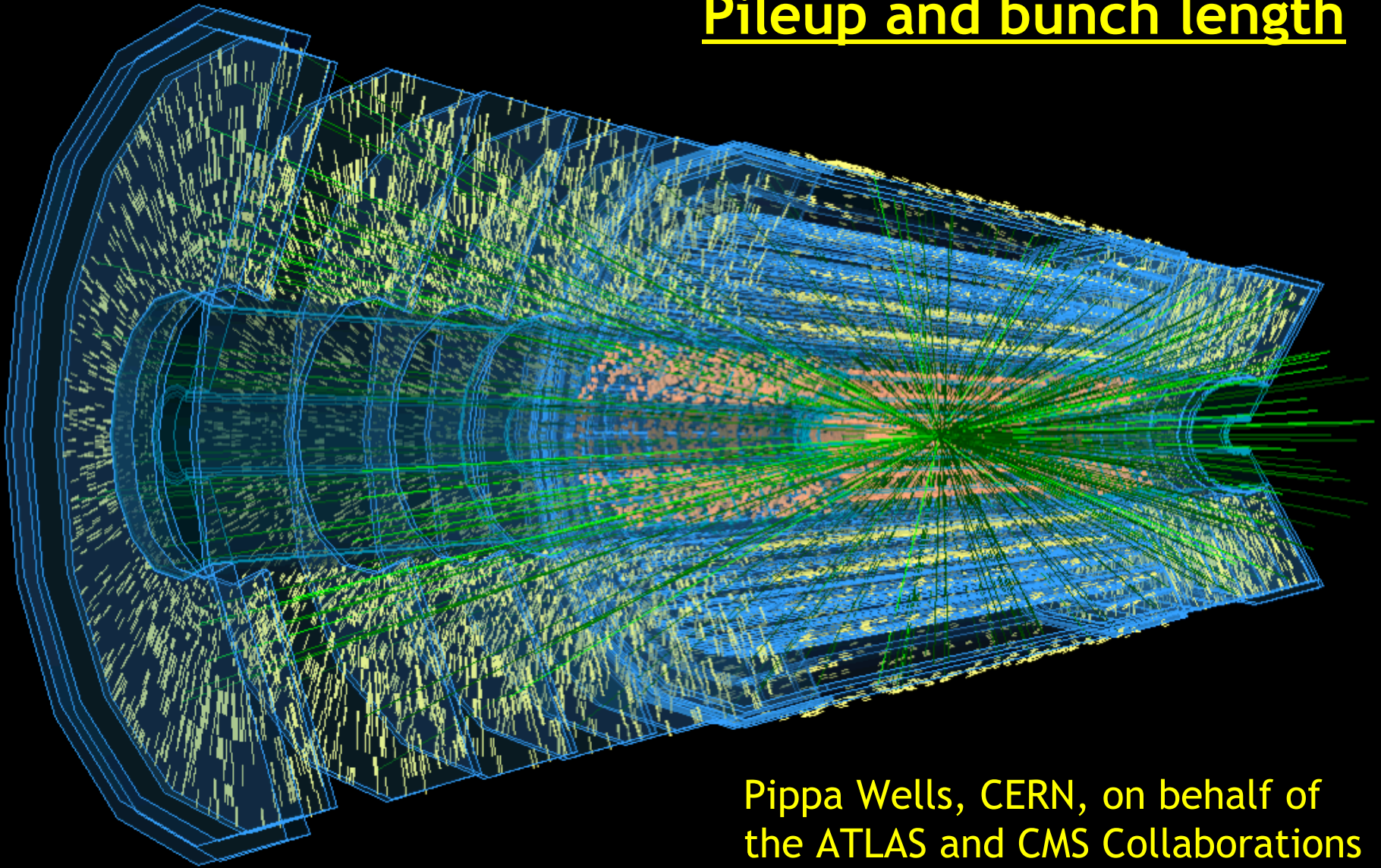


Pileup and bunch length



Pippa Wells, CERN, on behalf of
the ATLAS and CMS Collaborations
5th Joint HiLumi LHC-LARP
Annual Meeting, CERN, 2015

Pileup and bunch length/time structure

- Pileup and detector configurations
- Performance as a function of pileup:
 - Primary vertex finding
 - b-tagging
 - Variation with bunch length for PV and b-tagging
 - [e/ γ / μ / τ]
 - Jets and E_T^{miss}
 - Pileup mitigation with tracking information
 - First prospects using timing information
- Conclusions and outlook

Pileup values

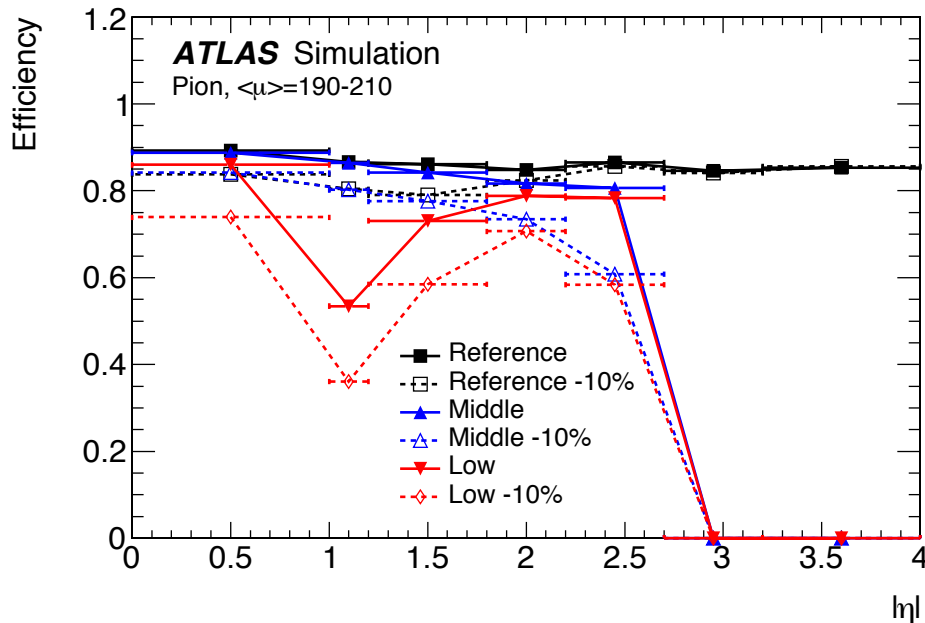
- Aim to upgrade the detectors to maintain the same or better performance with HL-LHC levels of pileup
- Luminosity of 5 (7.5) $\times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$ corresponds to *average* pileup, μ , of 140 (200) events per bunch crossing
 - Rounded up a few % to reflect variation from bunch-to-bunch
 - Simulation then includes Poisson fluctuations around the mean
 - Typical Run 3 (= Phase I) value expected to be around 50
- Pileup mitigation a critical element of detector designs
 - ATLAS and CMS **scoping documents** include performance comparisons at these two μ values
 - ATLAS [CERN-LHCC-2015-020], CMS [CERN-LHCC-2015-019]
- The scoping documents extend previous studies:
 - ATLAS Phase II LoI [CERN-LHCC-2012-022], CMS Technical Proposal [CERN-LHCC-2015-010]
 - Links to additional public results:
<https://twiki.cern.ch/twiki/bin/view/AtlasPublic/UpgradePhysicsStudies>
<https://twiki.cern.ch/twiki/bin/view/CMSPublic/PhysicsResultsFP>
ECFA HL-LHC workshop 2014: <https://indico.cern.ch/event/315626/>

Detector configurations

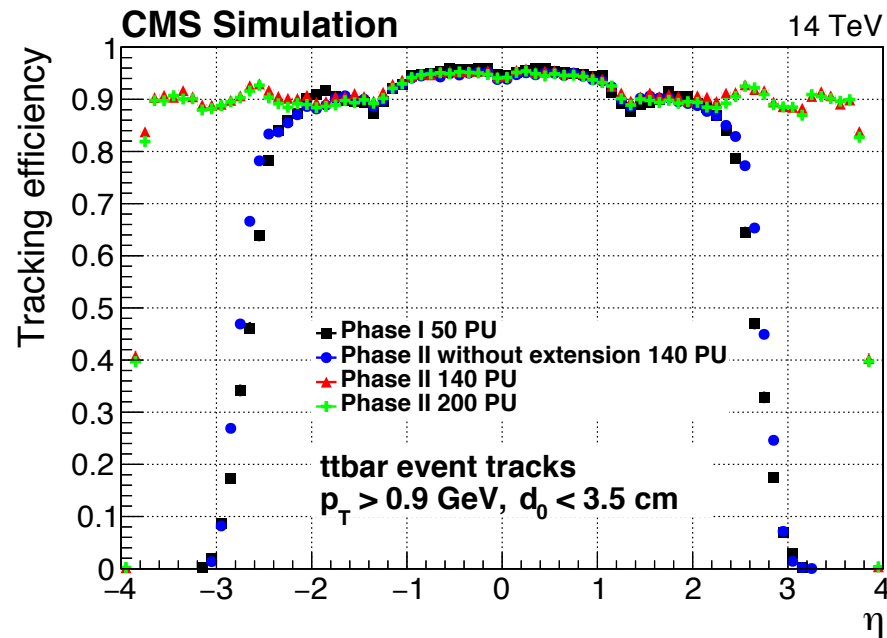
- Both experiments have made full simulations of their Phase II detectors to study performance. **Caveats:**
- Trackers
 - Pixel detectors extended to $|\eta| = 4.0$ (ATLAS), 3.8 (CMS)
 - For both, there will be a further reduction in pixel size (i.e. improvement in resolution) compared to the present simulations, and further optimisation of the layout
- Calorimeter upgrades
 - CMS will fully replace the end cap calorimeter ($1.5 < |\eta| < 3.0$), with precise timing information from each layer, plus improved timing information in the barrel region
 - ATLAS propose a high granularity timing detector between the barrel and endcap LAr calorimeter cryostats ($2.4 < |\eta| < 4.3$)
 - For both experiments, the timing aspects are not yet fully integrated in simulation and/or reconstruction algorithms
 - ATLAS may also replace the forward calorimeter ($3.2 < |\eta| < 4.9$)

Tracking extended to large $|\eta|$

- Pion tracking efficiency in $t\bar{t}$ events for ATLAS full and reduced scenarios, PU of 200



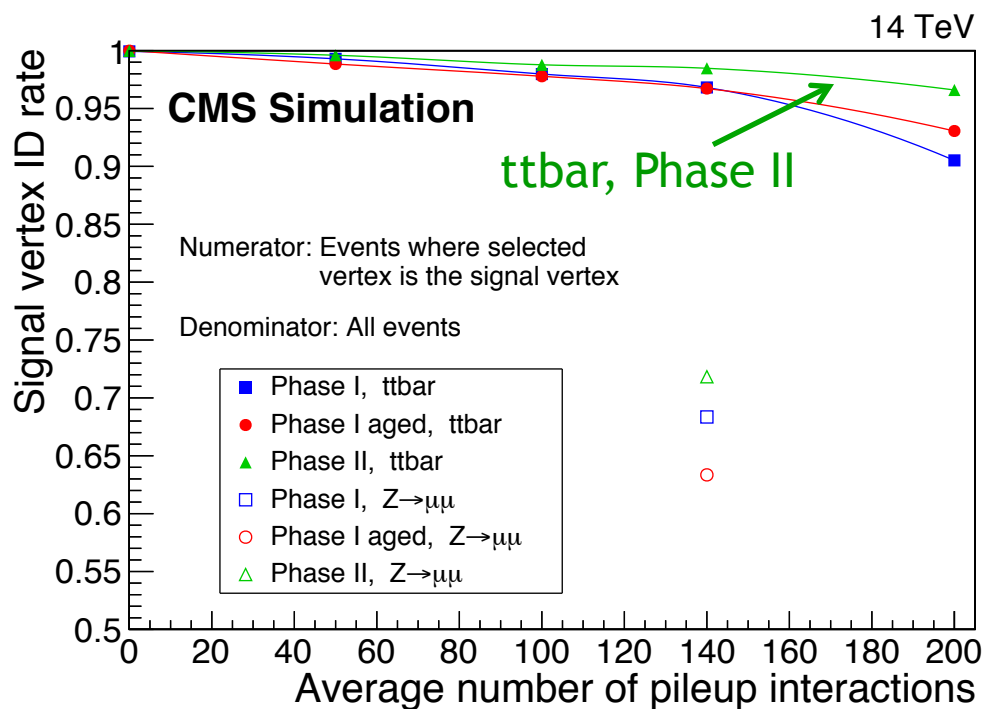
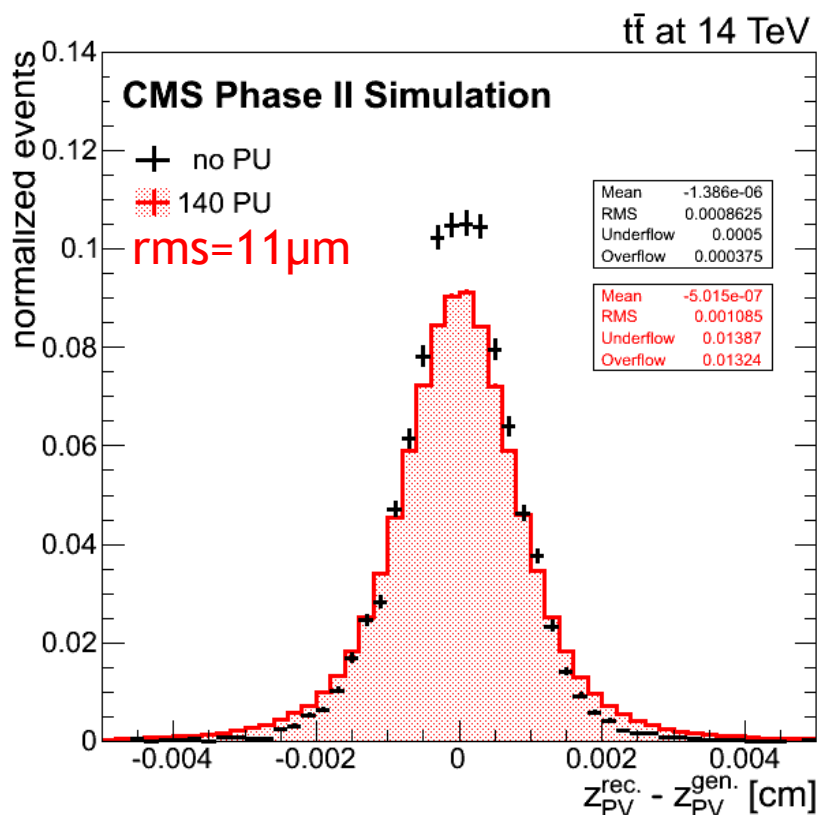
- Tracking efficiency with η extension in CMS for 140 PU or 200 PU



- For both experiments, fake rates are well under control
- Muon tracking efficiency is uniformly high (about 99%)

Primary vertex finding

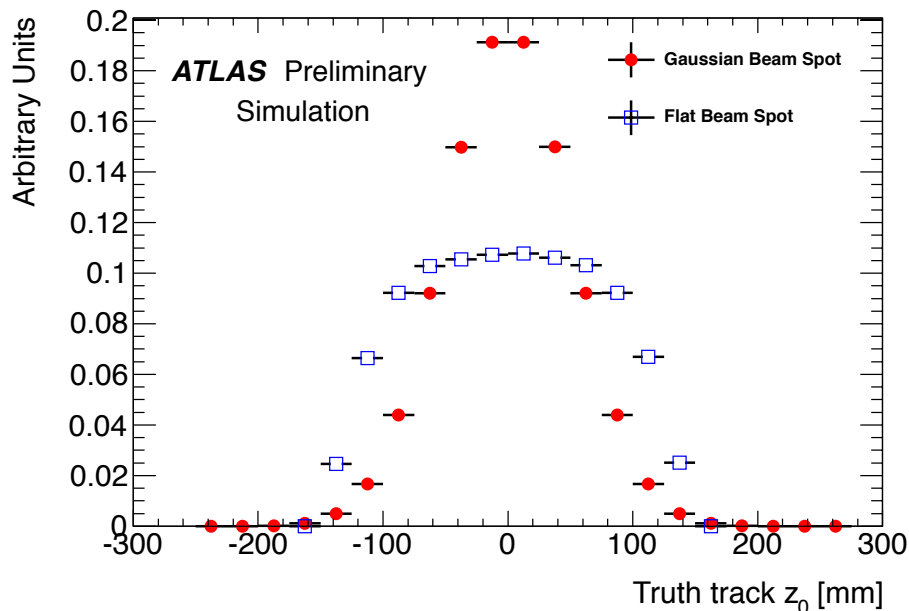
- $t\bar{t}$ events reconstructed with the CMS Phase II detector
- rms resolution $11\mu\text{m}$ for this high multiplicity hard-scatter process
- Efficiency for picking the right vertex about 98% (96%) for $\mu=140$ (200)



Effect of a longer beam spot

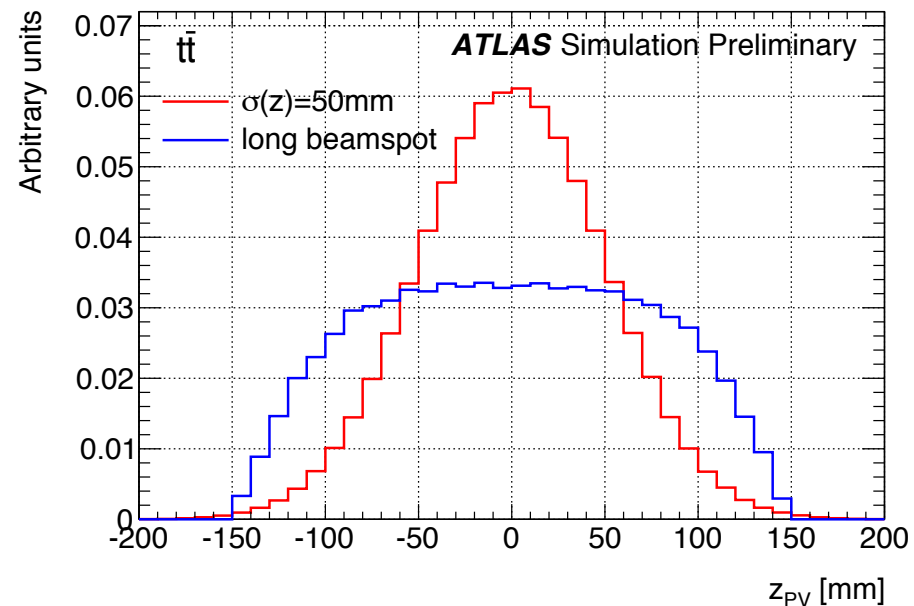
- Both experiments have investigated different longitudinal (z) beam spot profiles.
 - Gaussian with $\sigma=5\text{cm}$
 - Long beam spot, ~flat to $\pm 11\text{cm}$, falling off to $\pm 15\text{cm}$
- ATLAS tracker required to be hermetic for vertices in $\pm 15\text{cm}$
- CMS tracker optimised for hermeticity over $\pm 7\text{cm}$, with no performance degradation seen out to $\pm 11\text{cm}$

Generated tracks



Pippa Wells, CERN

Reco primary vertices

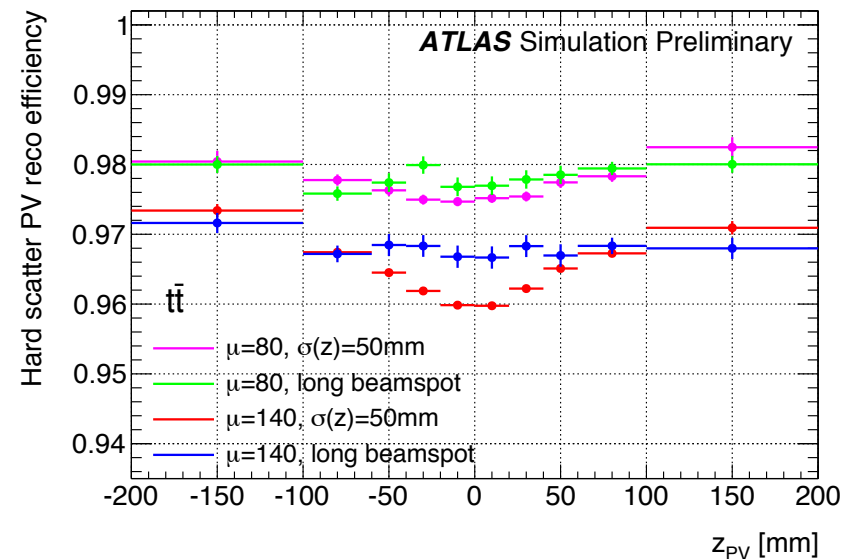
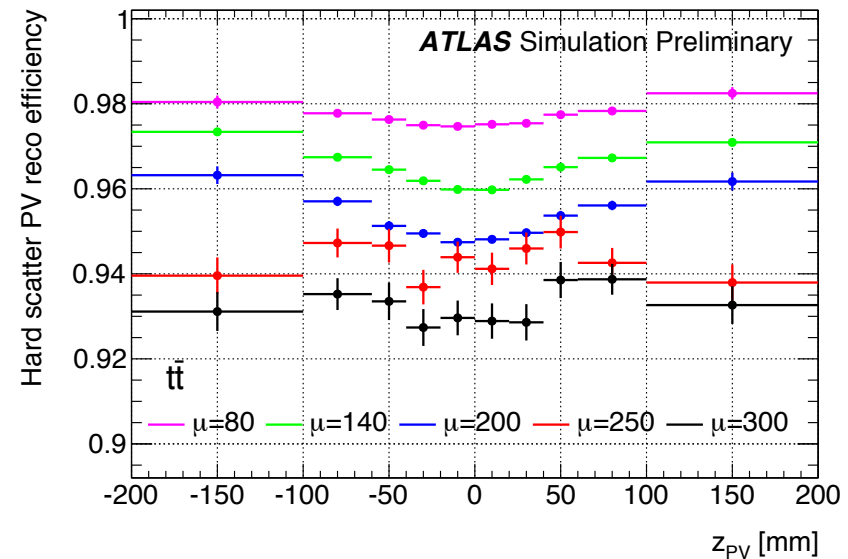


Pileup Mitigation

7

Effect of varying PU and beam spot shape

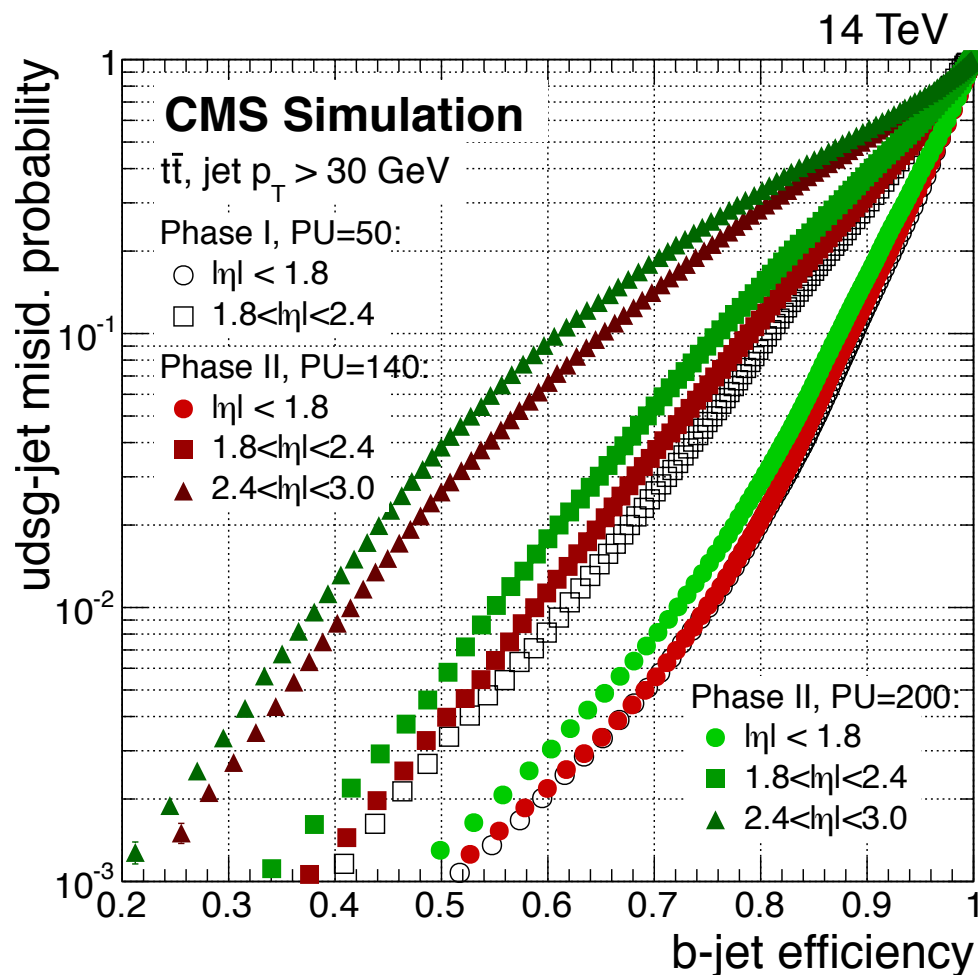
- Hard scatter reconstruction efficiency for $t\bar{t}$ events
- Non-optimised algorithms, larger pixel sizes than now planned
- Gaussian beam spot, $\sigma=5\text{cm}$, μ [80, 140 ... 300]
- Gaussian $\sigma=5\text{cm}$ or long beam spot, $\mu=140$: about 1% higher efficiency for long beam spot
- Much less difference for $\mu=80$
- (No samples were made yet with long beamspot, $\mu=200$)



b-tagging

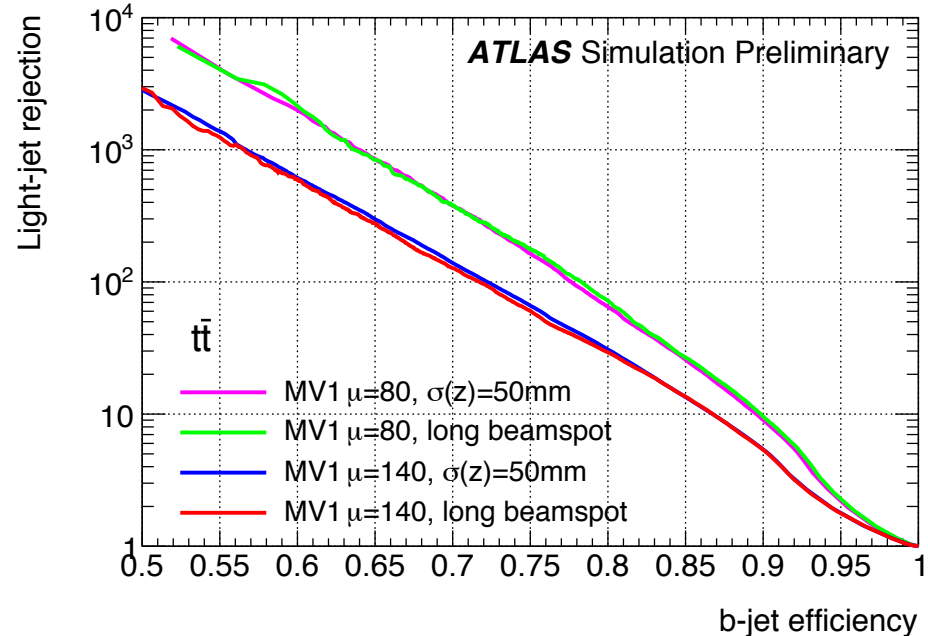
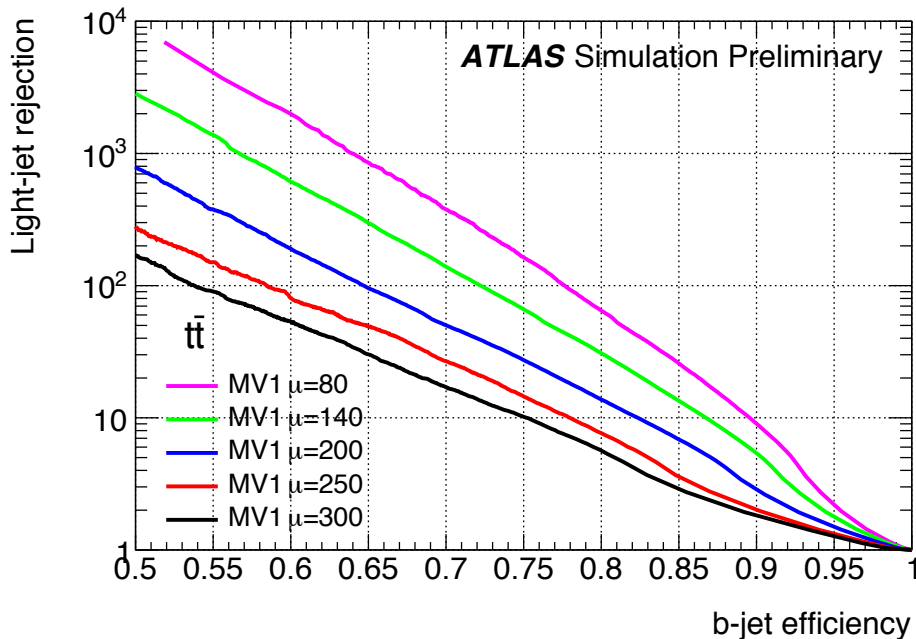
- Efficiency to tag a b-jet from $t\bar{t}$ decay vs the light-jet misid probability (for events with correct PV identified)

- $\mu=140$
- $\mu=200$
- $\mu=50$ (Phase I)
- Phase II detector gives useful performance up to $|\eta| < 3.0$
- Few % decrease in b-tag efficiency for fixed misid rate going from 140 to 200



b-tagging - beam spot shape

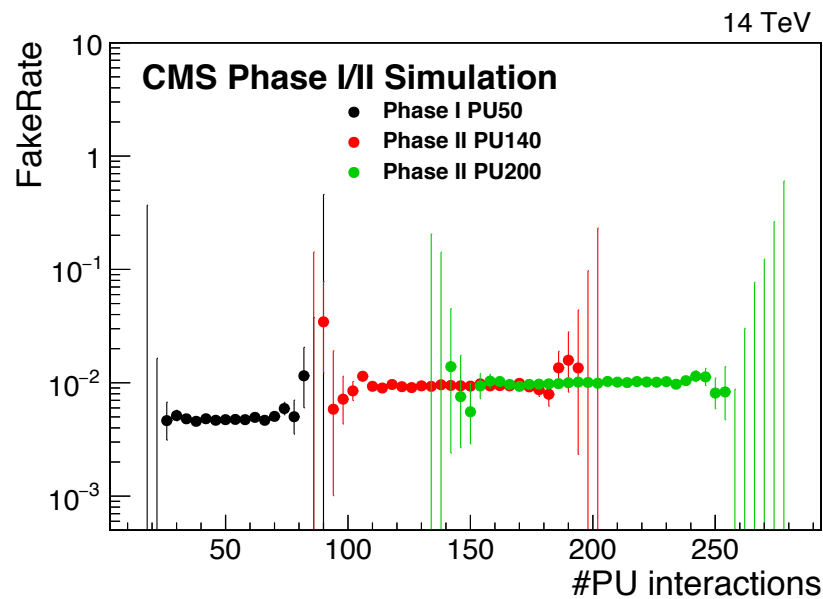
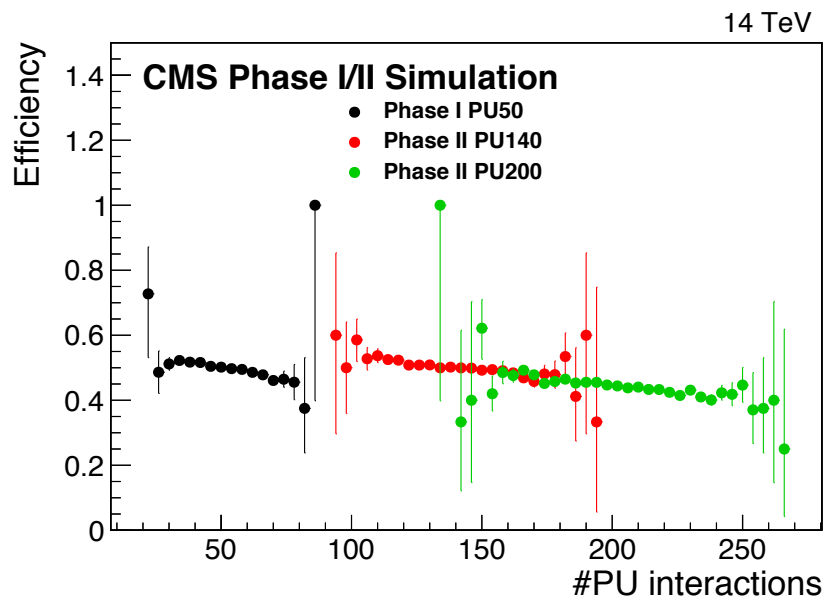
- b-tagging degrades gradually with higher μ (left plot)
- If the correct PV is selected, the b-tagging is insensitive to the beam spot shape (right plot)
 - Plots using the Lol detector averaging over $|\eta| < 2.5$
 - (Exact results sensitive to layout, tracking algorithms, jet energy scale. No tests made yet with $\mu=200$, long beamspot)



- NB: rejection = $1/(\text{misid-prob})$
- Non-optimised algorithms from Run 1

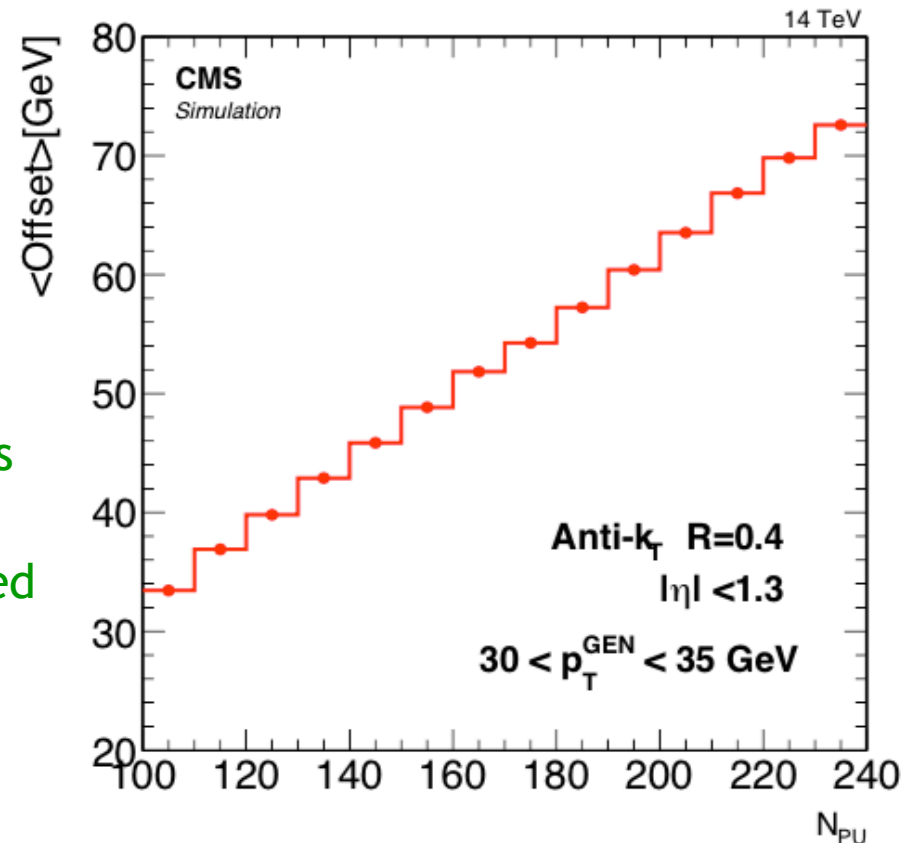
e/ γ / μ / τ performance

- Muon track finding has high efficiency for ATLAS and CMS
 - Matching to muon spectrometer is only weakly affected by pileup
 - Isolation variables need corrections for pileup contribution
- Work is in progress to optimise e/ γ / τ algorithms
 - Some degradation of id efficiency and resolution with pileup
- Example: τ efficiency and fake vs. number of events from CMS
 - Efficiency reduced if constant fake rate is chosen



Jets and pileup

- Particles from pileup events make a significant contribution to the jet energy of true low p_T jets
- Pileup events can also produce additional QCD-like jets (usually at low p_T), and jets from random combinations of particles from several pileup events
- Plot shows additional energy from pileup overlaid on low energy QCD jets with radius 0.4 in η - ϕ space
- Reconstructed jet energy depends on detector specific algorithms
- Jet energy scale correction applied to estimate true jet energy

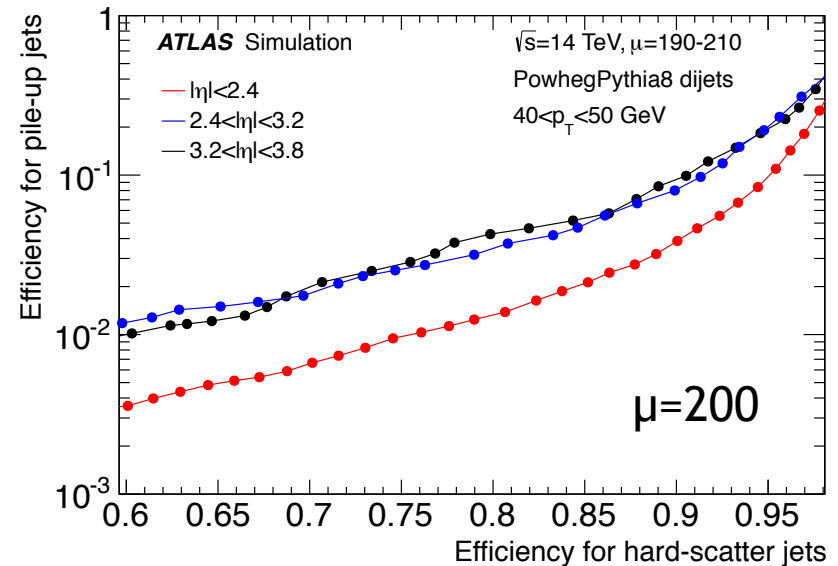
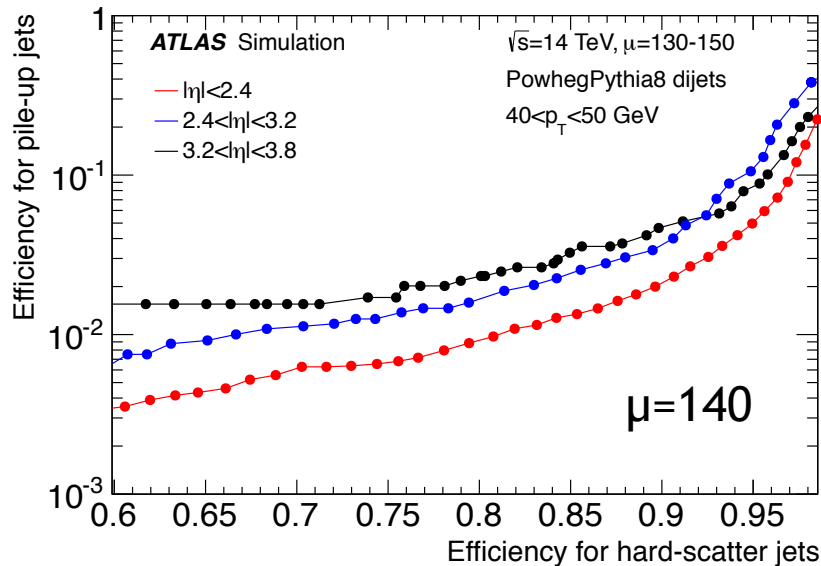
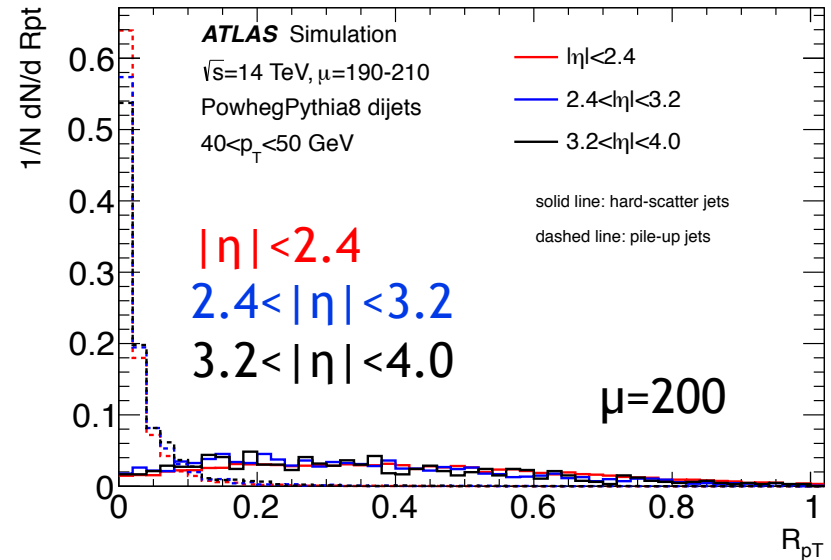


Pileup jet suppression with tracks - ATLAS

- Use a tracking variable, R_{pT} , to distinguish between hard-scatter and pile-up jets. Sum over tracks in the jet which come from the hard scatter PV

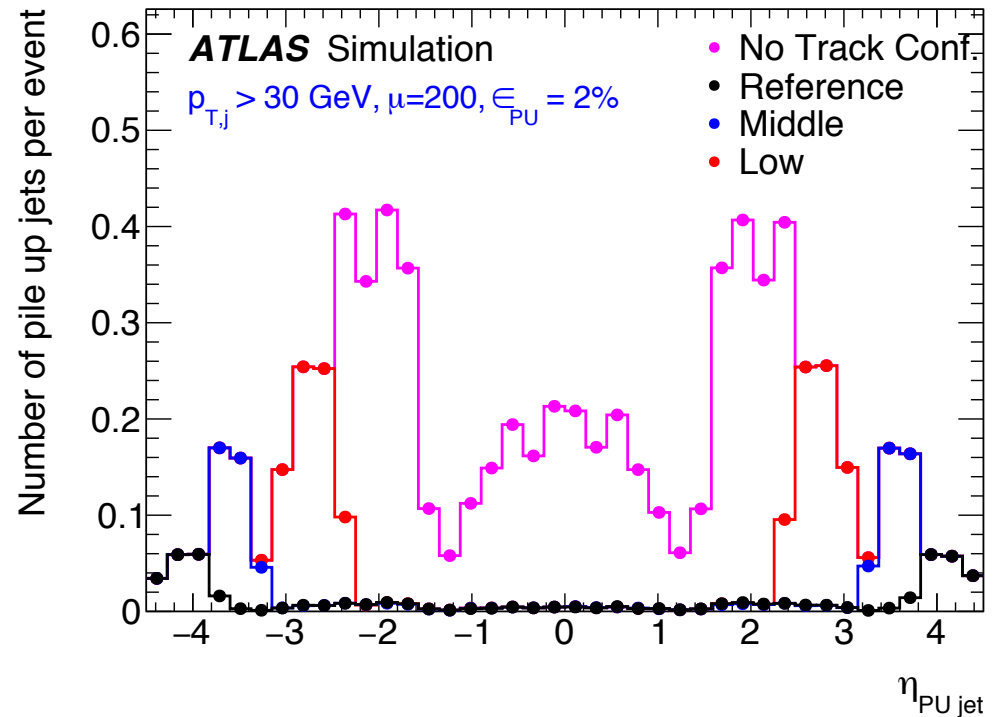
$$R_{pT} = \frac{\sum_i (p_T^{\text{track},i})}{p_T^{\text{jet}}}$$

- Scan value of R_{pT} to find efficiency for PU vs. HS jets (40-50 GeV jets shown)



Pileup jet suppression with tracks

- Example: R_{pT} cut selected to keep $<2\%$ PU jets ($\mu = 200$)



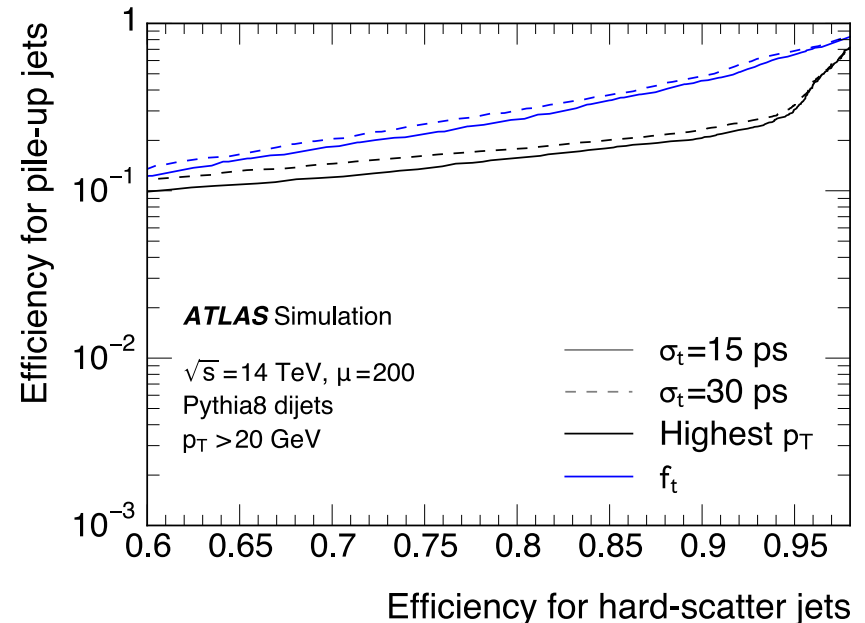
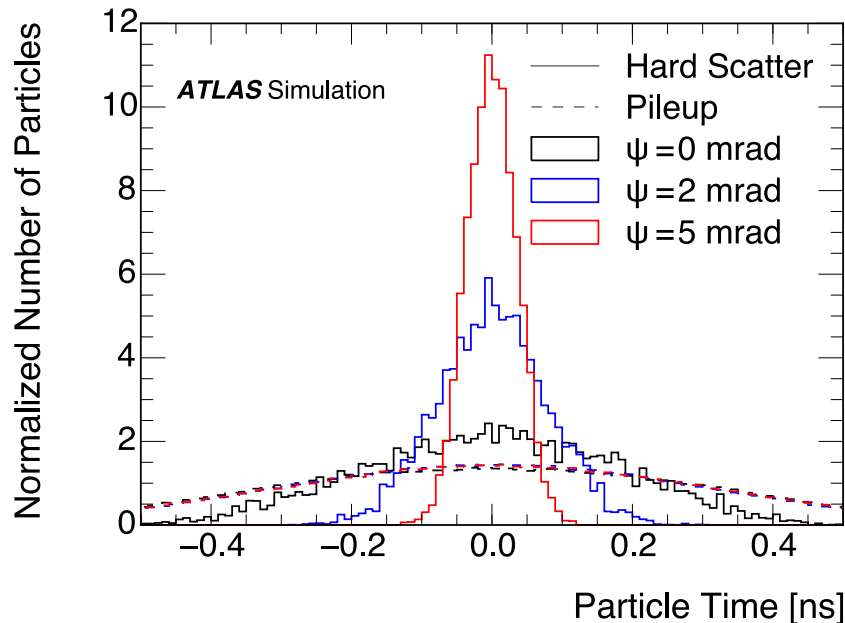
- CMS uses particle flow objects to make optimum use of track and calorimeter information
 - PUPPI algorithm to evaluate the weight for each PF object to be from hard-scatter or pileup event
 - Resolution improved by extended tracker coverage

Use of precision timing information

- CMS end cap calorimeter will include precise timing information from active layers
 - Intrinsic ToT jitter expected to be 50ps per measurement. (Many individual measurements in a jet).
- ATLAS plan a high-granularity timing detector in front of the existing end-cap calorimeter
- In the forward region, a precise timing measurement with 20-30ps resolution gives about 1cm resolution on z(vertex)
 - Typical jet: 55% charged particles, 30% photons (from π^0 decay), 15% neutral hadrons (neutrons and K^0)
 - Timing information for neutral particles is complementary to vertex position information from tracking
 - Time of flight for lower energy charged particles is also affected by the path length. (Bending in axial magnetic field \rightarrow longer path length. More significant in the barrel region)
 - In Run 1, the spread of collision times was about 220 ps

ATLAS high granularity timing layer

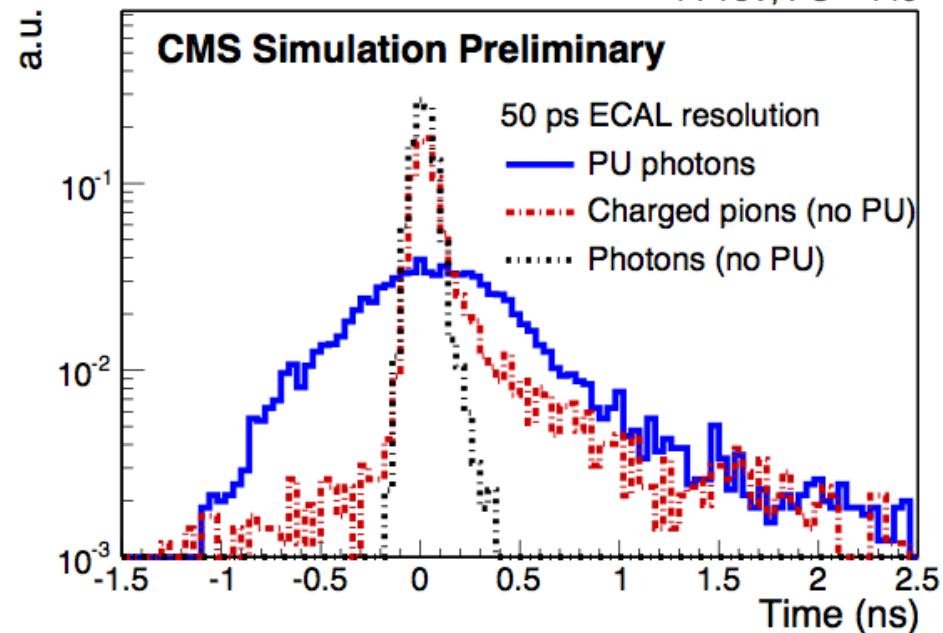
- Standalone analytical study assuming the crab-kissing scheme
 - Time spread of collisions depends on angle ψ . Plot shows particle time w.r.t. times from known hard scatter position.
 - With a simple algorithm, 90% efficiency for HS jets while retaining about 10% of pileup jets
 - Combined algorithm using tracking and timing to be studied
 - Use of relative timing of contributions to a jet to be studied



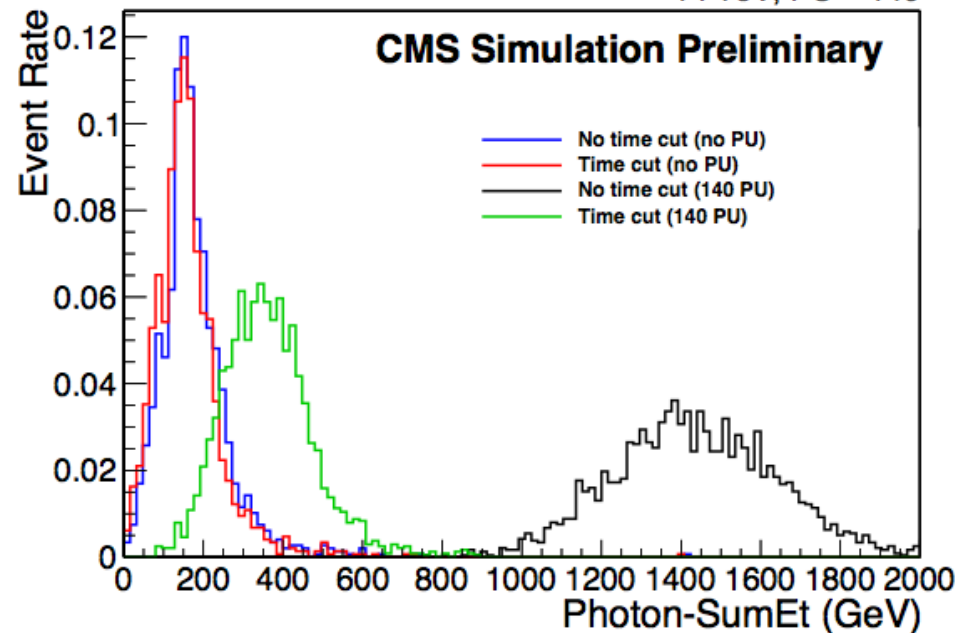
CMS studies with precise timing

- Reconstructed time for PFlow objects assuming new detector element with 50ps resolution
- Signal charged pions/photons and pileup photons
- Sum ET of PFlow photons for VBF $H \rightarrow \gamma\gamma$ events
 - No pileup (red/blue)
 - Pileup 140 - no time cut
 - Pileup 140 - with time cut

14 TeV, PU = 140

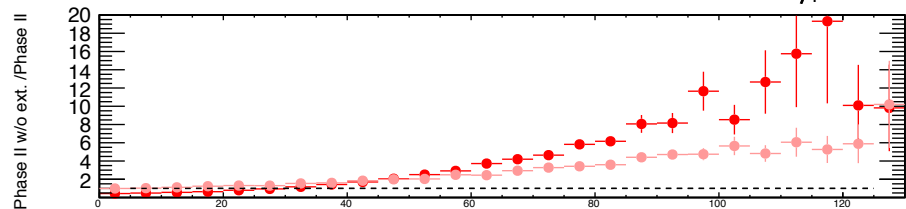
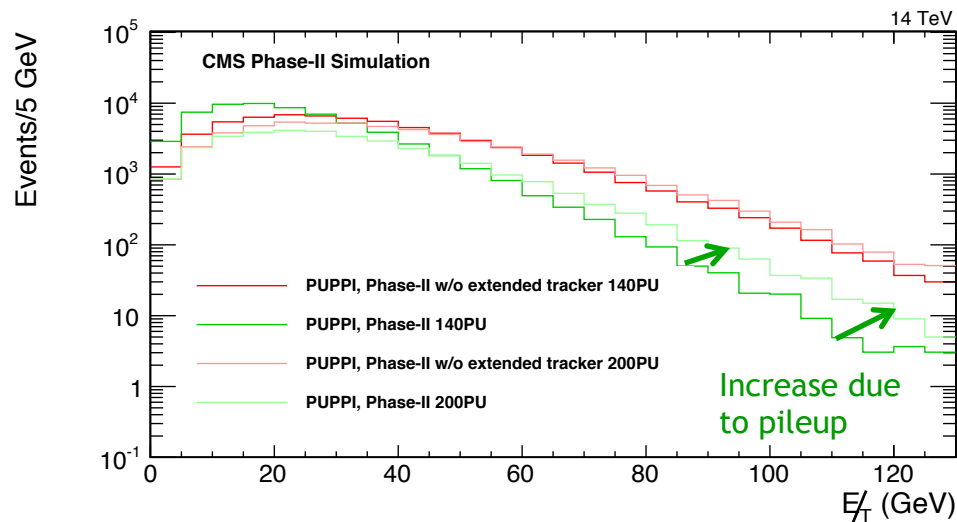
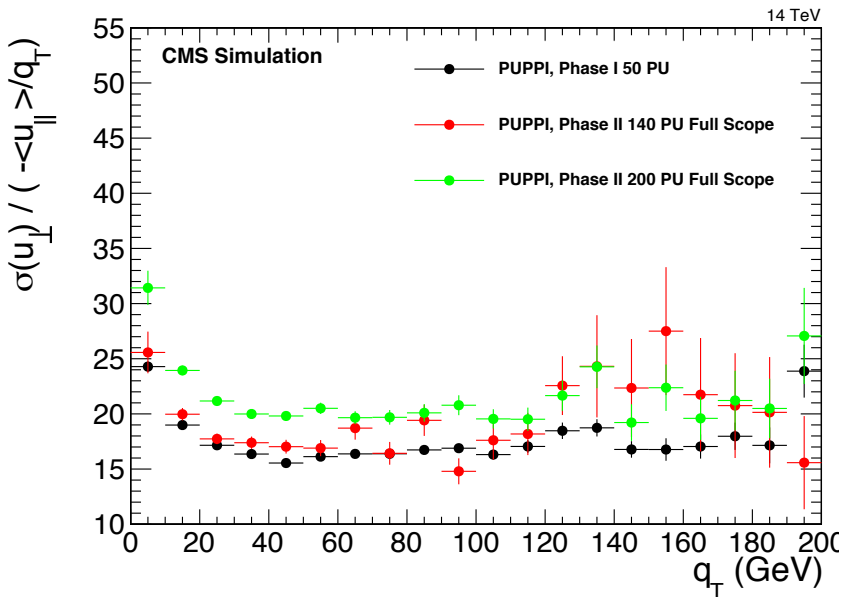


14 TeV, PU = 140



CMS E_T^{miss}

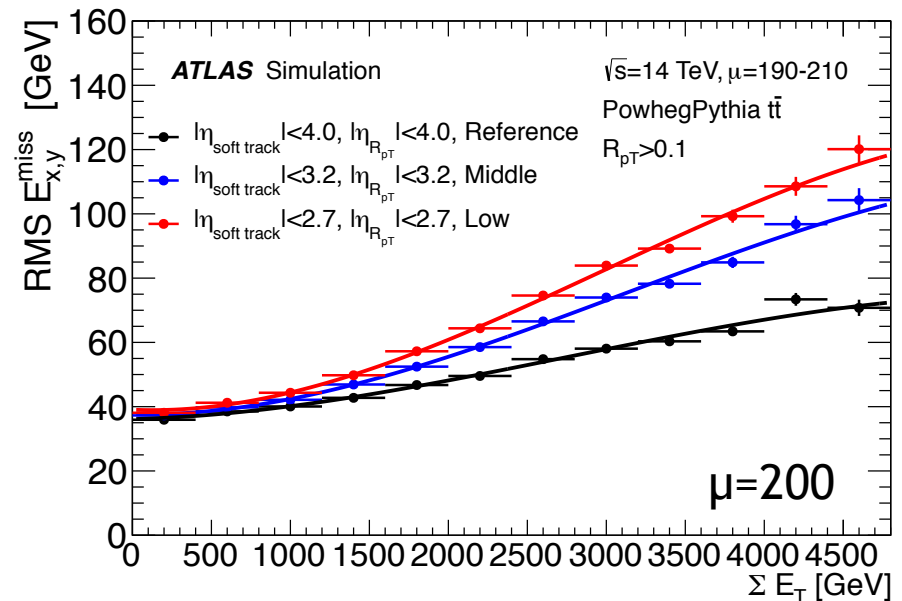
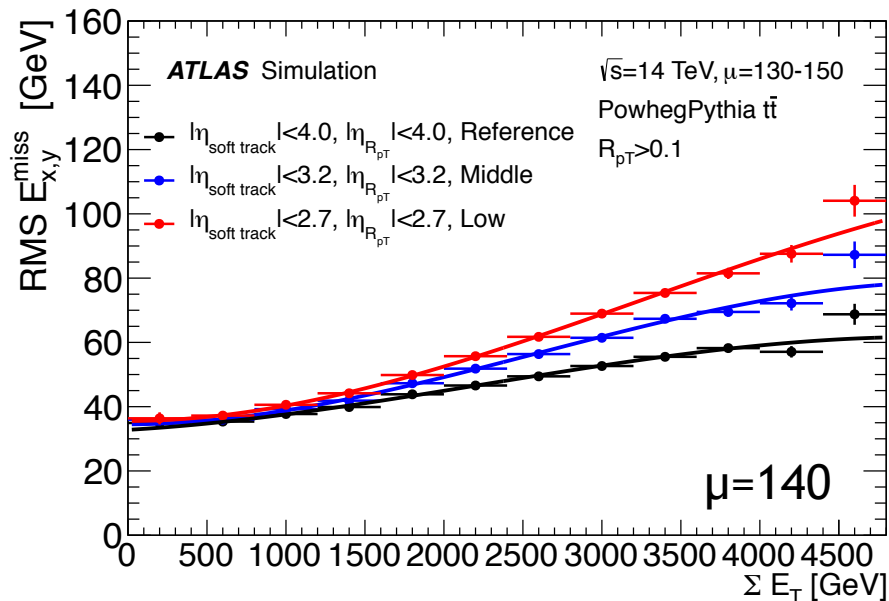
- Apparent E_T^{miss} in $Z/\gamma^* \rightarrow \mu\mu$ events, largely due to measurement of the recoiling hadronic system and pileup contributions
 - No tracker extension
 - With tracker extension



- E_T^{miss} resolution: the component of the hadronic recoil perpendicular to the Z direction in $Z \rightarrow \mu\mu$ events
 - PU 140
 - PU 200

E_T^{miss} with extended tracker - ATLAS

- E_T^{miss} resolution improves if tracking information is available for $|\eta| < 4.0$ compared to 3.2 or 2.7
 - Degradation with pileup is also strongly reduced
 - Dominant effect is from rejection of pileup jets
 - Small additional contribution from improved estimate of soft term



Conclusion and outlook

- Improved understanding of pileup mitigation from recent studies
 - Relative performance with $\mu=140$ and $\mu=200$ evaluated. Improvements from optimised layouts and algorithms expected
 - Tracker extensions in η are a vital element
 - First results on the impact on physics analysis precision available. More in the pipe line. Optimum choice is analysis dependent.
- Tracking/vertex finding as a function of bunch length/shape
 - First indication from ATLAS was that long-flat bunch does not bring much benefit. Vertices may be merged if they are within a few 100 μm . Despite this, hard scatter PV resolution is 10-20 μm
 - Studies of an even longer beam spot have started in CMS
- Fully accounting for shape of luminous region in time and space (z,t) is in active development for precise timing detectors
 - From the machine side, which scenarios are plausible? (eg. Max length, variations in time structure, prospects for crab kissing)
 - Experiments could then give additional feedback on the time scale of Autumn 2016 (possible ECFA workshop)