4}} \sim \alpha(1)$

$$f_s k_s^3 = \alpha^{3/2} Q_s^3 = N_h = \frac{Q_s^3}{\alpha (Q_s t)} \sim Q_s^3 \alpha^{3/2}$$

(c)  $Q_s t > \alpha^{-5/2}$

When  $N_s > N_h$   $f_s \sim 1$  thermalization of soft gluons occurs. Most scatterings are by soft gluons. Most energy still in hard gluons but they no longer affect scattering. Hard gluons disappear by the following (turbulent) mechanism:

over  $t_{br}$   such that  $k_{br}$  decays over  $t_{br}$  in soft particles

then we will set  $t_{br} = t = \text{thermalization time!}$

$$t_{br} = \frac{1}{\alpha} t_f = \frac{1}{\alpha} \frac{k_{br}}{k_E^2} = \frac{1}{\alpha} \frac{k_{br}}{\frac{m_D^2 t_{br} N_s \sigma}{\alpha^2 t_{br} m_D^2}} \Rightarrow \boxed{\frac{1}{t_{br}} = \alpha^2 \sqrt{\frac{N_s}{k_{br}}}}$$

$$N_s = T^3, t_{br} = t \Rightarrow \boxed{k_{br} = \alpha^4 T^3 t^2}$$

Now flow of energy from hard to soft is

$$k_{br} \frac{dN(k_{br})}{dt} = k_{br} \cdot \frac{N_h}{t_{br}} = k_{br} \frac{Q_s^3}{\alpha Q_s t} = k_{br} \frac{Q_s^2}{dt^2}$$

$$= \alpha^4 T^3 \frac{Q_s^2}{dt^2} = \alpha^3 Q_s^2 T^3$$

This may be  $\frac{dT^4}{dt} = T^3 \frac{dT}{dt}$  so  $\frac{dT}{dt} = \alpha^3 Q_s^2$

$$\boxed{T = \alpha^3 Q_s^2 t}$$

Now set  $k_{br} Q_s \Rightarrow Q_s = \alpha^4 T^3 t^2 = \alpha^4 \alpha^6 Q_s^6 t^{\frac{6}{3}} \quad \text{or} \quad T^4 = \frac{Q_s^4}{\alpha Q_s t}$

$$\text{or } \boxed{t = \frac{1}{Q_s} \left(\frac{1}{\alpha}\right)^{13/5}} = \text{parametric thermalization time.}$$

The  $k_{br} \frac{dN(k_{br})}{dt}$  = flow of energy is a turbulent flow. Gives good picture of jet energy loss in thermal medium.