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# Fluctuations and correlations in dynamical models

**Elena Bratkovskaya**

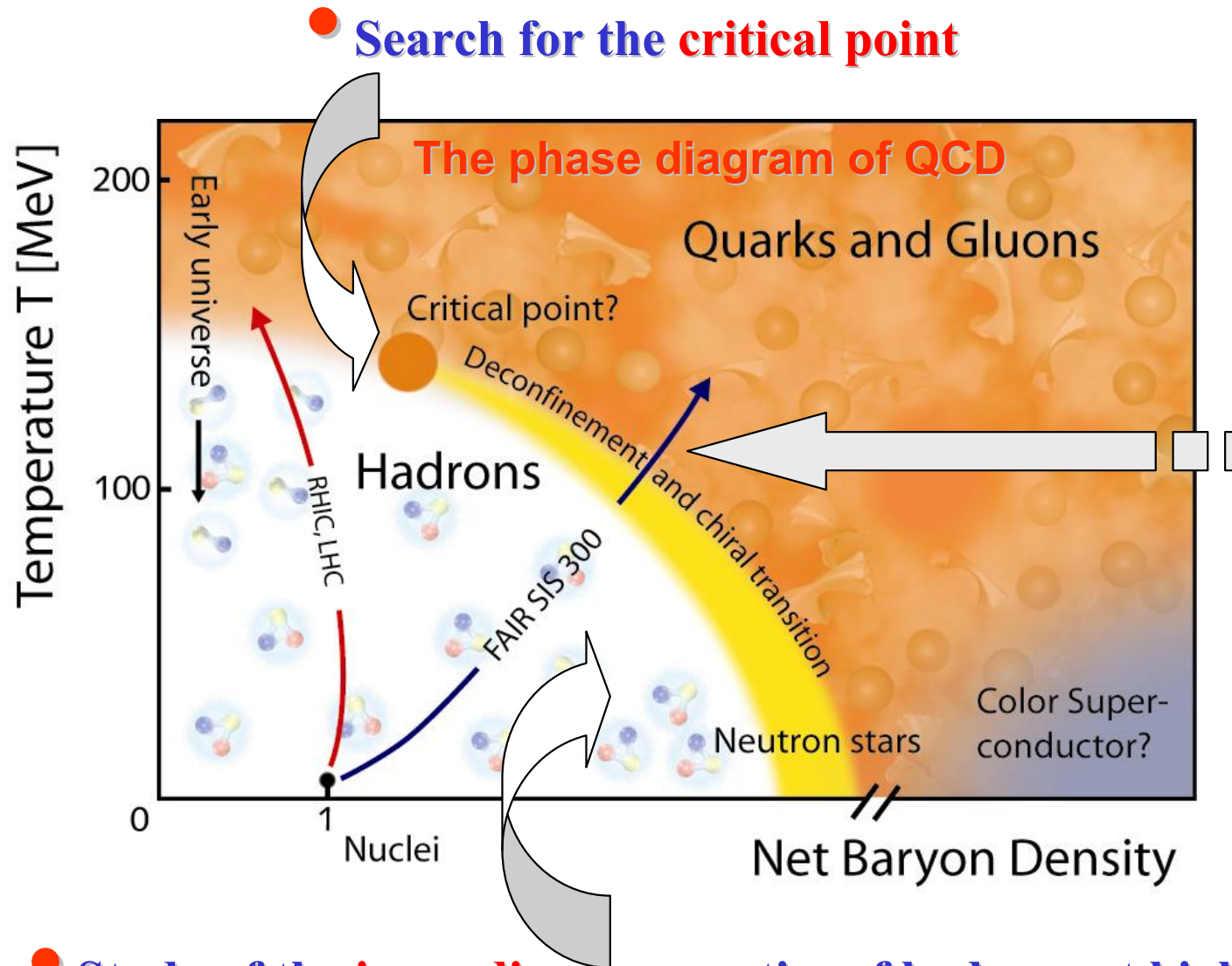
in collaboration with **M. Gorenstein, W. Cassing, M. Hauer,  
V. Konchakovski, V. Ozvenchuk, V.D. Toneev and V. Voronyuk**

**Institut für Theoretische Physik & FIAS, Uni. Frankfurt**



HIC for FAIR Workshop on Fluctuation and  
Correlation Measures in Nuclear Collisions  
2015

# The holy grail of HIC



● Study of the **phase transition** from hadronic to partonic matter – **Quark-Gluon-Plasma**

- Study of the **in-medium** properties of hadrons at high baryon density and temperature
- Study of the partonic medium beyond the phase boundary

# Lattice QCD: Critical Point

Fluctuations of the **quark number density (susceptibility)** at  $\mu_q > 0$

[F. Karsch et al.]

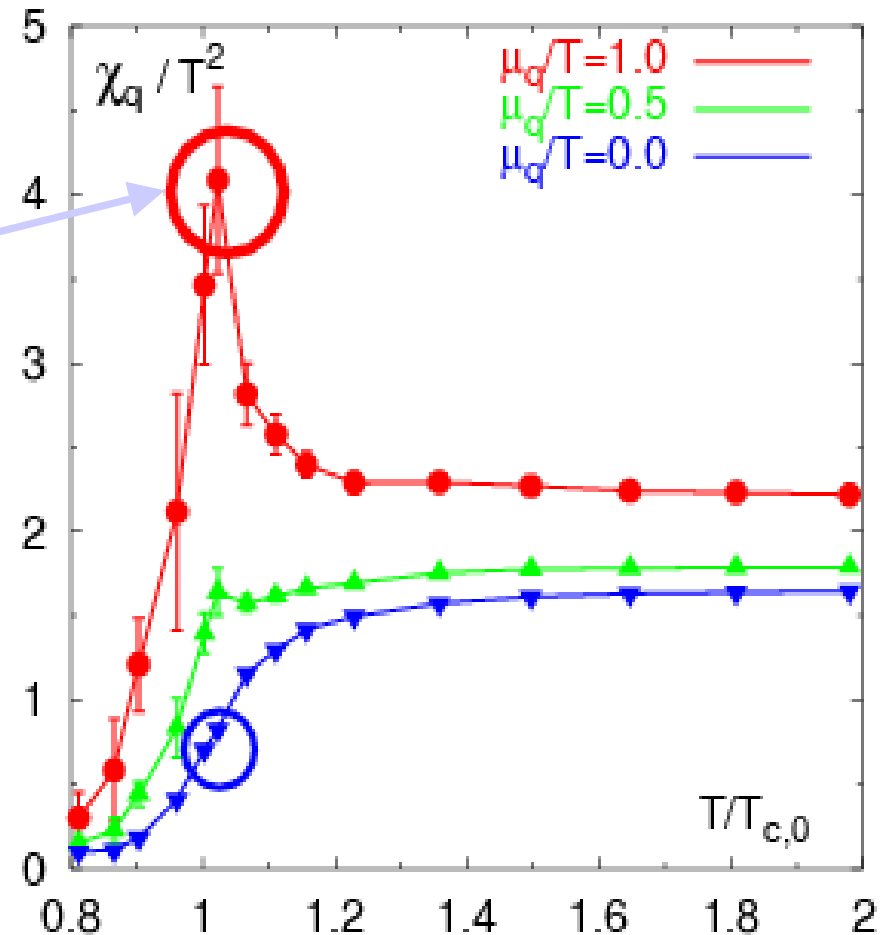
$$\frac{\chi_q}{T^2} = \left[ \frac{\partial^2}{\partial (\mu_q / T)^2} \frac{P}{T^4} \right]_{T_{fixed}}$$

Lattice QCD predictions:

$\chi_q$  (quark number density fluctuations) will diverge at the **critical chiral point** =>

**Experimental observation** – look for **non-monotonic behavior** of the observables near the critical point :

- baryon number fluctuations
- charge number fluctuations
- multiplicity fluctuations
- particle ratio fluctuations ( $K/\pi$ ,  $K/p$ , ...)
- mean  $p_T$  fluctuations
- 2 particle correlations
- ...

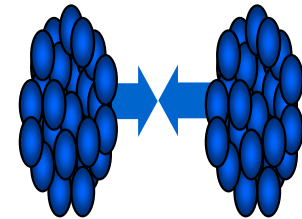


# “Background” Fluctuations

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Many factors lead to **“background” fluctuations** that can mask the signal of the critical point and therefore **have to be carefully studied and accounted for:**

- limited size of the colliding system
- fluctuations of initial conditions in heavy-ion collisions
- event-by-event fluctuations of the collision geometry
- experimental acceptance
- statistical fluctuations
- ...



In order **to understand the “background” fluctuations we apply models,** where **no phase transition** is implemented :

- wounded nucleon model
- statistical model of hadron-resonance gas
- **transport models HSD and UrQMD**
- ...

cf. review: Konchakovski et al., J. Phys. G37 (2010) 073101

# Study of fluctuations within transport models

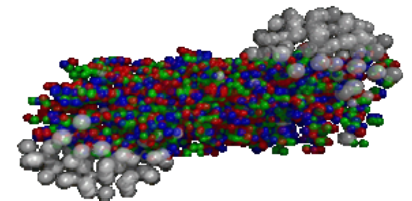
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**HSD** – **H**adron-**S**tring-**D**ynamics transport approach

**UrQMD** – **U**ltra-**r**elativistic-**Q**uantum-**M**olecular-**D**ynamics

Transport models allow to study:

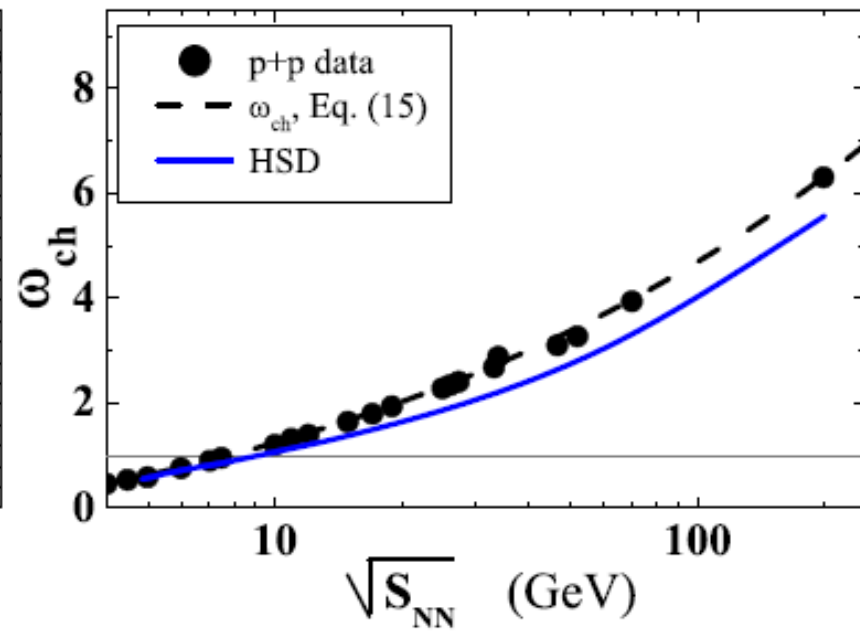
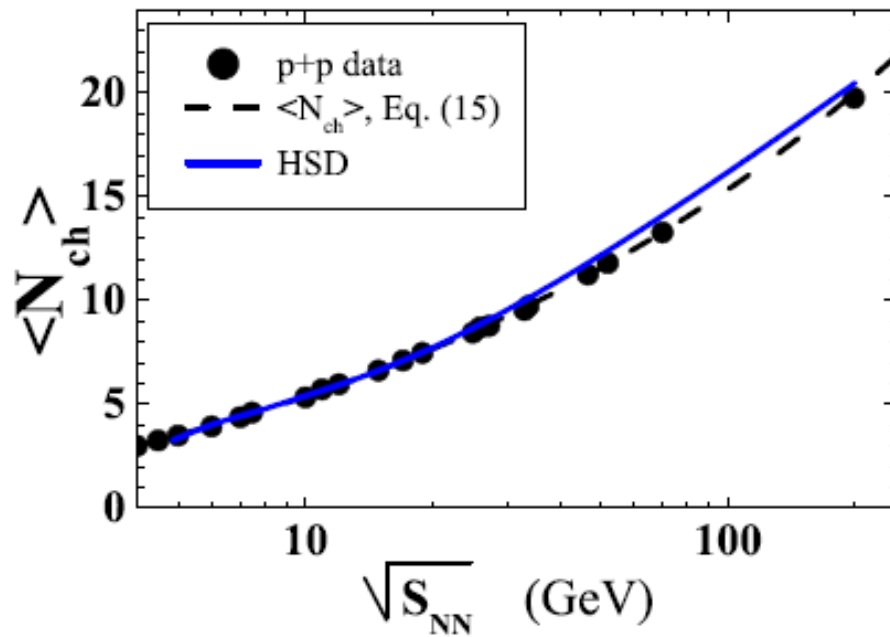
- ❑ **statistical and dynamical fluctuations**
- ❑ **event-by-event analysis** - similar to the experiment
- ❑ the **centrality** dependence
- ❑ the **energy** dependence of fluctuations
- ❑ the influence of the experimental **acceptance** on the final results on fluctuations



# Multiplicity fluctuations in p+p

- **Scaled variance - multiplicity fluctuations** in some acceptance (charge, strangeness, etc.):

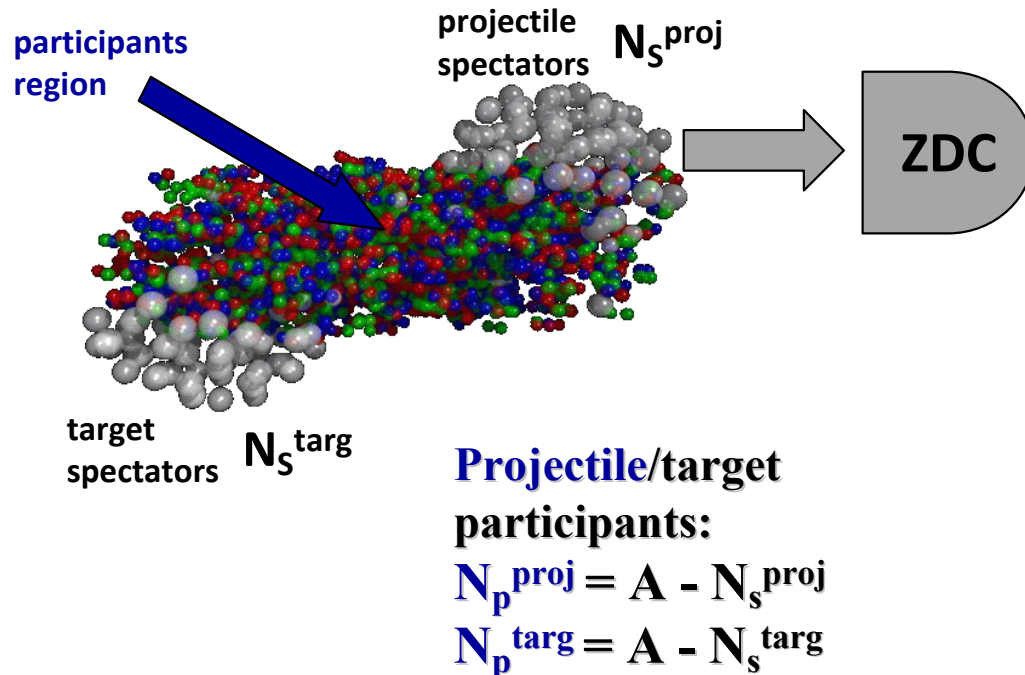
$$\omega = \frac{Var(N)}{\langle N \rangle} = \frac{\langle N^2 \rangle - \langle N \rangle^2}{\langle N \rangle}$$



- The excitation functions of  $N_{ch}$  and charge multiplicity fluctuations  $\omega_{ch}$  from **HSD** are approximately in line with experimental data

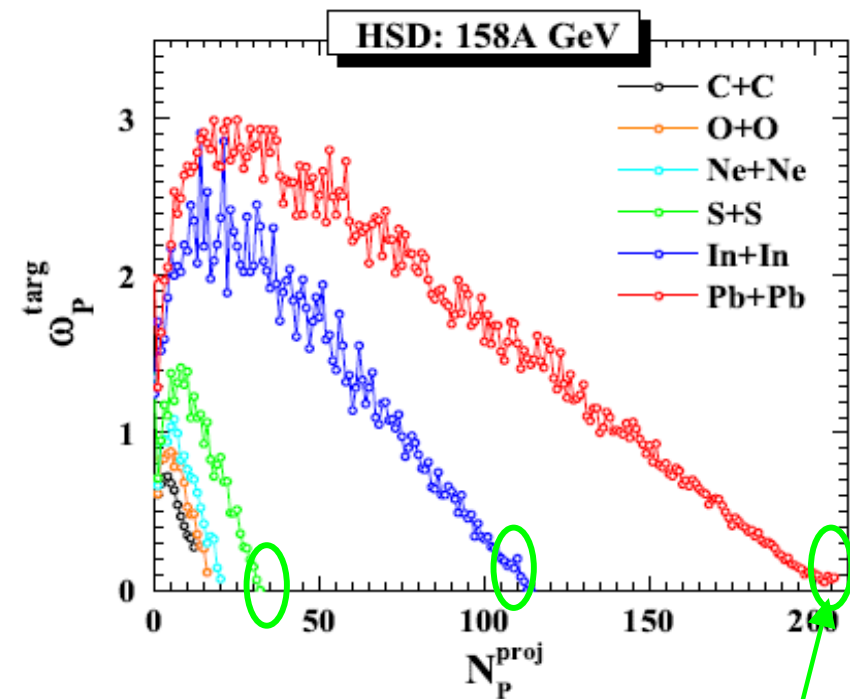
# Fluctuations in the number of participants

## Fixed-target experiment:



Even for a fixed number of **projectile participants**  $N_p^{\text{proj}}$  the full number of participants  $N_p$  can fluctuate due to participant fluctuations in the **target**  $N_p^{\text{targ}}$

Participant number fluctuations are **reflected in the observable fluctuations** (e. g. multiplicity fluctuations)

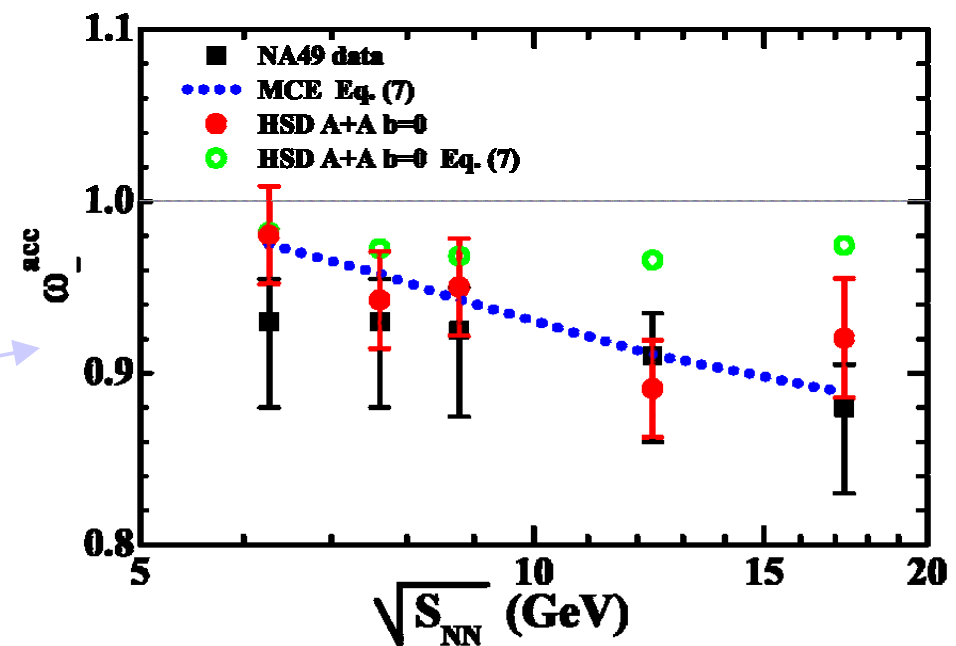
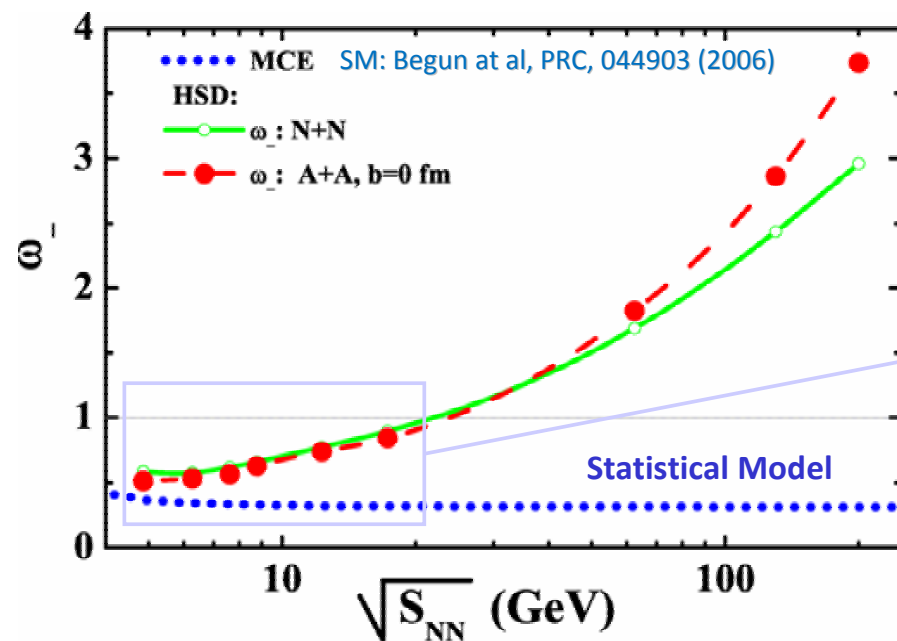


To get rid of the fluctuations in the participant number one needs to consider only **the most central collisions!**

# Multiplicity fluctuations in N+N and central A+A

Konchakovski, Gorenstein, Bratkovskaya, Phys. Lett. B 651, 114 (2007)

- Fluctuations in p+p and **central A+A** are very close within HSD due to the small participant number fluctuation in central A+A
- Statistical model** shows very small and energy independent fluctuations and **contradicts to the transport** calculations where  $\omega$  reaches significant values for large energies

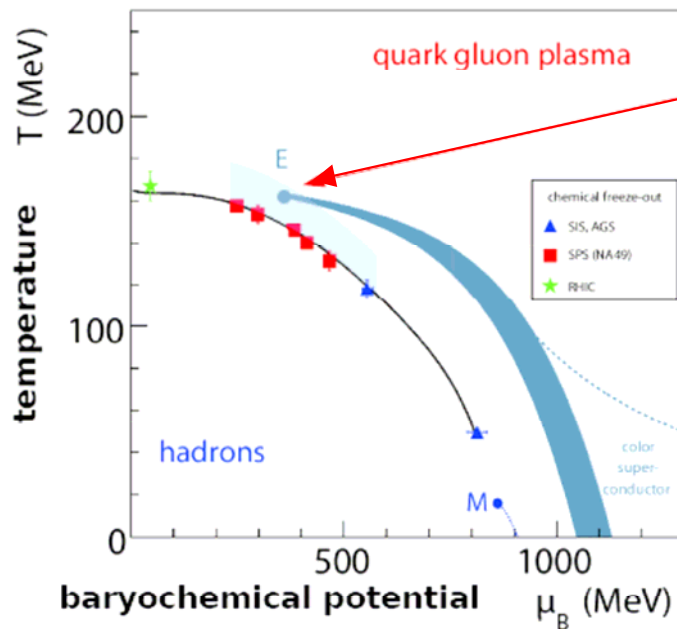
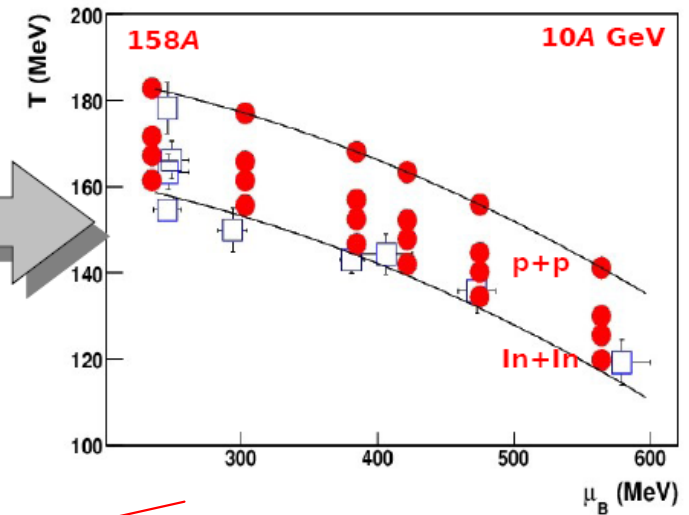
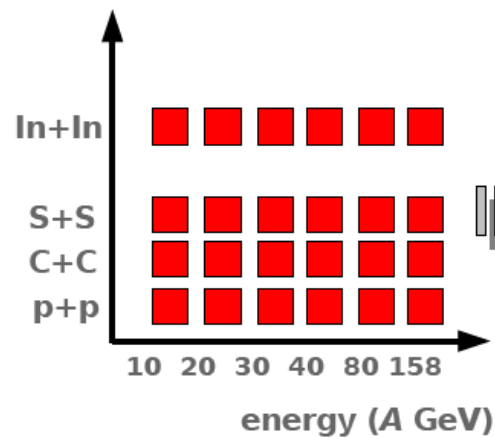


- NA49**  $\rightarrow$  one cannot clearly distinguish between statistical and transport models because of small acceptance and small differences between the model predictions in this range of energy



# Fluctuation program of NA61/SHINE Collaboration

**NA61/SHINE Collaboration** provides a comprehensive energy and system size scan of the phase diagram at the CERN SPS



□ The **critical point** should lead to an increase of multiplicity fluctuations in the two dimensional plane:  
**(E, A) energy - system size**  
 or equivalently  
**(T, μ<sub>B</sub>) temperature - baryon-chemical potential**

Gazdzicki, PoS CPOD2006:016

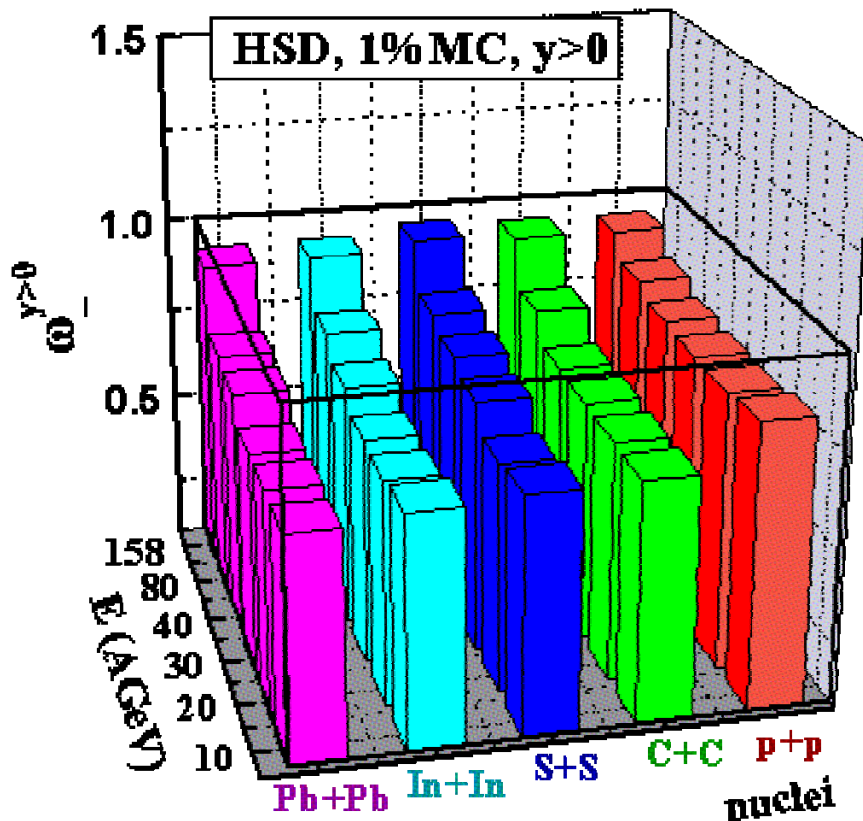
Fluctuations and CP: Stephanov, Rajagopal, Shuryak, Phys. Rev. D 60, 114028

Freeze-out points: Becattini et al., Phys. Rev. C 73, 044905

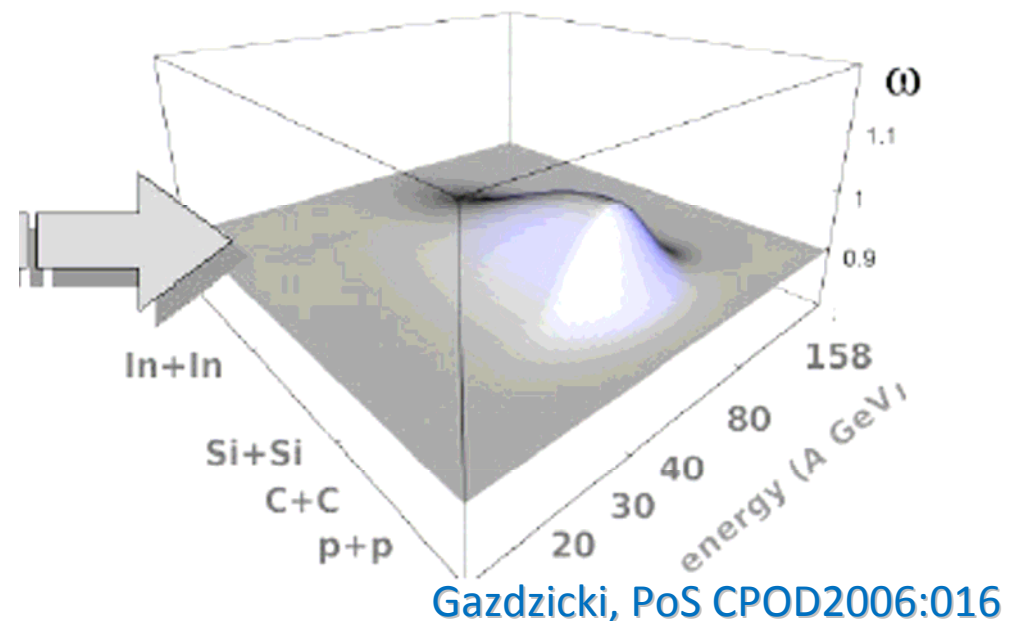
# Multiplicity fluctuations for 1%MC

Konchakovski, Lungwitz, Gorenstein, Bratkovskaya, Phys. Rev. C78 (2008) 024906

rapidity  $y > 0$



□ Multiplicity fluctuations for 1%MC practically do not **depend on atomic mass for  $y > 0$**  and only slightly grow with increasing collision energy.

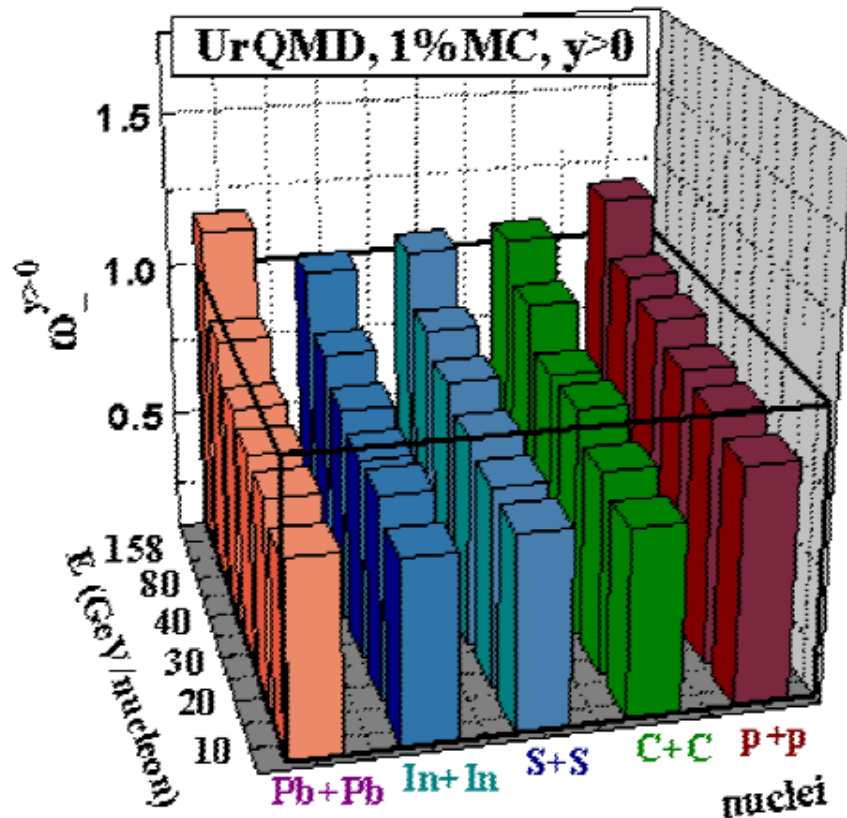


□ **HSD and UrQMD show a plateau** on top of which the SHINE Collaboration expects to find increasing multiplicity fluctuations as a "signal" for the critical point !

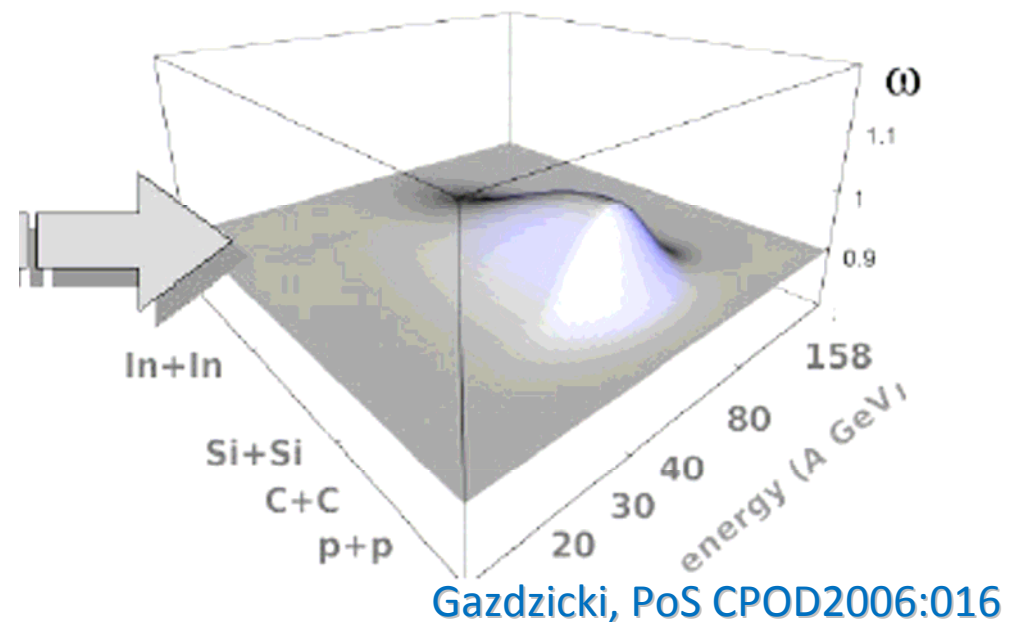
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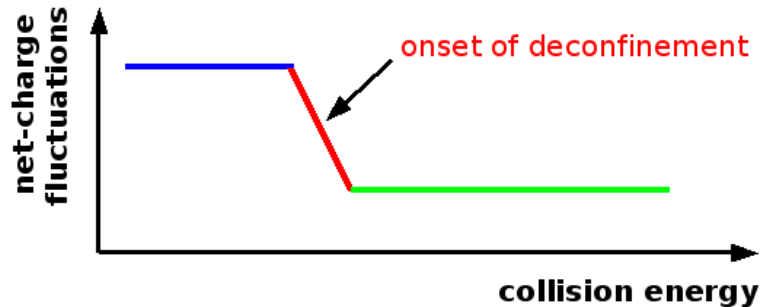


Gazdzicki, PoS CPOD2006:016

□ **HSD and UrQMD show a plateau** on top of which the SHINE Collaboration expects to find increasing multiplicity fluctuations as a "signal" for the critical point !

# Charge fluctuations

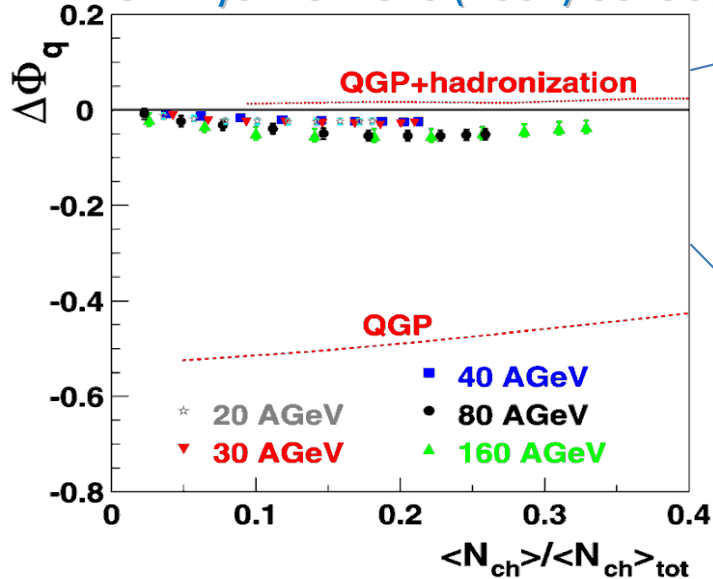
- sensitive to the **EoS** at the early stage of the collision and to its changes in the deconfinement phase transition region



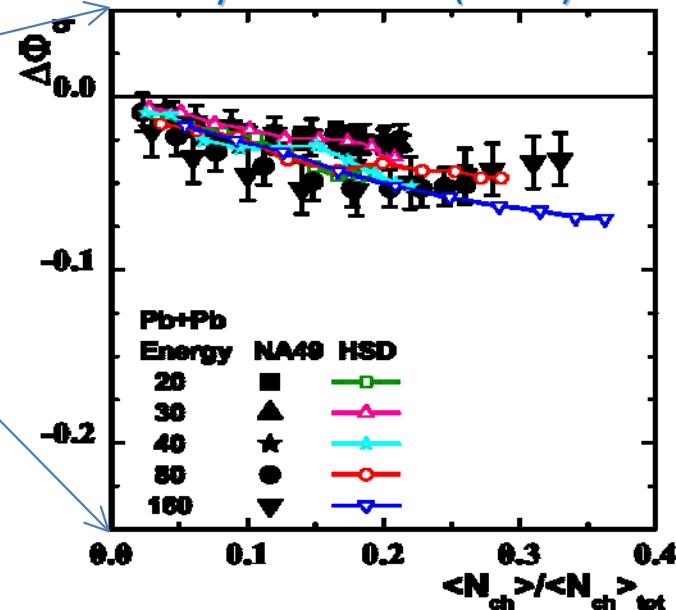
Jeon, Koch, PRL85 (2000) 2076  
Asakawa, Heinz, Muller PRL85 (2000) 2072

- net-charge fluctuations are smaller in QGP than in a hadron gas

NA49: Phys. Rev. C70 (2004) 064903



HSD: Phys. Rev. C74 (2006) 64911



- The **decay of resonances** strongly modifies the initial QGP fluctuations!

# Event-by-event particle ratio fluctuations

□ **Ratio fluctuations:**  $\sigma^2 \equiv \frac{\langle \Delta(N_A/N_B)^2 \rangle}{\langle N_A/N_B \rangle^2}$

In assumption  $|\Delta N_A| \ll \langle N_A \rangle$ ,  $|\Delta N_B| \ll \langle N_B \rangle$

it can be rewritten as:  $\sigma^2 \simeq \frac{\omega_A}{\langle N_A \rangle} + \frac{\omega_B}{\langle N_B \rangle} - 2\rho_{AB} \left[ \frac{\omega_A \omega_B}{\langle N_A \rangle \langle N_B \rangle} \right]^{1/2}$

with correlation parameter  $\rho_{AB} \equiv \frac{\langle \Delta N_A \Delta N_B \rangle}{\left[ \langle (\Delta N_A)^2 \rangle \langle (\Delta N_B)^2 \rangle \right]^{1/2}}$

■ In GCE for ideal Boltzman gas:

$\omega_A = \omega_B = 1$  and  $\rho_{AB} = 0 \Rightarrow \sigma^2 = \frac{1}{\langle N_A \rangle} + \frac{1}{\langle N_B \rangle}$

□ **Dynamical fluctuations:**

after subtraction of  $\sigma$  for mixed events one gets:

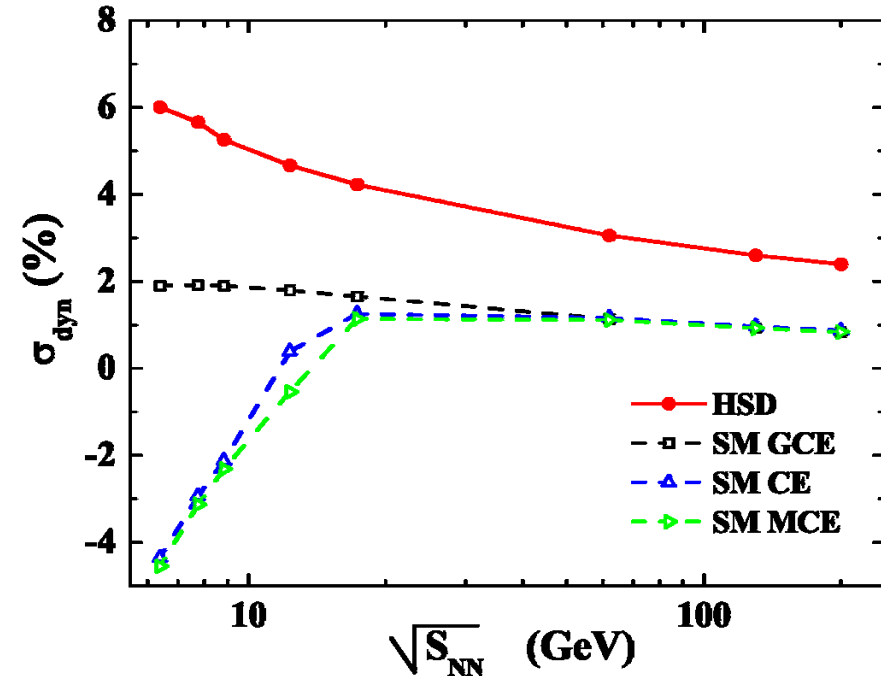
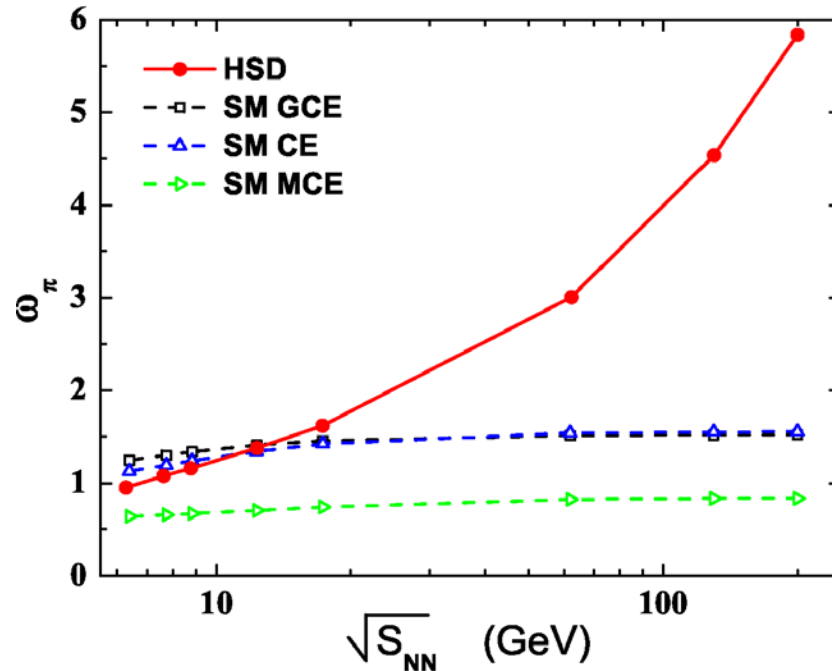
$$\sigma_{dyn} \equiv \text{sign}(\sigma^2 - \sigma_{mix}^2) |\sigma^2 - \sigma_{mix}^2|^{1/2}$$

□  **$v_{dyn}$  fluctuations:**

independent of fluctuations of the number of participants

$$v_{dyn,K\pi} = \frac{\langle N_K(N_K - 1) \rangle}{\langle N_K \rangle^2} + \frac{\langle N_\pi(N_\pi - 1) \rangle}{\langle N_\pi \rangle^2} - 2 \frac{\langle N_K N_\pi \rangle}{\langle N_K \rangle \langle N_\pi \rangle}$$

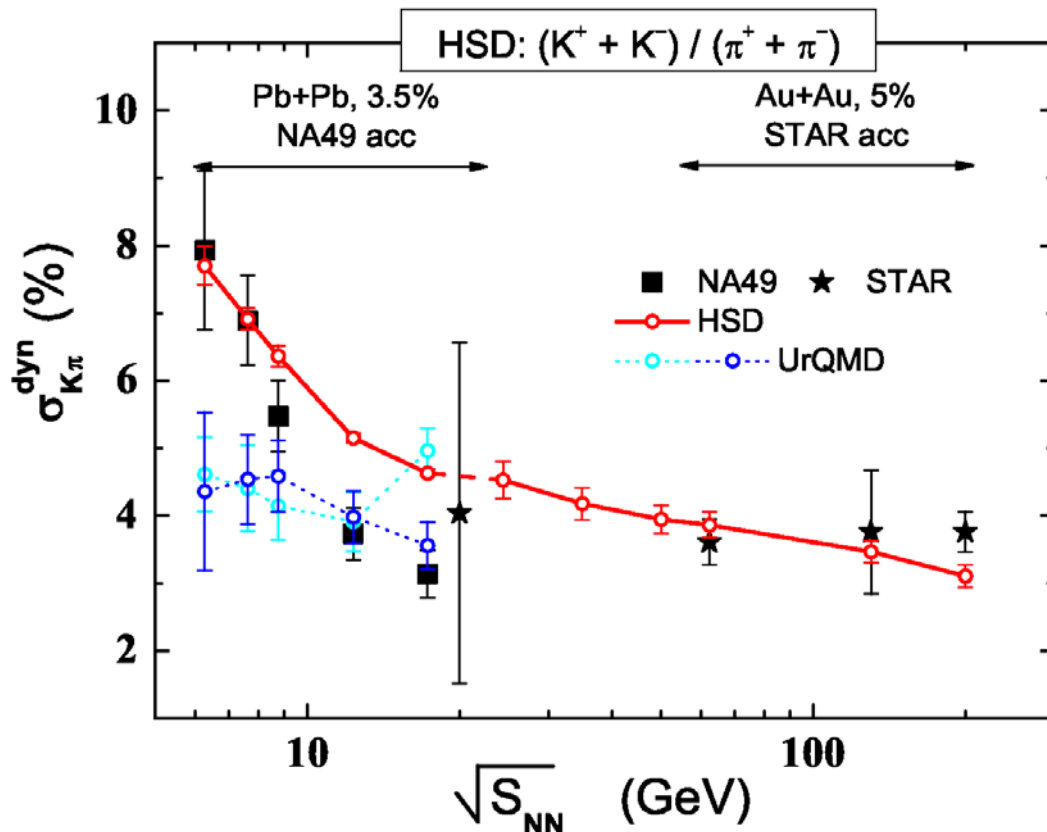
# Statistical and HSD Model Results for Ratio Fluctuations



❑ Large difference in SM and the transport model predictions for  $\omega_\pi$  with increasing energy!

❑ For  $\sigma_{dyn}$  SM and HSD differ at low energies in contrast to  $\omega$ !

# K/ $\pi$ -ratio fluctuations: Transport models vs Data



HSD: Phys. Rev. C 79 (2009) 024907

UrQMD: J. Phys. G 30 (2004) S1381, PoS CFRNC2006,017

NA49: 0808.1237

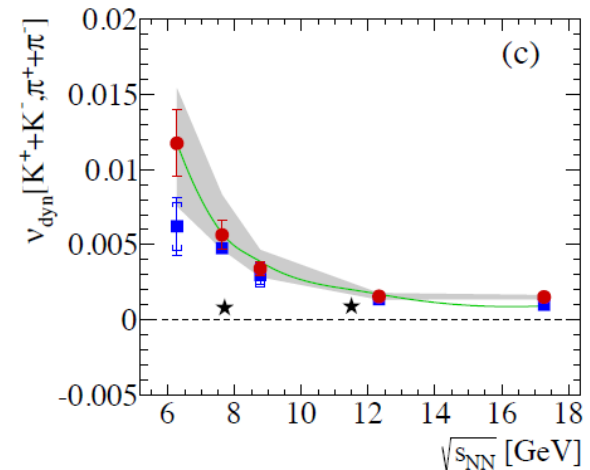
STAR: 0901.1795

- **Exp. data** show a plateau from top SPS up to RHIC energies and an increase towards lower SPS energies  $\rightarrow$  evidence for a critical point at low SPS energies ?

- **but** the HSD (without QGP!) results shows the same behavior  $\rightarrow$  K/p-ratio fluctuation is driven by hadronic sources

**! K/p ratio fluctuation is sensitive to the acceptance!**

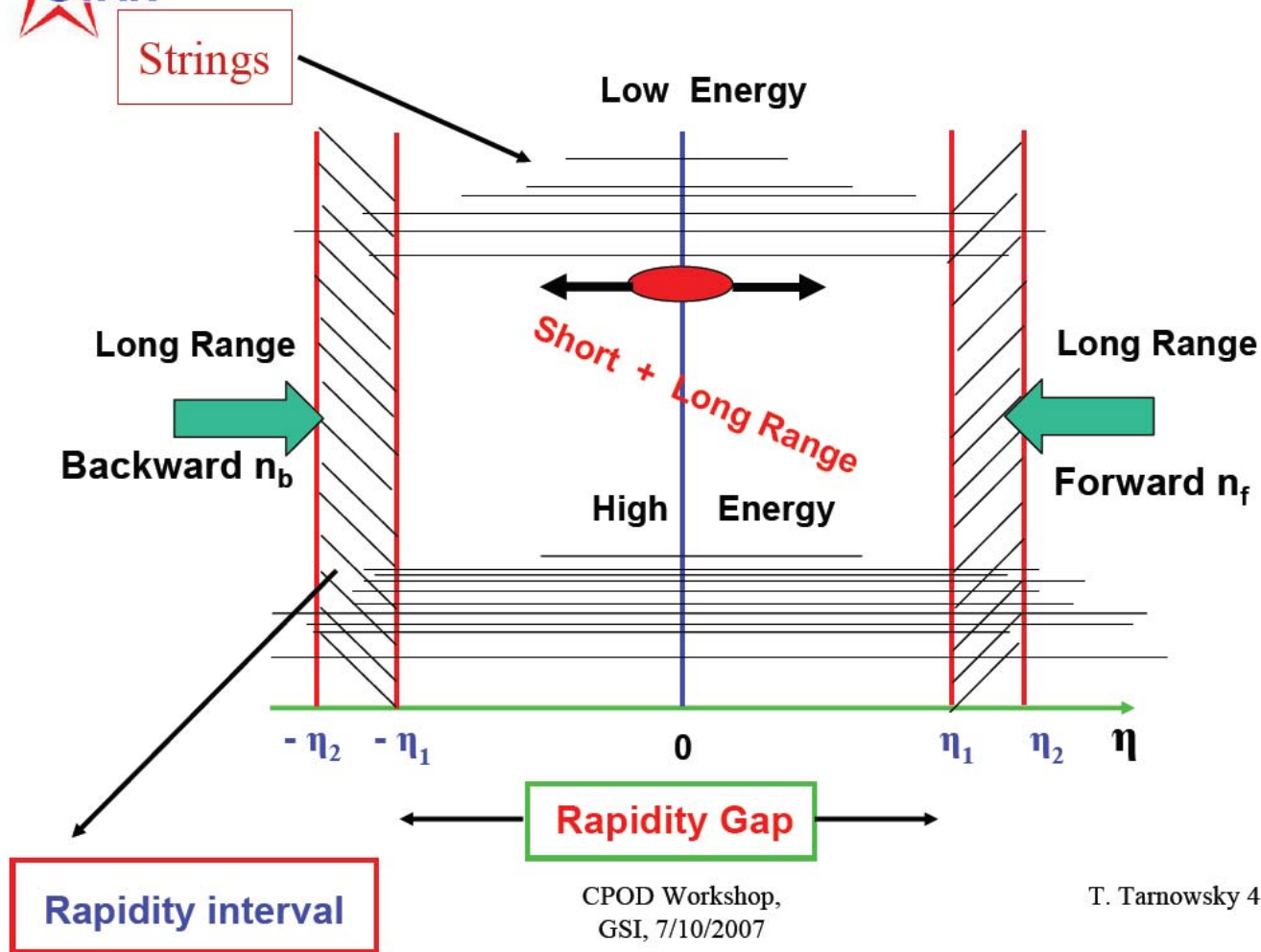
Cf. talk by Anar Rustamov



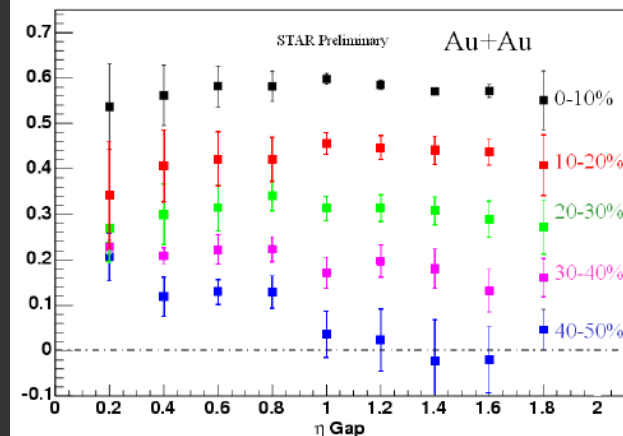
# Forward-Backward Correlations in Nucleus-Nucleus Collisions: Baseline Contributions from Geometrical Fluctuations?



STAR: 0905.0237



Au+Au  $\sqrt{s} = 200$  GeV



**STAR:**  
More central collision  $\rightarrow$   
higher correlations

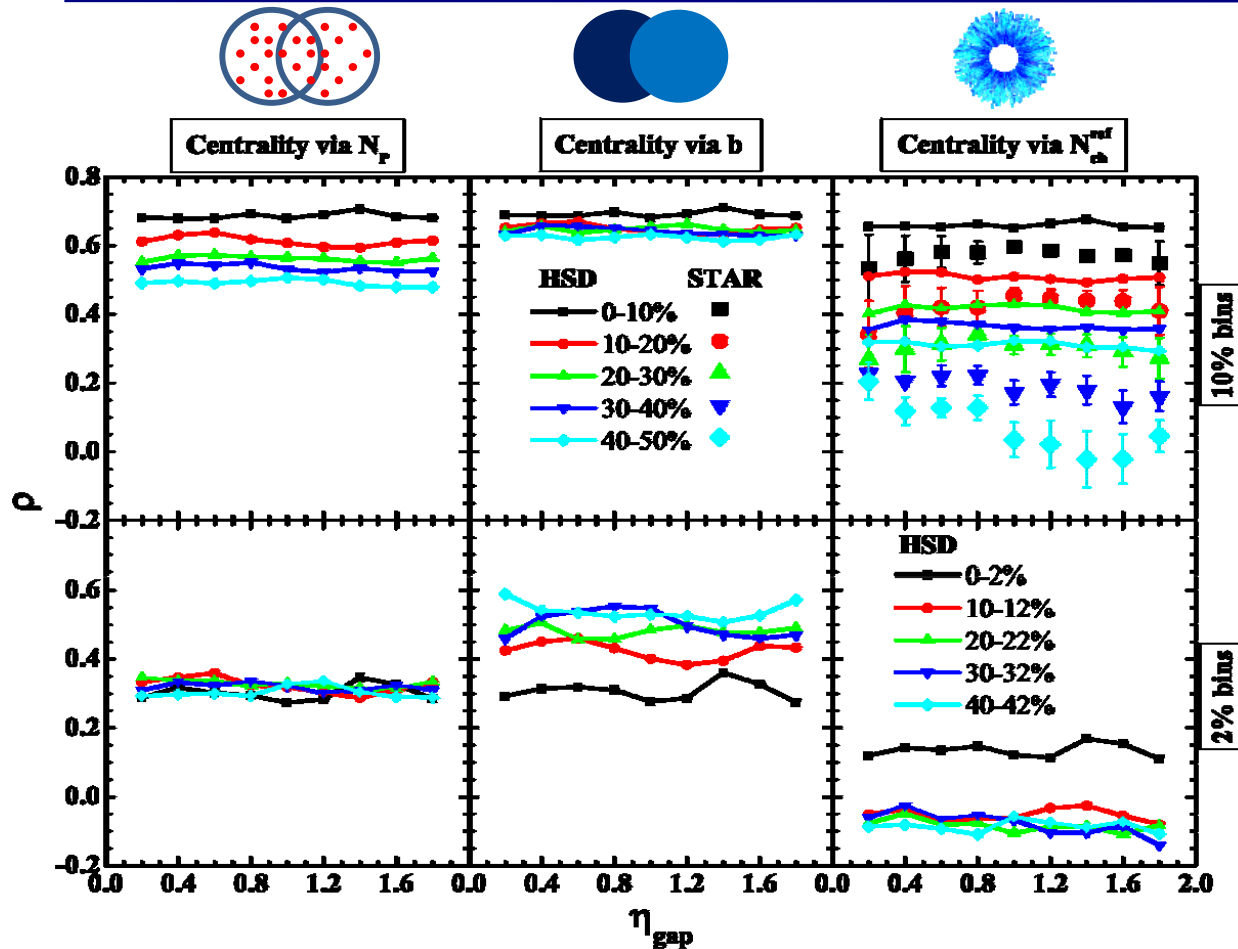
**Interpretation:**

- Dual Partonic Model?
- CGC?
- Geometrical fluctuations?

$$\rho_{fb} \equiv \frac{\langle \Delta N_f \cdot \Delta N_b \rangle^{\eta_{gap}}}{\sqrt{\langle (\Delta N_f)^2 \rangle^{\eta_{gap}} \langle (\Delta N_b)^2 \rangle^{\eta_{gap}}}}$$

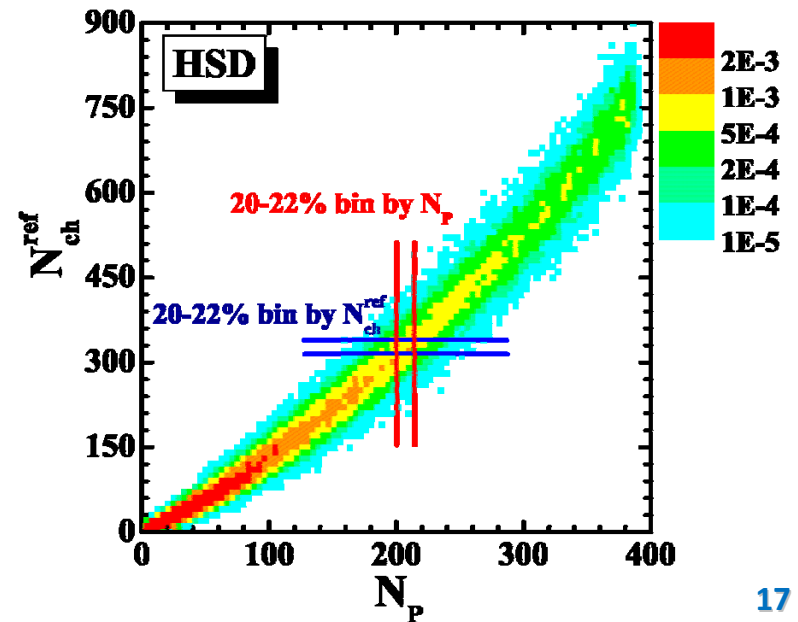


# Forward-Backward Correlations: Au+Au 200 GeV



- Correlation coefficient strongly depends on centrality definition
- When decreasing the width of centrality bins the FB correlation becomes weaker

• Different centrality definitions lead to **different event samples in the same centrality class**. This is crucial for **small centrality bins**!



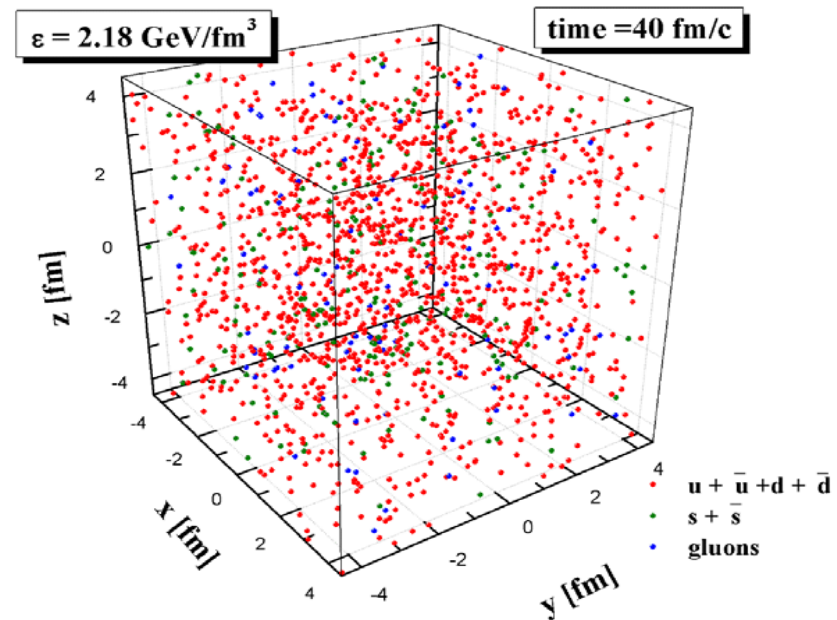
Konchakovski, Hauer, Torrieri, Gorenstein, Bratkovskaya, PRC79, 034910 (2009)

# Summary I

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- ❑ The **fluctuations in the number of target participants** – for fixed projectile participants - strongly influence all observable fluctuations
- ❑ **Statistical and transport models** show different results in **central A+A** collisions for multiplicity fluctuations versus energy. To distinguish between models → exp. bin energy scan
- ❑ Transport models show a **smooth energy and atomic number dependence for the multiplicity fluctuations**. Thus, the **hadron-string models** (without explicit phase transition!) demonstrate that the expected enhanced fluctuations - attributed to the critical point and phase transition - may be observed experimentally on top of a **monotonic and smooth 'background'**
- ❑ HSD results for the **K/π ratio fluctuations** show that it grows at low SPS energies similar to the NA49 data; **strong sensitivity to exp. acceptance!**
- ❑ **Forward-backward correlations** show a large sensitivity on the initial collisional geometry and centrality bin definition!

# Fluctuations in-equilibrium QGP using PHSD





# Parton-Hadron-String-Dynamics (PHSD)

PHSD is a non-equilibrium transport model with

- explicit **phase transition** from hadronic to partonic degrees of freedom
- **IQCD EoS** for the partonic phase (‘crossover’ at  $\mu_q=0$ )
- explicit **parton-parton interactions** - between quarks and gluons
- dynamical **hadronization**

□ **QGP phase is** described by the **Dynamical QuasiParticle Model (DQPM)** matched to reproduce lattice QCD

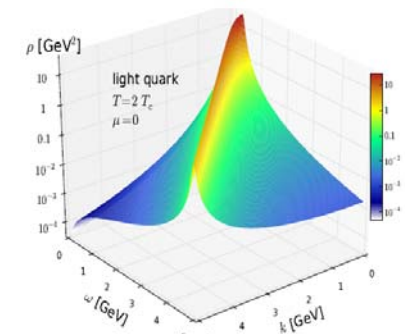
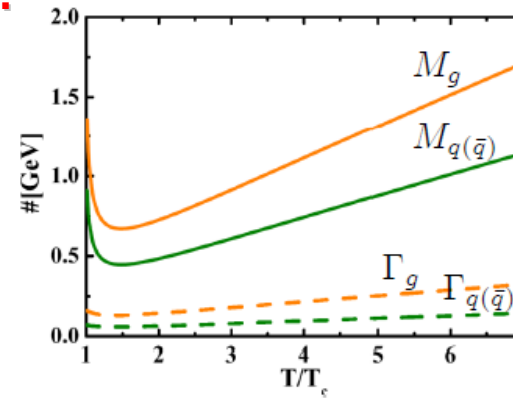
A. Peshier, W. Cassing, PRL 94 (2005) 172301;  
W. Cassing, NPA 791 (2007) 365; NPA 793 (2007)

▪ **strongly interacting quasi-particles:** massive quarks and gluons ( $g, q, q_{\text{bar}}$ ) with sizeable collisional widths in self-generated **mean-field potential**

▪ **Spectral functions:**

$$\rho_i(\omega, T) = \frac{4\omega\Gamma_i(T)}{\left(\omega^2 - \vec{p}^2 - M_i^2(T)\right)^2 + 4\omega^2\Gamma_i^2(T)}$$

$(i = q, \bar{q}, g)$



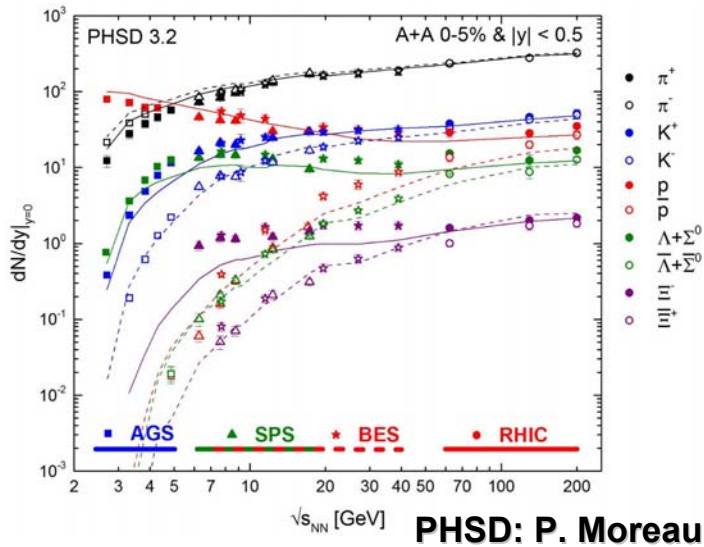
□ **Transport theory:** generalized off-shell transport equations based on the 1st order gradient expansion of Kadanoff-Baym equations (**applicable for strongly interacting system!**)



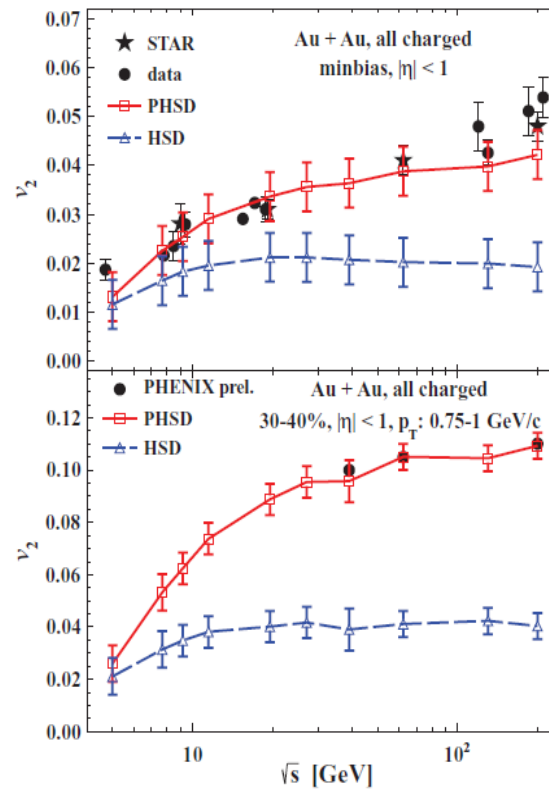
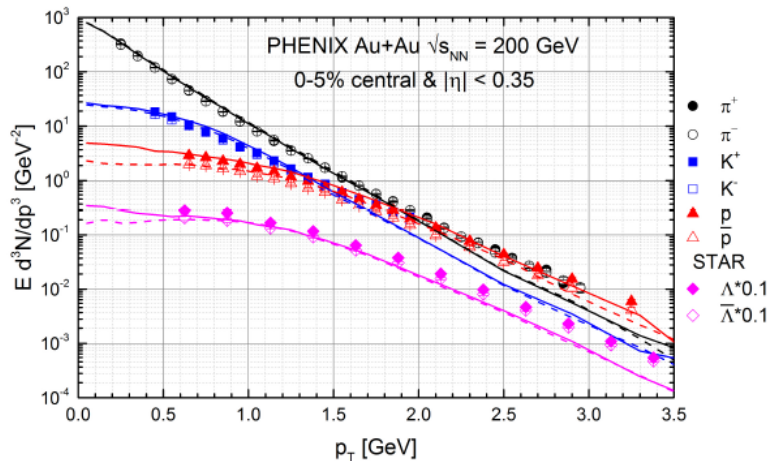
# Description of A+A with PHSD

**Important:** to be conclusive on charm observables, the **light quark dynamics** must be well under control!

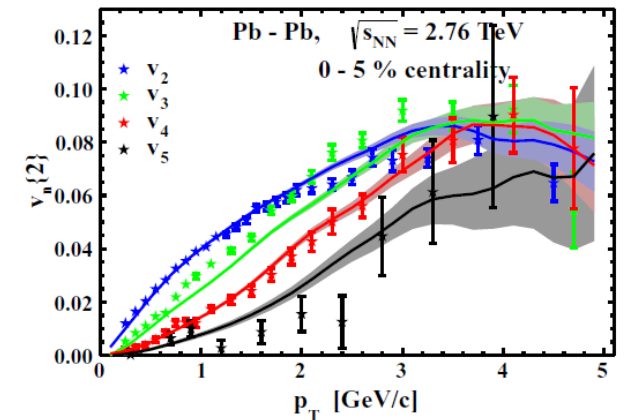
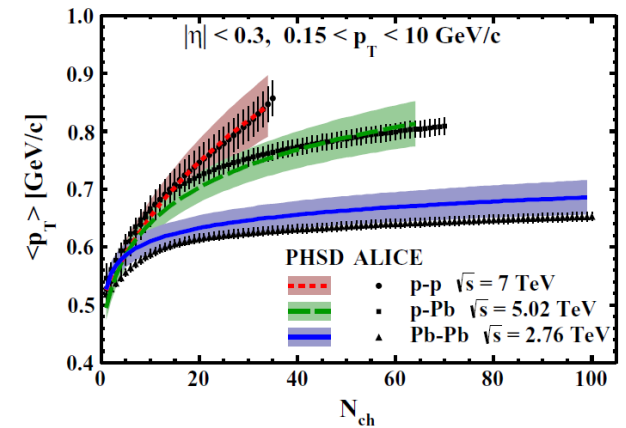
Cf. talk by Pierre Moreau, Tu, 16:20; Alessia Palmese, Fr, 16:00



PHSD: P. Moreau



V. Konchakovski et al.,  
PRC 85 (2012) 011902; JPG42 (2015) 055106



**PHSD** provides a **good description of ,bulk‘ observables** ( $y$ -,  $p_T$ -distributions, flow coefficients  $v_n$ , ...) from SPS to LHC



# Properties of parton-hadron matter in-equilibrium

V. Ozvenchuk et al., PRC 87 (2013) 024901, arXiv:1203.4734

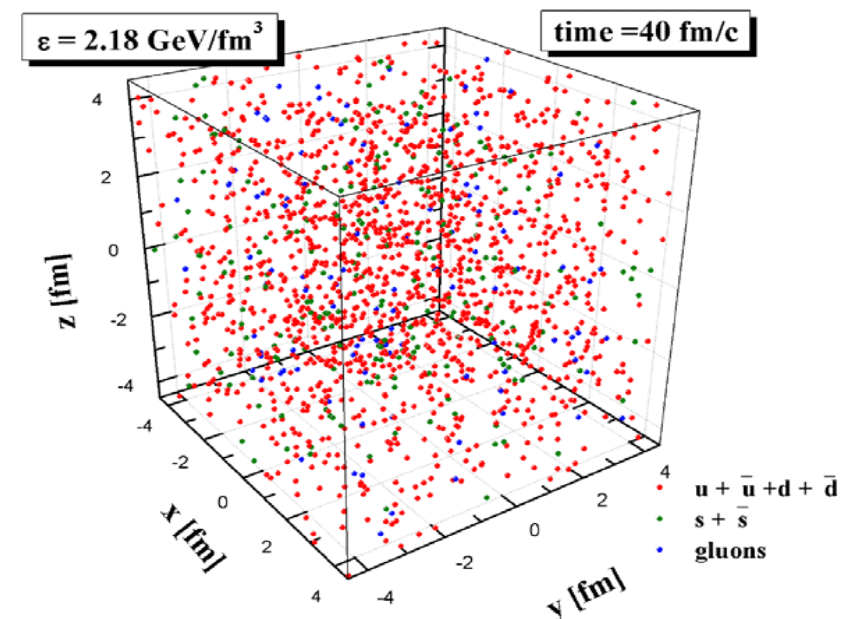
V. Ozvenchuk et al., PRC 87 (2013) 064903, arXiv:1212.5393

## The goal:

- **study of the dynamical equilibration** of QGP within the non-equilibrium off-shell PHSD transport approach
- **transport coefficients** (shear and bulk viscosities) of **strongly interacting** partonic matter
- **particle number fluctuations** (scaled variance, skewness, kurtosis)

## Realization:

- Initialize the system in a **finite box with periodic boundary conditions** with some energy density  $\varepsilon$  and chemical potential  $\mu_q$
- Evolve the system in time until equilibrium is achieved





# Properties of parton-hadron matter – shear viscosity

$\eta/s$  using Kubo formalism and the relaxation time approximation (,kinetic theory‘)

□  $T=T_c$ :  $\eta/s$  shows a minimum ( $\sim 0.1$ ) close to the critical temperature

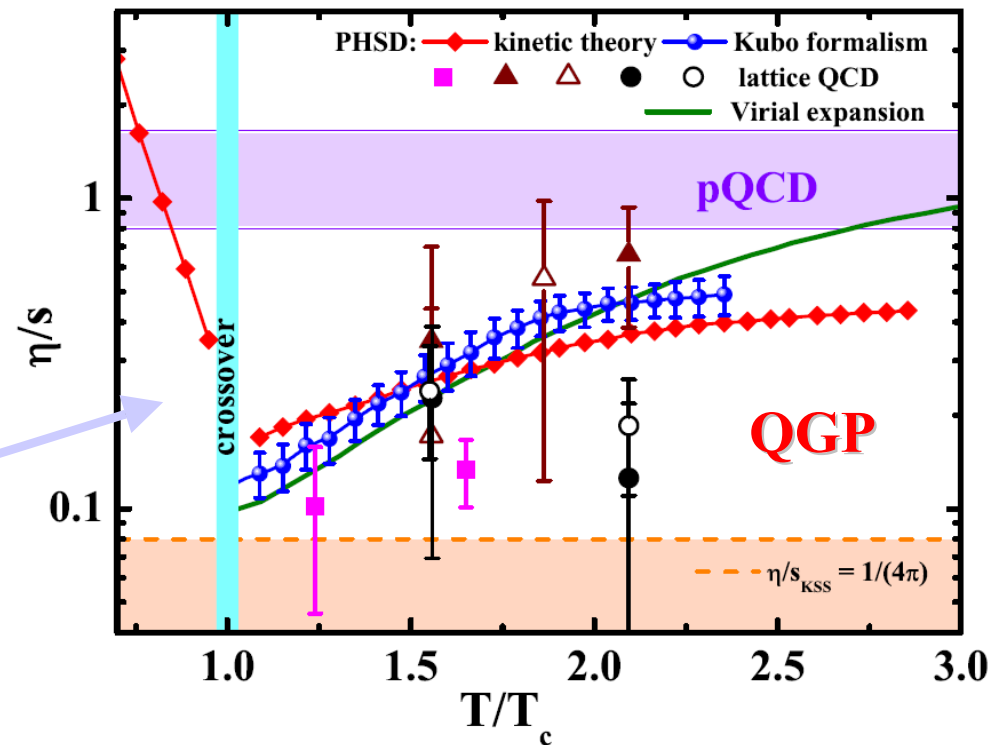
□  $T>T_c$ : QGP - pQCD limit at higher temperatures

□  $T<T_c$ : fast increase of the ratio  $\eta/s$  for hadronic matter →

- lower interaction rate of hadronic system
- smaller number of degrees of freedom (or entropy density) for hadronic matter compared to the QGP



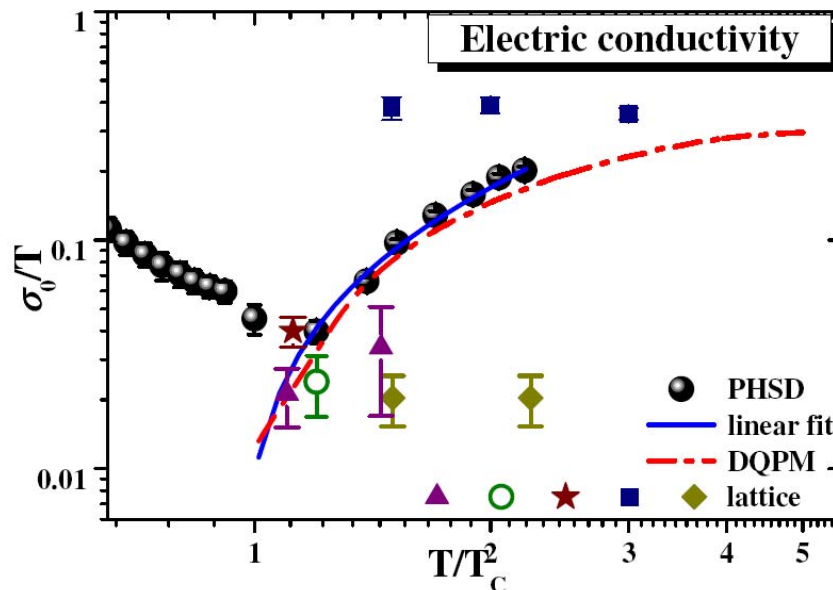
**QGP in PHSD = strongly-interacting liquid**



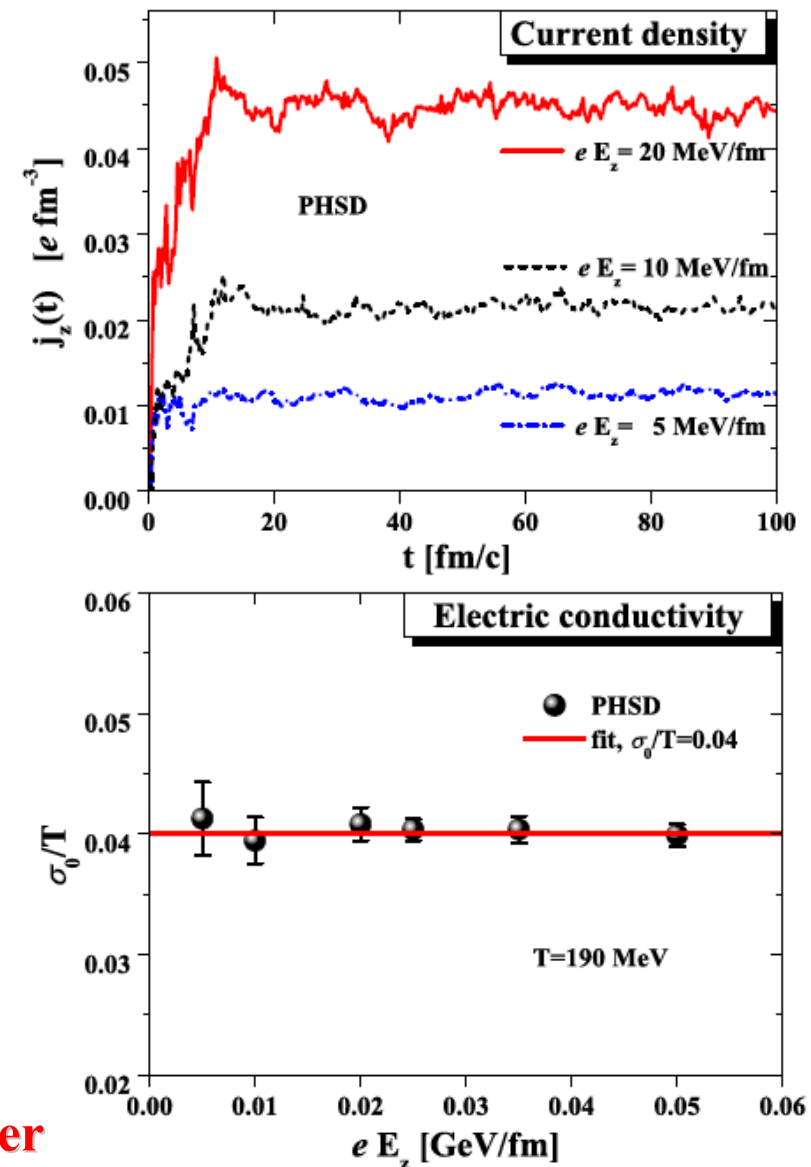
Virial expansion: S. Mattiello, W. Cassing, Eur. Phys. J. C 70, 243 (2010).

- The response of the strongly-interacting system in equilibrium to an **external electric field**  $eE_z$  defines the **electric conductivity**  $\sigma_0$ :

$$\frac{\sigma_0}{T} = \frac{j_{eq}}{E_z T}, \quad j_z(t) = \frac{1}{V} \sum_j eq_j \frac{p_z^j(t)}{M_j(t)}$$



- the **QCD matter** even at  $T \sim T_c$  is a **much better electric conductor than Cu or Ag** (at room temperature) by a factor of 500 !



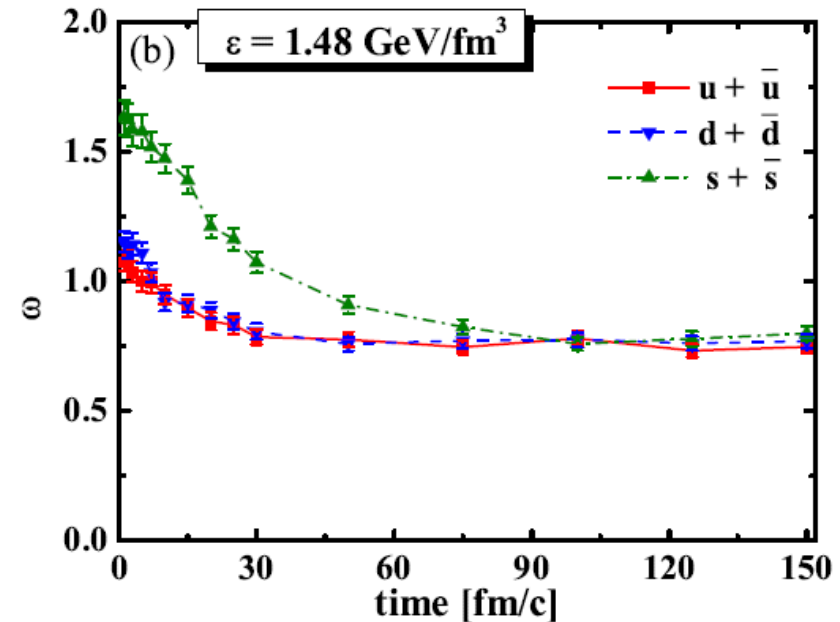
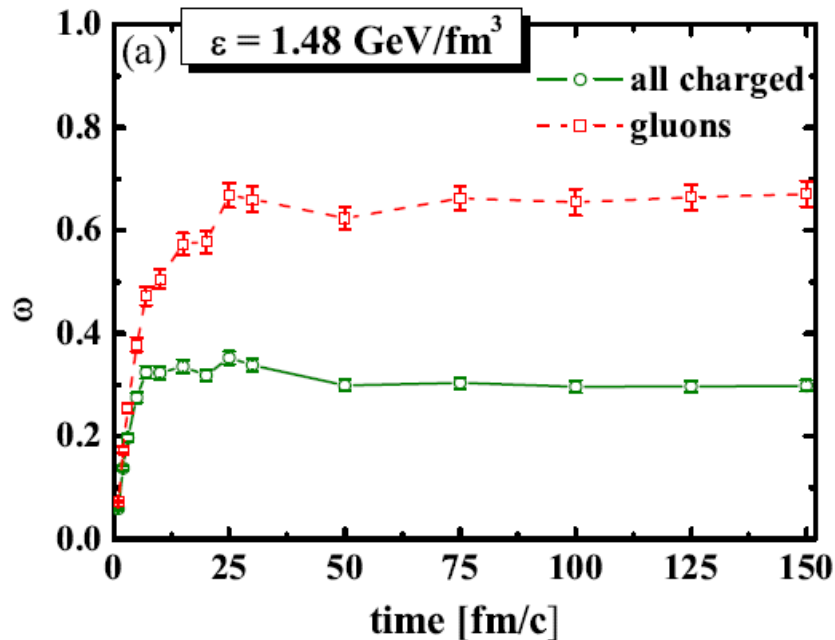


# Scaled variance

□ scaled variance:  $\omega = \frac{\sigma^2}{\mu}$

where  $\mu$  is the mean value of the observable  $x$  averaged over  $N$  events:

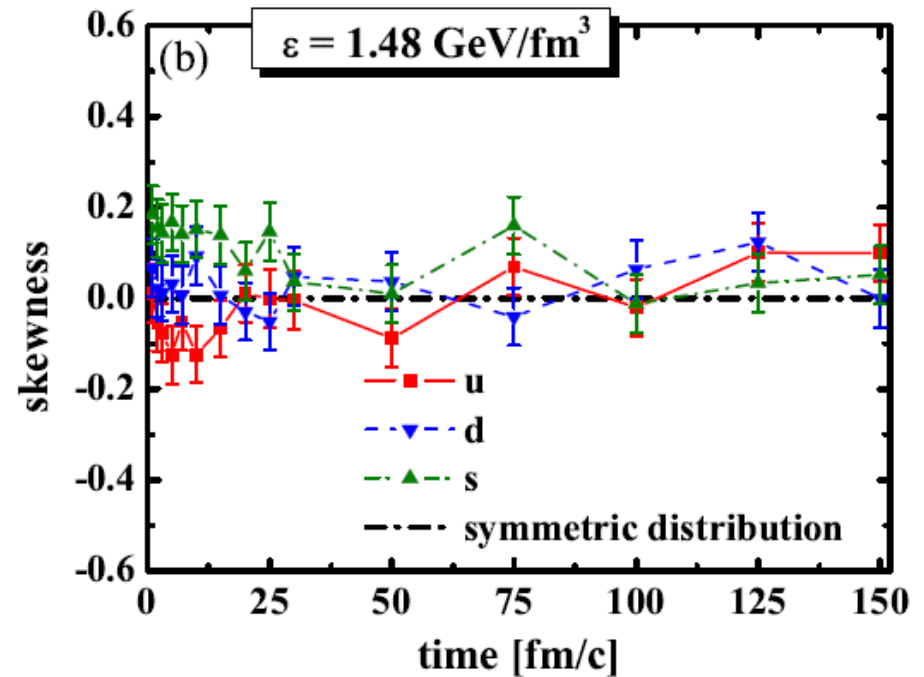
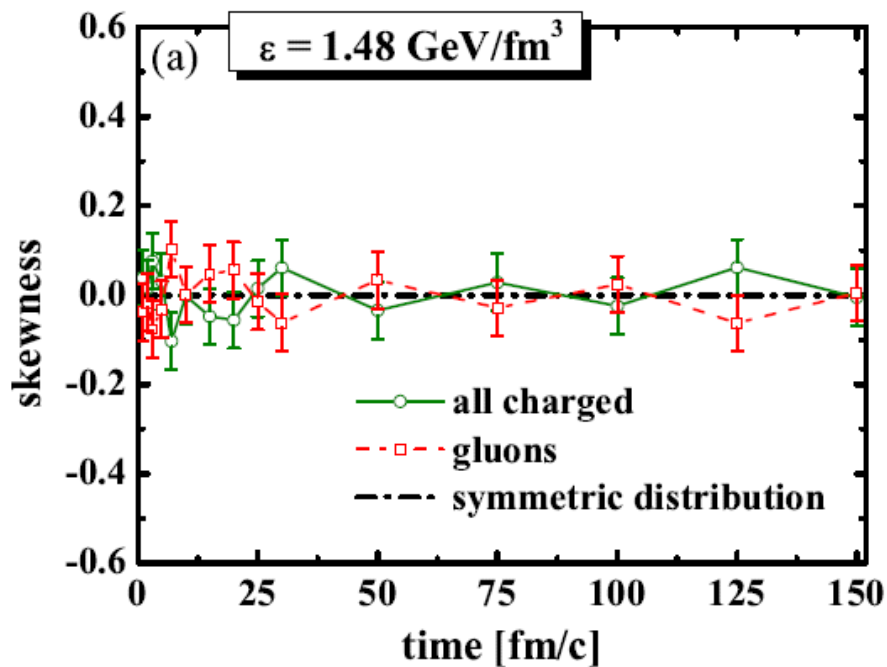
$\sigma^2$  is the sample variance:  $\sigma^2 = \frac{1}{N-1} \sum_{i=1}^N (x_i - \mu)^2$        $\mu = \frac{1}{N} \sum_{i=1}^N x_i$



- scaled variances reach a **plateau** in time for all observables
- equilibrium values are **less than 1** (as in GCE) for all  $\omega$  → **MCE**
- particle number fluctuations are **flavor blind**

□ skewness  $g_1 = \frac{m_3}{m_2^{3/2}} = \frac{m_3}{\sigma^3}$  ,  $m_3 = \frac{1}{N} \sum_{i=1}^N (x_i - \mu)^3$

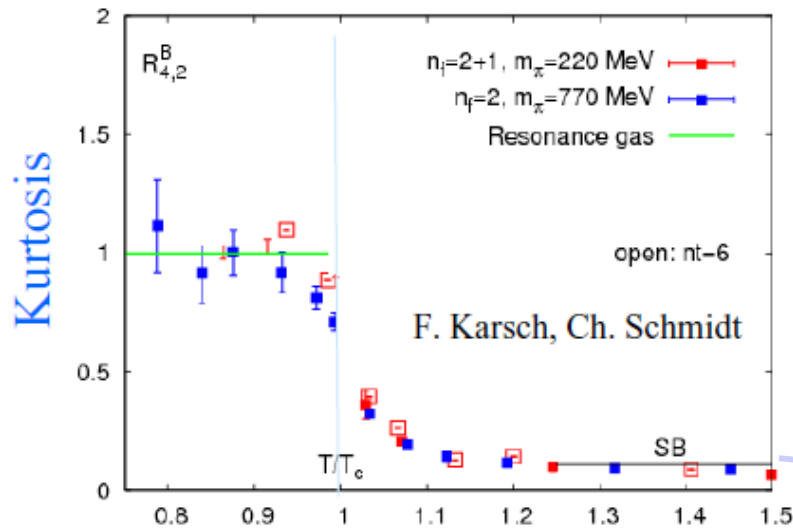
□ skewness characterizes the **asymmetry** of the distribution function with respect to its **average value**



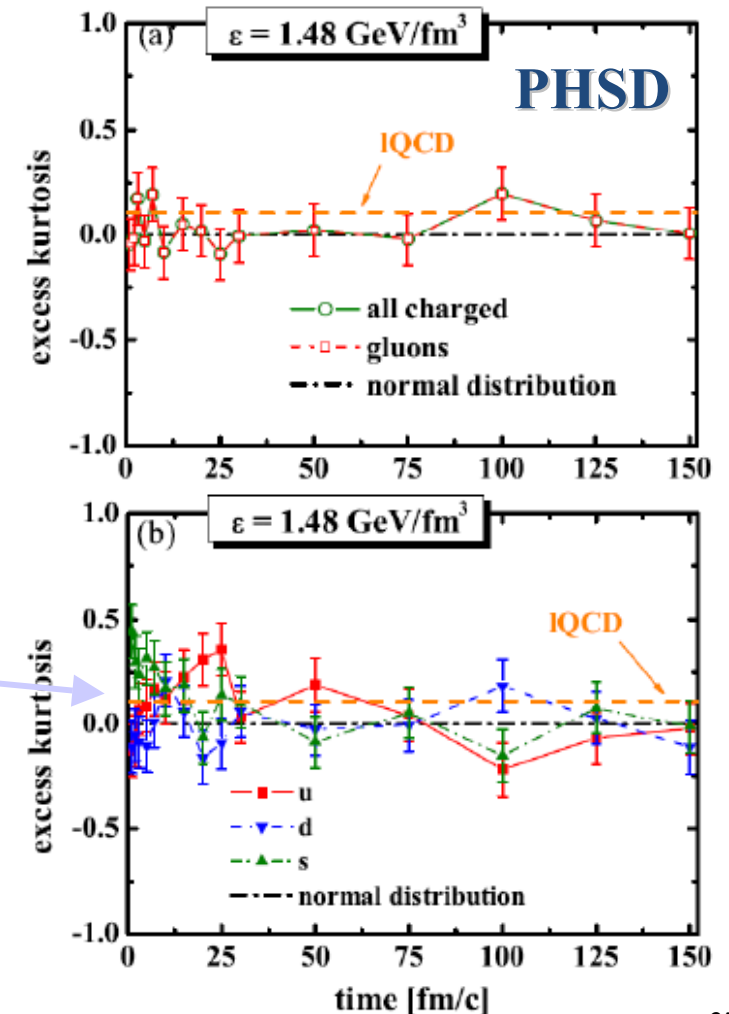
# Kurtosis

- **kurtosis:**  $\beta_2 = \frac{m_4}{m_2^2} = \frac{m_4}{\sigma^4}$ ,  $m_4 = \frac{1}{N} \sum_{i=1}^N (x_i - \mu)^4$
- $\beta_2$  is equal to 3 for normal distribution → **excess kurtosis**  $g_2 = \beta_2 - 3$
- Kurtosis as a probe of **deconfinement:**

**IQCD:** Ejiri, Karsch, Redlich, Phys. Lett. B 633, 275 (2006)



- Kurtosis in **PHSD** is compatible with **IQCD** for QGP



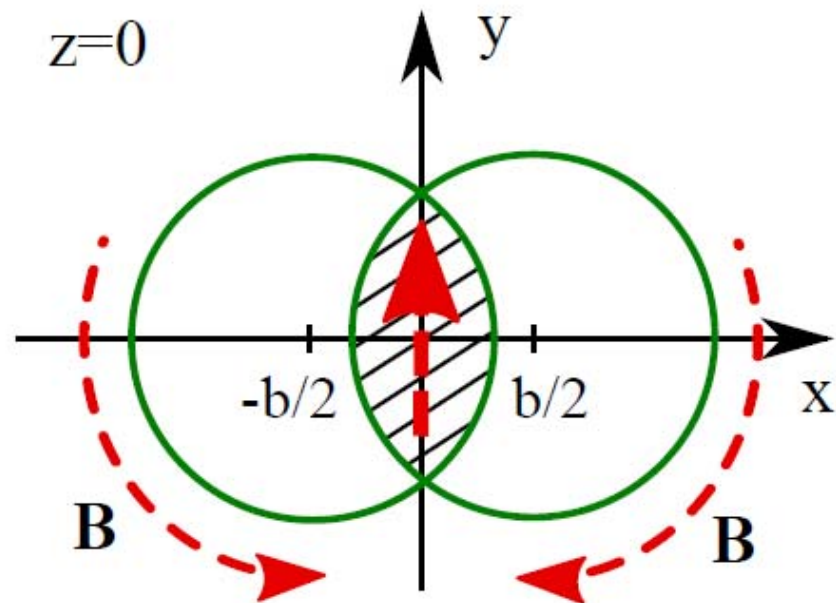


## Summary II (parton-hadron matter in-equilibrium)

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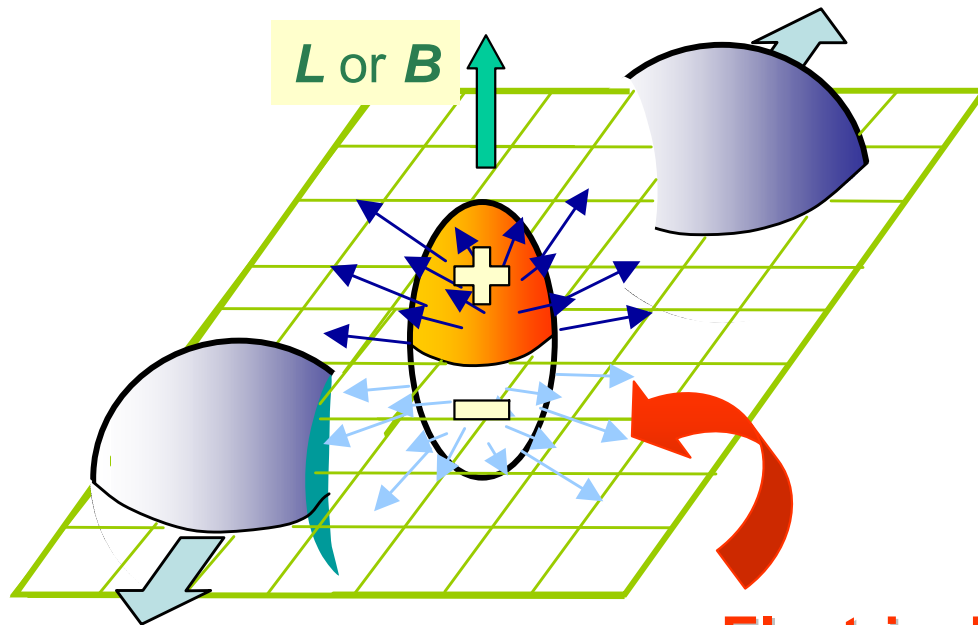
- $\eta/s \rightarrow$  QGP in PHSD behaves as a **strongly-interacting liquid**
- **significant rise** of the bulk viscosity to entropy density ratio  $\zeta/s$  in the **vicinity** of the critical temperature when including the **scalar mean-field** from PHSD
- **scaled variances**  $\omega$  for the different particle number fluctuations in the box reach **equilibrium values** in time and behave as in a **micro-canonical ensemble**
- **skewness** for all observables are compatible with **zero**
- **excess kurtosis** is compatible with **lQCD results** for gluons and charged particles

# Chiral magnetic effect and evolution of the electromagnetic field in relativistic heavy-ion collisions



# Charge separation in HIC: CP violation signal

**Magnetic field** through the **axial anomaly** induces a parallel electric field which will separate different charges



Non-zero angular momentum (or equivalently strong magnetic field) in heavy-ion collisions make it possible for P- and CP-odd domains to induce charge separation

→ **'chiral magnetic effect' (CME)**  
D.Kharzeev, PLB 633 (2006) 260

**Electric dipole moment of QCD matter !**

Measuring the charge separation with respect to the reaction plane - **S.Voloshin**, PRC 70 (2004) 057901

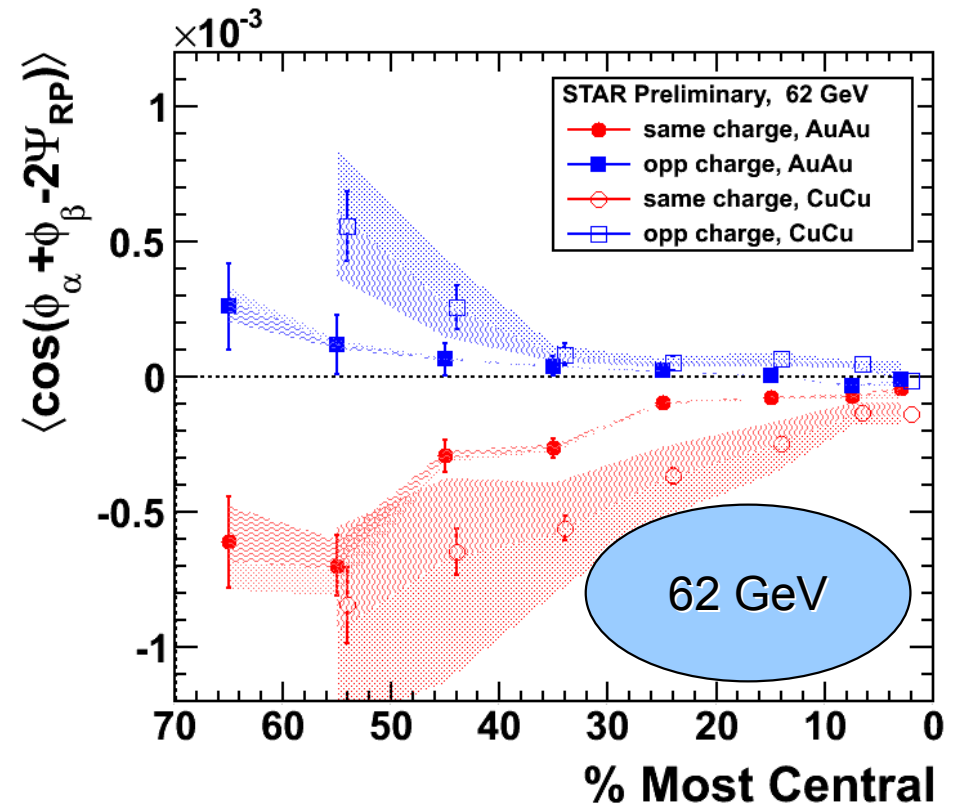
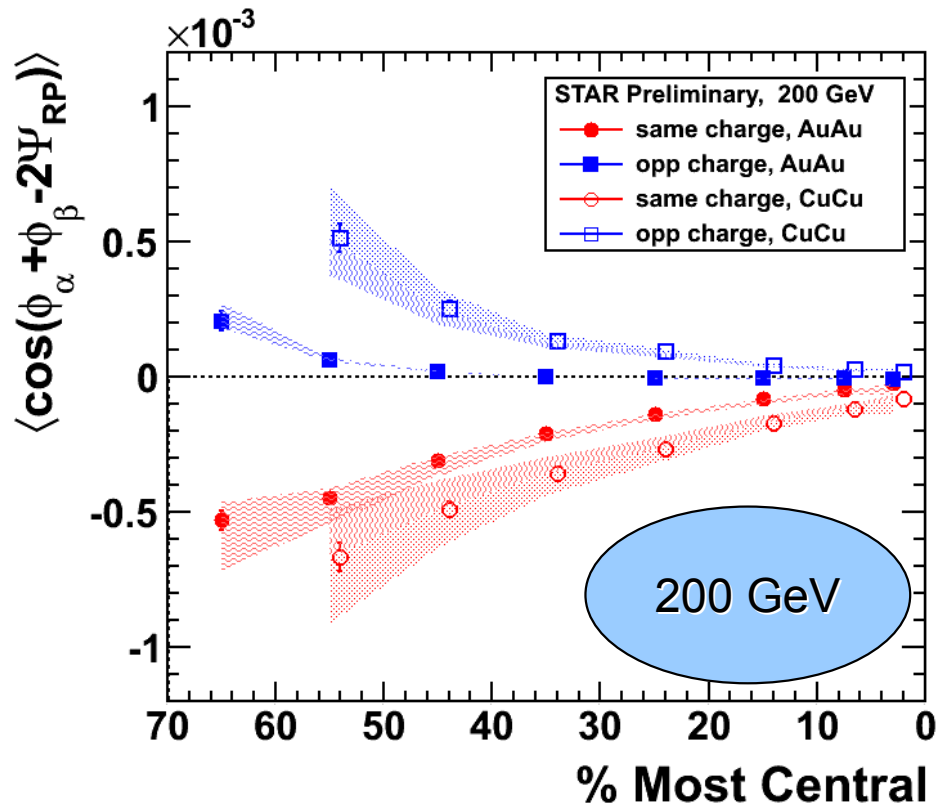
$$\begin{aligned} \rightarrow & \langle \cos(\psi_\alpha + \psi_\beta - 2\Psi_{RP}) \rangle = \\ & = \langle \cos(\psi_\alpha + \psi_\beta - 2\psi_c) \rangle / v_{2,c} = v_{1,\alpha}v_{1,\beta} - a_\alpha a_\beta \end{aligned}$$

→ Combination of intense B-field and **deconfinement** is needed for a **spontaneous parity violation signal !**

# Charge separation in RHIC experiments

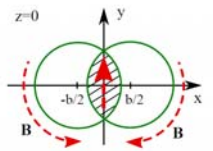
STAR Collaboration, PRL 103 (2009) 251601

$$\langle \cos(\varphi_a + \varphi_b - 2\Psi_{RP}) \rangle$$



Combination of intense B and deconfinement is needed for a spontaneous parity violation signal

# PHSD with electromagnetic fields



□ Generalized transport equations in the presence of electromagnetic fields\*:

$$\left. \begin{aligned} \dot{\vec{r}} &\rightarrow \frac{\vec{p}}{p_0} + \vec{\nabla}_p U, & U &\sim \text{Re}(\Sigma^{\text{ret}})/2p_0 \\ \dot{\vec{p}} &\rightarrow -\vec{\nabla}_r U + e\vec{E} + e\vec{v} \times \vec{B} \end{aligned} \right\} \left\{ \begin{aligned} \vec{B} &= \vec{\nabla} \times \vec{A} \\ \vec{E} &= -\vec{\nabla} \Phi - \frac{\partial \vec{A}}{\partial t} \end{aligned} \right.$$

□ A general solution of the wave equations

$$\left\{ \begin{aligned} \nabla \times \mathbf{E} &= -\frac{1}{c} \frac{\partial \mathbf{B}}{\partial t} \\ \nabla \cdot \mathbf{B} &= 0 \end{aligned} \right. \Rightarrow \left\{ \begin{aligned} \vec{A}(\vec{r}, t) &= \frac{1}{4\pi} \int \frac{\vec{j}(\vec{r}', t') \delta(t - t' - |\vec{r} - \vec{r}'|/c)}{|\vec{r} - \vec{r}'|} d^3r' dt' \\ \Phi(\vec{r}, t) &= \frac{1}{4\pi} \int \frac{\rho(\vec{r}', t') \delta(t - t' - |\vec{r} - \vec{r}'|/c)}{|\vec{r} - \vec{r}'|} d^3r' dt' \end{aligned} \right.$$

→ retarded Lienard-Wiechert electric and magnetic potentials:

$$e \mathbf{E}(\mathbf{r}, t) = \alpha \sum_n Z_n \frac{[\mathbf{R}_n - R_n \mathbf{v}_n]}{(R_n - \mathbf{R}_n \cdot \mathbf{v})^3} (1 - v^2), \quad e \mathbf{B}(\mathbf{r}, t) = \alpha \sum_n Z_n \frac{\mathbf{v} \times \mathbf{R}_n}{(R_n - \mathbf{R}_n \cdot \mathbf{v})^3} (1 - v^2),$$

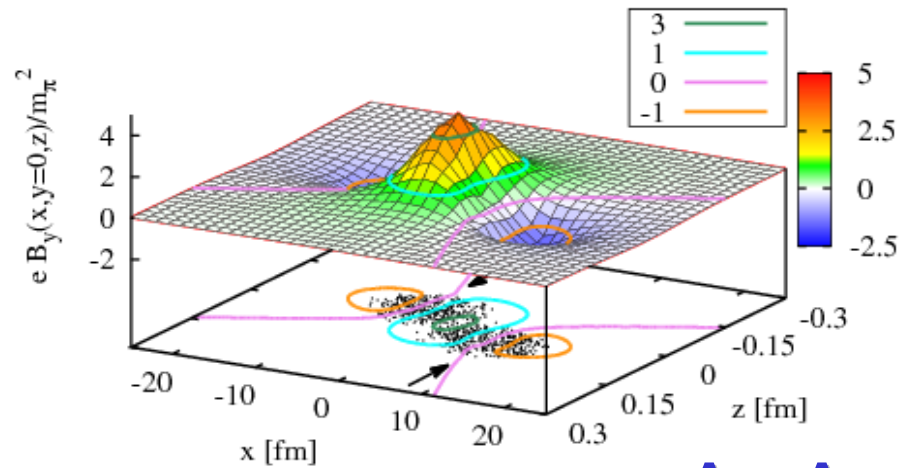
$$\mathbf{R}_n = \mathbf{r} - \mathbf{r}_n$$

\* Realized in the PHSD for hadrons and quarks

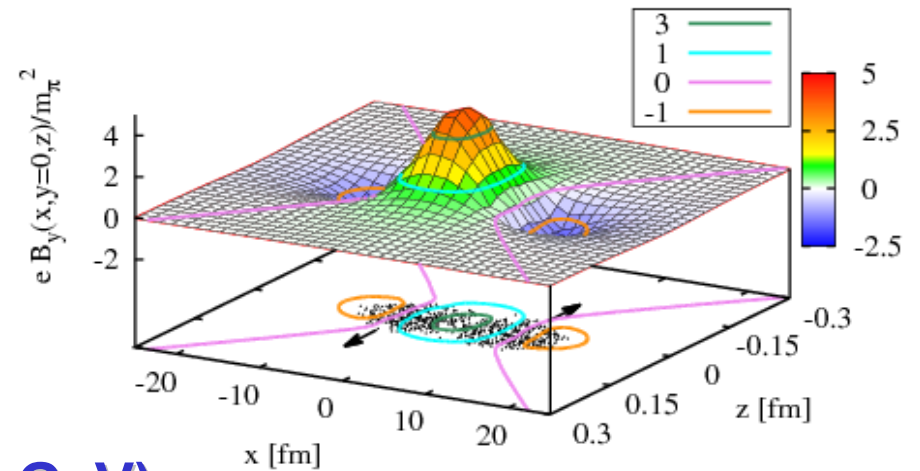


# Magnetic field evolution

AuAu,  $\sqrt{s_{NN}} = 200$  GeV,  $b=10$  fm,  $t=0.01$  fm/c

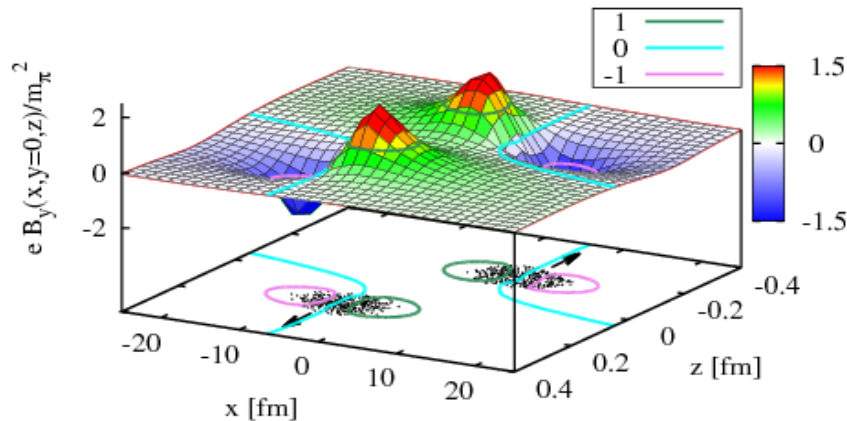


AuAu,  $\sqrt{s_{NN}} = 200$  GeV,  $b=10$  fm,  $t=0.05$  fm/c

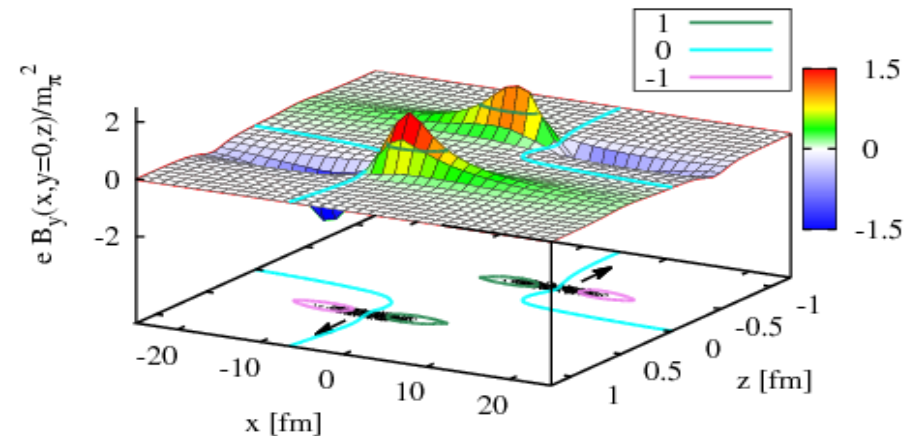


**Au+Au (200 GeV)**  
**b=10 fm**

AuAu,  $\sqrt{s_{NN}} = 200$  GeV,  $b=10$  fm,  $t=0.2$  fm/c

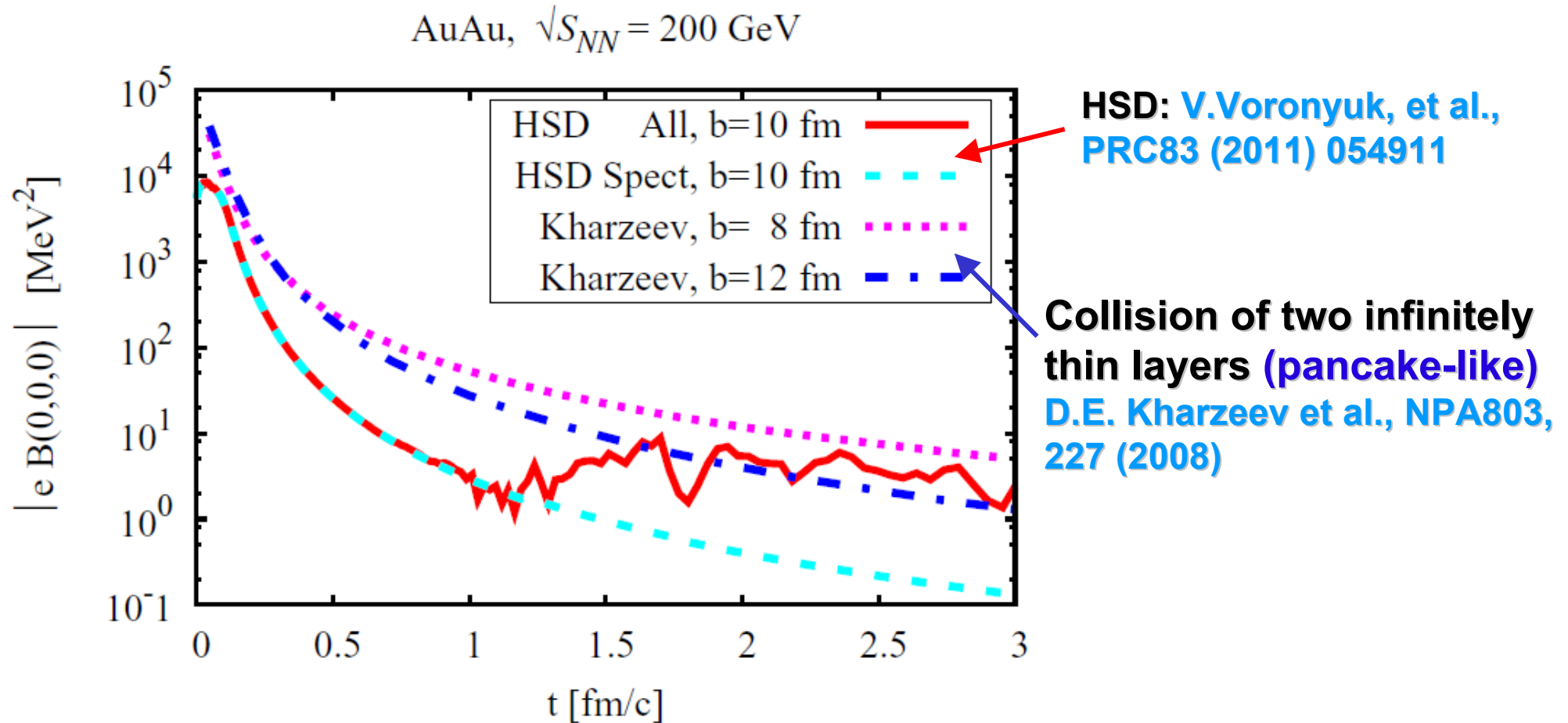


AuAu,  $\sqrt{s_{NN}} = 200$  GeV,  $b=10$  fm,  $t=0.5$  fm/c



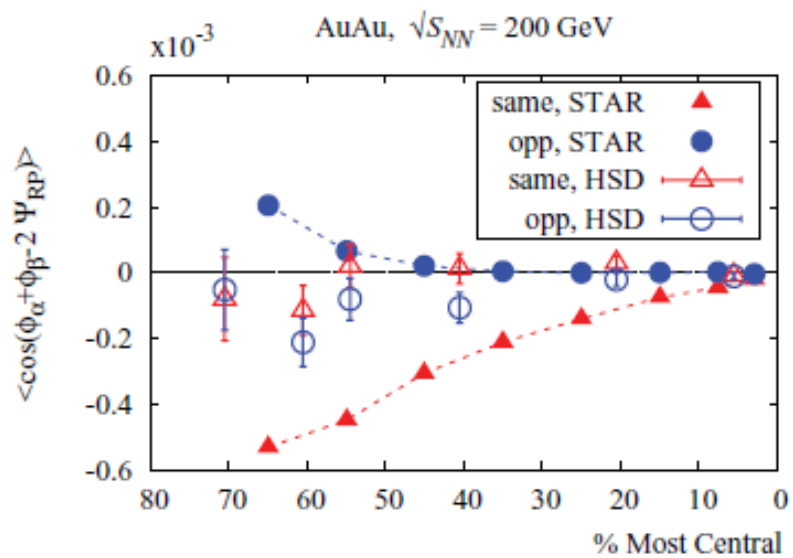
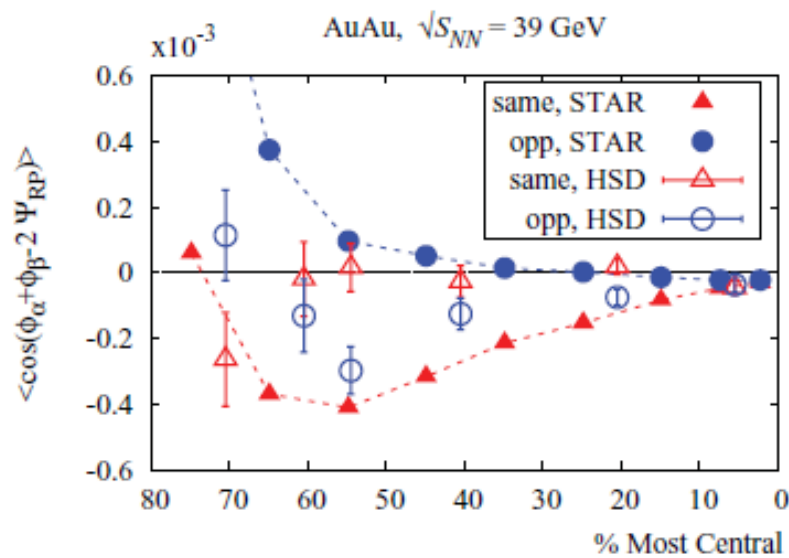
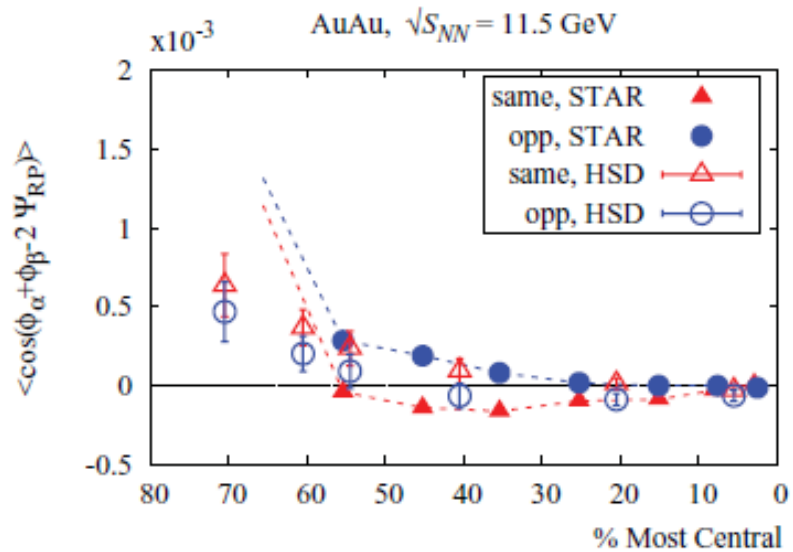
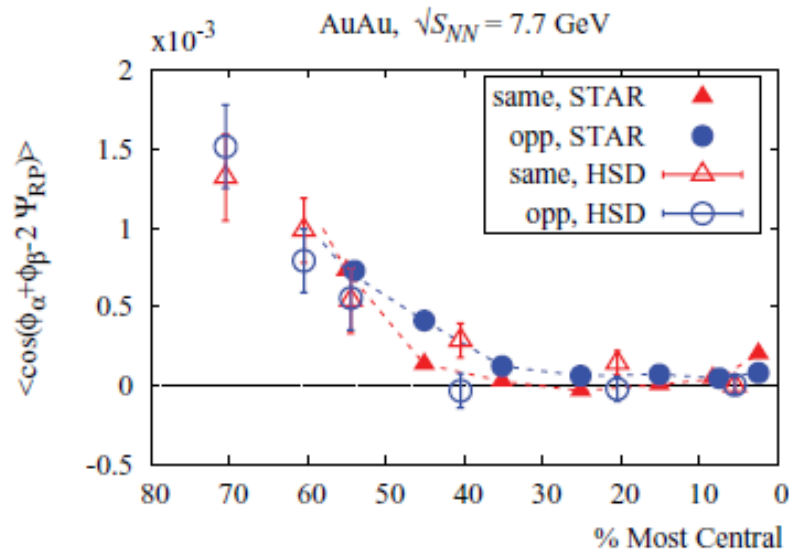
$$m_{\pi}^2 \approx 10^{18} \text{ Gauss}$$

# Time dependence of $eB_y$



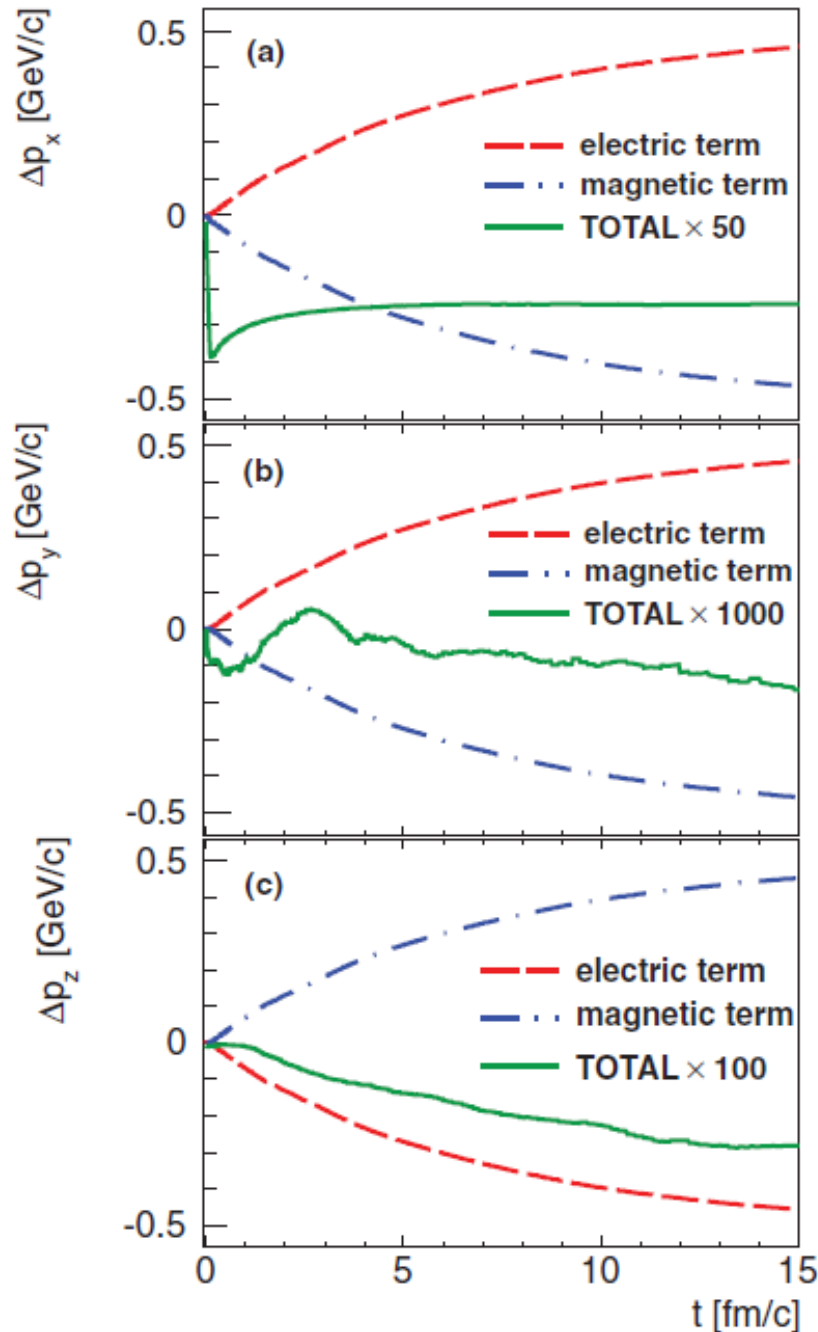
- Until  $t \sim 1$  fm/c the induced magnetic field is defined by **spectators only**
- **Maximal magnetic field** is reached during nuclear **overlapping time**  $\Delta t \sim 0.2$  fm/c, then the field goes down exponentially

# Angular correlation wrt. reaction plane



➔ Angular correlation is of **hadronic origin** up to  $s^{1/2} = 11$  GeV !

# Compensation of magnetic and electric forces



← Au+Au,  $s^{1/2} = 11$  GeV,  $b=10$  fm

$$\dot{\vec{p}} \rightarrow e\vec{E} + e\vec{v} \times \vec{B}$$

Momentum increment:  
(for  $p_z > 0$ )

$$\Delta\mathbf{p}(t) = \sum_{t_i}^t \langle d\mathbf{p}(t_i) \rangle$$

→ strong magnetic and electric forces compensate each other!

V. D. Toneev et al., PRC 85 (2012) 034910,  
PRC 86 (2012) 064907

# Summary III (CME – angular correlations)



The **PHSD transport model with retarded electromagnetic fields** shows :

- ❑ creation of **strong electric and magnetic fields** at heavy-ion collisions
- ❑ strong magnetic and electric forces **compensate each other** → small effect on observables
- ❑ low-energy experiments within the RHIC BES program at  $\sqrt{s_{NN}} = 7.7$  and 11.5 GeV can be explained within **hadronic scenario** without reference to the spontaneous local CP violation.
- ❑ PHSD doesn't reproduce the exp. data on angular correlations  $\langle \cos(\varphi_a + \varphi_b - 2\psi_{RP}) \rangle$  at  $\sqrt{s_{NN}} = 39 - 200$  GeV → indication for CME?

**Thank you!**